Baryons on the Lattice

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- Review lattice methodology
- Study of confinement mechanisms
- Spectroscopy results:
 - Quenching effects
 - Quenched and dynamical light quark spectroscopy
 - Importance of chiral extrapolations
 - Adding electromagnetic interactions
- Computing resources

Regularization of QCD on a lattice

- Approximate continuous space--time with a 4-dim lattice, and derivatives by finite differences.
- Quarks are put on sites, gluons on links. Gluons represented by 3x3 complex unitary matrices $U_{\mu}(x) = \exp(iga A_{\mu}(x))$ elements of the group SU(3).

$$\left\langle \mathcal{O}\left(U,\psi,\overline{\psi}\right)\right\rangle = \frac{1}{Z} \int dU_{\mu} d\overline{\psi} d\psi \,\mathcal{O}\left(U,\psi,\overline{\psi}\right) e^{-S_{G}(U)+\overline{\psi}M(U)\psi}$$
$$\rightarrow \frac{1}{Z} \int dU_{\mu} \,\mathcal{O}\left(U,M^{-1}\left(U\right)\right) \det\left(M\left(U\right)\right) e^{-S_{G}(U)\psi}$$



- Gaussian integration over anticommuting fermion fields ψ resulted in det(M(U)) and M⁻¹(U) factors.
- Gauge action composed of U fields. Approximates continuum:

$$S_{G} = \frac{1}{4} \int d^{4}x F^{a}_{\mu\nu}F^{a}_{\mu\nu} + O(a^{2})$$

Monte Carlo Methods

- On a finite lattice need to compute integral over large, but finite, number U-fields. Can be done numerically, though not by direct integration.
- Stochastic Monte Carlo method: generate series of configurations $U_{\mu}^{(i)}(x)$ distributed with probability $exp(-S_G(U))det(M(U))/Z$ and compute expectation values as averages over those configurations:

$$\left\langle \mathcal{O}\left(U,\psi,\overline{\psi}\right) \right\rangle = \frac{1}{N} \sum_{i=1}^{N} \mathcal{O}\left(U^{(i)}, M^{-1}\left(U^{(i)}\right)\right)$$

- Statistical errors go like 1/sqrt(N), for N configurations
- The det(M(U)) factor is a big computational cost since the matrix M is order VxV, though it is sparse.
- Quenched approximation: set det(M) = 1, *e.g.*, neglect internal quark loops.



Statistical and Systematic Uncertainties

- Procedure is in principle exact after systematic errors are controlled
- Statistical uncertainties:
 - Statistical errors go like 1/sqrt(N), for N configurations.
 - Including determinant, cost of producing each configuration O(100) times more expensive.
- Systematic uncertainties:
 - *Finite volume:* lattice box must hold a hadron state, typically $L \sim 2fm$ or more. Need $M_{\pi}L \sim 4$ (several pion Compton wavelengths)
 - *Chiral extrapolations:* calculations with small quark masses expensive extrapolate observables to physical quark mass region (*delicate!*).
 - *Discretization effects:* inherent O(a) or $O(a^2)$ lattice uncertainty. Must extrapolate to *continuum limit* ($a \rightarrow 0$) to recover physical quantities.

Confinement and Model Predictions - Static Quark Potentials



- Many models propose different mechanisms for confinement
- Static quark potential (potential between infinitely massive quarks forming mesons) in different representations can discriminate among the models
- Perturbative Casimir scaling hypothesis well describes non-perturbative lattice data:

 $V(R) = d_D V_F(R), \quad d_D = C_D / C_F$

for Casimir C_D in representation D=3,6,8,...

- Claimed to rule out models like Bag and Instanton – scaling different
- Flux tube counting also inconsistent

Bali, 99

Static Baryon Potential



- For SU(N) baryons, form N quarks in a gauge invariant quark state
- What is the area law behavior? Test two ansatze: Y-law and Δ -law
 - Y-law: energy comes from flux tubes of shortest length join quarks. Area looks 3-bladed (N=3) joining at center. Looks like a Y. Length of flux tubes L_Y
 - Δ -law: energy composed of surfaces among all quark line pairs. Looks a delta. Length L_{Δ}

$$V_{Nq} = \frac{N}{2} V_0 - \frac{1}{N-1} \sum_{j < k} V_{jk} + \sigma \left\{ \frac{L_Y}{\frac{1}{N-1}} L_{\Delta} \right\}$$

- Data consistent with Δ -law sum of 2 body quark potentials. Similar result for N=4
- Simonov argues *impossible*: field strength depleted near Y junction lowers potential
- Should check using adjoint sources!

Hadron Spectrum – Benchmark of Lattice QCD



- Spectrum of lowest lying states is the benchmark of LQCD
- Most extensively pursued lattice calculation
- Quenched spectrum agrees with experiment to 10%
- Inconsistency in meson sector apparently resolved in full QCD
- Systematic uncertainties:
 - *– Finite volume:* $V \rightarrow \infty$
 - Continuum extrapolation: $a \rightarrow 0$
 - Chiral extrapolations: $M_{PS} \rightarrow M_{\pi}$
- Quenching effects to some degree controllable/understandable???

UKQCD, 99

Problem of Chiral Symmetry

• Naive lattice discretization of free Dirac operator

$$\sum_{\mu} \gamma_{\mu} \partial_{\mu} \rightarrow \frac{1}{2a} \sum_{\mu} \gamma_{\mu} \left[\delta_{x+a\hat{\mu},y} - \delta_{x-a\hat{\mu},y} \right]$$

• In momentum space

$$G^{-1}(p) = \frac{i}{a} \sum_{\mu} \gamma_{\mu} \sin\left(ap_{\mu}\right) = i \sum_{\mu} \gamma_{\mu} p_{\mu} \left[1 + O\left(\left(ap_{\mu}\right)^{2}\right)\right]$$

- Has additional zeros at all corners of the Brillouin zone, *e.g.* $a*p_{\mu}=0,...,\pi$ – infamous doubling problem. Can *lift doublers* – add a Laplacian term that breaks chiral symmetry.
- Nielson-Ninomia no-go theorem cannot avoid both doubling and chiral symmetry breaking with a local, hermitian action analytic in gauge fields. *Major theoretical problem*.
- Problem has been solved with recent advent of *chiral fermion actions* (e.g., Domain-Wall fermions). Crucial for matrix elements. Needed for spectroscopy??

Scaling – Continuum Limit



- Renormalization theory tells us that breaking a symmetry leads to induced quantum terms in an action.
- Wilson fermion action has O(a) scaling from breaking chiral symmetry.
- Can rigorously add a dimension 5 operator (hyper-fine term) to improve scaling from O(a) to O(a²)
- Scaling violations dramatically reduced mostly from improving chiral symmetry.

Quenched Pathologies in Hadron Spectrum

- How well is QCD described by an effective chiral theory of interacting particles (e.g., pions in chiral dynamics)?
- Suppressing fermion determinant leads to well known pathologies as studied in chiral pertubation theory (Bernard, Golterman, Sharpe)
- Missing vacuum contributions to disconnected piece of singlet correlator $\langle \overline{\psi}(x)\gamma_5\psi(x)\overline{\psi}(y)\gamma_5\psi(y)\rangle = \langle \operatorname{Tr}[\gamma_5 G(x,y)\gamma_5 G(y,x)]_{c,s} \rangle$ $-N_f \langle \operatorname{Tr}[\gamma_5 G(x,x)]_{c,s} \operatorname{Tr}[\gamma_5 G(y,y)]_{c,s} \rangle$
- Manifested in η' propagator missing vacuum contributions. E.g.,

 $\square\square + \square\square + \bullet \bullet \bullet$

$$\langle \operatorname{Tr} \gamma_5 G(x,x) \operatorname{Tr} \gamma_5 G(0,0) \rangle \rightarrow f_P \frac{1}{p^2 + m_\pi^2} m_0^2 \frac{1}{p^2 + m_\pi^2} f_P + \dots$$

• New divergences arise. One idea is to incorporate knowledge of divergences in calculations and then extract useful information

Anomalous Chiral Behavior



- Compute η' mass insertion from behavior in $Q\chi PT$
- Hairpin correlator fit holding m_{π} fixed well described by simple mass insertion

 $\langle \operatorname{Tr} \gamma_5 G(x,x) \operatorname{Tr} \gamma_5 G(0,0) \rangle$

$$\rightarrow f_P \frac{1}{p^2 + m_\pi^2} m_0^2 \frac{1}{p^2 + m_\pi^2} f_P$$

$$f_P^{quenched} = \left(\frac{1}{m_\pi^2}\right)^{\delta} \tilde{f}_P$$

- f_P shows diverging term. Overall δ=0.059(15) $m_0 = 680(30)$ MeV, χ PT gives 850MeV.
 - $III_0 = 080(30)$ MeV, $\chi I I gives Still O(a)$ errors

"Decay" in Quenched Approximation

Quark lines

















- Dramatic behavior in Isotriplet scalar particle $a_0 \eta \pi$ intermediate state
- Construct a₀ propagator from chiral lagrangian including couplings between η-π states and rescattering states which can be resummed
- Lightest a₀ propagator fairly well described by 1-loop resummed bubble term with η mass insertion fixed
- Find mass $a_0 = 1.34(9)$ GeV. Still O(a) errors



Decay in Full QCD

- MILC: evidence of (S-wave) decay in $a_0 \rightarrow \eta \pi$ in a N_f =2+1 calculation
- 3-flavor mass follows quenched then drops below. *Decay* not computed
- What is a decay? *Subtle*
 - Most straightforward way is for mass exactly at threshold
 - Compute all 2-point correlators C_{H-H} , for $H=a_0$, $\eta\pi$
 - For $m(a_0) = m(\eta \pi)$, can compute transition amplitude $\langle a_0 | \eta \pi \rangle$ for large time separations from ratios of $C_{H-H'}$



Quenched Spectroscopy

Quenched XPT predictions for pseudoscalar, vector mesons, and decuplet baryons $m_{PS,12}^{2} = A(m_{1} + m_{2}) \left\{ 1 - \delta \left[\ln \left(2Am_{1} / \Lambda_{X}^{2} \right) \right] + m_{2} / (m_{2} - m_{1}) \ln \left(m_{2} / m_{1} \right) \right\} + B(m_{1} + m_{2})^{2} + O(m^{3})$ $m_{H}(m_{PS}) = m_{0} + C_{1/2}m_{PS} + C_{1}m_{PS}^{2} + C_{3/2}m_{PS}^{3}, \qquad C_{1/2} \propto \delta$



- Large Wilson fermion calculation by CPPACS (Tsukuba) (99)
- Some evidence for quenching effects: more clearly seen in pseudoscalar channel
- Masses computed at 4 lattice spacings. Lattice sizes ranging up to 64³x112 for a 3.2fm box.

Mass Predictions from Quenched Spectroscopy

- After chiral extrapolation, another extrapolation to continuum limit
- Fix scale at each coupling from experimental π , ρ , and K (or ϕ) masses
- Quenched spectrum systematically deviates from experiment. Typically 5% too small.
- Calculation ~ 50 Gigaflop-year



Meson Mass Predictions from Dynamical Fermions



- CPPACS: Nf=2 dynamical calculation. 4 quark masses at 3 couplings. Box sizes about 2.5fm
- Consistent results between original quenched calculations. Systematic deviation from experiment.
- Nf=2 calculation agrees within 1% of experiment sea quark effects important.
- Increased hyperfine splitting consistent with suppressed spinspin coupling in quenched from faster running of coupling.
- Calculation ~ 1 Teraflop-year

CPPACS, 2000

Baryon Mass Predictions from Dynamical Fermions



- CPPACS: Nf=2 dynamical sea quark effects not as apparent
- N and Δ mass high, but other masses consistent.
- Box sizes about 2.5fm. Worry finite-volume effects large.
- Octet and decuplet chiral extrapolations have many parameters.

Improved Chiral Extrapolations

Self-energy contributions





- Adelaide group extensively studying higher order chiral PT effects on hadronic quantities
- Basic upshot naïve chiral extrapolations just too naïve!!
- Incorporate leading non-analytic behavior from heavy baryon $\chi PT: B \rightarrow B' \pi \rightarrow B$ for B=N, Δ

$$M_{B} = \alpha_{B} + \beta_{B} + \Sigma_{B} (m_{\pi}, \Lambda)$$

• Leading order:

 $M_{B} = M_{B}^{0} + c_{1}^{B}m_{\pi} + c_{2}^{B}m_{\pi}^{2} + c_{3}^{B}m_{\pi}^{3} + c_{4}^{B}m_{\pi}^{4} + c_{4L}^{B}m_{\pi}^{4}\log(m_{\pi}) + \dots$

• Bad approx at moderate m_{π} . Use form-factor

Comparing Quenched and Full QCD Chiral Extrapolations



• Compare MILC quenched and N_f=2+1 staggered spectrum:

 $M_{B} = \alpha_{B} + \beta_{B} + \Sigma_{B} \left(m_{\pi}, \Lambda \right)$

Note: $\Sigma_{\rm B}$ not 0 in chiral limit. Fit parameters for quenched and full. Here α is not chiral limit mass. In chiral limit, full N mass still near 1GeV

	α_{N} (GeV)	$\beta_{\rm N}$	α_{Δ} (GeV)	eta_Δ
Full	1.24(2)	0.92(5)	1.43(3)	0.75(8)
Quenched	1.23(2)	0.85(6)	1.45(4)	0.71(11)

• Supports claim dominant effects of quenching attributed to first order meson loop corrections

(Quenched) Electromagnetic Splittings

- Determination of N-P splitting long standing problem.
- Virtual photon effects mass splittings within isomultiplets comparable to up-down quark mass difference.
- Accurate computations of isospin splitting must include EM effects.
- A first generation calculation (quenched) including U(1) gauge fields.
 - Assign electric charges to quarks
 - Use χ PT in both SU(3) and U(1). Scale electric charge large(r)
 - Estimate final volume and meson cloud effects from χPT
- Results surprisingly reasonable. Finite volume corrections large.

Level splitting	Raw lattice	Finite volume	Meson cloud	Total lattice	Physical splitting
N - P	2.83(56)	-0.75	-0.53	1.55(56)	1.293
Σ^0 - Σ^+	3.43(39)	-0.80	-0.16	2.47(39)	3.18(10)
Σ^{-} - Σ^{0}	4.04(36)	+0.86	-0.27	4.63(36)	4.88(10)
$\Sigma^+ + \Sigma^ 2\Sigma^0$	0.61(19)	+1.66	-0.11	2.16(19)	1.70(15)
Ξ^- – Ξ^0	4.72(24)	+0.86	+0.10	5.68(24)	6.4(6)

MeV

Excited Baryons



Lattice Representations

Continuum spin reducible under three irreducible ray representations of the cubic group

- Rep. Continuum spin reps
- **G**₁ 1/2, 7/2, ...
- H 3/2, 5/2, 7/2, ...
- G_2 5/2, 7/2, ...

- Describing N* spectrum gives vital clues about dynamics of QCD and hadronic physics
 - Role of excited glue
 - Quark-diquark picture
 - Quark interactions
- Open mysteries:
 - Nature of *Roper*?
 - $\Lambda(1405)$ mass?
 - Missing resonances?
- History of lattice studies of excited baryons quite brief. Recent work using improved gauge and fermion actions
- As spin increases, baryon spin rep. occurs in multiple lattice representations.

Parity

Negative parity interpolation operators:

• Measure three local interpolating operators for the proton:

 $\begin{cases} N_1^{1/2+} = \varepsilon_{ijk} \left(u_i^T C \gamma_5 d_j \right) u_k \\ N_2^{1/2+} = \varepsilon_{ijk} \left(u_i^T C d_j \right) \gamma_5 u_k \\ N_3^{1/2+} = \varepsilon_{ijk} \left(u_i^T C \gamma_4 \gamma_5 d_j \right) u_k \end{cases}$

- $N_1(N_2)$ connects upper (lower) spinor components in diquark piece N_2 vanishes in NR limit
- $\Delta^{3/2,1/2} = \varepsilon_{ijk}(u_i^T C \gamma_{\mu} u_j) u_k$ Spin projection
- Quark model suggests a better interpolation operator for $N^{1/2}$ -have a covariant derivative in the valence quark

• On lattice (anti)-periodic in time, have both positive and negative parity states. Fit proton correlation function at each end of lattice to obtain the respective masses.

How Crucial is Chiral Symmetry?



N₁ interpolating field

- If we had unbroken chiral summetry, $N^{1/2+}$ and $N^{1/2-}$ would be *degenerate*
- Chiral symmetry crucial: *Do we require "lattice" chiral symmetry?*
- Apparently no compare Wilson with O(a) χ breaking terms; Non-Perturbatively imp. Clover with O(a²) χ breaking terms; Domain Wall (almost) no χ breaking terms, but O(a²) discretization errors.
- Some mixing of results with discretization errors here similar mass splitting of 400 MeV for each action.
- In large N_c, mass splitting comes from l=1 to l=0 (S-P splitting) – reproduced by O(a) Wilson action.

Roper



N₂ interpolating field

- Compare N^{1/2+} with first (radially) excited state (Roper)
- Large mass splitting. Excited state higher than $N^{1/2-}$. Other channels similar.
- Possible (but unlikely) strong m_{π} dependence. More likely bad overlap of N_2 with excited state. Excited state masses notoriously difficult. *Need anisotropic lattices* – *greatly improves signal*
- *Concern*: small physical volume of 1.6fm for DWF and 2.0fm for others

 can squeeze up excited state since larger in size

Other Excited States

- In recent works, generically see too large splitting among pos. parity excited state and too small in neg. parity. Quite possibly too small volume (2fm) by quark model *l*=1 twice as large as *l*=0.
- Splitting not as large in Λ channel



Adelaide, 2001

Delta

• I=3/2, J=3/2 Delta (2.1fm). Splitting probably also high – new chiral extrapolation??!!



Exotics and Hybrids

- Exotics: big focus of JLab (and lattice group!)
 - Spin exotic mesons are J^{PC} states not accessible in quark model
 - Characterized by excited glue or perhaps four-quark states
- Several lattice calculations of heavy hybrid and exotic meson states
- Lattice calculations of light exotic meson states still first generation (*noisy*)!
 - Lightest 1⁻⁺ exotic roughly 2GeV
 - Considerably higher than experimental candidates 1.4, 1.6 GeV
- No baryon exotics!
- Baryon hybrids? Model questions.
 - Gluonic versions of baryons one of many states induced
 - Study of baryon potentials might provide good insight

Lattice Hadron Physics Collaboration (LHPC)

- JLab/MIT and 8 other universities. Over 20 senior physicists
- Four identified physics goals:
 - Nucleon structure
 - Spectroscopy N^* , Hybrids, glueballs
 - Hadron-Hadron interaction
 - Fundamental aspects of QCD (e.g., mechanisms of confinement)
- Computing resources:
 - Small clusters of workstations and a QCDSP
 - Currently awaiting purchase of 200 node box dual Pentium 4 cluster expect to sustain > 200 Gigaflop/sec

SciDAC

Scientific Discovery through Advanced Computing

- DOE program supporting national effort by US lattice community to develop software and hardware infrastructure for next generation computers
- Physics efforts centered around JLab (hadron physics), FNAL (weak matrix elements), BNL (high temperature)
- Current funding only supports software developers around \$2M to Jlab over 3 years
- Currently awaiting purchase of 200 node box dual Pentium 4 cluster expect to sustain > 200 Gigaflop/sec
- Goal is a coordinated three 10 Terflop/sec computing facilities for national community by 2005.
- US lattice resources greatly lagging other countries!

Conclusions

- First generation lattice calculations of excited baryon spectroscopy
- Precise calculations commensurate with experimental program require:
 - Measure of large number of correlators
 - Sufficiently light pions to resolve pion cloud
 - Large physical volumes
 - Continuum extrapolation
 - Full QCD
- State-of-the-art calculations require ~ 100 Gigaflop-year in Quenched QCD and ~ 1 Teraflop-year in full QCD.
 - Required resources not available to US lattice community
 - Focus of interest on weak-matrix element calculations