

# Polarized Targets, Polarizable Materials and Dynamic Nuclear Polarization

Donal Day  
University of Virginia

HUGS 2007  
June 2007  
Newport News, VA

# Motivation

- hadron-hadron interactions
- with polarized beams, nucleon-nucleon interactions, time reversal invariance
- pions to study the spin-dependent part of interactions and discriminate among theories
- perturbative and non-perturbative regimes
- DIS to measure the spin content of the proton carried by quarks
- with real photons to study the electromagnetic properties of the baryon resonances
- nucleon form factors

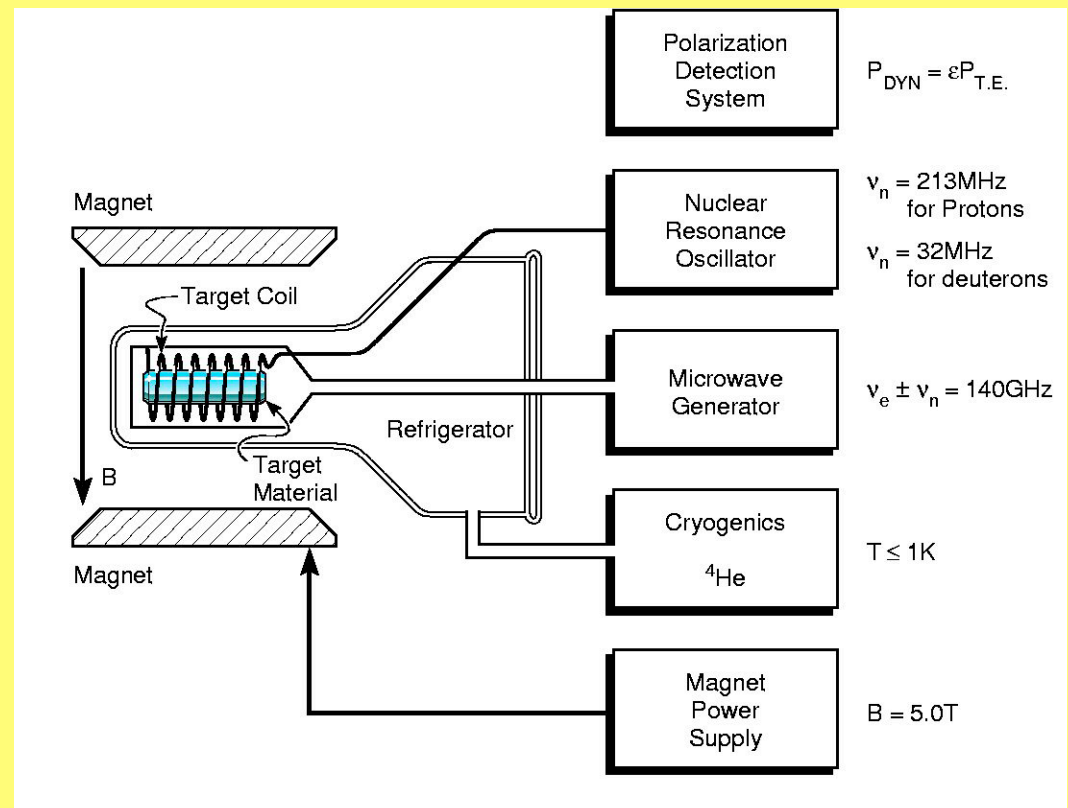
Bonn, Mainz, MIT-Bates, NIKHEF, JLAB,  
CERN

# Techniques

- Dynamic Nuclear Polarization
- Frozen Spin (FROST)
- Brute Force (HD)
- $^3\text{He}$  targets

# Dynamic Nuclear Polarization

- Refrigerator - 0.5K to 1 K
- Magnetic Field - 2 T to 6 T
- Microwaves - 55 GHz - 165 GHz
- NMR system
- DAQ



## Polarization

protons 70% - 100%

deuterons 20% - 50%

and higher

- Crystal - LMN
- Alcohols - propane-diol, ethane diol, butanol
- Ammonia



# Paramagnetism

Substances which possess a permanent magnetic dipole moment

- a) atoms, molecules possessing an odd number of electrons, i.e.  $S \neq 0$  NO gas, free Na atoms, organic free radicals
- b) Free atoms & ions with partly filled inner shell; transition elements; rare earth & actinide elements  $\text{Mn}^{2+}$ ,  $\text{Gd}^{3+}$ ,  $\text{U}^{4+}$
- c) Metals
- d) Few miscellaneous compounds  $\text{O}_2$ , organic biradicals

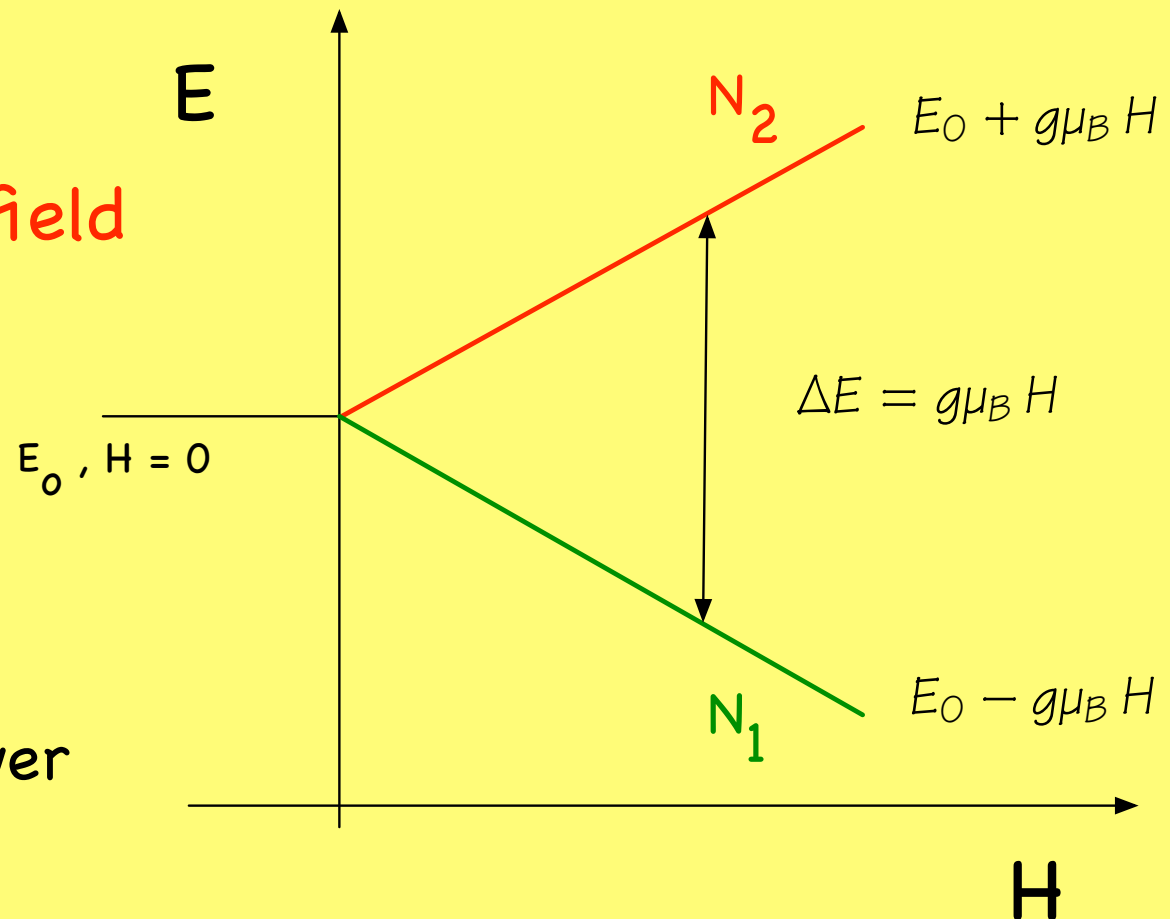
Assembly of paramagnetic atoms (one unpaired electron).  
Dilute enough for no magnetic interaction between atoms

Apply external magnetic field

Zeeman Splitting

$N_1$  atoms  $\parallel$  magnetic field (lower energy state) ( $m_s = -1/2$ )

$N_2$  atoms anti- $\parallel$  magnetic field (higher energy state) ( $m_s = +1/2$ )



$N_1 > N_2 \therefore$  net magnetization  $\parallel$  field

Thermal agitation  $\Rightarrow$  random orientation

Lower temperature  $\Rightarrow$  less agitation

$\Rightarrow N_1 > N_2 \Rightarrow$  larger magnetization

If we let  $H \gg$  and  $T \ll$ , eventually  $N_2 \Rightarrow 0$

At thermal equilibrium (spin 1/2)

$$\frac{N_2}{N_1} = \exp \left[ -\frac{g\mu_B H}{kT} \right]$$

$$\begin{aligned} \text{Polarization} &= \frac{N_1 - N_2}{N_1 + N_2} \\ &= \tanh \left[ \frac{\mu_B H}{kT} \right] \end{aligned}$$

Proton

(g=2)

For  $H = 2.5\text{T}$ ,  $T = 0.5\text{ K}$

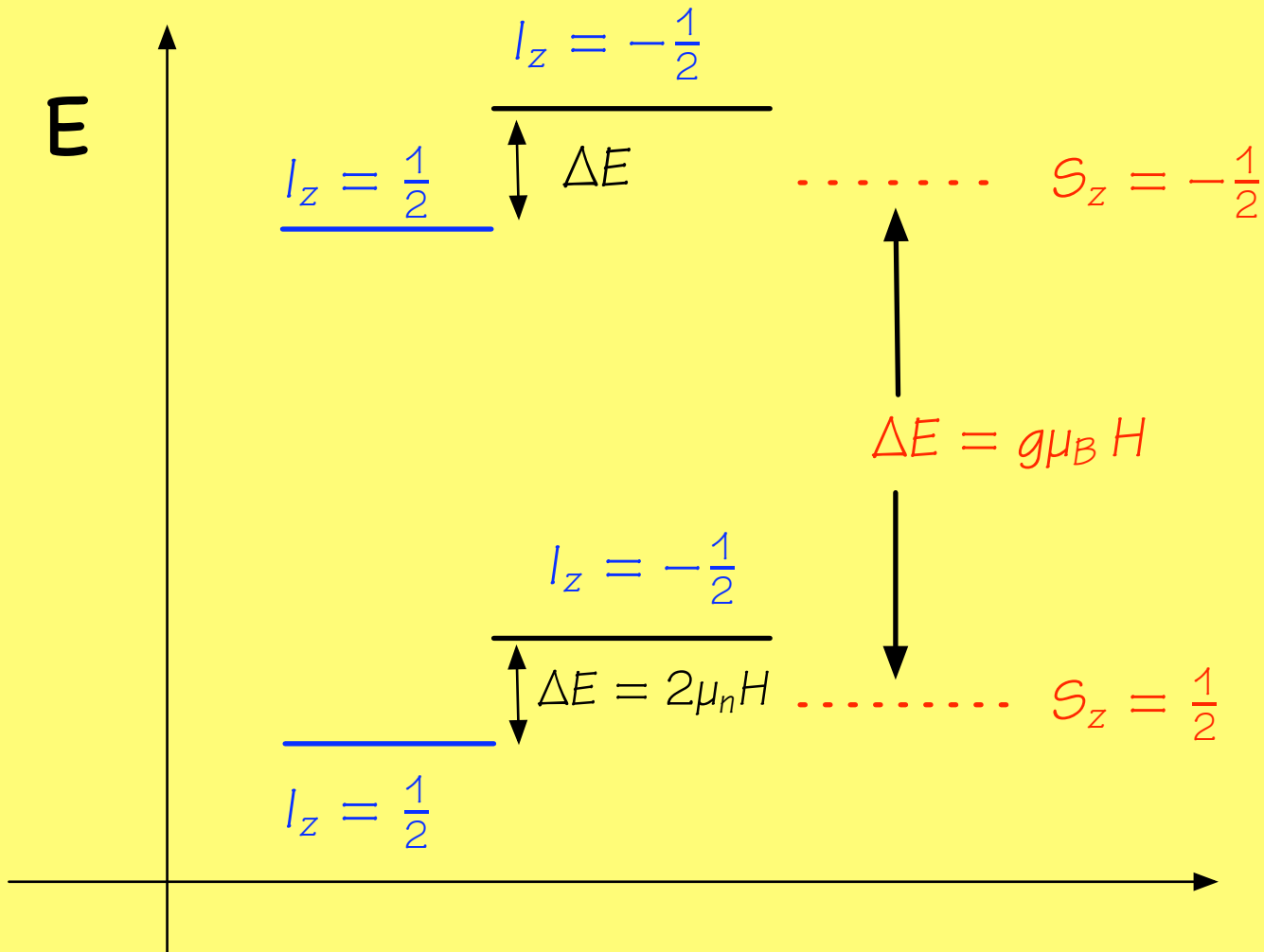
$P = 0.998$

# Nucleons and nuclei have magnetic moments too

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However  $\frac{\text{nuclear moments}}{\text{electron moments}} \simeq 10^{-3}$

Include nuclear moments  $\Rightarrow$  hyperfine splitting in magnetic field



Analogous to the electron

$$\text{Polarization} = \tanh \left[ \frac{\mu_n H}{kT} \right]$$

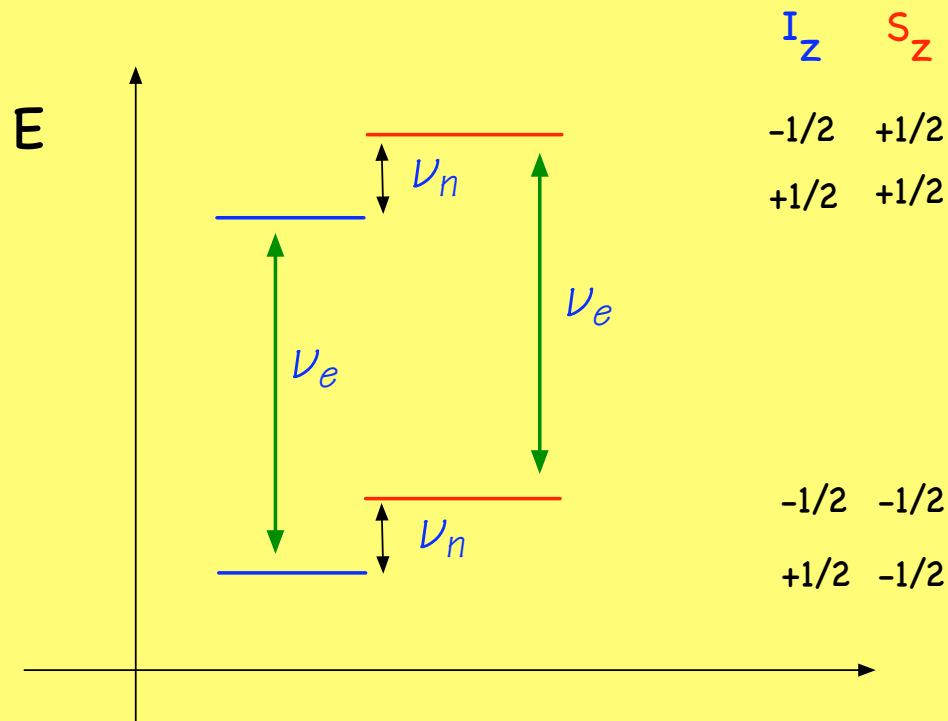
$\mu_n$  = proton magnetic moment

Again for  $H = 2.5\text{T}$ ,  $T = 0.5\text{ K}$ ,  $P = 0.005$ !

So very small polarizations for the static case.



Solution is: RF Induced Transitions



RF fields can be used to induce transitions between spin states

Allowed Transitions  
 $\Delta I_z, \Delta S_z = 1$

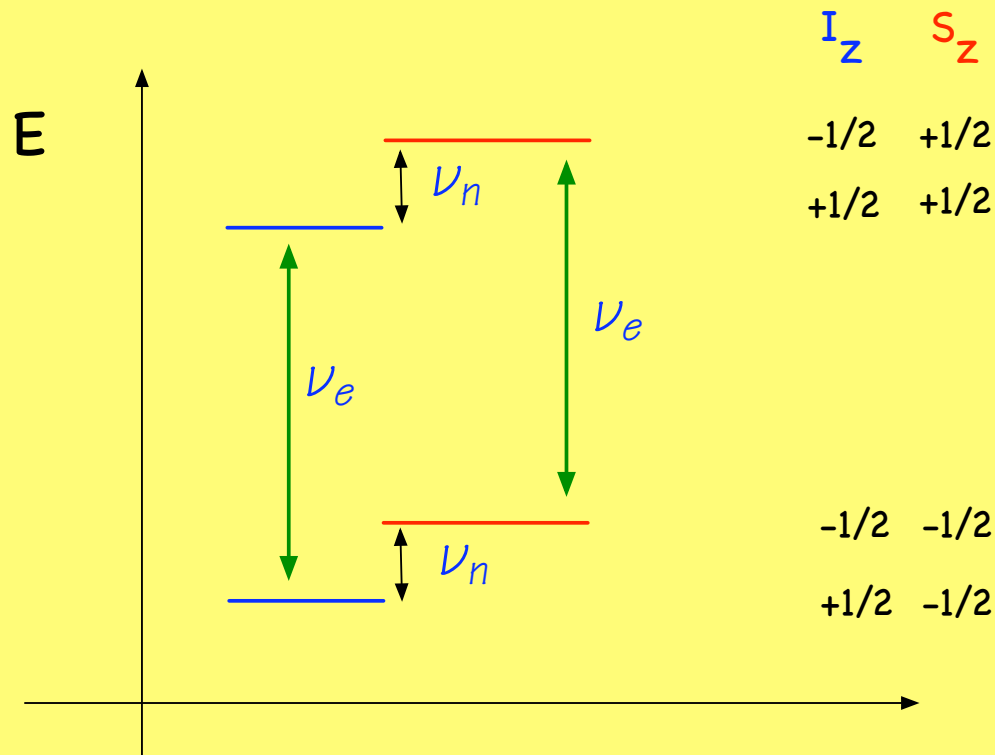
1)  $V_{RF} \sim \nu_e$  get transitions:

--  $\leftrightarrow$  -+

++  $\leftrightarrow$  +-

where  $\Delta E = g\mu_B H = h\nu_e$  and at 2.5 T  $\nu_e = 70$  GHz

Pure electron spin transitions  $\uparrow \rightarrow \downarrow$  is electron paramagnetic resonance EPR (or ESR)



2)  $\nu_{RF} \sim \nu_n$  get transitions:  $+- \leftrightarrow --$   
 $++ \leftrightarrow -+$

Here  $\Delta E = 2 \mu_n H = h\nu_n$

and  $\nu_n = 106.5 \text{ MHz}$  at  $2.5 \text{ T}$

Pure nuclear spin transitions

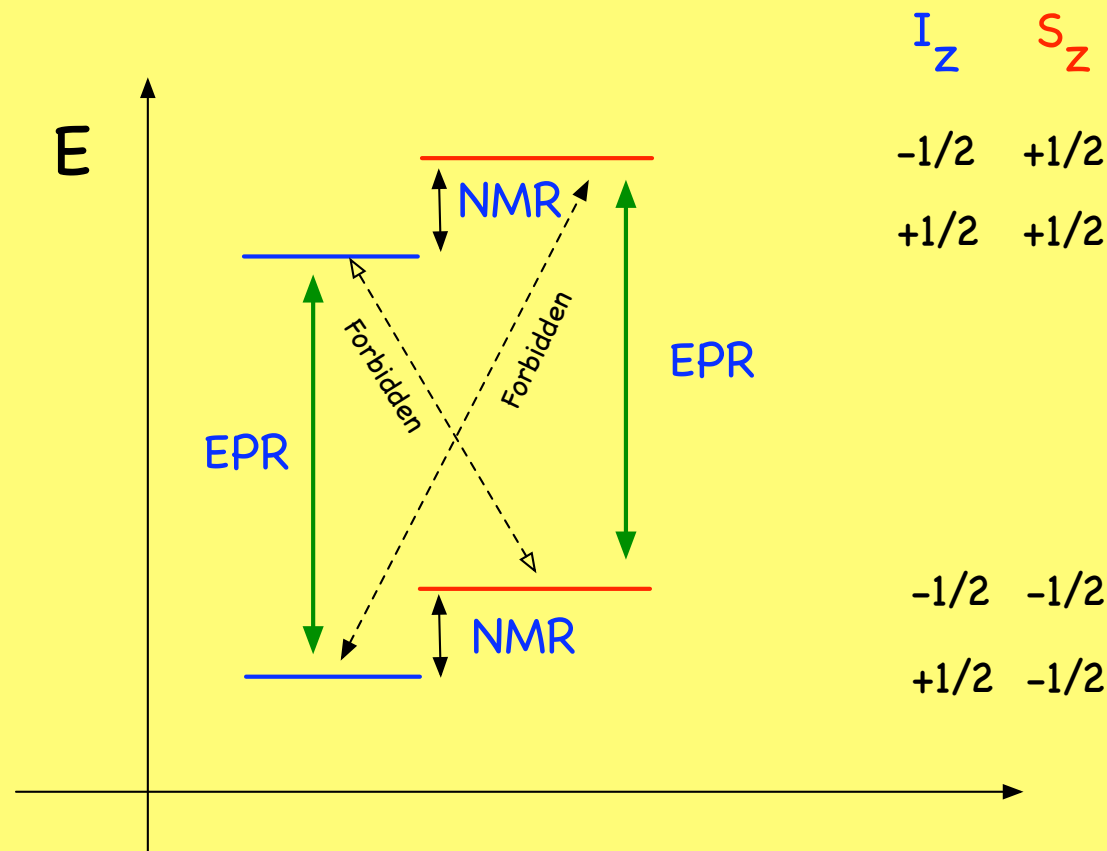
Nuclear Magnetic Resonance  $\uparrow \rightarrow \downarrow$   
 (NMR)

But the population of these states are essentially equal and the transitions do not modify them.

# Forbidden Transitions

Transitions between -- and ++ and +- and -+ are forbidden. Unfortunately these are the transitions we want.

However there exists the dipole-dipole interaction

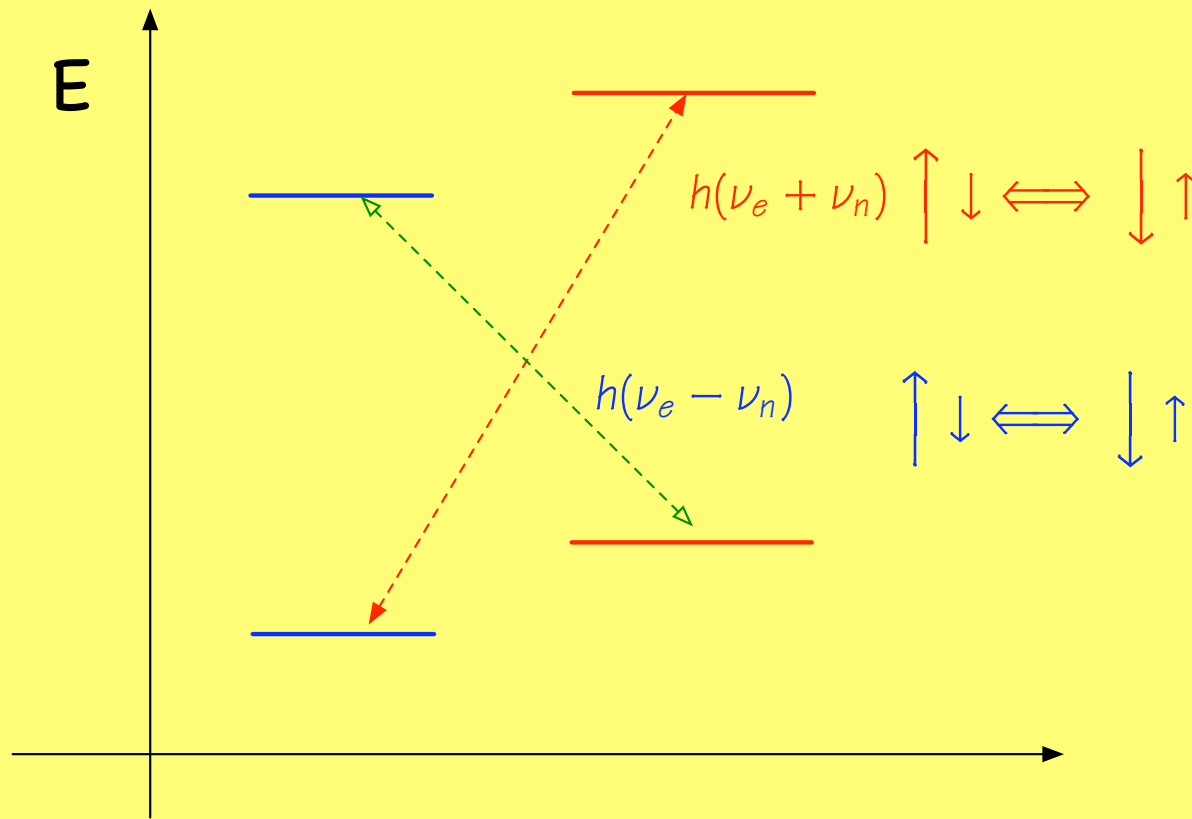


Two distant magnetic moments  $\rightarrow$  dipole-dipole interaction  $\ll$  Zeeman.

This leads to a slight mixing of the nuclear states which then allows transitions of the type  $+- \leftrightarrow -+$  and  $++ \leftrightarrow --$  though with a probability much less ( $10^{-4}$ ) than allowed transitions.



# Forbidden Transitions

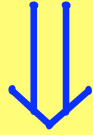


The rf field can drive the forbidden transitions which can be visualized as

flips-flops  $\uparrow \downarrow \rightleftharpoons \downarrow \uparrow$   
 flips-flips  $\uparrow \uparrow \rightleftharpoons \downarrow \downarrow$

$$\Delta(S_z + I_z) = 0, 2$$

An objection could be raised that there are only a small number of electron spins – when they all have been pumped up the polarization is still small



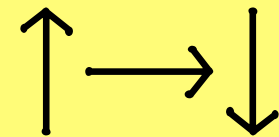
## Spin-Lattice relaxation

A radiationless process by which energy is exchanged between the spin and the lattice (thermal motions of the solid)

Spin polarization  $\rightarrow$  value corresponding to TE at lattice temperature

## Electrons Spins

Strong interaction with the lattice TE achieved very rapidly. The processes amount to electron spin flips of the type



These are fast:  $\sim$  one transition / millisecond

# Nuclear Spins

Generally weakly coupled to lattice – therefore slow  
~ one transition / minute

The difference in the relaxation rates between electron spins and nuclear spins is crucial to the polarization process

# Real Target Material

We have the beginnings of a mechanism for polarizing nuclear (nucleon) spins but ... **we have to consider what targets are useful for particle physics**

1. Interactions with nucleons are investigated in most experiments
2. Need a high density of nucleons
  - a) polarized atomic hydrogen – low density
  - b) molecular hydrogen – high density but no polarization
  - c) Hydrogenous materials, eg  $\text{CH}_2$ ,  $\text{NH}_3$ , containing as high a ratio of H/other as possible
3. We still must polarize

In general the materials of (2c) are NOT paramagnetic but

Paramagnetic centers can be doped into bulk target material (chemical or by radiation doping)

So if we have some target material containing free protons with a “dilute” doping of paramagnetic centers we can consider a mechanism by which the protons can be polarized.

### The (Resolved) Solid-State Effect

The first polarized target: hydrated Lanthanum Magnesium Nitrate (LMN)  $\text{La}_2\text{Mg}_3(\text{NO}_3)_{12} \cdot 24\text{H}_2\text{O}$  + 0.2% neodymium

Initial conditions: low temperature, strong magnetic field, then  $P_e = -100\%$  and  $P_n = 0$ .

Apply rf at frequency  $\nu = \nu_e + \nu_n$ . It produces flip-flops but no flip-flips (energy not conserved)

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but the electron spin rapidly goes back to  $\downarrow$  while the nuclear spin with its much longer relaxation time stays  $\uparrow$  and is no longer affected by the rf field.

Eventually  $P_n = P_e = -100\%$

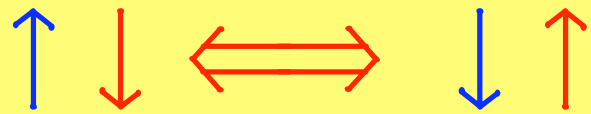
In fact  $P_e$  may not be 100% because of other relaxation effects and  $P_n \leq P_e$ .

Similarly: If  $\nu = \nu_e - \nu_n$ , then  $P_n = +100\%$ .

One could object that this reasoning applies only to those nuclei in the immediate vicinity of the paramagnetic centers. Forbidden transition probability decreases very rapidly with distance  $\rightarrow$  most nuclei would not become polarized in a finite time.

# Spin Diffusion

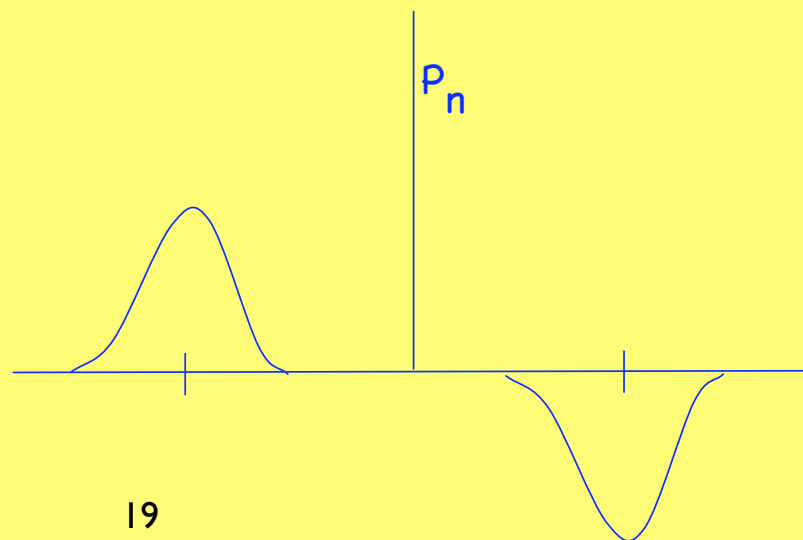
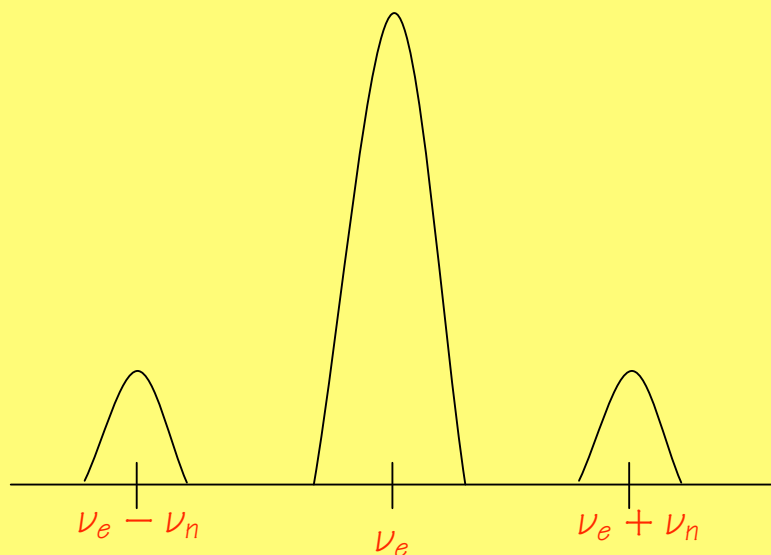
Neighboring nuclei coupled by dipole-dipole interaction which produces energy conserving processes such as



Very frequent  $\sim 10^4/\text{sec}$  and nuclear polarization is transported throughout the sample

or nuclear ordering near nuclear spins is transmitted to all nuclei

Assumption for this process to work is that the line widths must be narrow



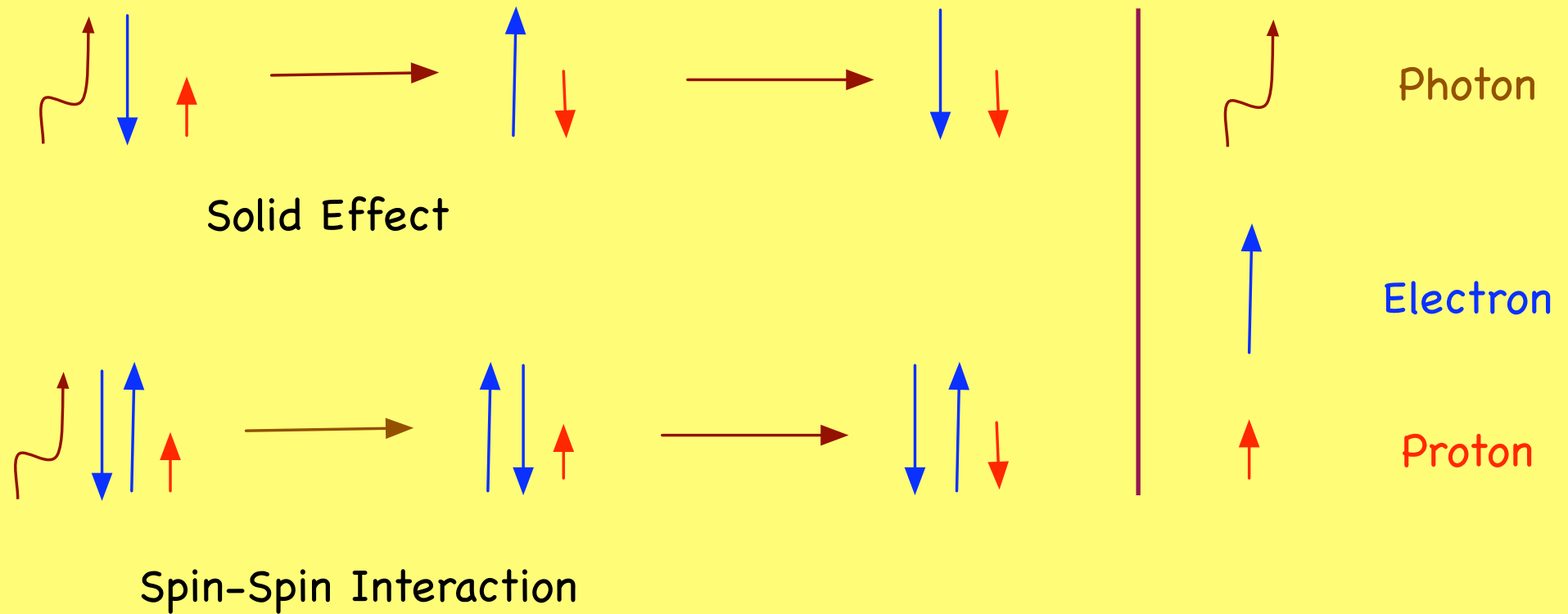
For other nuclear species (deuteron) the enhancement frequencies ( $\nu_e \pm \nu_n$ ) will be different from that of the proton because of the different Larmor frequency.

For present-day PT materials (alcohols, ammonia) are not single crystals but rather glassy or amorphous materials. They do not have discrete energy levels and require another mechanism to describe the polarizing process. This is called the **Equal Spin Temperature Theory**

The phenomena were explained with a model of exchange of energy quanta between a nuclear Zeeman energy reservoir and an electron spin-spin interaction reservoir



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## Materials that were studied for polarization at CERN (1965 - 1971)

Benzene	Plexiglass	Palmitin acid	Anthracene
Toluene	M-xylol	Polyphene	Hexanol
Ethanol	Mylar	Thanol	Water
Methanol	$C_6H_5CF_3$	Propylbenzol	Propanol
Propanol	Diethylether	Phenylethylether	Methylcyclohexan
Polyethylene	Tetracosane	Phenylethyl-alcohol	Isodurool
Polystyrene	Octacosane	$NaBH_4$	Tetrahydrofuran
LiF	$LiBH_4$	Prehnitene	O-xylol
Wax	Cyclododecan	Durol	2,5
Para Wax			Dimethyltetrahydrofuran
			1-Hexadecanol
Benzene+ Ether			Dioxan
Propanol + Ethanol			Oppanol
Ethanol + Water			$(CH_3)_4NBH_4$
Ethanol + Methanol			$(CH_3CH_2)_4NBH_4$
Ethanol + Propanol			$NH_4BH_4$
Ethanol + Diethylether			Tetramethylbenzene
Butylalcohol + Methanol			Tritetra-butylphenol
Methanol + Propanol			
$NaBH_4 + NH_4F + NH_3$			

# Free Radicals - Dopants

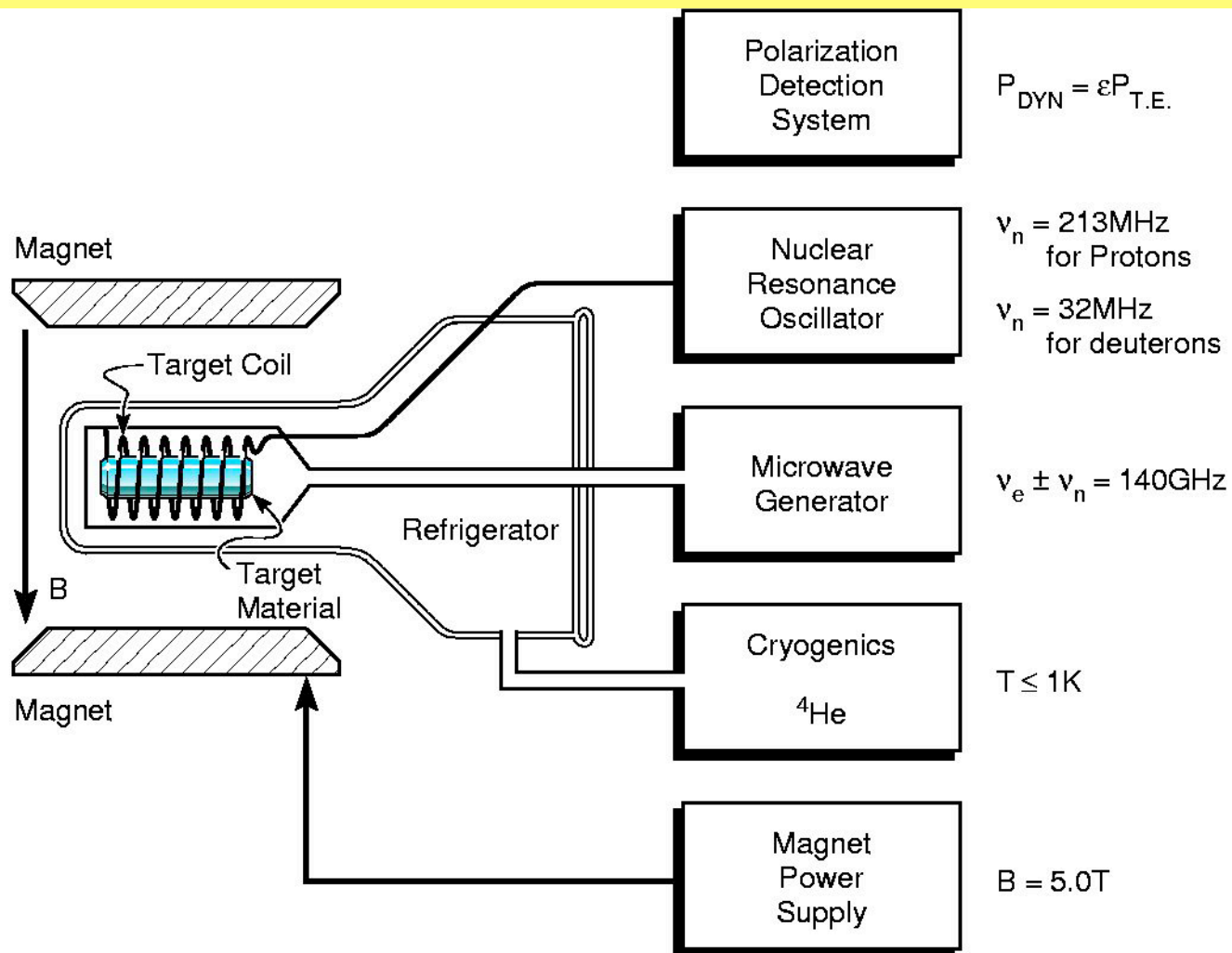
DPPH  
PAC  
BPA  
Shape BPA  
Violanthrene  
Porphyrexide  
TEMPO  
Ziegler  
Anthracene Na<sup>+</sup>  
TMR  
PB  
PR  
TMPD  
Tri-tetra-bythlphenyl  
Tetramethyl 1,3 cyclobutadien  
DTBM  
etc.

BPA + DPPH  
BPA + Cob. Oleale  
Ziegler + DPPH  
Ziegler + Cob. Oleale  
Ziegler + BPA  
etc.

neutron irradiation

<sup>60</sup>Co-γ irradiation

γ - irradiation



# Cryogenics: $^3\text{He}$ and $^4\text{He}$ Evaporation Refrigerators

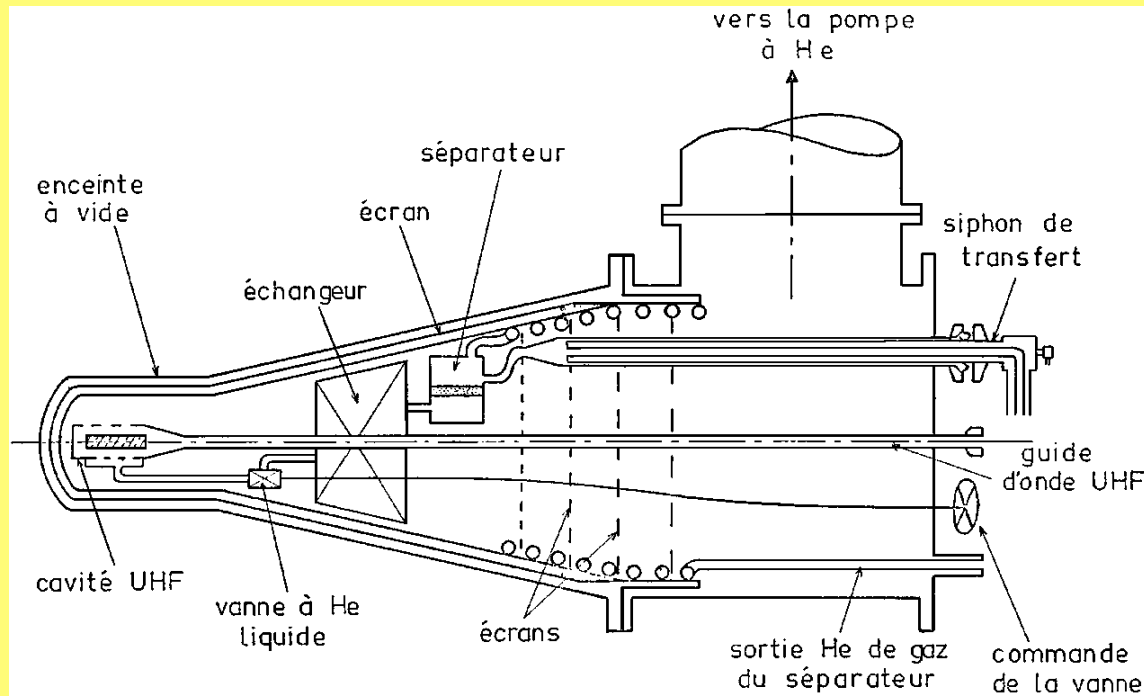


Figure 7 Schematic of the  $^4\text{He}$  refrigerator described in [Ref. 28]. siphon de transfert = transfer siphon; vers la pompe à He = to the He pump; séparateur = separator; écran = shield; échangeur = exchanger; enceinte à vide = vacuum enclosure; vanne à He liquide = liquid helium (needle) valve; sortie He de gaz du séparateur = exit for the separator helium gas; commande de la vanne = valve control; cavité UHF = UHF microwave cavity; guide d'onde UHF = UHF microwave guide.

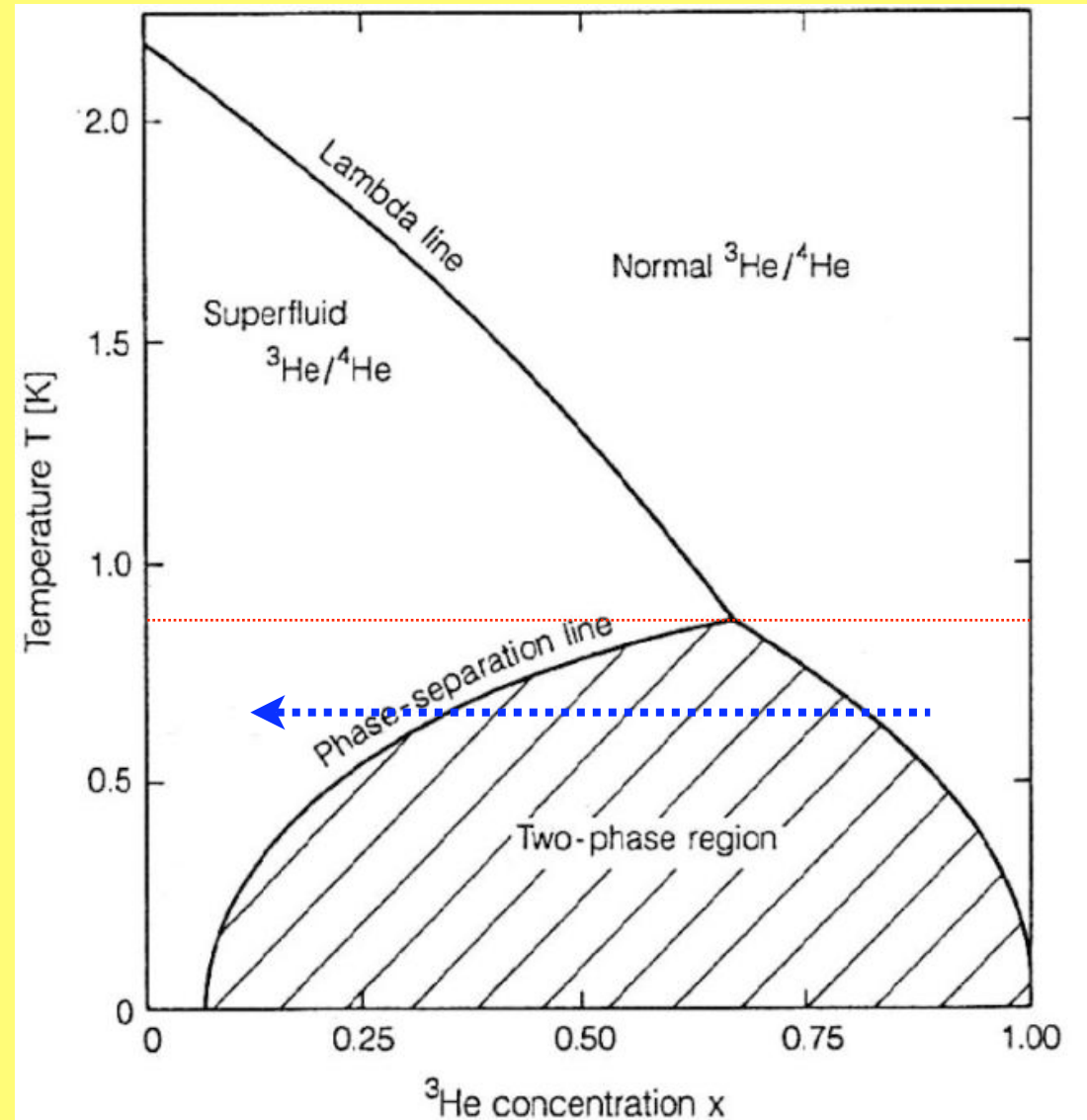
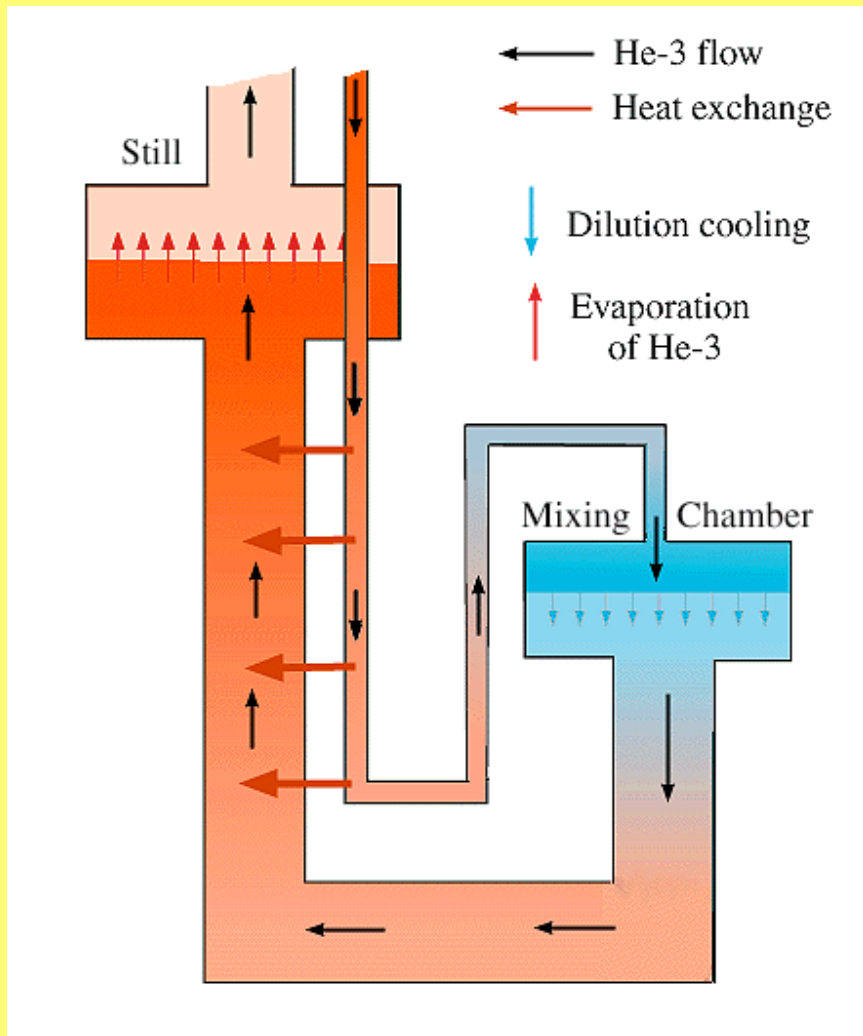
Here, liquid He is fed into a separator pot where the liquid phase is separated from the vapor phase by a sintered copper plate. The cold vapor is pumped away and used to cool the radiation shields and baffles that intercept the radiation heat load. Liquid helium flows through the separator plate into a heat exchanger and then is metered into the target holder (or evaporator) via a needle valve. The pool of liquid in the target holder is pumped on by large capacity Roots pumps to reduce the temperature to  $\leq 1$  K. As the cold vapor is pumped away, it exchanges heat with and cools the incoming warm liquid. Services such as microwaves and NMR are also brought into the target cavity. Because of the thermal properties of liquid helium, cooling powers of  $\approx 2$  W can be achieved with sufficiently large pumps and can withstand high heat input from particle beams.

## $^3\text{He}$ Evaporation Refrigerators

A  $^4\text{He}$  is wrapped around and mechanically isolated from the  $^3\text{He}$  section.  $T \approx 0.5T$ . Since the  $^3\text{He}$  is expensive, it is circulated through a sealed set of pumps.

## $^3\text{He}/^4\text{He}$ Dilution Refrigerators

Depends on the special properties of mixtures of  $^3\text{He}$  and  $^4\text{He}$ . Two phases (below 700 mK) of the mixture: diluted and a rich phase. Cooling occurs when a  $^3\text{He}$  atom is removed from the concentrated phase to the dilute phase: **1mW at 50 mK, 15mW at 100 mK, 400mW at 300 mK and 1.3 W at 500mK.**





# Polarizing Magnets - Solenoids

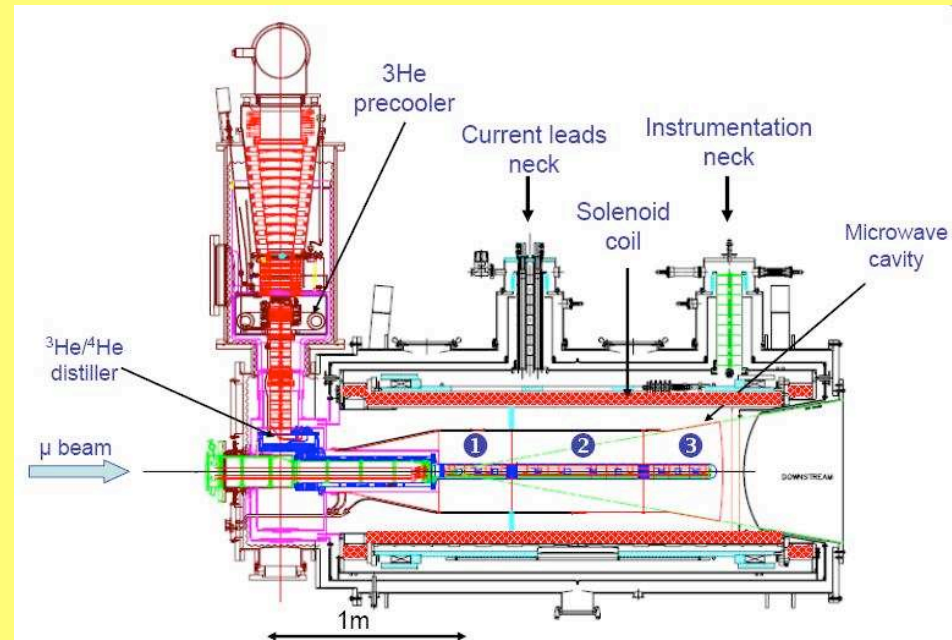


DNP works best when  
 $B/T \approx 5 - 10$

Modern magnets are 2.5 - 7.5T

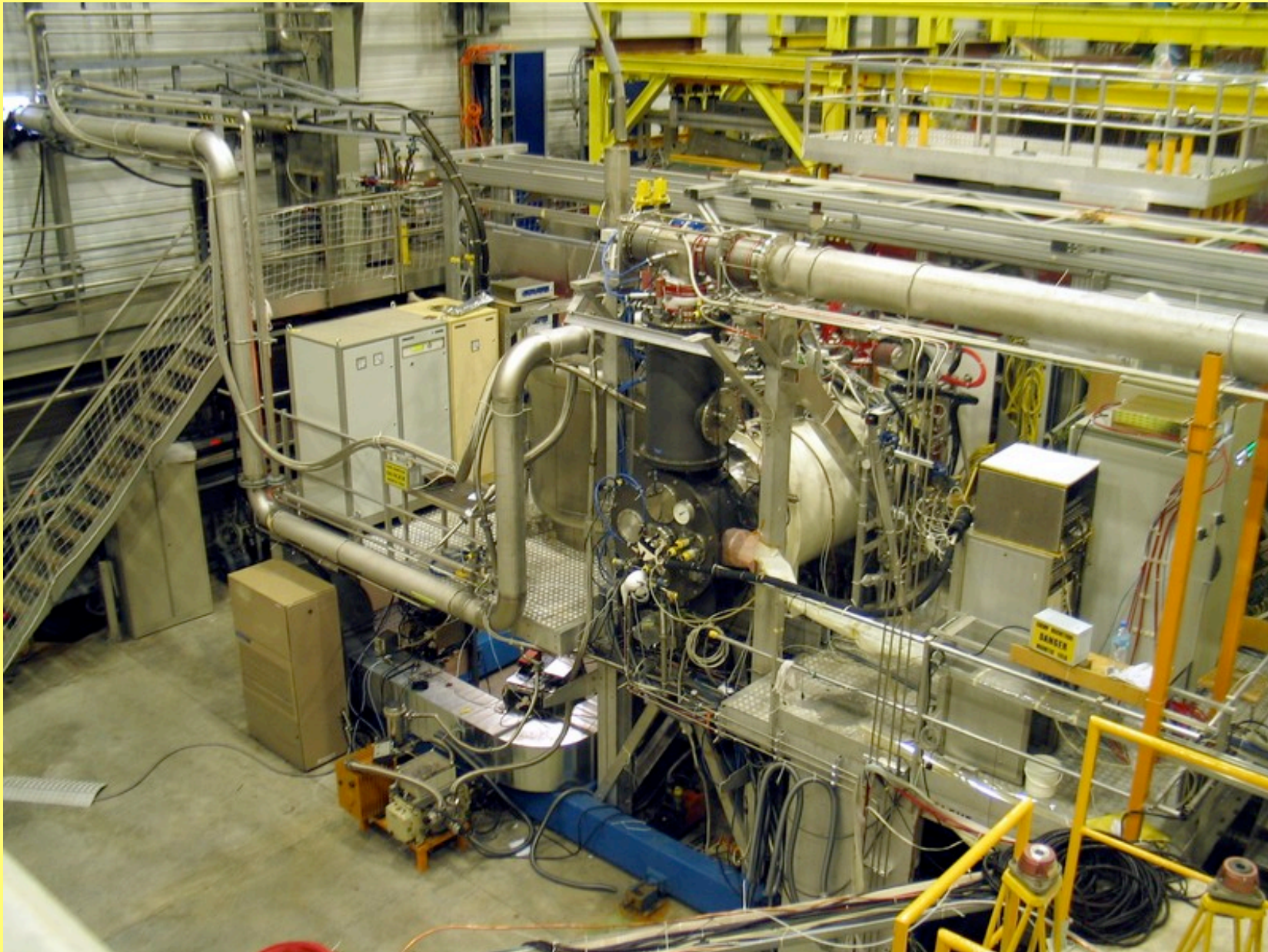
## COMPASS - CERN

COmmon Muon Proton Apparatus  
for Structure and Spectroscopy



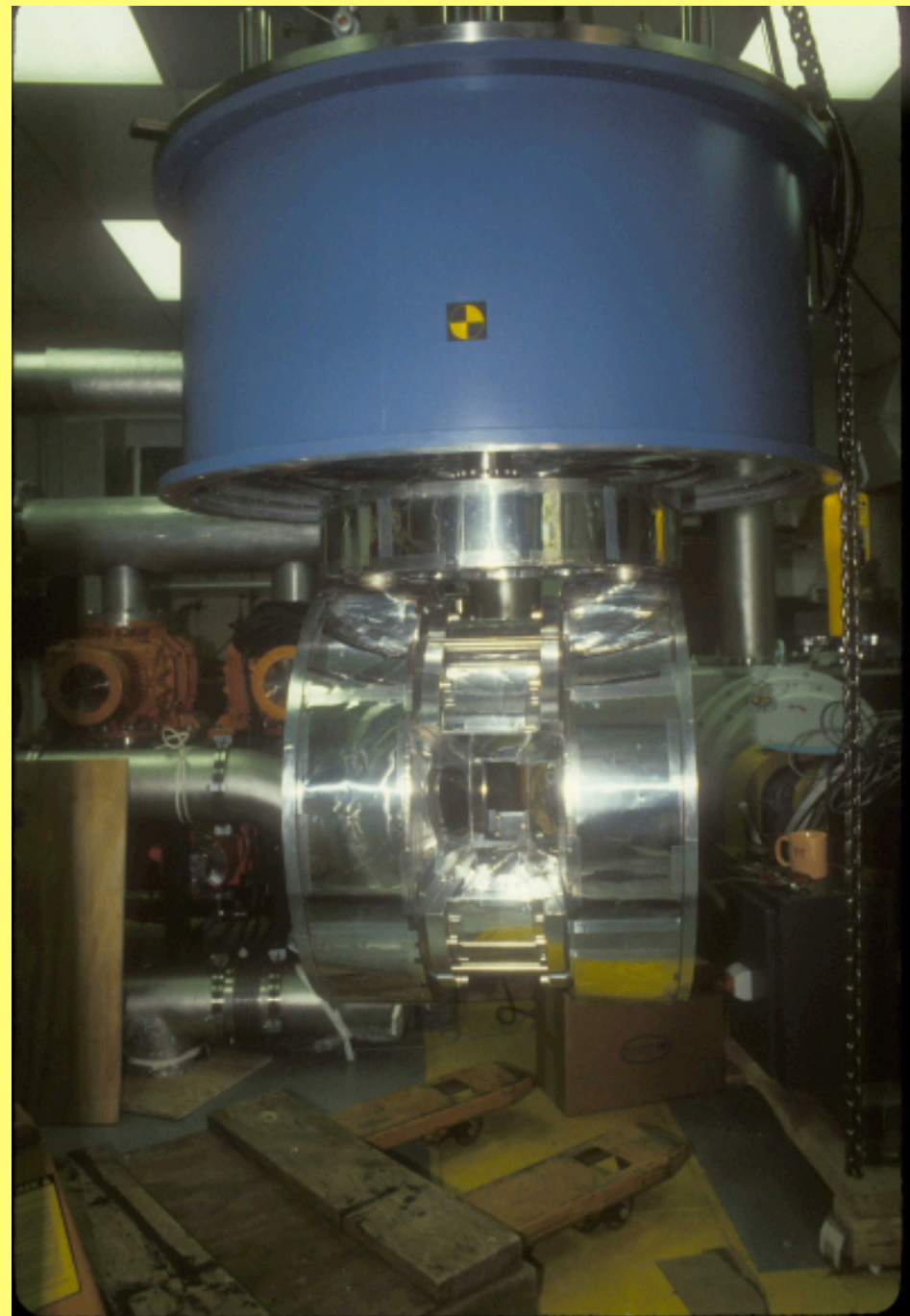


## COMPASS - CERN

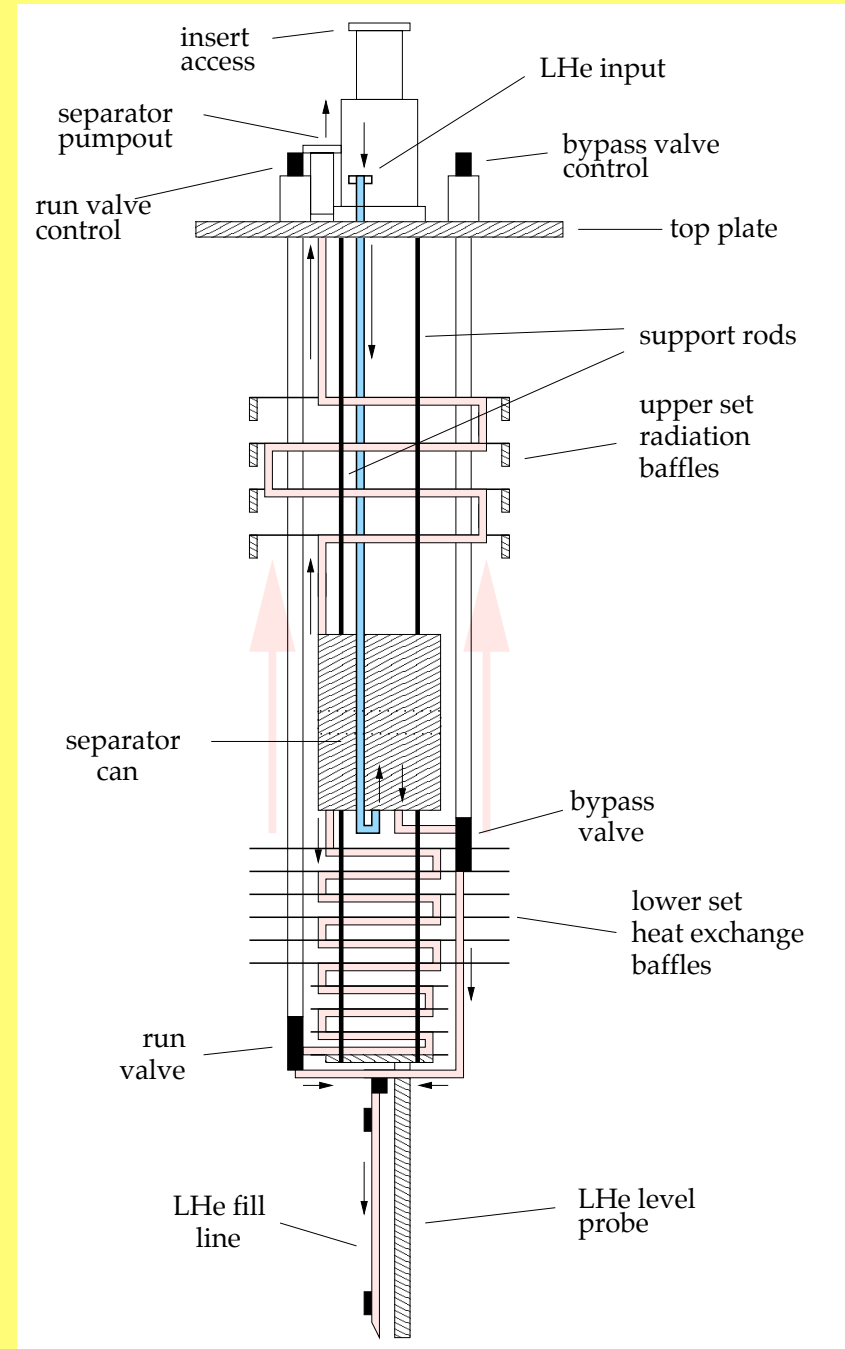
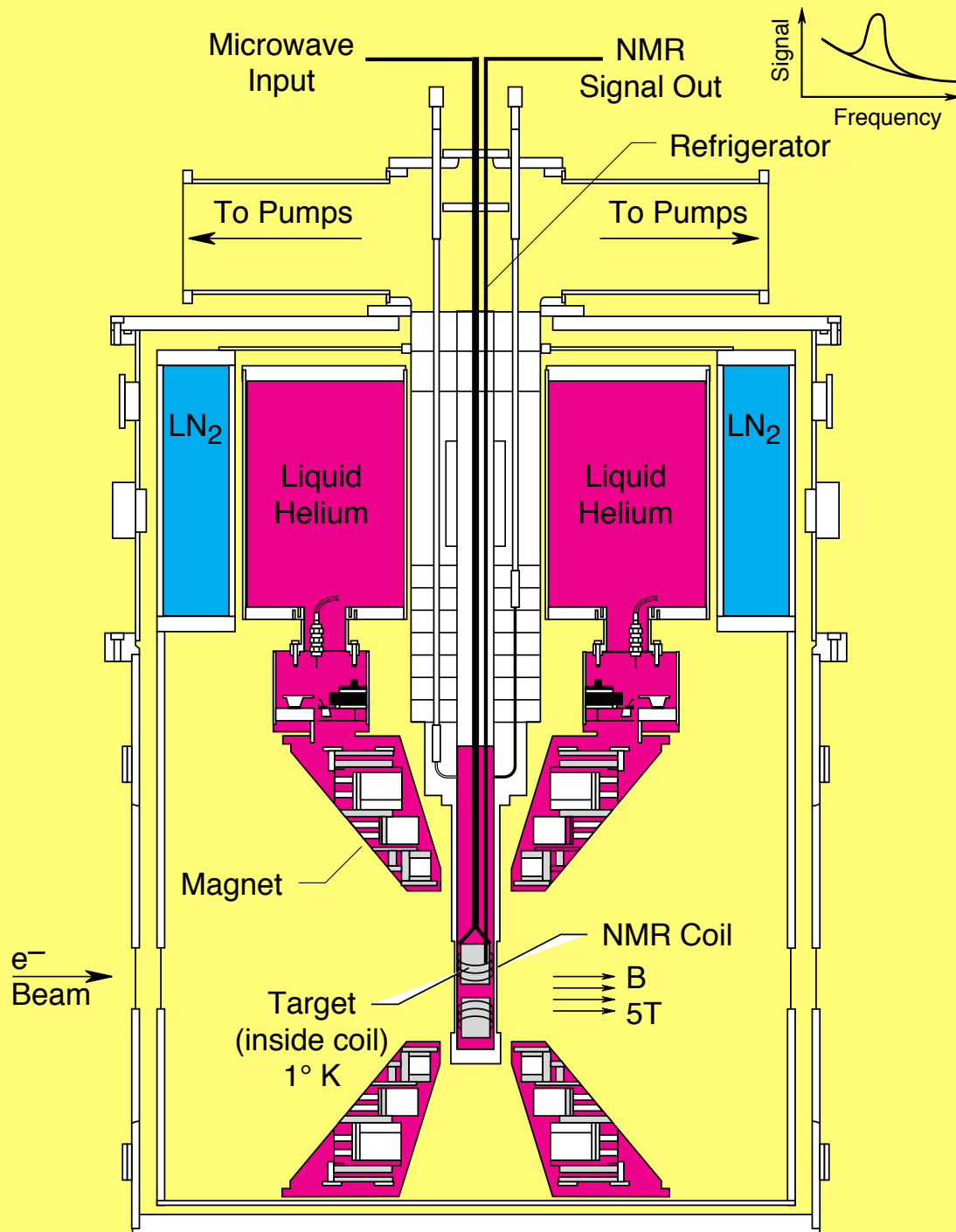




# Polarizing Magnets- Split Pair UVA/SLAC/JLAB Target



# UVA/SLAC/JLAB Target



# Polarization Measurement

Measuring the polarization is equivalent to measuring the net nuclear magnetization of the material **Nuclear Magnetic Resonance (NMR)**

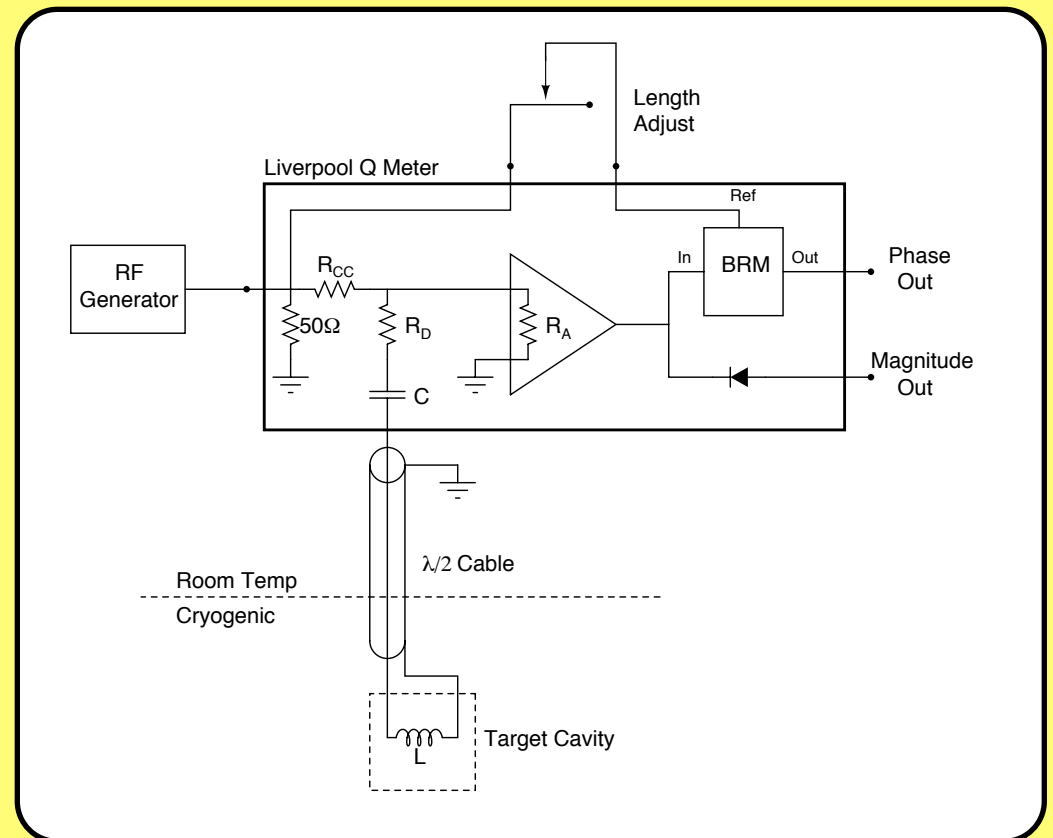
Exposed to rf field at the Larmor frequency, a spin system in a magnetic field either absorbs or emits energy. The response is described by the the magnetic susceptibility

$$\chi(\omega) = \underbrace{\chi'(\omega)}_{\text{dispersive}} + \underbrace{\chi''(\omega)}_{\text{absorptive}}$$

$$P = K \int_0^{\infty} \chi''(\omega) d\omega$$

Series Q-meter connected to NMR coil with inductance  $L_c$  and resistance  $r_c$  that is embedded in target material

$$Z_c = r_c + i\omega L_c(1 + 4\pi\eta\chi(\omega))$$



$$Z_c = r_c + i\omega L_c(1 + 4\pi\eta\chi(\omega))$$

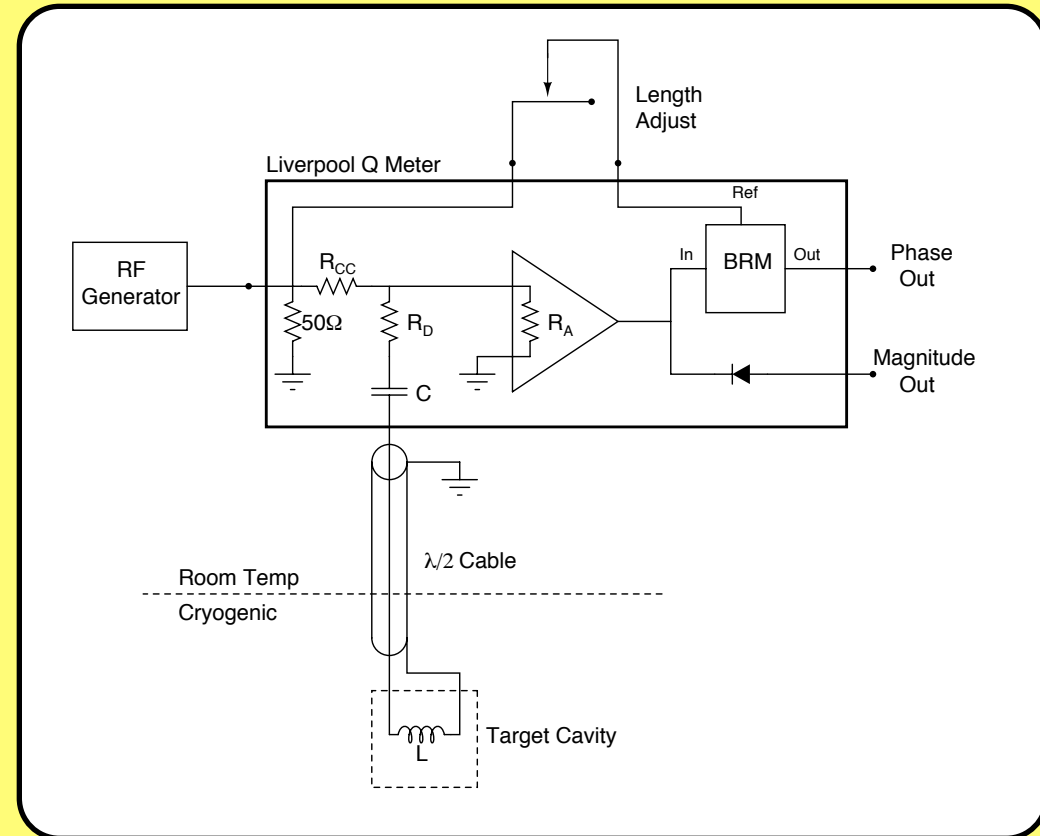
Inductance (and impedance) changes when the material absorbs or emits energy and thus the voltage,  $V(\omega, \chi)$

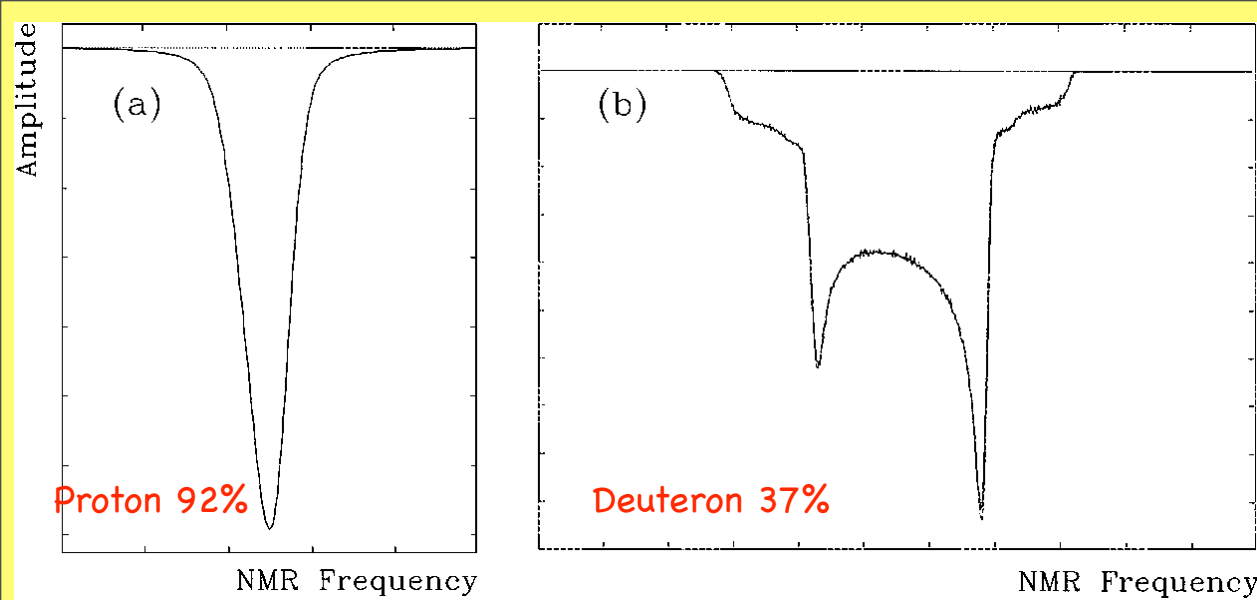
$$S(\omega) = \text{Re}(V(\omega, \chi) - V(\omega, 0)) \simeq \chi''(\omega)$$

Polarization is calibrated by using the calculable polarization  $P_{TE}$

$$P_{TE} = \tanh \left[ \frac{\mu_n H}{kT} \right]$$

$$P = \frac{\int S_{enh}(\omega) d\omega}{\int S_{TE}(\omega) d\omega}$$





## Important criteria

(a) the degree of polarization  $P$

(b) the dilution factor  $f$ , which is the ratio of free polarizable nucleons to the total number of nucleons.

$$A = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}} \quad \epsilon = \frac{N^{\uparrow} - N^{\downarrow}}{N^{\uparrow} + N^{\downarrow}} \quad A = \frac{1}{P f} \frac{N^{\uparrow} - N^{\downarrow}}{N^{\uparrow} + N^{\downarrow}}$$

where  $P$  and  $f$  correct for the fact that the target is not 100% polarized and contains other materials

$$f = \frac{f_A \sigma}{(1 - f_A) \sigma_0 + f_A \sigma}$$

$$\sigma = \sigma_0 (1 \pm P A)$$

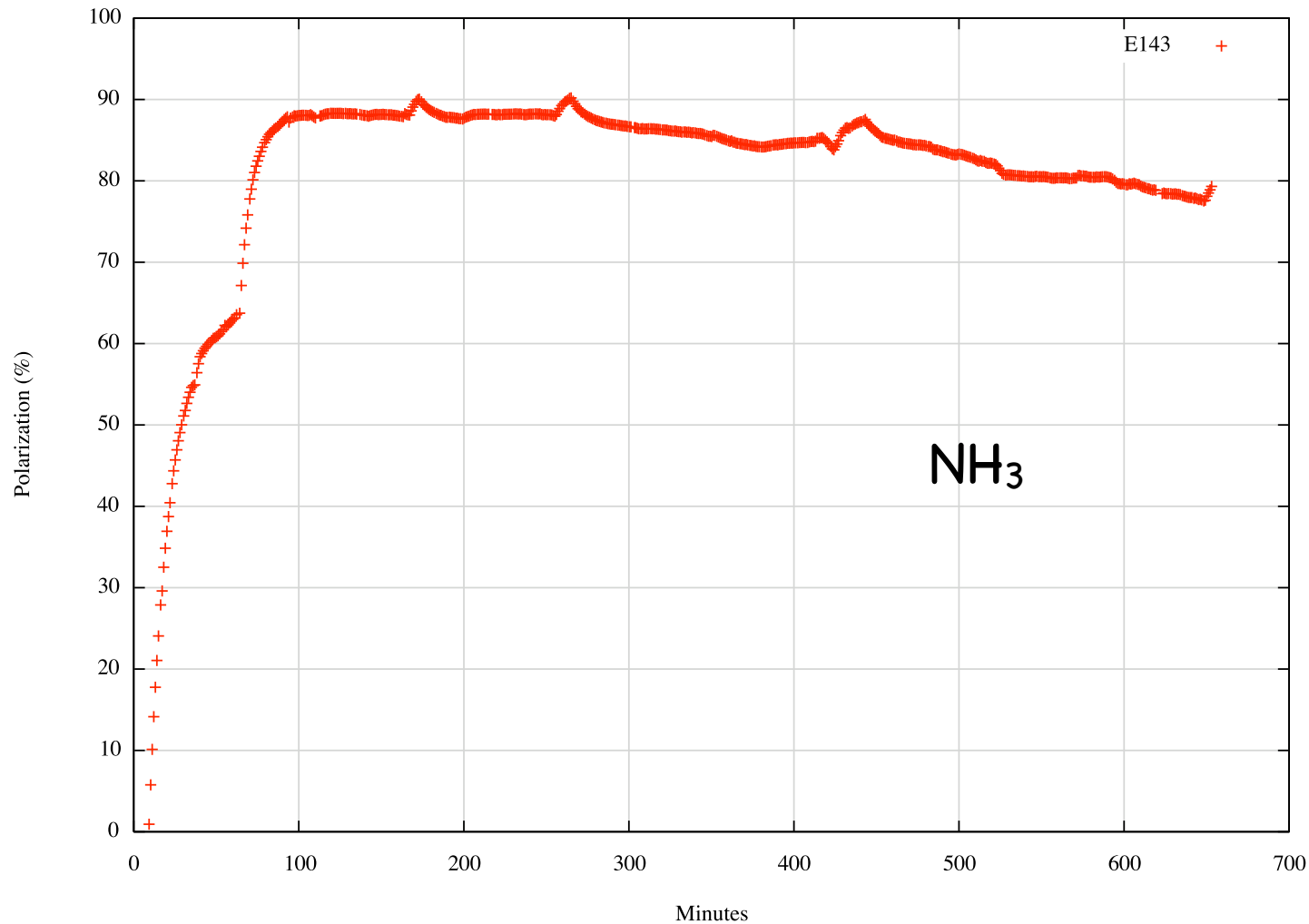
$f_A$  = fraction of polarized nuclei

Beam time  $t$  necessary to achieve a certain statistical error  $\Delta A$  has the following dependency

$$t^{-1} \propto \rho (f \cdot P)^2 \quad \text{important to optimized } f \text{ and } P \quad \rho \text{ is density}$$

# Target materials

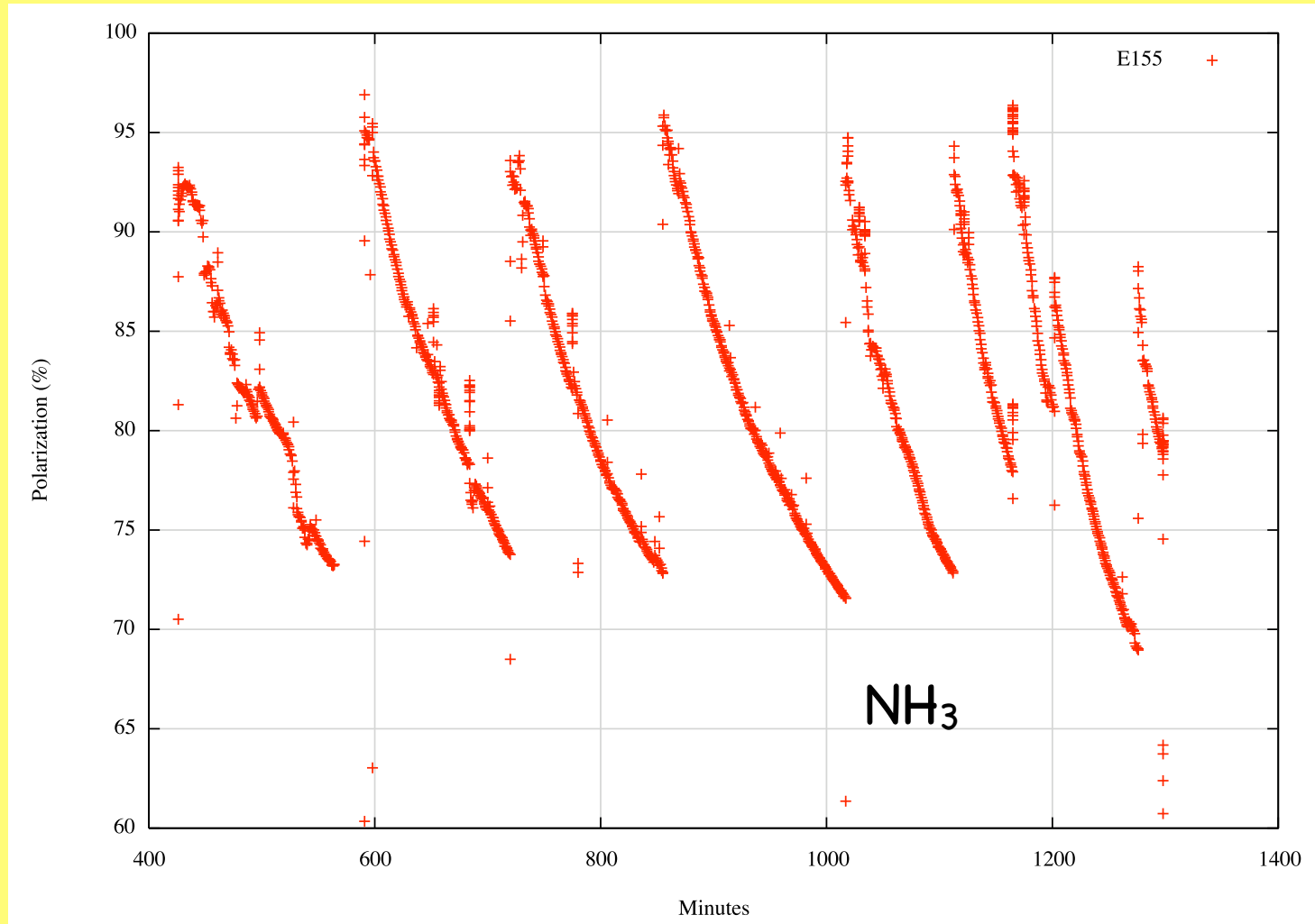
## Performance and Experience



Polarization growth, mistuning, beam on/off, and decay

# Target materials

## Performance and Experience

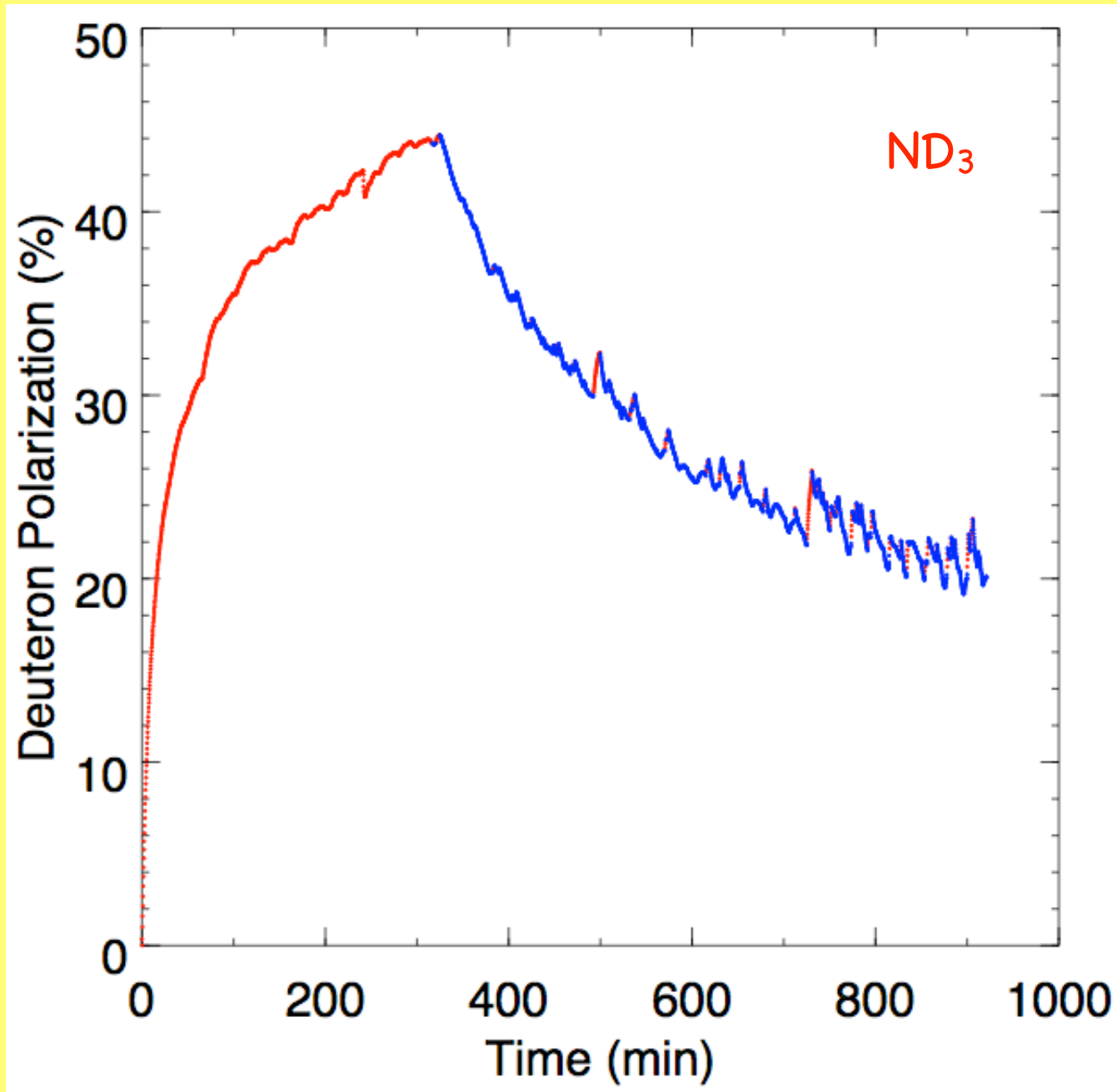


Polarization growth, radiation damage, decay of material



# Target materials

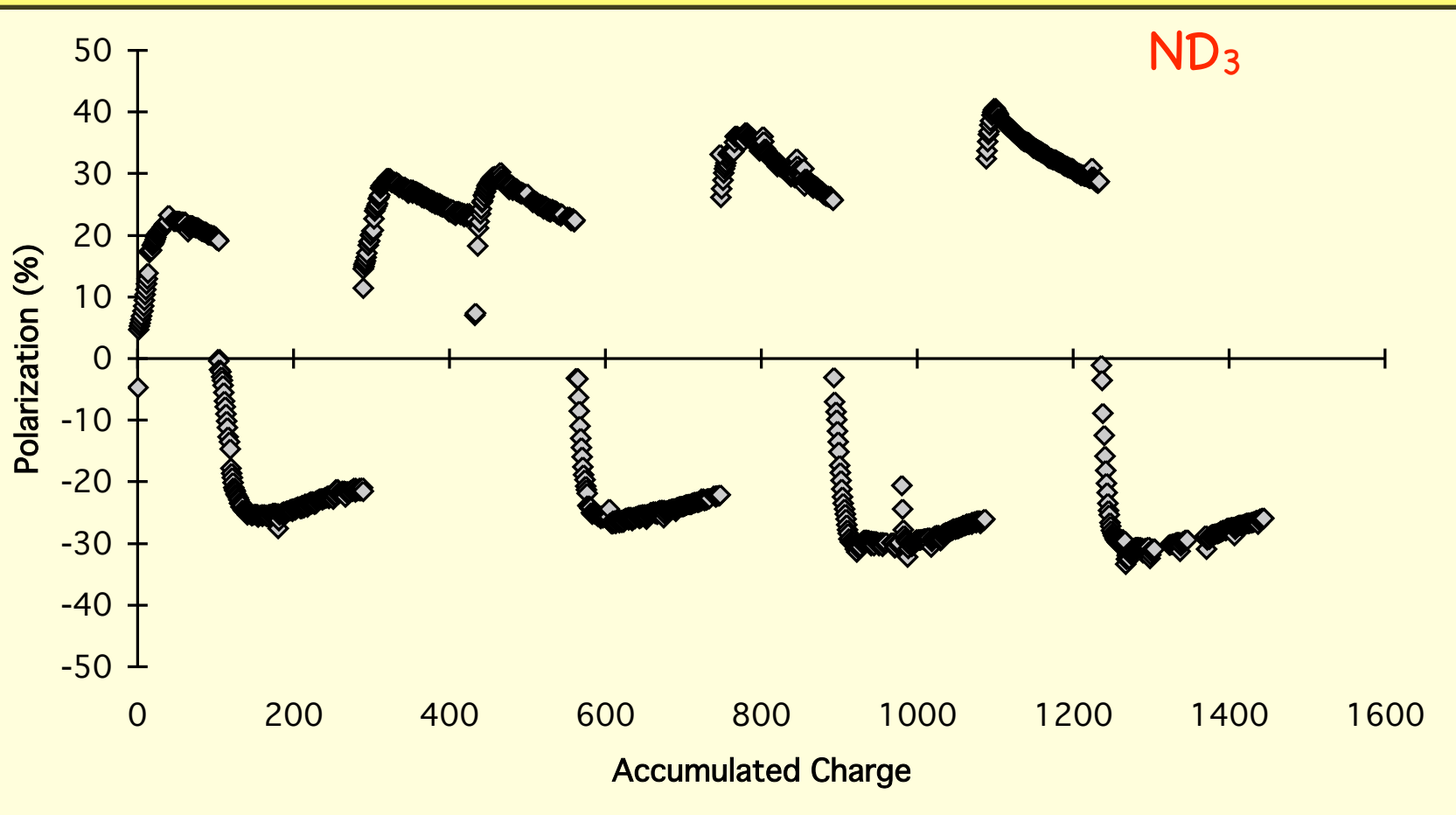
## Performance and Experience





# Target materials

## Performance and Experience



Polarization growth, Radiation damage, anneal, reverse sign





## Microwaves

For DNP the frequency needed is about 28 GHz/T, (140 GHz at 5T) and required power is, at 1K, 1-mW/g target material at 2.5 T (70GHz) and 20 mW/g at 5T (140 GHz)

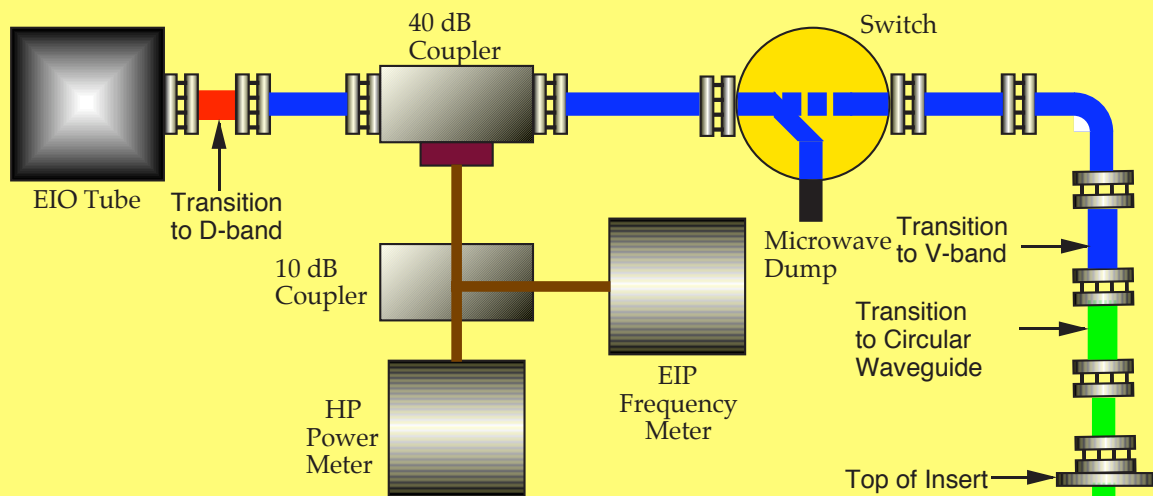
140 GHz Extended Interaction Oscillator (EIO)

Power is inversely related to frequency and power absorption in microwave components increases with frequency ==> a practical limit at 210 GHz, corresponding to 7.5T.

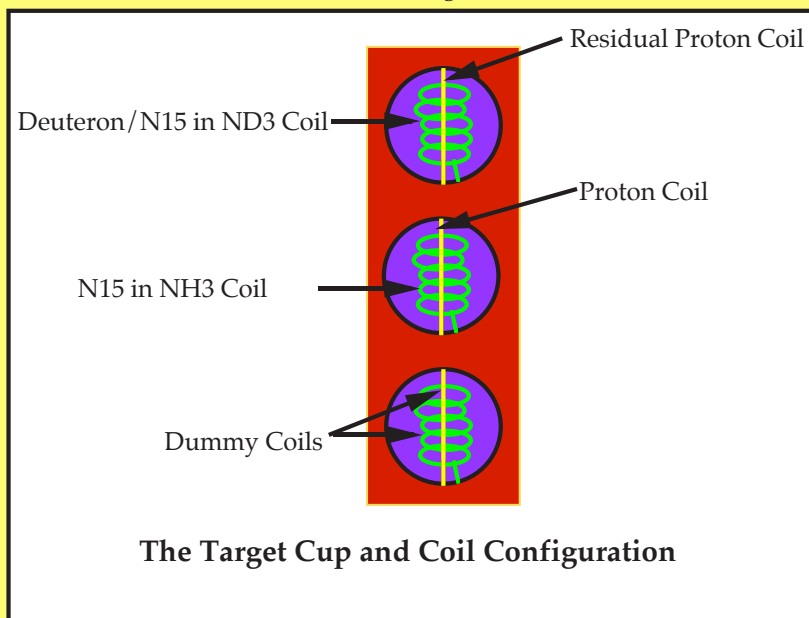
Also: klystrons, IMPATT and Gunn diodes

IMPact ionization Avalanche Transit-Time

UVA/SLAC/JLAB Target



- F-band Waveguide (90-140 GHz)
- D-band Waveguide (110-170 GHz)
- V-band Oversized Waveguide (50-75 GHz)



Microwave Horn

Deuteron Cup

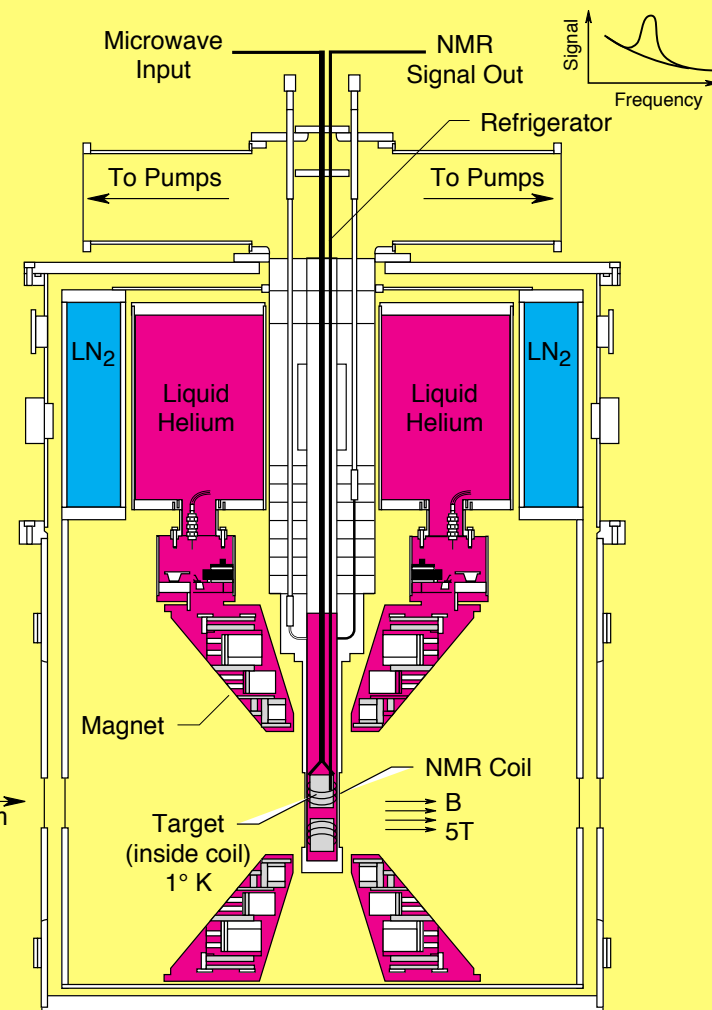
Proton Cup

Empty Cup

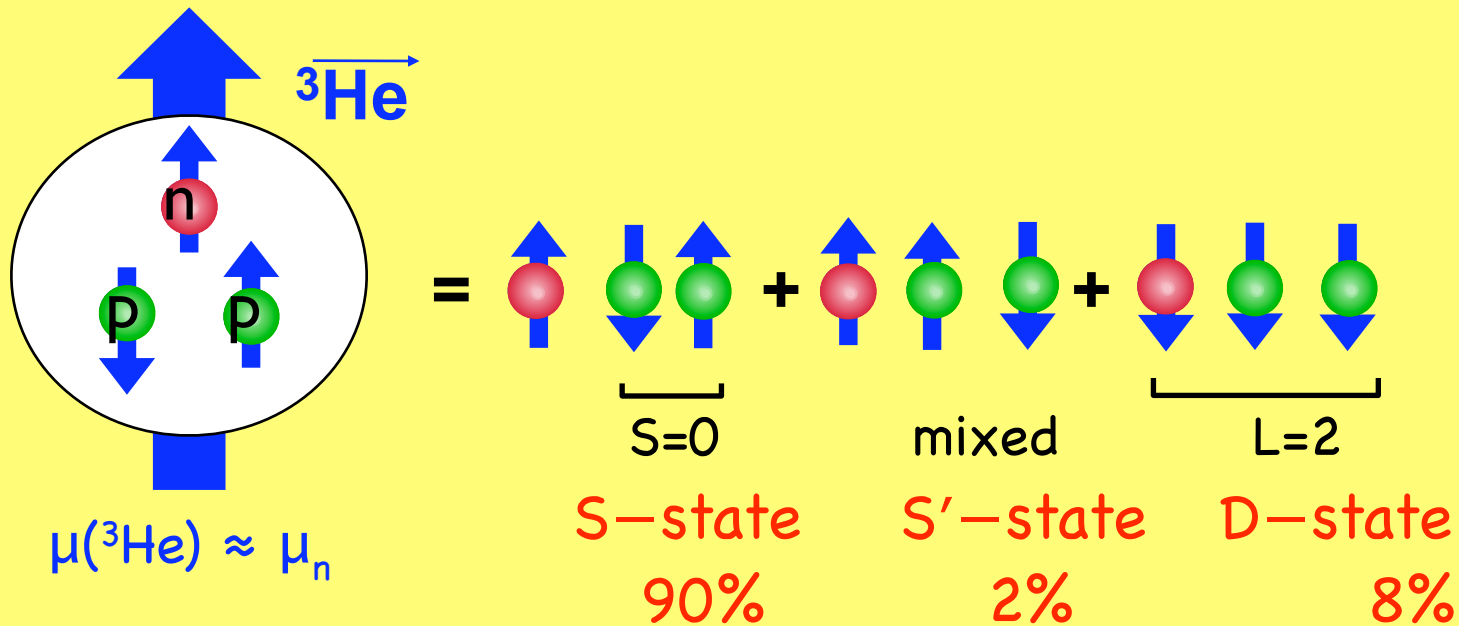
Carbon







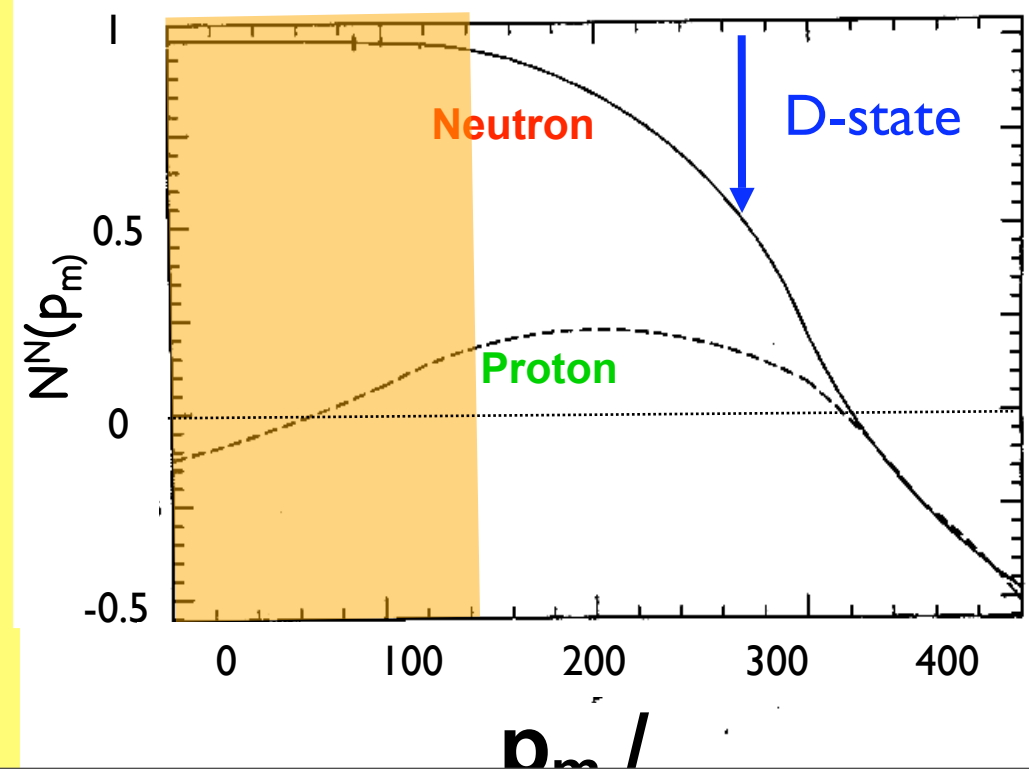
# Polarized $^3\text{He}$ as polarized n-target



Spin-dependent momentum distribution  $N(p_m)$

$$\frac{\rho(\uparrow) - \rho(\downarrow)}{\rho(\uparrow) + \rho(\downarrow)}$$

R.W. Schulze, P.U.Sauer:  
Phys. Rev. C 48, 38(1993)



# Polarized $^3\text{He}$ Targets

## Physics interests

- few-body structure
- good approximation for polarized free n ( $P_n=87\%$  and  $P_p=2.7\%$ ), requires corrections for nuclear effects

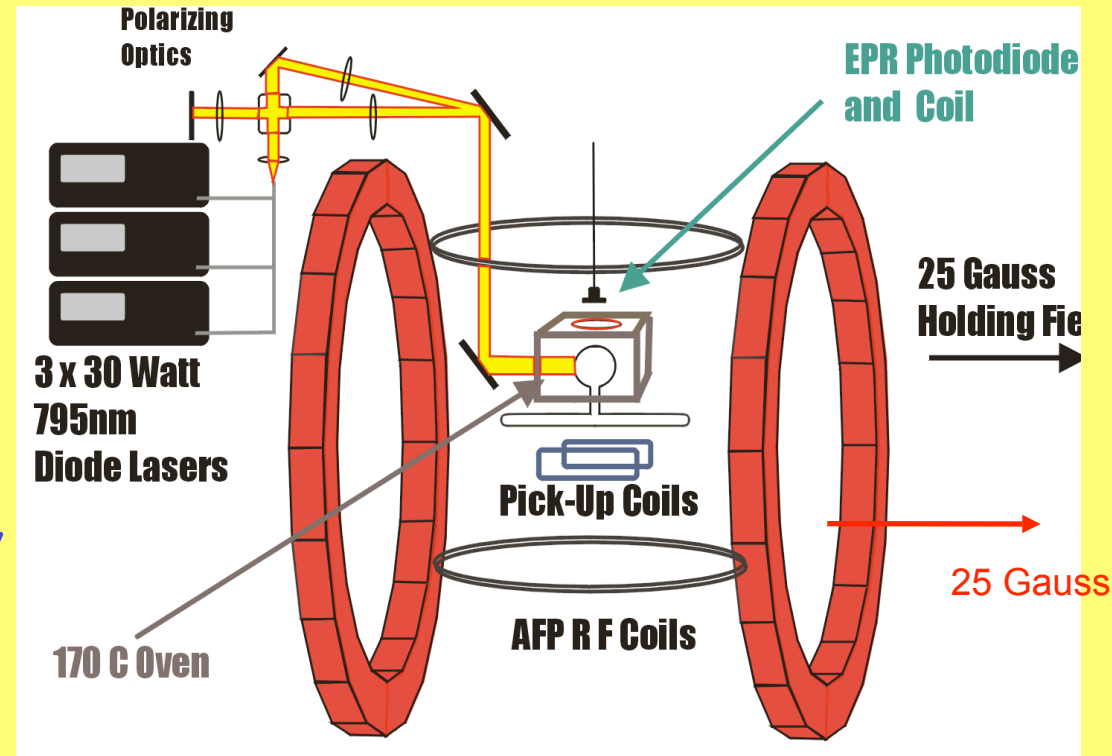
## Standard technique:

- optical pumping of Rb vapor, followed by polarization transfer to  $^3\text{He}$  through spin-exchange collisions
- target polarization measured by EPR/NMR

## Performance

- 40cm long target (10atm,  $I_e=12\mu\text{A}$ )
- luminosity  $\sim 2 \cdot 10^{36} \text{cm}^{-2}\text{s}^{-1}$
- average polarization 42%

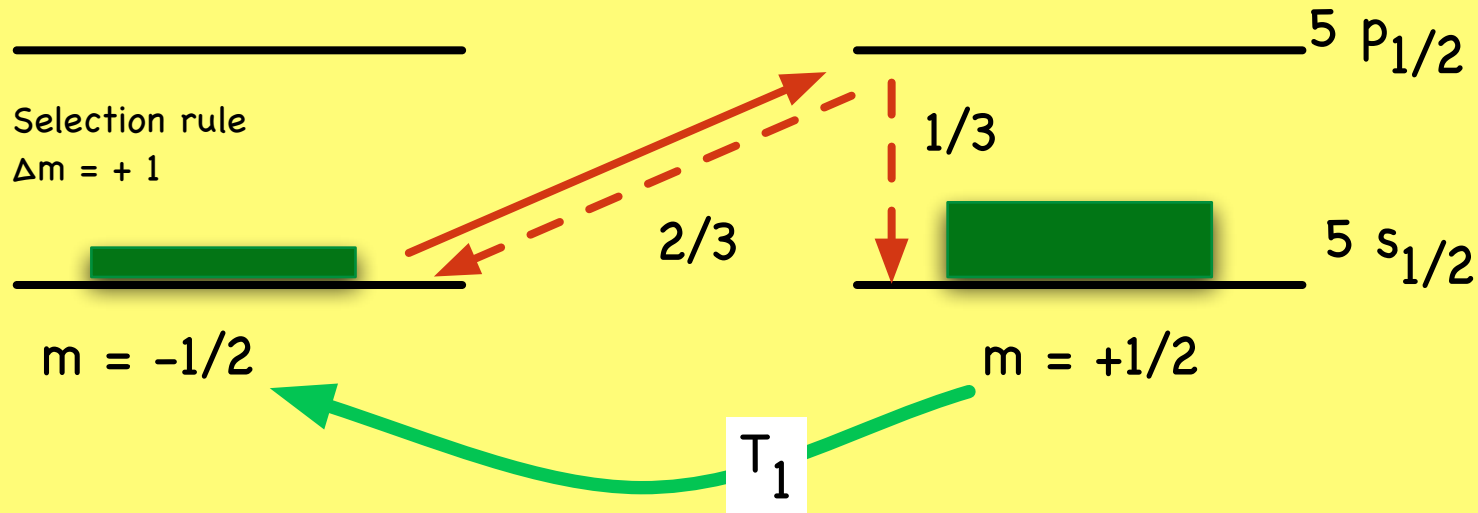
## Hall A $^3\text{He}$ target



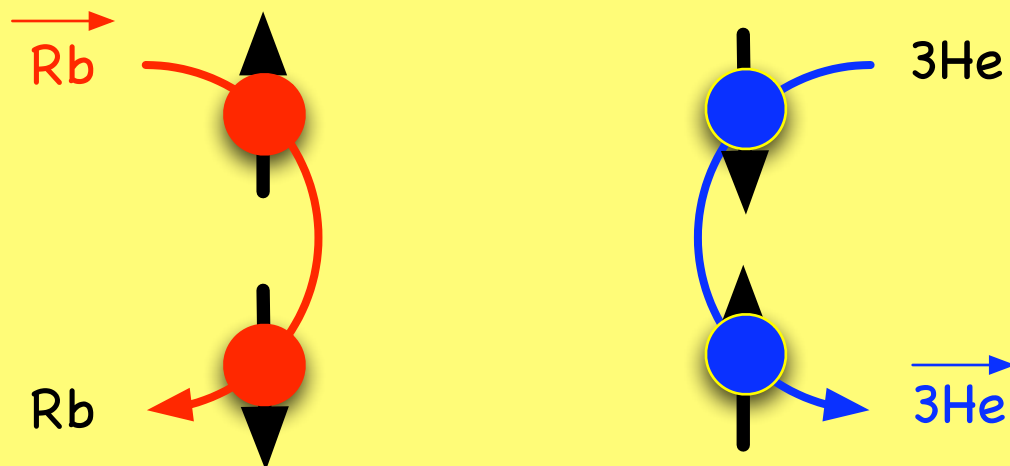
## Latest development:

- optical pumping of Rb/K mixture

# Optical pumping of Rubidium



Spin-exchange between Rb and  $^3\text{He}$  due to Hyperfine interaction  
small cross section



new at JLAB : hybrid cells  
contain potassium, build-up  
time: 4.2 h



