### Bound states - from QED to QCD

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### Toward $O(\alpha_{s}^{0})$ hadron states

### Light Cone 2014

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### The unexpected simplicities of hadrons

The spectra and interactions of hadrons reveal some surprising features.

These suggest that perturbation theory may be applicable even at low  $Q^2$ .

The strong interaction and confinement effects would then be limited to an  $O(\alpha_s^0)$  sector of QCD.

What if: Can such a scenario be ruled out?



Lecture notes: arXiv:1402.5005

α<sub>s</sub> may freeze E.g.: A. C. Aguilar, D. Binosi, J. Papavassiliou, J. Rodriguez-Quintero, PRD 80 (2009) 085018

### "The J/ $\psi$ is the Hydrogen atom of QCD"



$$V(r) = \frac{\alpha}{\overline{r}} - \frac{\alpha}{r}$$

 $V(r) \neq (r) \equiv \frac{4 \alpha_s}{3 r_r} = \frac{4 \alpha_s}{3 r_r}$ 



## Dichotomy of Proton structure



# **Parton Picture**

DIS and QFT require an infinite # of constituents: Sea quarks and gluons

# **Valence Picture**

The hadron spectrum shows valence quark degrees of freedom only.

Relativistic bound states have multiparton Fock states and a valence quark spectrum

### Okuba-Zweig-Iizuka Rule

 $\phi(1020) \rightarrow KK$ "Connected diagram"



ΔE Br 26 MeV 83.1 %

String breaking caused by confining potential

$$\phi(1020) \not\rightarrow \pi\pi\pi$$
  
"Disconnected diagram"  $\phi \longrightarrow \overline{s} \longrightarrow \overline{u} \longrightarrow \overline{\pi} 610 \text{ MeV } 15.3 \%$ 

Perturbative gluon contributions are suppressed, even at low  $Q^2$ 

## Bloom-Gilman Duality



Hadron wave functions describe ultra-relativistic (plane wave) partons.

We must consider bound states in an arbitrary frame.

### Positronium from QED

The Coulomb potential A<sup>0</sup> may be expressed in terms of the electron fields,

$$-\boldsymbol{\nabla}^{2}\hat{A}^{0}(t,\boldsymbol{x}) = e\psi^{\dagger}(t,\boldsymbol{x})\psi(t,\boldsymbol{x}) \implies \hat{A}^{0}(t,\boldsymbol{x}) = \int d^{3}\boldsymbol{y} \,\frac{e}{4\pi|\boldsymbol{x}-\boldsymbol{y}|}\psi^{\dagger}\psi(t,\boldsymbol{y})$$

In the rest frame we may neglect A (at lowest order in  $\alpha$ ). The Hamiltonian can then be expressed in terms of the fermion fields only:

$$H_{QED}(\boldsymbol{A}=0) = \int d^3 \boldsymbol{x} \bar{\psi}(-i\boldsymbol{\nabla}\cdot\boldsymbol{\gamma} + \frac{1}{2}e\gamma^0 \hat{A}^0 + m)\psi$$

An  $e^+e^-$  state at rest can be expressed as

$$\left|e^{+}e^{-},t\right\rangle = \int d^{3}\boldsymbol{x}_{1} d^{3}\boldsymbol{x}_{2} \,\bar{\psi}_{\alpha}(t,\boldsymbol{x}_{1})\Phi_{\alpha\beta}(\boldsymbol{x}_{1}-\boldsymbol{x}_{2})\psi_{\beta}(t,\boldsymbol{x}_{2})\left|0
ight
angle$$

where  $\Phi_{\alpha\beta}(\mathbf{x}_1 - \mathbf{x}_2)$  is a 4 x 4 *c*-numbered, equal-time wave function.

### Positronium from QED (cont.)

Denoting the binding energy by  $E_b$  the positronium state satisfies

$$H_{QED}\left|e^{+}e^{-},t\right\rangle = \left(2m + E_{b}\right)\left|e^{+}e^{-},t\right\rangle$$

Using  $\{\psi_{\alpha}^{\dagger}(t, \boldsymbol{x}), \psi_{\beta}(t, \boldsymbol{y})\} = \delta_{\alpha\beta}\delta^{3}(\boldsymbol{x} - \boldsymbol{y})$  this gives the BSE for  $\Phi(\boldsymbol{x}_{1} - \boldsymbol{x}_{2})$ 

$$i\boldsymbol{\nabla} \cdot \left\{\gamma^{0}\boldsymbol{\gamma}, \Phi(\boldsymbol{x})\right\} + m\left[\gamma^{0}, \Phi(\boldsymbol{x})\right] = \begin{bmatrix}2m + E_{b} - V(\boldsymbol{x})\right]\Phi(\boldsymbol{x})$$
  
Writing the wave function in 2 x 2 block form: 
$$\Phi = \begin{bmatrix}\Phi_{11} & \Phi_{12}\\ \Phi_{21} & \Phi_{22}\end{bmatrix}$$

and taking the non-relativistic limit as in the Dirac equation,

$$m = \mathcal{O}(\alpha^0)$$
  $\nabla = \mathcal{O}(\alpha)$   $E_b, V = \mathcal{O}(\alpha^2)$ 

we find the Schrödinger equation: Paul Hoyer LC2014

$$\left(-\frac{\boldsymbol{\nabla}^2}{m} + V\right)\Phi_{12} = E_b\Phi_{12}$$

### Positronium at relativistic CM momentum

For Positronium in motion wave functions defined at equal time *t* (IF) differ from the frame independent, equal LF time  $x^+ = t + z$  wave functions.

The IF wf's are (classically) expected to Lorentz contract.

In QFT their boost dependence is non-trivial (dynamical).

M. Järvinen, hep-ph/0411208

Coulomb (A<sup>0</sup>) exchange dominates the kernel only in the rest frame, P = 0. When  $P \neq 0$  also transverse photon exchange contributes:



Positronium in motion is thus described by two ET Fock states:

$$|Pos., \mathbf{P} = 0\rangle = \Phi_0 |e^+e^-\rangle + \Phi_\gamma |e^+e^-\gamma\rangle$$

Positronium in the  $P \rightarrow \infty$  frame might serve as a model for LF spin effects.

### Hamiltonian formulation of the Dirac state

Similarly to Positronium,  $|M,t\rangle = \int d^3 x \,\psi^{\dagger}(t,x) \Psi(x) |0\rangle$ define the Dirac state as

c-numbered spinor

The QED Hamiltonian for a fixed external field  $A^{0}_{Z}$  is

$$H(t) = \int d^{3}\boldsymbol{x}\psi^{\dagger}(t,\boldsymbol{x}) \left[ -i\boldsymbol{\nabla}\cdot\gamma^{0}\boldsymbol{\gamma} + eA_{Z}^{0}(\boldsymbol{x}) + m\gamma^{0} \right]\psi(t,\boldsymbol{x})$$
$$H|M,t\rangle = \int d^{3}\boldsymbol{x} \left[ H,\psi^{\dagger}(t,\boldsymbol{x}) \right]\Psi(\boldsymbol{x})|0\rangle = M|M,t\rangle$$
$$\Rightarrow (-i\boldsymbol{\nabla}\cdot\gamma^{0}\boldsymbol{\gamma} + eA_{Z}^{0} + m\gamma^{0})\Psi(\boldsymbol{x}) = M\Psi(\boldsymbol{x}) \quad \text{Dirac eq. for }\Psi(\boldsymbol{x})$$

This required:  $H|0\rangle = 0$  No pair production in vacuum!

Nevertheless: The Dirac state contains  $e^+e^-$  pairs (*cf*. Klein paradox)

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### Dirac equation from Feynman diagrams

Crossed, instantaneous Coulomb exchange corresponds to intermediate states with particle pairs.

For states with M > 0 the *i* $\varepsilon$  prescription at the  $p^0 < 0$  pole of the electron propagator is irrelevant: We may use retarded boundary conditions  $|0\rangle_R$ 

$$S_R(p^0, \mathbf{p}) = i \frac{\mathbf{p} + m_e}{(p^0 - E_p + i\varepsilon)(p^0 + E_p + i\varepsilon)}$$

Also  $p^0 < 0$  components move forward in time

- ⇒ Only single electron intermediate states:  $H|0\rangle_R = 0$ 
  - $\Psi^{\dagger}\Psi(\mathbf{x})$  is an *inclusive* particle density.

The infinite number of pairs is described by a single electron wave function.

### Dirac vs. Schrödinger wf's in D=1+1

Representing the Dirac matrices as 2x2 Pauli matrices, the Dirac eq. is:

$$\begin{bmatrix} -i\sigma_1\partial_x + \frac{1}{2}e^2|x| + m\sigma_3 \end{bmatrix} \begin{bmatrix} \varphi(x) \\ \chi(x) \end{bmatrix} = M \begin{bmatrix} \varphi(x) \\ \chi(x) \end{bmatrix}$$

The wf's  $\phi(x)$ ,  $\chi(x)$  are given by  $_1F_1$ -functions. For large *m*, they approach the Schrödinger wf's in the region of *x* where V(*x*) << *m*.

Pair contributions are manifest for  $V(x) = \frac{1}{2}e^2|x| \ge 2m$ 



For polynomial potentials the Dirac wave function is not normalizable, and the mass spectrum *M* is continuous.

Its normalizability for the  $V(r) = -\alpha/r$  potential in D=3+1 is an exception.

PHYSICAL REVIEW

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### The Dirac Electron in Simple Fields\*

By Milton S. Plesset

Sloane Physics Laboratory, Yale University

(Received June 6, 1932)

The relativity wave equations for the Dirac electron are transformed in a simple manner into a symmetric canonical form. This canonical form makes readily possible the investigation of the characteristics of the solutions of these relativity equations for simple potential fields. If the potential is a polynomial of any degree in x, a continuous energy spectrum characterizes the solutions. If the potential is a polynomial of any degree in 1/x, the solutions possess a continuous energy spectrum when the energy is numerically greater than the rest-energy of the electron: values of the energy numerically less than the rest-energy are barred. When the potential is a polynomial of any degree in r, all values of the energy are allowed. For potentials which are polynomials in 1/r of degree higher than the first, the energy spectrum is again continuous. The quantization arising for the Coulomb potential is an exceptional case.

See also: E. C. Titchmarsh, Proc. London Math. Soc. (3) 11 (1961) 159 and 169; Quart. J. Math. Oxford (2), 12 (1961), 227.

### Constant particle density for $x \to \infty$

 $\Psi(x \to \infty) \sim \exp(\pm ix^2/4) \implies \Psi^{\dagger}\Psi(x \to \infty) \sim const.$ 

We expect a constant particle density for the (virtual) pairs created by a linear potential.

The above approach allows also to discuss relativistic  $e^+ e^-$  bound states (without an external potential)

I first consider D = 1+1 dimensions, where the Coulomb potential is linear. In contrast to the Dirac states, we can define momentum eigenstates, and they are found to have discrete mass spectra.

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 $\pi$ 

## f $\overline{f}$ bound states in D=1+1

A state with two fermions of energy *E* and momentum  $P^1 = P$ :

$$E, P\rangle = \int dx_1 dx_2 \,\overline{\psi}(t, x_1) \exp\left[\frac{1}{2}iP(x_1 + x_2)\right] \Phi(x_1 - x_2) \psi(t, x_2) |0\rangle$$

With  $\hat{P}^{\mu}|0\rangle = 0$ , these are eigenstates of the translation generators:

 $\hat{P}^{1}|E,P\rangle = P|E,P\rangle \qquad \text{Bound state has momentum } P \text{ (by construction)}$  $\hat{P}^{0}|E,P\rangle = E|E,P\rangle \qquad \text{Gives bound state equation for } \Phi(x):$  $i\partial_{x} \{\sigma_{1},\Phi(x)\} + \left[-\frac{1}{2}P\sigma_{1} + m\sigma_{3},\Phi(x)\right] = \left[E - V(x)\right]\Phi(x)$  $\text{where } V(x) = \frac{1}{2}e^{2}|x| \quad \text{and} \quad \gamma^{0} = \sigma_{3}, \qquad \gamma^{1} = i\sigma_{2}, \qquad \gamma^{0}\gamma^{1} = \sigma_{1}$ 

Here the CM momentum P is a parameter, thus E and  $\Phi$  depend on P. Paul Hoyer LC2014

### **Boost** covariance

It is essential and non-trivial that the state is covariant under boosts:

 $|E + d\xi P, P + d\xi E\rangle = (1 - id\xi \hat{M}^{01})|E, P\rangle$  M<sup>01</sup> is the QED<sub>2</sub> boost generator

This holds only for a linear potential and ensures that  $E(P) = \sqrt{P^2 + M^2}$ 

The correct dependence  $E(\mathbf{P})$  also holds in D = 3+1, for the linear potential.

The *P*-dependence of the wave function  $\Phi$  can be explicitly given:

$$\Phi^{P}(\sigma) = e^{\gamma_{0}\gamma_{1}\zeta/2} \Phi^{(P=0)}(\sigma) e^{-\gamma_{0}\gamma_{1}\zeta/2}$$
  
where  $dx = -\frac{d\sigma}{E - V(x)}$  and  $\tanh \zeta = -\frac{P}{E - V}$ 

# Solutions of the bound state equation (D=1+1, m<sub>1</sub>=m<sub>2</sub>) For a linear V(x) the "invariant length" $\sigma = \Pi^2$

where the "kinetic 2-momentum" is  $\Pi^{\mu}(x) \equiv (P - eA)^{\mu} = (E - V(x), P)$ 

and thus 
$$\Pi^2 \equiv \sigma \equiv (E-V)^2 - P^2 = M^2 - 2EV + V^2$$

Expanding the 2x2 wave function as  $\Phi = \Phi_0 + \sigma_1 \Phi_1 + \sigma_2 \Phi_2 + \sigma_3 \Phi_3$  the bound state equation reduces to two coupled, frame-independent equations:

 $-2i\partial_{\sigma}\Phi_{1}(\sigma) = \Phi_{0}(\sigma) \qquad -2i\partial_{\sigma}\Phi_{0}(\sigma) = \left[1 - \frac{4m^{2}}{\sigma}\right]\Phi_{1}(\sigma)$ 

with the general solution

$$\Phi_1(\sigma) = \sigma \, e^{-i\sigma/2} \left[ a_1 F_1(1 - im^2, 2, i\sigma) + b \, U(1 - im^2, 2, i\sigma) \right]$$

If  $b \neq 0$  the wf  $\Phi$  is singular at  $\sigma = 0$ . Requiring b = 0 the spectrum is discrete. Note: This constraint only applies for  $m \neq 0$ .

### Some numerical results



In the limit of small fermion mass m:

$$M_n^2 = \pi n + \mathcal{O}(m^2)$$
;  $n = 0, 1, 2, ...$ 

Parity =  $(-1)^{n+1}$  No parity doublets for  $m \neq 0$ 

### Infinite Momentum Frame (IMF)

The wf is frame invariant as fn of  $\sigma = (E-V)^2 - P^2$ . Since  $V(x) = \frac{1}{2}|x|$ :

$$x = 2\left(E \pm \sqrt{P^2 + \sigma}\right)$$

For  $P \to \infty$  at fixed  $\sigma$ :  $x \simeq 2(E \pm P) \pm \frac{\sigma}{P} \simeq \begin{cases} 4P + \sigma/P \\ (M^2 - \sigma)/P \end{cases}$ 

Upper solution:  $x \approx 4P \rightarrow \infty$  Pair production moves to infinite *x*. Lower solution:  $x \propto 1/P$  Lorentz-contracted "valence" region.

Perturbatively: "*Z*-diagrams" get infinite energy  $(k \rightarrow \infty)$  in the  $P \rightarrow \infty$  limit.



This seems related to  $H|0\rangle = 0$  in LF quantization.

Explicitly: 
$$\Phi_{P \to \infty}(\sigma) = 2am P \gamma_{12}^{|\Phi_0|^2 + |\Phi_1|^2} i\sigma/2 {}_1F_{\overline{1}}(01 - im^2, 2, i\sigma)_{12}^{|\Phi_0|^2 + |\Phi_1|^2}$$

### Frame (P) dependence of the solutions ( $m_1 \neq m_2$ )

Comparison of ground and excited state wave functions for P=0 (CM frame) and for P = 5e.



Note: In the IMF limit, only the normalizable, low *x* part of the wf remains.

## Quark - Hadron duality

The wave functions of highly excited (large mass *M*) bound states can be normalized by comparison with free parton loop contributions to current propagators. All currents give consistent results.



$$\Rightarrow |\Phi_0(x=0)|^2 = |\Phi_1(x=0)|^2 = \pi/2$$

Consistency with Bloom-Gilman duality: At large M, and for  $V(x) \ll M$ , the wave function reduces to a free *ff* pair with momenta  $k = \pm M/2$  (in the CM).



**B-G** Duality

### **EM Form Factor**

$$F_{AB}^{\mu}(z) = \langle B(P_B); t = +\infty | j^{\mu}(z) | A(P_A); t = -\infty \rangle \quad \mathbf{A},$$

A, B: in & out states

### EM current:

$$j^{\mu}(z) = \bar{\psi}(z)\gamma^{\mu}\psi(z) = e^{i\hat{P}\cdot z}j^{\mu}(0)e^{-i\hat{P}\cdot z}$$

Using anticommutators of fields:

Gauge invariance is valid:  $\partial_{\mu}F^{\mu}_{AB}(z) = 0$  (also in D = 3+1)

The invariant form factor is frame independent (was checked numerically):

$$F_{AB}(Q^{2}) = -4i \frac{1 - \eta_{A} \eta_{B}}{q^{1}} \int_{0}^{\infty} dx \sin\left(\frac{q^{1}x}{2}\right)$$
  
×  $\left[\Phi_{0B}^{*}(x)\Phi_{0A}(x) + \Phi_{1B}^{*}(x)\Phi_{1A}(x)\left(1 + \frac{4m^{2}}{\sigma_{A}\sigma_{B}}\tilde{\Pi}_{A}\cdot\Pi_{B}\right)\right]$ 



Parton Distribution:  $\gamma^* A \rightarrow B$ 





From analogy to D=3+1:  $f(x_{Bj}) = \frac{1}{8\pi m^2} \frac{1}{x_{Bj}} |Q^2 F_{AB}(Q^2)|^2$ 

For large  $M_B$  use asymptotic form of  $\Phi_B$ . Result scale

× An analytic/numerical evaluation shows a sea quark distribution at low  $x_{Bj}$ 

### Result for the parton distribution

The parton distribution of the ground state has a sea component at low m/e:

m/e = 0.1



The red curve is an analytic approximation, valid in the  $x_{Bj} \rightarrow 0$  limit.

Note: Enhancement at low x is not due to  $\Phi_A^{IMF}$ 

### A linear potential in D=3+1 QCD

Dokshitzer: Confinement in QCD is governed by classical fields (2013) Zwanziger: No confinement without Coulomb confinement (2003)

**Gribov:** Coulomb interaction rearranges the vacuum for  $\alpha > \alpha^{crit}$  (1997):

$$\alpha^{crit}(\text{QED}) = \pi \left(1 - \sqrt{\frac{2}{3}}\right) \simeq 0.58 \qquad \gg \frac{1}{137}$$
$$\alpha^{crit}_s(\text{QCD}) = \frac{\pi}{C_F} \left(1 - \sqrt{\frac{2}{3}}\right) \simeq 0.43 \qquad \gtrsim \alpha_s(m_\tau^2) \simeq 0.33$$

The Coulomb field is instantaneous, thus consistent with valence Fock states.

Gauss' law allows to express A<sup>0</sup> in terms of the propagating fields.

### A homogeneous solution of Gauss' law

$$\hat{A}_a^0(t, \boldsymbol{x}) \propto \int d^3 \boldsymbol{y} \, \psi_A^{\dagger}(t, \boldsymbol{y}) T_a^{AB} \psi_B(t, \boldsymbol{y}) \, \boldsymbol{x} \cdot \boldsymbol{y} \qquad \nabla^2 \mathbf{A}^0(t, \boldsymbol{x}) = 0$$

This solution is of  $O(g^0)$ , and has no Feynman diagram equivalent. Only color singlet bound states are invariant under space translations.

Much of the analysis in D=1+1 can be repeated (work in progress).

The above A<sup>0</sup> leads to a linear potential for color singlet mesons:

$$V_{\mathcal{M}}(\boldsymbol{x}_1 - \boldsymbol{x}_2) = \frac{1}{2}\sqrt{C_F} g\Lambda^2 |\boldsymbol{x}_1 - \boldsymbol{x}_2|$$

The corresponding potential for color singlet baryons is:

$$V_{\mathcal{B}}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_3) = \frac{1}{2\sqrt{2}}\sqrt{C_F} g\Lambda^2 \sqrt{(\boldsymbol{x}_1 - \boldsymbol{x}_2)^2 + (\boldsymbol{x}_2 - \boldsymbol{x}_3)^2 + (\boldsymbol{x}_3 - \boldsymbol{x}_1)^2}$$

Note: 
$$V_{\mathcal{B}}(\boldsymbol{x}_1, \boldsymbol{x}_2, \boldsymbol{x}_2) = V_{\mathcal{M}}(\boldsymbol{x}_1 - \boldsymbol{x}_2)$$

### The Meson state

A meson state of CM momentum P is expressed as

$$\mathcal{M}; E, \mathbf{P} \rangle = \int d^3 \mathbf{x}_1 \, d^3 \mathbf{x}_2 \, e^{i \mathbf{P} \cdot (\mathbf{x}_1 + \mathbf{x}_2)/2} \, \bar{\psi}_A(t, \mathbf{x}_1) \Phi_{\mathcal{M}}^{AB}(\mathbf{x}_1 - \mathbf{x}_2) \psi_B(t, \mathbf{x}_2) \left| 0 \right\rangle$$

with the color structure 
$$\Phi_{\mathcal{M}}^{AB}(\boldsymbol{x}_1 - \boldsymbol{x}_2) = \frac{1}{\sqrt{N_C}} \delta^{AB} \Phi_{\mathcal{M}}(\boldsymbol{x}_1 - \boldsymbol{x}_2)$$

 $H|\mathcal{M}; E, \mathbf{P}\rangle = E|\mathcal{M}; E, \mathbf{P}\rangle$  for the O(g) Hamiltonian imposes the BSE:

$$i \mathbf{\nabla} \cdot \{\gamma^0 \boldsymbol{\gamma}, \Phi_{\mathcal{M}}(\boldsymbol{x})\} - \frac{1}{2} \boldsymbol{P} \cdot [\gamma^0 \boldsymbol{\gamma}, \Phi_{\mathcal{M}}(\boldsymbol{x})] + m [\gamma^0, \Phi_{\mathcal{M}}(\boldsymbol{x})]$$
  
=  $[E - V_{\mathcal{M}}(\boldsymbol{x})] \Phi_{\mathcal{M}}(\boldsymbol{x})$ 

In the rest frame (P = 0) the radial and angular variables can be separated.

### String breaking: $A \rightarrow B+C$

The linear potential induces "string breaking" at large separations of the quarks. The Poincaré invariant amplitude is given by the wave functions:

$$\langle B, C | A \rangle = -\frac{(2\pi)^3}{\sqrt{N_C}} \delta^3 (\boldsymbol{P}_A - \boldsymbol{P}_B - \boldsymbol{P}_C)$$

$$\times \int d\boldsymbol{\delta}_1 d\boldsymbol{\delta}_2 \, e^{i\boldsymbol{\delta}_1 \cdot \boldsymbol{P}_C/2 - i\boldsymbol{\delta}_2 \cdot \boldsymbol{P}_B/2} \text{Tr} \left[ \gamma^0 \Phi_B^{\dagger}(\boldsymbol{\delta}_1) \Phi_A(\boldsymbol{\delta}_1 + \boldsymbol{\delta}_2) \Phi_C^{\dagger}(\boldsymbol{\delta}_2) \right]$$

When squared, this gives a hadron loop unitarity correction.

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 $\delta_2$