# Hard exclusive $\pi^+ n$ electro production beam spin asymmetries off the proton in the GPD and TDA regimes

S. Diehl<sup> $1,2^*$ </sup> for the CLAS collaboration

1 II. Physikalisches Institut der Universität Gießen, 35392 Gießen, Germany
 2 University of Connecticut, Storrs, Connecticut 06269, USA
 \* stefan.diehl@exp2.physik.uni-giessen.de

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## <sup>1</sup> Abstract

Hard exclusive  $\pi^+$ n electro-production can be used to gain access to the 3D nucleon structure. The QCD factorisation mechanism in the "nearly forward 3 region"  $(t/Q^2 \text{ small})$  allows a description by Generalized Parton Distributions 4 (GPDs), while for the "nearly backward" kinematic region  $(u/Q^2 \text{ small})$  a 5 description based on nucleon to pion transitions (TDAs) is available. The 6 paper presents a measurement of single beam spin asymmetries to extract 7 the  $A_{LU}^{\sin\phi}$  moments and the related cross section ratio  $\sigma_{LT'}/\sigma_0$  from the hard 8 exclusive  $\pi^+$  channel off the unpolarized hydrogen target in a wide range of 9 kinematics based on data taken with the CLAS spectrometer at Jefferson Lab. 10 In addition, under forward angles a detailed multidimensional study will be 11 presented based on CLAS12 data and compared to theoretical predictions. 12

## 13 **1** Introduction

Hard exclusive pseudoscalar meson production can be used to study the 3D nucleon struc-14 ture in terms of the transverse position and the longitudinal momentum component. Ap-15 plying QCD factorisation, the process in the "nearly forward region"  $(t/Q^2 \text{ small})$  can be 16 divided into a hard part, described by perturbative QCD and in two general structure 17 functions, the Generalized Parton Distributions (GPDs) for the nucleon and the pion dis-18 tribution amplitudes (DAs), describing the complex non perturbative structure of these 19 particles [1-3]. Depending on the polarisation of the quarks and the nucleon, there are 20 in total eight GPDs, of which four are chiral-even and four chiral-odd. While the chiral-21 even GPDs (H, H, E and E) can be well accessed by deeply virtual Compton scattering 22 (DVCS), pseudoscalar meson production can be used to probe also the chiral-odd GPDs 23  $(H_T, H_T, E_T, \text{ and } E_T)$  [4–6]. 24

In the "nearly backward" kinematic region  $(u/Q^2 \text{ small})$  a collinear factorized de-25 scription in terms of a convolution of the non-perturbative nucleon to pion transitions 26 (TDAs), the nucleon DAs and the hard interaction amplitude from pQCD is assumed 27 to be valid [7–10]. Nucleon-to-meson TDAs are universal functions that parametrize the 28 non-perturbative structure of these hadrons. Within the TDA mechanism, the three-quark 29 core of the target nucleon absorbs most of the virtual photon momentum and recoils for-30 ward, while a low momentum pion is emitted under backward angles. This process al-31 lows us to get new insights into the hadronic structure and to probe non-minimal Fock 32 components of hadronic light-cone wave functions. Recent publications on exclusive  $\pi^+$ 33 electro-production [11] and on  $\omega$  electro-production [12] in backward kinematics, provided 34 first indications for the applicability of the TDA mechanism. 35



Figure 1: Left: Diagram of the GPD mechanism in very forward kinematics  $(-t/Q^2 \ll 1)$ . Right: Diagram of the TDA mechanism in very backward kinematics  $(-u/Q^2 \ll 1)$ . [13]

The reaction mechanisms in the GPD and TDA regimes are compared in Fig. 1.

For exclusive meson production, GPDs and TDAs can be accessed through different observables, such as differential cross sections and beam and target polarization asymmetries [14, 15]. The focus of this work is on the extraction of the  $A_{LU}^{\sin\phi}$  moment and the related cross section ratio  $\sigma_{LT'}/\sigma_0$  from beam-spin asymmetries. According to [14], the beam-spin asymmetry can be defined as:

$$BSA(t,\phi,x_B,Q^2) = \frac{d\sigma^+ - d\sigma^-}{d\sigma^+ + d\sigma^-} = \frac{A_{LU}^{\sin\phi}\sin\phi}{1 + A_{UU}^{\cos\phi}\cos\phi + A_{UU}^{\cos2\phi}\cos2\phi},$$
(1)

where  $d\sigma^{\pm}$  is the differential cross section for the two beam helicity states (±). The subscripts *ij* represent the longitudinal (L) or unpolarized (U) state of the beam and the target and  $\phi$  is the azimuthal angle between the electron scattering and the hadron production plane. The moments  $A_{LU}^{\sin\phi}$ ,  $A_{UU}^{\cos\phi}$  and  $A_{UU}^{\cos 2\phi}$  can be directly related to the ratio of the interference cross sections  $\sigma_{LT'}$ ,  $\sigma_{LT}$ ,  $\sigma_{TT}$  and the non  $\phi$  dependent part of the cross section  $\sigma_0 = \sigma_T + \epsilon \sigma_L$  [16]:

$$A_{LU}^{\sin\phi} = \sqrt{2\epsilon(1-\epsilon)} \frac{\sigma_{LT'}}{\sigma_0}, \quad A_{UU}^{\cos\phi} = \sqrt{2\epsilon(1+\epsilon)} \frac{\sigma_{LT}}{\sigma_0}, \quad A_{UU}^{\cos 2\phi} = \epsilon \frac{\sigma_{TT}}{\sigma_0}$$
(2)

where the structure functions  $\sigma_L$  and  $\sigma_T$  correspond to transverse (T) and longitudinal (L) polarized virtual photons. The ratio of their fluxes is given by  $\epsilon$ , which is determined by the electron scattering kinematics.

The Golskokov-Kroll (GK) model [17] can be used to describe  $\sigma_{LT'}$  in terms of GPDs. 51 The model includes chiral-odd GPDs to calculate the contributions from the transversely 52 polarized virtual photon amplitudes [17]. The GPDs are constructed from double distri-53 butions and constrained by results from lattice QCD and transversity parton distribution 54 functions [17]. The pion pole contribution to the amplitudes is taken into account. An 55 expression for  $\sigma_{LT'}$  is provided through the convolutions of GPDs with sub-process ampli-56 tudes (see Fig. 1 left) and contains the products of chiral-odd and chiral-even GPDs [4]: 57  $\sigma_{LT'} \sim Im \left| \langle \overline{E}_T \rangle^* \langle \widetilde{H} \rangle + \langle H_T \rangle^* \langle \widetilde{E} \rangle \right|$ , where all involved GPDs are influenced directly or 58 indirectly by the pion pole term (i.e.  $\tilde{E}_{eff} = \tilde{E} + \text{pole}$ ), which significantly amplifies 59 the imaginary part of small chiral-odd GPDs in the case of  $\pi^+$  [13]. Due to the quark 60 composition of  $\pi^+$  and the resulting wave function, it can be also assumed that  $\langle \overline{E}_T \rangle$  is 61 small. Therefore,  $\sigma_{LT'}$  is dominated by  $Im[\langle H_T \rangle^* \langle E \rangle]$  for  $\pi^+$ . 62

In the backward regime a similar expression of  $\sigma_{LT'}$  can be found within the TDA model through the interference between the leading twist transverse amplitude of the convolution in terms of twist-3  $\pi N$  TDAs and nucleon DAs and the next leading subprocess longitudinal amplitude of the convolution involving twist-4 TDAs and DAs [13]. However, a complete theoretical study of this twist-4 longitudinal amplitude is not yet available.

# <sup>69</sup> 2 Extraction of beam spin asymmetries over a wide range <sup>70</sup> of kinematics with CLAS

A first study of hard exclusive  $\pi^+$  electro-production beam spin asymmetry over a wide 71 range of kinematics, was performed at Jefferson Lab with the CEBAF Large Acceptance 72 Spectrometer (CLAS) [18]. This work is published in Ref. [13]. The study used a longitudi-73 nally polarized electron beam with an energy of 5.498 GeV interacting with a unpolarized 74 liquid hydrogen target. For the selection of deeply inelastic scattered electrons, cuts on 75  $Q^2 > 1 \text{ GeV}^2$  and on the invariant mass of the hadronic final state W > 2 GeV were 76 applied. The exclusive  $e'\pi^+n$  final state, was selected from the detected electrons and  $\pi^+$ 77 by a cut around the neutron peak in the  $e\pi^+X$  missing mass spectrum. 78

Experimentally the BSA and its statistical uncertainty are defined with the number of counts with positive and negative helicity  $(N_i^{\pm})$ , in a specific bin *i* as:

$$BSA = \frac{1}{P_b} \frac{N_i^+ - N_i^-}{N_i^+ + N_i^-} , \ \sigma_{BSA} = \frac{2}{P_b} \sqrt{\frac{N_i^+ N_i^-}{(N_i^+ + N_i^-)^3}},$$
(3)

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where  $P_b = 74.9 \pm 2.4\%$  (stat.)  $\pm 3.0\%$  (sys.) is the magnitude of the beam polarization. A fit to the  $\phi$  dependence of the BSA, following Eq. (1) was applied to extract the  $A_{LU}^{\sin\phi}$  moment. The asymmetry of the background has been subtracted on a bin by bin basis. Several sources of systematic uncertainty were investigated, including particle identification, background subtraction, beam polarization, and the influence of the  $A_{UU}^{\cos\phi}$ and  $A_{UU}^{\cos 2\phi}$  moments. The impact of acceptance effects was estimated based on Monte Carlo simulations. More details can be found in Ref. [13].

 $A_{LU}^{\sin\phi}$  was extracted over the complete range of -t up to 6.6 GeV<sup>2</sup> as shown in Fig. 2, 89 which is close to the maximal accessible -t value. The data is integrated over  $Q^2$ , ranging 90 from 1 GeV<sup>2</sup> - 4.5 GeV<sup>2</sup> and  $x_B$  ranging from 0.1 to 0.6. Fig. 2 clearly shows, that the *t*-dependence of  $A_{LU}^{\sin\phi}$  makes a transition from positive values in the forward region 91 92 (small -t) to negative values in the backward region (large -t, small -u) [13]. The sign 93 change occurs around  $-t = 3 \text{ GeV}^2$ , which corresponds to 90 degrees in the center of mass 94 frame, and marks the transition between the  $\pi^+$  emitted in the forward and backward 95 directions. Therefore, the sign change may indicate a transition between the GPD and 96 TDA regimes [13]. Additional studies showed, that the sign change occurs in the complete 97 accessible  $Q^2$  and  $x_B$  region [13]. 98

#### <sup>99</sup> 3 A multidimensional study in the GPD regime with CLAS12

<sup>100</sup> Based on the new CLAS12 (CEBAF Large Acceptance Spectrometer for experiments at <sup>101</sup> 12 GeV) [19] data, a detailed multidimensional study of  $\sigma_{LT'}/\sigma_0$  has been performed in <sup>102</sup> the GPD regime. The experiment uses a longitudinally polarized electron beam, with an



Figure 2:  $A_{LU}^{\sin\phi}$  as function of -t. The shaded area represents the systematic uncertainty of the measurement. The figure is taken from [13].

energy of 10.6 GeV, interacting with an unpolarized liquid hydrogen target. The CLAS12 103 forward detector consists of six identical sectors within a toroidal magnetic field. The 104 momentum and the charge of the particles were determined by 3 regions of drift cham-105 bers. The electron identification was based on a lead-scintillator electromagnetic sampling 106 calorimeter in combination with a Cherenkov counter. Positive pions were identified by 107 time-of-flight measurements. Cuts on  $Q^2 > 1 \text{ GeV}^2$  and W > 2 GeV were applied. The 108 exclusive  $e'\pi^+n$  events are selected from the detected electron and  $\pi^+$  by a cut around the 109 neutron peak in the  $e\pi^+ X$  missing mass spectrum and the background is subtracted on a 110 bin by bin basis. 111

Figure 3 shows the  $Q^2$  vs  $x_B$  distribution and the applied binning scheme for these variables. The extraction of the beam spin asymmetry follows the methods described for



Figure 3:  $Q^2$  vs  $x_B$  distribution accessible with CLAS12. The black lines and the numbers indicate the binning scheme used for the multidimensional study. The red line illustrates the region which was accessible with CLAS.

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CLAS in section 2. The results for  $\sigma_{LT'}/\sigma_0$  in the region of -t up to  $1.2 \text{ GeV}^2$   $(-t/Q^2 \ll 1)$ , where the leading-twist GPD framework is applicable are shown in Fig. 4. The theoretical predictions from the GPD-based model by Goloskokov and Kroll [17] are shown as a blue line. The multidimensional study allows a more detailed investigation of the  $Q^2$  and  $x_B$ dependence of  $\sigma_{LT'}/\sigma_0$  and a precise comparison to the theory predictions in the different kinematic regions. The comparison with predictions from the GPD based GK model [17] shows, that while the magnitude is overestimated by the model, the general slope of the t-



Figure 4:  $\sigma_{LT'}/\sigma_0$  as function of -t for different  $Q^2 - x_B$  bins according to the binning scheme shown in Fig. 3. The gray histogram shows a preliminary estimate of the systematic uncertainty. The blue line shows the predictions from the GK model [17] at the stated mean value of the bins kinematics.

dependence and its variation between the single  $Q^2 - x_B$  bins shows a similar but especially at low  $Q^2$  not fully identical behavior between the model and the experimental results. The mismatch of the amplitude can be interpreted as an indication for an underestimated magnitude of the so far poorly known GPD  $H_T$  which is amplified by the interplay with the pion pole term.

# 126 4 Conclusion

In summary, the initial study based on CLAS data, provided an extraction of  $A_{LU}^{\sin\phi}$  for 127  $\vec{e}p \rightarrow e'n\pi^+$  at large photon virtuality, above the resonance region over the full range of 128 kinematics in -t, covering the complete kinematic region of the GPD and TDA frameworks 129 simultaneously. A clear sign change of  $A_{LU}^{\sin\phi}$  has been observed, indicating a transition 130 from the GPD to the TDA regime. This data-set will help to develop reaction mechanisms 131 for a description of the full kinematic region. Based on the new CLAS12 data it became 132 possible for the first time to do a more detailed multidimensional study of  $\sigma_{LT'}/\sigma_0$  in the 133 GPD regime, which allowed an investigation of the  $Q^2$  and  $x_B$  dependence of the results. 134 A comparison with predictions from the GPD based GK model [17] showed, that while 135 the magnitude is overestimated, the general slope of the t-dependence and its variation in 136 the single  $Q^2 - x_B$  bins shows a similar but not fully identical behavior in experiment and 137 theory. The mismatch of the amplitude can be seen as an indication for an underestimated 138 magnitude of the so far poorly known GPD  $H_T$ . In combination with previous and future 139 cross section measurements and results from the  $\pi^0$  and  $\eta$  channels, the presented data will 140 help to better constrain this poorly known GPD in an extended kinematic range. Once 141 more data becomes available from CLAS12 detailed multidimensional studies of the TDA 142 regime will follow. 143

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