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# Quark-hadron duality in neutron spin structure

Patrícia Solvignon

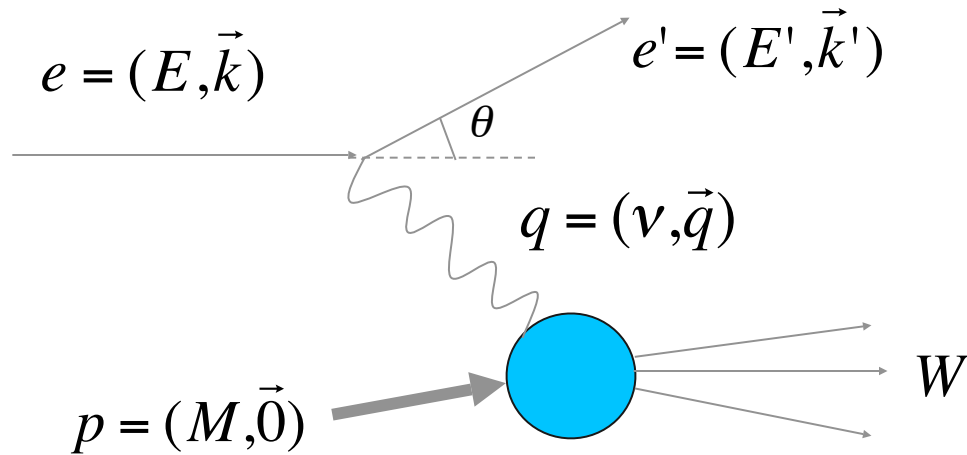
Argonne National Laboratory

Jefferson Lab Seminar  
November 14, 2008

# Outline

- Brief introduction to inclusive scattering
- Review of Quark-Hadron duality:
  - Theoretical interpretations
  - Sample of related world data
- Hall A experiment E01-012
  - Experimental setup
  - Neutron ( $^3\text{He}$ ) “Spin duality” results
- More results from E01-012
- Summary

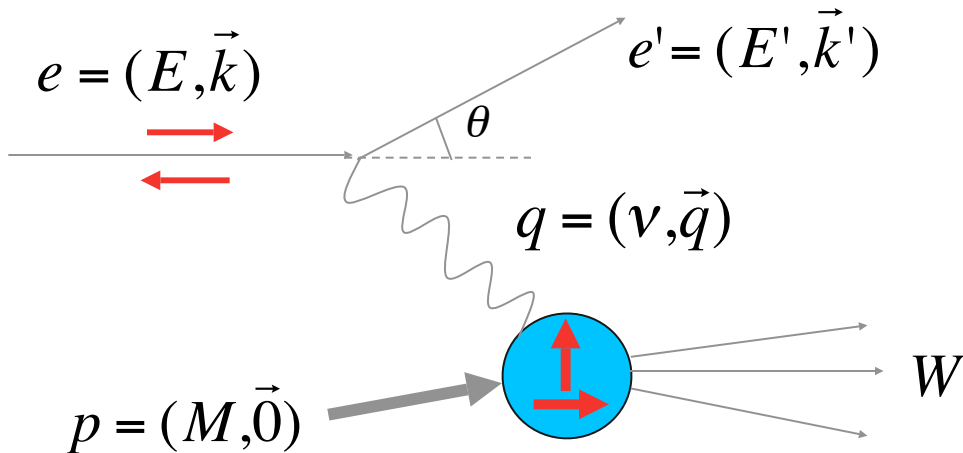
# Inclusive electron scattering



Unpolarized case

$$\left\{ \frac{d^2\sigma}{d\Omega dE'} = \sigma_{Mott} \left[ \frac{1}{\nu} F_2(x, Q^2) + \frac{2}{M} F_1(x, Q^2) \tan^2 \frac{\theta}{2} \right] \right.$$

# Inclusive electron scattering



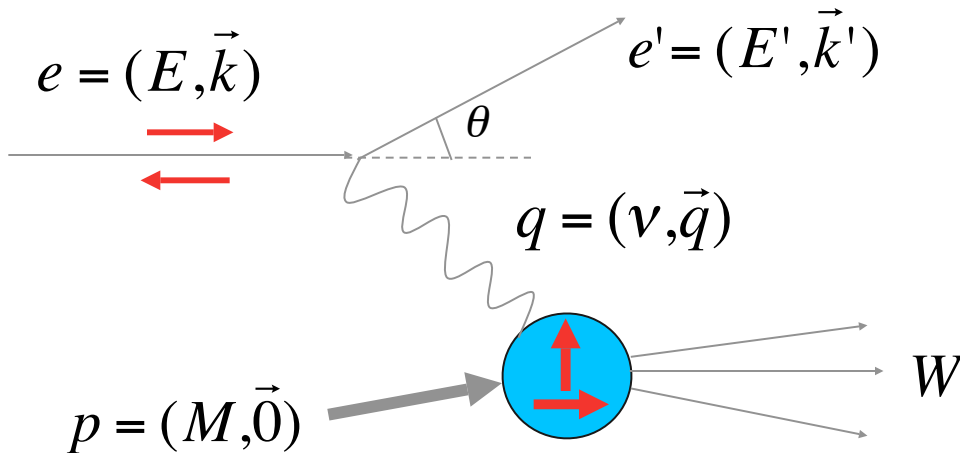
Unpolarized  
case

$$\left\{ \frac{d^2\sigma}{d\Omega dE'} = \sigma_{Mott} \left[ \frac{1}{\nu} F_2(x, Q^2) + \frac{2}{M} F_1(x, Q^2) \tan^2 \frac{\theta}{2} \right] \right.$$

Polarized  
case

$$\left\{ \begin{aligned} \frac{d^2\sigma^{\uparrow\uparrow}}{d\Omega dE'} - \frac{d^2\sigma^{\downarrow\uparrow}}{d\Omega dE'} &= \frac{4\alpha^2 E'}{\nu E Q^2} \left[ (E + E' \cos \theta) g_1(x, Q^2) - 2Mx g_2(x, Q^2) \right] \\ \frac{d^2\sigma^{\uparrow\Rightarrow}}{d\Omega dE'} - \frac{d^2\sigma^{\downarrow\Rightarrow}}{d\Omega dE'} &= \frac{4\alpha^2 E'}{\nu E Q^2} \sin \theta \left[ g_1(x, Q^2) + \frac{2ME}{\nu} g_2(x, Q^2) \right] \end{aligned} \right.$$

# Inclusive electron scattering



4-momentum transfer squared

$$Q^2 = -q^2 = 4EE' \sin^2 \frac{\theta}{2}$$

Invariant mass squared

$$W^2 = M^2 + 2M\nu - Q^2$$

Bjorken variable

$$x = \frac{Q^2}{2M\nu}$$

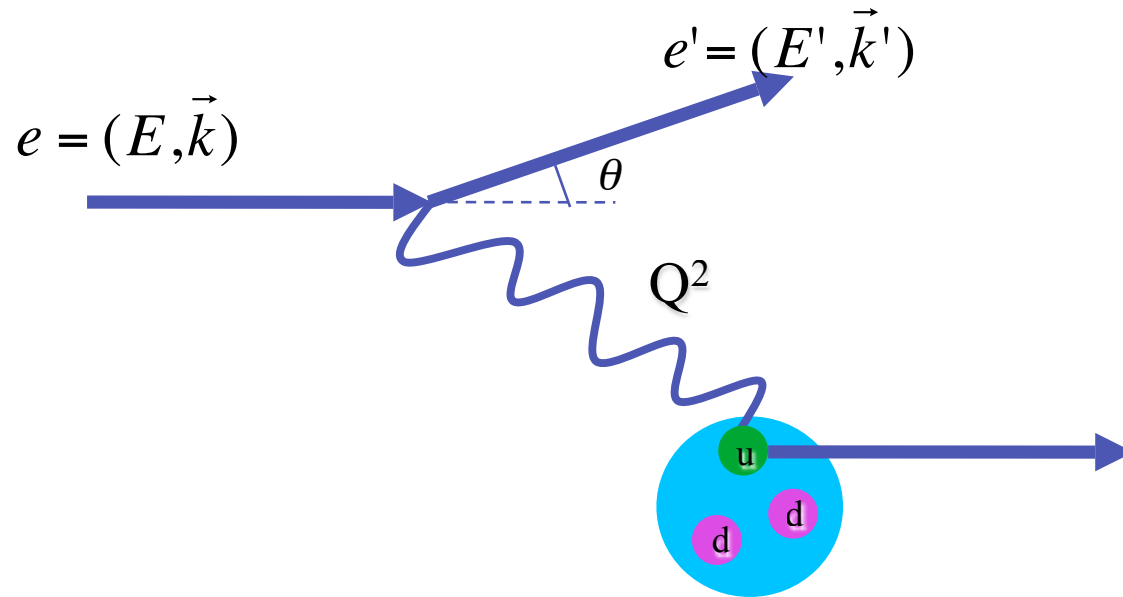
Unpolarized  
case

$$\left\{ \frac{d^2\sigma}{d\Omega dE'} = \sigma_{Mott} \left[ \frac{1}{\nu} F_2(x, Q^2) + \frac{2}{M} F_1(x, Q^2) \tan^2 \frac{\theta}{2} \right] \right.$$

Polarized  
case

$$\left\{ \begin{aligned} \frac{d^2\sigma^{\uparrow\uparrow}}{d\Omega dE'} - \frac{d^2\sigma^{\downarrow\uparrow}}{d\Omega dE'} &= \frac{4\alpha^2 E'}{\nu E Q^2} \left[ (E + E' \cos \theta) g_1(x, Q^2) - 2M\nu g_2(x, Q^2) \right] \\ \frac{d^2\sigma^{\uparrow\Rightarrow}}{d\Omega dE'} - \frac{d^2\sigma^{\downarrow\Rightarrow}}{d\Omega dE'} &= \frac{4\alpha^2 E'}{\nu E Q^2} \sin \theta \left[ g_1(x, Q^2) + \frac{2ME}{\nu} g_2(x, Q^2) \right] \end{aligned} \right.$$

# Deep inelastic scattering



High  $Q^2$  and  $W > 2\text{GeV}$ : fine resolution  $\rightarrow$  we see partons

scaling  $\rightarrow$

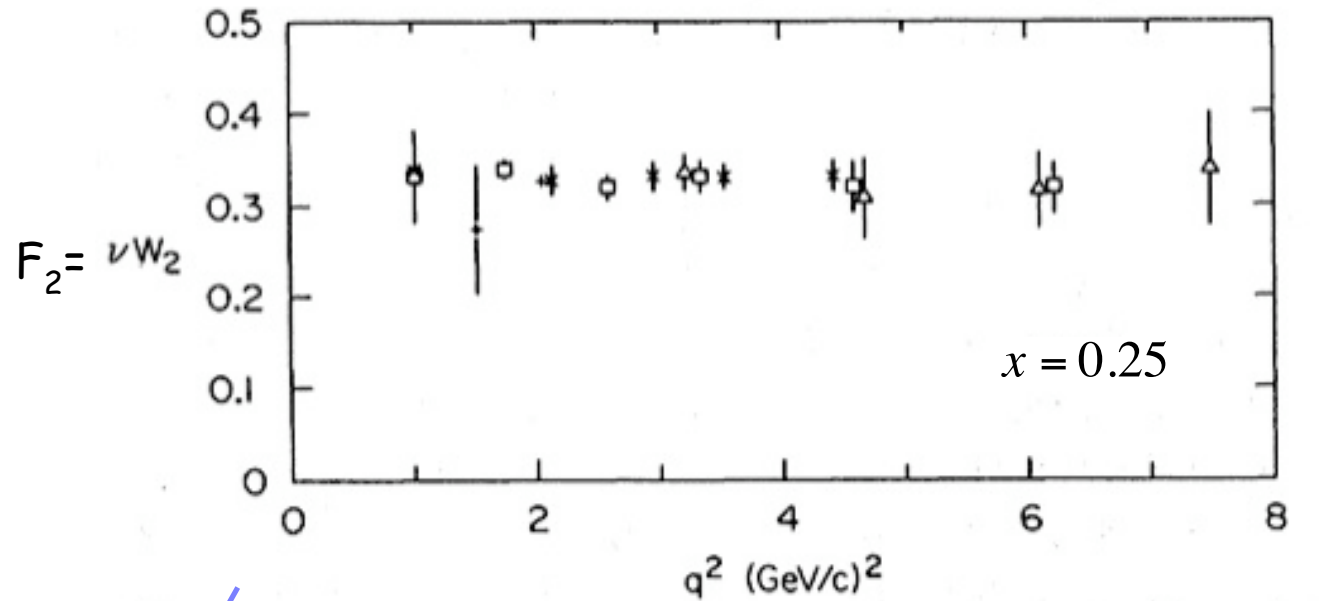
asymptotic freedom of the strong interaction

$\swarrow$  2004 Nobel Prize

D. J. Gross, H. D. Politzer and F. Wilczek

# Scaling of $F_2$

H. W. Kendall, Rev. Mod. Phys. 63 (1991) 597



1990 Nobel Prize

J. I. Friedman, H. W. Kendall and R. E. Taylor

# Structure functions in the parton model

In the infinite-momentum frame, partons are point-like non-interacting particles:

$$\sigma_{\text{Nucleon}} \approx \sum_i \sigma_i$$

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 [q_i^\uparrow(x) + q_i^\downarrow(x)]$$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 [q_i^\uparrow(x) - q_i^\downarrow(x)]$$



# Structure functions in the parton model

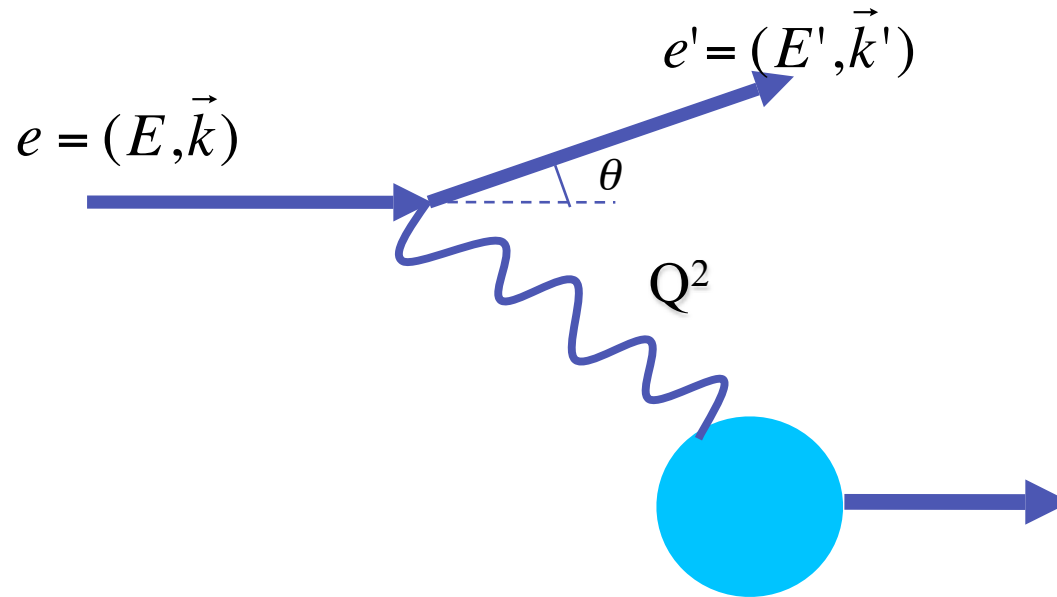
In the infinite-momentum frame, partons are point-like non-interacting particles:

$$\sigma_{\text{Nucleon}} \approx \sum_i \sigma_i$$

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 [q_i^\uparrow(x) + q_i^\downarrow(x)] = \frac{1}{2x} F_2(x) \quad \text{Callan-Gross relation}$$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 [q_i^\uparrow(x) - q_i^\downarrow(x)] \quad \text{No simple partonic description for } g_2$$

## The resonance region



Low  $Q^2$  and  $W < 2 \text{ GeV}$ : coarse resolution  $\rightarrow$  we don't see individual partons.

$\hookrightarrow$  The nucleon goes through different excited states: the resonances

SCALING, DUALITY, AND THE BEHAVIOR OF RESONANCES  
IN INELASTIC ELECTRON-PROTON SCATTERING\*

E. D. Bloom and F. J. Gilman

*Stanford Linear Accelerator Center, Stanford University, Stanford, California 94305*

(Received 25 June 1970)

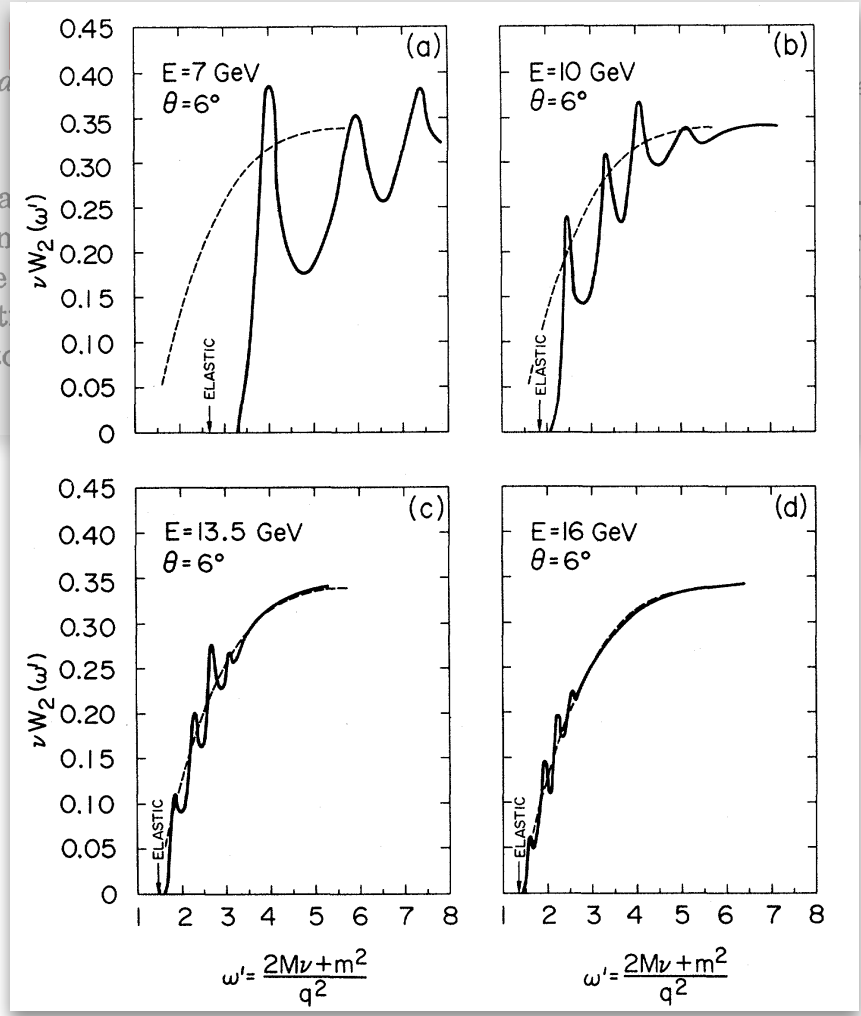
We propose that a substantial part of the observed behavior of inelastic electron-proton scattering is due to a nondiffractive component of virtual photon-proton scattering. The behavior of resonance electroproduction is shown to be related in a striking way to that of deep inelastic electron-proton scattering. We derive relations between the elastic and inelastic form factors and the threshold behavior of the inelastic structure functions in the scaling limit.

SCALING, DUALITY, AND THE BEHAVIOR OF RESONANCES  
IN INELASTIC ELECTRON-PROTON SCATTERING\*

Stanford Linear Accelerator

We propose that a substantial portion of the inelastic scattering is due to a narrow resonance. The behavior of resonance scattering is similar to that of deep inelastic elastic and inelastic form factors in the scaling limit.

Scaling curve seen at high  $Q^2$   
is an accurate average over the  
resonance region at lower  $Q^2$



4305

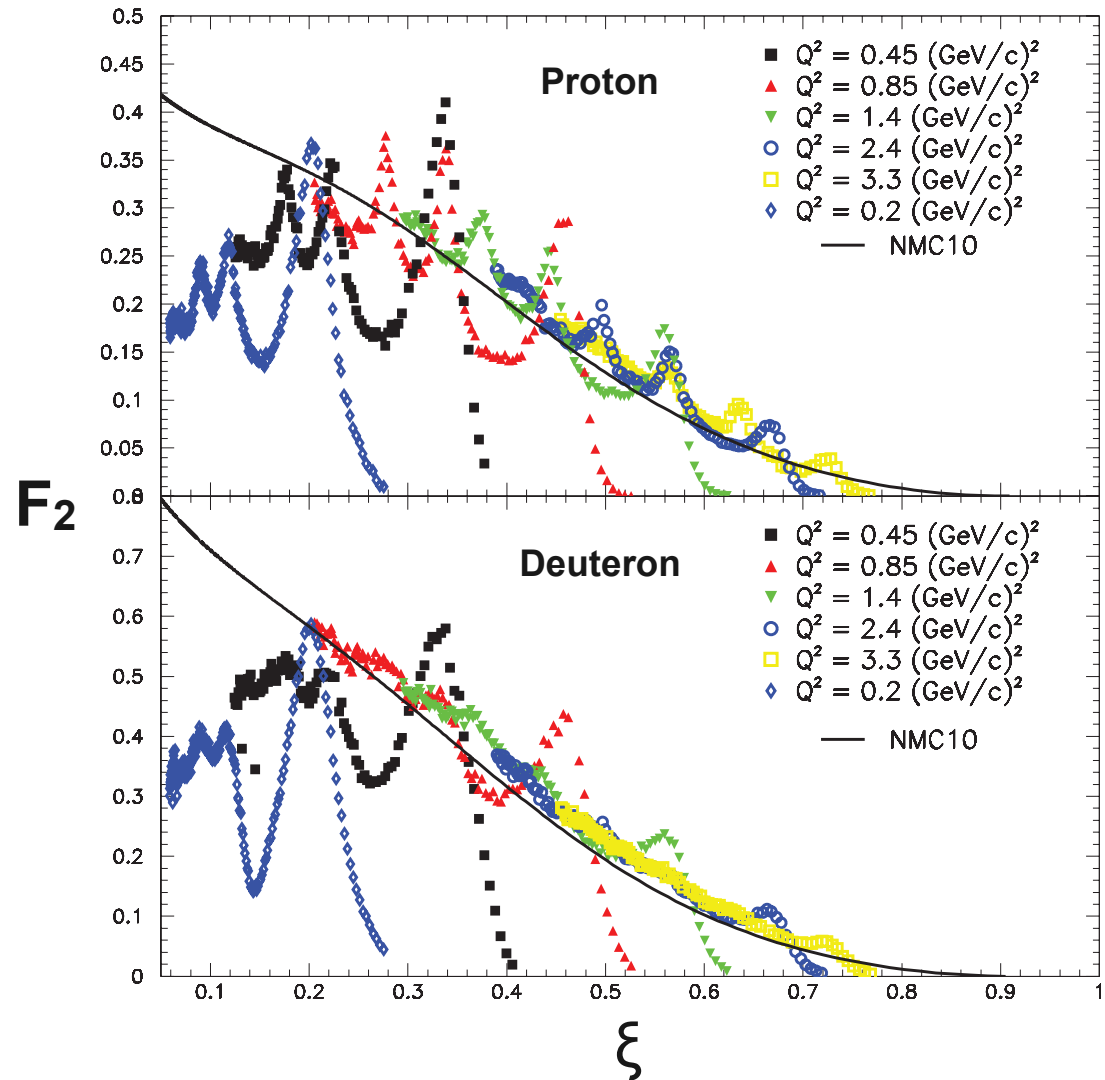
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# Quark-hadron duality

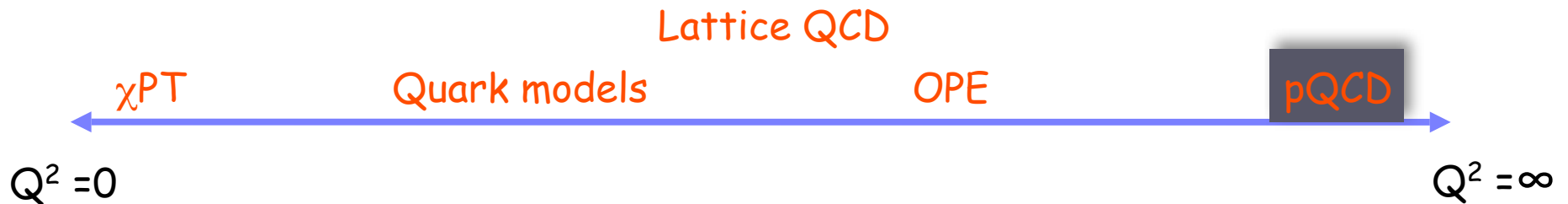
High precision Hall C data  
allowed the confirmation  
global duality and the  
observation of local duality  
for  $F_2$

What about spin-  
dependent structure  
functions ?

I. Niculescu et al., PRL 85 (2000) 1182



# Theoretical interpretations



pQCD (Carlson, Mukhopadhyay):

→  $Q^2$  dependence of transition form factors vs.  $x$  dependence of parton distribution functions

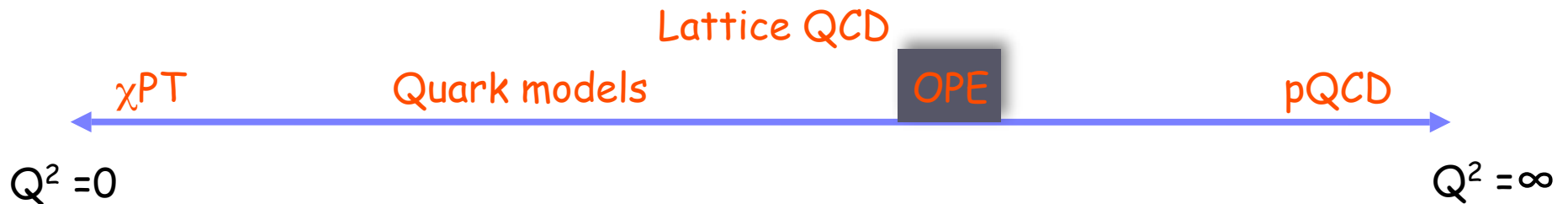
In resonance

$$g_1 = \frac{M_N^2}{\pi M_R \Gamma_R} \frac{g_+^2}{Q^6} \approx \frac{M_N^2}{\pi M_R \Gamma_R} \frac{g_+^2}{(M_R^2 - M_N^2)^3} (1-x)^3$$

In DIS

$$\lim_{x \rightarrow 1} g_1(x) \propto (1-x)^3$$

# Theoretical interpretations



Operator Product Expansion (Rujula, Georgi, Politzer):

↳ Higher twist corrections are small or cancel.

$$\Gamma_1(Q^2) = \underbrace{\mu_2(Q^2)}_{\text{Leading twist}} + \underbrace{\frac{\mu_4(Q^2)}{Q^2} + \frac{\mu_6(Q^2)}{Q^4} + o\left(\frac{1}{Q^6}\right)}_{\text{Higher twists}}$$

# Theoretical interpretations



SU(6) symmetry breaking in the quark model (Close, Isgur and Melnitchouk):

➔ investigate several scenarios with suppression of spin-3/2, helicity-3/2 or symmetric wave function

$$|N\rangle = \cos\theta_w |\psi_\rho\rangle + \sin\theta_w |\psi_\lambda\rangle$$

Model	SU(6)	no $^4\mathbf{10}$	no $^2\mathbf{10}, ^4\mathbf{10}$	no $S_{3/2}$	no $\sigma_{3/2}$	no $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

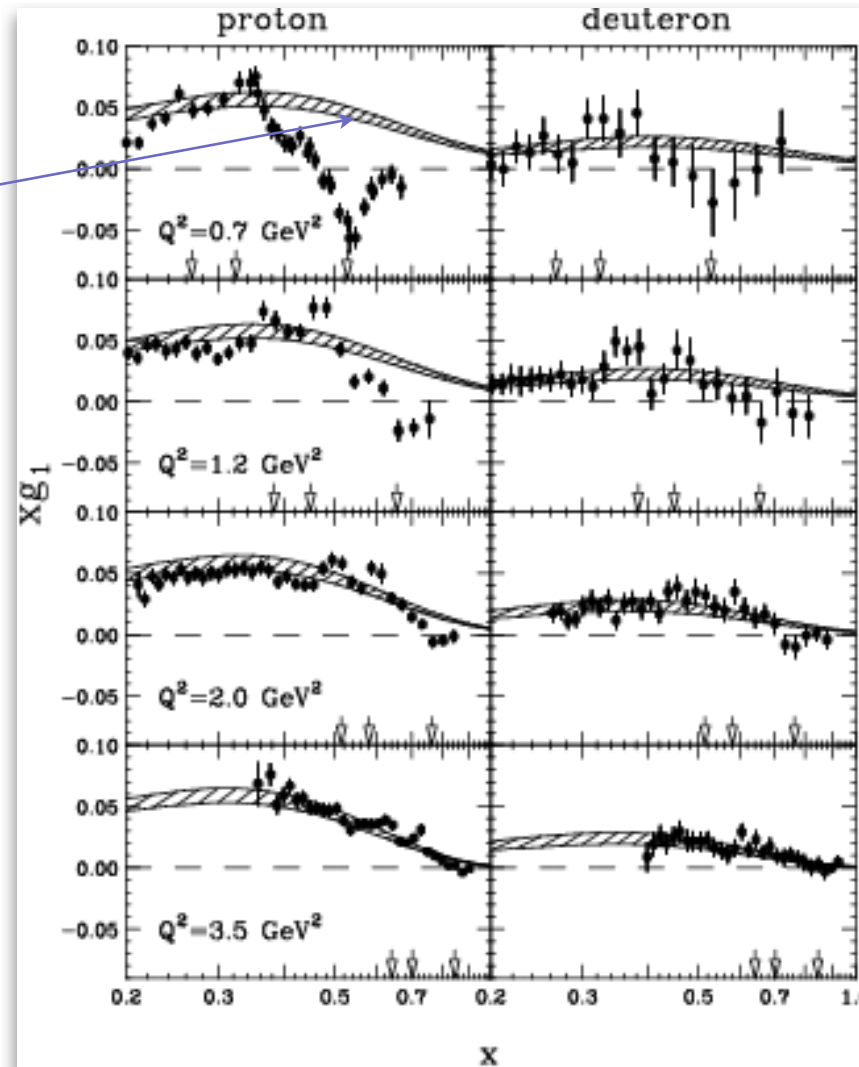


# Existing data on “spin duality”

P. Bosted et al, Phys. Rev. C 75 (2007) 035203

Hall B

$g_1^p(\text{DIS}) > 0$



# Existing data on “spin duality”

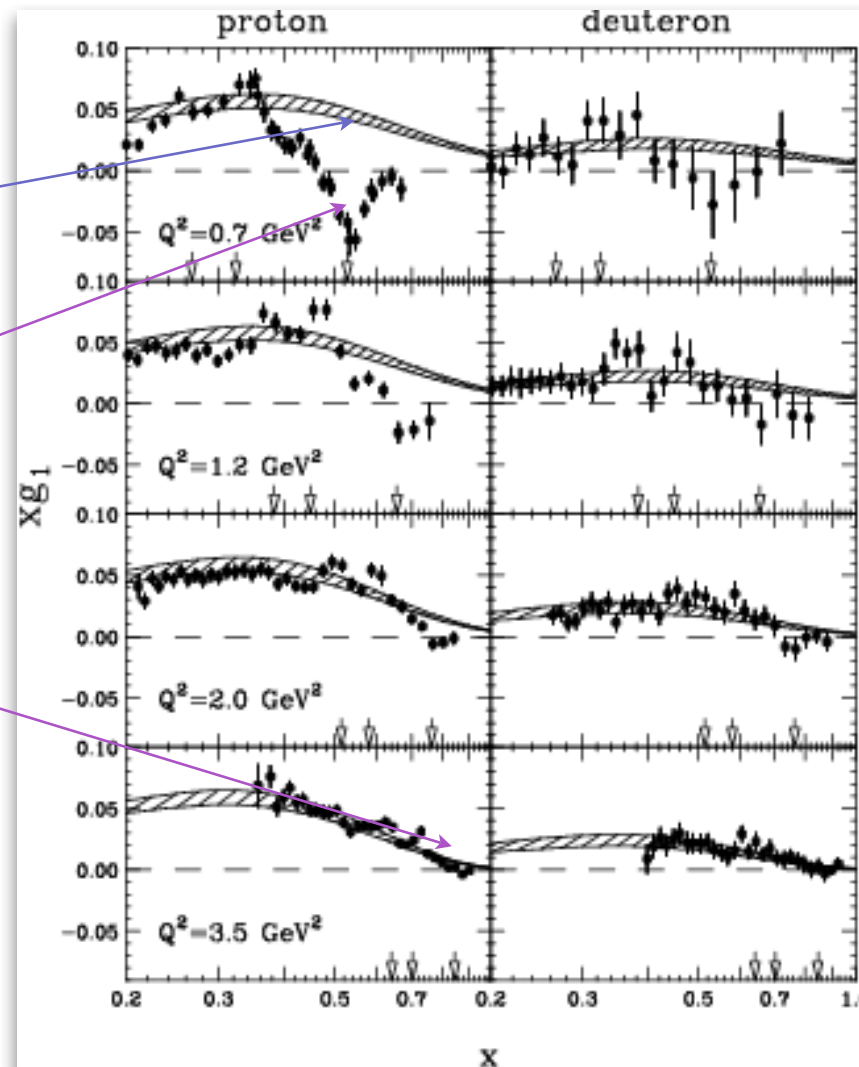
P. Bosted et al, Phys. Rev. C 75 (2007) 035203

Hall B

$g_1^p(\text{DIS}) > 0$

but  $g_1^p(\Delta) < 0$  even  
at  $Q^2$  as high as  $3.5 \text{ GeV}^2$

Duality for  $g_1^p$  ?

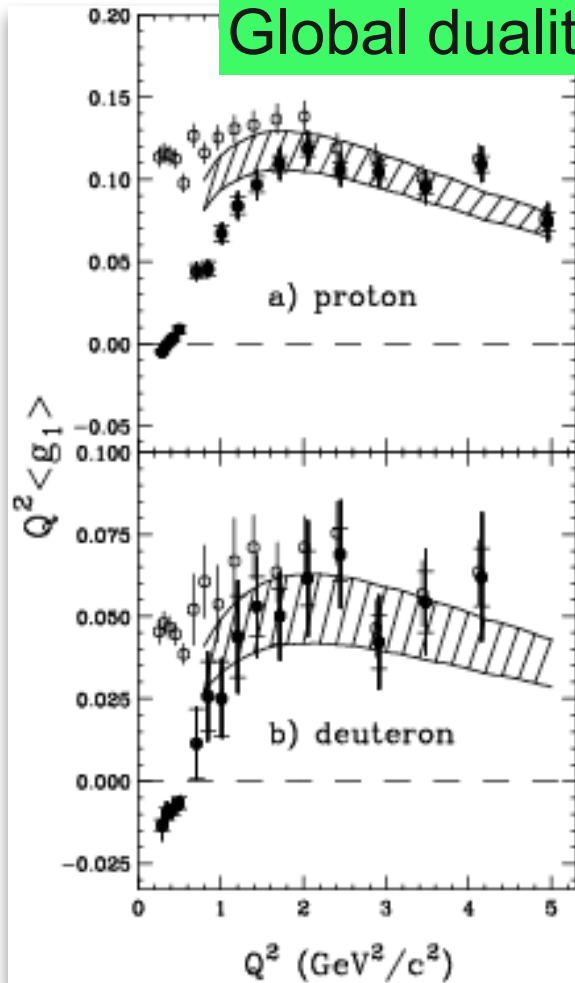


# Existing data on “spin duality”

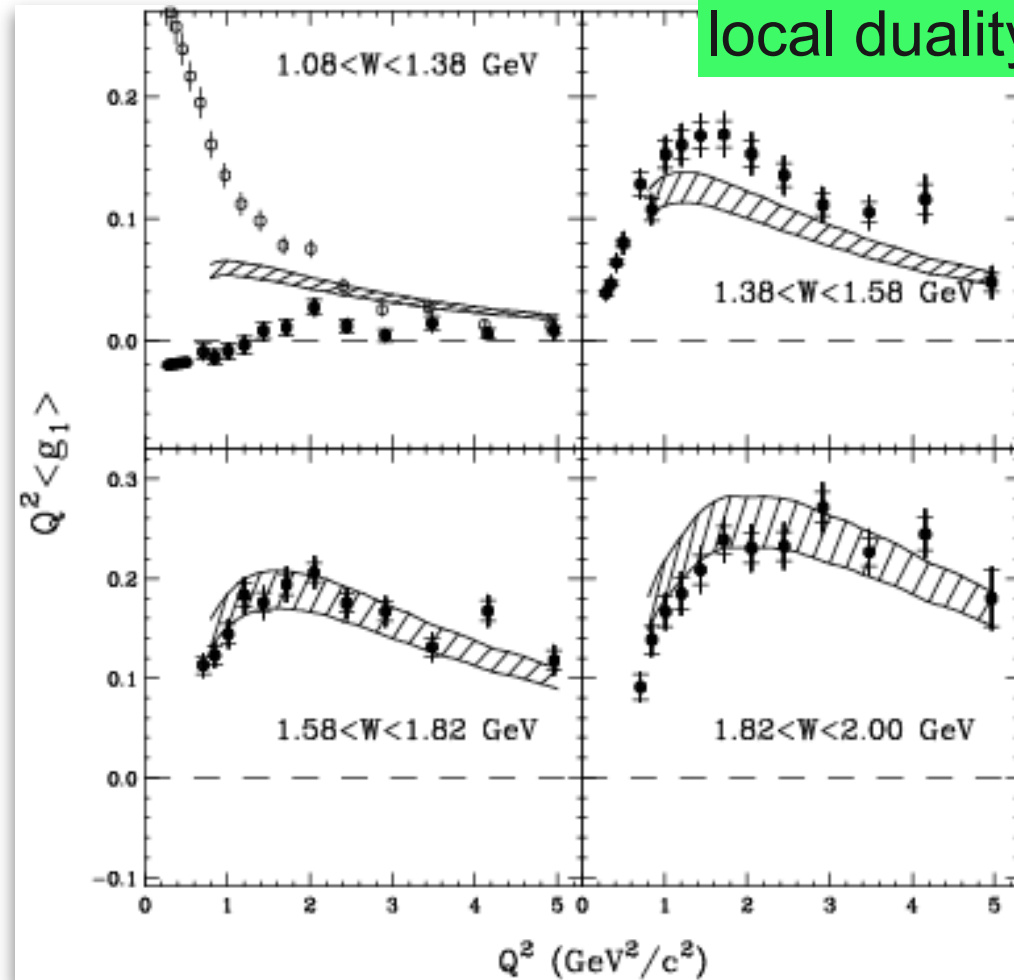
P. Bosted et al, Phys. Rev. C 75 (2007) 035203

Hall B

Global duality

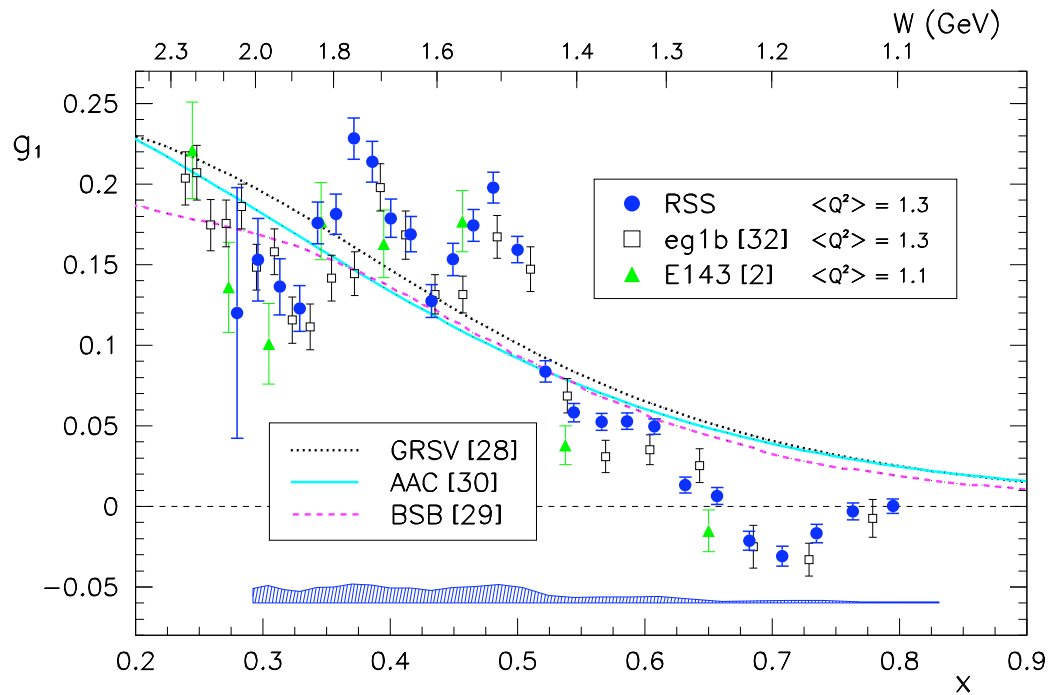


local duality



# Existing data on “spin duality”

## Hall C

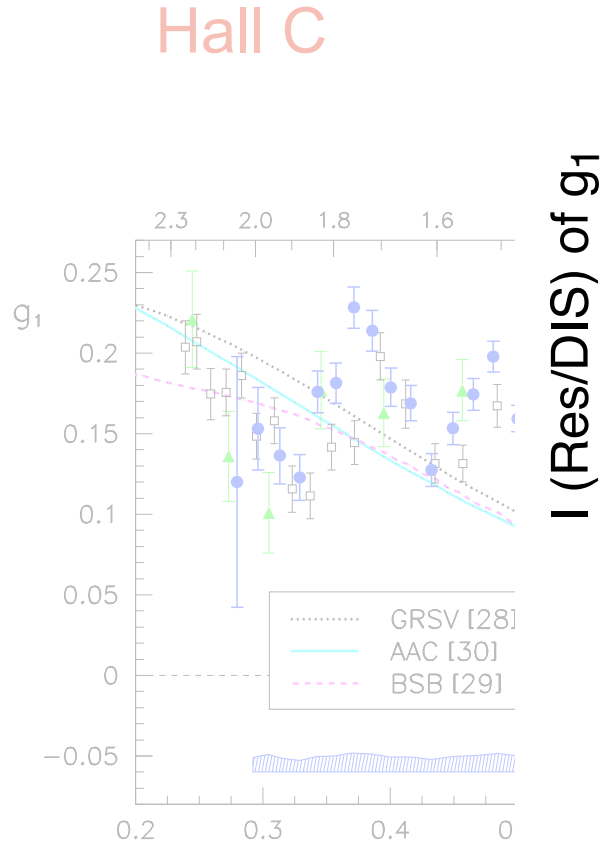


F. Wesselmann et al, Phys. Rev. Lett. 98 (2007) 132003

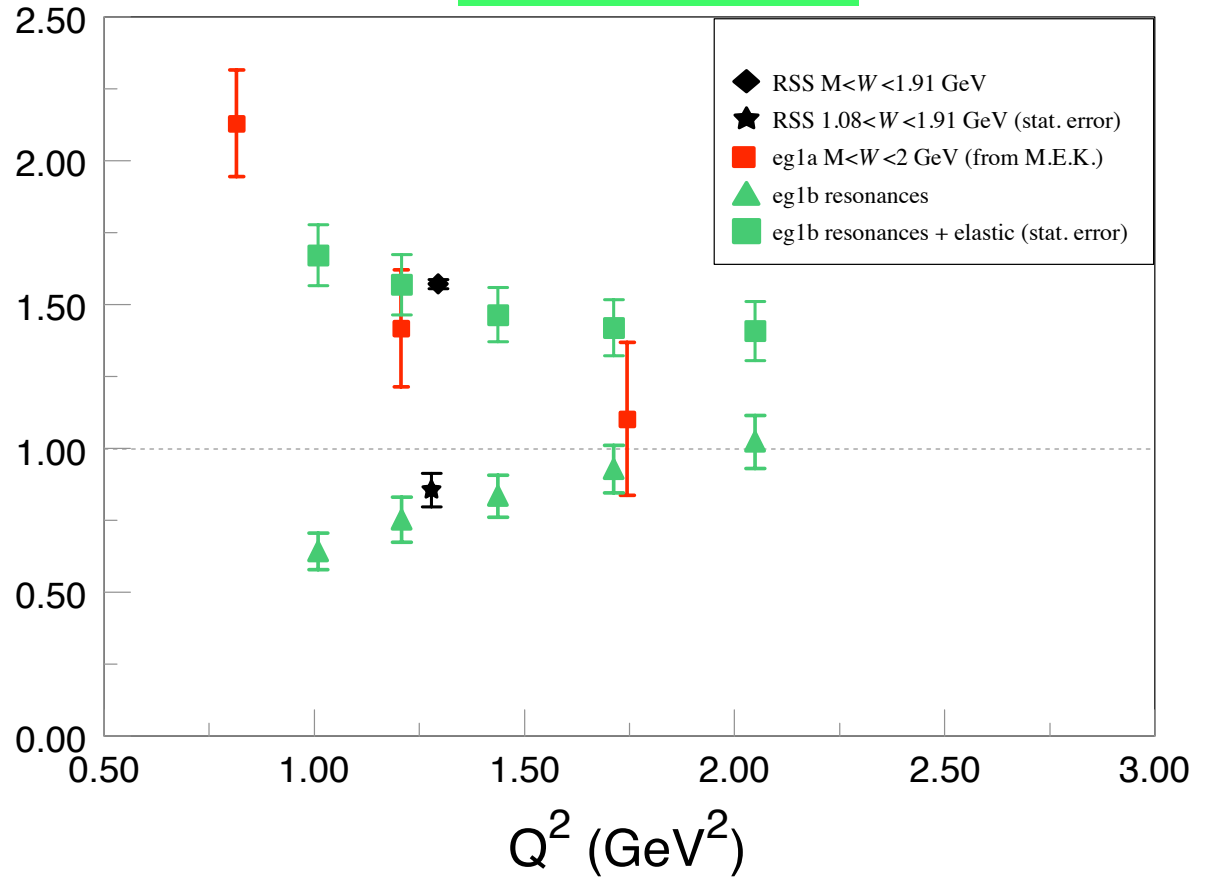
# Existing data on “spin duality”

## Global duality

### Hall C



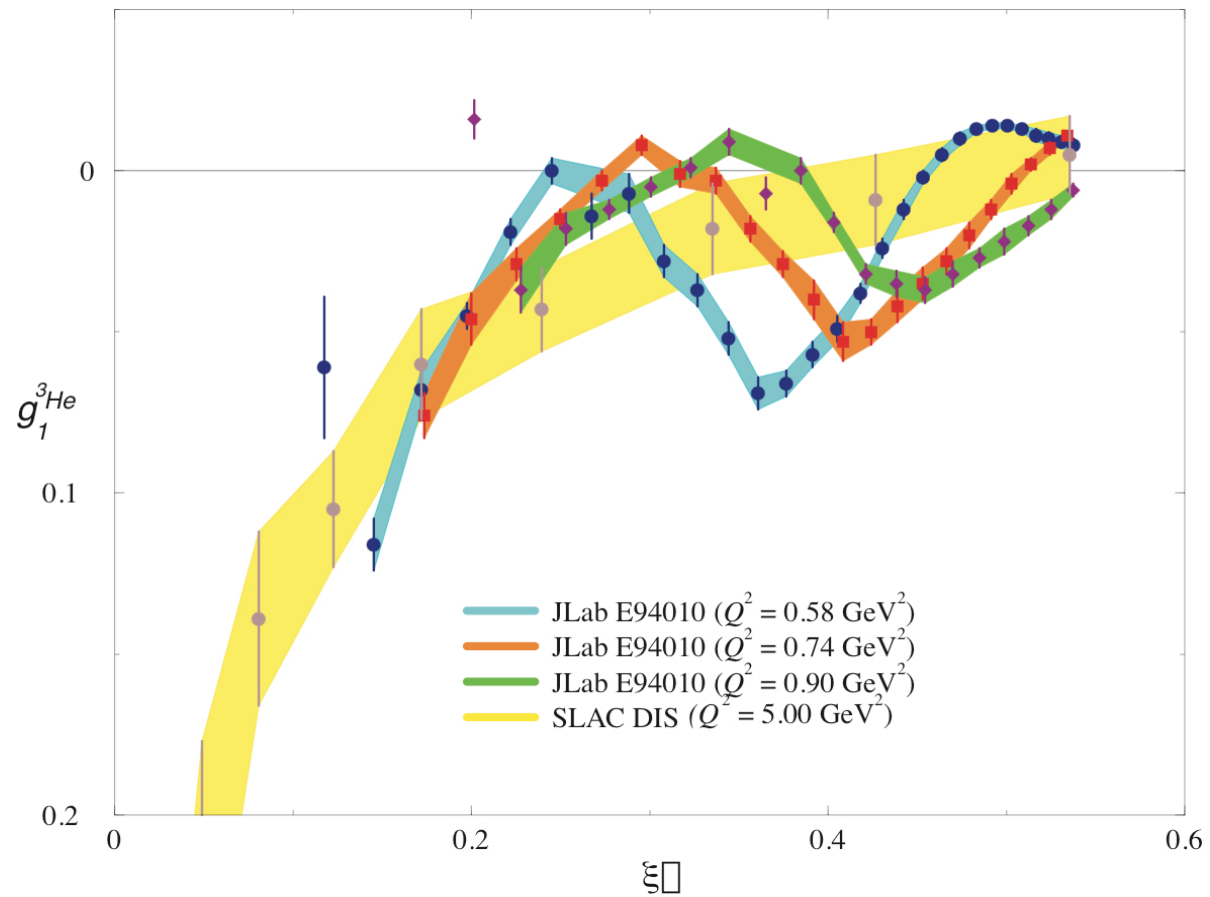
I (Res/DIS) of  $g_1$



F. Wesselmann et al, Phys. Rev. Lett. 98 (2007) 132003

# Existing data on “spin duality”

Indication of duality for  $g_1^{3\text{He}}$  from **Hall A** (E94-010)



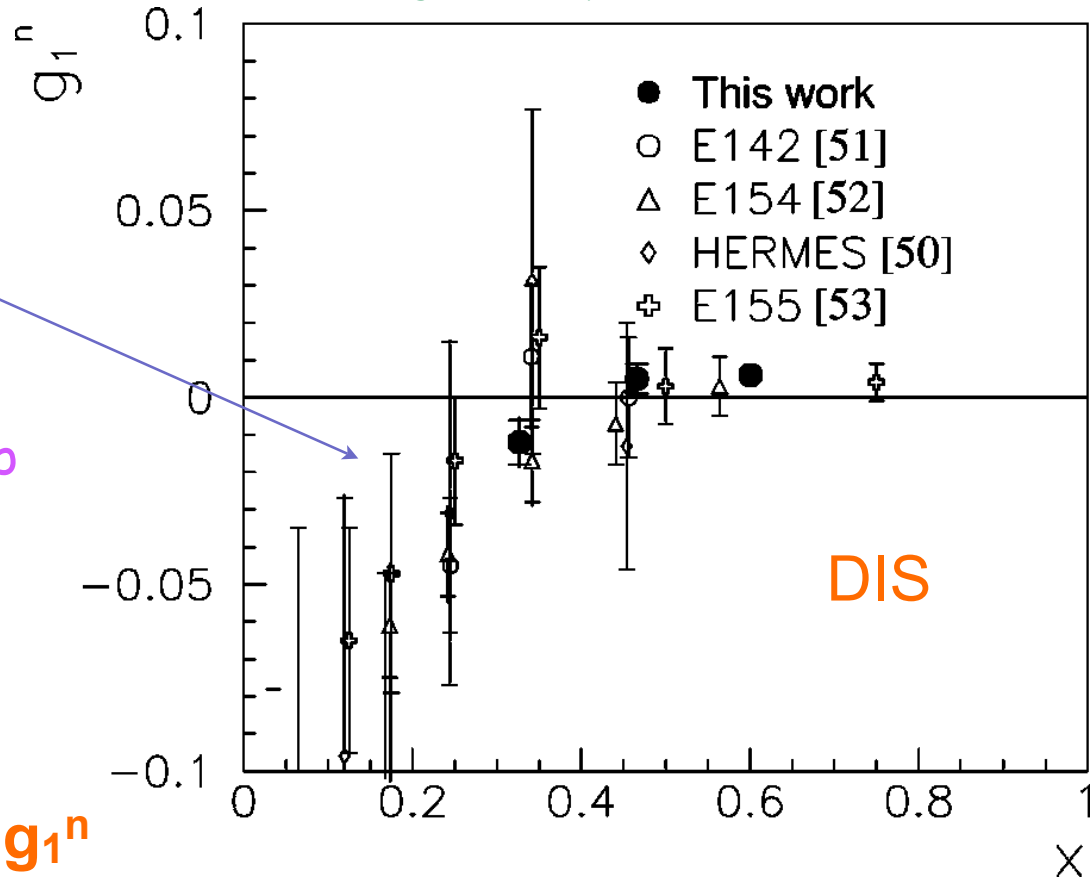
# Neutron spin duality ?

X. Zheng et al, Phys. Rev. C 70 (2004) 065207

$g_1^n(\text{DIS}) < 0$

and  $g_1^n(\Delta)$  is negative up  
to the its FF fall off

Onset of duality for  $g_1^n$   
is expected "sooner"



Quark-hadron duality: accidental or universal phenomenon ?

**In order to improve our understanding of duality, we need to explore duality in:**

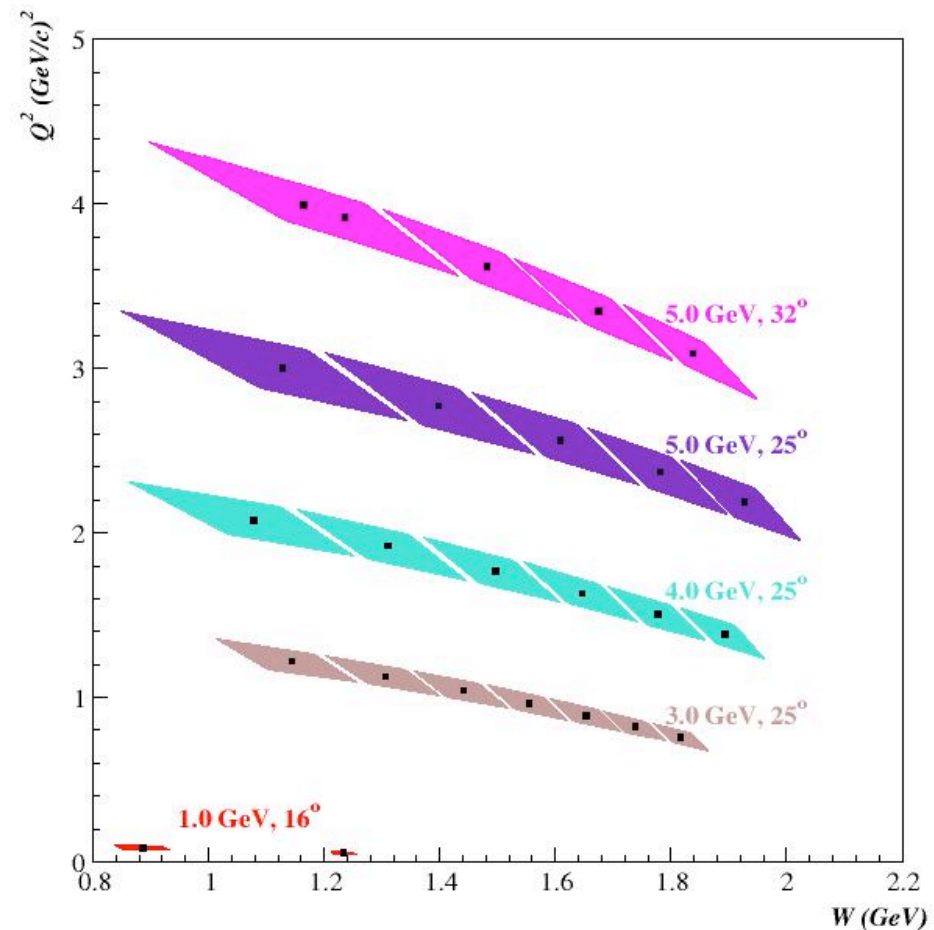
polarized SF vs. unpolarized SF  
and  
proton vs. neutron

**a dedicated experiment to study spin duality on the neutron was necessary**



# The experiment 01-012

- Ran in Jan.-Feb. 2003
- Inclusive experiment:  ${}^3\text{He}(\vec{e}, e')X$ 
  - Polarized electron beam:  
 $70 < P_{\text{beam}} < 85\%$
  - Hall A in standard equipment
  - Pol.  ${}^3\text{He}$  target (para and perp):  
 $\langle P_{\text{targ}} \rangle = 37\%$
- Measured polarized cross section differences and form  $g_1$  and  $g_2$  for  ${}^3\text{He}$

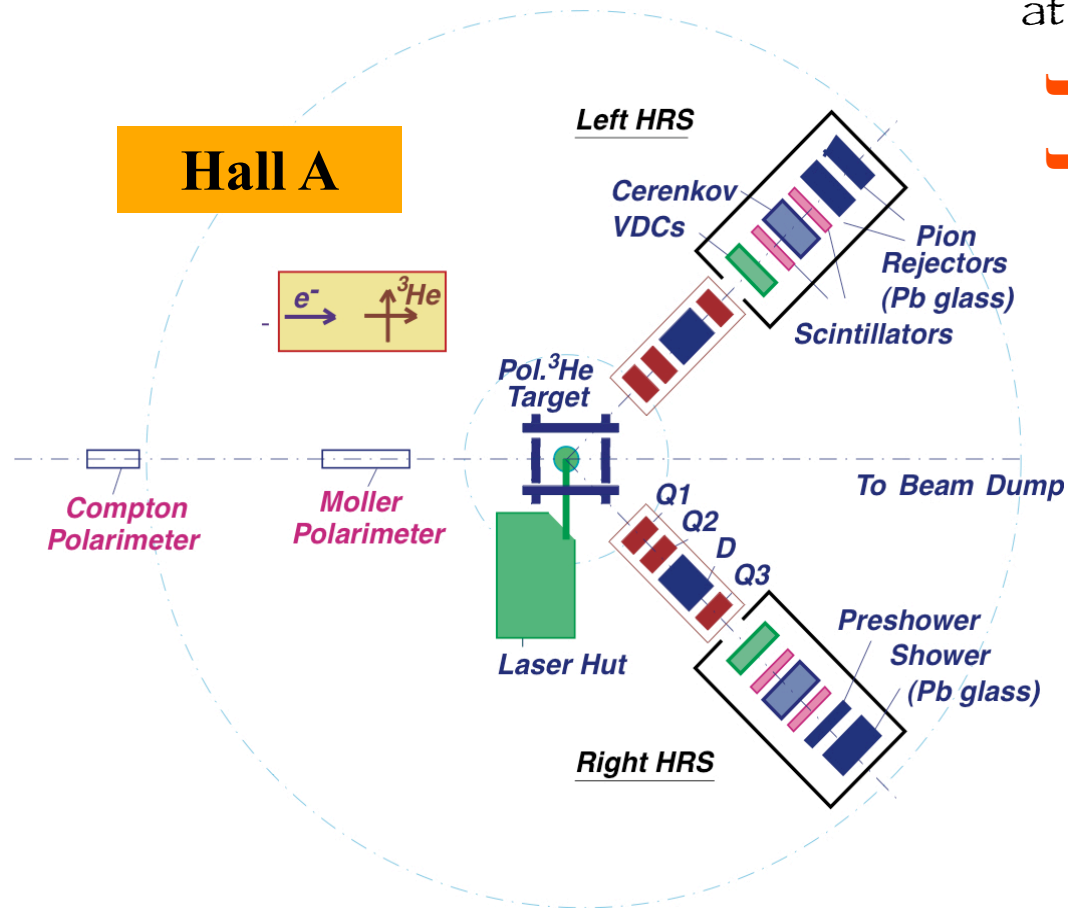


➔ Test of spin duality on the neutron ( ${}^3\text{He}$ )

# The E01-012 Collaboration

K. Aniol, T. Averett, W. Boeglin, A. Camsonne, G.D. Cates,  
G. Chang, J.-P. Chen, Seonho Choi, E. Chudakov, B. Craver,  
F. Cusanno, A. Deur, D. Dutta, R. Ent, R. Feuerbach,  
S. Frullani, H. Gao, F. Garibaldi, R. Gilman, C. Glashauser,  
O. Hansen, D. Higginbotham, H. Ibrahim, X. Jiang, M. Jones,  
A. Kelleher, J. Kelly, C. Keppel, W. Kim, W. Korsch, K. Kramer,  
G. Kumbartzki, J. LeRose, R. Lindgren, N. Liyanage, B. Ma,  
D. Margaziotis, P. Markowitz, K. McCormick, Z.-E. Meziari,  
R. Michaels, B. Moffit, P. Monaghan, C. Munoz Camacho,  
K. Paschke, B. Reitz, A. Saha, R. Sheyor, J. Singh, K. Slifer,  
P. Solvignon, V. Sulkosky, A. Tobias, G. Urciuoli, K. Wang,  
K. Wijesooriya, B. Wojtsekhowski, S. Woo, J.-C. Yang,  
X. Zheng, L. Zhu

# Experimental setup

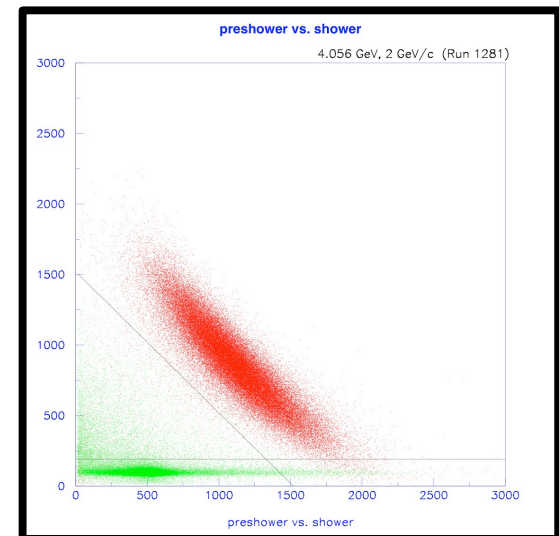


Both HRS in symmetric configuration at  $25^\circ$  and  $32^\circ$

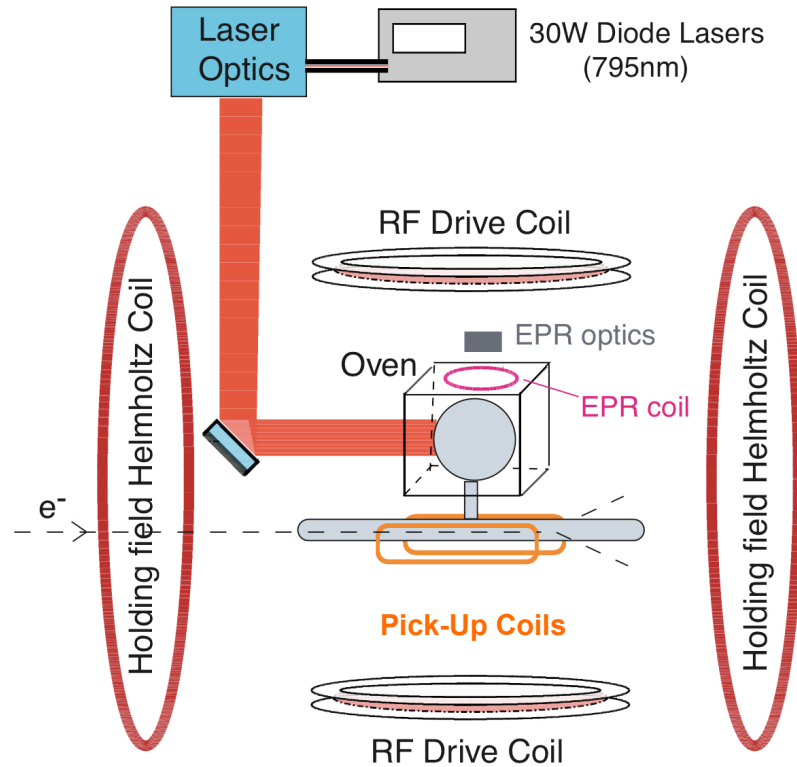
- Double the statistics
- Control the systematics

Particle ID = Cerenkov + EM calorimeter

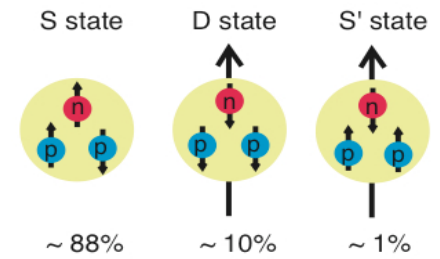
→  $\pi/e$  reduced by  $10^4$



# The polarized $^3\text{He}$ target



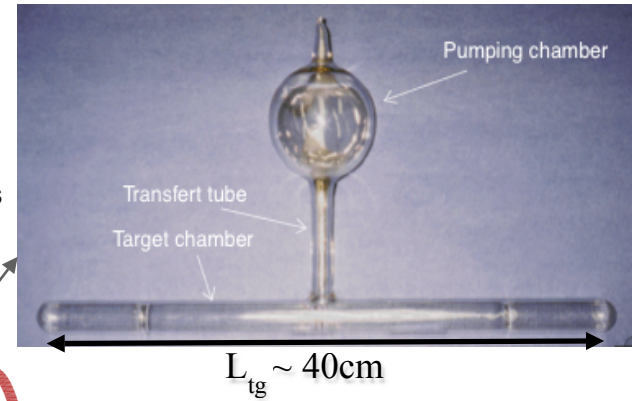
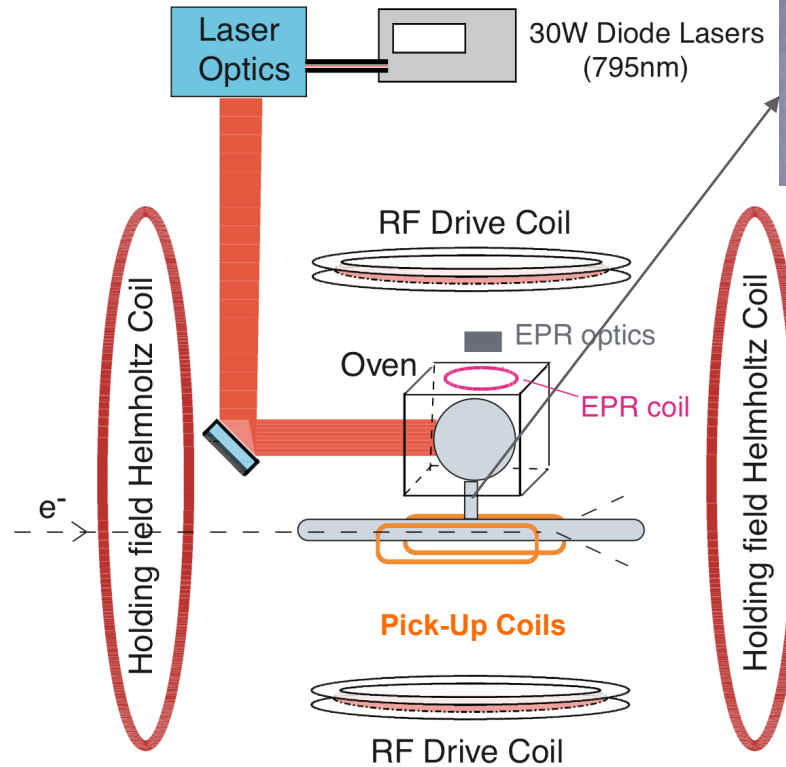
## $^3\text{He}$ as neutron target



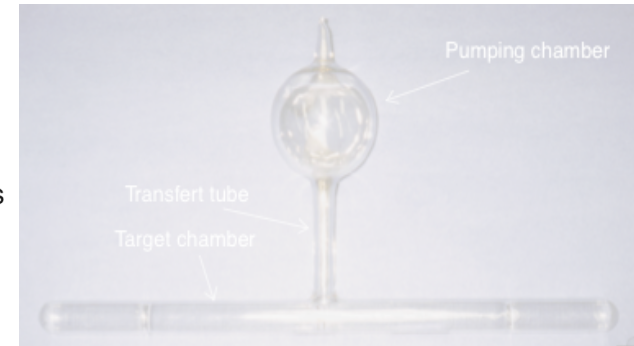
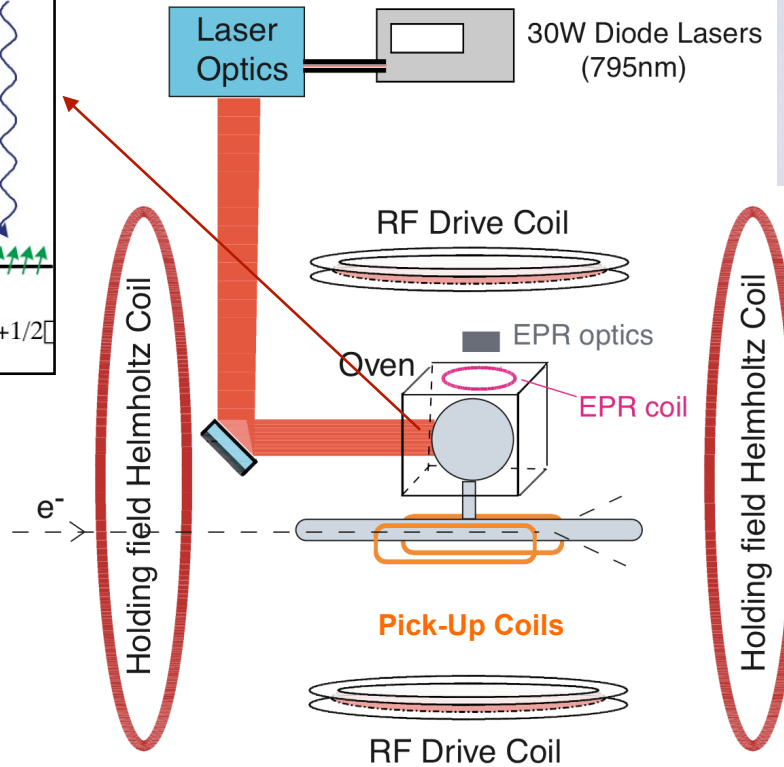
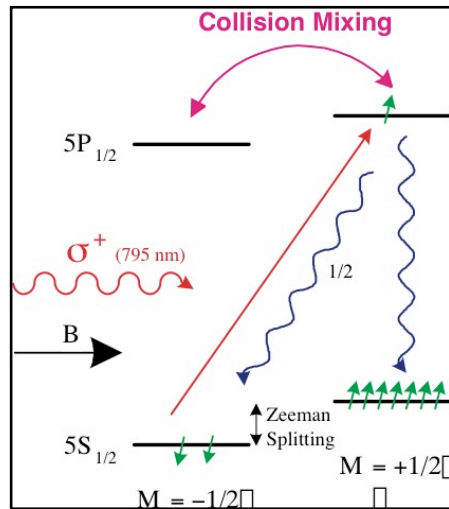
$$P_n = 86\% \text{ and } P_p = -2.8\%$$

# The polarized $^3\text{He}$ target

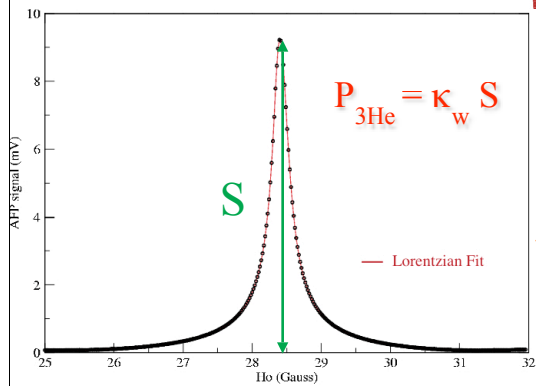
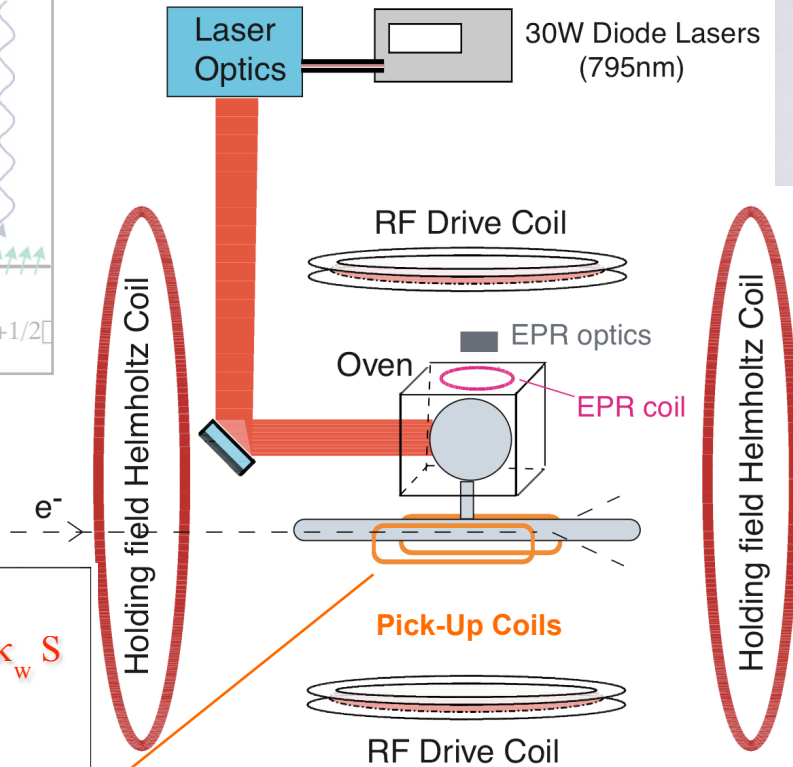
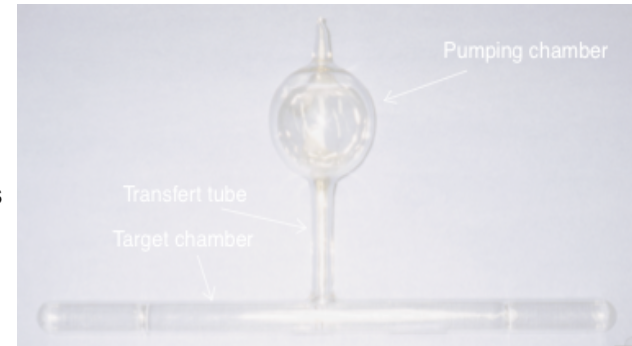
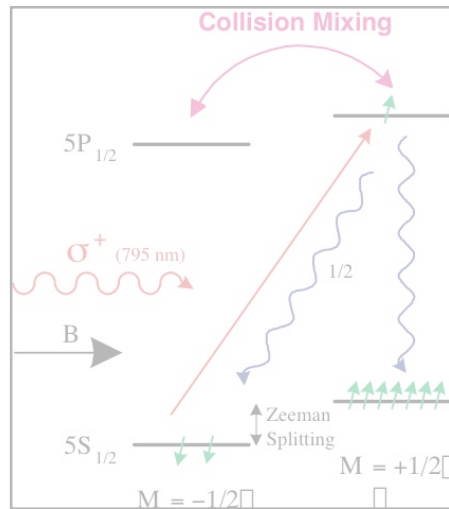
Pressure  $\sim 14$  atm under running conditions  
High luminosity:  $10^{36} \text{ s}^{-1}\text{cm}^{-2}$



# The polarized $^3\text{He}$ target

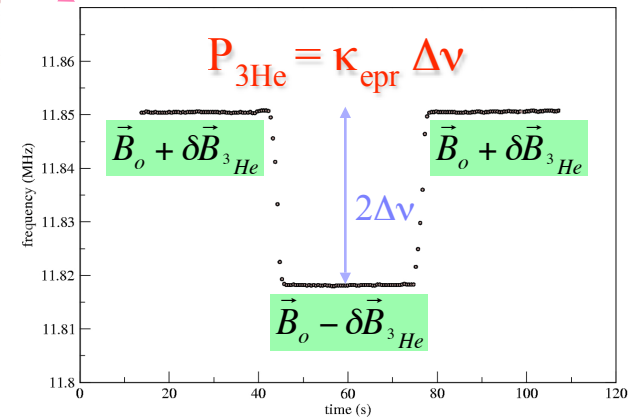
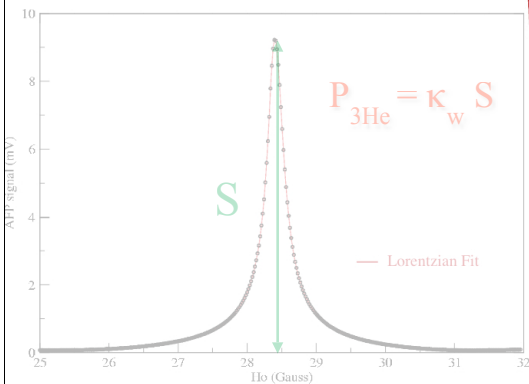
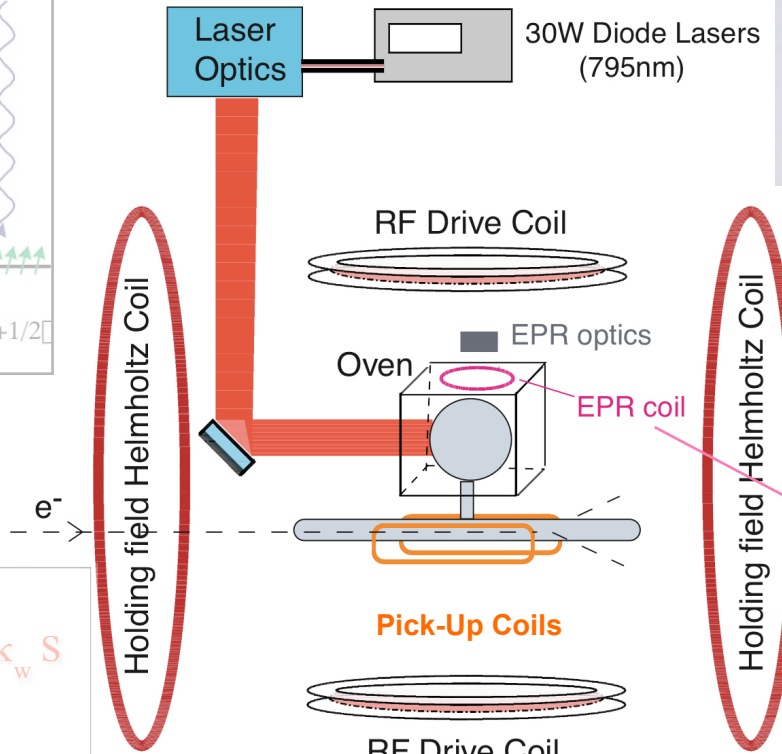
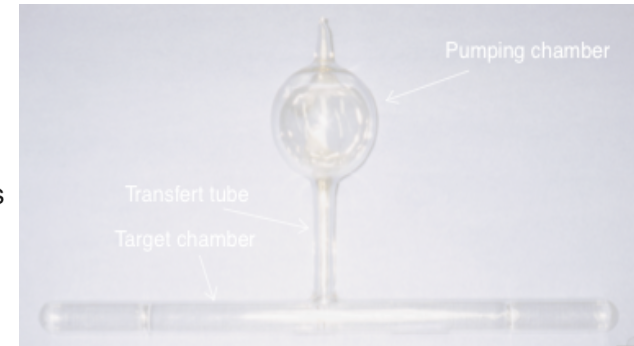
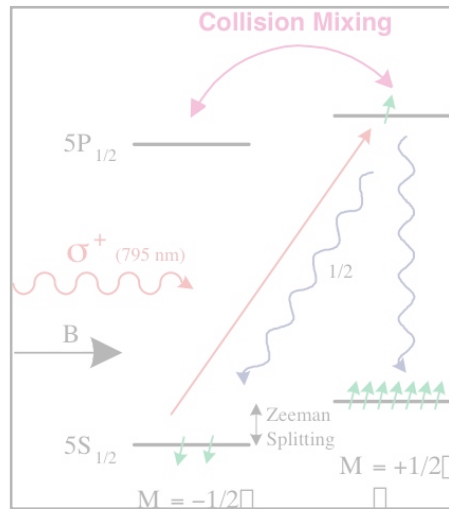


# The polarized $^3\text{He}$ target





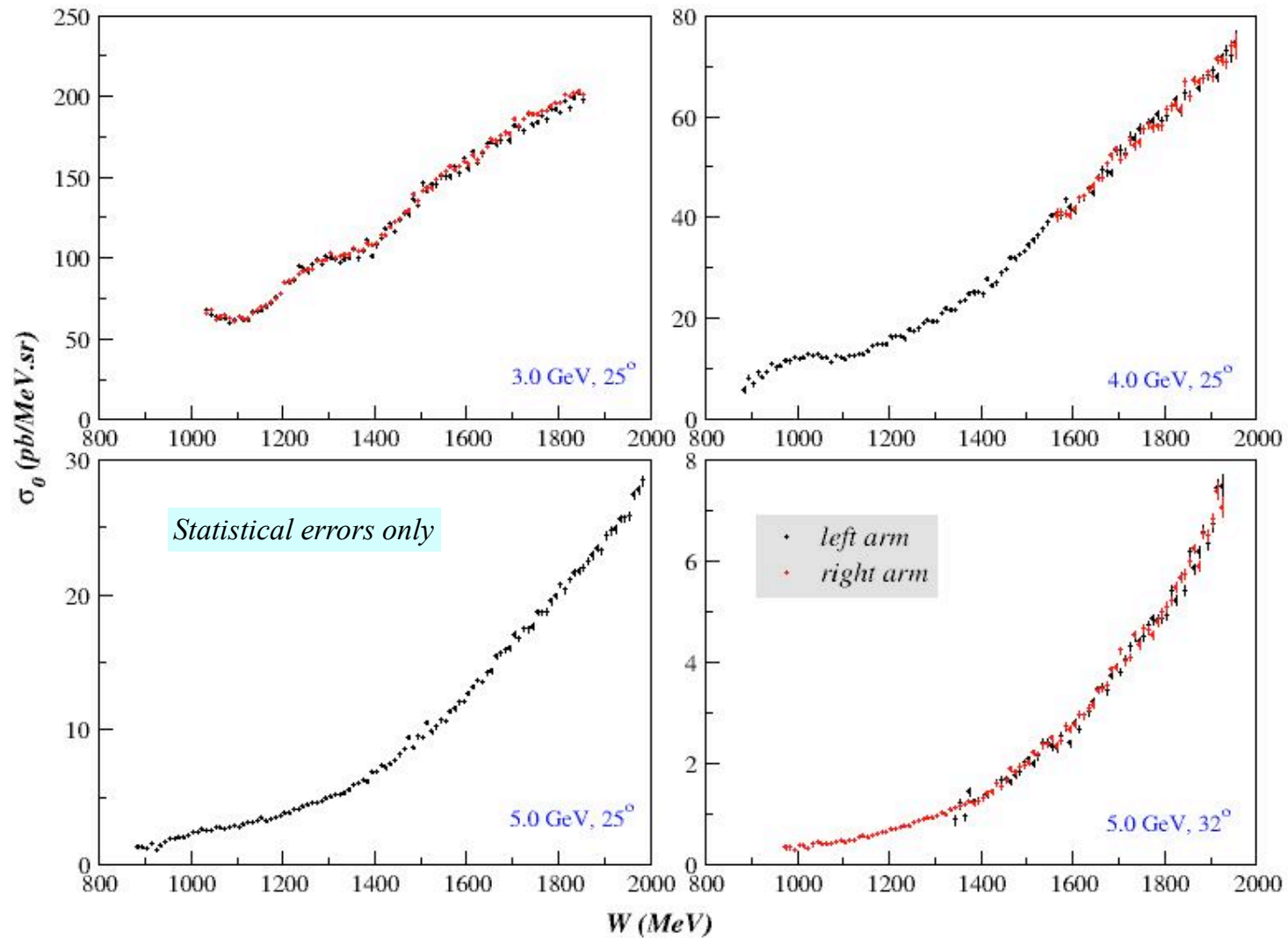
# The polarized $^3\text{He}$ target



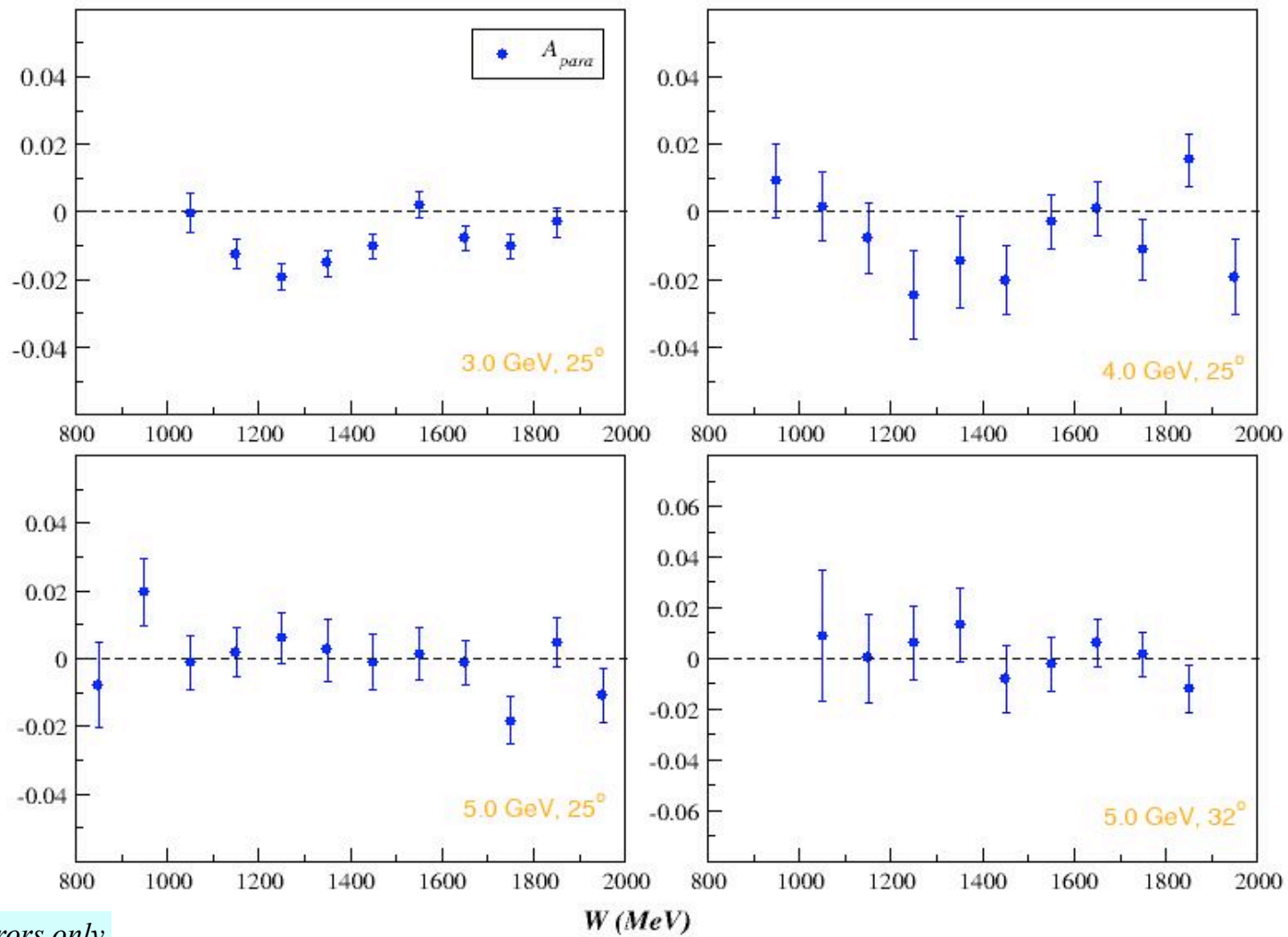


# Unpolarized cross sections

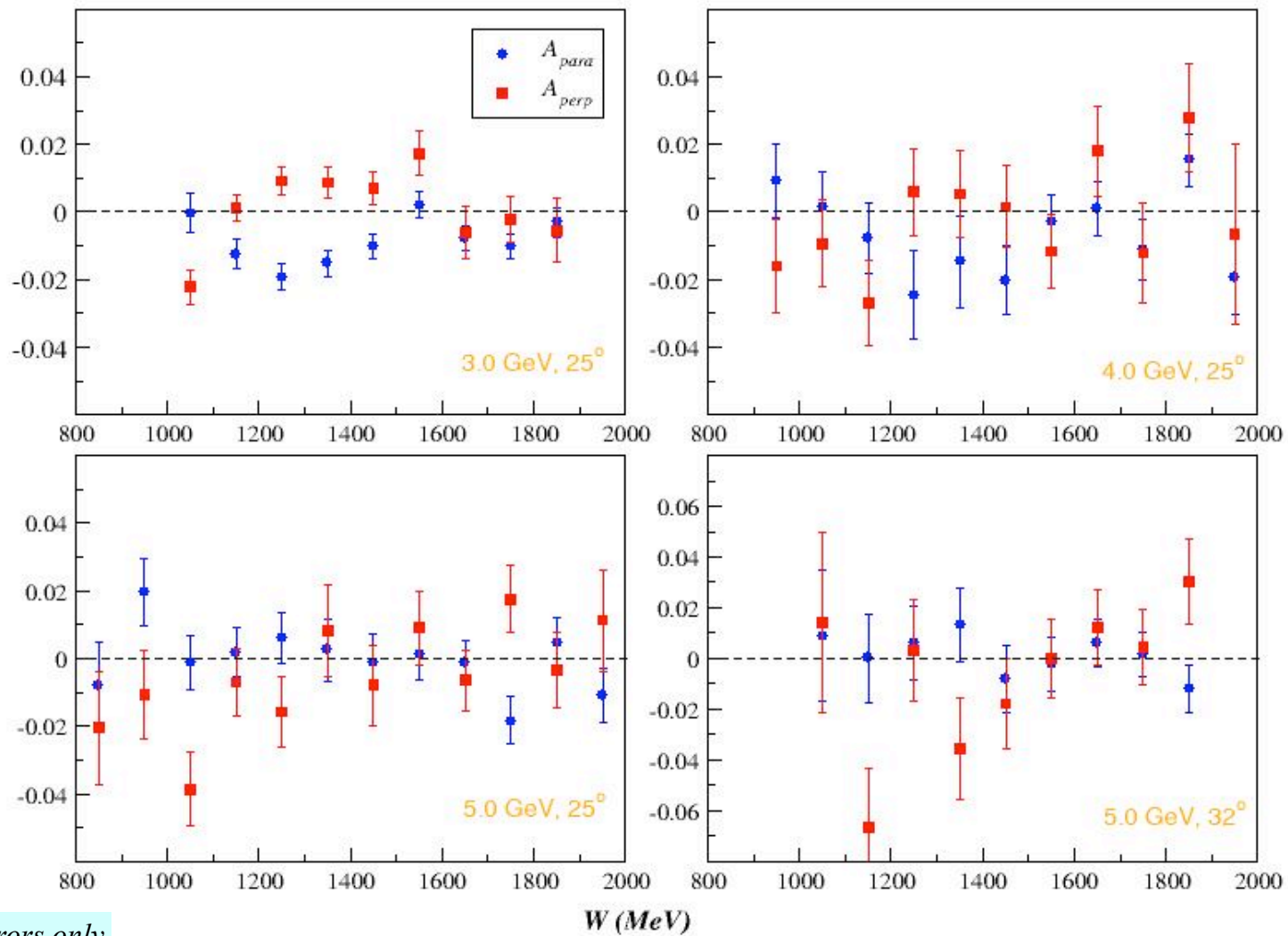
Agreement between both HRS better than 2%



# Asymmetries

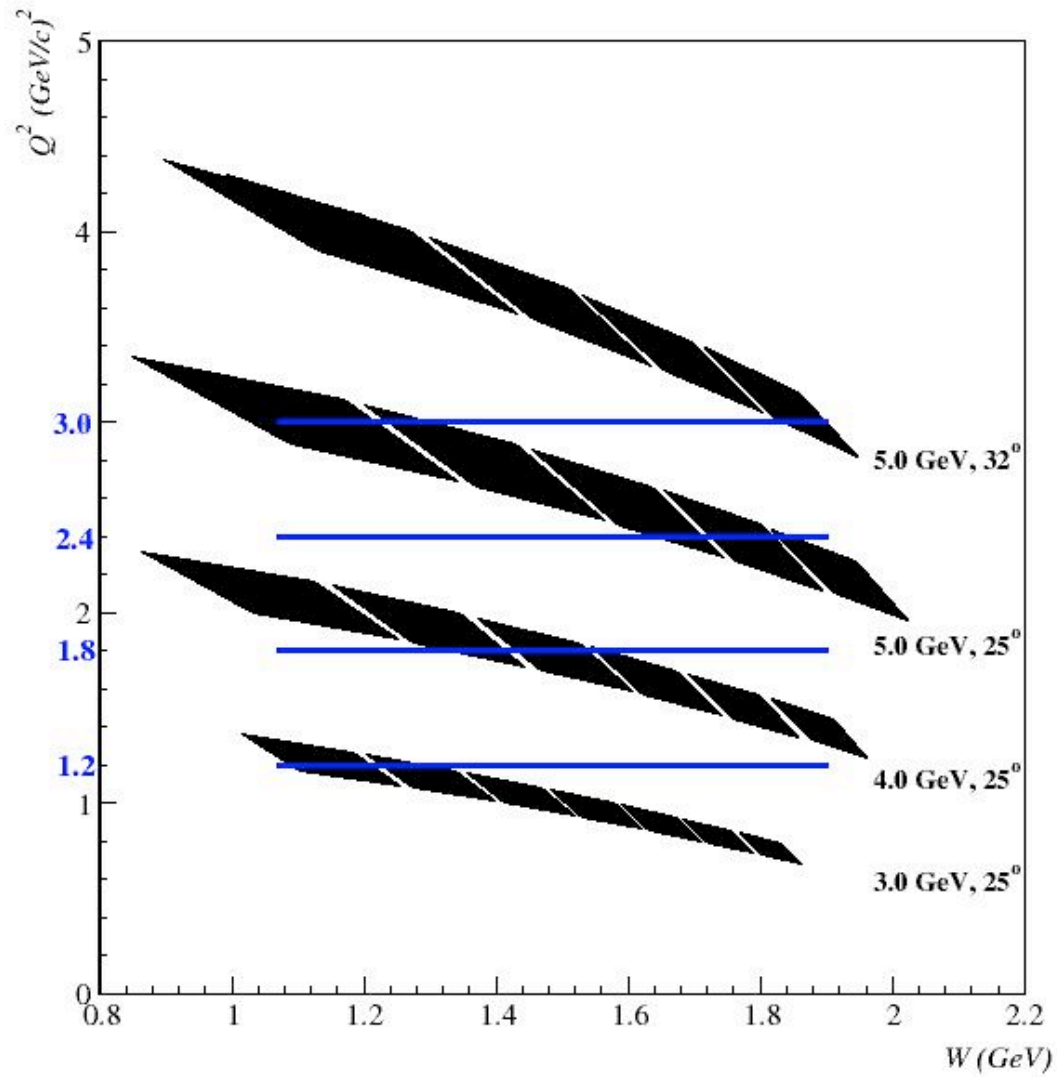


# Asymmetries



Statistical errors only

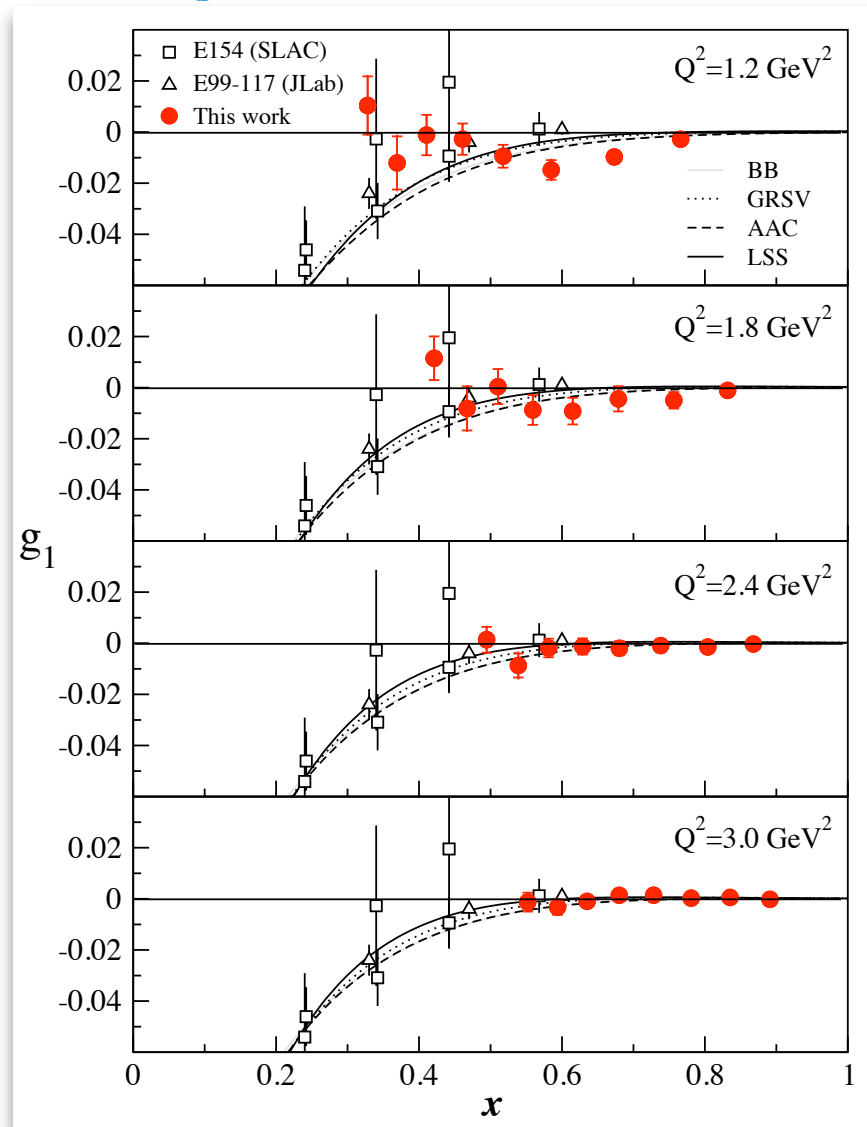
# From constant $(E, \theta)$ to constant $Q^2$



# The structure function $g_1$ in $^3\text{He}$

P. Solvignon et al., PRL 101, 182502 (2008)

Target mass corrections  
were applied on PDFs



# Spin duality on $^3\text{He}$ and neutron

Use partial moments:

Integrate  $g_1^{res}$  and  $g_1^{dis}$  over the same  $x$ -range  
and at the same  $Q^2$ :

$$\tilde{\Gamma}_1^{res} = \int_{x_{min}}^{x_{max}} g_1^{res}(x, Q^2) dx$$

$$\tilde{\Gamma}_1^{dis} = \int_{x_{min}}^{x_{max}} g_1^{dis}(x, Q^2) dx$$

If  $\tilde{\Gamma}_1^{res} = \tilde{\Gamma}_1^{dis}$  duality is verified

# Spin duality on $^3\text{He}$ and neutron

Use partial moments:

Integrate  $g_1^{res}$  and  $g_1^{dis}$  over the same x-range  
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$$\tilde{\Gamma}_1^{res} = \int_{x_{min}}^{x_{max}} g_1^{res}(x, Q^2) dx$$

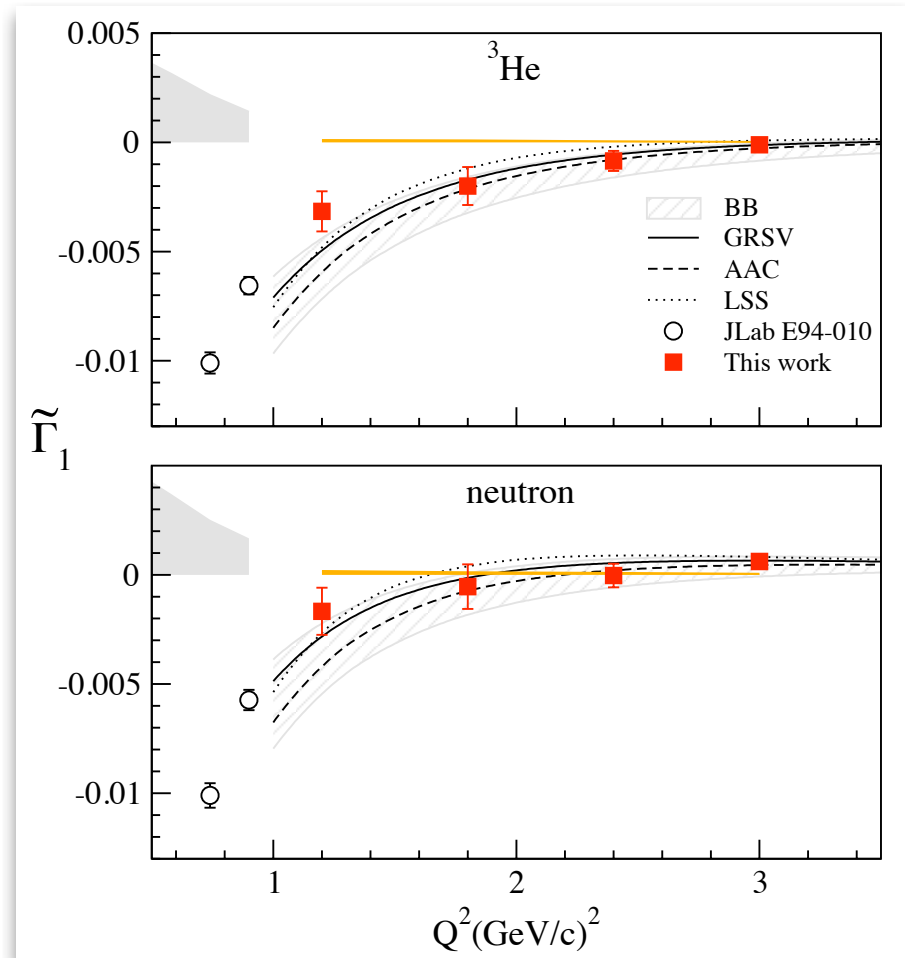
$$\tilde{\Gamma}_1^{dis} = \int_{x_{min}}^{x_{max}} g_1^{dis}(x, Q^2) dx$$

If  $\tilde{\Gamma}_1^{res} = \tilde{\Gamma}_1^{dis}$  duality is verified

Neutron extraction using the effective polarization equation:

$$\tilde{\Gamma}_1^{^3\text{He}} = P_n \tilde{\Gamma}_1^n + 2P_p \tilde{\Gamma}_1^n \quad \begin{array}{l} P_n=86\% \\ P_p=-2.8\% \end{array}$$

P. Solvignon et al., PRL 101, 182502 (2008)



Target mass corrections were applied on PDFs

## Virtual photon-nucleon asymmetry

$$A_1(x, Q^2) = \frac{g_1(x, Q^2) - \gamma^2 g_2(x, Q^2)}{F_1(x, Q^2)}$$

$$\text{with } \gamma^2 = \frac{4M^2 x^2}{Q^2}$$

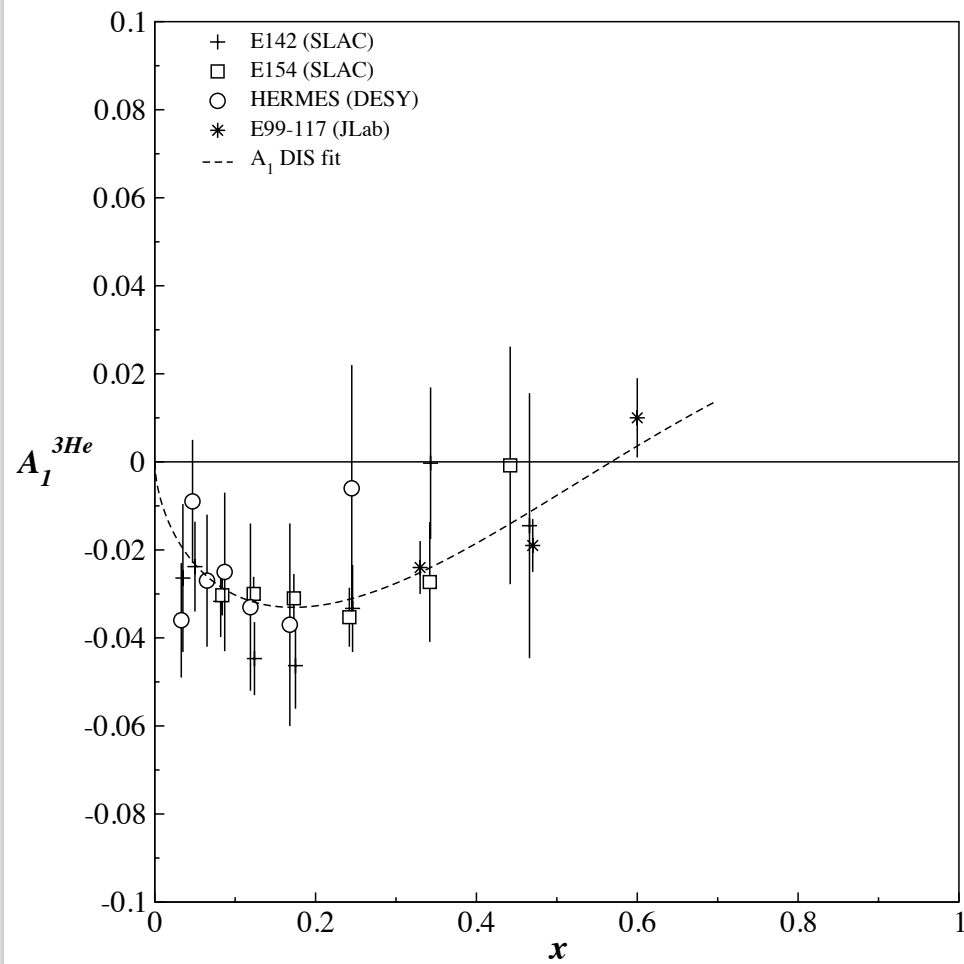
In the parton model:

$$A_1(x, Q^2) \approx \frac{g_1(x, Q^2)}{F_1(x, Q^2)} = \frac{\sum_i e_i^2 \Delta q_i(x, Q^2)}{\sum_i e_i^2 q_i(x, Q^2)}$$

If  $Q^2$  dependence similar for  $g_i$  and for  $F_1$   
 $\Rightarrow$  weak  $Q^2$  dependence of  $A_1$

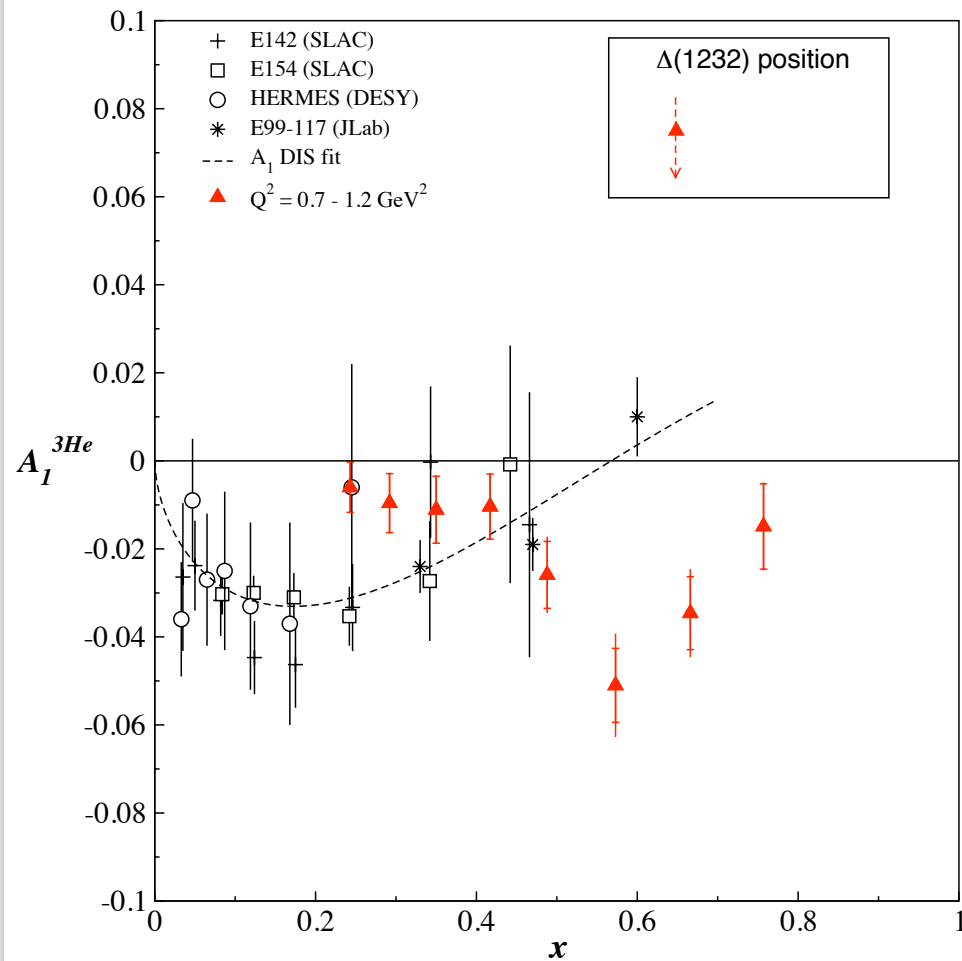


# $A_1$ for $^3\text{He}$



# $A_1$ for ${}^3\text{He}$

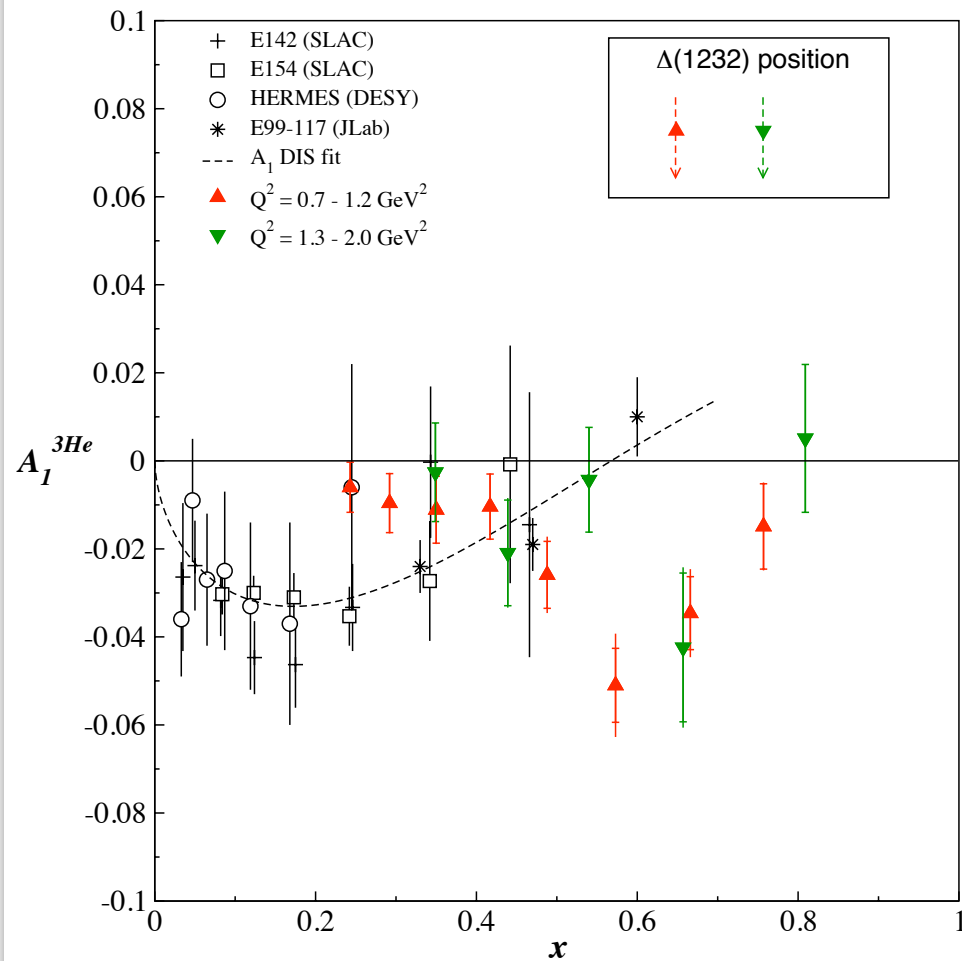
P. Solvignon et al., PRL 101, 182502 (2008)



Large negative value in the  $\Delta(1232)$  region

# $A_1$ for ${}^3\text{He}$

P. Solvignon et al., PRL 101, 182502 (2008)

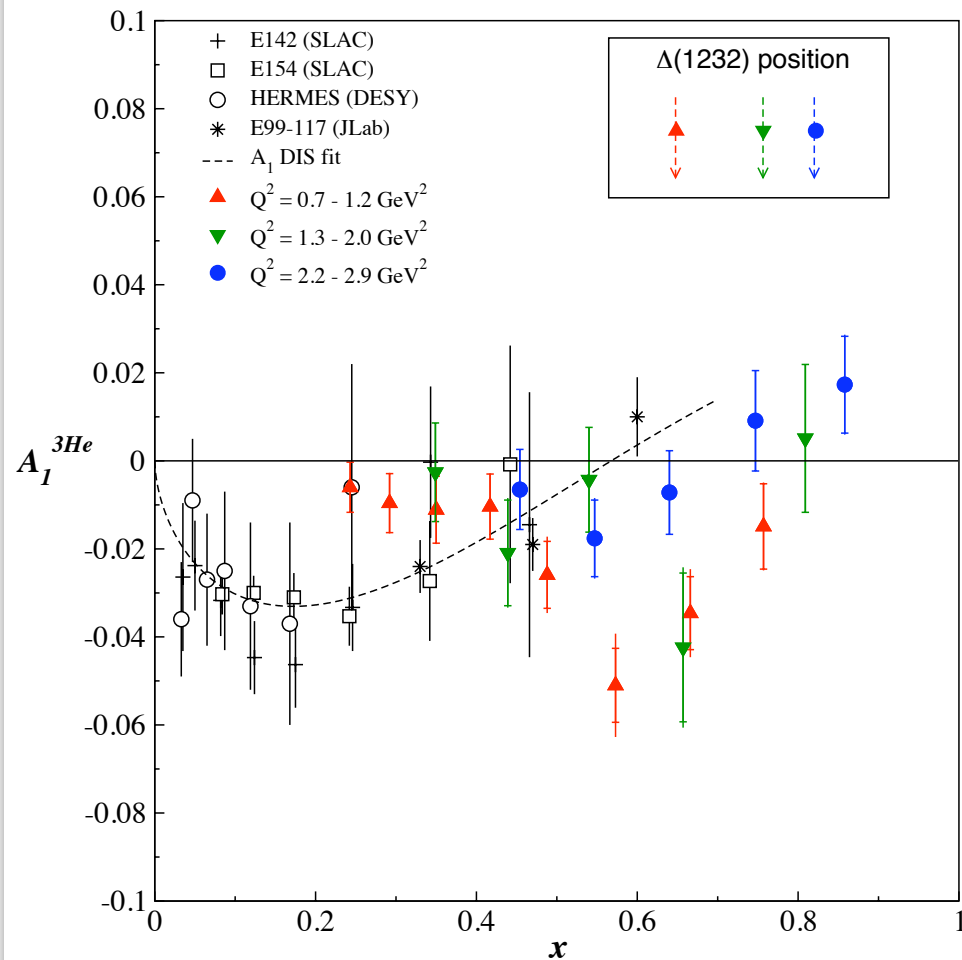


Large negative value in the  $\Delta(1232)$  region

Still large negative value in the  $\Delta(1232)$  region

# $A_1$ for $^3\text{He}$

P. Solvignon et al., PRL 101, 182502 (2008)



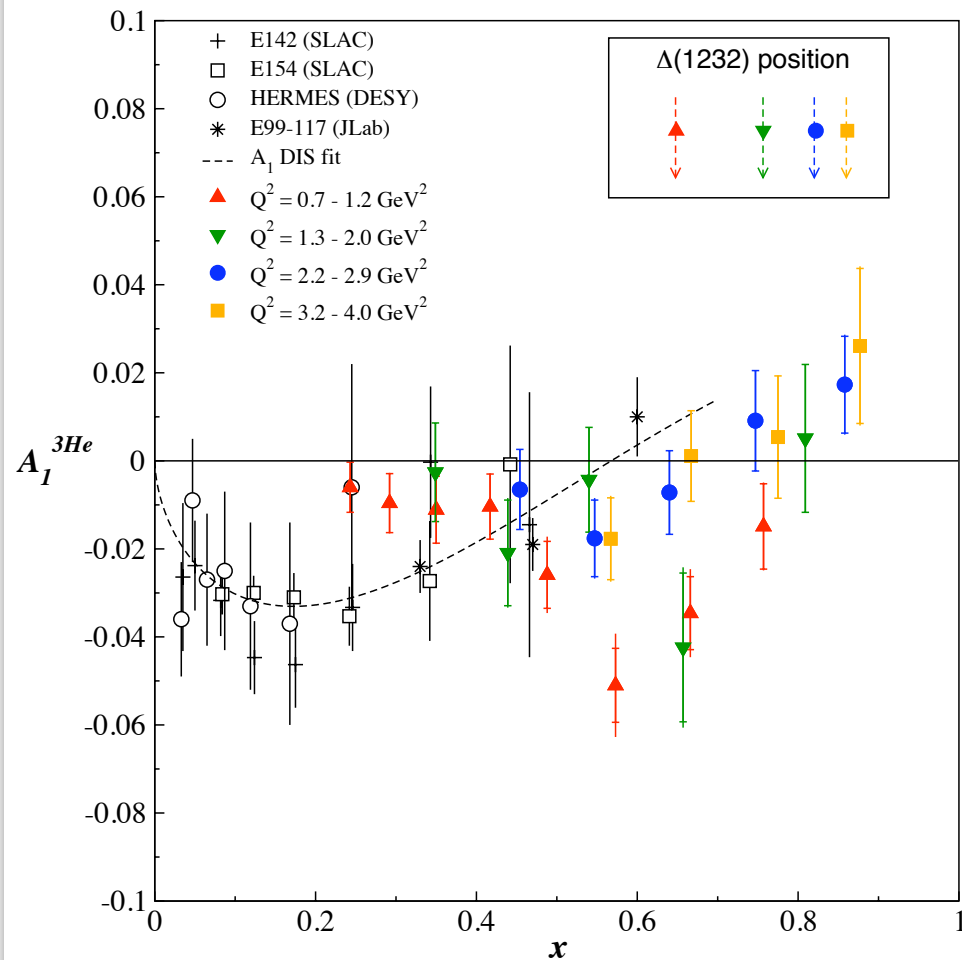
Large negative value in the  
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$A_1$  becomes positive in the  $\Delta(1232)$   
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P. Solvignon et al., PRL 101, 182502 (2008)



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$A_1$  becomes positive in the  $\Delta(1232)$   
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No strong  $Q^2$ -dependence is  
now observed

## $A_1^n$ in the resonance region

$$A_1^n = \frac{g_1^n - \gamma^2 g_2^n}{F_1^n}$$

- ◆ Effective equation polarization cannot be used for a pt-to-pt neutron extraction in the resonance region
- ◆ Y. Kahn, W. Melnitchouk and S. Kulagin are including a  $Q^2$ -dependence in their convolution model ([arXiv:0809.4308](https://arxiv.org/abs/0809.4308))
- ◆ Goal: test of quark-hadron duality on  $A_1^n$  and possible access to high  $x$  region

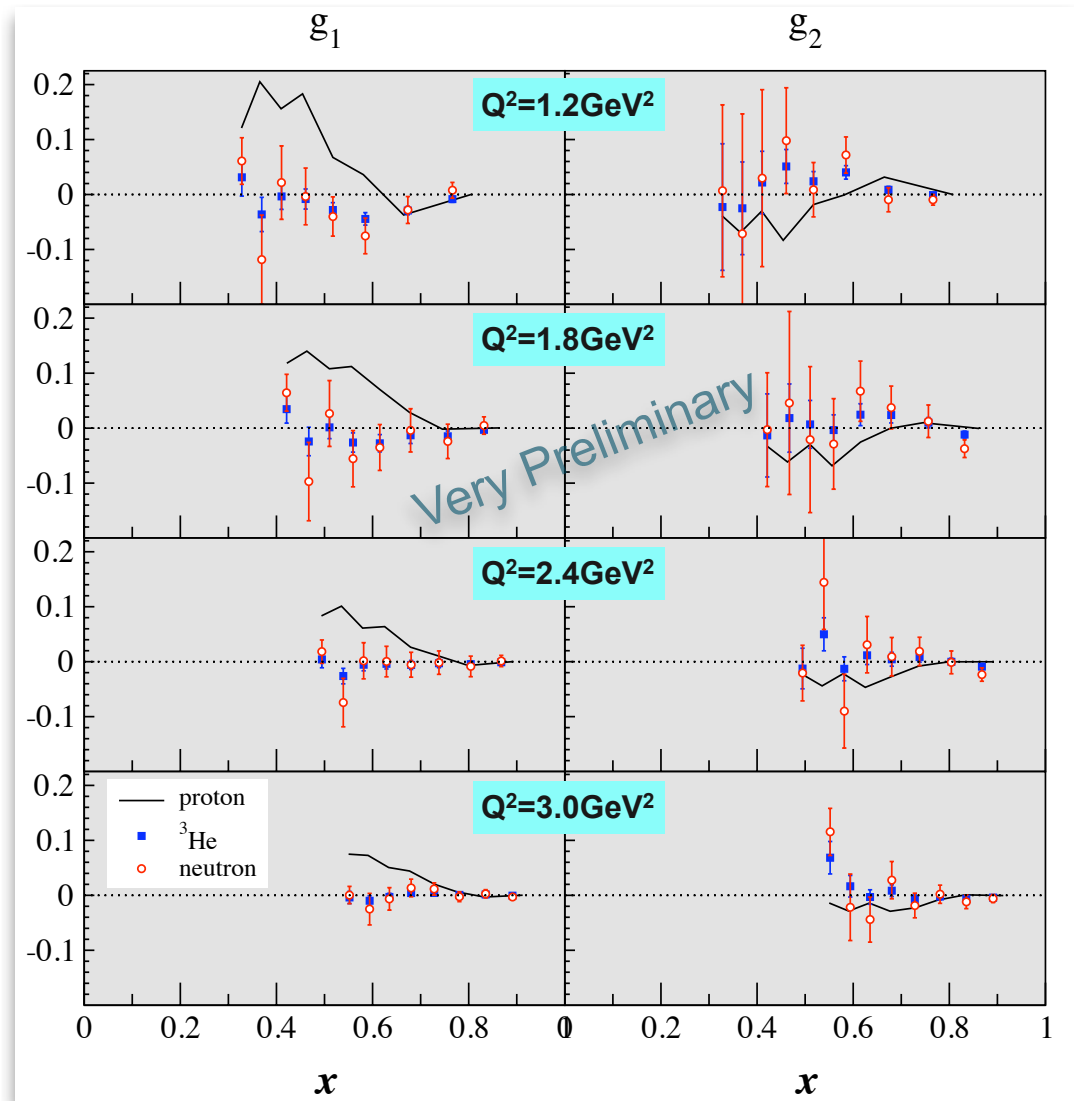
# $g_1^n$ and $g_2^n$ in the resonance region

$g_1^p$  from Hall B

$g_2^p$  from MAID: its use is questionable for  $Q^2 > 1\text{GeV}^2$

Convolution code:  
courtesy of Yonatan Kahn

neutron uncertainties  
will be improved by  
using fit of our data  
in the convolution



# The $g_2$ structure function

$$g_2 = g_2^{WW} + \bar{g}_2$$

Leading twist contribution  
determined entirely from  $g_1$   
through the Wandzura-  
Wilczek relation:

$$g_2^{WW}(x, Q^2) = -g_1(x, Q^2) + \int_0^x dy \frac{g_1(y, Q^2)}{y}$$



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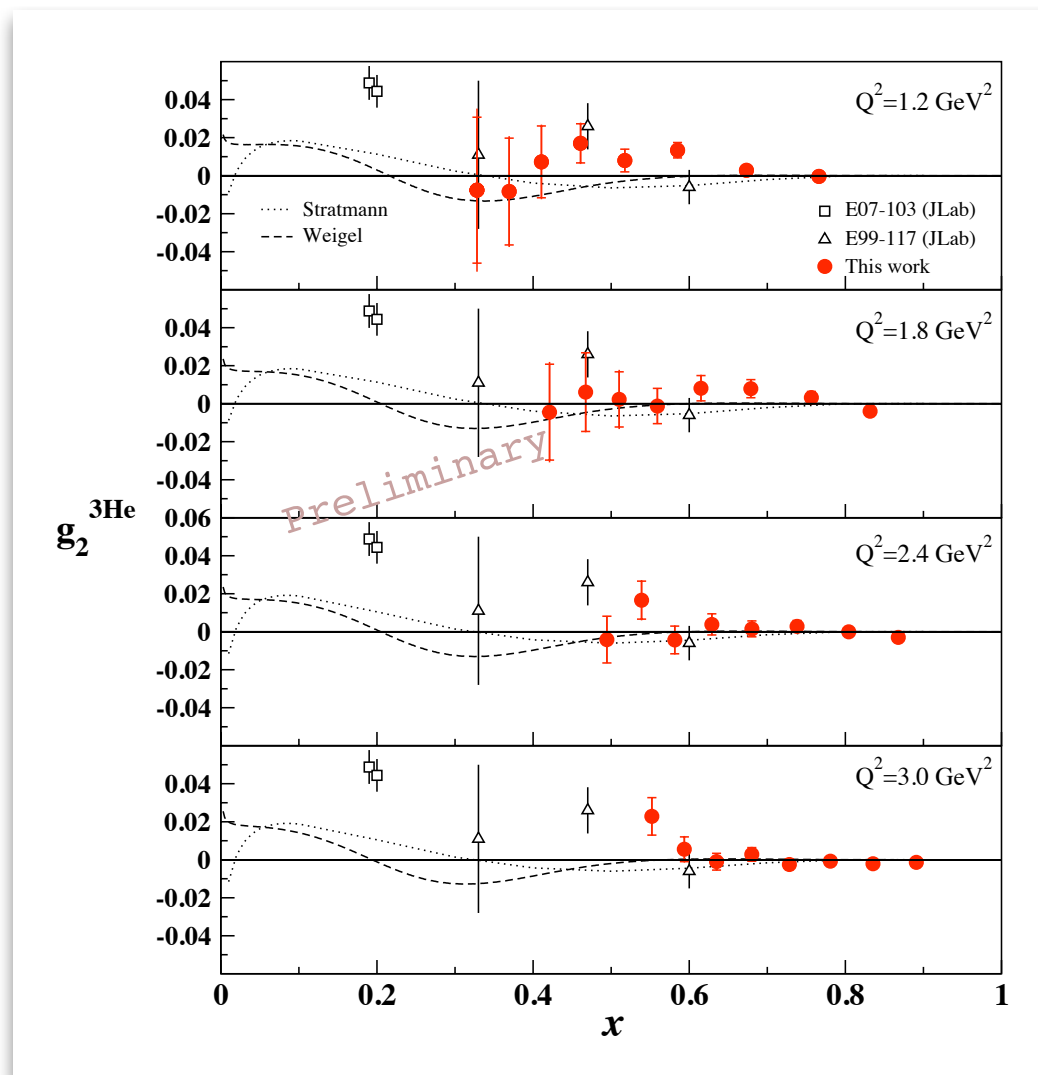
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higher twist contribution

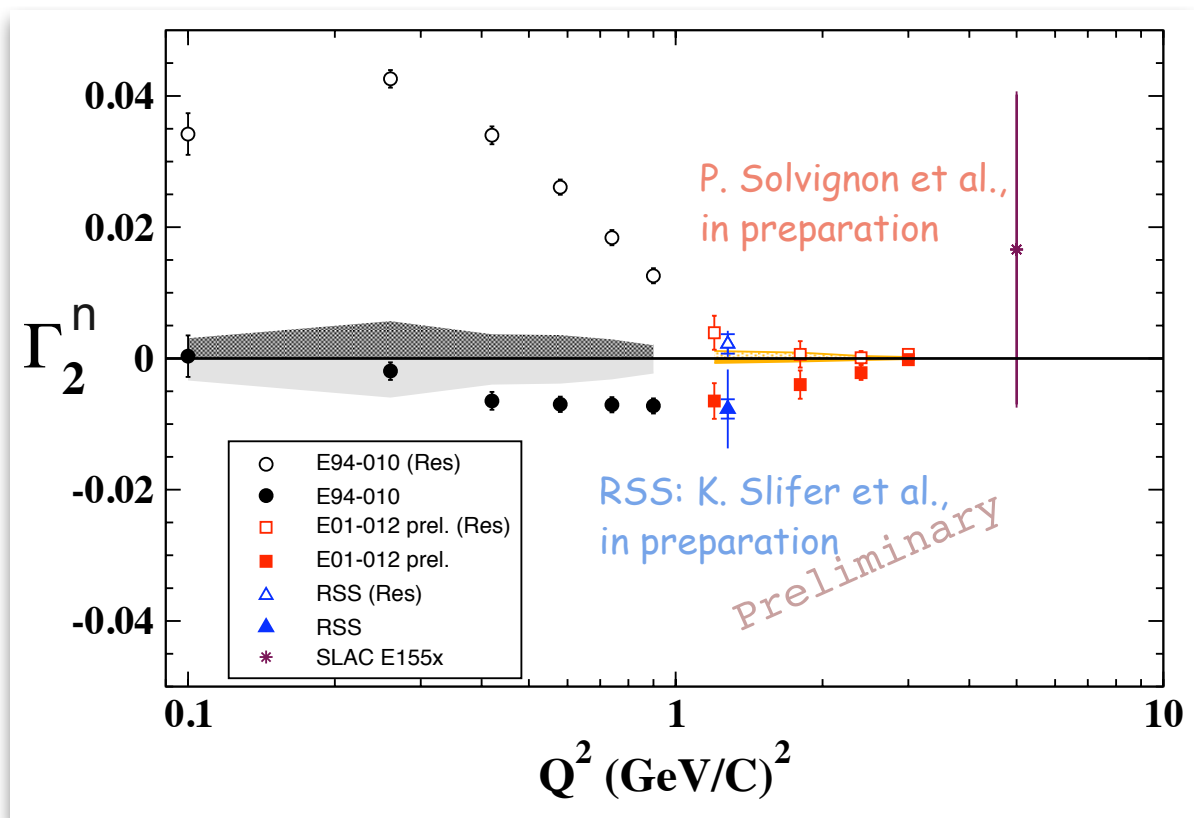
# The structure function $g_2$ in $^3\text{He}$

P. Solvignon et al., in preparation



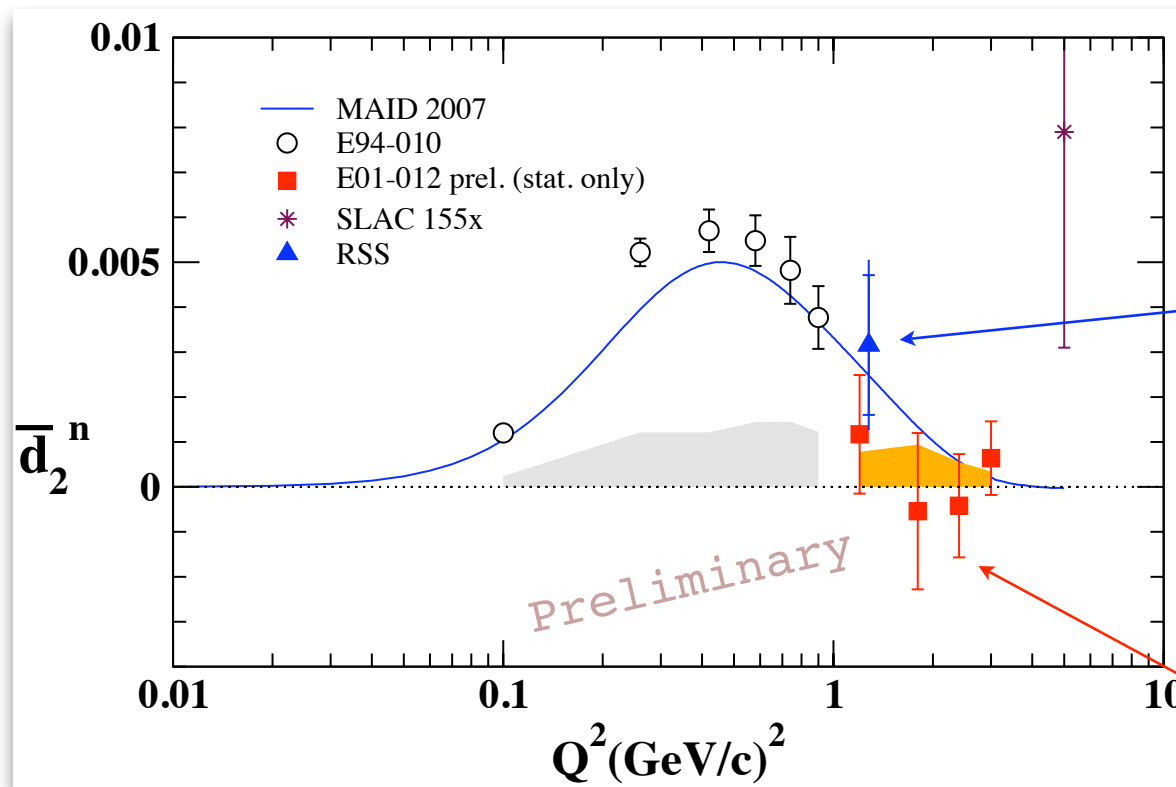
# Burkhard-Cottingham sum rule on the neutron

$$\Gamma_2(Q^2) = \int_0^1 dx g_2(x, Q^2) = 0$$



## Higher moment $d_2$

$$d_2(Q^2) = \int_0^1 x^2 \left[ 2 g_1(x, Q^2) + 3 g_2(x, Q^2) \right] dx$$

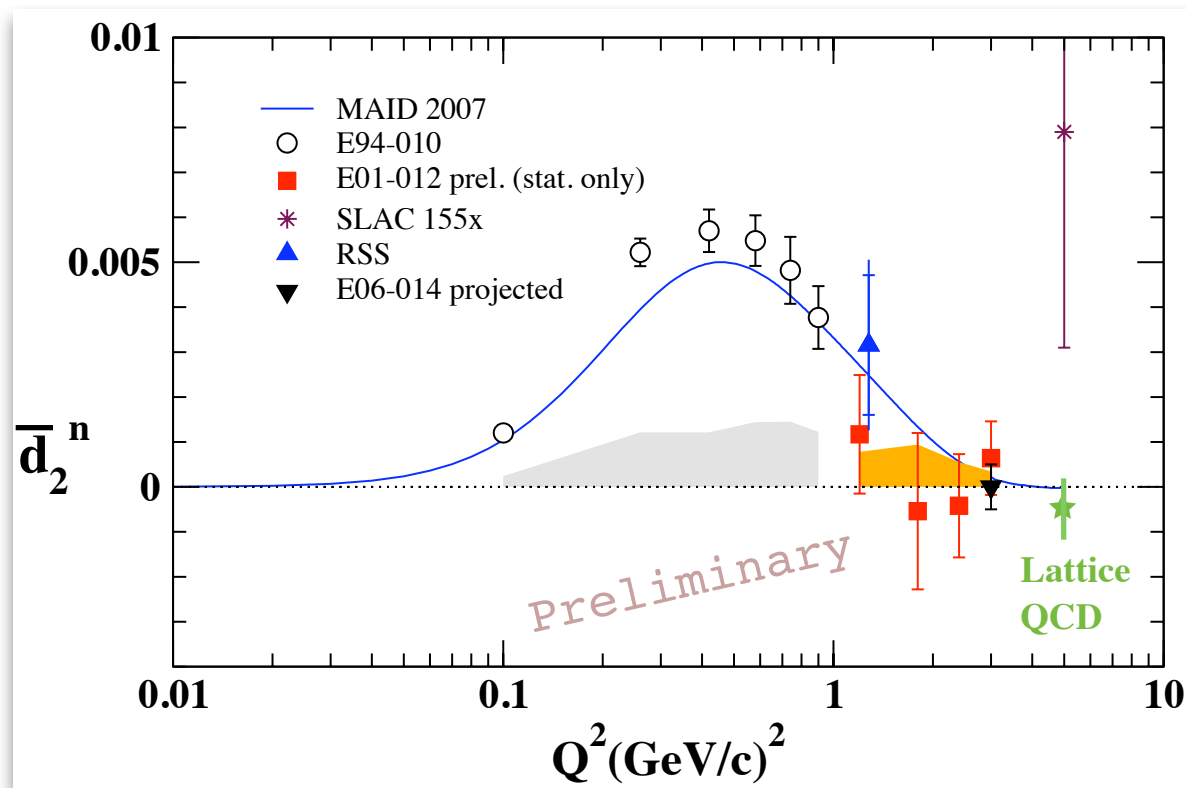


RSS: K. Slifer et al., in preparation

P. Solvignon et al., in preparation

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M. Gockeler et al. PRD 63, 074506(2001). hep-lat/0011091.

## Summary

E01-012 provides first precise data of Spin Structure Functions on neutron ( ${}^3\text{He}$ ) in the resonance region for  $1.0 < Q^2 < 4.0 \text{ GeV}^2$

- ✓ Overlap between E01-012 resonance data and DIS data:  
first dedicated test of Quark-Hadron Duality for neutron and  ${}^3\text{He}$  SSF
- ✓ No strong  $Q^2$ -dependence in resonance  $A_1^{3\text{He}}$  for  $Q^2 > 2.0 \text{ GeV}^2$   
➔ DIS-like behavior

Preliminary extraction of  $g_1^n$  and  $g_2^n$  in the resonance region  $\Rightarrow A_1^n$  will come soon

Preliminary results on the Burkhard-Cottingham sum rule and  $d_2^n$  at moderate  $Q^2$

and more to come ...

# At JLab 12GeV

