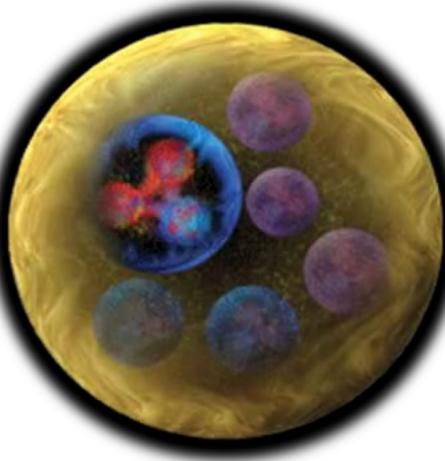
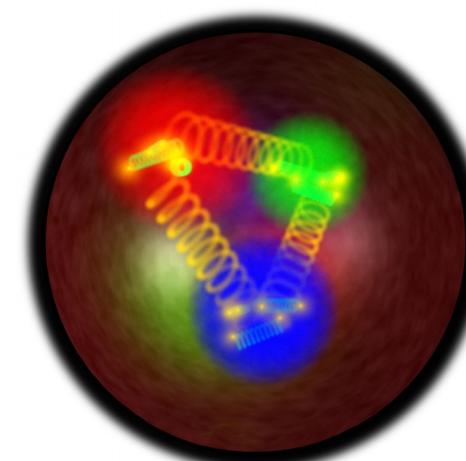




OLD DOMINION  
UNIVERSITY

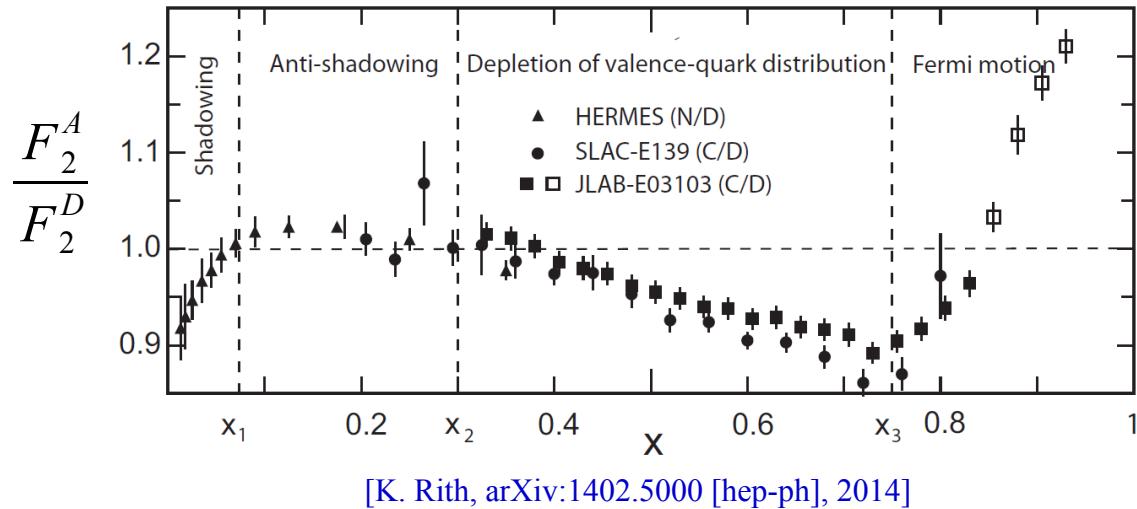
# Exploring the 3D Partonic Structure of Nucleons and Nuclei

M. Hattawy

- 
- 
- Physics Motivations
  - Recent Results.
  - Future Measurements.

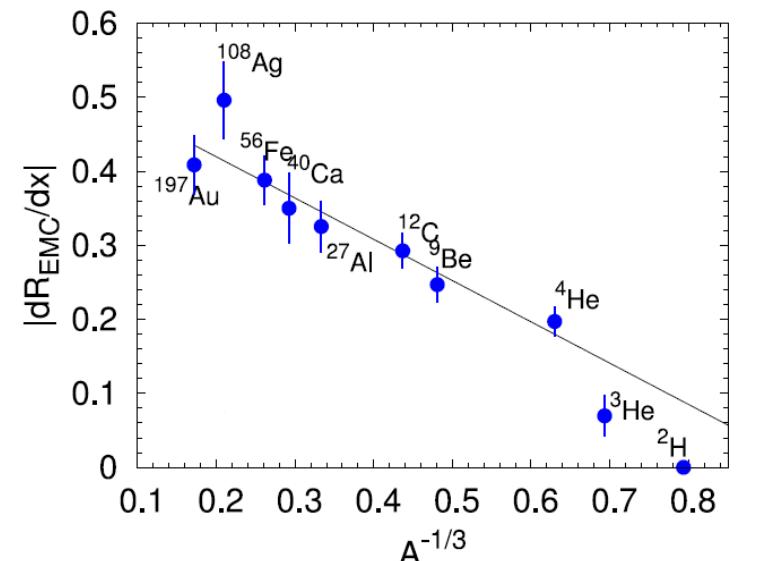
2019 Jefferson Lab Users Organization Annual Meeting, June 24-26

# EMC Effect



**EMC effect: the modification of the PDF  $F_2$  as a function of the longitudinal momentum fraction  $x$  [0.3, 0.75] carried by the parton.**

- Precise measurements at **CERN, SLAC** and **JLab**
  - Links with the nuclear properties, i.e. **mass & density**
- The **origin** of the EMC effect is still not fully understood, but possible **explanations**:
  - Modifications of the nucleons themselves
  - Effect of non-nucleonic degrees of freedom, e.g. pions exchange
  - Modifications from multi-nucleon effects (binding, N-N correlations, etc...)



[J. Arrington et al., Phys. Rev. C 86 (2012) 065204]

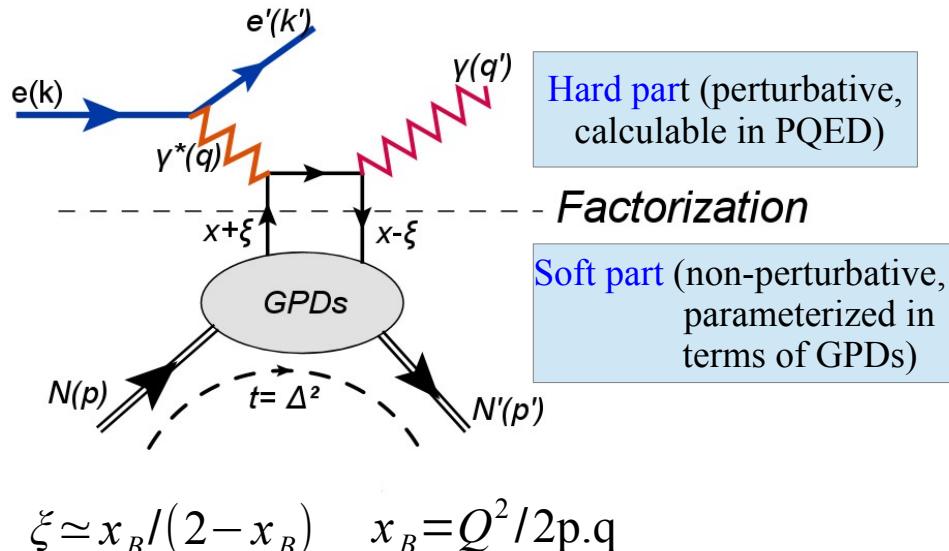
**Clear explanations may arise from measuring the nuclear modifications via measuring the Generalized Parton Distributions.**

# Generalized Parton Distributions

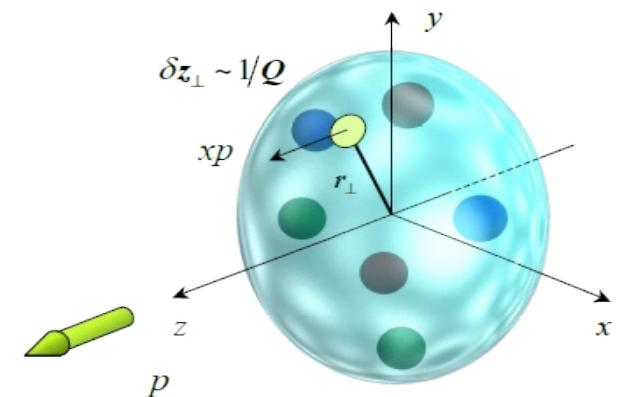
- Contain information on:

- Correlation between quarks and anti-quarks
- Correlation between **longitudinal momentum** and **transverse spatial position** of partons

- Can be accessed via hard exclusive processes such as deeply virtual Compton scattering (DVCS):

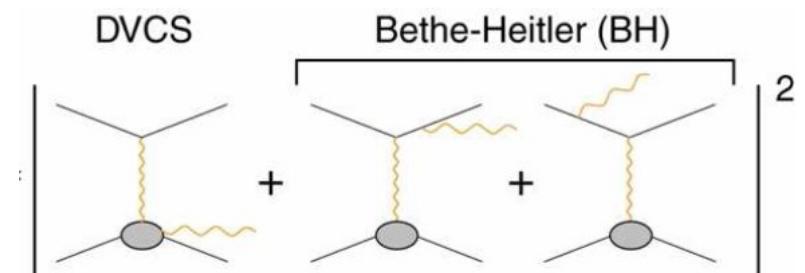


\* At leading order in  $1/Q^2$  (twist-2) and in the coupling constant of QCD ( $\alpha_s$ ).



- Experimentally, the measured photon-electroproduction cross section ( $ep \rightarrow e\gamma$ ) is:

$$d\sigma \propto |\tau_{BH}|^2 + \underbrace{(\tau_{DVCS}^* \tau_{BH} + \tau_{BH}^* \tau_{DVCS})}_{\mathcal{I}} + |\tau_{DVCS}|^2$$



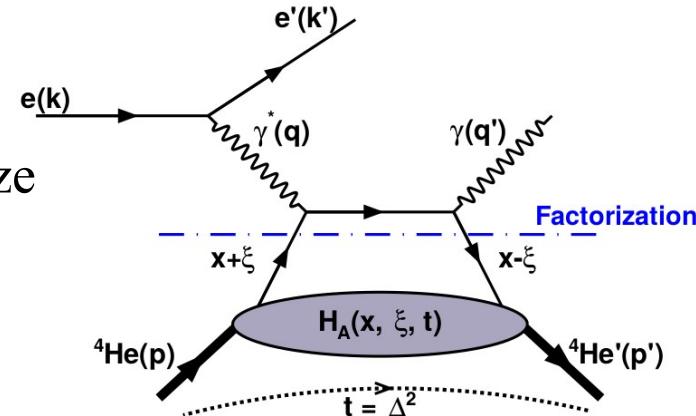
- The **DVCS** signal is enhanced by the interference with BH.

# DVCS off Nuclei

Two DVCS channels are accessible with nuclear targets:

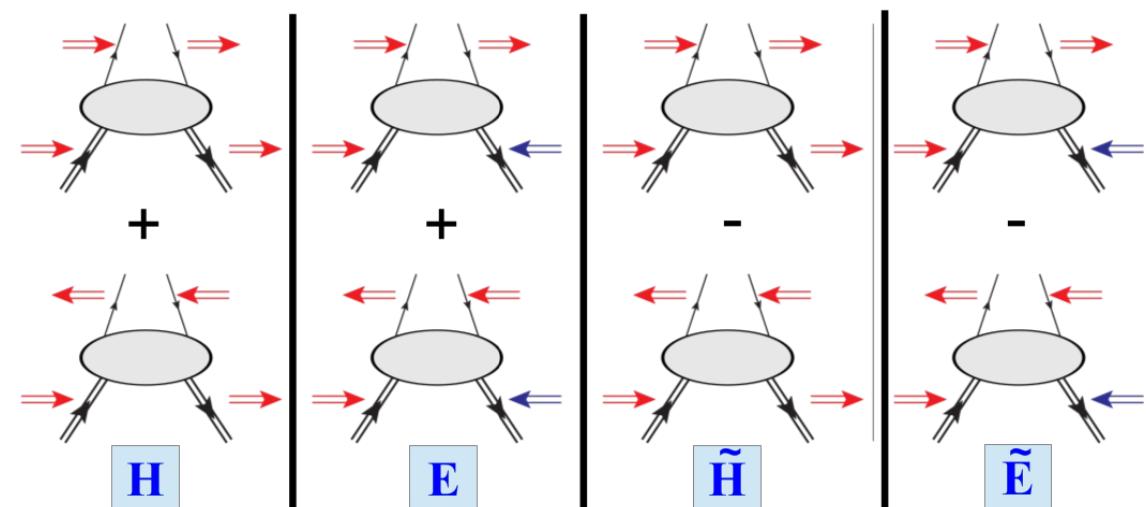
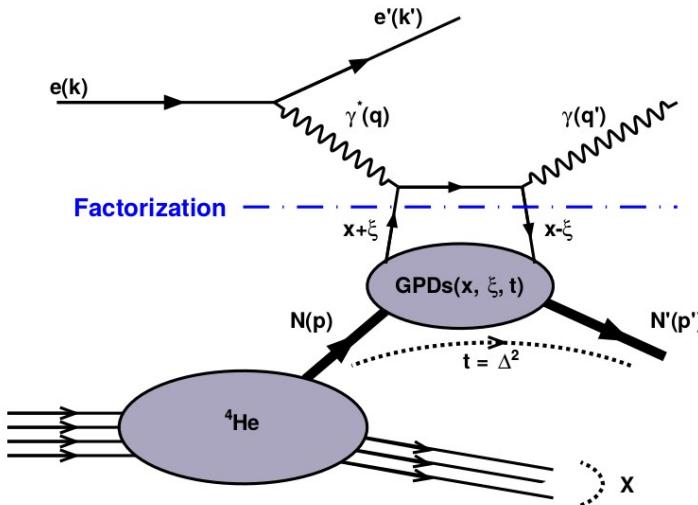
◊ **Coherent DVCS:**  $e^- A \rightarrow e^- A \gamma$

- Study the partonic structure of the nucleus.
- One chiral-even GPD ( $H_A(x, \xi, t)$ ) is needed to parametrize the structure of the spinless nuclei ( $^4\text{He}$ ,  $^{12}\text{C}$ ,  $^{16}\text{O}$ , ...).



◊ **Incoherent DVCS:**  $e^- A \rightarrow e^- N \gamma + X$

- The nucleus breaks and the DVCS takes place on a nucleon.
- Study the partonic structure of the bound nucleons  
(4 chiral-even GPDs are needed to parametrize their structure).



# Nuclear Spin-Zero DVCS Observables

The GPD  $H_A$  parametrizes the structure of the **spinless nuclei** ( ${}^4\text{He}, {}^{12}\text{C}, \dots$ )

$$\mathcal{H}_A(\xi, t) = \text{Re}(\mathcal{H}_A(\xi, t)) - i\pi \text{Im}(\mathcal{H}_A(\xi, t))$$

$$\text{Im}(\mathcal{H}_A(\xi, t)) = H_A(\xi, \xi, t) - H_A(-\xi, \xi, t)$$

$$\text{Re}(\mathcal{H}_A(\xi, t)) = \mathcal{P} \int_0^1 dx [H_A(x, \xi, t) - H_A(-x, \xi, t)] C^+(x, \xi)$$

Quark propagator

$$C^+(x, \xi) = \frac{1}{x - \xi} + \frac{1}{x + \xi}$$

→ Beam-spin asymmetry ( $A_{LU}(\phi)$ ) : (+/- beam helicity)

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

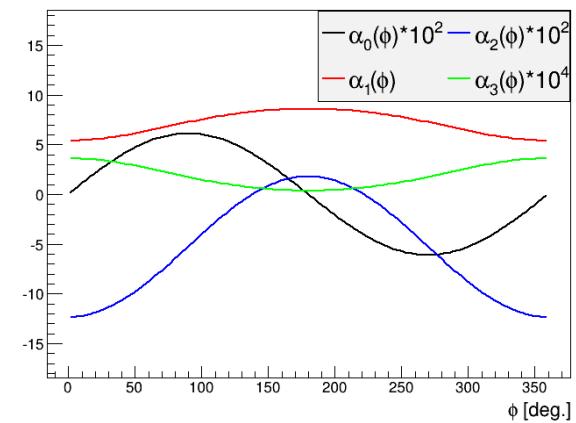
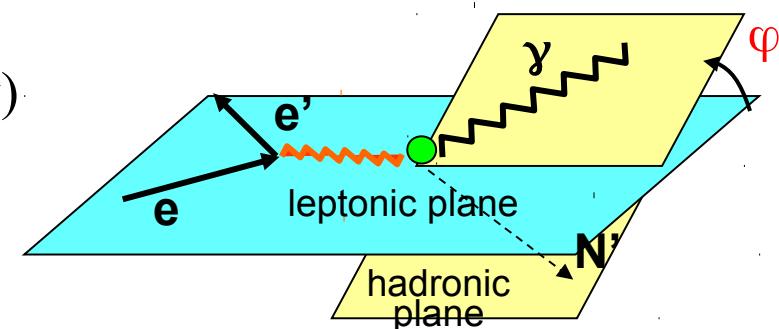
$$= \frac{\alpha_0(\phi) \Im m(\mathcal{H}_A)}{\alpha_1(\phi) + \alpha_2(\phi) \Re e(\mathcal{H}_A) + \alpha_3(\phi) (\Re e(\mathcal{H}_A)^2 + \Im m(\mathcal{H}_A)^2)}$$

$$\alpha_0(\phi) = \frac{x_A(1 + \varepsilon^2)^2}{y} S_{++}(1) \sin(\phi)$$

$$\alpha_1(\phi) = c_0^{BH} + c_1^{BH} \cos(\phi) + c_2^{BH} \cos(2\phi)$$

$$\alpha_2(\phi) = \frac{x_A(1 + \varepsilon^2)^2}{y} (C_{++}(0) + C_{++}(1) \cos(\phi))$$

$$\alpha_3(\phi) = \frac{x_A^2 t(1 + \varepsilon^2)^2}{y} \mathcal{P}_1(\phi) \mathcal{P}_2(\phi) \cdot 2 \frac{2 - 2y + y^2 + \frac{\varepsilon^2}{2} y^2}{1 + \varepsilon^2}$$



# CLAS - E08-024 Experimental Setup



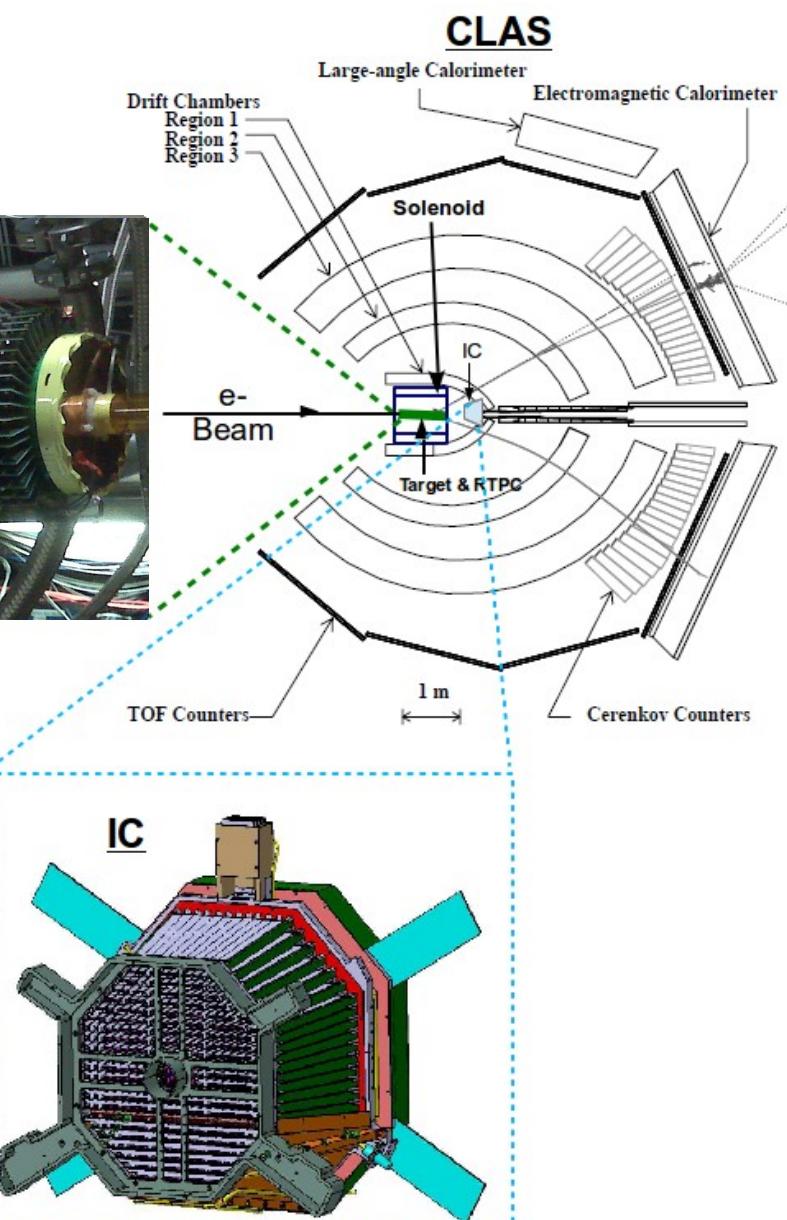
6 GeV,  
L. polarized

Beam polarization ( $P_B$ ) = 83%

## - CLAS:

- Superconducting **Torus** magnet.
- 6 independent sectors:
  - **DCs** track charged particles.
  - **CCs** separate  $e^-/\pi^-$ .
  - **TOF Counters** identify hadrons.
  - **ECs** detect  $\gamma$ ,  $e^-$  and  $n$  [ $8^\circ, 45^\circ$ ].

RTPC



- **IC:** Improves  $\gamma$  detection acceptance [ $4^\circ, 14^\circ$ ].

- **RTPC:** Detects low energy nuclear recoils.

- **Solenoid:**

- Shields the detectors from Møller electrons.
- Enables tracking in the RTPC.

- **Target:**  $^4\text{He}$  gas @ 6 atm, 293 K

# Coherent DVCS Selection & Asymmetries

## 1. We select **COHERENT** events which have:

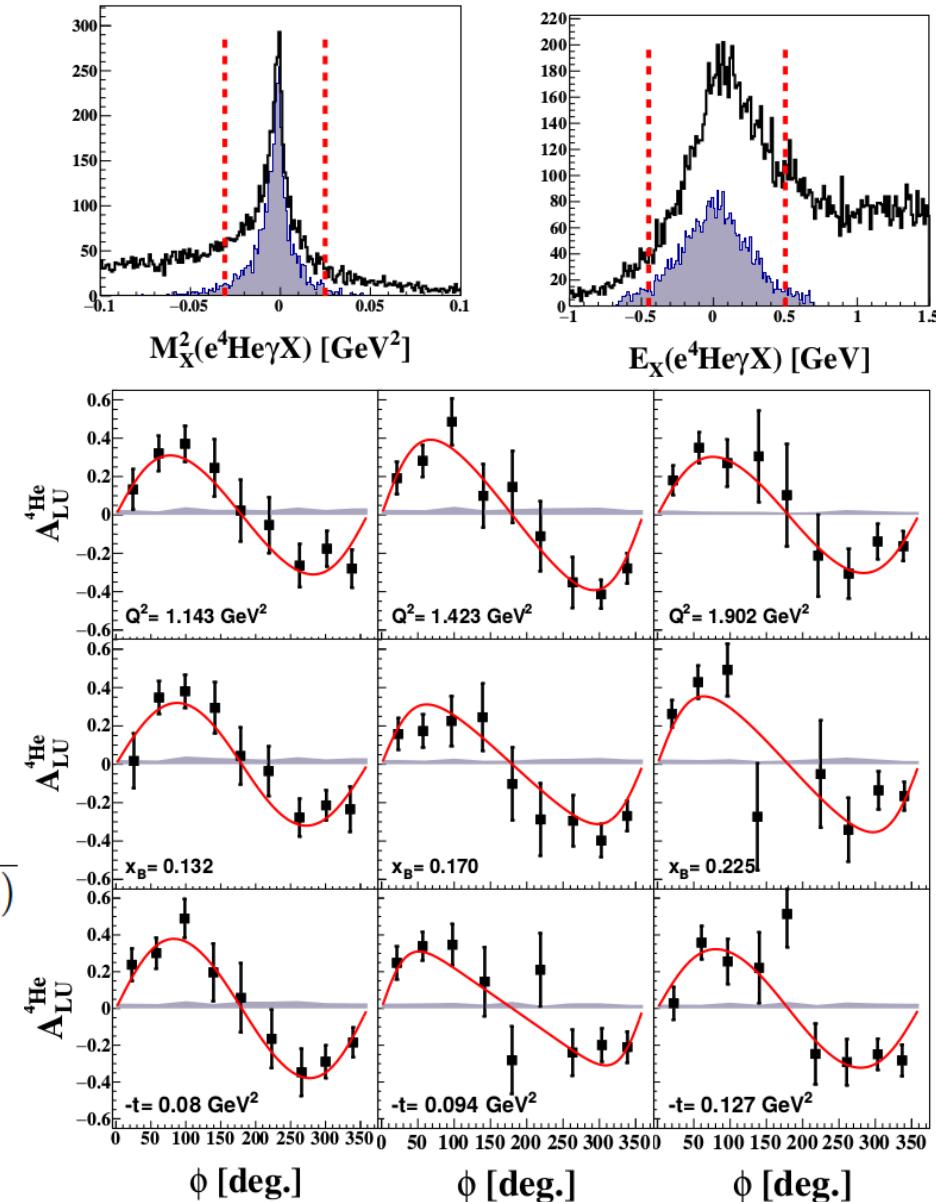
- ◊ Events with :
  - Only one good electron in CLAS
  - At least one high-energy photon ( $E\gamma > 2$  GeV)
  - Only one  ${}^4\text{He}$  in RTPC (  $p \sim 250\text{-}400$  MeV).
- ◊  $Q^2 > 1$  GeV $^2$ .
- ◊ Exclusivity cuts.

## 2. $\pi^0$ background subtraction based on data and simulation (cont. $\sim 2 - 4\%$ )

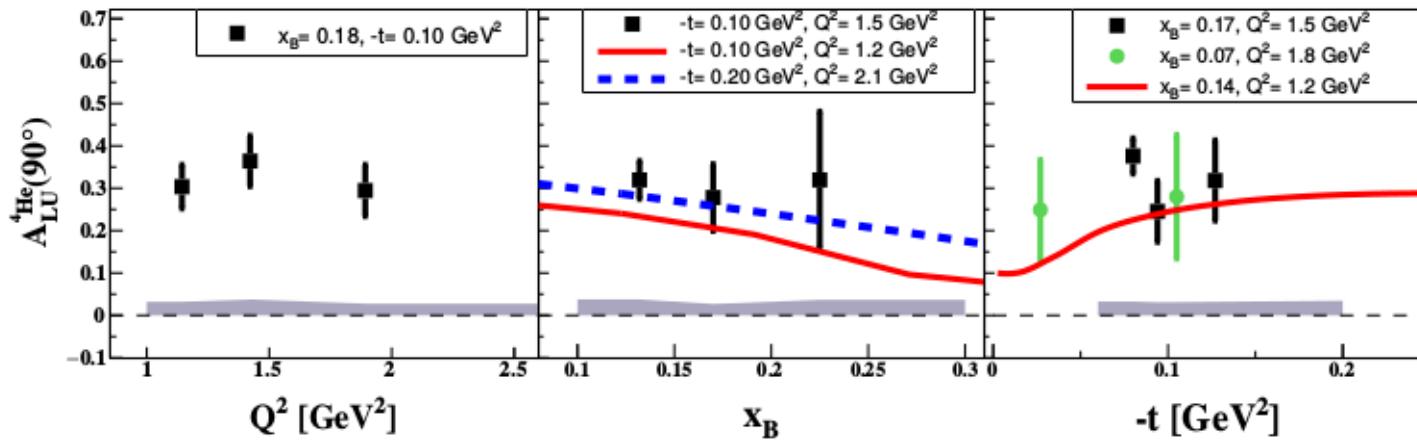
## 3. Beam-spin asymmetry:

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-} = \frac{\alpha_0(\phi) \Im m(\mathcal{H}_A)}{\alpha_1(\phi) + \alpha_2(\phi) \Re e(\mathcal{H}_A) + \alpha_3(\phi) (\Re e(\mathcal{H}_A)^2 + \Im m(\mathcal{H}_A)^2)}$$

- 2D bins due to **limited statistics**
- Uncertainties dominated by statictics
- Systematic uncertainties ( $\sim 10\%$  )
- dominated by exclusivity cuts ( $\sim 8\%$  ) and large phi binning ( $\sim 5\%$  )



# Coherent A<sub>LU</sub> and CFFs



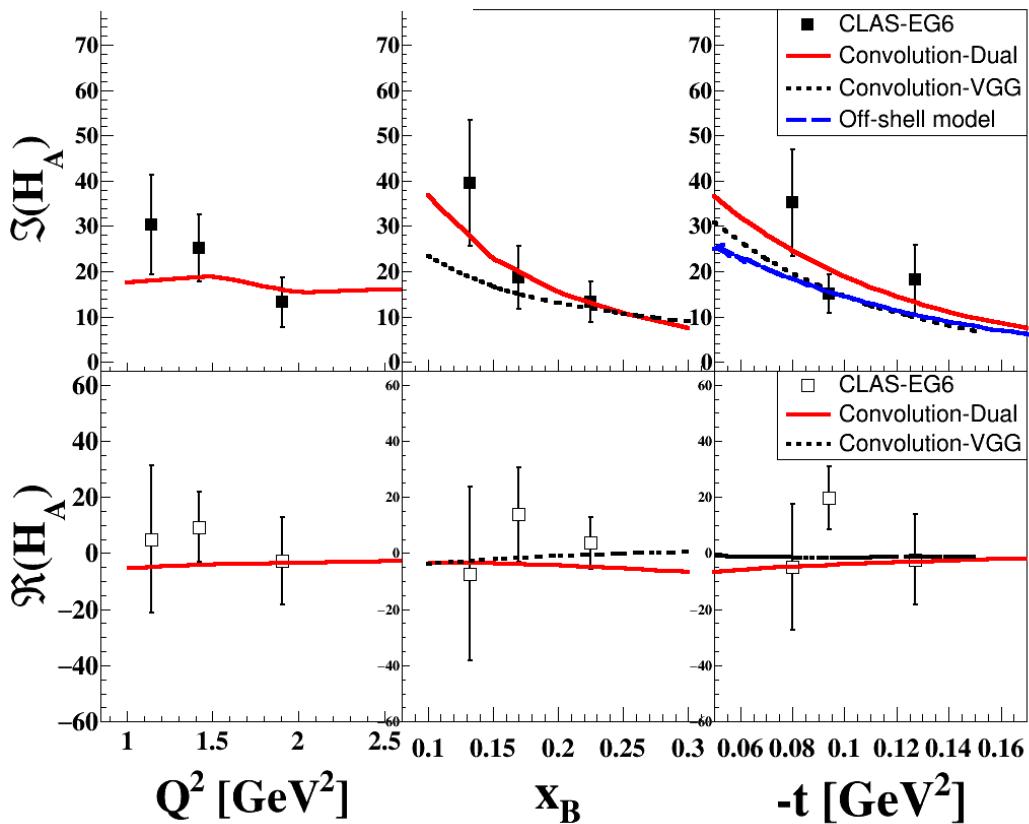
[S. Liuti and K. Taneja,  
PRC 72 (2005) 032201]  
[HERMES: A. Airapetian, et al.,  
PRC 81, 035202 (2010)]

- Same  $A_{LU}$  sign as HERMES.
- Asymmetries are in agreement with the available models.
- The first ever experimental extraction of the real and the imaginary parts of the  ${}^4\text{He}$  CFF. Compatible with the calculations.
- More precise extraction of  $\text{Im}(H_A)$ .

CLAS-EG6: M. Hattawy et al., Phys. Rev. Lett. 119, 202004 (2017)  
Convolution-Dual: V. Guzey, PRC 78, 025211 (2008).

Convolution-VGG: M. Guidal, M. V. Polyakov, A. V. Radyushkin and  
M. Vanderhaeghen, PRD 72, 054013 (2005).

Off-shell model: J. O. Gonzalez-Hernandez, S. Liuti, G. R. Goldstein  
and K. Kathuria, PRC 88, no. 6, 065206 (2013)



# Incoherent DVCS Selection & Asymmetries

## 1. We select events which have:

- ◊ Events with :
  - Only one good electron in CLAS
  - At least one high-energy photon ( $E\gamma > 2$  GeV)
  - Only one proton in CLAS.
- ◊  $Q^2 > 1$  GeV $^2$  and  $W > 2$  GeV/c $^2$
- ◊ Exclusivity cuts (3 sigmas).

## 2. $\pi^0$ background subtraction (contaminations $\sim 8 - 11\%$ )

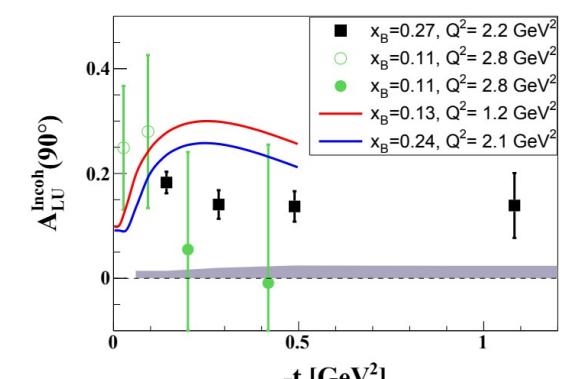
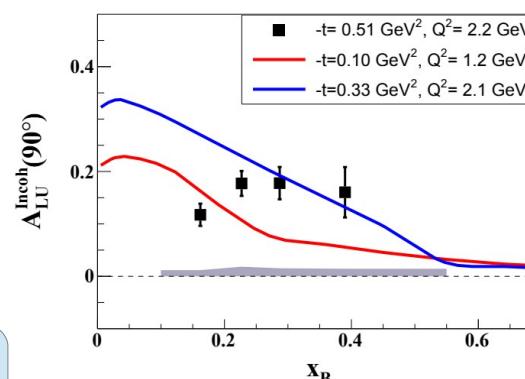
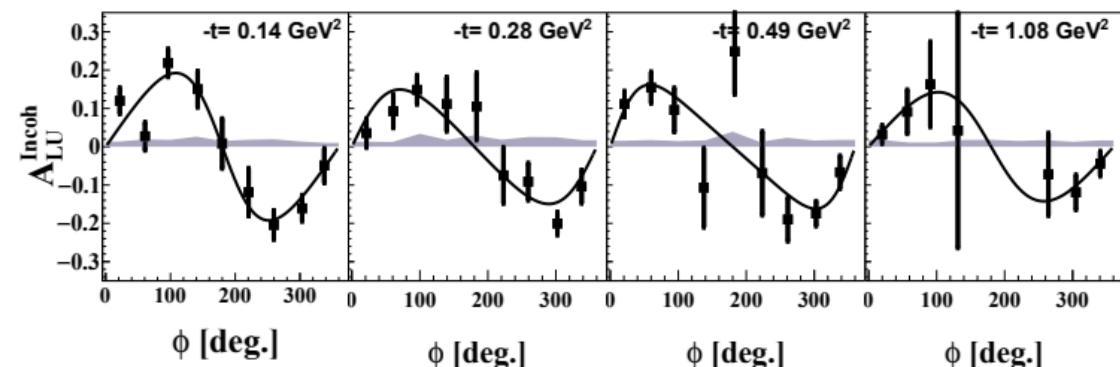
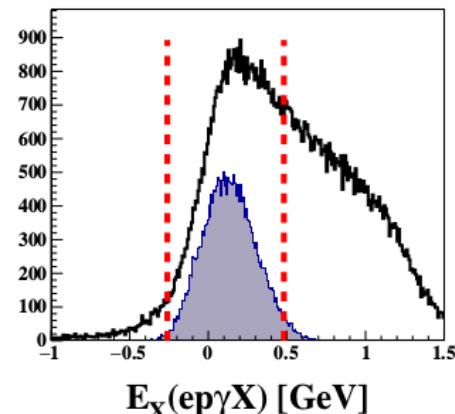
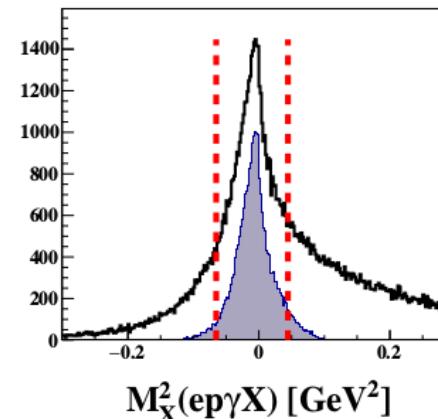
## 3. Beam-spin asymmetry:

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$A_{LU} \propto \alpha(\phi) \{ F_1 H + \xi(F_1 + F_2) \tilde{H} + \kappa F_2 E \}$$

- 2D bins due to limited statistics
- Fits in the form: 
$$\frac{\alpha * \sin(\phi)}{(1 + \beta * \cos(\phi))}$$

\* A PRL presenting the incoherent results at the journal's proof stage.

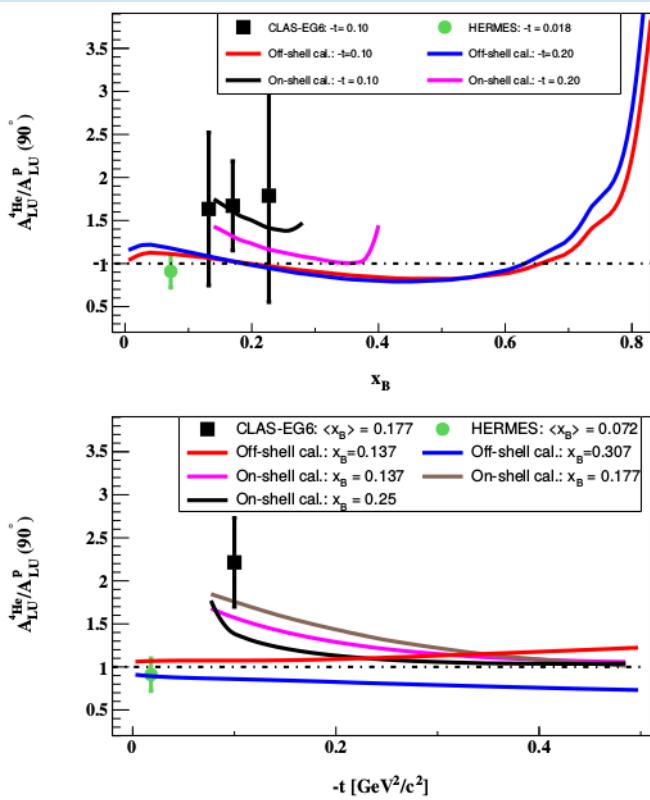


[S. Liuti and K. Taneja. PRC 72 (2005) 032201]

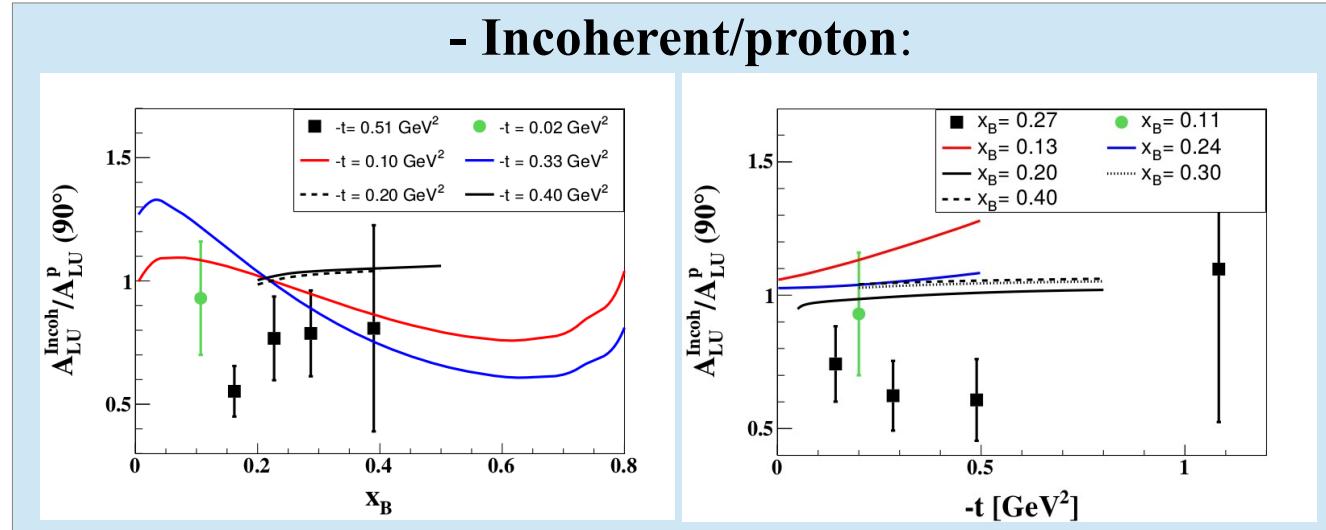
# Generalized EMC Ratio

◊ We comparing our measured coherent/incoherent asymmetries to the asymmetries measured in CLAS DVCS experiment on free proton

## - Coherent/proton:



## - Incoherent/proton:



## → Coherent/proton is:

- Consistent with the enhancement predicted by the Impulse approximation model [[V. Guezy et al., PRC 78 \(2008\) 025211](#)]
- Does not match the inclusive measurement of HERMES.

[[A. Airapetian, et al., Phys. Rev. C 81, 035202 \(2010\)](#)]

## → Incoherent/proton is suppressed compared to both the PWIA and the nuclear spectral function calculations.

[[S. Liuti and K. Taneja, PRC 72 \(2005\) 032201](#)]

[[V. Guezy et al., PRC 78 \(2008\) 025211](#)]

# CLAS12-ALERT Program

## ♦ CLAS-E08-024 experiment:

- 2D binning due to limited statistics
- Limited phase-space.

## ♦ CLAS12 experimental apparatus:

- High luminosity & large acceptance.
- Measurements of deeply virtual exclusive, semi-inclusive, and inclusive processes.

## ♦ We proposed to measure with CLAS12:

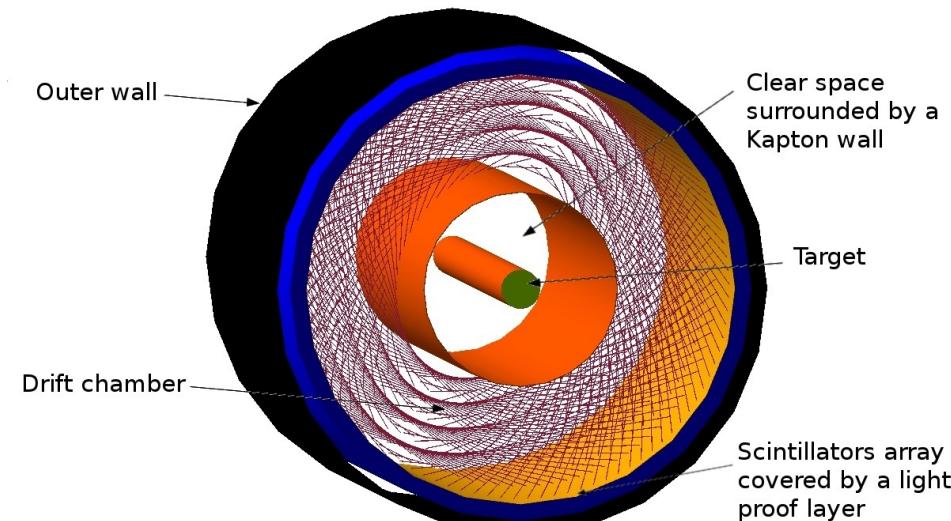
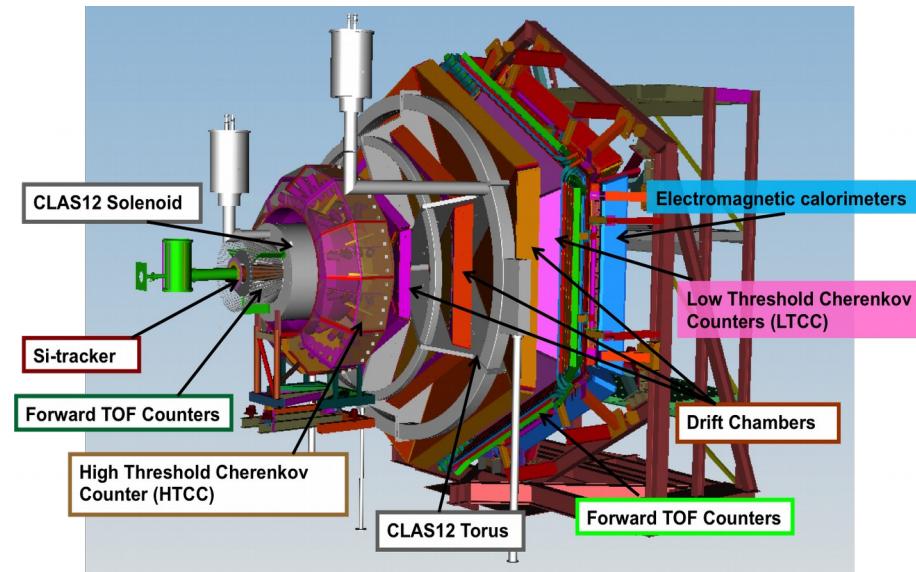
- Partonic Structure of Light Nuclei.
- Tagged EMC Measurements on Light Nuclei.
- Spectator-Tagged DVCS Off Light Nuclei.
- Other Physics Opportunities.

♦ The momentum threshold of the CLAS12 inner tracker is **too high** to be used for our measurements.

## ♦ Proposed experimental setup:

- CLAS12 forward detectors.
- A Low Energy Recoil Tracker (ALERT) in place of CLAS12 Central detector (SVT & MVT).

♦ CLAS12-ALERT setup will allow **higher statistics** and **wider kinematical coverage**.



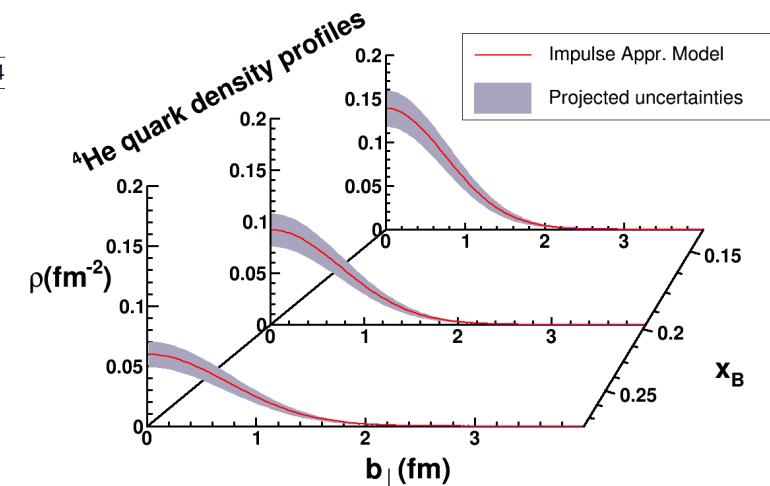
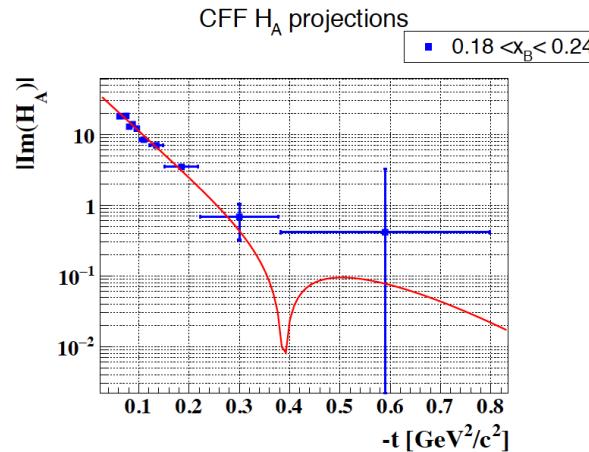
# Partonic Structure of Light Nuclei (PR12-17-012)

- Map the fundamental structure of nuclei within the GPD framework
- Compare the **quark** and **gluon** 3D structure of the Helium nucleus

$e^- {}^4\text{He} \rightarrow e^- {}^4\text{He} \gamma$ :

- Fully model independent extraction of  $H_A$  CFF from fitting the BSA.
- Fourier transform of  $\text{Im}(H_A)$  at  $\xi=0$  gives probability density of quarks as function of  $x$  and impact parameter.

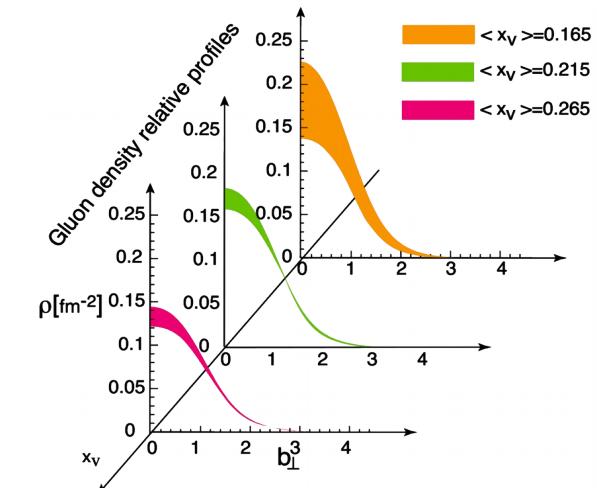
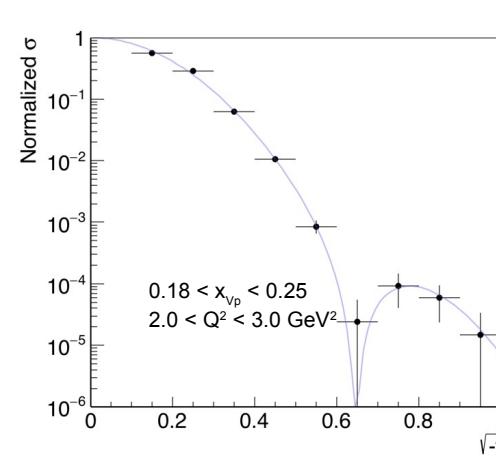
$$\rho(x, 0, b_\perp) = \int_0^\infty J_0(b\sqrt{t}) H^A(x, 0, t) \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



$e^- {}^4\text{He} \rightarrow e^- {}^4\text{He} \phi$ :

- Detect recoil  ${}^4\text{He}$ ,  $e$ , and  $K^+$  (missing  $K^-$ )
- The longitudinal cross-section will be extracted from the angular distribution of the kaon decay in the phi helicity frame.
- Gluon density extraction:

$$\rho_g(x, 0, b_\perp) \rightarrow \int_0^\infty J_0(b\sqrt{t}) \sqrt{\frac{d\sigma_L}{dt}} \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



Requested PAC days: 20 days at  $3 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  + 10 days at  $6 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  + (5 Com.)

# Tagged EMC Measurements (PR12-17-012A)

DIS, with tagged spectator, provides access to new variables and explore links between **EMC effect** and **intranuclear dynamics**

## ♦ Comparing D to $^4\text{He}$ is particularly interesting:

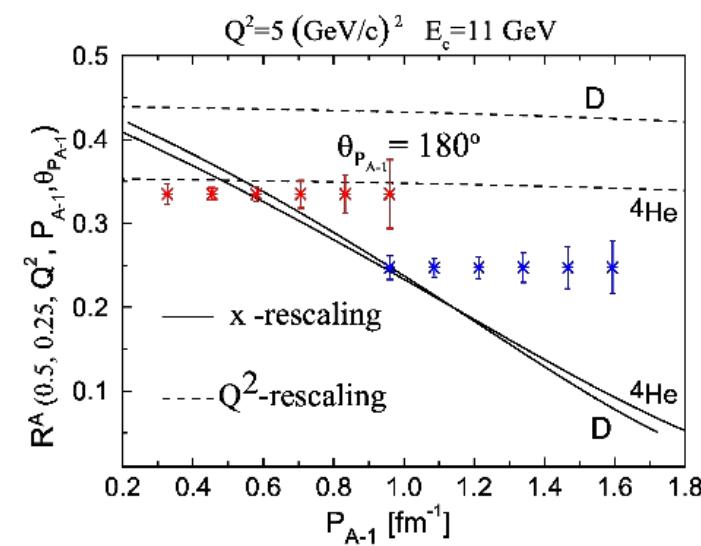
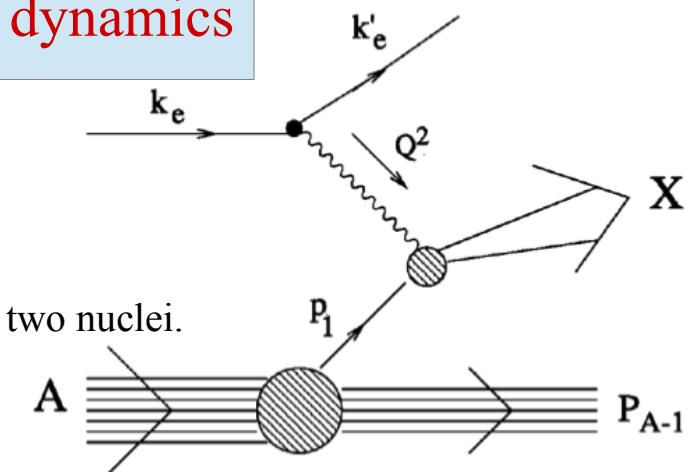
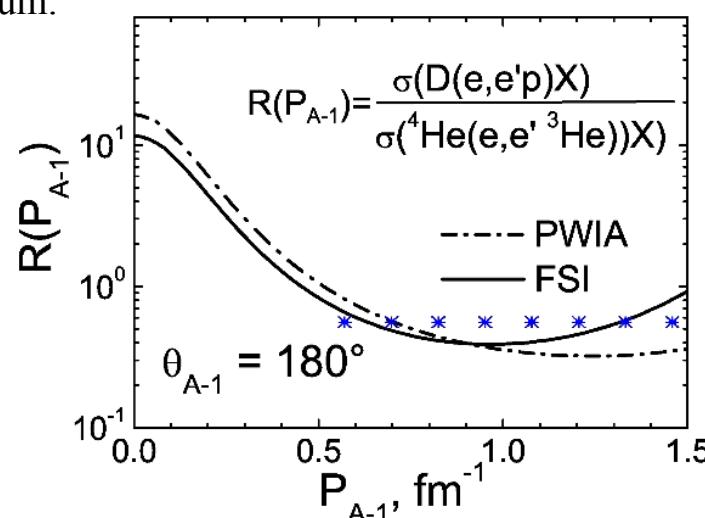
- It conserves the nucleus isospin symmetry.
- $^4\text{He}$  is a light nuclei with a sizable EMC effect.
- The two rescaling effects are cleanly separated by the comparison between the two nuclei.
- They complement each other in spectator momentum coverage.

## ♦ Tagged DIS provides test for:

- FSI models over wide momentum and angle ranges.
- EMC effect models:  $x/Q^2$  scaling.
- d/u ratio changes in nuclear medium.

## ♦ 40 (+5) PAC days

- 20 on  $^4\text{He}$  ( $3 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ).
- 20 on D ( $3 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ).



# Spectator-Tagged DVCS on Light Nuclei (PR12-17-012B)

- Probe connection between **partonic** and **nucleonic** interpretations via DVCS
- **Partonic interpretation** and **in-medium hadron tomography** of nucleons
- Study of **Off-Forward EMC** effect in incoherent DVCS

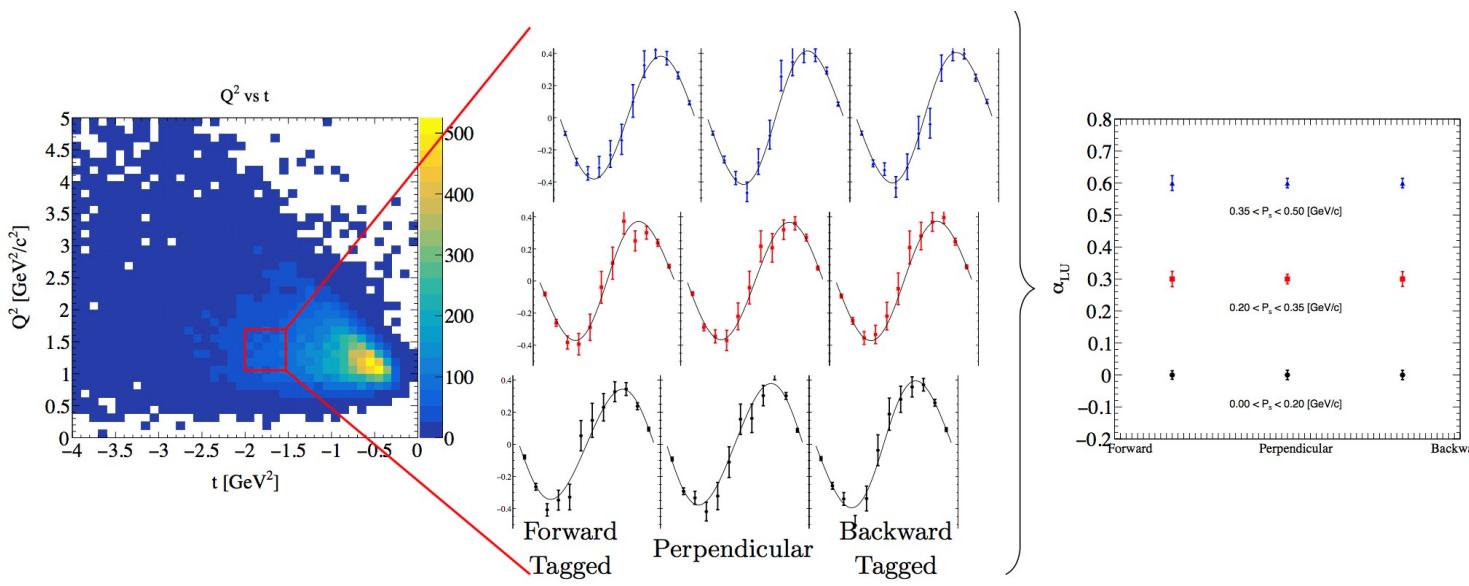
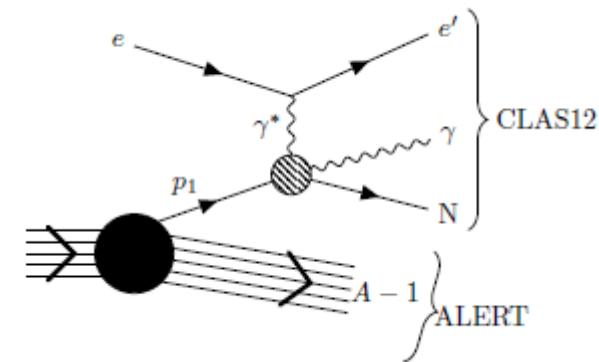
## ♦ Bound-p DVCS:

- Fully detected  $e p^3H$  final state, provides unique opportunity to study FSI, test PWIA, identify kinematics with small/large FSI.

## ♦ Bound neutron in $^4He$ /quasi-free in $^2H$ :

- $e^3He(n) / e p(n)$  final states ( $p$  detection down to  $\sim 70$  MeV,  $^3He$  to  $\sim 120$  MeV).
- Six-dimensional binning ( $Q^2, x_B, t, \phi, p_s, \theta_s$ ).

## ♦ No additional PAC days

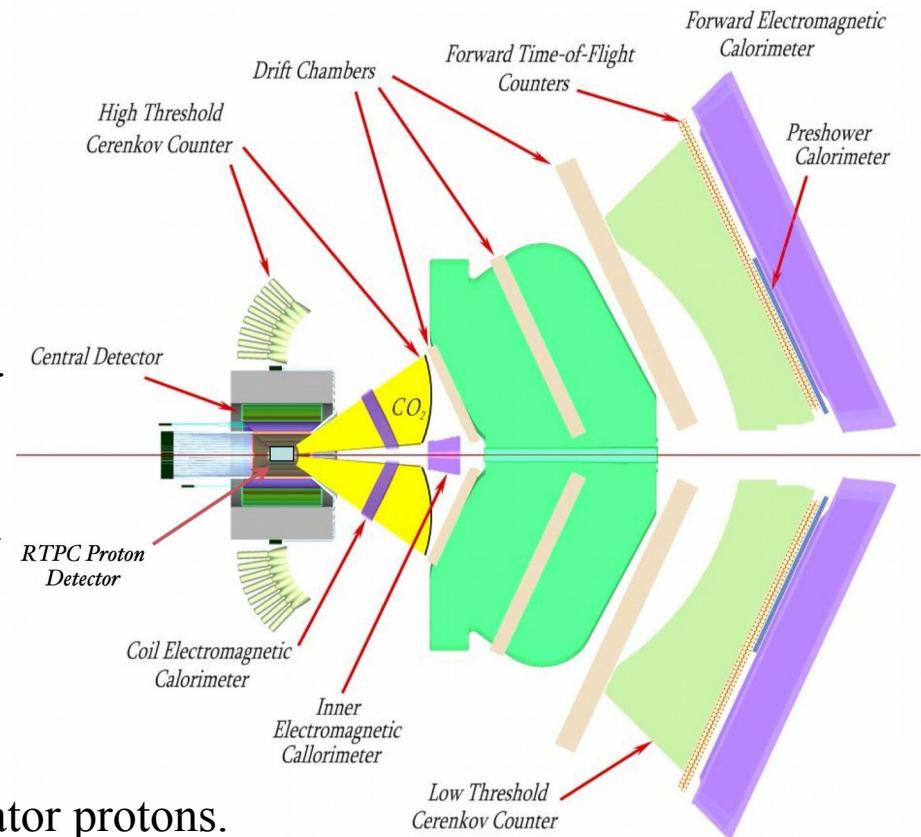


# nDVCS with BONuS12 (Submitted to PAC47)

$e^- D \rightarrow \dots$   
11 GeV

## - CLAS12 Forward Detector:

- Superconducting **Torus** magnet.
- 6 independent sectors:
  - **HTCC**: identifying  $\pi^-$  ( $p > 5.0$  GeV/c).
  - **3 regions of DCs**: tracking charged particles.
  - **LTCC**:  $\pi^-$  identification ( $p > 3.0$  GeV/c).
  - **FTOF Counters**: identifying hadrons.
  - **PCAL and Ecs**: detecting  $\gamma$ ,  $e^-$  and  $n$  [ $5^\circ, 40^\circ$ ].
  - **FT** : detecting  $\gamma$ ,  $e^-$  [ $2.5^\circ, 4.5^\circ$ ]



## - Central Detector:

- **Target**: D gas @ 7.5 atm, 293 K
- **BONuS12 RTPC**: Detects low energy spectator protons.
- **Solenoid**:
  - Shields the detectors from Møller electrons.
  - Enables tracking in the RTPC.
- **Additonal detectors to be used: CTOF, CND, and FMT**

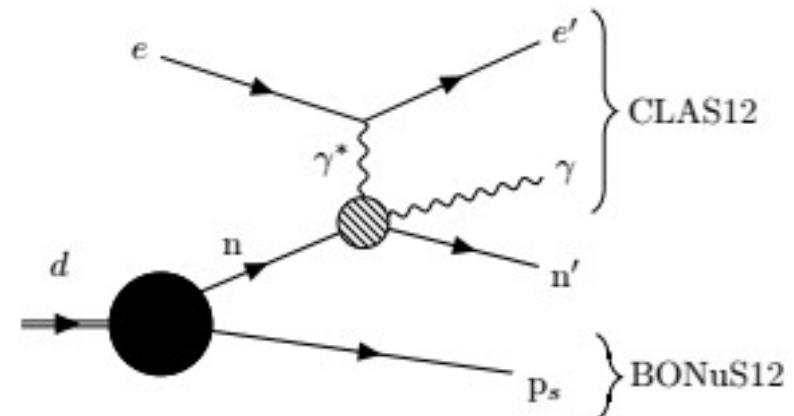
35 days on D  
5 days on H<sub>2</sub>  
with  $L = 2 \cdot 10^{34} \text{ cm}^{-2} \text{ sec}^{-1}$

# nDVCS & GPDs

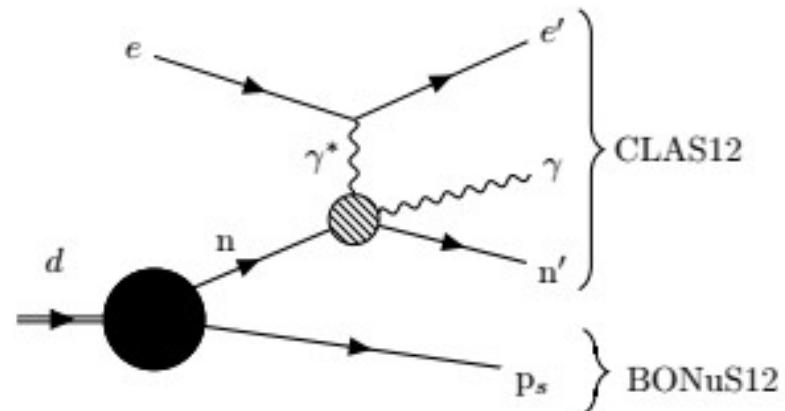
Two nDVCS channels are accessible with BONuS12:

- ◊ Tagged-proton nDVCS:  $e^- D \rightarrow e^- p \gamma (n)$   
→ Study the partonic structure of the neutron  
via measuring the  $A_{LU}$

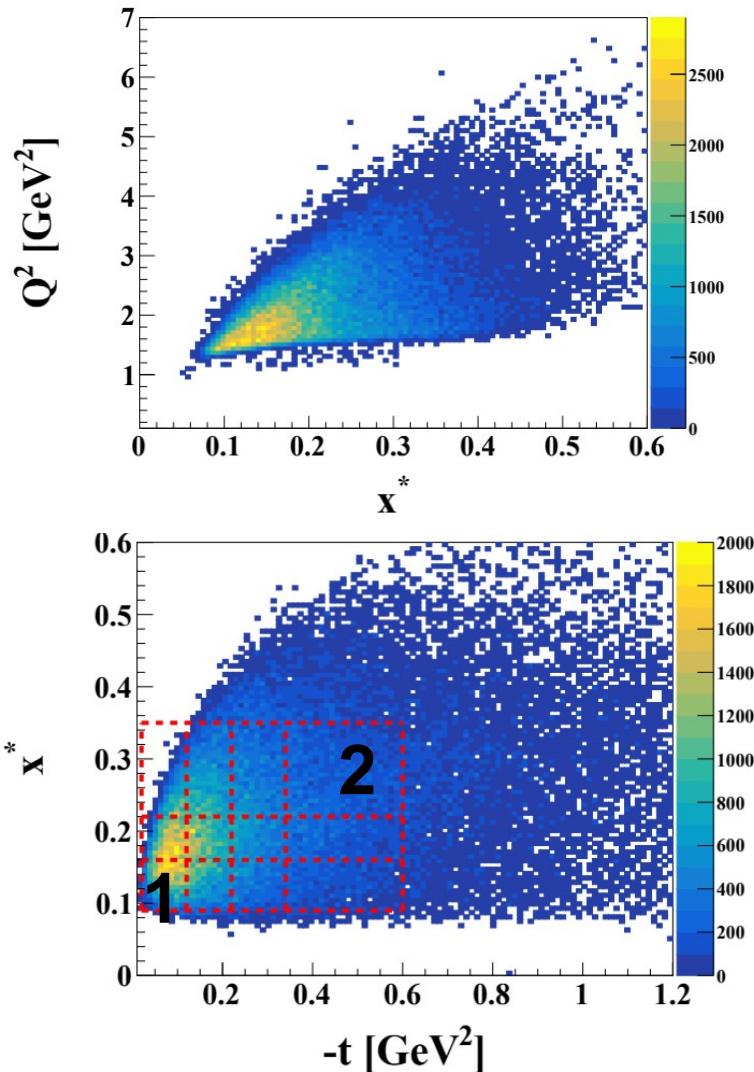
$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$



- ◊ Fully exclusive nDVCS:  $e^- D \rightarrow e^- n \gamma p$   
→ Study the Fermi motion effect on  $A_{LU}$   
→ Measure the size of the FSI on  $A_{LU}$   
→ Explore the size of the systematic uncertainties  
on RG-B measurement.



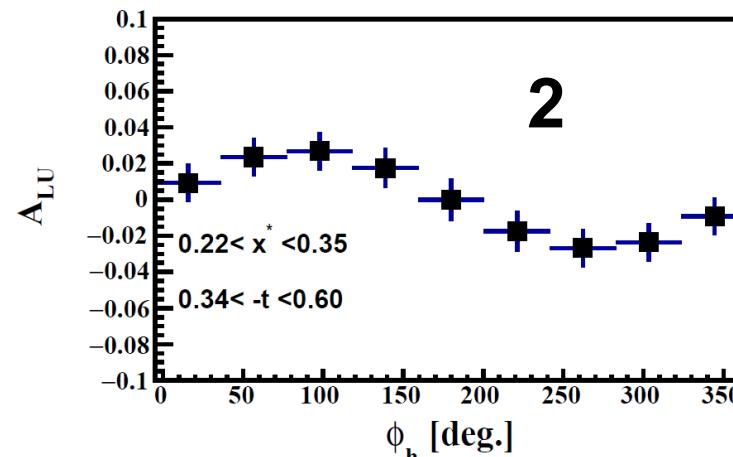
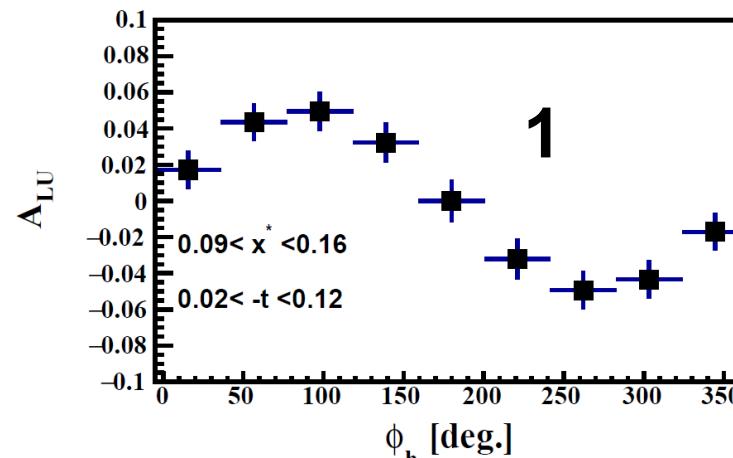
# Tagged-proton nDVCS Phase-Space



$$x^* = \frac{Q^2}{2M_N E y(2 - \alpha_{sp})} = \frac{x_B}{2 - \alpha_{sp}},$$

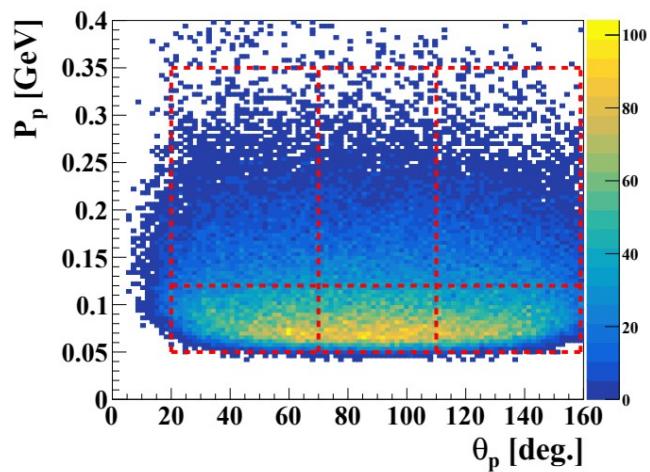
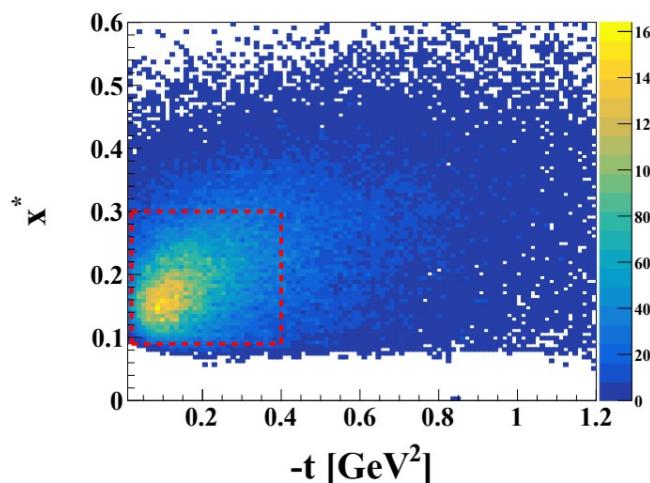
$$\alpha_{sp} = \frac{E_s - p_s^z}{M_N}$$

- ◊ 9M expected events.
- ◊ Total of 108 bins in  $x^*$  vs.  $t$  vs.  $\phi$
- ◊ 20% conservative sys. Uncertainties.
- ◊ Exploring the neutron's CFF via the BSA.
- ◊ Compare the nDVCS to Free proton DVCS.

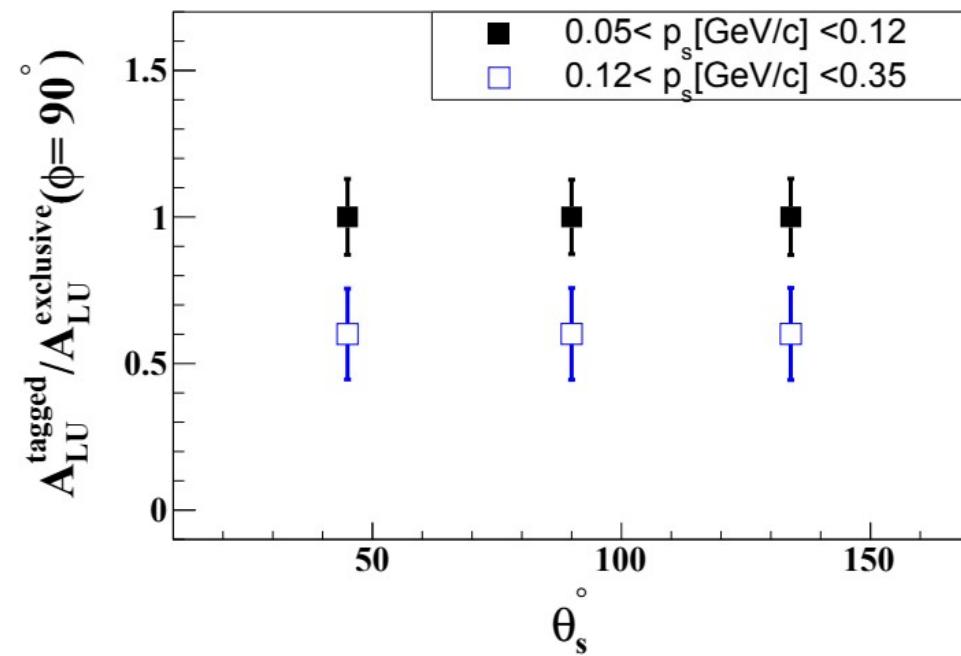
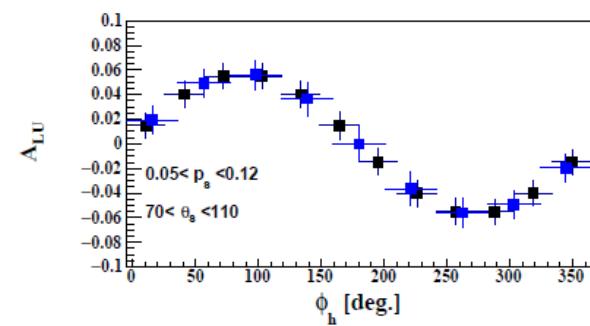
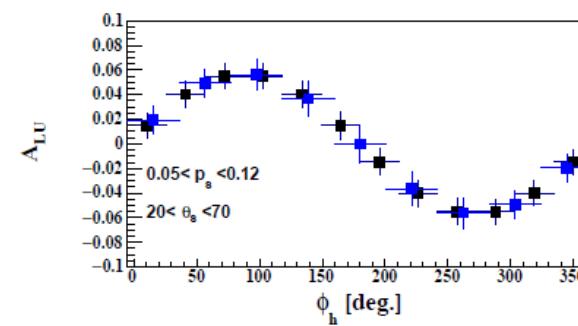


# Fully exclusive nDVCS Projections

- a. Initial bin in  $x^*$  vs.  $t$
- b. 6 bins in  $p_s$  vs.  $\theta_s$
- c. Binning in  $\phi$



- ◊ 9M tagged nDVCS events (**black**)  
~ 0.8M fully exclusive nDVCS (**blue**).
- ◊ Exploring the Fermi motion and FSI effects on BSA.



# Conclusions & Perspectives

## ◊ **The first exclusive measurement of DVCS off ${}^4\text{He}$ :**

- The coherent DVCS shows a stronger asymmetry than the free proton as was expected from theory.
- We performed the first ever model independent extraction of the  ${}^4\text{He}$  CFF.
- The bound proton has shown a different trend compared to the free one indicating the medium modifications of the GPDs and opening up new opportunities to study the EMC effect.

## ◊ **CLAS12-ALERT** will provide wider kinematical coverage and better statistics that will:

- Allow performing  ${}^4\text{He}$  tomography in terms of quarks and gluons.
- Allow comparing the gluon radius to the charge radius.
- Use tagging methods to study EMC effect via DIS measurements.
- Use Tagged-DVCS techniques to study in-medium nucleon interpretations.
- Reinforce EIC physics program by proving their usefulness in the valence region.

## ◊ **CLAS12-RGF** we intend to measure the neutron DVCS beam-spin asymmetry by:

- Tagging the spectator slow-recoiling proton
- Measuring the fully exclusive neutron DVCS channel.

Thank you!

# Proton Tomography via DVCS

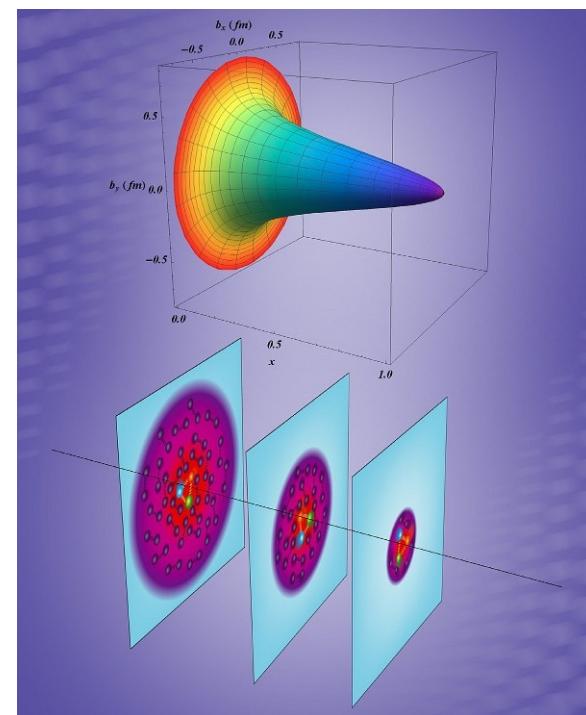
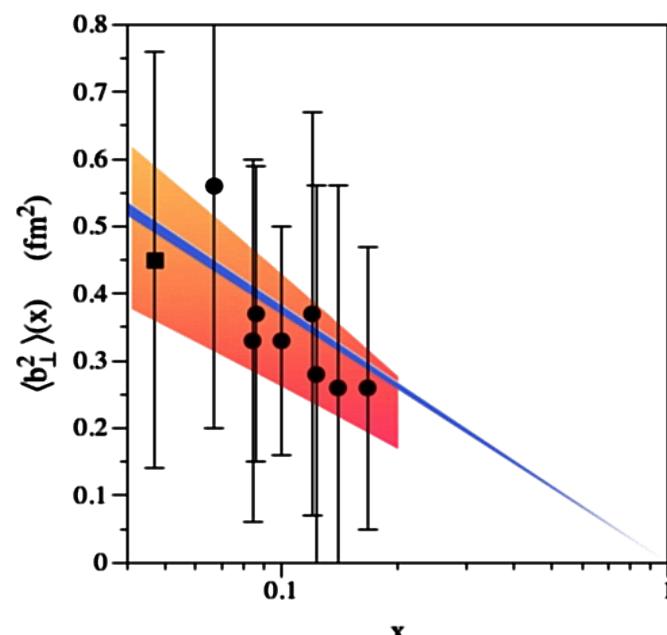
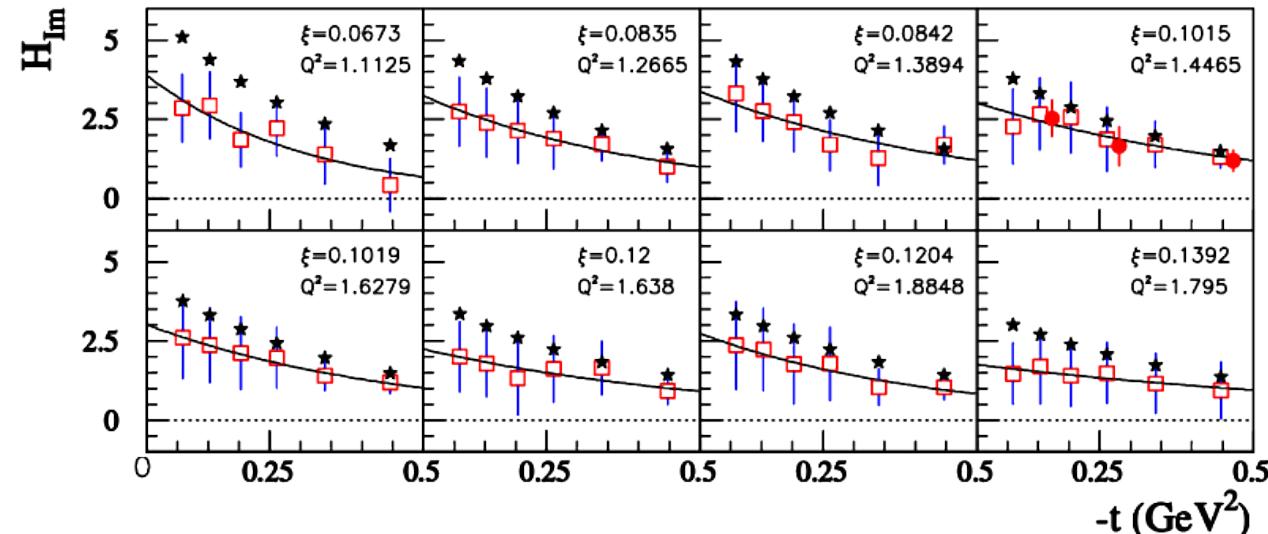
- Local fit of all the JLab data
  - Jlab Hall A ( $\sigma, \Delta\sigma$ )
  - CLAS ( $\sigma, \Delta\sigma, 1TSA, DSA$ )

- Enough coverage to explore the  $t$  and  $x_B$  ( $\rightarrow \xi$ ) dependence of  $H_{Im}$ .

- Obtaining the tomography of the proton
  - Represented is the mean square charge radius of the proton for slices of  $x$ .

- The nucleon size is shrinking with  $x$ .

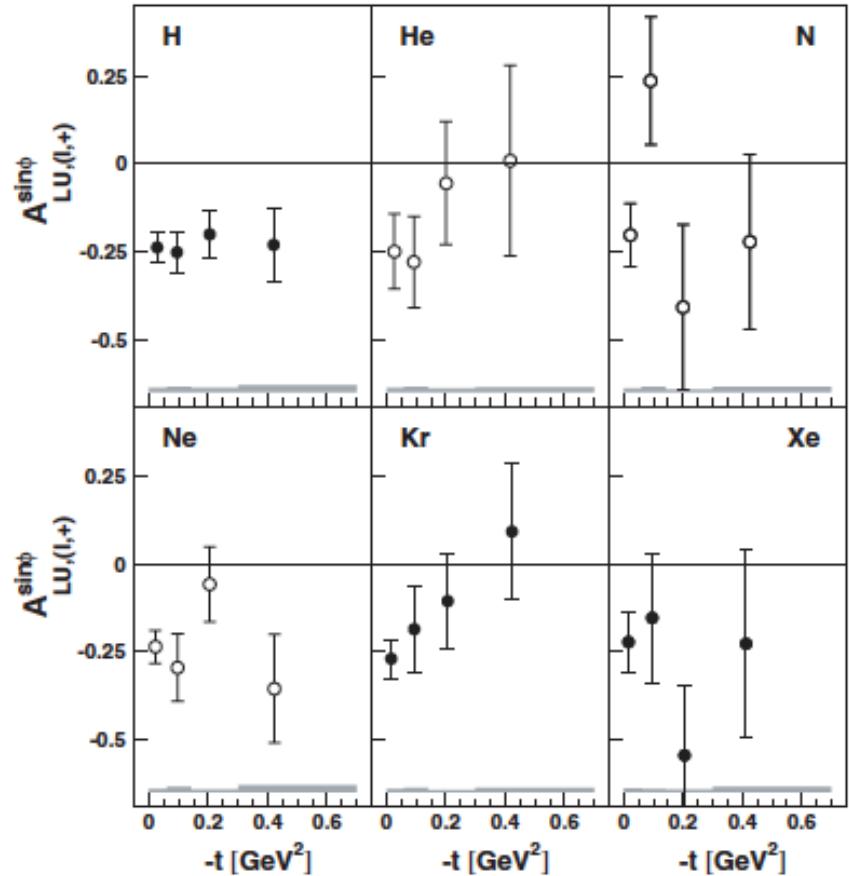
[R. Dupré et al. Phys.Rev. D95 (2017) no.1, 011501]



# Nuclear DVCS Measurements: HERMES

- The exclusivity is ensured via cut on the **missing mass** of  $e\gamma X$  final state configuration.
- Coherent and incoherent separation depending on  $-t$ , i.e. coherent rich at **small**  $-t$ .
- Conclusions from HERMES:  
No nuclear-mass dependence has been observed.

$$A_{LU}^{\sin\phi} = \frac{1}{\pi} \int_0^{2\pi} d\phi \sin\phi A_{LU}(\phi)$$



[ A. Airapetian, et al., Phys Rev. C 81 (2010) 035202]

In CLAS - E08-024, we measured  
**EXCLUSIVELY** the coherent and  
incoherent DVCS channels off  ${}^4\text{He}$

# Other Physics Topics with ALERT (PR12-17-012C)

The three main proposals of the ALERT run group is only a fraction of the physics that can be achieved by successfully analyzing the ALERT run group data

- ◆  **$\pi^0$  production off  ${}^4\text{He}$**

- Coherent and incoherent production.
- Measure BSA, leading to chiral-odd CFFs.
- Also as a DVCS background.

- ◆ **Coherent DVCS off D**

- Access to new GPDs,  $H_3$ , with relationships to deuteron charge form factors.

- ◆ **Coherent DVMP off D**

- $\pi^0$ ,  $\varphi$ ,  $\omega$  and  $\rho$  mesons.

- ◆ **Semi-inclusive reaction  $p(e,e'p)X$**

- Study the  $\pi^0$  cloud of the proton.

- ◆  **$D(e, e' p p_s)X$**

- Study the  $\pi^-$  cloud of the neutron.

- ◆ **More Physics:**

- Helium GPDs beyond the DVCS at leading order and leading twist.
- Tagged nuclear form factors measurements.
- The role of  $\Delta s$  in short-range correlations.
- The role of the final state interaction in hadronization and medium modified fragmentation functions.
- The medium modification of the transverse momentum dependent parton distributions.
- ... and more

# Other Topics with Polarized Beam During RG-F

The proposed nDVCS measurements is only a fraction of the physics that can be achieved by successfully analyzing the polarized beam data from RG-F.

## ♦ $\pi^0$ production off D

- Coherent and incoherent production.
- Measure BSA, leading to chiral-odd CFFs.
- Also as a DVCS background.

## ♦ Coherent DVCS off D

- Access to new GPDs,  $H_3$ , with relationships to deuteron charge form factors.

## ♦ Coherent DVMP off D

- $\pi^0$ ,  $\varphi$ ,  $\omega$  and  $\rho$  mesons.

## ♦ Semi-inclusive reaction $p(e, e' p)X$

- Study the  $\pi^0$  cloud of the proton.

## ♦ $D(e, e' p p_s)X$

- Study the  $\pi^-$  cloud of the neutron.

## ♦ Incoherent p DVCS & DVMP

### ♦ More Physics:

- Transverse momentum distributions (TMDs) on the neutron (twist-3).
- The medium modification of the transverse momentum dependent parton distributions.
- Final state interactions through the 5 th structure function in  $D(e, e' p s)X$ .