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DRAFTThe packing fraction and dilution factor in RSS

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1 The dilution factor

The experimental asymmetry is formed from the difference over the sum of measured rates, or yields, of all the materials in the target. Following the derivation given in RSS TN# 2005-01 The ¹⁵N correction to the measured asymmetries, we have

$$\varepsilon = \frac{L - R}{L + R} = \frac{P_b(N_{15}\sigma_{p(15)}A_{15}P_{15} + N_1\sigma_1A_pP_1)}{N_{15}\sigma_{15} + N_1\sigma_1 + \sum N_A\sigma_A}$$
(1)

where N_A are the numbers of scattering nuclei of mass number A, $\sigma_A(W)$ represent radiated polarized e – nucleus cross sections, P_b is the beam polarization and P_A is the polarization of nucleus A.

The customary approach is to factor out the product $N_1\sigma_1$ and write ε in terms of the proton dilution factor f_1

$$\varepsilon = f_1 P_b \left(\frac{N_{15} \sigma_{p(15)}}{N_1 \sigma_1} A_{15} P_{15} + A_p P_1 \right)$$

$$f_1 = \frac{N_1 \sigma_1}{N_{15} \sigma_{15} + N_1 \sigma_1 + \sum N_A \sigma_A}$$
(2)

The denominator of the dilution factor can be written in terms of the relative volume ratio of ammonia to LHe in the target cell, or packing fraction pf. For a cylindrical geometry, the packing fraction is exactly equivalent to the fraction of the cell's length that would be filled with ammonia.

Writing N_A in detail, $N_A = N_0 \rho_A z_A / M_A$, where $N_0 = \text{Avogadro's number}$, ρ_A is the density of nuclear material A, M_A is the corresponding atomic or molecular weight, and z_A is the thickness of the material, the dilution factor

becomes $(f_1 \equiv f)$

$$f = \frac{\frac{3\rho_{NH_3} z_{NH_3}}{M_{NH_3}}\sigma_1}{\frac{3\rho_{NH_3} z_{NH_3}}{M_{NH_3}}\sigma_1 + \frac{\rho_{NH_3} z_{NH_3}}{M_{NH_3}}\sigma_{15} + \frac{\rho_{He} z_{He}}{M_{He}}\sigma_4 + \frac{\rho_{He} z_{He}'}{M_{He}}\sigma_4 + \frac{\rho_{Al} z_{Al}}{M_{Al}}\sigma_{27}}$$
(3)

where z_{He} represents LHe in the cell and z'_{He} is the external LHe (1 cm). Using the known 3 cm cell length, the ammonia thickness is $z_{NH_3} = 3 pf$ cm, and

$$f = \frac{\frac{3\rho_{NH_3}3pf}{M_{NH_3}}\sigma_1}{\frac{3\rho_{NH_3}3pf}{M_{NH_3}}\sigma_1 + \frac{\rho_{NH_3}3pf}{M_{NH_3}}\sigma_{15} + \frac{\rho_{He}3(1-pf)}{M_{He}}\sigma_4 + \frac{\rho_{He}z'_{He}}{M_{He}}\sigma_4 + \frac{\rho_{Al}z_{Al}}{M_{Al}}\sigma_{27}}$$

$$= \frac{\frac{3\rho_{NH_3}}{M_{NH_3}}\sigma_1}{\frac{3\rho_{NH_3}}{M_{NH_3}}\sigma_1 + \frac{\rho_{NH_3}}{M_{NH_3}}\sigma_{15} + \frac{\rho_{He}}{M_{He}}(\frac{1}{pf} - 1)\sigma_4 + \frac{\rho_{He}z'_{He}}{M_{He}}\sigma_4 + \frac{\rho_{Al}z_{Al}}{M_{Al}}\sigma_{27}}$$

$$= \frac{3}{p_{NH_3}}\sigma_1 + \frac{\rho_{NH_3}}{M_{NH_3}}\sigma_{15} + \frac{\rho_{He}}{M_{He}}(\frac{1}{pf} - 1)\sigma_4 + \frac{\rho_{He}z'_{He}}{M_{He}}\sigma_4 + \frac{\rho_{Al}z_{Al}}{M_{Al}}\sigma_{27}$$

$$= \frac{3}{3 + \frac{\sigma_{15}}{\sigma_1} + \frac{\rho_{He}M_{NH_3}}{\rho_{NH_3}M_{He}}(\frac{1}{pf} - 1)\frac{\sigma_4}{\sigma_1} + \frac{\rho_{He}M_{NH_3}z'_{He}}{\rho_{NH_3}M_{He}3pf}\frac{\sigma_4}{\sigma_1} + \frac{\rho_{Al}M_{NH_3}z_{Al}}{\rho_{NH_3}M_{Al}3pf}\frac{\sigma_{27}}{\sigma_1}}{(4)}$$

All quantities in the above expression are universal or experimentally measured ones $(z'_{He} = 1 \text{ cm} \text{ and } z_{Al} = 0.03 \text{ cm},) \text{ except } pf$, which is not measured geometrically and is specific to each of RSS's target cells¹. Collecting first the He terms, and replacing next the well known values for the lengths, densities and masses (see http://www.jlab.org/~jones/rss/rsstgt.htm).

$$f = \frac{3}{3 + \frac{\sigma_{15}}{\sigma_1} + \frac{\rho_{He}M_{NH_3}}{\rho_{NH_3}M_{He}} \left(\left(\frac{1}{pf} - 1\right) + \frac{z'_{He}}{3pf} \right) \frac{\sigma_4}{\sigma_1} + \frac{\rho_{Al}M_{NH_3}z_{Al}}{\rho_{NH_3}M_{Al}3pf} \frac{\sigma_{27}}{\sigma_1}}$$

$$= \frac{3}{3 + \frac{\sigma_{15}}{\sigma_1} + 0.7112 \left(\frac{4}{3pf} - 1\right) \frac{\sigma_4}{\sigma_1} + \frac{0.022}{pf} \frac{\sigma_{27}}{\sigma_1}}$$
(5)

¹The tiny contribution of the proton NMR coils, which can be included at any time for a more accurate form, is neglected here.

For pf = 0.5, the dilution factor is

$$f = \frac{3}{3 + \frac{\sigma_{15}}{\sigma_1} + 1.185 \frac{\sigma_4}{\sigma_1} + 0.044 \frac{\sigma_{27}}{\sigma_1}} \tag{6}$$

In DIS, $\sigma_A \sim A\sigma_1$, so

$$f(pf = 0.5) = \frac{3}{3 + 15 + 1.185 \times 4 + 0.044 \times 27} = \frac{3}{3 + 15 + 4.74 + 0.59}$$
(7)

which shows that He and Al contribute about 23% of the dilution. In the resonances, the ratio $\sigma_n/\sigma_p < 1$, and the contributions of He and Al decrease, but they are still about 15 to 18%, depending on pf.

For a pure ammonia target, the dilution factor is $f = 1/(1 + \sigma_{15}/(3\sigma_1))$, which can be crudely approximated by $f = 1/(3.33 + 2.67\sigma_n/\sigma_1)$, where σ_n is the neutron cross-section per nucleon in nuclei, σ_1 is the free proton cross section, and we took the proton cross section in nuclei as $\sigma_p \simeq \sigma_1$. This approximation is reasonable in DIS, but is inaccurate in the resonances.

2 Finding the packing fraction

From the first equality in eq.(4) we see that the denominator of the dilution factor (which actually is just the total yield, or rate, Y_T , that depends on the beam charge or current I_b and the HMS acceptance A_{HMS} , before these two factors cancel out with the corresponding ones in the numerator) can be written as a linear function of the packing fraction:

$$Y_{T} = I_{b}A_{HMS} \Big(\frac{\rho_{NH_{3}}}{M_{NH_{3}}} 3pf(3\sigma_{1} + \sigma_{15}) + \frac{\rho_{He}3(1 - pf)}{M_{He}} \sigma_{4} + \frac{\rho_{He}z'_{He}}{M_{He}} \sigma_{4} + \frac{\rho_{Al}z_{Al}}{M_{Al}} \sigma_{27} \Big)$$

$$= I_{b}A_{HMS} \Big((\frac{\rho_{NH_{3}}}{M_{NH_{3}}} (3\sigma_{1} + \sigma_{15}) - \frac{\rho_{He}}{M_{He}} \sigma_{4}) 3pf + \frac{\rho_{He}}{M_{He}} (3 + z'_{He}) \sigma_{4} + \frac{\rho_{Al}z_{Al}}{M_{Al}} \sigma_{27} \Big)$$

$$= m pf + b$$
(8)

where the slope m and intercept b are given by (with $z'_{He} = 1$)

$$m = 3I_b A_{HMS} \left(\frac{\rho_{NH_3}}{M_{NH_3}} (3\sigma_1 + \sigma_{15}) - \frac{\rho_{He}}{M_{He}} \sigma_4 \right)$$

$$b = I_b A_{HMS} \left(4 \frac{\rho_{He}}{M_{He}} \sigma_4 + \frac{\rho_{Al} z_{Al}}{M_{Al}} \sigma_{27} \right)$$
(9)

This linear form allows us to calculate the packing fraction pf^* of the data by interpolating the actual data yield $Y_T^*(pf^*)$ between two values of simulated $Y_T(pf_i)$ corresponding to two values $pf_{i=1,2}$ of the packing fraction near the expected pf^* .

As expected, the intercept b depends exclusively on the rate from LHe and the window material. The factor of 4 corresponds to the 3 cm target cell length filled only with LHe for pf = 0, plus 1 cm of LHe outside the cell. Therefore, to good accuracy, b can be determined independently by comparing data and Montecarlo (MC) rates for empty cell runs with LHe. The accuracy is limited by the absence of cell lids and NMR coil in the empty cell, which is partly compensated by the rate from the tungsten wire cross hairs. This difference could limit the accuracy to a few percent.

The simulated yields may need to be scaled by some factor s to get agreement between the simulation and the data's yield for the LHe filled empty cell, or with a target of known thickness $z_C^* = z_C$, such as the C disks

$$\frac{Y_C^*}{Y_C} = \frac{I_b^* A_{HMS}^* z_C^* \sigma_C^*}{I_b A_{HMS} z_C \sigma_C} = \frac{I_b^* A_{HMS}^* \sigma_C^*}{I_b A_{HMS} \sigma_C} = s.$$
(10)

s = 1 for perfect data and MC agreement. If the simulation's model cross section is well known at the kinematics of the measurement, the ratio reduces to a scale factor between simulated IA and actual I^*A^* products. The simulated yields $Y_T(pf_i)$ will scale, but not on a parallel line: the change in the slope m to m' = sm may increase or decrease the sensitivity of pf^* to the simulated yields: if s > 1, the data's packing fraction will be less sensitive to the simulation, and vice versa.

If the cross section is not well known, the scale factor is ambiguous with respect to either IA or the cross section. In the assumption that the former are better known, the scale factor would apply to the He or C cross section and, in the QFS model, to the other nuclear cross sections too. The intercept b' scales as b' = sb independently of the quantity to which the scale is applied but the slope scales differently, if the nuclear cross sections are scaled, instead of IA, because the free proton cross section σ_1 remains unchanged

$$m'' = 3I_b A_{HMS} \left(\frac{\rho_{NH_3}}{M_{NH_3}} (3\sigma_1 + s\sigma_{15}) - \frac{\rho_{He}}{M_{He}} s\sigma_4 \right)$$

= $m' + 3I_b A_{HMS} \frac{\rho_{NH_3}}{M_{NH_3}} 3\sigma_1 (1-s).$ (11)

From eq.(5) it can be seen that scaling the current times acceptance product

does not affect the ratios of proton to nuclear cross sections in the dilution factor, while scaling the nuclear cross sections does.

The recent availability of nuclear cross sections at kinematics similar to those of RSS, makes it possible to separate adjustments to the model cross sections from the scale factors that apply to the IA product only. As shown on Figures 1 and 2, the QFS model agrees with preliminary Hall C data at the <6% level. This level of agreement allows the choice of scaling only the IA product, leaving the nuclear cross sections unchanged, or adjusting the cross sections for best agreement with data and using improved data/MC ratios for C.



Figure 1: Hall C 4.6 GeV preliminary ¹²C data and models.

The procedure to get the packing fraction and dilution factor would be as follows:

- get scale factors for high and low momenta comparing Montecarlo to data yields for C. We have those factors already, but we may want to update them, by using a better model for C, or by correcting QFS with the ratio of QFS to Hall C data (see Figure 1 and 2). Since the acceptance when the target magnetic field is ON may depend on the HMS central momentum setting (due to the momentum dependence of the particle trajectories before



Figure 2: Ratio QFS/Hall C 4.6 GeV data.

they enter the HMS), separate scale factors may be needed.

- calculate Montecarlo yields $Y_T(pf = 0.5)$, $Y_T(0.6)$. Scale the yields by the factors found previously to convert them to equivalent data yields $Y_T^*(0.5)$, $Y_T^*(0.6)$. Alternatively, solve for m and b and scale them.

- solve for pf^* using the data's total yield Y_T^* , by comparing to the simulated scaled yields, or using m and b as discussed in the next sections.

- apply pf^* to the Montecarlo to get the dilution factor from the ratio of proton to total radiated yields.

3 Error propagation

In terms of the data's Y_T^* , the packing fraction is

$$pf^* = \frac{Y_T^* - b}{m} \tag{12}$$

which shows that pf^* should be independent of the current and acceptance, if the calculated slope and intercept reflect the actual values corresponding to the data. If the actual and simulated products $I^*A^*/(IA) = s$

$$pf^{*'} = \frac{Y_T^* - b'}{m'} = \frac{Y_T^* - sb}{sm}$$
(13)

and the error on pf^* due to s is

$$dpf^* = -\frac{Y_T^*}{s^2 m} ds = -\frac{Y_T^*}{m'} \frac{ds}{s}$$
(14)

The fractional change in pf^* can be magnified relative to the change in scale factor, according to eq. (14). As we show in the next section, the scale factor in RSS is always > 1, so actually the error δs is reduced somewhat when propagated to δpf^*

The uncertainty due to the input models can be treated separately, by comparing the models to data, and calculating the propagation of the models' errors to m and b.

The statistical error in pf^* comes from dY_T^* .



Figure 3: Parallel data and MC yields for C disk targets (top) and data/MC ratio, high HMS p.



Figure 4: Perpendicular data and MC yields for C disk targets (top) and data/MC ratio, low HMS p.

4 Numerical examples

The procedure described above has been applied to the RSS data. Some important observations can be made when examining the results:

- a constant data/MC yield ratio as a function of W is an indication that the shape of the model cross sections reproduce well the data, although data and MC may differ by a normalization factor. Figures 3 and 4 illustrate this ratio for the C disk targets for parallel data at high HMS momentum psetting and for perpendicular low momentum data, respectively. Since the pf is independent of kinematics, integrating the yields over the W ranges where the data/MC ratio is flat improves the precision.

- there are two different sets of rates, corresponding to the very different average cross sections for the high and low p settings. Table 1 shows the parallel data and MC yields integrated over 1.2 GeV < W < 1.5 GeV for

high p and 1.5 GeV $\ll <$ 1.8 GeV for low p, and the resulting slopes and intercepts with $(pf^{*'}, \text{ last column},)$ and without (pf^{*}) application of the data/MC scale factors determined from the C yield ratios (column 3). The first three rows correspond to the MC yields calculated with the unmodified QFS cross section for ¹²C. The average pf's are in **bold**. The second set of

TABLE 1											
		C target	Y*	Y MC	Y MC						
	Scalein	data/MC	(data)	(50%)	(60%)	m	b	pf*	m'	b'	pf*'
High p	1.000	1.119	126.8	107.1	119.3	122.0	46.2	0.661	136.5 5	51.6	0.551
Low p	1.000	1.049	236.9	222.2	246.0	237.7	103.4	0.562	249.41	08.4	0.515
								0.611			0.533
High p	1.040	1.084	126.8	110.0	122.5	124.5	47.8	0.635	134.9 5	51.8	0.556
Low p	0.960	1.090	236.9	215.1	238.2	231.5	99.3	0.594	252.41	08.2	0.510
								0.615			0.533
High p	1.040	1.106	126.8	110.0	122.5	124.5	47.8	0.635	137.6 5	52.8	0.537
Low p	0.960	1.069	236.9	215.1	238.2	231.5	99.3	0.594	247.41	06.1	0.529
								0.615			0.533

three rows are the results for the QFS model scaled with the "scalein" factor adjusted to agree with the recent Hall C data shown in Figure 1². It can be seen that although the high and low momentum $pf^{*\prime}$ are different, the average is insensitive to either the QFS scale or the C data/MC scale. The last three rows show the effect of arbitrarily changing the C data/MC scale by +2% (high p) and -2% (low p). The $pf^{*\prime}$ for low and high p become much more similar with just small changes in the scale factor.

- the slopes and intercepts are correspondingly different for each momentum setting. Since the data yields Y_T^* are also different, the pf determined for each momentum setting is an independent measurement. Fig. 5 shows the resulting sets of Y(pf) = m pf + b yield lines for the top 6 rows of Table 1. Note that because the respective scaled m' and b' are almost identical, the lines for rows 1 and 4, or 2 and 5, lie almost on top of each other. The intersections of the lines of constant integrated data Y_T^* for high and low momentum with the Y(pf) lines give in graphical form the $pf^{*'}$ measured

²The "scalein" factors applied to the model Born cross section in QFS also improve the agreement between the high and low $p \ C \ data/MC$ ratios, which is an indication that the residual scale factors are due to the *IA* product, rather than the model. Improvement is also seen for the perp data, see Table 2, 2nd. row

for each case. It can be seen that the data lines would have to intercept the Y(pf) lines exactly on top of each other to result in identical $pf^{*'}s$, but even small errors in either m or b can result in different values. However, as with any set of independent measurements of the same quantity, taking the average provides a more reliable result than any individual measurement.



Figure 5: Yields vs packing fraction, high and low momentum settings.

- the average of the low and high momentum packing fractions is rather insensitive to the individual variations, if they are the result of random errors in m and b. On the other hand, correlated changes on the high and low momentum scale factors can systematically shift the average. However, it is such shifts that give rise to the non-unity data/MC ratios in the first place, so applying the scale factors based on the C ratios effectively takes care of the systematic corrections due to current and acceptance. The remaining uncertainties, such as those due to the model cross sections, are random fluctuations about the true cross section value. This is illustrated by the near constancy of the average pf's for all configurations and several choices of cross section models and resulting C data/MC scale factors detailed in S. Tajima's dilution factors URL: http://www.jlab.org/ tajima/rss/, which are summarized in Table 2.

- additional measurements of the packing fraction can be obtained by

TABLE 2											
				Para	allel		Perp				
Model	scalein		C data/MC		Average pf*'		C data/MC		Average pf*'		
	high p	low p	high p	low p	Тор	Bottom	$\operatorname{high} p$	low p	Тор	Bottom	
QFS 12/05	1	1	1.119	1.049	53.4	54.3	1.094	1.051	57.5	60.2	
"	0.96	1.04	1.084	1.090	53.4	54.2	1.060	1.092	57.3	59.9	
QFS < 12/05	1	1	1.119	1.049	53.3	54.3	1.107	1.085	57.3	59.5	
P. Bosted	-	-	1.171	1.153	53.9	54.6	1.159	1.200	58.0	60.2	
QFS 10/05	1.11	1.07	1.037	0.983	54.2	54.6	1.034	1.017	57.9	60.4	

using data at other HMS momentum settings, such as the runs taken with very high $p_0 = 4.96$ GeV, and can be averaged with the high and low p, for improved precision.



Figure 6: ⁴He cross section at 4.3 GeV and 15° from SLAC NE-9 and QFS model. The current Fermi momentum $k_F = 180$ MeV was applied to smooth the model to match the data for W > 1.1 GeV, although $k_F = 170$ MeV works better in the quasielastic region.

- since the QFS model agrees well with the abundant quasielastic data, the error due to the model can be further reduced by comparing both C and ammonia data to MC in the region W < 900 MeV. Figures 6 and 7 illustrate the low energy loss ν side of the quasielastic region for ⁴He at 4.3 GeV, 15° and ¹²C at 3.6 GeV, 20°.



Figure 7: ¹²C cross section at 3.595 GeV and 20° from SLAC NE-3 and QFS model. As for ⁴He, a larger value of $k_F = 230$ MeV gives better agreement in the inelastic region (see Figure 3).

In summary, although not all forms of obtaining the pf have been exhausted in our analysis, we can be confident that the values we are using are well understood.



Figure 8: Central kinematics of data used in comparisons to QFS. Some curves may extend beyond the actual regions containing data and are plotted only to display the relation between the RSS kinematics and other experiments mentioned in the text.

APPENDIX

(This appendix is for limited circulation, since it contains preliminary data not yet released to the public).

Figure 9 shows a comparison between recent preliminary ¹⁴N cross section data from JLab Hall A and QFS, at 4.018 GeV, 25° and 3.028 GeV, 25°. These data sets were chosen because they are closest to RSS kinematics, see Figure 8. These results, combined with those of Figure 2 show that QFS agrees well in shape with data at kinematics near that of RSS for ~ 1.1 GeV < $W < \sim 1.5$ GeV with little or no need for a normalization factor. Above W = 1.5 GeV, a normalization ("scalein") factor of ~ 0.96 gives good agreement.

The purpose of this comparison is to show that the systematic error in the dilution factor f due to the model Born cross sections for A > 2 is about 2% relative for W < 1.5 GeV and 4% or less for higher W, since f depends mainly on the ratios of the Born cross sections for N and He to protons and deuterons (radiative corrections play also a limited role, since the ratios are for radiated cross sections).



Figure 9: Preliminary ¹⁴N cross section at 4.02 GeV, 25° and 3.03 GeV, 25° from Hall A and QFS model. Statistical and systematic errors added in quadrature. Kind courtesy of P. Solvignon, by way of K. Slifer – Not for circulation.