Spectroscopy of Excited Baryon Resonances at CLAS: A Review of the 6-GeV Program



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Outline

Introduction

- Spectroscopy of Baryon Resonances
- Experimental Approach at CLAS
- 2 Experimental Results
 - Polarization Measurements
 - Observables in Reactions off Neutrons
 - What have we learned?
- Structure of Excited Baryons
 - Transition (Helicity) Amplitudes
 - Summary and Outlook
 - Open Issues in (Light) Baryon Spectroscopy
 - Summary and Outlook





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Spectroscopy of Baryon Resonances Experimental Approach at CLAS

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Spectroscopy of Baryon Resonances Experimental Approach at CLAS

The Evolution of the Universe



 $t \sim 10^{-9}$ s Quark-Gluon Plasma $t \sim 10^{-4}$ s Nucleons $t \sim 10^2$ s Nuclei $t \sim 3 \times 10^5$ s Atoms

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At $t \sim 10^{-6}$ s: Transition from QGP to Nucleons

Spectroscopy of Baryon Resonances Experimental Approach at CLAS

The Evolution of the Universe



- Chiral symmetry is broken
- Quarks acquire mass
- Baryon resonances occur
- Color confinement emerges



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Many of these events can be studied in the lab.

Spectroscopy of Baryon Resonances Experimental Approach at CLAS

QCD Phases and the Study of Baryon Resonances





RPP (u, d, s, c) baryons not sufficient to describe freeze-out behavior. (e.g. A. Bazavov *et al.*, PRL **113** (2014) 7, 072001)

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Non-Perturbative QCD



How does QCD give rise to excited hadrons?

- What is the origin of confinement?
- How are confinement and chiral symmetry breaking connected?
- What role do gluonic excitations play in the spectroscopy of light mesons, and can they help explain quark confinement?

Baryons: What are the fundamental degrees of freedom inside a nucleon? Constituent quarks? How do the degrees change with varying quark masses?



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From the Atomic Spectrum of Hydrogen ...

Development of the theory of atomic structure required

- Hydrogen Atom (ground state)
- Together with the emission (absorption) spectrum.

Bohr model → QED

Understanding the nucleon requires

- proton (ground state)
- Together with its excitation spectrum.

Quark model -> strong QCD

Atomic Spectrum of Hydrogen



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Atomic Spectrum of Hydrogen



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... to Understanding the N* Spectrum



CLAS (6 GeV) at JLab 1998 - 2012

Photo-/electroproduction experiments in search for N^* states and measurement of the transition amplitudes.



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Baryons are broad and overlapping ...

Courtesy of Michael Williams

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Spectroscopy of Baryon Resonances Experimental Approach at CLAS

... to Understanding the N* Spectrum



without polarizer ...

but there is more.



CLAS (6 GeV) at JLab 1998 - 2012

Photo-/electroproduction experiments in search for N^* states and measurement of the transition amplitudes.



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Spectroscopy of Baryon Resonances Experimental Approach at CLAS

Extraction of Resonance Parameters in N* Physics

- Double-polarization measurements
- Measurements off neutron and proton to resolve isospin contributions:

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$$\mathcal{A}(\gamma N \to \pi, \ \eta, \ K)^{I=1/2} \iff N^{\circ}$$

 Re-scattering effects: Large number of measurements (and reaction channels) needed to extract full scattering amplitude.



Coupled Channels

Jülich - GW, Gießen, Kent State, etc. ANL - Osaka, Schwinger-Dyson, ...



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Spectroscopy of Baryon Resonances Experimental Approach at CLAS

Table representing CLAS@JLab measurements

	σ	Σ	Т	Р	Е	F	G	Н	$T_{x'}$	$T_{z'}$	$L_{x'}$	$L_{z'}$	$O_{x'}$	$O_{z'}$	$C_{x'}$	$C_{z'}$
									Proton	targets						
$p \pi^0$	\checkmark	√	✓	(🗸)	✓	✓	✓	✓								
$n \pi^+$	\checkmark	1	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark	v	(pu	blishe	b				
pη	\checkmark	 Image: A second s	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark	~	ac	quired	or und	der ana	alysis		
$p\eta'$	\checkmark	 Image: A second s	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark								
$p\omega/\phi$	\checkmark	 Image: A second s	\checkmark	(🗸)	\checkmark	\checkmark	✓	\checkmark		٦	ensor	polari	zation,	SDM	Es	
$K^+ \Lambda$	\checkmark	1	~	\checkmark	✓	✓	✓	✓	\checkmark	✓	✓	✓	\checkmark	\checkmark	✓	\checkmark
$K^+ \Sigma^0$	\checkmark	1	\checkmark	✓	✓	\checkmark	\checkmark	\checkmark	\checkmark							
$K^0 \Sigma^+$	√	 Image: A second s	✓	\checkmark	\checkmark	✓	✓	✓	✓	<	\checkmark	\checkmark	✓	<	✓	✓
		Neutron (deuteron) targets														
$p \pi^{-}$	√	 Image: A second s			~		✓									
$K^- \Sigma^+$	✓	 ✓ 	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark									
$K^0 \Lambda$	\checkmark	 ✓ 	✓	\checkmark	✓*	\checkmark	\checkmark	✓	✓	\checkmark						
$K^0 \Sigma^0$	\checkmark	 Image: A start of the start of	\checkmark	\checkmark	√*	\checkmark										

Complete Experiments?

* submitted

"Uncertainty is an uncomfortable position. But Certainty is an absurd one."

Voltaire

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									Proton	targets						
$p \pi^0$	~	~	✓	(🗸)	✓	✓	✓	✓								
$n \pi^+$	√	√	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark	v	(pu	blishe	b				
pη	\checkmark	\checkmark	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark	v	ac	quired	or und	der ana	alysis		
$p \eta'$	\checkmark	\checkmark	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark								
$p\omega/\phi$	\checkmark	\checkmark	\checkmark	(🗸)	\checkmark	\checkmark	\checkmark	\checkmark	Tensor polarization, SDMEs							
$K^+ \Lambda$	√	~	~	✓	✓	✓	✓	~	\checkmark	✓	✓	✓	\checkmark	\checkmark	\checkmark	\checkmark
$K^+ \Sigma^0$	√	~	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark						
$\kappa^0 \Sigma^+$	1	<	✓	\checkmark	✓	✓	✓	✓	✓	<	<	<	\checkmark	<	✓	✓
		Neutron (deuteron) targets														
$p\pi^-$	√	 Image: A second s			\checkmark		\checkmark									
$K^- \Sigma^+$	 Image: A second s	 Image: A second s	\checkmark	✓	\checkmark	\checkmark	\checkmark									
$K^0 \Lambda$	√	 Image: A start of the start of	\checkmark	\checkmark	✓*	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	✓	\checkmark
$K^0 \Sigma^0$	\checkmark	 Image: A second s	\checkmark	\checkmark	√*	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	✓	\checkmark	\checkmark	\checkmark

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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

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Polarization Transfer in $\vec{\gamma} p \rightarrow K^+ \vec{\Lambda}$: $C_x \& C_z$



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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Polarization in $\vec{\gamma} p \rightarrow K^+ \vec{\Lambda}$: $O_x \& O_z + T \& \Sigma$

C. A. Paterson et al. [CLAS Collaboration], Phys. Rev. C 93, 065201 (2016)



Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Polarization Observables in $\vec{\gamma} p \rightarrow K^+ \Lambda$



Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Cross Sections for $\gamma p \to K^0 \Sigma^+ \to p \pi^+ \pi^- \pi^0$



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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Cross Sections for $\gamma \rho \to K^0 \Sigma^+ \to \rho \pi^+ \pi^- \pi^0$



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Spectroscopy of Excited Baryon Resonances at CLAS

Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Complete Experiments in $\gamma p \rightarrow p \omega$

• Event-based background subtraction (event-based dilution factors)

$$\rightarrow \gamma p \rightarrow p \pi^+ \pi^- \checkmark \gamma p \rightarrow p \pi^+ \pi^- (\pi^0) \checkmark$$

In analogy to pseudoscalar mesons:

$$\frac{d\sigma}{d\Omega} = \sigma_0 \left\{ 1 - \delta_1 \Sigma \cos 2\phi + \Lambda_x \left(-\delta_1 H \sin 2\phi + \delta_\odot F \right) - \Lambda_y \left(-T + \delta_1 P \cos 2\phi \right) - \Lambda_y \left(-T + \delta_1 P \cos 2\phi \right) - \Lambda_z \left(-\delta_1 G \sin 2\phi + \delta_\odot E \right) \right\}$$

 $\phi = \Psi \equiv$ Angle between $p \omega$ production plane and the photon polarization plane in the overall CM frame.

 $\Phi \equiv$ Azimuthal angle of normal to the ω decay plane in helicity frame - quantization axis in the direction opposite the recoiling proton in the ω rest frame.

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The ω is a vector meson (A. I. Titov and B. Kampfer, Phys. Rev. C 78, 038201 (2008))

$$2\pi W^{f}(\Phi, \Psi) = 1 - \Sigma^{f}_{\Phi} \cos 2\Phi - P_{\gamma} \Sigma^{f}_{b} \cos 2\Psi + P_{\gamma} \Sigma^{f}_{d} \cos 2(\Phi - \Psi)$$

$$\frac{\Sigma_b^h}{\Sigma_b} = \Sigma_b^r = 2\rho_{11}^1 + \rho_{00}^1 \qquad -\frac{1}{2}\Sigma_d^h = \Sigma_d^r = \rho_{1-1}^1 \qquad -\frac{1}{2}\Sigma_{\Phi}^h = \Sigma_{\Phi}^r = -\rho_{1-1}^0$$

Pol. SDMEs: B. Vernarsky (CMU), PhD dissertation

Polarization Measurements

The Beam Asymmetry in $\vec{\gamma} \, p \rightarrow p \, \omega$ (CLAS-g9b)



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Polarization Measurements

Target Asymmetry T in $\gamma \vec{p} \rightarrow p \omega$ (CLAS g9b)



P. Roy et al. [CLAS Collaboration], PRC 97, 055202 (2018)

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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Helicity Asymmetry in $\vec{\gamma} \, \vec{\rho} \rightarrow \rho \, \omega$ (CLAS g9a)



BnGa (coupled-channels) PWA

- Dominant P exchange
- Complex 3/2⁺ wave

N(1720)

- 2 W ≈ 1.9 GeV
- N(1895) 1/2⁻ (new state)
- N(1680), N(2000) 5/2⁺
- 7/2 wave > 2.1 GeV
- CLAS-g9a
- CBELSA/TAPS
 Phys. Lett. B **750**, 453 (2015)

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Z. Akbar et al. [CLAS Collaboration], PR C 96, 065209 (2017)

Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Cross Sections for the Reaction $\gamma p \rightarrow p \omega \rightarrow p \pi^+ \pi^- \pi^0$



New cross section results in 10-MeV-wide *W* bins for

 $1.15 < E_{\gamma} < 5.40$ GeV, or 1.75 < W < 3.32 GeV

→ Need theory support to understand physics at these high energies!! Working with JPAC. (V. Mathieu *et al.*) (SDMEs under review)

→ Data of unprecedented quality

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Z. Akbar et al. [CLAS Collaboration], under review.

Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Brief Summary of Measurements off the Neutron

- $\gamma n
 ightarrow p \pi^- \qquad \sigma, \quad E ext{ observable}$
- $\gamma n \rightarrow K^0 \Sigma^0$ *E* observable (submitted)
- $\gamma n \rightarrow K^0 \Lambda$ σ , *E* observable (submitted)



Summary of neutron results:

- No introduction of new resonances so far.
- Helicity amplitudes, *N*(1900) ³/₂⁺, *N*(1720) ³/₂⁺.
- Convergence of groups on $\gamma nN^* (A_n^h)$ for $N(2190) \frac{7}{2}^-$.

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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

The impact of photoproduction black: on baryon resonances red: blue:	Decay modes of nucleon resonances PDG 2004 PDG 2018 BESIII resonances	**** Existence is certain. *** Existence is very likely. ** Evidence of existence is fair. * Evidence of existence is poor.
overall $N\gamma$. N	$\pi \Delta \pi N \sigma N \eta \Lambda K \Sigma K$	$K N \rho N \omega N \eta' N_{1440} \pi N_{1520} \pi N_{1535} \pi N_{1680} \pi$
N 1/2 ⁺ ****		
N(1440) 1/2 ⁺ **** **** **	** *** ***	
$N(1520) 3/2^{-} **** **** **$	** **** ** ****	
$N(1535) 1/2^- **** **** **$	** ***	
$N(1650) 1/2^{-} **** **** **$	** *** * **** ***	*
$N(1675) 5/2^{-} **** **** **$	** *** ***	* ** *
N(1680) 5/2 ⁺ **** **** **	** **** ***	***
$N(1700) \ 3/2^{-} *** ** *$	** *** *	* *
$N(1710) 1/2^+ **** **** **$	** ** *** *	* * * *
N(1720) 3/2 **** **** **	** *** * * **** *	* ** *
$N(1860) 5/2^+ ** * *$	* * *	
N(1875) 3/2 *** ** *	* * ** * * *	* * * * *
N(1880) 1/2' *** **	* ** * * ** **	<* ** *
N(1895) 1/2 **** ****	* * * **** **	****
$N(1900) = 3/2^+ **** **** *$	* ** * * ** **	* * * *
$N(1990) = 7/2^+$ ** ** *	* * * * * **	
$N(2000) 3/2^+ ** ** *$	* ** * * * *	* *
N(2060) 5/2		
$N(2100) 1/2^+$ *** ** *	** ** ** *	* * * **
$N(2120) 3/2^{-}$ *** *** *	** ** ** ** *	* * * * * *
$N(2190) 7/2^{-} **** **** **$	** *** ** *	* * *
N(2220) 9/2 ⁺ **** ** **	** * *	*
N(2250) 9/2 **** ** **	** * *	*
$N(2300) 1/2^+ *$	ĸ	
$N(2570) 5/2^{-} *$		
$N(2600) 11/2^{-} *** *$	** 7 7	
N(2700) 13/2 ⁺ ** *	*	· ·

Based on results at Jefferson Lab, ELSA, MAMI, ...

Spectroscopy of Excited Baryon Resonances at CLAS

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Polarization Measurements Observables in Reactions off Neutrons What have we learned?

Spin and Parity Measurement of the $\Lambda(1405)$ Baryon

K. Moriya et al. [CLAS Collaboration], Phys. Rev. Lett. 112, 082004 (2014)

Data for $\gamma p \rightarrow K^+ \Lambda(1405)$ support $J^P = \frac{1}{2}^-$

- Decay distribution of Λ(1405) → Σ⁺π⁻ consistent with J = 1/2.
- Polarization transfer, \vec{Q} , in $Y^* \to Y\pi$:
 - S-wave decay: \vec{Q} independent of θ_Y







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Transition (Helicity) Amplitudes

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The N^* program has two main components:

• Establish the systematics of the spectrum

Provides information on the nature of the effective degrees of freedom in strong QCD.

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Transition (Helicity) Amplitudes



The N^* program has two main components:

- Establish the systematics of the spectrum
 - Provides information on the nature of the effective degrees of freedom in strong QCD.
- Probe resonance transitions at different distance scales (*Q*² dependence)

Reveals the structure of N^* states.



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Helicity Amplitudes for the "Roper" Resonance



Data from CLAS *A*_{1/2} and *S*_{1/2} amplitudes: e.g. V. Mokeev *et al.*, PRC **86**, 035203 (2012); PRC **80**, 045212 (2009).

Quark-model calculations: — q³ radial excitation

--- q³G hybrid state

Consistency between both channels ($N\pi\pi$, $N\pi$): sign change, magnitude, ...

- At short distances (high Q^2), Roper behaves like radial excitation.
- Low-Q² behavior not well described by LF quark models
 - → ANL Osaka achieves good description by adding meson-baryon interactions. DSE prediction: Mass of the quark core of the first radial excitation = 1.73 GeV.
- → Gluonic excitation likely ruled out!

First Nucleon Excitations: Helicity Amplitude $A_{1/2}$



Non-quark contributions are significant at $Q^2 < 2.0 \text{ GeV}^2$

→ The 1st radial excitation of the q³ core emerges as the probe penetrates the MB cloud. Non-quark contributions are significant at $Q^2 < 1.5 \text{ GeV}^2$

→ State consistent with the 1st orbital excitation of the nucleon.



Spectroscopy of Excited Baryon Resonances at CLAS

Helicity Amplitudes for $\gamma p \rightarrow N(1520)D_{13}$ Transition



There is clear evidence for helicity switch from $\lambda = 3/2$ (at photon point) to $\lambda = 1/2$ at high Q^2 :

 Rapid change in helicity structure when going from photo- to electroproduction of a nucleon resonance
 Stringent prediction of CQM!

$$\mathcal{A}_{hel} = \frac{|A_{1/2}|^2 - |A_{3/2}|^2}{|A_{1/2}|^2 + |A_{3/2}|^2}$$



Open Issues in (Light) Baryon Spectroscopy Summary and Outlook

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Open Issues in (Light) Baryon Spectroscopy

- What are the relevant degrees of freedom in (excited) baryons?
 - → Can the high-mass states be described by the dynamics of three flavored quarks? To what extent are diquark correlations, gluonic modes or hadronic degrees of freedom important in this physics?
- Can we identify unconventional states in the strangeness sector, e.g. a Λ(1405) or N(1440)? What is the situation with the (20, 1⁺₂)?
- What is the nature of non-quark contributions, e.g. meson-baryon cloud or dynamically-generated states?
 - Probe the running quark mass and determine the relevant degrees of freedom at different distance scales
- How do nearly massless quarks acquire mass? (as predicted in DSE and LQCD)



Open Issues in (Light) Baryon Spectroscopy Summary and Outlook

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Summary and Outlook

Baryon Spectroscopy: Are we there, yet?

The analysis of photoproduction data (from CLAS, ELSA, MAMI, SPring-8, etc.) continues and many new N^* resonances have been discovered.

- Polarization observables crucial in the seach for new baryon states.
- Multi-channel (PWA) approaches critical.

New era in spectroscopy of strange baryons (GlueX, CLAS12, LHCb, ...)

- Mapping out the spectrum of Ξ baryons is the primary motivation (including parity measurements); some hope for peak hunting.
- Ground-state Ξ in γp → KK Ξ will also allow the spectroscopy of Σ* / Λ* states.



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Open Issues in (Light) Baryon Spectroscopy Summary and Outlook

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