

# Comment on the Invariant-Envelope Solution in rf Photo-injectors

Chun-xi Wang

Accelerator Physics Group/Advanced Photon Source

Presented at ERL2005, JLab, 3/20/05

**Argonne National Laboratory**

**Advanced  
Photon  
Source**



*A U.S. Department of Energy  
Office of Science Laboratory  
Operated by The University of Chicago*



# Acknowledgement

I would like to thank V. Kumar and J. Lewellen for helpful discussions and K.-J. Kim for encouraging me to look into the dynamics of photo-injectors.

Work supported by U.S. Department of Energy, Office of Basic Energy Sciences, under Contract No.W-31-109-ENG-38.

## Work in Progress

# Beam-envelope Equation with acceleration

$$\sigma'' + \frac{\gamma'}{\beta^2 \gamma} \sigma' + K_r \sigma - \frac{\kappa_s}{\beta^3 \gamma^3 \sigma} - \frac{\epsilon_n^2}{\beta^2 \gamma^2 \sigma^3} = 0$$

$$K_r = \left( \frac{\gamma'}{\gamma} \right)^2 \Omega^2, \quad \Omega^2 = \frac{1}{\sin^2 \phi} \left[ \frac{\eta}{8} + \left( \frac{B_z c}{E_0} \right)^2 \right], \quad \kappa_s = I g(\zeta) / 2 I_0$$

- Complications due to acceleration (adiabatic damping)
- Cauchy coordinate to remove the damping term

$$y = \ln(\gamma/\gamma_0)$$

$$\sigma'' + \frac{\gamma'}{\beta^2 \gamma} \sigma' = \left( \frac{\gamma'}{\gamma} \right)^2 \left[ \frac{d^2 \sigma}{dy^2} + \left( \frac{1}{\beta^2} - 1 + \frac{\gamma \gamma''}{\gamma'^2} \right) \frac{d\sigma}{dy} \right]$$

- Cauchy-space envelope equation

$$\frac{d^2 \sigma}{dy^2} + \Omega^2 \sigma - \frac{S}{\gamma_0} \frac{e^{-y}}{\sigma} + \frac{\epsilon_n^2}{\gamma'^2 \sigma^3} = 0$$

$$S = \kappa_s / \gamma'^2$$

- Invariant envelope solution (Serafini & Rosenzweig, 97)

$$\sigma_{\text{inv}} = \sqrt{\frac{S}{(\Omega^2 + 1/4)\gamma_0}} e^{-y/2} = \sqrt{\frac{S}{(\Omega^2 + 1/4)\gamma}},$$

$$\frac{\sigma'_{\text{inv}}}{\sigma_{\text{inv}}} = -\frac{\gamma'}{2\gamma}$$

# Reduced Beam-envelope Equation

- Reduced coordinate to remove the damping term (more standard approach)

$$\hat{\sigma} = \sqrt{\beta\gamma} \sigma \quad \sigma'' + \frac{\gamma'}{\beta^2\gamma} \sigma' = \frac{1}{\sqrt{\beta\gamma}} \left( \hat{\sigma}'' - \frac{\sqrt{\beta\gamma}''}{\sqrt{\beta\gamma}} \hat{\sigma} \right)$$

- Reduced envelope equation (e.g. Lawson)

$$\hat{\sigma}'' + \left( K_r - \frac{\sqrt{\beta\gamma}''}{\sqrt{\beta\gamma}} \right) \hat{\sigma} - \frac{\kappa_s}{\beta^2\gamma^2 \hat{\sigma}} - \frac{\epsilon_n^2}{\hat{\sigma}^3} = 0 \quad \text{exact}$$

Pseudo focusing accounts for most of the complications due to acceleration

$$-\frac{\sqrt{\beta\gamma}''}{\sqrt{\beta\gamma}} = \frac{1}{4} \left( 1 + \frac{2}{\gamma^2} \right) \left( \frac{\gamma'}{\beta^2\gamma} \right)^2 - \frac{\gamma''}{2\beta^2\gamma} \simeq \frac{1}{4} \left( \frac{\gamma'}{\beta\gamma} \right)^2$$

$$\hat{\sigma}'' + \left( \frac{\gamma'}{\beta\gamma} \right)^2 \left( \Omega^2 + \frac{1}{4} \right) \hat{\sigma} - \left( \frac{\gamma'}{\beta\gamma} \right)^2 \frac{S}{\hat{\sigma}} - \frac{\epsilon_n^2}{\hat{\sigma}^3} = 0$$

- Invariant envelope solution is obvious

$$\hat{\sigma}_{\text{inv}} = \sqrt{\frac{S}{\Omega^2 + 1/4}}, \quad \hat{\sigma}' = \hat{\sigma}'' = 0$$

# Envelope Hamiltonian

$$\hat{\sigma}'' + \left(\frac{\gamma'}{\beta\gamma}\right)^2 \left(\Omega^2 + \frac{1}{4}\right) \hat{\sigma} - \left(\frac{\gamma'}{\beta\gamma}\right)^2 \frac{S}{\hat{\sigma}} - \frac{\epsilon_n^2}{\hat{\sigma}^3} = 0$$

Envelope Hamiltonian

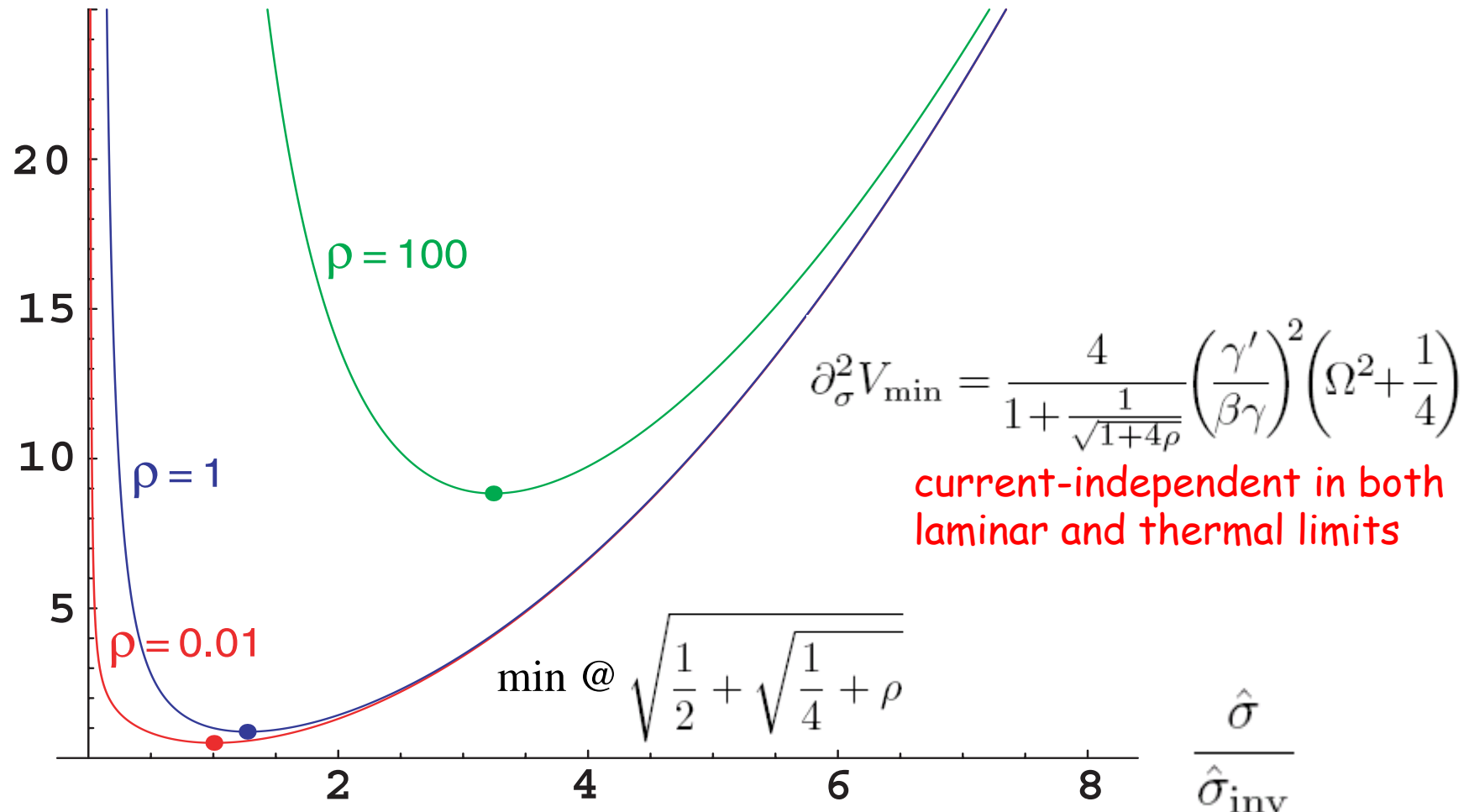
$$H(\hat{\sigma}, p_\sigma, s) = \frac{p_\sigma^2}{2} + V(\hat{\sigma}, s)$$

$$\begin{aligned} V &= \left(\frac{\gamma'}{\beta\gamma}\right)^2 \left(\Omega^2 + \frac{1}{4}\right) \frac{\hat{\sigma}^2}{2} - \left(\frac{\gamma'}{\beta\gamma}\right)^2 S \ln \frac{\hat{\sigma}}{\hat{\sigma}_{\text{inv}}} + \frac{\epsilon_n^2}{2\hat{\sigma}^2} \\ &= \left(\frac{\epsilon_n}{\hat{\sigma}_{\text{inv}}}\right)^2 \frac{1}{2\rho} \left( \frac{\hat{\sigma}^2}{\hat{\sigma}_{\text{inv}}^2} - \ln \frac{\hat{\sigma}^2}{\hat{\sigma}_{\text{inv}}^2} + \rho \frac{\hat{\sigma}_{\text{inv}}^2}{\hat{\sigma}^2} \right) \end{aligned}$$

$$\rho \equiv \left(\frac{\beta\gamma\gamma'\epsilon_n}{\kappa_s}\right)^2 \left(\Omega^2 + \frac{1}{4}\right) \begin{array}{l} \nearrow 0 \text{ laminar} \\ \searrow \infty \text{ thermal} \end{array}$$

# Time-dependent Potential

$$\tilde{V} = \left( \frac{\hat{\sigma}_{\text{inv}}}{\epsilon_n} \right)^2 \rho V = \frac{1}{2} \left( \frac{\hat{\sigma}^2}{\hat{\sigma}_{\text{inv}}^2} - \ln \frac{\hat{\sigma}^2}{\hat{\sigma}_{\text{inv}}^2} + \rho \frac{\hat{\sigma}_{\text{inv}}^2}{\hat{\sigma}^2} \right)$$



# From laminar to thermal regimes

Potential  $\mathbf{V}$  reaches minimum at

$$\hat{\sigma}_\rho = \hat{\sigma}_{\text{inv}} \sqrt{\frac{1}{2} + \sqrt{\frac{1}{4} + \rho}} = \hat{\sigma}_\infty \sqrt{\frac{\nu}{2} + \sqrt{1 + \left(\frac{\nu}{2}\right)^2}}$$

$\rho \rightarrow 0$

**laminar**

$$\hat{\sigma}_{\text{inv}}$$

**exact solution**

$\rho \rightarrow \infty$

**thermal**

$$\hat{\sigma}_\infty = \hat{\sigma}_{\text{inv}} \rho^{1/4}$$

**approximate solution**

$$\sigma_\infty = \left( \Omega^2 + \frac{1}{4} \right)^{-\frac{1}{4}} \sqrt{\frac{\epsilon_n}{\gamma'}}$$

📖 "Laminar Flow in Non-relativistic Intense Proton Beams",  
Serafini & Rosenzweig (EPAC98)

📖 "New generation issues in the beam physics of RF laser-driven  
electron photoinjectors", Serafini & Ferrario (SPIE-LASER'99)

# Invariant Envelope solution

$$\hat{\sigma}_{\text{inv}} = \sqrt{\frac{S}{\Omega^2 + 1/4}}, \quad \hat{\sigma}'_{\text{inv}} = 0, \quad \frac{\hat{\sigma}'_{\text{inv}}}{\hat{\sigma}_{\text{inv}}} = 0$$

Invariant size through time

Invariant slope across slices

- A unique equilibrium solution seating on the potential minimum
- Defocusing space-charge force is canceled by focusing forces:
  - 1) ponderomotive force from rf
  - 2) magnetic force from solenoid
  - 3) pseudo force due to acceleration
- Straight flow lines parallel to the propagation direction
- Oscillations around it have the same frequency for all currents
- Minimize the maximum beam size, thus less nonlinear degradation
- Zero transverse momentum significantly reduces the number of terms due to nonlinear forces

Brillouin flow



# Oscillations around invariant envelope

<p>Cauchy coordinates non-canonical</p>	$\begin{bmatrix} \sigma \\ \dot{\sigma} \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{\gamma}} & 0 \\ \frac{-1}{2\sqrt{\gamma}} & \frac{\sqrt{\gamma}}{\gamma'} \end{bmatrix} \begin{bmatrix} \hat{\sigma} \\ \hat{\sigma}' \end{bmatrix} \equiv A \begin{bmatrix} \hat{\sigma} \\ \hat{\sigma}' \end{bmatrix}$	<p>reduced coordinates canonical</p>
---	--	--

$$\delta\ddot{\sigma} + \omega^2 \delta\sigma = 0$$

$$\delta\hat{\sigma}'' + 2(\gamma'/\beta\gamma)^2(\Omega^2 + 1/4)\delta\hat{\sigma} = 0$$

$$R_C = \begin{bmatrix} \cos \omega y & \frac{1}{\omega} \sin \omega y \\ -\omega \sin \omega y & \cos \omega y \end{bmatrix}$$

$$\hat{R} = A(\gamma)^{-1} R_C A(\gamma_0) = \begin{bmatrix} \sqrt{\frac{\gamma}{\gamma_0}} \left( \cos u - \frac{\sin u}{2\omega} \right) & \frac{\sqrt{\gamma_0 \gamma}}{\omega \gamma'} \sin u \\ -\frac{\omega \gamma'}{\sqrt{\gamma_0 \gamma}} \left( 1 + \frac{1}{4\omega^2} \right) \sin u & \sqrt{\frac{\gamma_0}{\gamma}} \left( \cos u + \frac{\sin u}{2\omega} \right) \end{bmatrix}$$

$$\begin{aligned} \sigma &= \sigma_{\text{inv}} + \frac{\delta\sigma(0)}{\cos \theta} \cos(u + \theta) \\ \sigma' &= \sigma'_{\text{inv}} - \frac{\delta\sigma(0)}{\cos \theta} \frac{\omega \gamma'}{\gamma} \sin(u + \theta) \end{aligned}$$

$$\begin{aligned} \hat{\sigma} &= \hat{\sigma}_{\text{inv}} + \sqrt{\frac{\gamma}{\gamma_0}} \frac{\delta\hat{\sigma}(0)}{\cos \theta} \cos(u + \theta), \\ \hat{\sigma}' &= -\sqrt{\frac{\gamma'^2}{\gamma_0 \gamma} \left( \omega^2 + \frac{1}{4} \right)} \frac{\delta\hat{\sigma}(0)}{\cos \theta} \sin(u + \theta - \theta_0) \end{aligned}$$

$$\begin{aligned} \omega &= \sqrt{2\Omega^2 + 1/4} & u &= \omega y = \omega \ln(\gamma/\gamma_0) & \theta &= \tan^{-1} \left[ \frac{1}{2\omega} - \frac{\gamma_0 \delta\hat{\sigma}'(0)}{\omega \gamma' \delta\hat{\sigma}(0)} \right] \\ & & & & \theta_0 &= \tan^{-1}(1/2\omega) \end{aligned}$$

# Emittance compensation

- In laminar regime each slice is a thick-less segment in the phase space
- Bunch emittance results from spread of tilt angles of all slice segments
- Goal of emittance compensation:

alignment of all slice segments in phase space, i.e.,

all slices have the same angle  $\frac{\hat{\sigma}'}{\hat{\sigma}} = \frac{\gamma'}{2\gamma} + \frac{\sigma'}{\sigma} = \frac{\gamma'}{2\gamma} + \frac{\omega\gamma'}{\gamma} \frac{\dot{\sigma}}{\sigma}$

- Slices matched to the invariant envelopes

$$\frac{\hat{\sigma}'_{\text{inv}}}{\hat{\sigma}_{\text{inv}}} = 0$$

- Almost the same picture as the much simpler model without acceleration, (pseudo-focusing taking care of the acceleration)

# Emittance compensation

Estimate the emittance with two-slice

$$\epsilon = \frac{1}{2} \left| \hat{\sigma}_+ \hat{\sigma}'_- - \hat{\sigma}_- \hat{\sigma}'_+ \right|$$

yields

$$\epsilon = \hat{\sigma}_{\text{rms}} \sqrt{\frac{\gamma'^2}{\gamma_0 \gamma} \left( \omega^2 + \frac{1}{4} \right)} \left| \frac{\delta \hat{\sigma}_{\text{edge}}(0)}{\cos \theta} \sin(u + \theta - \theta_0) \right|$$
$$\propto \frac{1}{\sqrt{\gamma}} \left| \sin \left( \omega \ln \frac{\gamma}{\gamma_0} + \theta - \theta_0 \right) \right|.$$

It clearly shows that the correlated emittance is damped by the square root of  $\gamma$  and periodically returns to zero --- the behavior of an emittance-compensated beam. The focusing solenoid controls the emittance oscillation through  $\omega$