

Nuclear Structure and Short-Range Correlations (Day 1)

Or Hen – MIT



Hampton University Graduate School (HUGS),
June 6th 2017, JLab, Newport-News VA.

Course Outline

Day I: Overview of Nuclear Systems and EM Probes.

Day II: Nuclear Structure.

(Short / Long Range)

(Experiment / Theory)

Day III: Cross Connections.

(QCD in Nuclei: Modification and Transparency)

(Contact Formalism and Short-Range Universality)

(Neutrino Physics)

(Neutron Stars)

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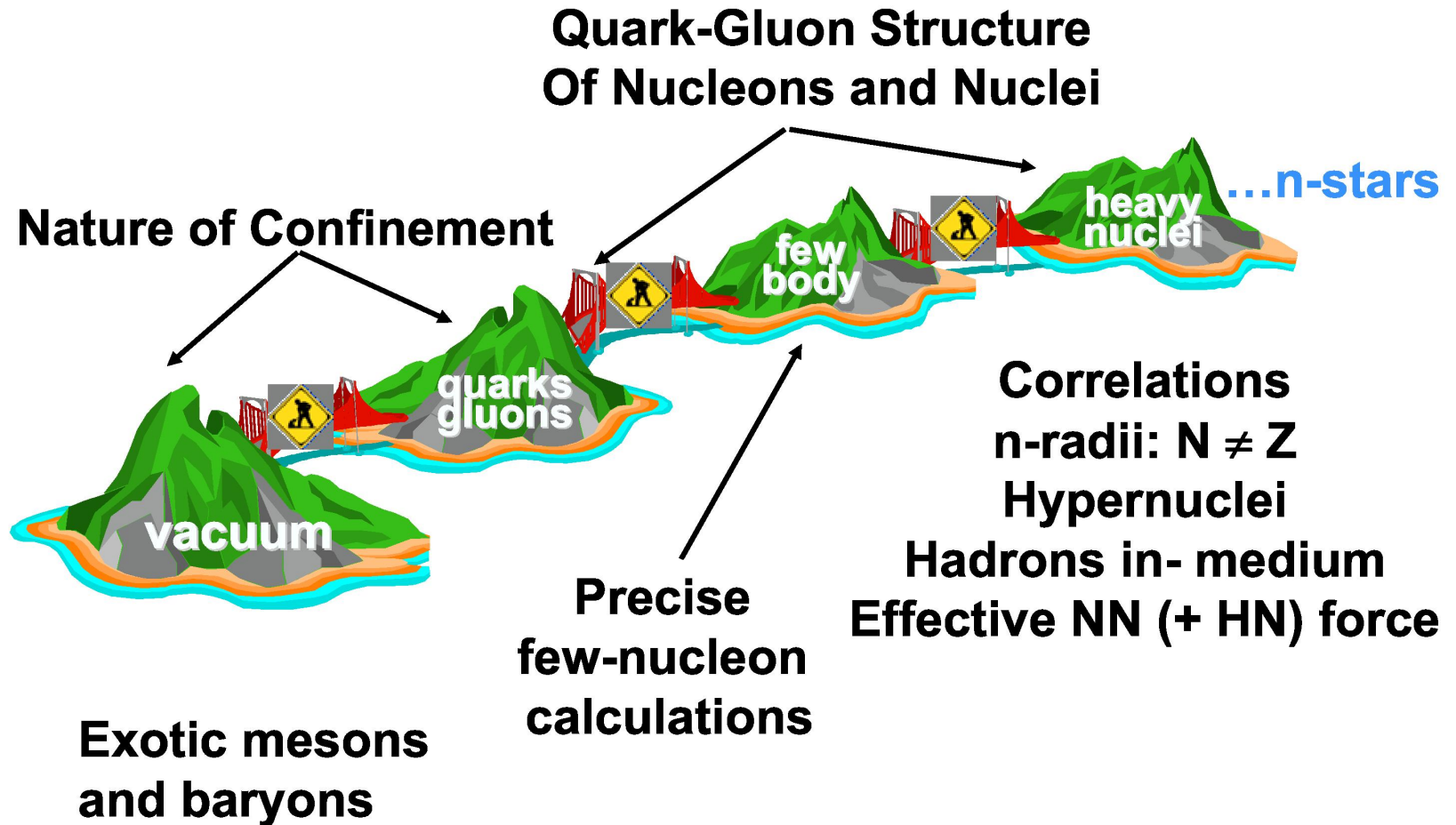
Course Outline

Day I: Overview of Nuclear Systems and EM Probes.

After today's overview, I want to hear from you what you want to learn!

Theory / Detectors / High energy / low energy

Modern nuclear physics: From nothing to everything



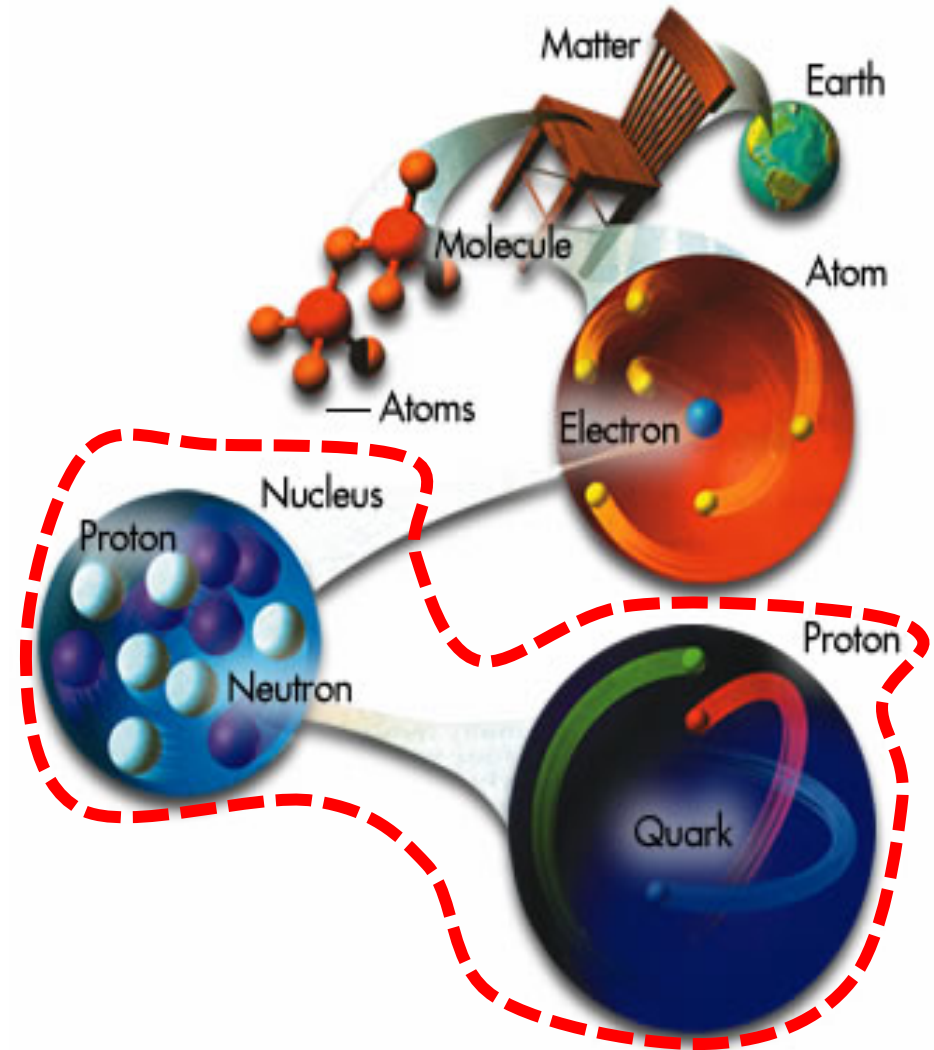
This Course: Two Systems and Their Interactions

Systems:

- *nucleus* as a collection of bound protons and neutrons,
- *nucleon* as a collection of bound quarks.

Interactions:

- Nuclear Interactions from quark interactions,
- Nuclear Medium Effects on quarks distributions.



(Some) Quantities of Interest

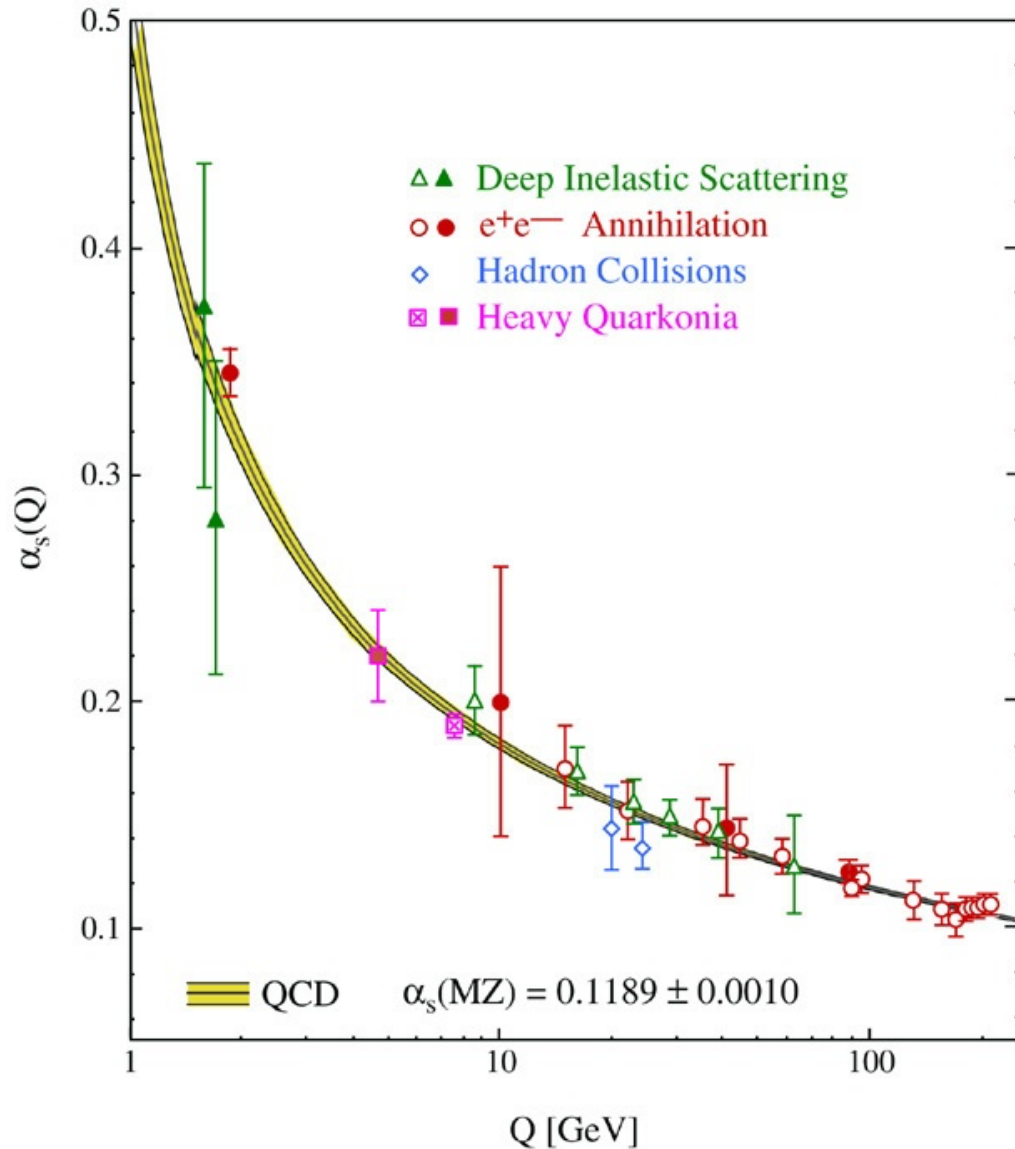
Nuclear structure:

- Shape (radii / deformation / ...),
- Electro-magnetic charge distribution (form factor),
- Nucleon momentum distribution (wave function),
- Clustering and correlations,
-

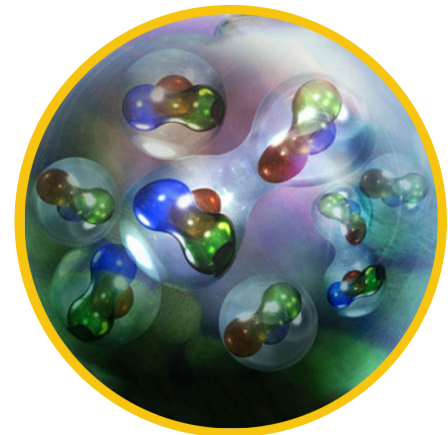
Nucleon Structure:

- Nuclear forces from quark interactions
- Quark structure of bound and free nucleons
-

Nuclear Challenge



Nuclei are a low energy phenomena,
=> QCD is non perturbative!



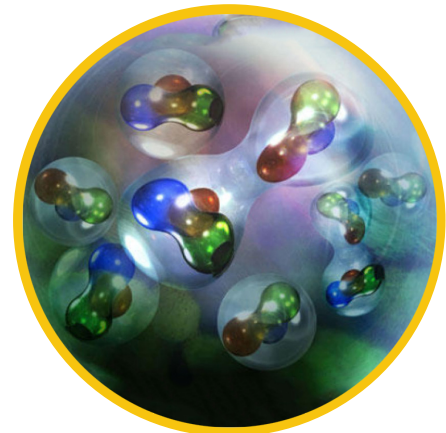
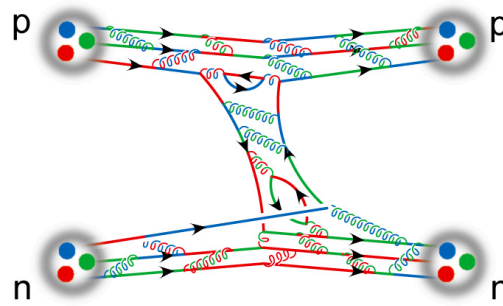
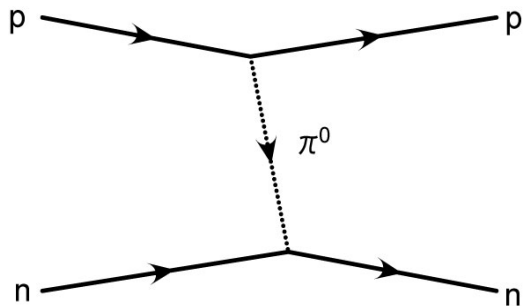
Nuclear *Many-Body* Challenge

Many-body Schrödinger Equation

$$\sum_i \left\{ -\frac{\hbar^2}{2m_i} \nabla_i^2 \Psi(\vec{r}_1, \dots, \vec{r}_N, t) \right\} + U(\vec{r}_1, \dots, \vec{r}_N) \Psi(\vec{r}_1, \dots, \vec{r}_N, t) = i\hbar \frac{\partial}{\partial t} \Psi(\vec{r}_1, \dots, \vec{r}_N, t)$$

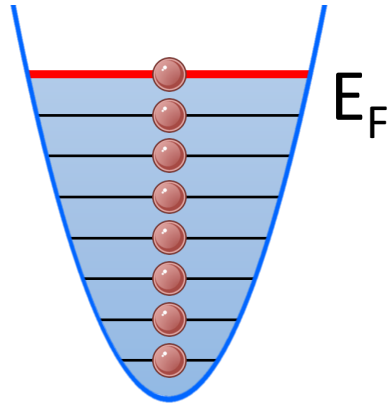
Main Challenges:

1. No 'fundamental' Interaction.
2. Complex phenomenological parametrizations (e.g. over 18 operators)

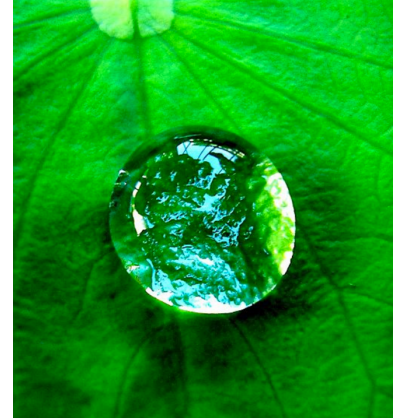


Solution: Effective Theories

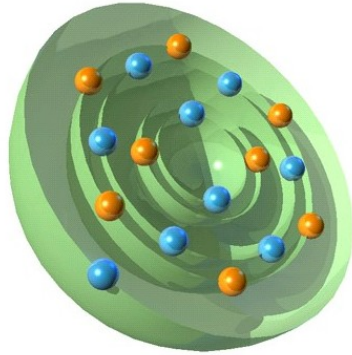
Fermi
Gas
Model



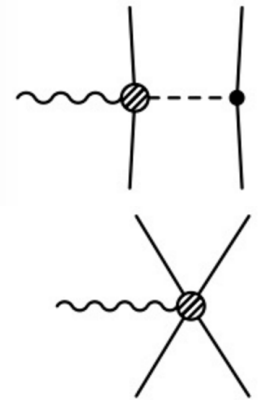
Liquid
Drop
Model



Shell
Model



Chiral
Perturbation
Theory*



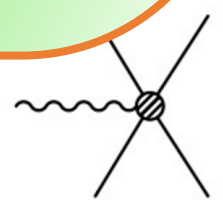
* Should converge to exact solution

Solution: Effective Theories

Development of any theory is guided by experiment!

=> Theory alone has very little stand without experiment 😊

Theory*



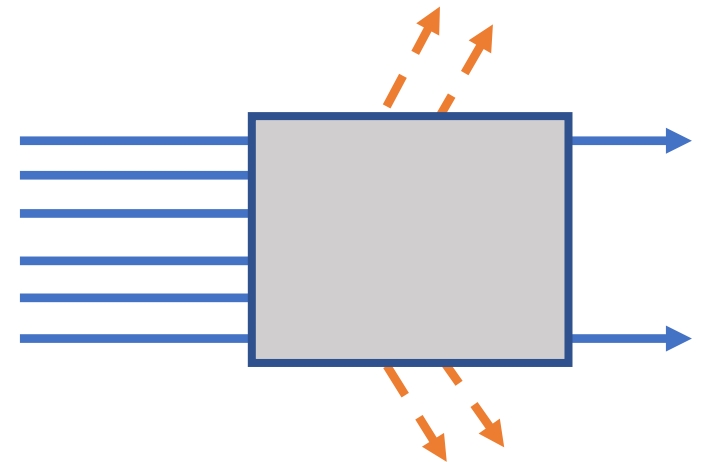
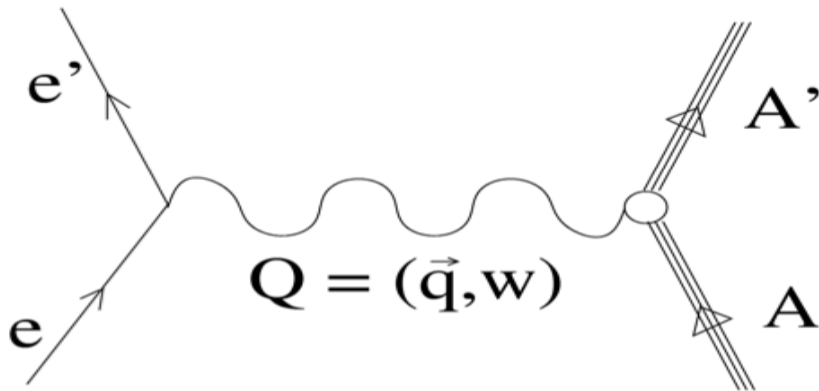
Electron Scattering: Nuclear Microscope

Goal: Study the internal structure (and dynamics) of complex objects

Means: using high energy lepton scattering

Reaction determined by two variables:

- $Q^2 = -q^2$ Interaction-Scale
- $x_B = Q^2/(2m_p v)$ Dynamics



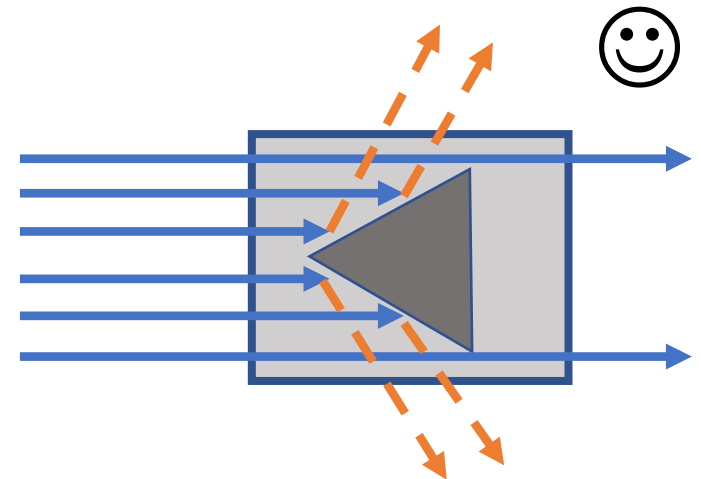
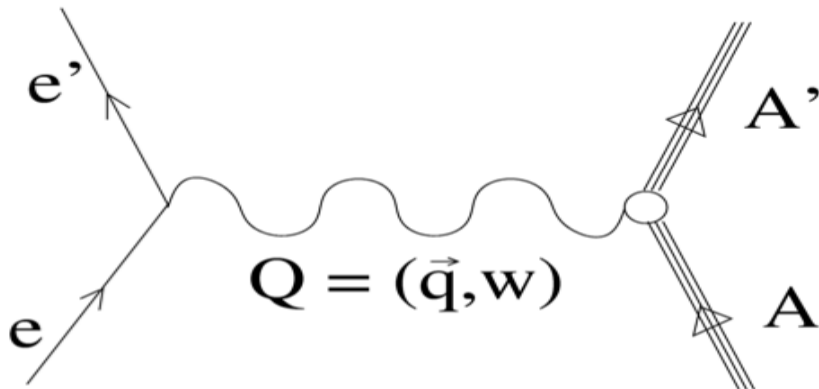
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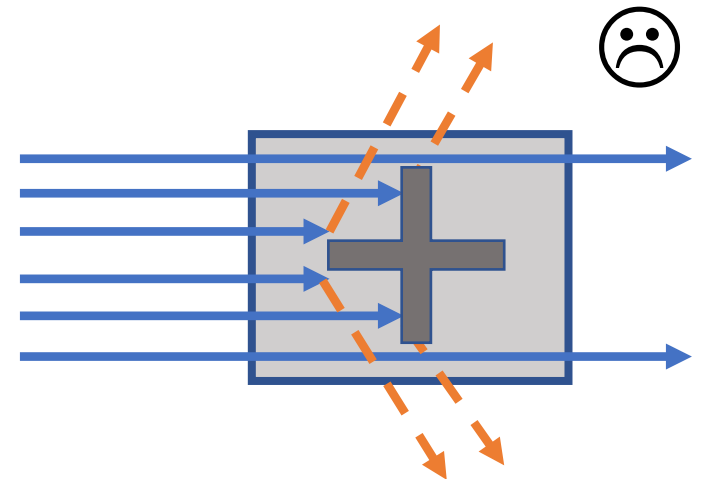
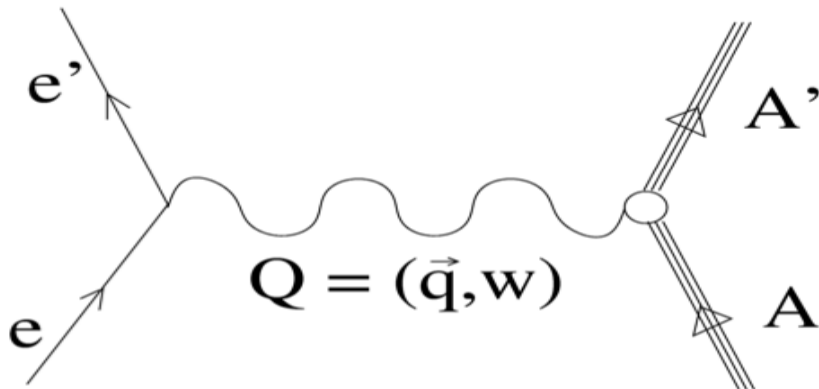
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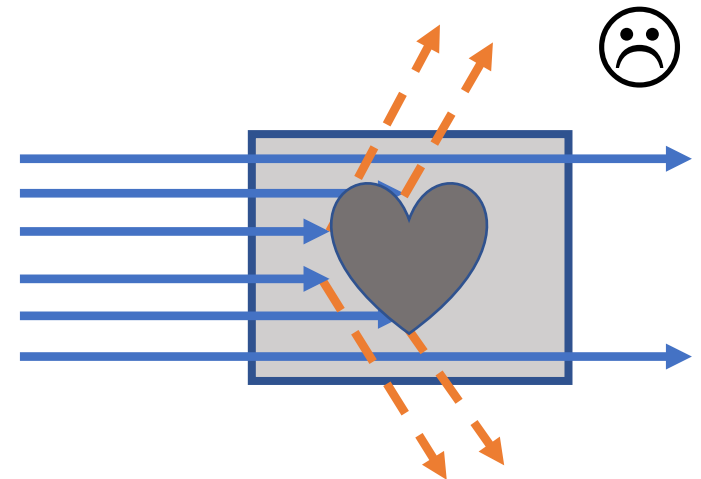
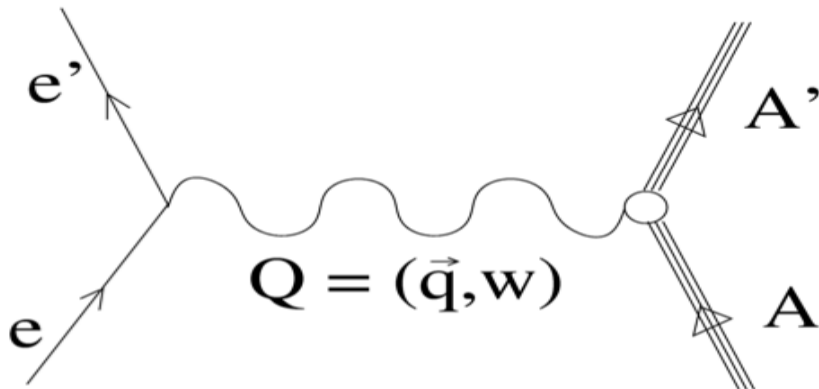
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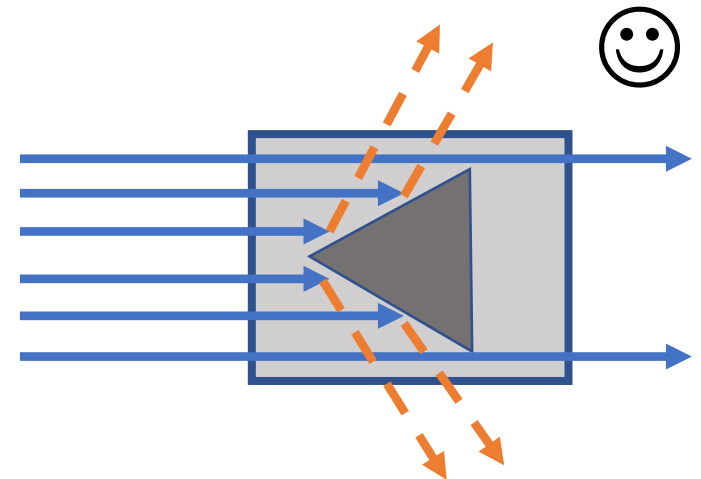
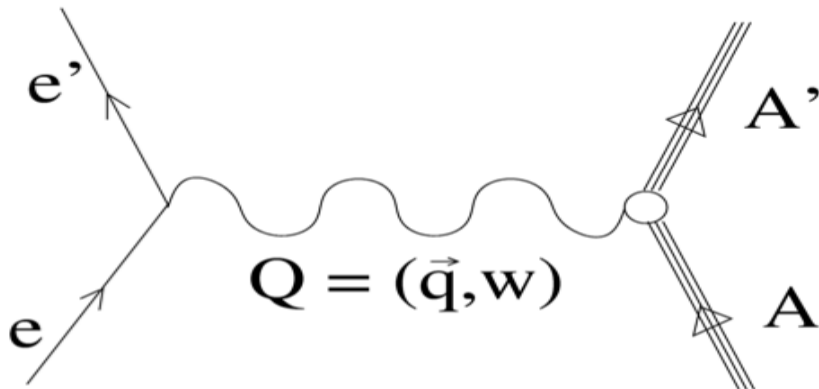
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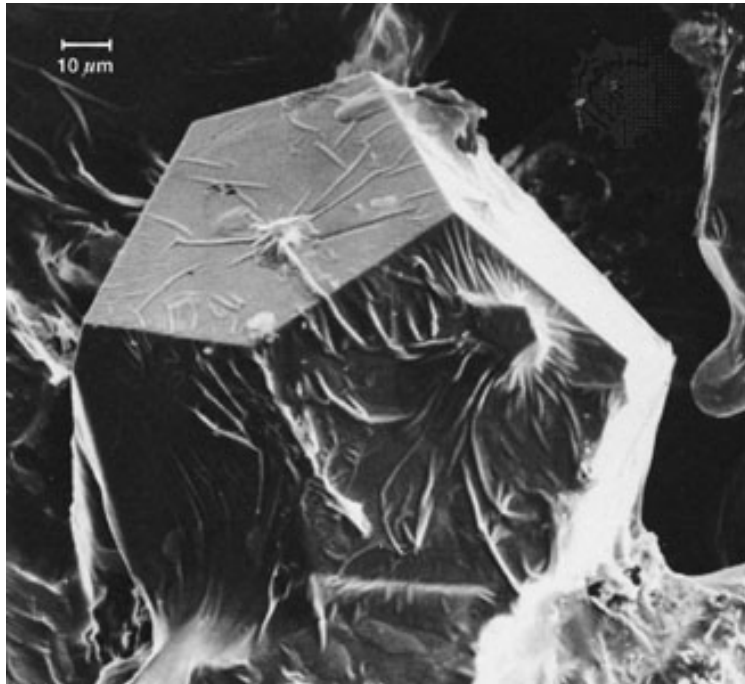


Electron Scattering: Nuclear Microscope

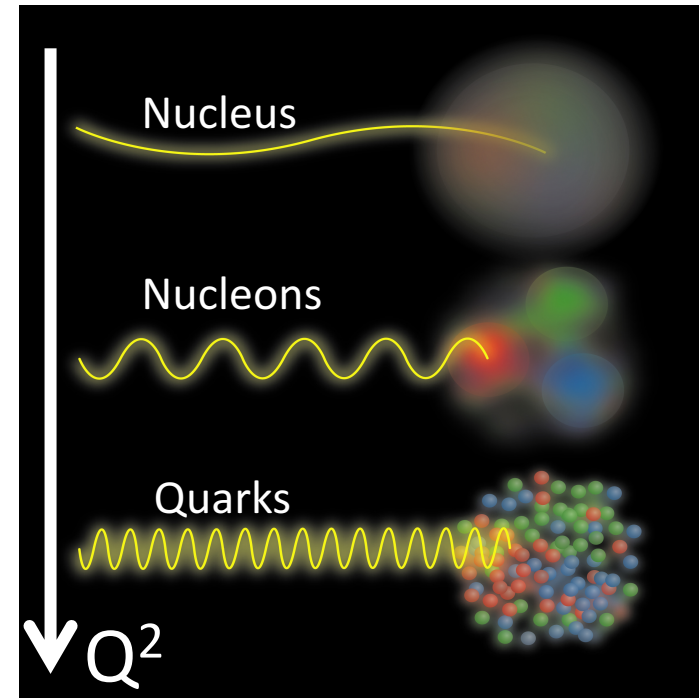
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Means: using high energy lepton scattering

100s eV – 100s keV:
Material structure



100s MeV – 10s GeV:
Nuclear structure

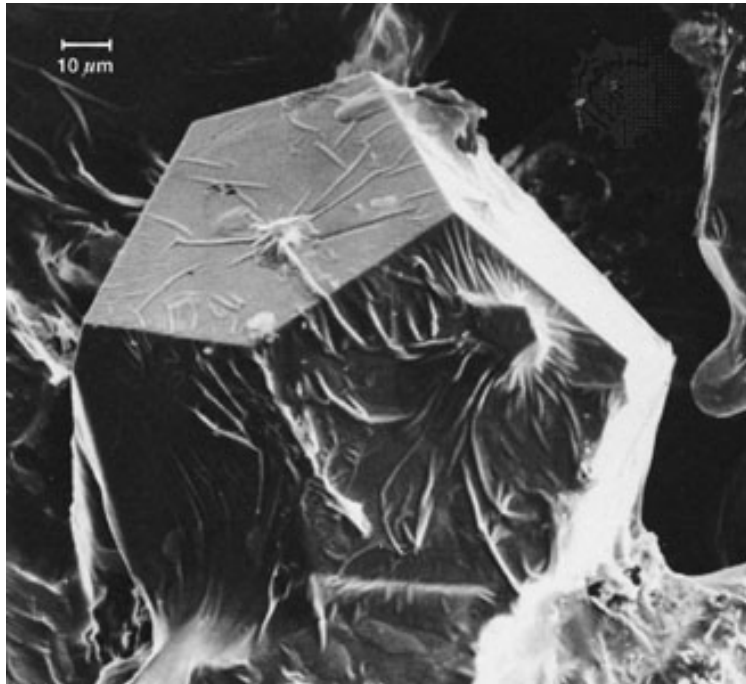


Electron Scattering: Nuclear Microscope

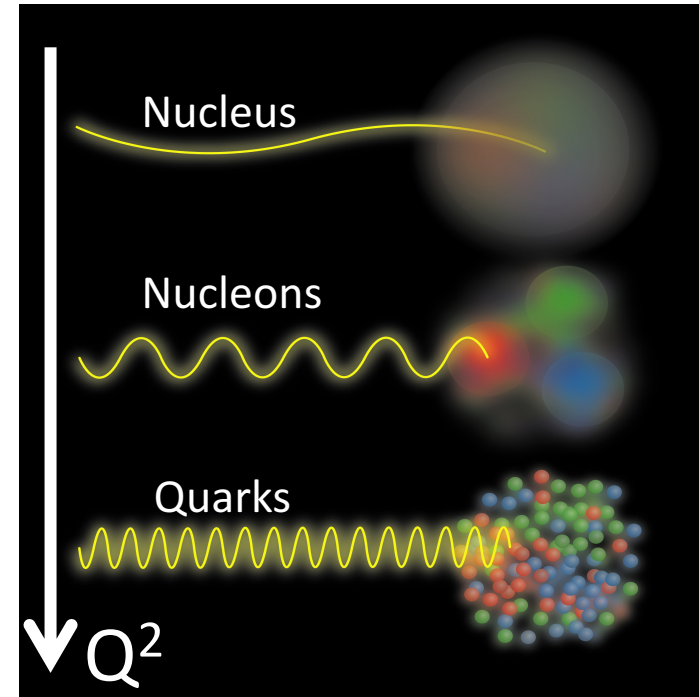
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100s eV – 100s keV:
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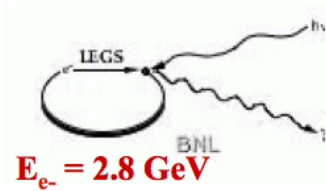


100s MeV – 10s GeV:
Nuclear structure



Energy
=
Resolution !

Worldwide Effort



MIT (BATES)



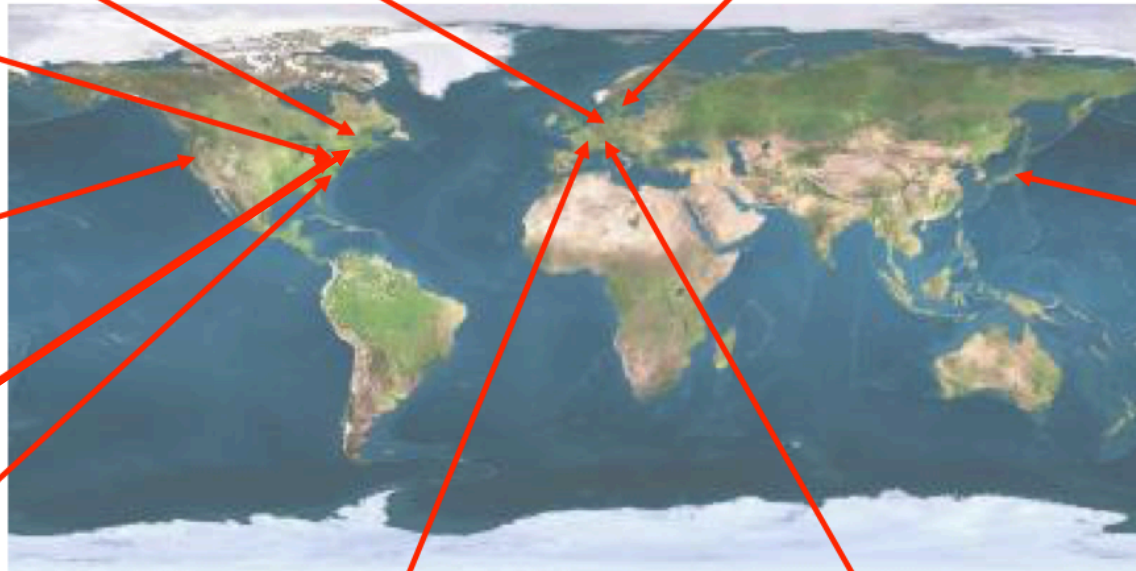
$E_{e^-} = 1.2 \text{ GeV}$

+ others... !

$E_{e^-} = 27.5 \text{ GeV}$



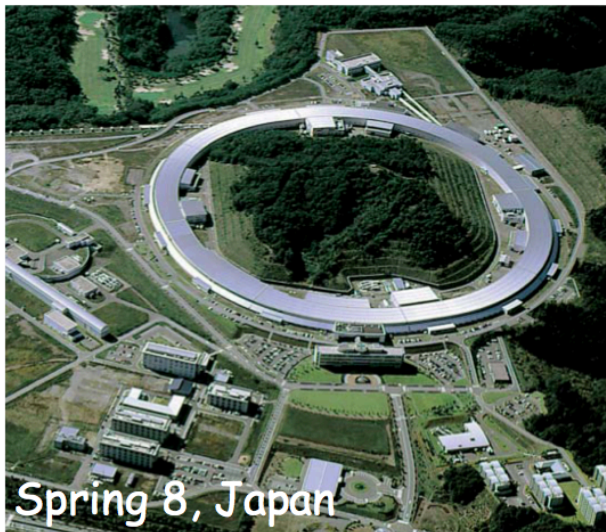
$E_{e^-} = 0.15 \text{ GeV}$



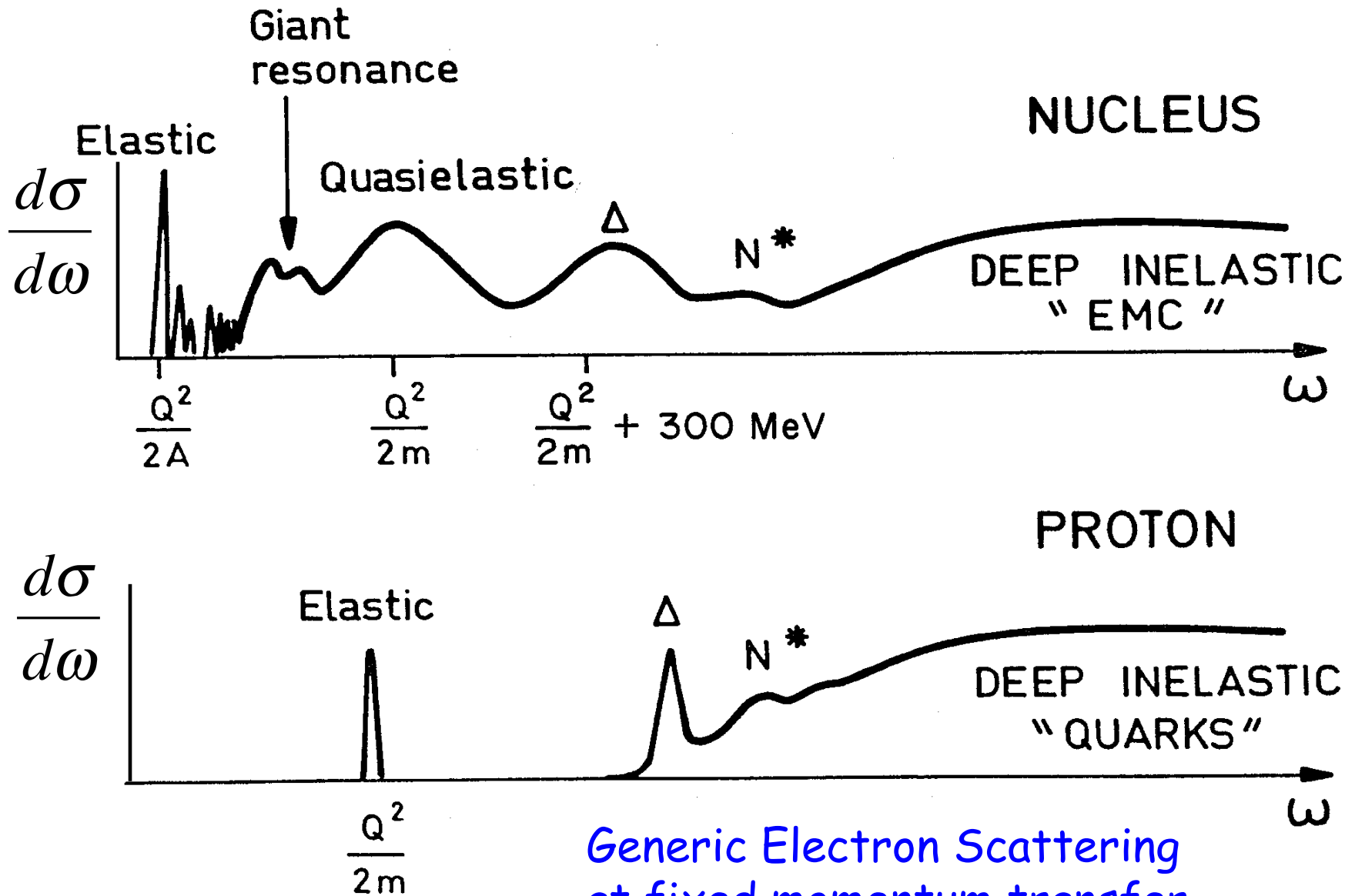
$E_{e^-} = 1.5 \text{ GeV}$



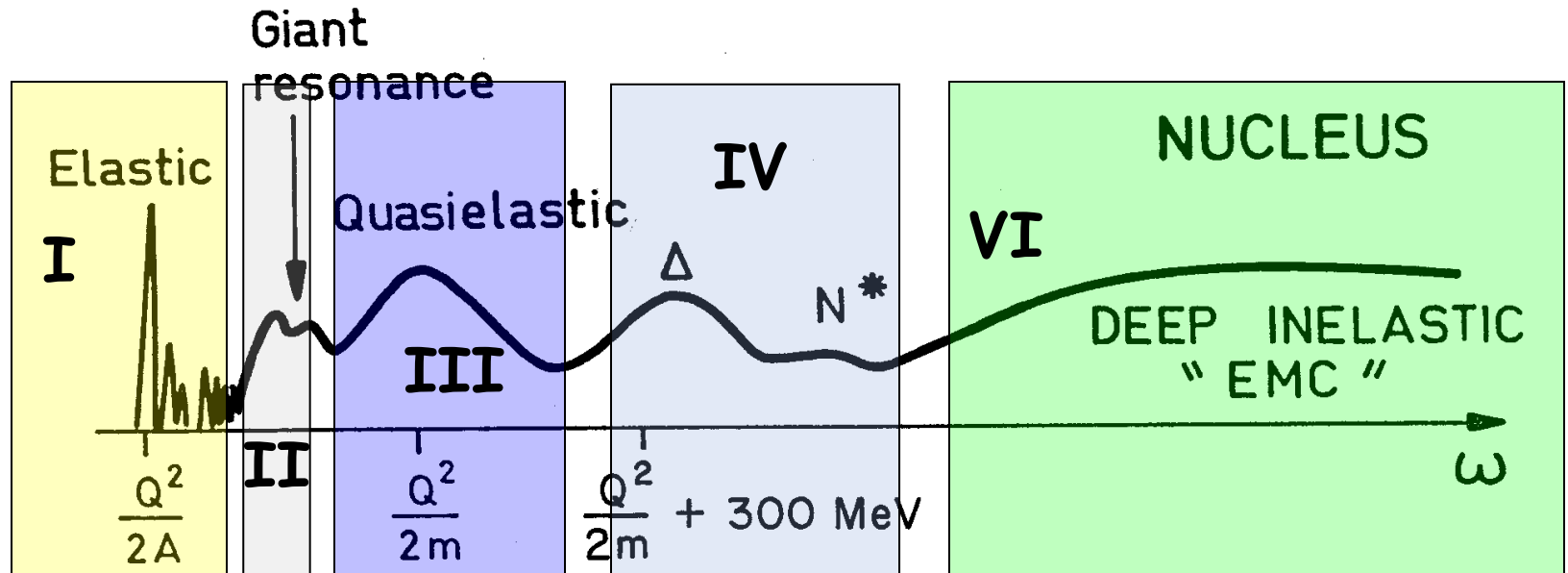
Postcard collection



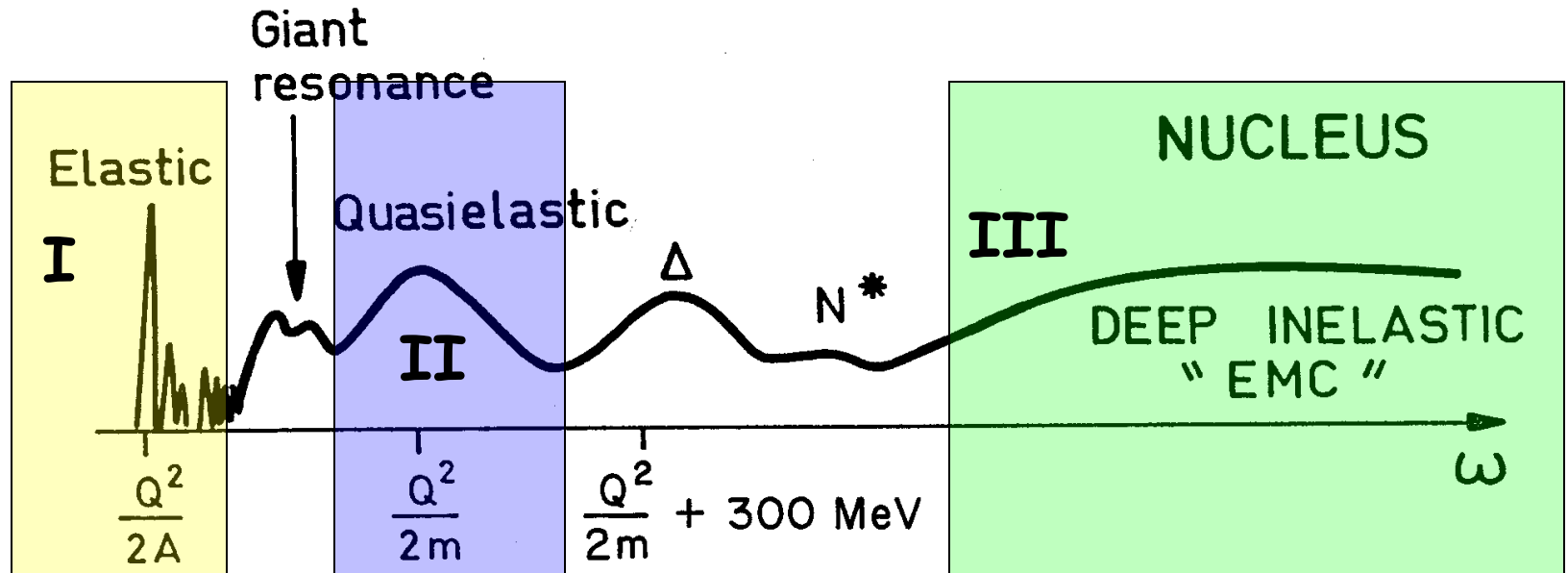
(e,e'): Energy transfer defines physics

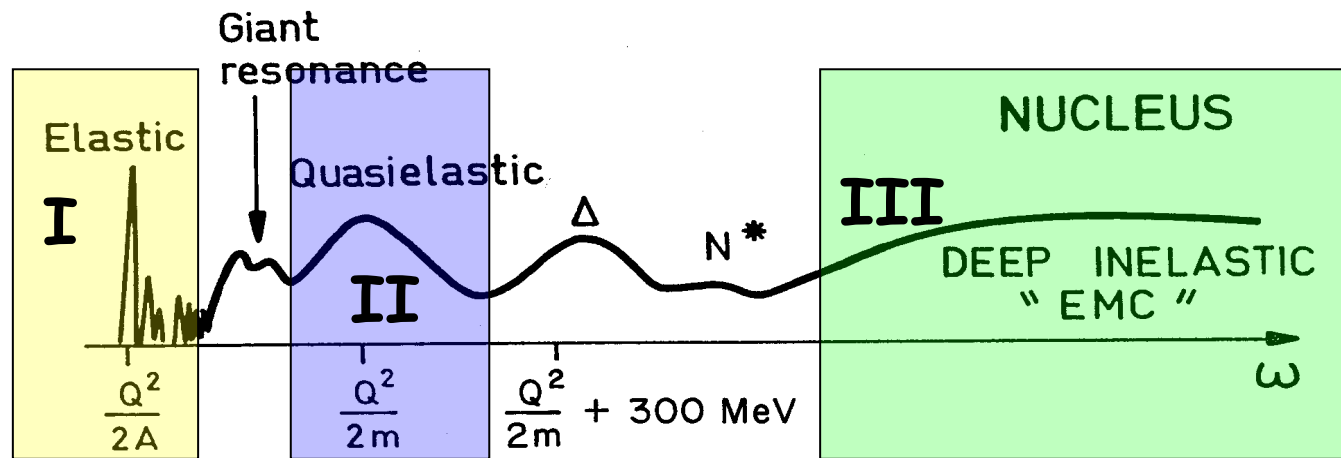


Everything is interesting...



...But we will focus on 3 regions





1. Elastic

- structure of the nucleon / nucleus
 - Form factors, charge distributions, spin dependent FF

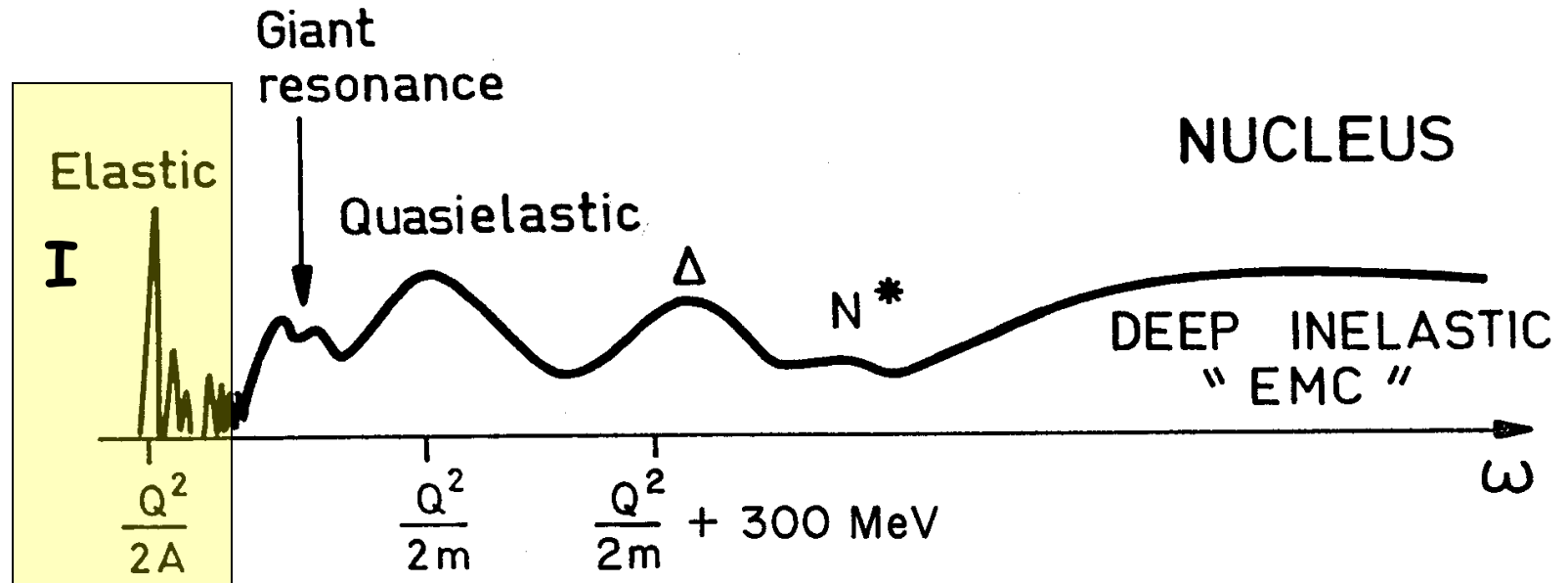
2. Quasielastic (QE)

- Shell structure
 - Momentum distributions
 - Occupancies
- Short Range Correlated nucleon pairs
- Nuclear transparency and color transparency

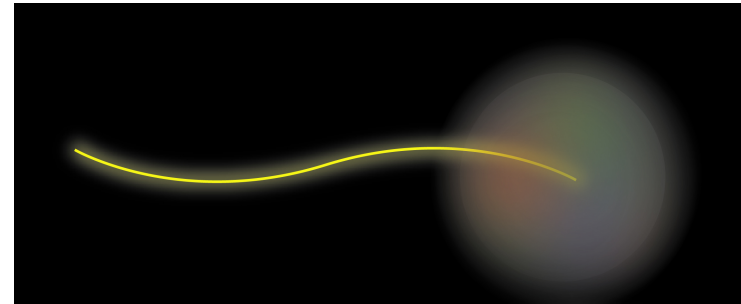
3. Deep Inelastic Scattering (DIS)

- The EMC Effect and Nucleon modification
- Quark hadronization in nuclei

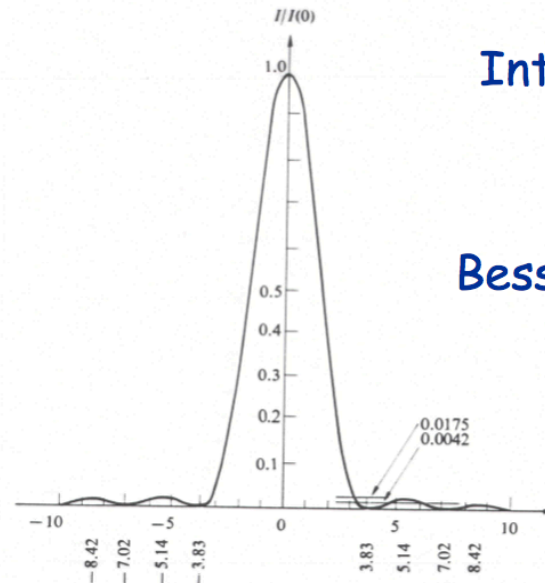
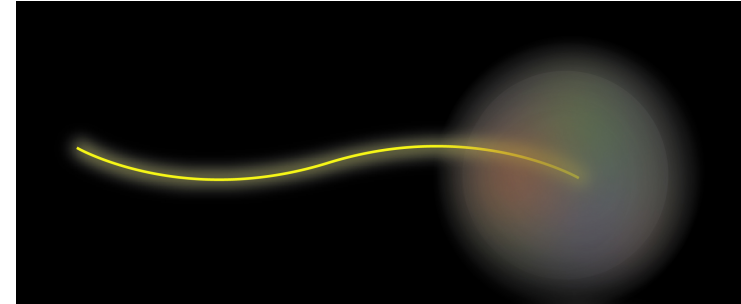
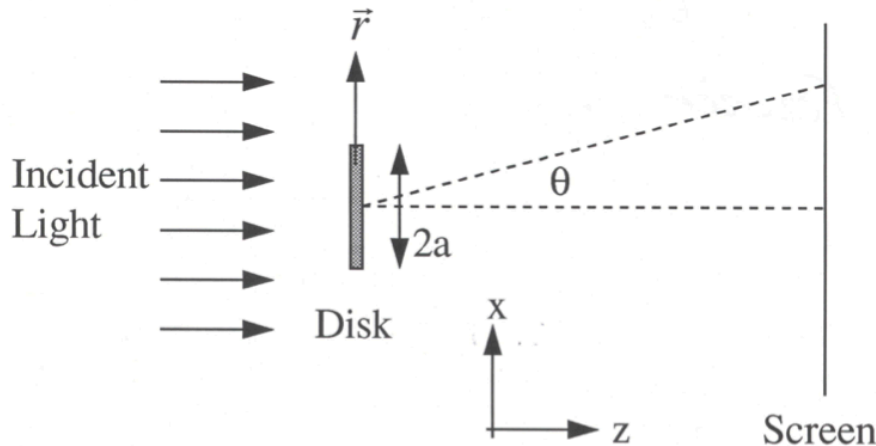
Quick Overview: Elastic



- Nuclear charge (proton) radius
- Nuclear Neutron radius
- Nucleon Form-Factors and charge densities



Diffraction Measurements of Small Radii



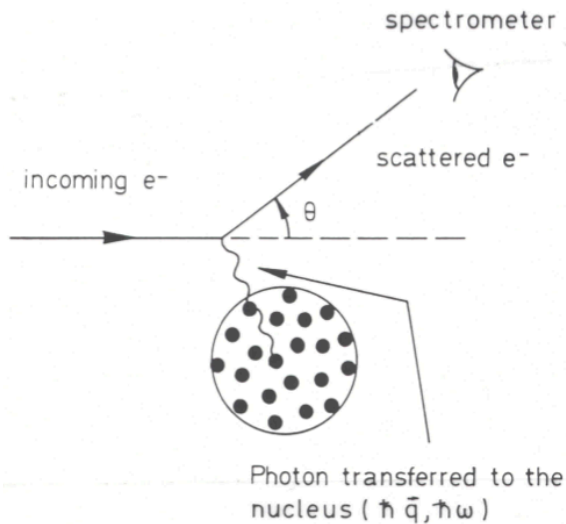
Intensity: $\Phi^2 \propto \left(\frac{J_1\left(\frac{(2\pi a / \lambda) \sin \theta}{\sin \theta}\right)}{\sin \theta} \right)^2$

Minima occur at zeroes of Bessel function. 1st zero: $x = 3.8317$

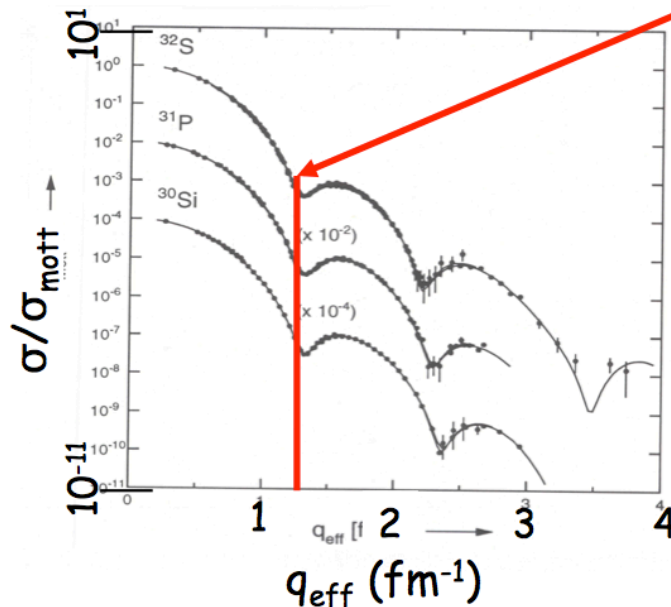
...some algebra...

Hence $2a \approx \frac{1.22\lambda}{\sin \theta}$

Diffraction Measurements of Small Radii



Cross Section \Leftrightarrow Charge Form Factor



1st minimum = 1.3 fm⁻¹

$\rightarrow \theta = 32.8^\circ$

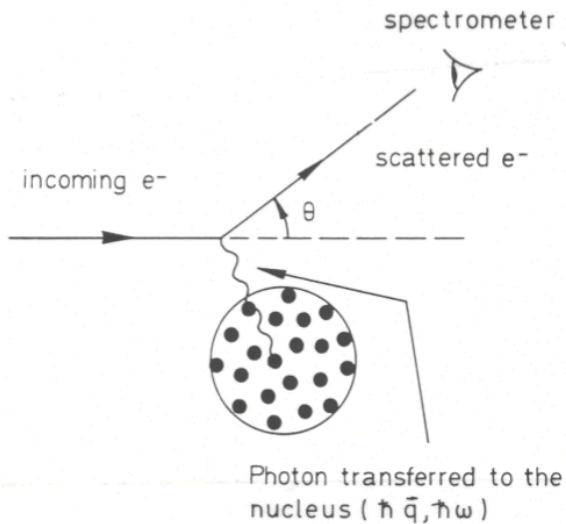
Electron energy = 454.3 MeV

$\rightarrow \lambda = 2.73 \text{ fm}$

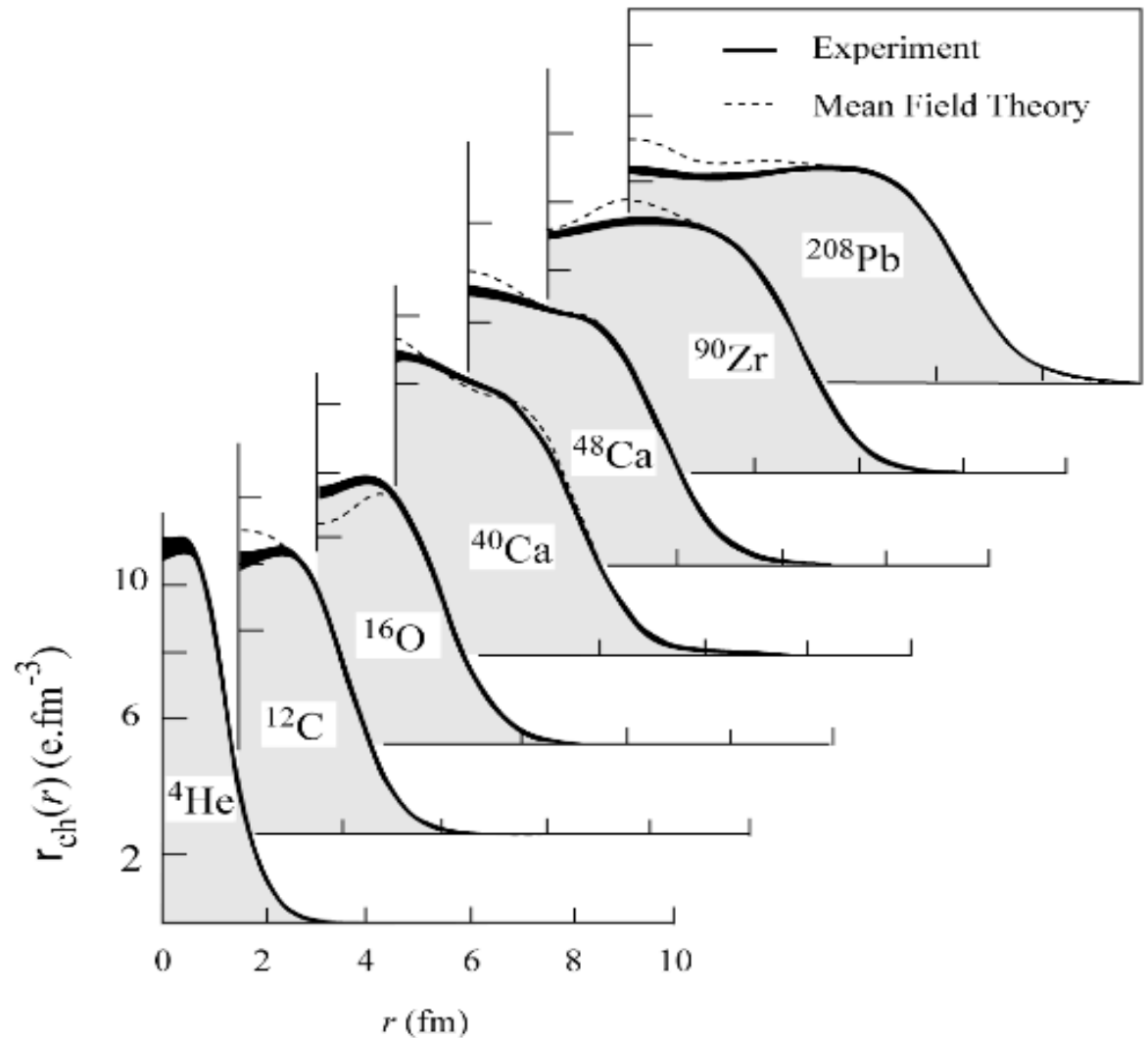
Calculated radius = 3.07 fm

Measured rms radius = 3.19 fm

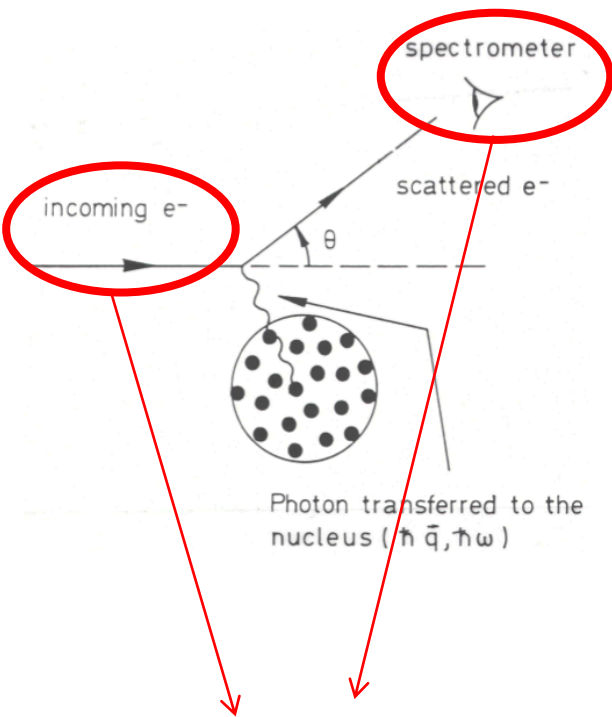
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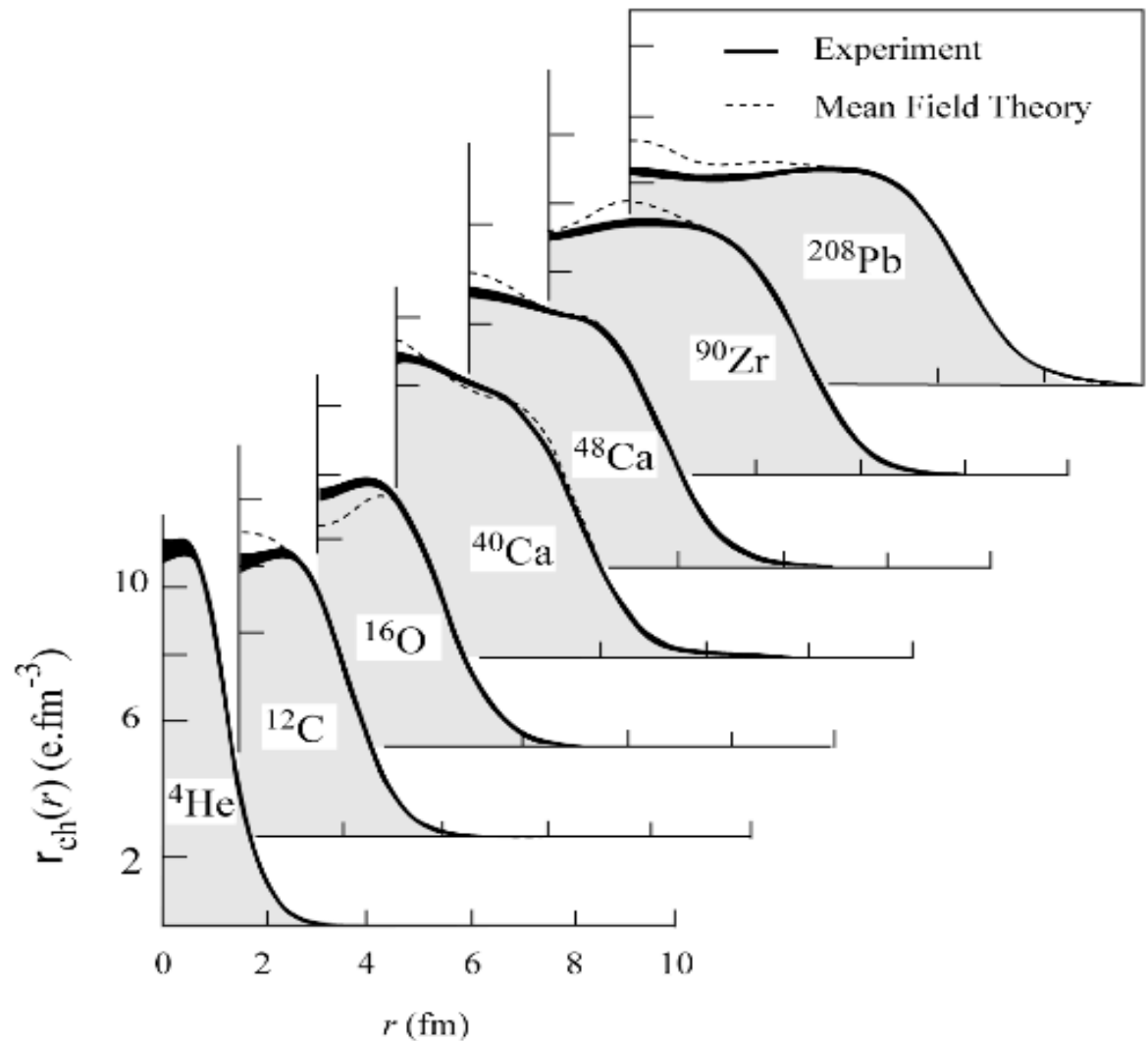
Charge Distribution, $r_{\text{ch}}(r)$, is a Fourier Transform of the Charge Form Factor, $F(q)$



Diffraction Measurements of Small Radii

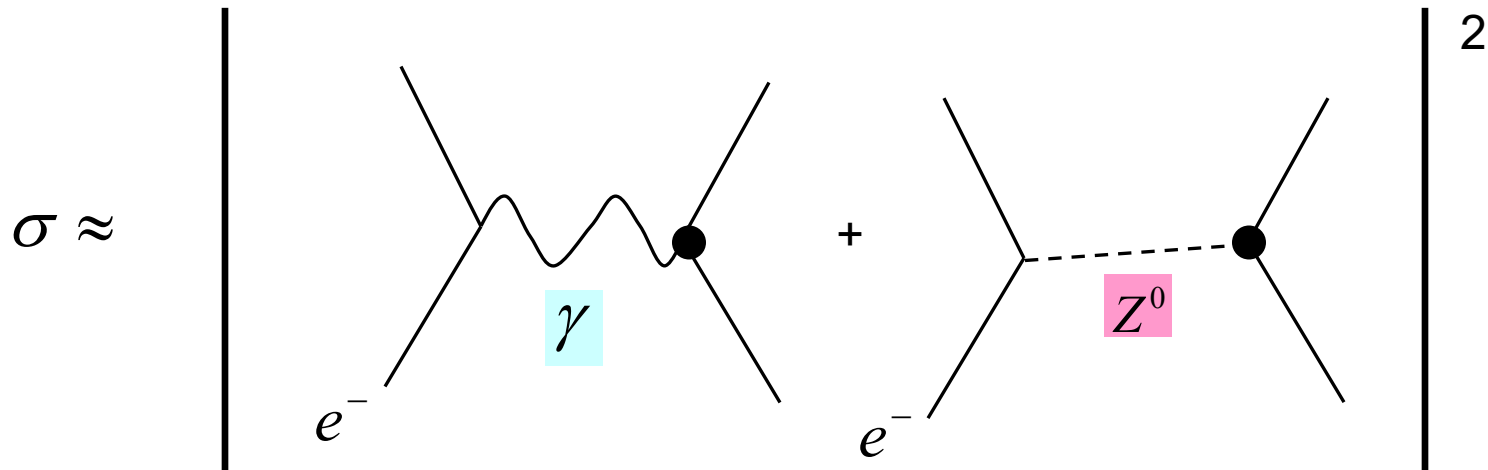


**10s – 100s
Million Dollar
Machines**



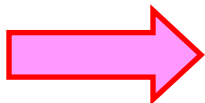
Weak Interaction: Neutron Distribution

Parity Violating Asymmetry



Applications of PV at Jefferson Lab

- Nucleon Structure (strangeness) -- HAPPEX / G0
- Standard Model Tests ($\sin^2 \theta_W$) -- e.g. Qweak
- **Nuclear Structure (neutron density) : PREX**



Weak Interaction: Neutron Distribution

Z⁰ of Weak Interaction:
Clean Probe Couples Mainly to Neutrons

$$A = \frac{\left(\frac{d\sigma}{d\Omega}\right)_R - \left(\frac{d\sigma}{d\Omega}\right)_L}{\left(\frac{d\sigma}{d\Omega}\right)_R + \left(\frac{d\sigma}{d\Omega}\right)_L} = \frac{G_F Q^2}{2\pi\alpha\sqrt{2}} \left[\underbrace{1 - 4\sin^2\theta_W}_{\approx 0} - \frac{F_W(Q^2)}{F_P(Q^2)} \right]$$

$F_W(Q^2)$: ²⁰⁸Pb
Weak Form Factor

$F_P(Q^2)$: ²⁰⁸Pb
Charge Form Factor

Clean Probe Couples Mainly to Neutrons

	proton	neutron
Electric charge	1	0
Weak charge	0.08	1

Weak Interaction: Neutron Distribution

Z^0 of Weak Interaction:
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$F_W(Q^2)$: ^{208}Pb
Weak Form Factor

$F_P(Q^2)$: ^{208}Pb
Charge Form Factor

Clean Probe Couples Mainly to Neutrons

	proton	neutron
Electric charge	1	0
Weak charge	0.08	1

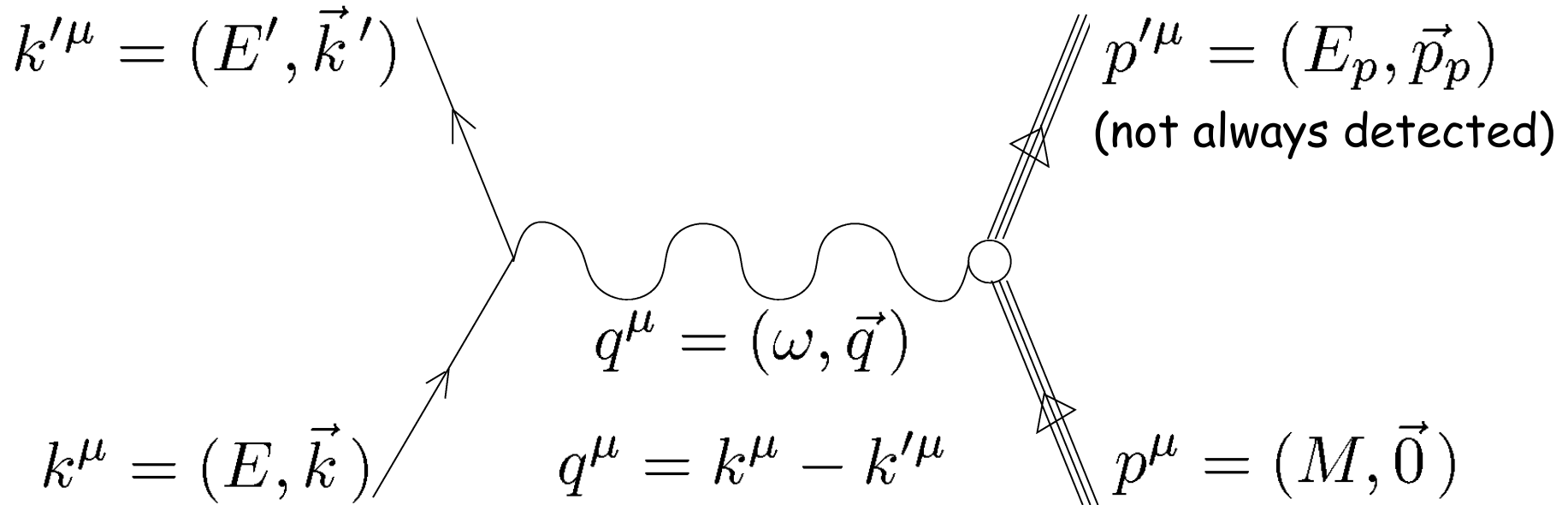
High Accuracy:

$$\frac{dA}{A} = 3\% \rightarrow \frac{dR_n}{R_n} = 1\%$$

R_n = neutron matter radius

From Intuition to Formalism

Lab frame kinematics



Invariants:

$$p^{\mu} p_{\mu} = M^2$$

$$p_{\mu} q^{\mu} = M\omega$$

$$Q^2 = -q^{\mu} q_{\mu} = |\vec{q}|^2 - \omega^2$$

$$W^2 = (q^{\mu} + p^{\mu})^2 = p'_{\mu} p'^{\mu}$$

From Intuition to Formalism (Elastic)

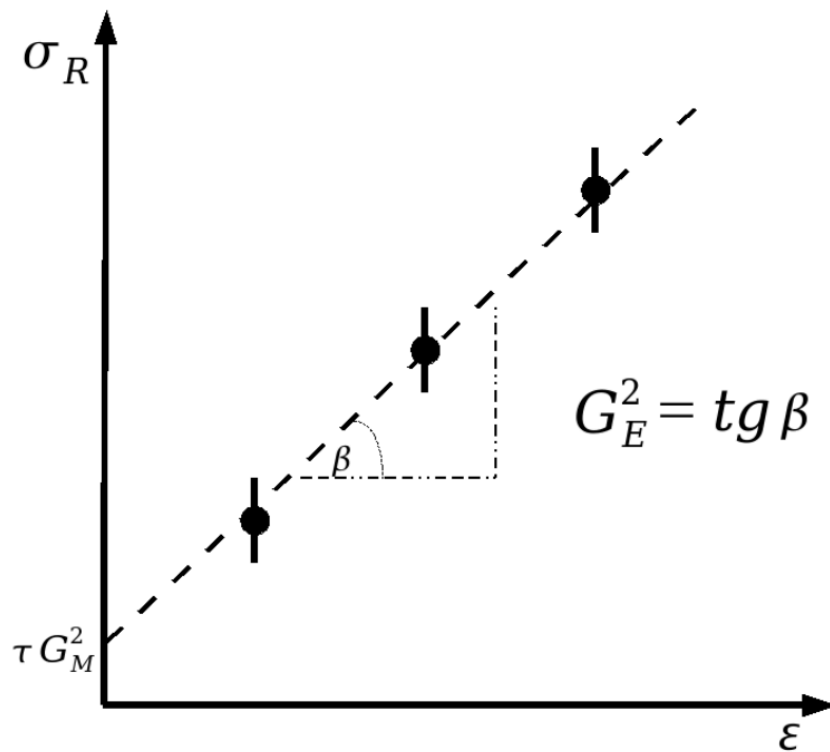
$$\begin{aligned}
 \frac{d\sigma}{d\Omega} &= \underbrace{\sigma_M \left(\frac{E'}{E}\right)}_{\text{Recoil factor}} \left\{ \underbrace{\left[F_1^2(Q^2) + \frac{Q^2}{4M^2} \kappa^2 F_2^2(Q^2) \right]}_{\text{Form factors}} + \frac{Q^2}{2M^2} [F_1(Q^2) + \kappa F_2(Q^2)]^2 \tan^2 \frac{\theta}{2} \right\} \\
 &= \sigma_M \left(\frac{E'}{E}\right) \left[\frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau \tan^2 \frac{\theta}{2} G_M^2(Q^2) \right] \\
 &= \sigma_M \left(\frac{E'}{E}\right) \left[\frac{Q^4}{\vec{q}^4} R_L(Q^2) + \left(\frac{Q^2}{2\vec{q}^2} + \tan^2 \frac{\theta}{2} \right) R_T(Q^2) \right]
 \end{aligned}$$

Mott cross section: $\sigma_M = \frac{\alpha^2 \cos^2 \left(\frac{\theta_e}{2}\right)}{4E^2 \sin^4 \left(\frac{\theta_e}{2}\right)}$

nucleons $\left\{ \begin{array}{l} F_1, F_2: \text{Dirac and Pauli form factors} \\ G_E, G_M: \text{Sachs form factors (electric and magnetic)} \\ G_E(Q^2) = F_1(Q^2) - \tau F_2(Q^2) \quad \tau = Q^2/4M^2 \\ G_M(Q^2) = F_1(Q^2) + F_2(Q^2) \quad (\text{more standard definition of } F_1 \text{ and } F_2) \\ R_L, R_T: \text{Longitudinal and transverse response fn} \end{array} \right.$

Form Factors: Cross-Sections

$$\frac{\varepsilon}{\tau} G_E^2 + G_M^2 = \frac{\varepsilon(1+\tau)}{\tau} \left[\frac{d\sigma}{d\Omega} / \left(\frac{d\sigma}{d\Omega} \right)_{Mott+recoil} \right]$$



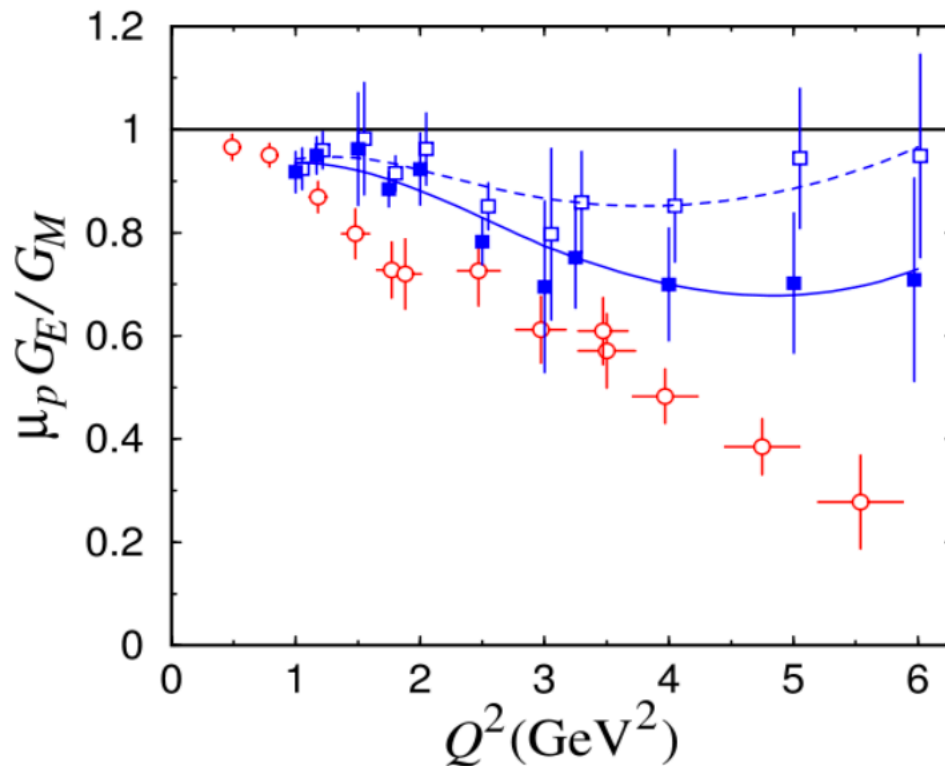
See D.
Higinbotham
talk

Form Factors: Polarization Transfer

$$I_0 P_x = -2\sqrt{\tau(1+\tau)} G_E G_M \tan(\theta/2)$$
$$I_0 P_z = \frac{1}{M} (E - E') \sqrt{\tau(1+\tau)} G_M^2 \tan^2(\theta/2)$$

➔

$$\frac{G_E^2}{G_M^2} = \frac{-P_x}{P_z} \frac{E + E'}{2M} \tan(\theta/2)$$



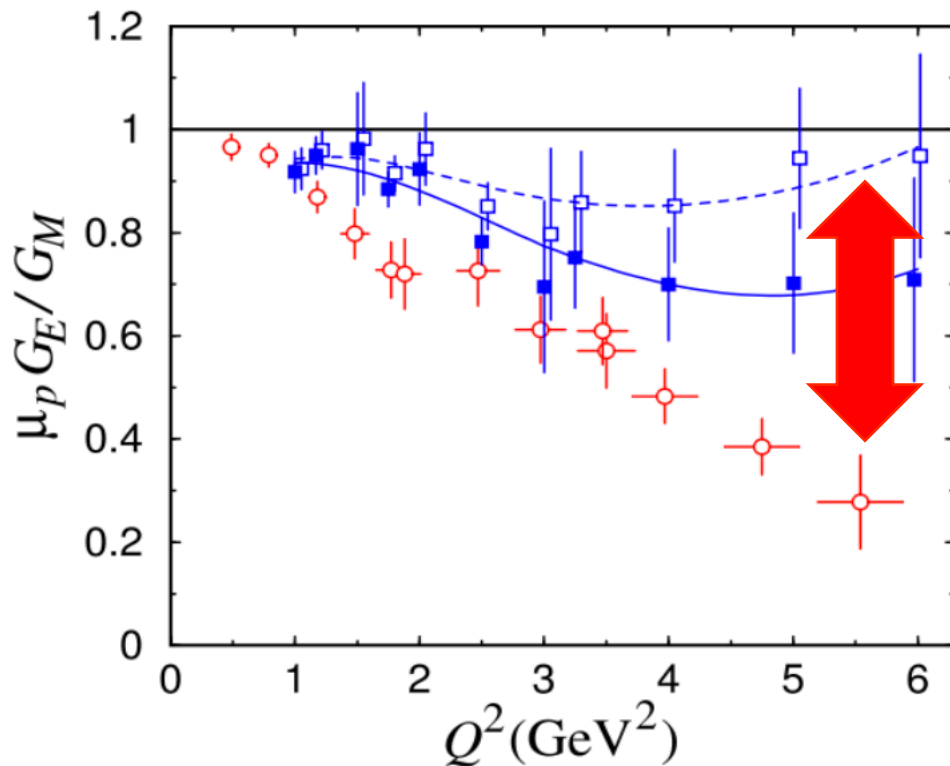
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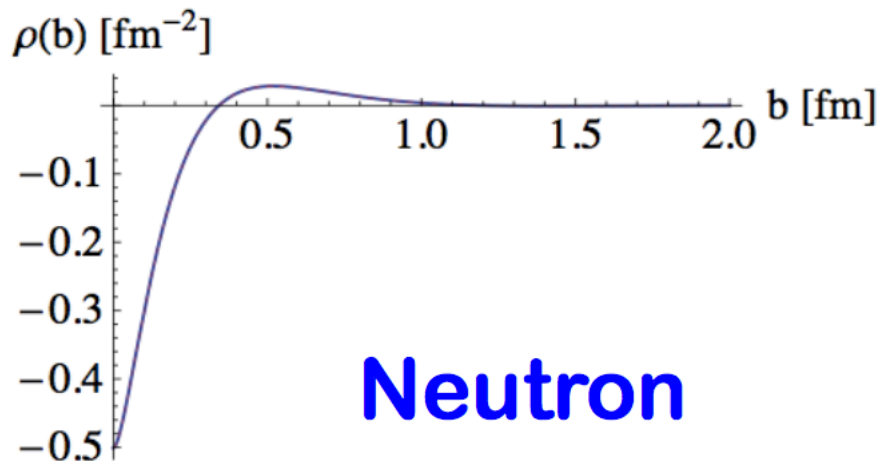
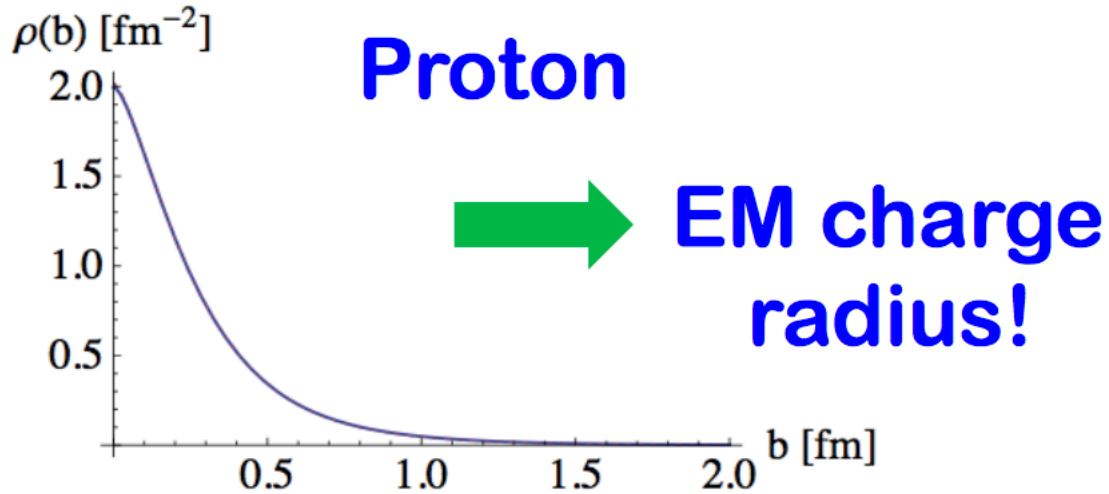


$$\frac{G_E^2}{G_M^2} = \frac{-P_x}{P_z} \frac{E+E'}{2M} \tan(\theta/2)$$

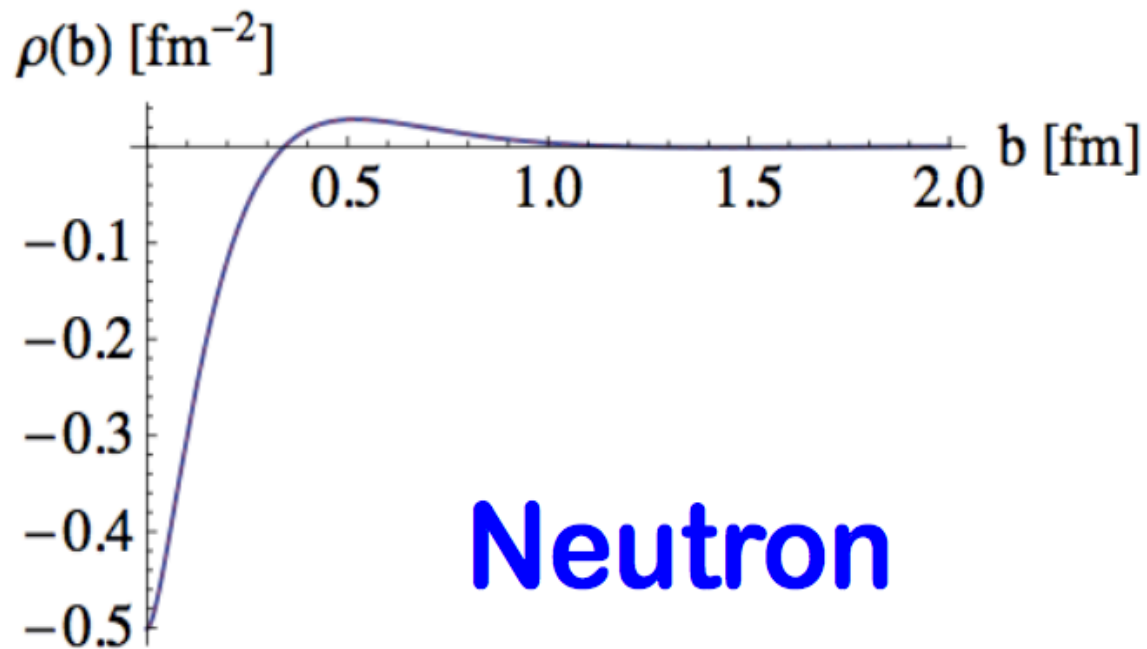


LARGE Discrepancy!
(2 photon exchange? More on this if we have time at the end)

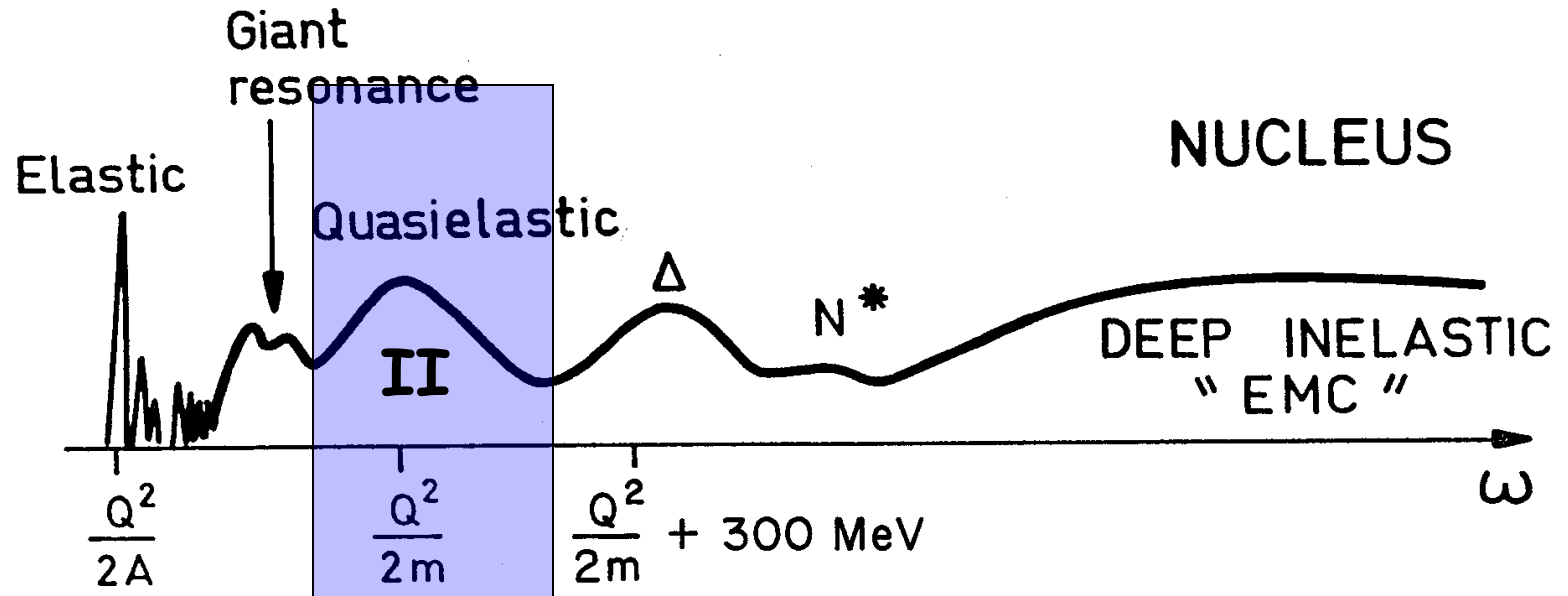
Electric charge distribution



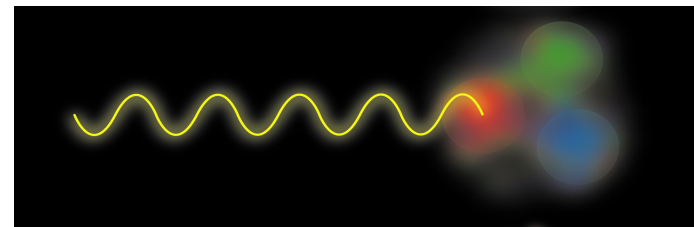
Neutron is negative in its center and positive in the edge!!!



Quick Overview: Quasi-Elastic

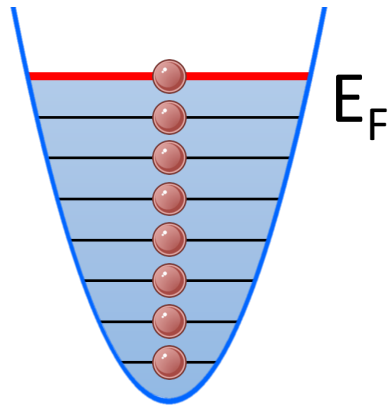


- Momentum Densities: Fermi Gas
- Y-Scaling
- Shell Structure and spectroscopic factors



What is a Nucleus ?

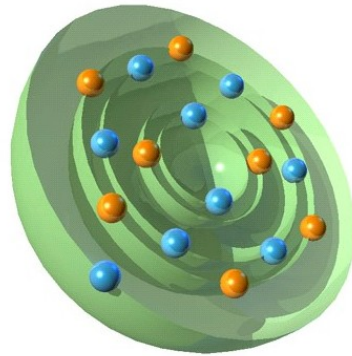
Fermi
Gas
Model



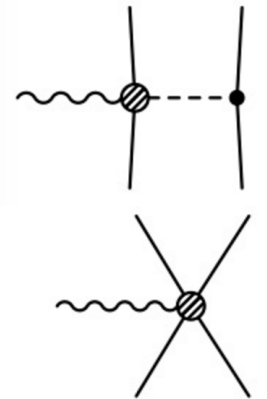
Liquid
Drop
Model



Shell
Model



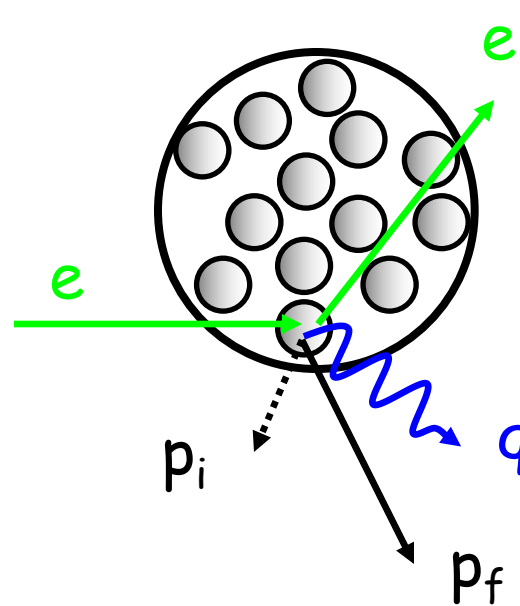
Chiral
Perturbation
Theory*



* Should converge to exact solution

Fermi gas model:

how simple a model can you make ?



Initial nucleon energy: $KE_i = p_i^2 / 2m_p$

Final nucleon energy: $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$

Energy transfer:
$$v = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$$

Expect:

- Peak centroid at $v = q^2/2m_p + \epsilon$
- Peak width $2qp_{\text{fermi}}/m_p$
- Total peak cross section = $Z\sigma_{\text{ep}} + N\sigma_{\text{en}}$

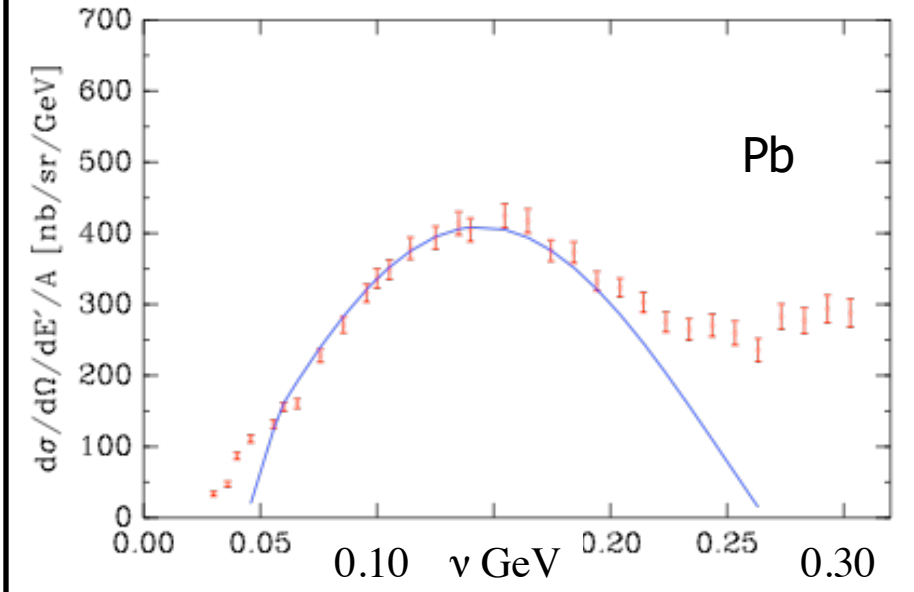
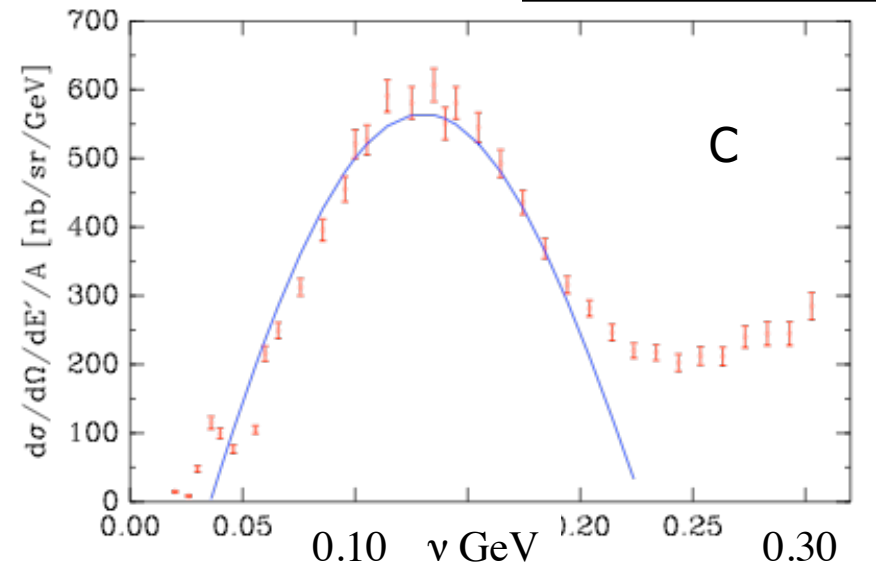
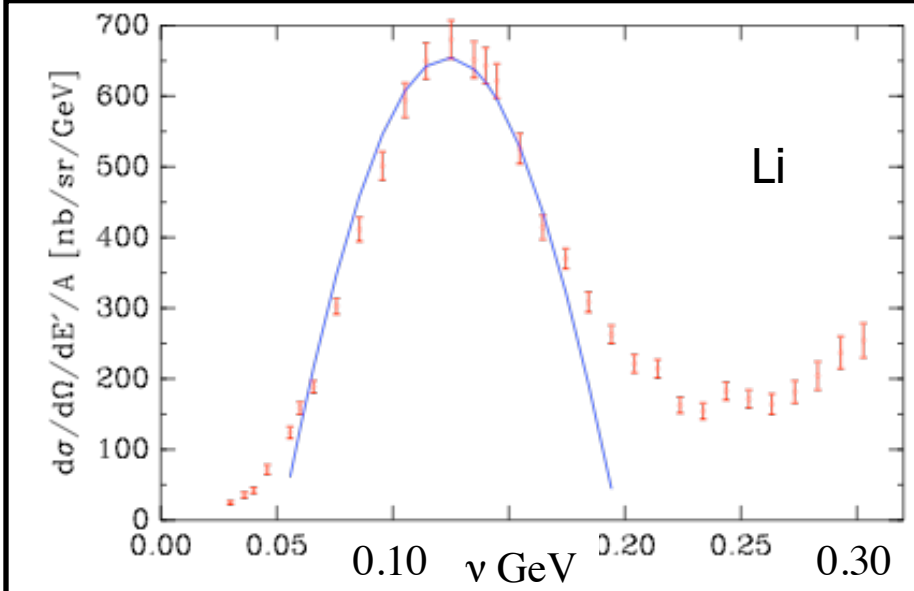
Early 1970's Quasielastic Data

-> getting the bulk features

500 MeV, 60 degrees

$\vec{q} \approx 500 \text{ MeV}/c$

R.R. Whitney et al.,
PRC 9, 2230 (1974).



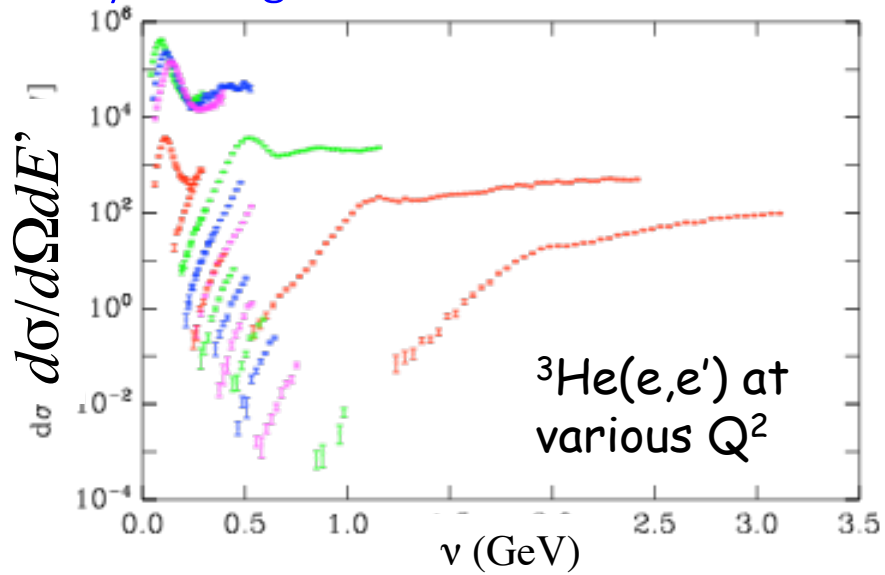
Nucleus	k_F MeV/c	$\bar{\epsilon}$ MeV
${}^6\text{Li}$	169	17
${}^{12}\text{C}$	221	25
${}^{24}\text{Mg}$	235	32
${}^{40}\text{Ca}$	251	28
<i>nat</i> Ni	260	36
${}^{89}\text{Y}$	254	39
<i>nat</i> Sn	260	42
${}^{181}\text{Ta}$	265	42
${}^{208}\text{Pb}$	265	44

compared to Fermi model: fit parameter k_F and ϵ

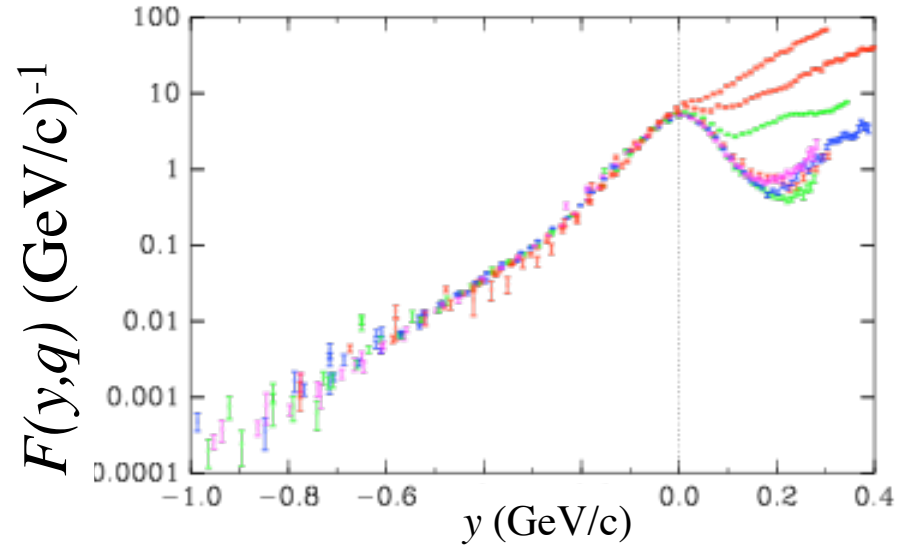
Scaling

- The dependence of a cross section, in certain kinematic regions, on a single variable.
 - **scaling** validates the scaling assumption.
 - **Scale-breaking** indicates new physics.
- At moderate Q^2 and $x > 1$ we expect to see evidence for **y-scaling**, indicating that the electrons are scattering from quasifree nucleons
 - **y** = minimum momentum of struck nucleon
- At high Q^2 we expect to see evidence for **x-scaling**, indicating that the electrons are scattering from quarks.
 - **x** = $Q^2/2mv$ = fraction of nucleon momentum carried by struck quark (in infinite momentum frame)

y-scaling in inclusive electron scattering from ^3He



$$F(y) = \frac{\sigma^{\text{exp}}}{(Z\tilde{\sigma}_p + N\tilde{\sigma}_n)} \cdot K$$



$$n(k) = -\frac{1}{2\pi y} \frac{dF(y)}{dy}$$

Assumption: scattering takes place from a quasi-free proton or neutron in the nucleus.

y is the momentum of the struck nucleon parallel to the momentum transfer:
 $y \approx -q/2 + mv/q$ (nonrelativistically)

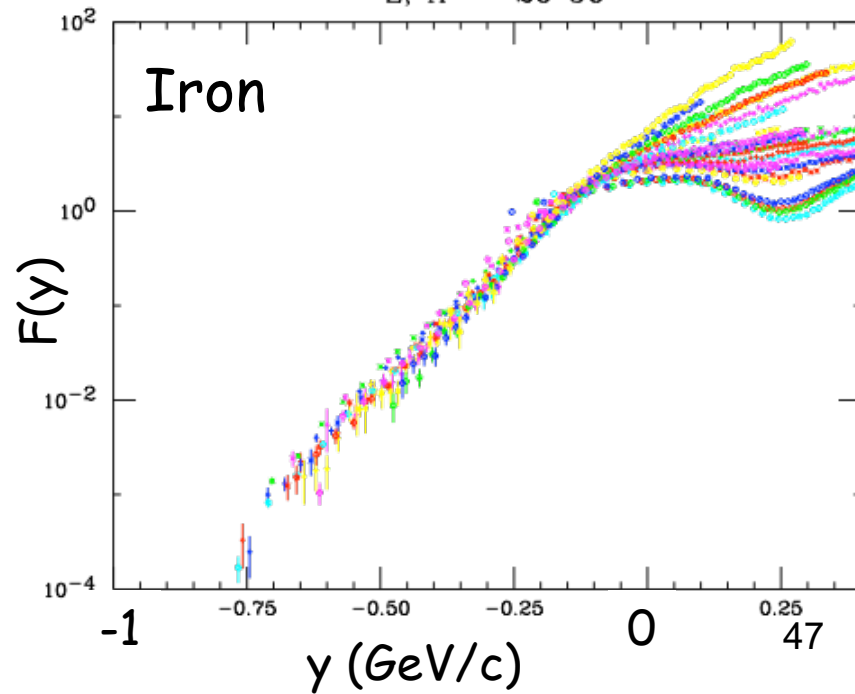
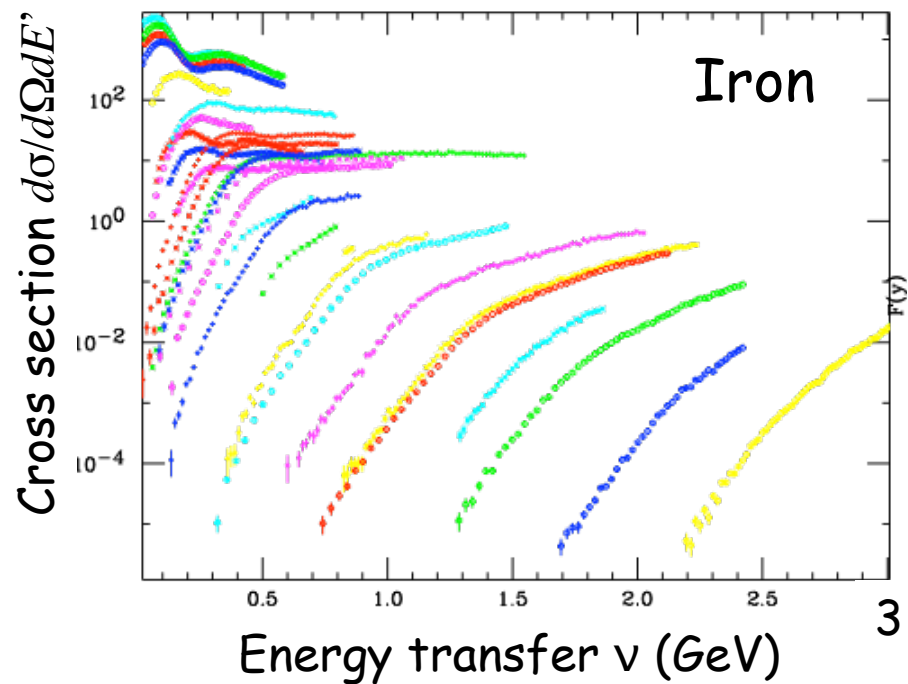
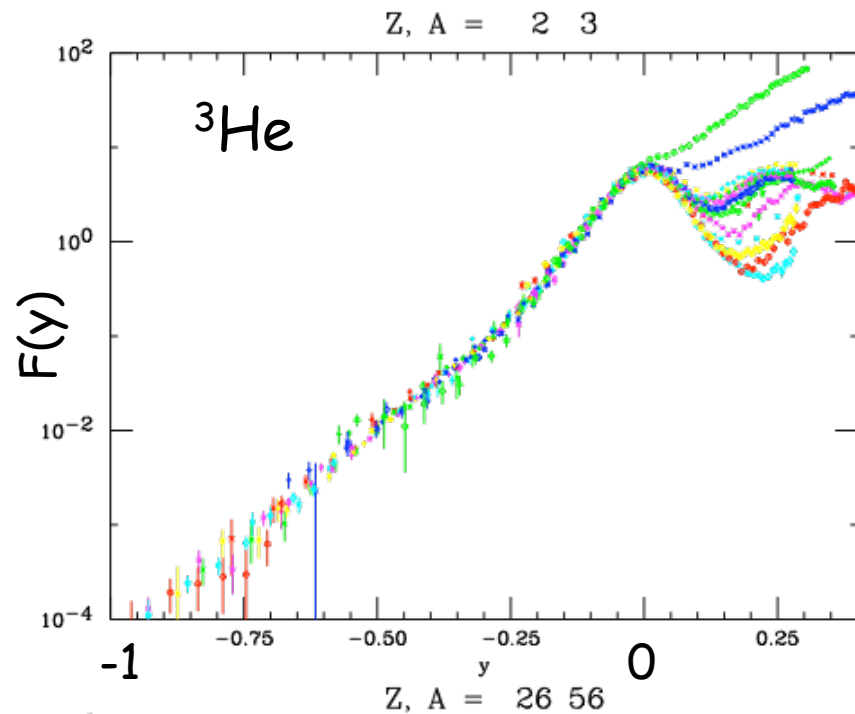
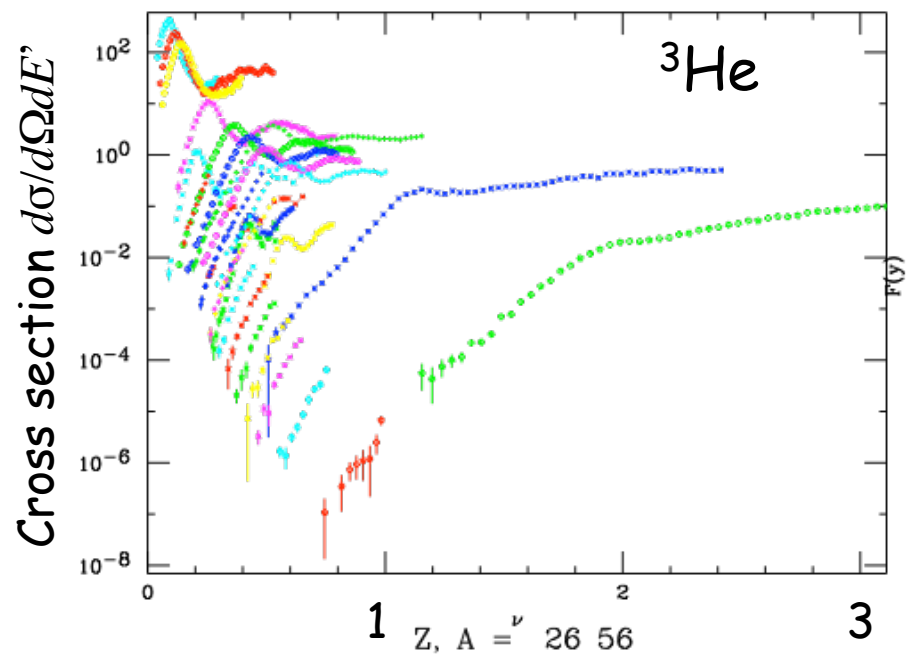
IF the scattering is quasifree, **then** $F(y)$ is the integral over all perpendicular nucleon momenta (nonrelativistically).

Goal: extract the momentum distribution $n(k)$ from $F(y)$.

Assumptions & Potential Scale Breaking Mechanisms

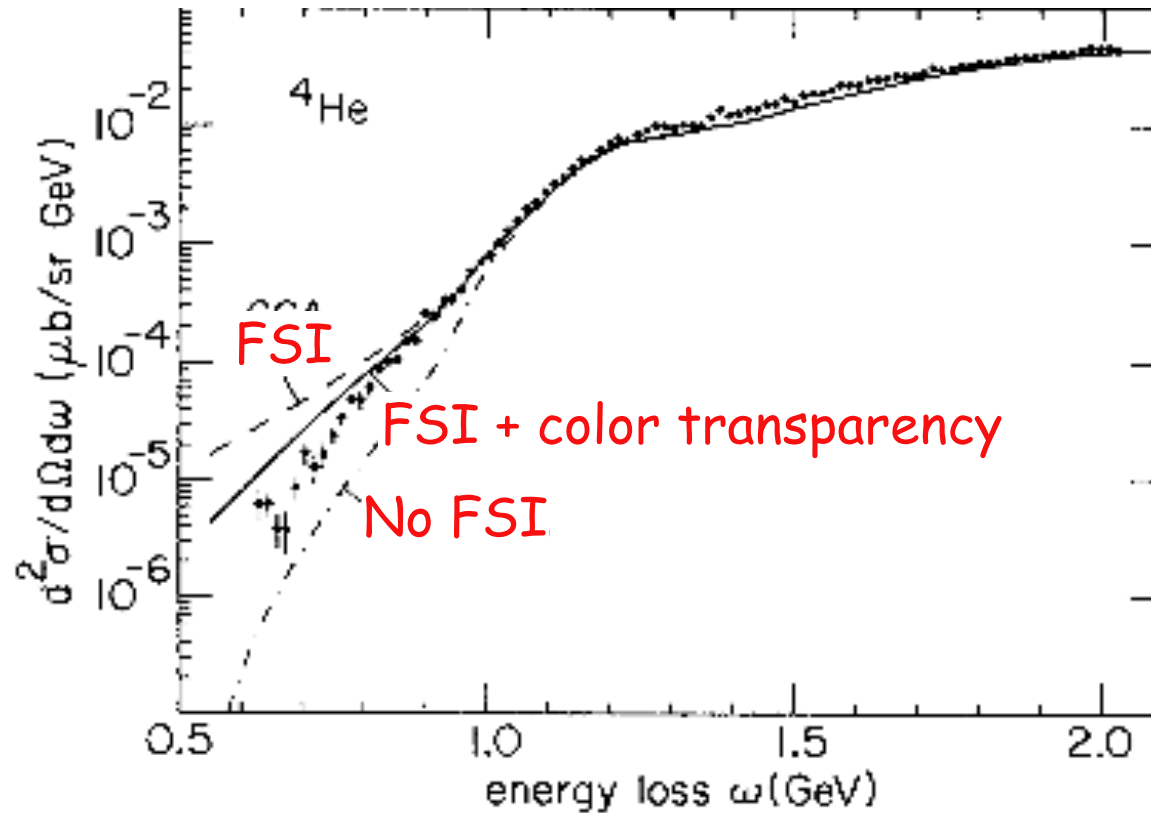
- No Final State Interactions (FSI)
- No internal excitation of (A-1)
- Full strength of Spectral function can be integrated over at finite q
- No inelastic processes (choose $\gamma < 0$)
- No medium modifications (discussed later)

Y-scaling works!



Final State Interactions (FSI)
complicate this simple picture

${}^4\text{He}(e,e')$ at 3.595 GeV, 30°

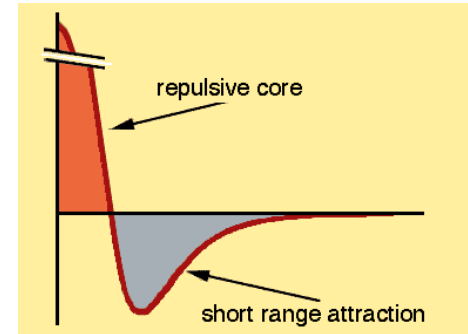


Benhar et al. PRC 44, 2328
Benhar, Pandharipande, PRC 47, 2218
Benhar et al. PLB 3443, 47

But what about the Shell Model?

- Many-Body Hamiltonian:

$$H = \sum_{i=1}^A \frac{p^2}{2m_N} + \sum_{i<j=1}^A v_{2body}(i,j) + \sum_{i<j<k=1}^A v_{3body}(i,j,k) + \dots$$

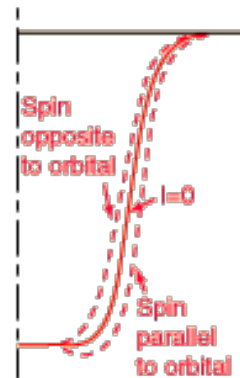
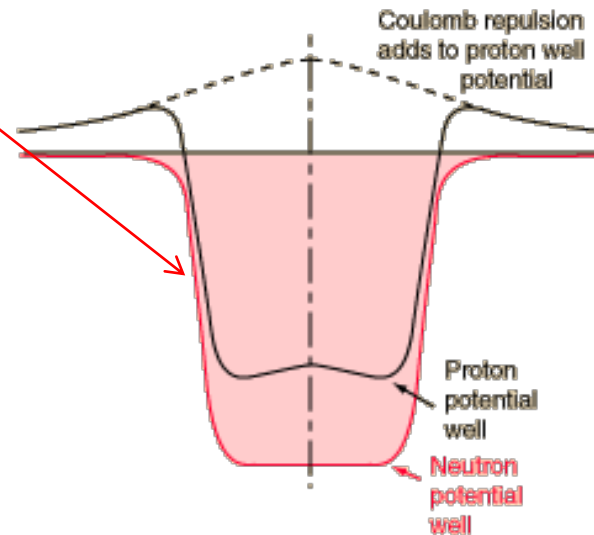


- Mean-Field Approximation:

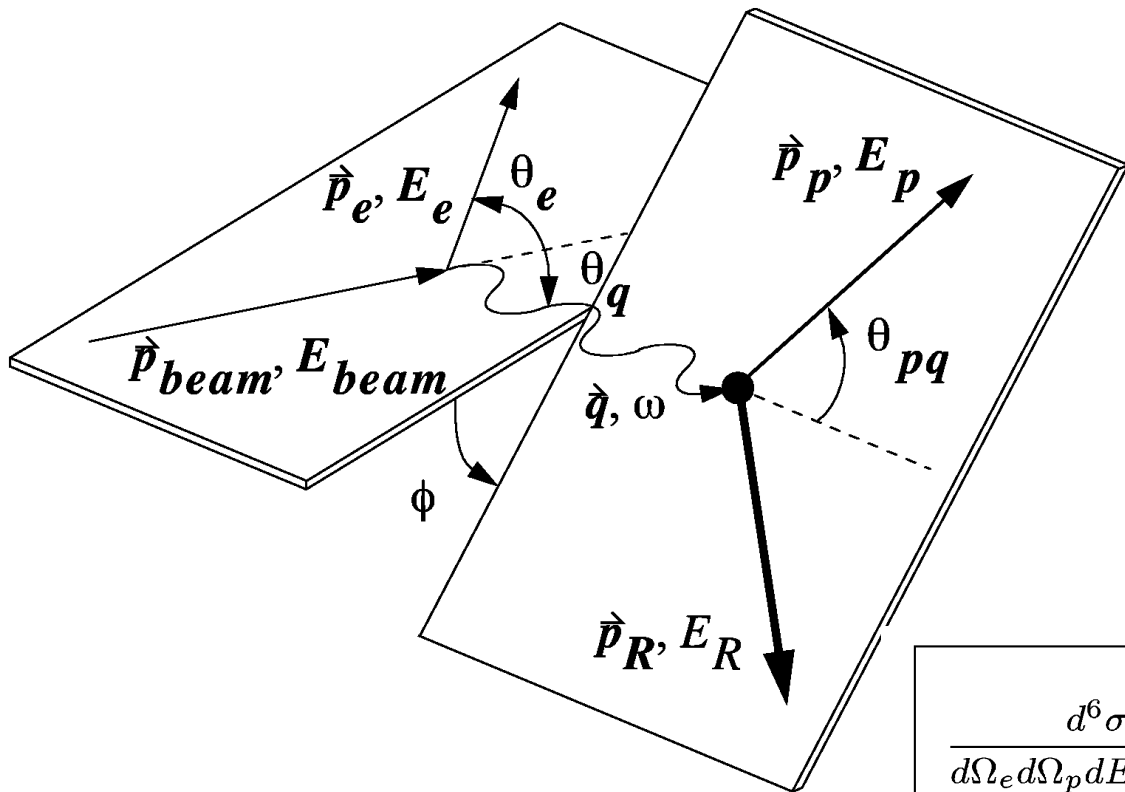
$$H = \sum_{i=1}^A \frac{p^2}{2m_N} + \sum_{i=1}^A V(i)$$

Results in an “atom-like” shell model:

- Ground state energies
- Excitation Spectrum
- Spins
- Parities
- ...



E. Wigner, M. Mayer, and J. Jenson,
1962 Nobel Prize



(e,e'p) Spectroscopy

$$\frac{d^6\sigma}{d\Omega_e d\Omega_p dE_{\text{miss}} d\omega} = K\sigma_{\text{Mott}} [v_L \mathbf{R}_L + v_T \mathbf{R}_T + v_{LT} \mathbf{R}_{LT} \cos(\phi) + v_{TT} \mathbf{R}_{TT} \cos(2\phi)]$$

And then there were four
(response functions, that is)

where

K = (phase space)

σ_{Mott} = (relativistic Rutherford scattering)

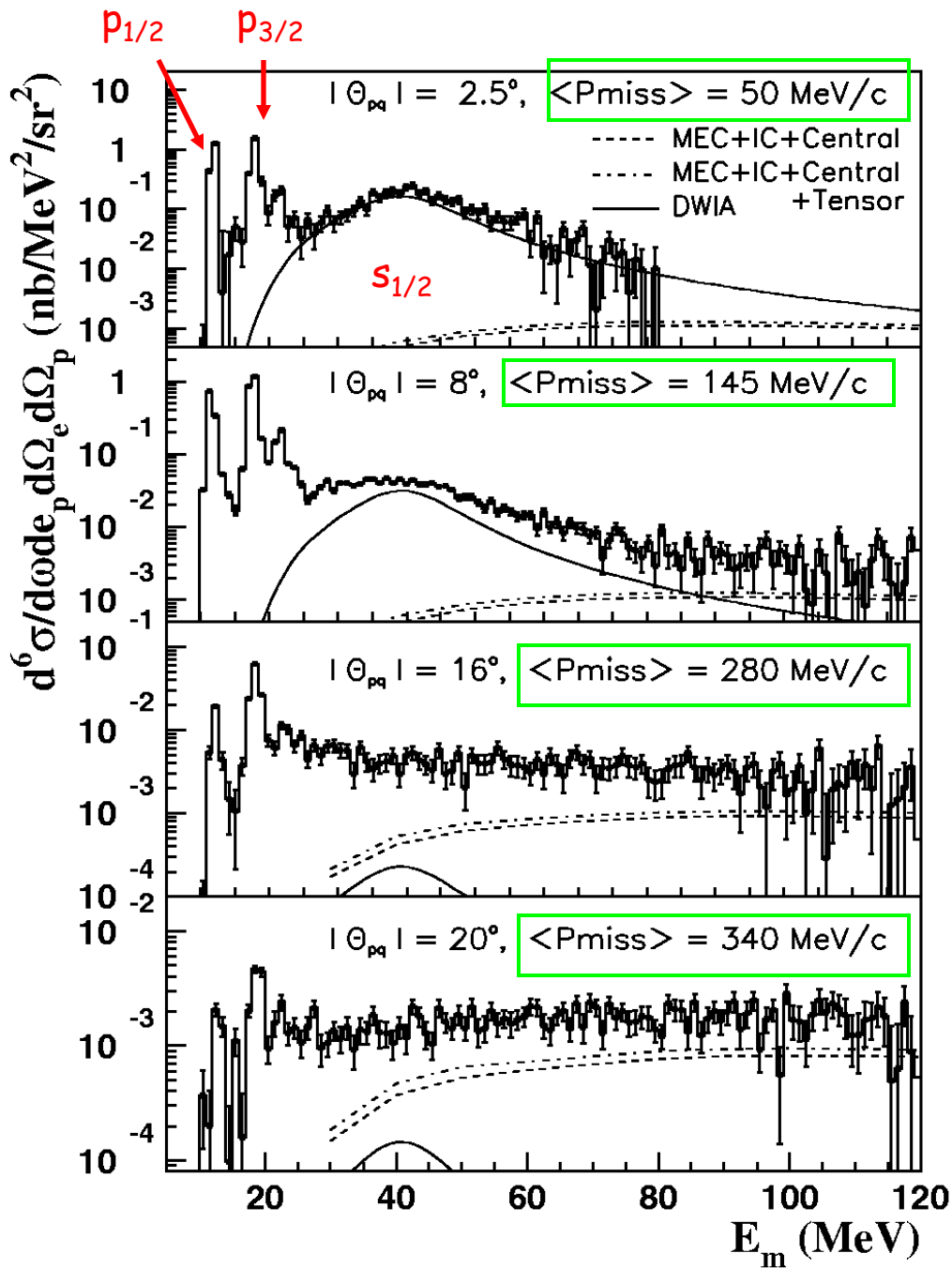
$v = v(q, \omega)$ (electron kinematics)

Each R now depends on more variables

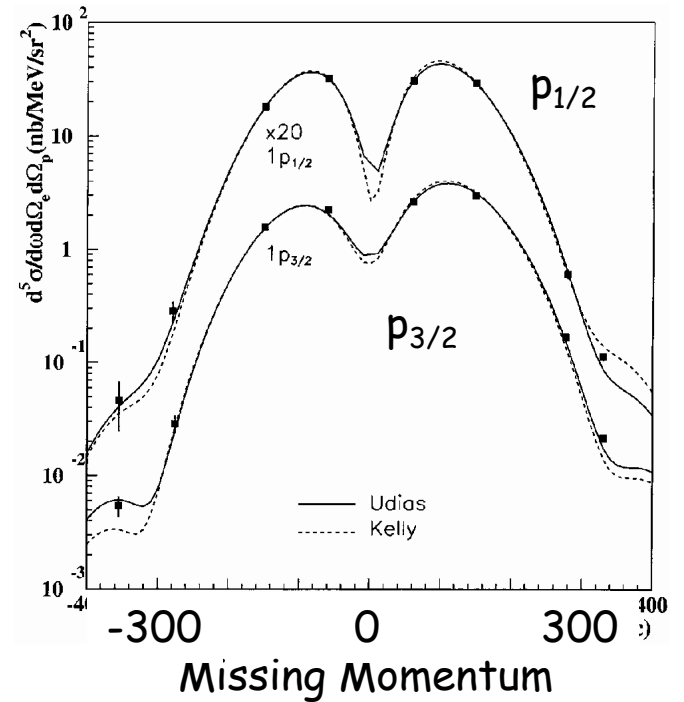
$$R = R(q, \omega, p_{\text{miss}}, E_{\text{miss}})$$

(When you include electron and proton spin, there are 18!)

(And if you scatter from a polarized spin-1 target, there are 41. Double Yikes!!)



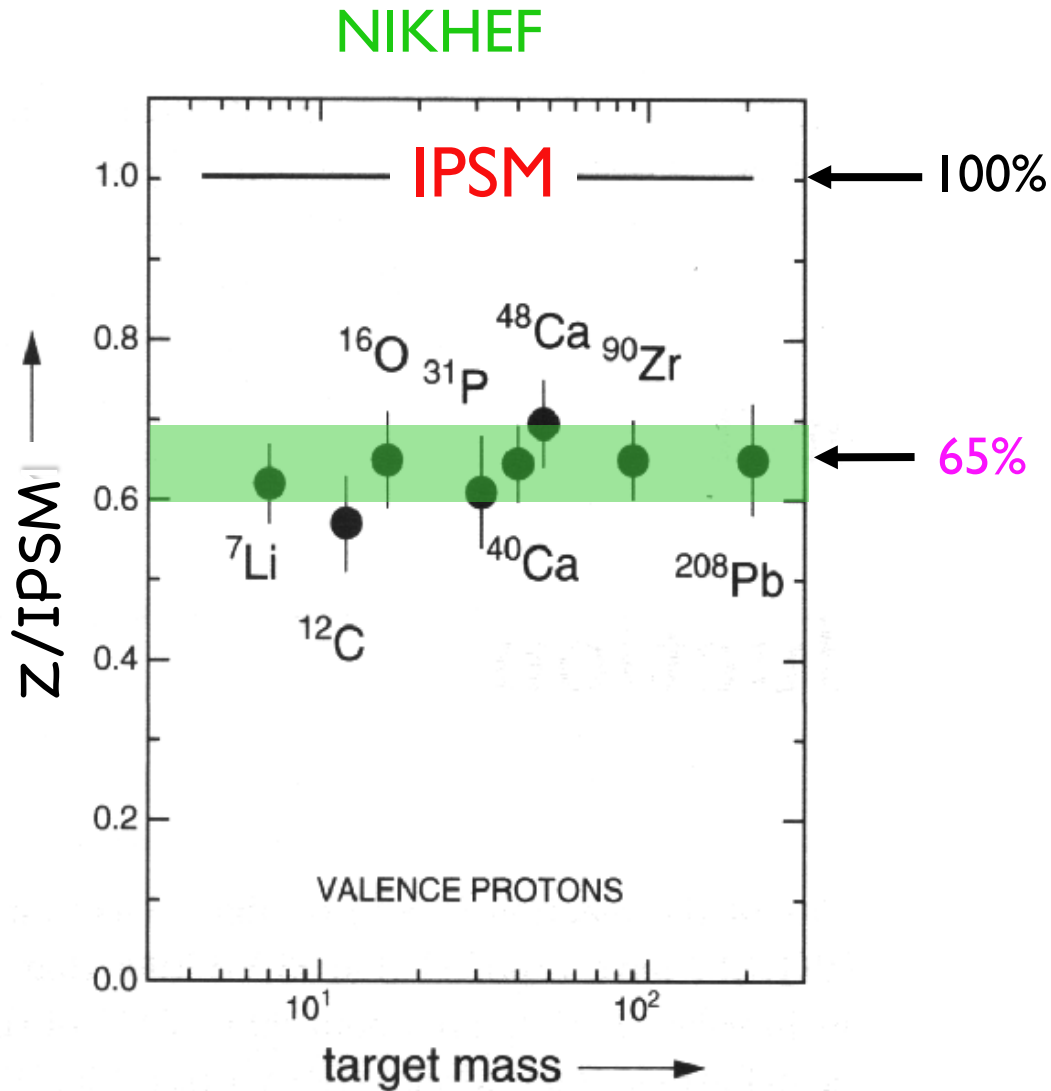
$^{16}\text{O}(e,e'p)$ and shell structure



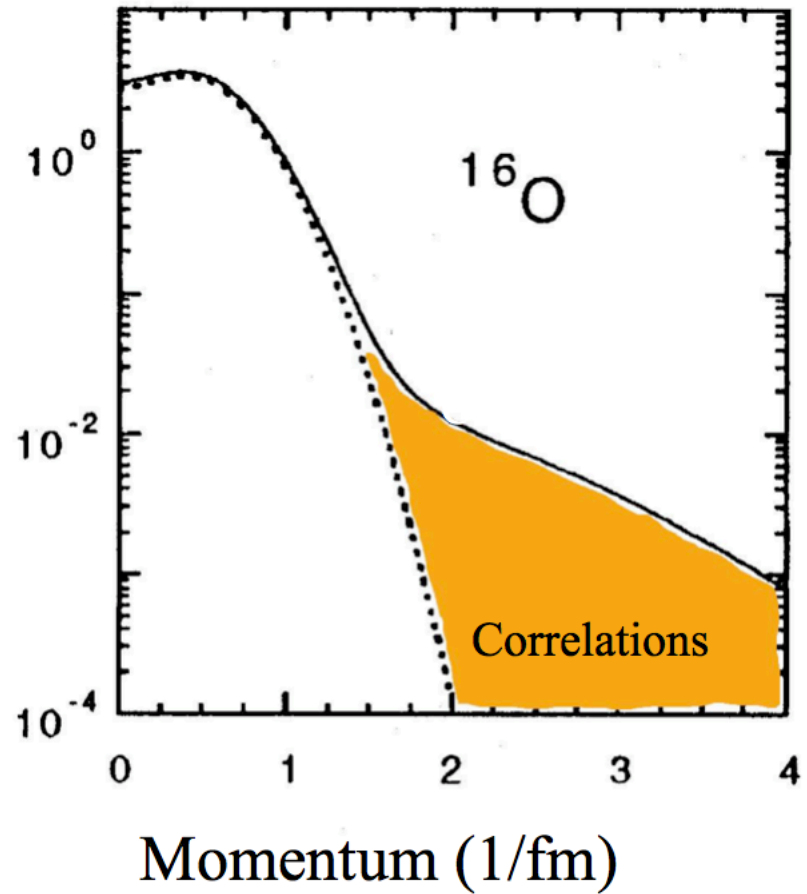
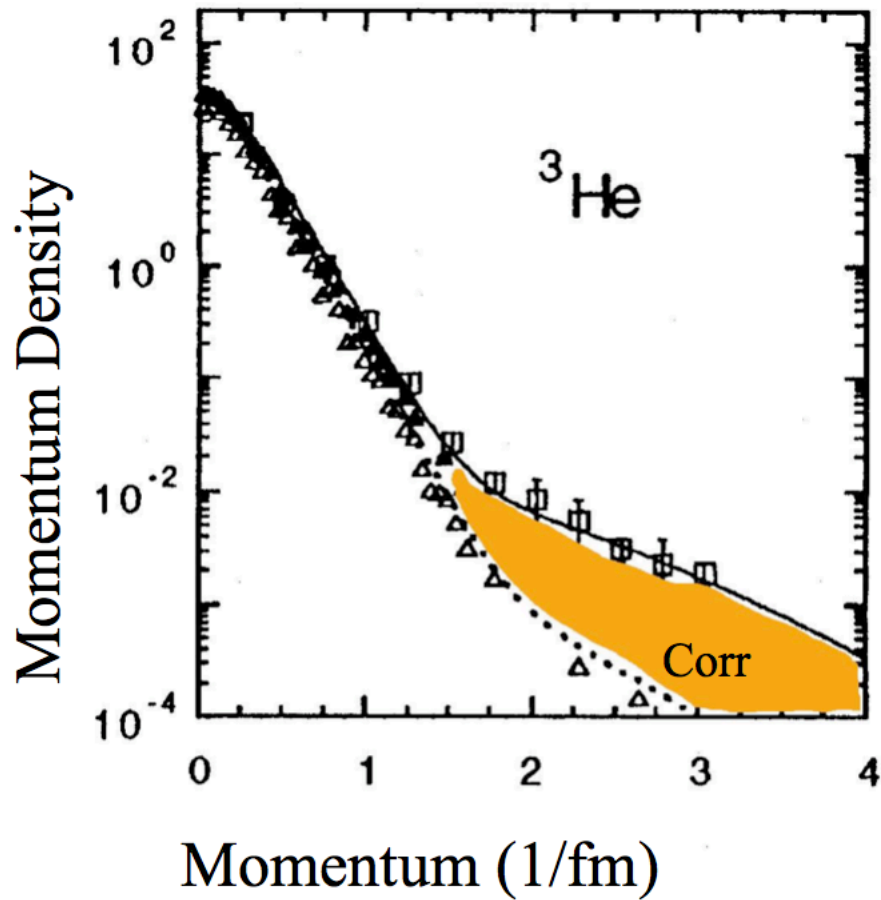
$1p_{1/2}$, $1p_{3/2}$ and $1s_{1/2}$ shells visible

Momentum distribution as expected for $l = 0, 1$

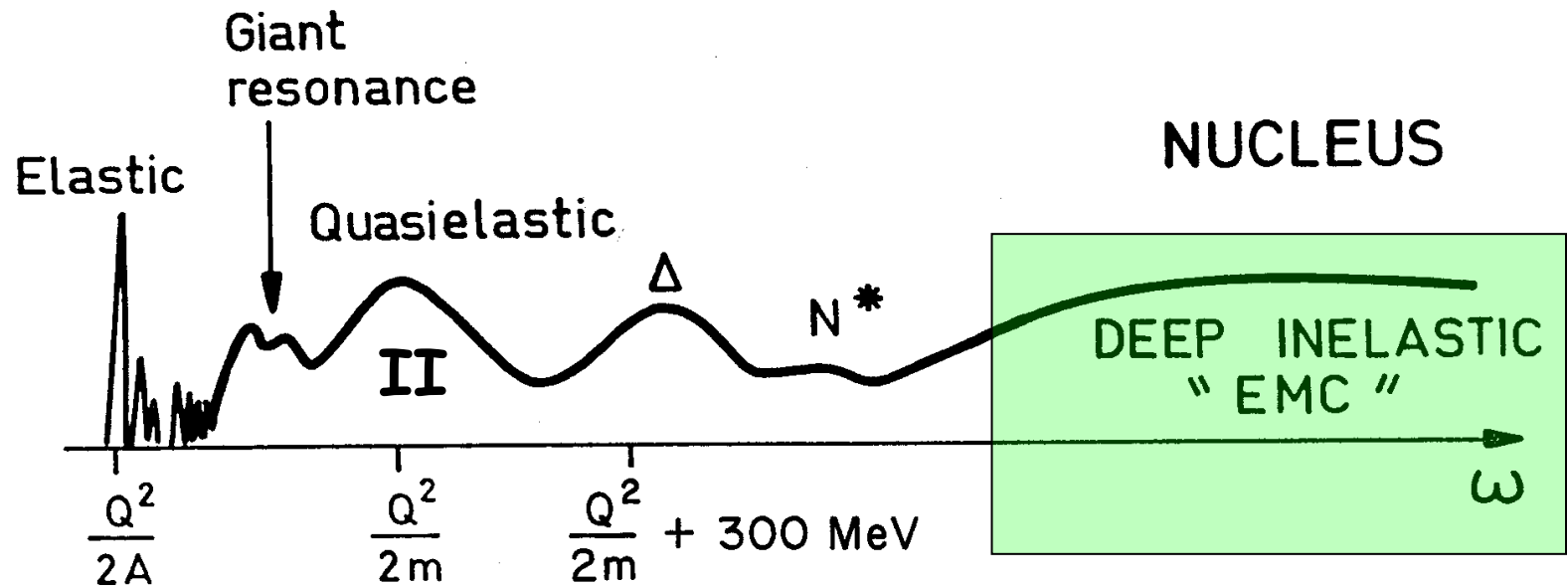
But we do not see enough protons!



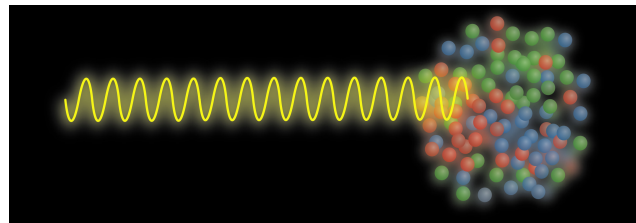
But we do not see enough protons! (More to come...)



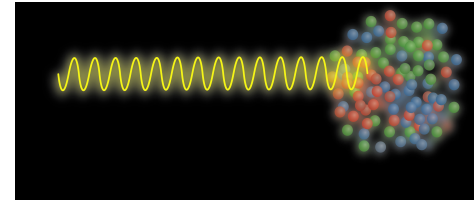
Quick Overview: Deep Inelastic



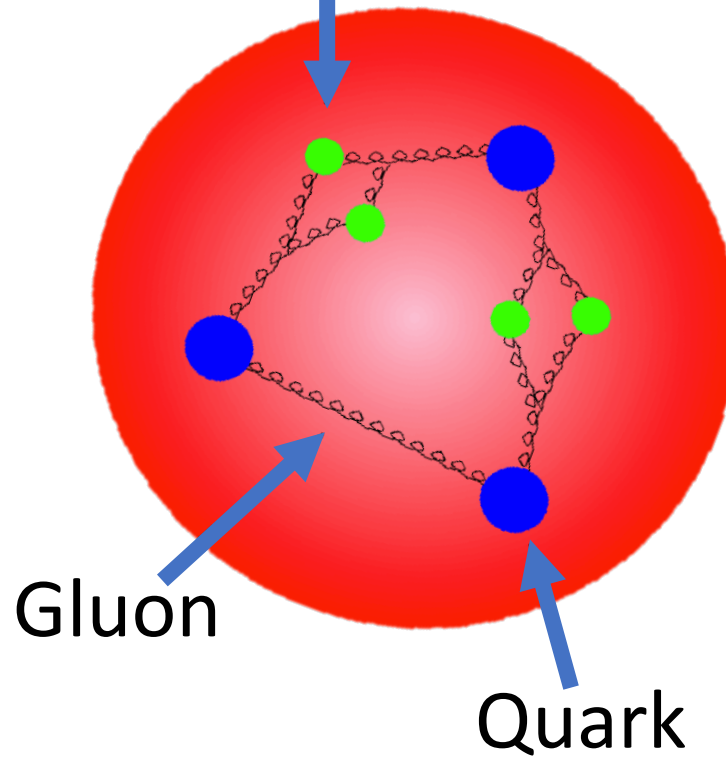
- Structure Functions
- EMC Effect



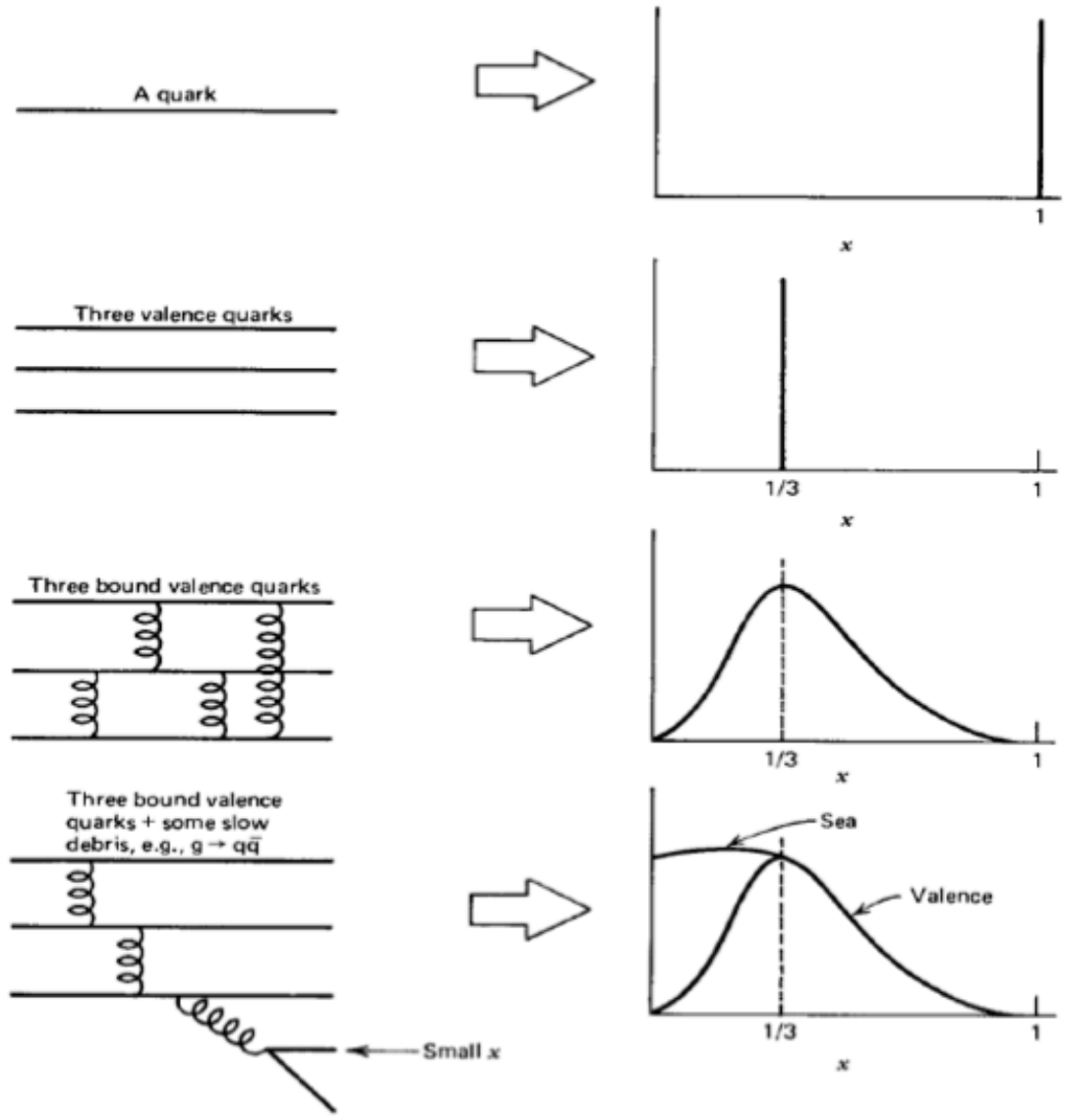
Partonic Structure



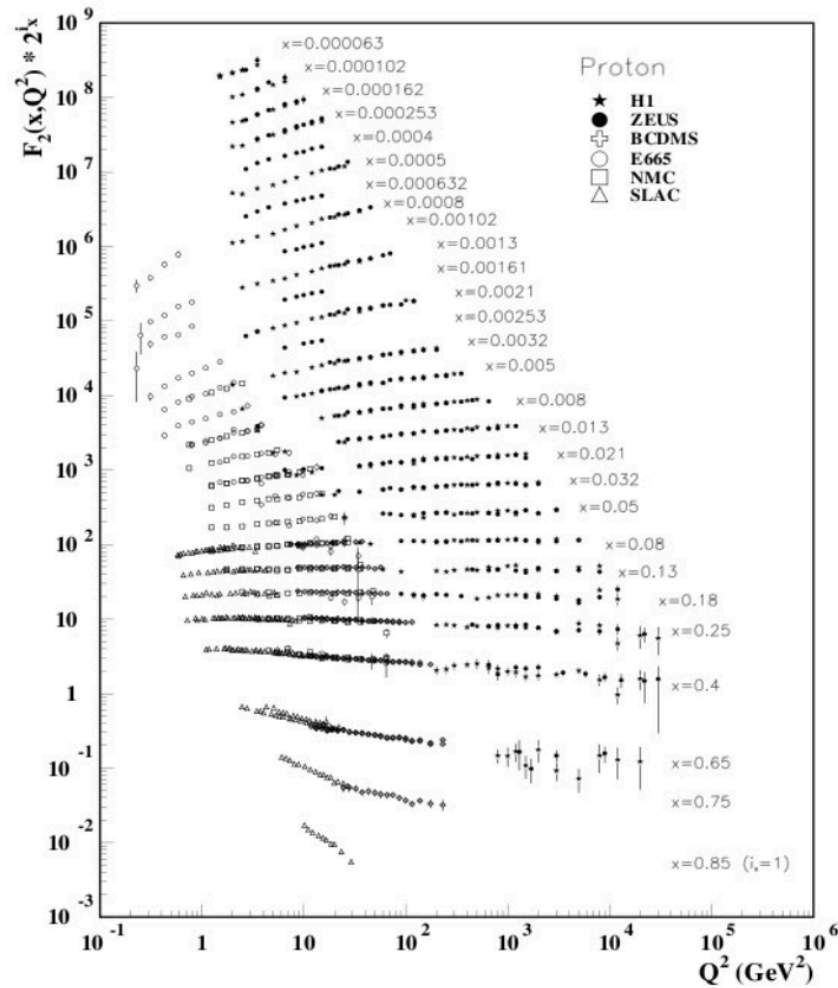
Quark –
Anti-quark
Pair



Partonic Structure: $F_2(x, Q^2) = \sum_i e_i^2 \cdot x \cdot f_i(x)$

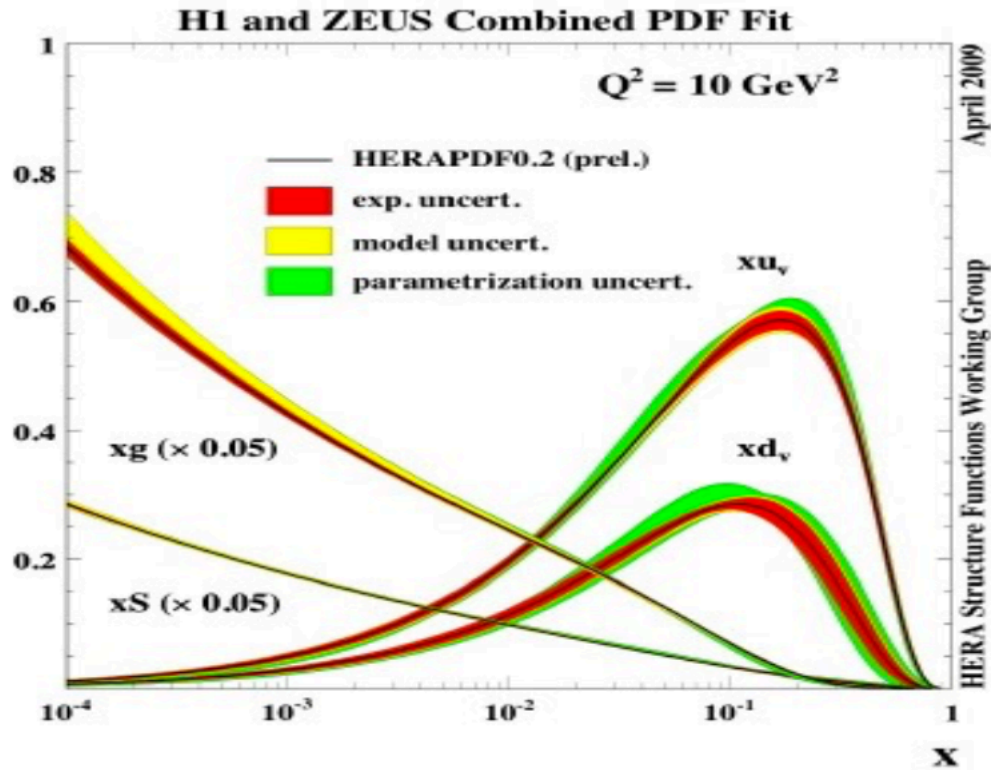


Partonic Structure: $F_2(x, Q^2) = \sum_i e_i^2 \cdot x \cdot f_i(x)$



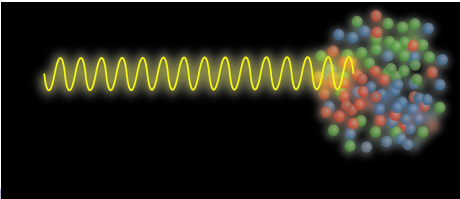
$$\frac{d^2\sigma}{d\Omega dE'} = \sigma_A = \frac{4\alpha^2 E'^2}{Q^4} \left[2 \frac{F_1}{M} \sin^2\left(\frac{\theta}{2}\right) + \frac{F_2}{\nu} \cos^2\left(\frac{\theta}{2}\right) \right]$$

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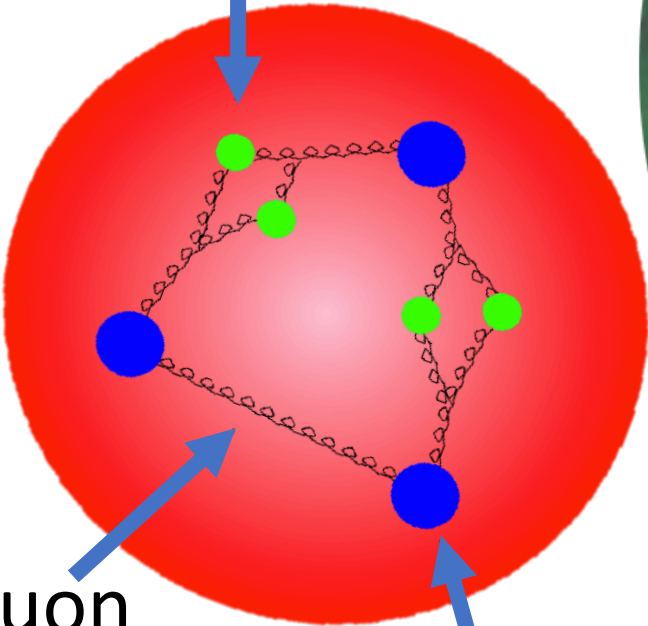


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Partonic – Nucleonic Interplay

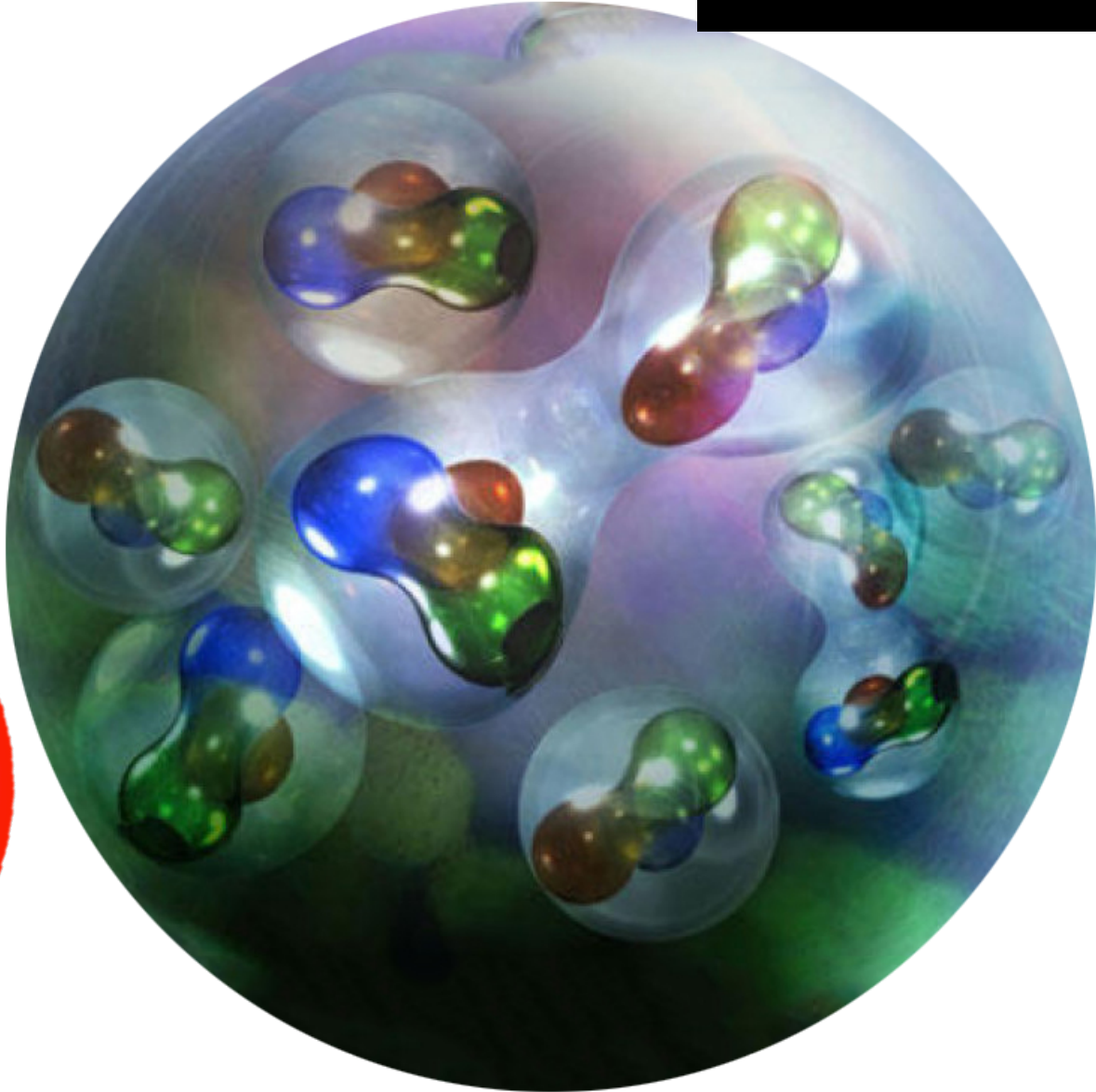


Quark –
Anti-quark
Pair

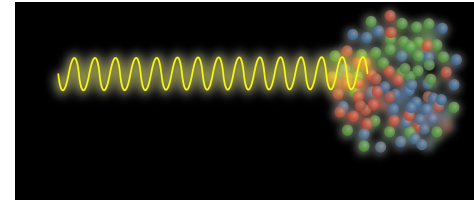


Gluon

Quark



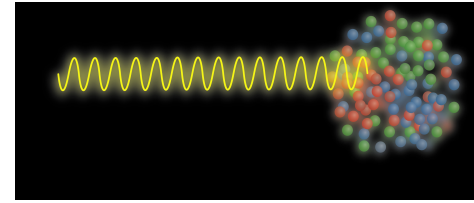
Partonic – Nucleonic Interplay



Quiz: What is the simplest example of nuclear interaction affecting partonic properties?

(winner gets a beer)

Partonic – Nucleonic Interplay



Quiz: What is the simplest example of nuclear interaction affecting partonic properties?

Answer:

The nuclear interaction that binds the deuteron also makes the neutron stable.

- Simplest nuclear system = Deuteron,
- Free neutron is unstable: decays in ~ 10 minutes,
- Bound in the Deuteron, a neutron can live forever!

Interplay Challenge: 'Strength' Scales

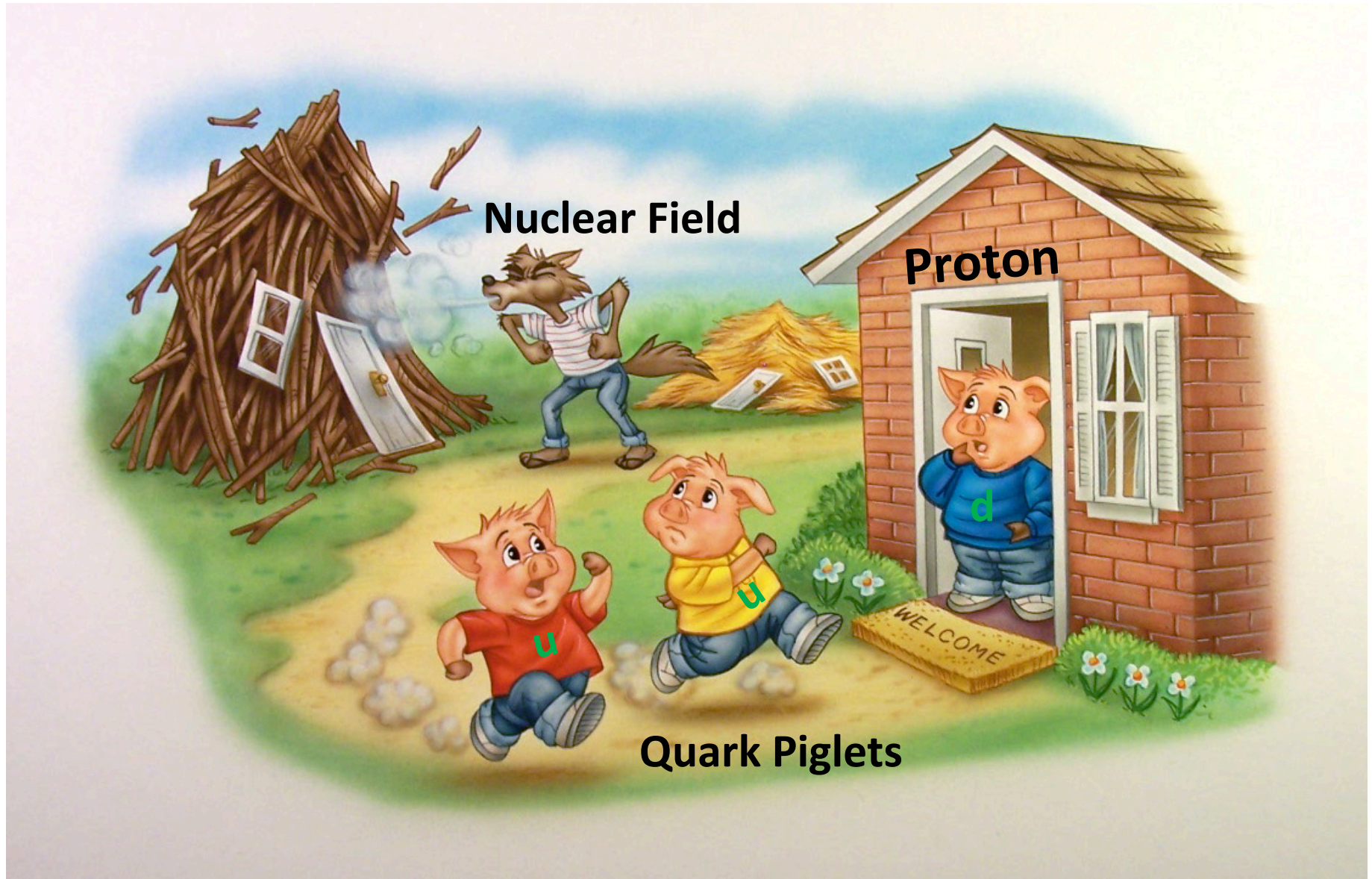
Weak Binding

External Field

Strong Binding

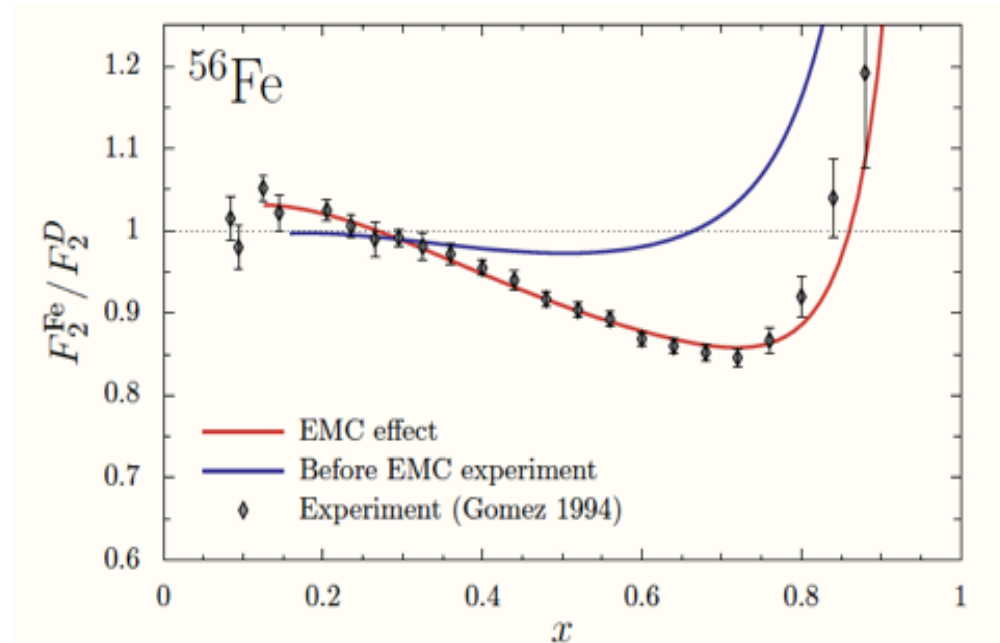


Interplay Challenge: 'Strength' Scales



EMC Effect

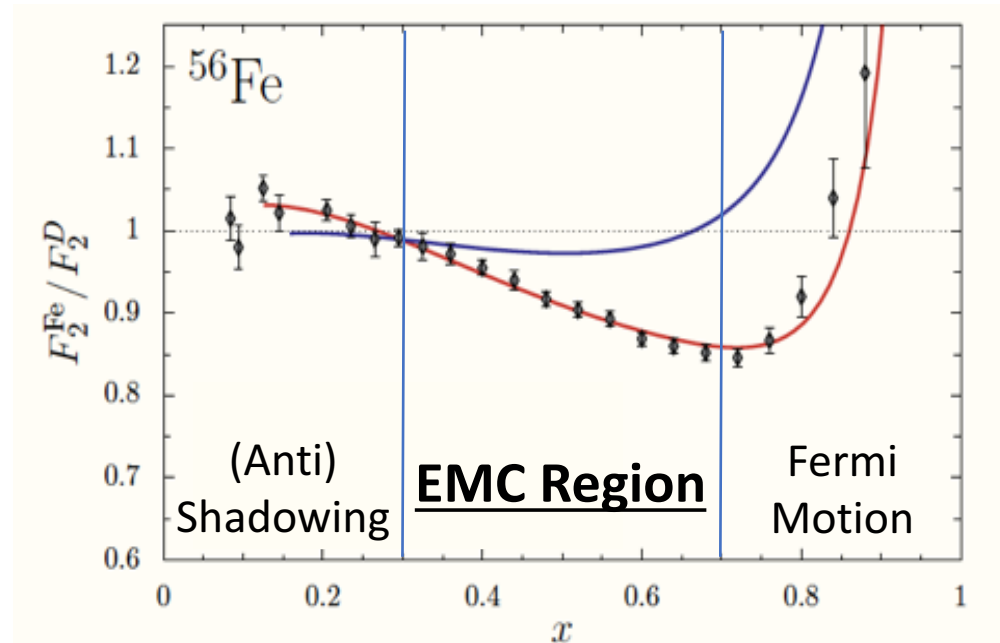
- Deviation of the per-nucleon DIS cross section ratio of nuclei relative to deuterium from unity.
- Universal shape for $0.3 < x < 0.7$ and $3 < A < 197$.
- \sim Independent of Q^2 .
- Overall increasing as a function of A .
- No fully accepted theoretical explanation.



$$\frac{d^2\sigma}{d\Omega dE'} = \sigma_A = \frac{4\alpha^2 E'^2}{Q^4} \left[2 \frac{F_1}{M} \sin^2\left(\frac{\theta}{2}\right) + \frac{F_2}{\nu} \cos^2\left(\frac{\theta}{2}\right) \right] \quad F_2(x, Q^2) = \sum_i e_i^2 \cdot x \cdot f_i(x)$$

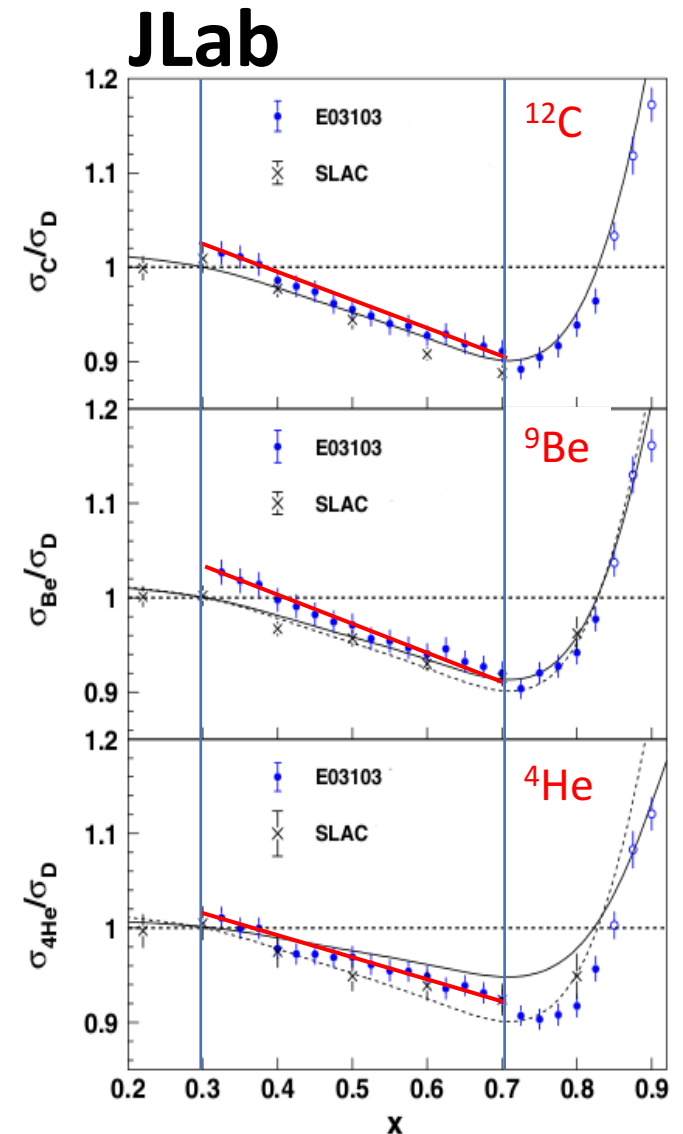
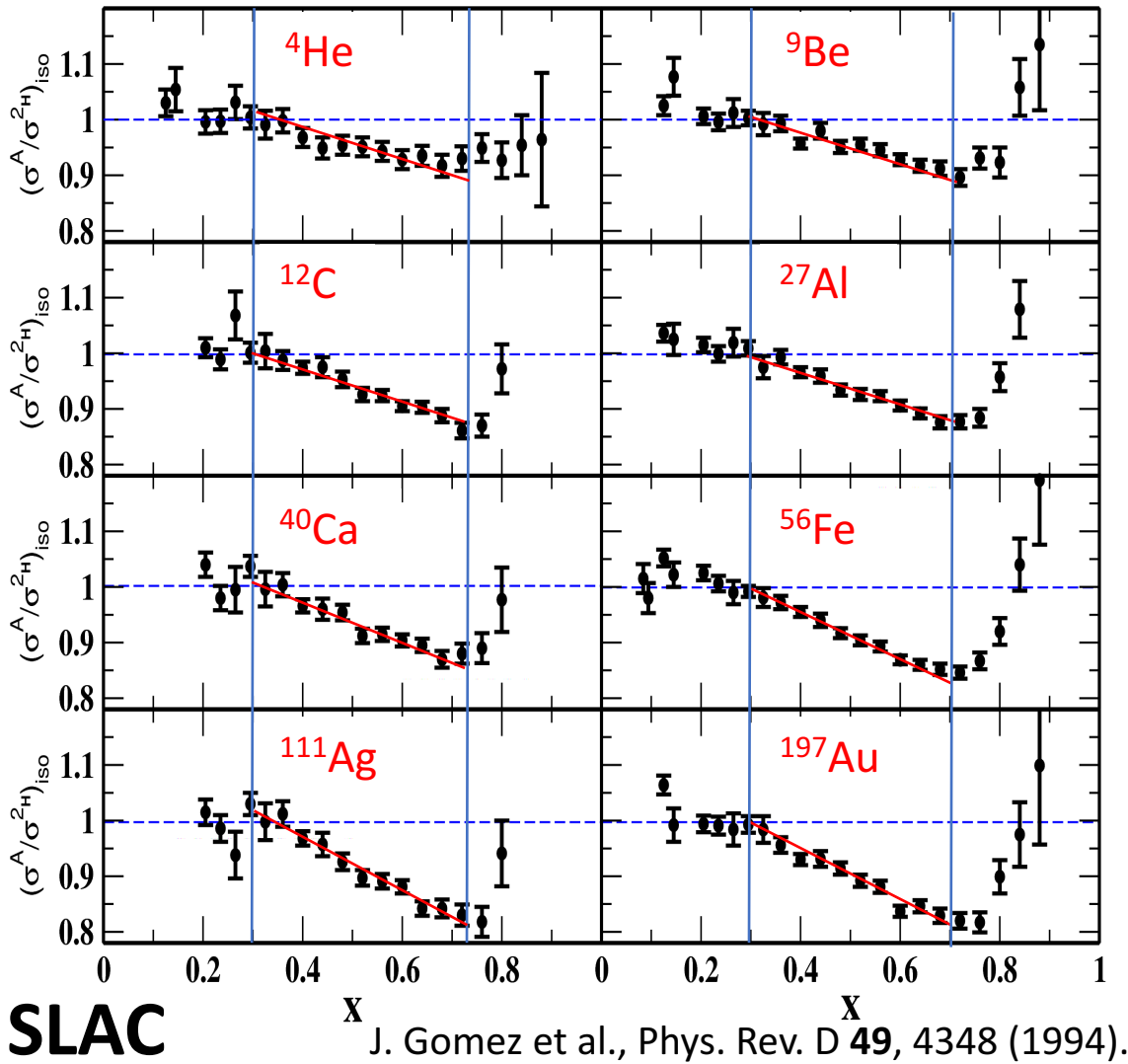
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EMC: Nuclear Effect on Partons



More later in the week!

Theory: 1000 papers, 3 Ideas

1. Proper treatment of 'known' nuclear effects

[explain some of the effect, up to $x \approx 0.5$. Sensitive to SRCs]

- Nuclear Binding and Fermi motion, Pions, Coulomb Field.
- **No modification of bound nucleon structure.**

2. Bound Nucleons are 'larger' than free nucleons.

- Larger confinement volume => slower quarks.
- Mean-Field effect.
- Momentum Independent.
- **Static.**

3. Short-Range Correlations

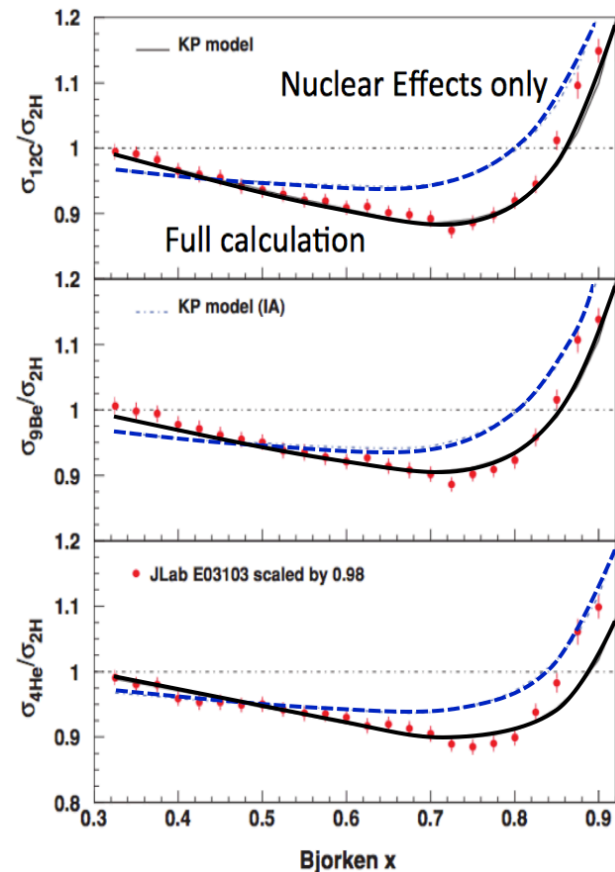
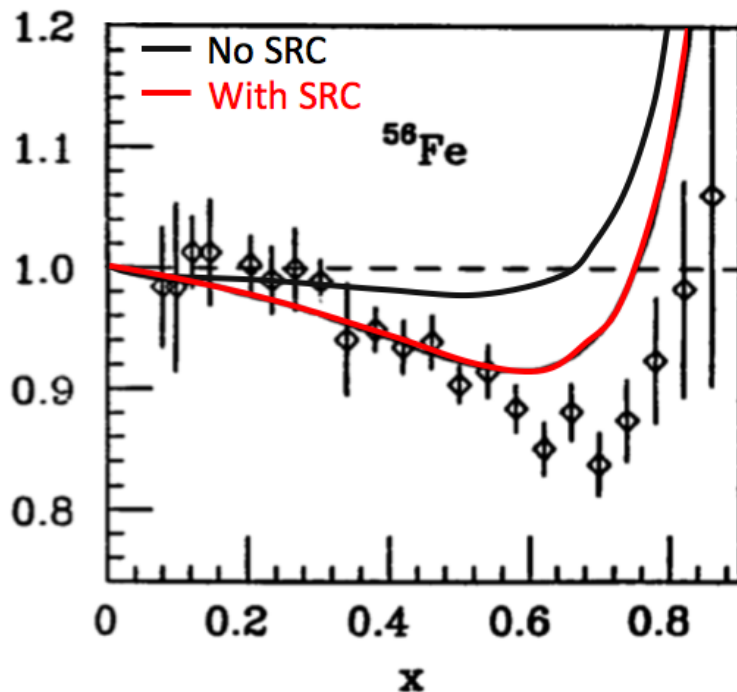
- Beyond the mean-field.
- Determined by SRC pairs counting.
- **Dynamical!**

Theory: 1000 papers, 3 Ideas

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[explain some of the effect, up to $x \approx 0.5$. Sensitive to SRCs]

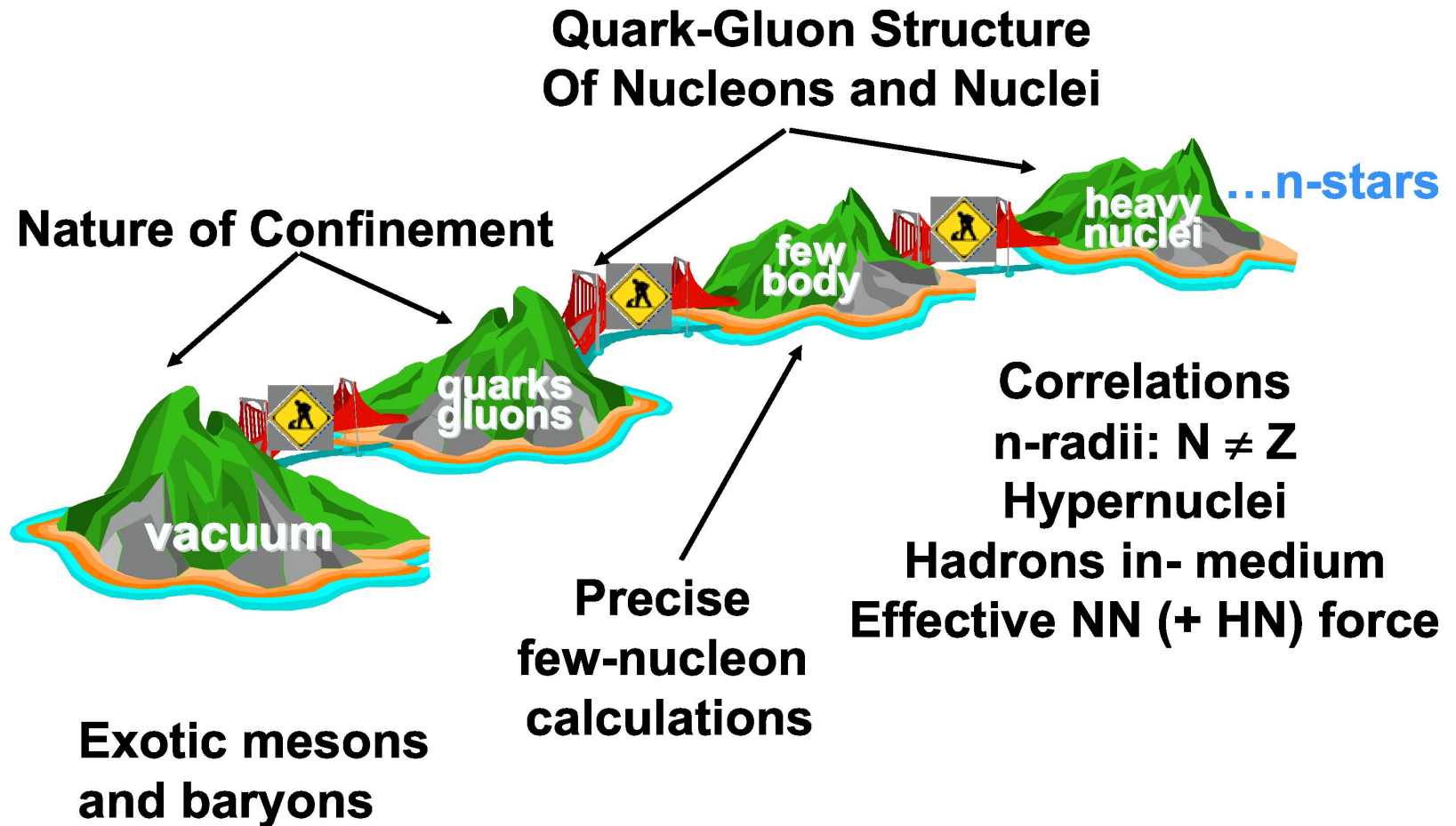
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 - Beyond the mean-field.
 - Determined by SRC pairs counting.
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Summary (1): Modern nuclear physics - From nothing to everything



Summary (2): Today's overview

Elastic scattering (Form Factors, FF):

- Nuclei + virtual photon \Rightarrow Nuclear charge FF
- Nuclei + Z boson \Rightarrow Nuclear neutron FF
- Nucleons + virtual photon \Rightarrow Nucleon charge FF
- Nucleons + Z boson \Rightarrow Nucleon strange FF + ...

Quasielastic scattering:

- Scaling and momentum distributions
- Shell structure and spectroscopic factors
- Correlations
- ...

Deep Inelastic Scattering:

- Nucleons \Rightarrow Structure functions and PDFs
- Nuclei \Rightarrow In-medium structure functions and nuclear PDFs


Summary (3): Never mix between what we Know / Measure / Reconstruct / Extract

- Know:
 - Beam probe (particle type + energy)
 - Target
- Measure:
 - Scattered probe
 - Additional particles emitted
 - *Cross-sections*
- Reconstruct:
 - Short Lived particles
 - Missing momentum
 - Missing Energy
- Extract:
 - *Physics! (momentum distribution, shell occupancies ...)*

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 - Missing momentum
 - Missing Energy
- Extract:
 - *Physics! (momentum distribution, shell occupancies ...)*

**Increasing level
of assumptions
(i.e. model
dependencies)**



Tomorrow: Short-Range nuclear Structure

Theory:

1. Beyond the mean-field: NN Correlations,
2. Effective vs. ab-initio calculations
3. Phase-equivalent NN interactions
4. Reaction theory: confronting theory and experiment.

Experiment:

1. (e,e') , $(e,e'N)$, $(e,e'NN)$ => Details of NN correlations,
2. Correlations in asymmetric nuclei,
3. NN interactions at short distances.

Contact Formalism: Effective theory for short-distance.