Transverse Momentum Dependent Distributions in hard scattering

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Semi Inclusive Deep Inelastic Scattering

\[ l + P \rightarrow l' + h + X \]

**Kinematical variables:**

\[ Q^2 = -q^2 = -(l - l')^2, \]
\[ x_B = \frac{Q^2}{2 P \cdot q}, \]
\[ y = \frac{P \cdot q}{P \cdot l'}. \]
\[ z_h = \frac{P \cdot P_h}{P \cdot q} \]

**Cross section**

\[
\frac{d^6 \sigma_{\ell p \rightarrow \ell' h X}}{dx_B dy dz_h d^2 P_T d\Phi_S} \propto \frac{\alpha^2}{Q^4} L_{\mu\nu} W^{\mu\nu}
\]

**Leptonic tensor**

\[
L_{\mu\nu} = \sum_{\lambda'} (\bar{\chi}'_\nu (l') \gamma_\mu u_\lambda (l))^* (\bar{\chi}_\nu (l') \gamma_\nu u_\lambda (l)) = 2 l_\mu l'_\nu + 2 l'_\nu l_\nu - Q^2 g_{\mu\nu} + 2i \lambda \epsilon_{\mu\nu\rho\sigma} l^\rho l'^\sigma
\]

**Hadronic tensor**

\[
2MW^{\mu\nu} = \frac{1}{2\pi} \sum_X \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^{(4)} (q + P - P_X - P_h) \langle PS | J^{\mu} (0) | h, X \rangle \langle h, X | J^{\nu} (0) | PS \rangle
\]
TMD factorization describes the structure of the hadron in terms of Transverse Momentum Dependent distribution functions and valid for $P_T \sim \Lambda_{QCD}$ while Collinear factorization employs multiparton correlators, in particular twist-three Qiu-Sterman matrix elements, and $P_T \sim Q$.

**TMD factorization**

\[
\frac{d\sigma}{d^{2}k_{\perp}} \propto \int d^{2}p_{\perp} d^{2}l_{\perp} f_{q/p}(x, k_{\perp},...) S(l_{\perp},...) HH^{*}(Q^2) D_{q}^{h}(z, p_{\perp},...) \delta^{(2)}(zk_{\perp} + p_{\perp} + l_{\perp} - P_{Th})
\]

**Collinear factorization**

\[
\frac{d\sigma}{d^{2}k_{\perp}} \propto \int d^{2}p_{\perp} d^{2}l_{\perp} f_{q/p}(x, k_{\perp},...) S(l_{\perp},...) HH^{*}(Q^2) D_{q}^{h}(z, p_{\perp},...) \delta^{(2)}(zk_{\perp} + p_{\perp} + l_{\perp} - P_{Th})
\]

Ji, Ma, Yuan 2005

Collins, Soper, Sterman 1982; Qiu, Sterman 2000
Quark-quark Correlator and TMDs

\[ \Phi_{ij}(p, P, S) = \int \frac{d^4 \xi}{(2\pi)^4} e^{ip \cdot \xi} \langle P, S| \bar{\psi}_j(0) \mathcal{W}(0, \xi|n^-) \psi_i(\xi)|P, S\rangle \]

Mulders, Tangerman 95; Goeke, Metz, Belitsky, Ji, Yuan 2002, Schlegel 05, Bacchetta et al 07

Gauge link \( \mathcal{W}(0, \xi|n^-) \) (Belitsky, Ji, Yuan 2002) ensures gauge invariance of the correlator. In SIDIS gauge link will be formed by summing over gluon exchanges between struck quark and the remnant of the proton (Final State Interactions):

\[ \mathcal{W}(\xi^-, \xi_\perp; \infty|n^-) = \mathcal{P} \text{exp}[-ig \int_{\xi^-}^{\infty} A_+(\xi^-, \xi_\perp) dx^-] \]

and gauge link be formally expressed as

\[ \mathcal{W}(0, \xi|n^-) = \mathcal{W}^\dagger(0^-, 0_\perp; +\infty|n^-) \mathcal{W}(\xi^-, \xi_\perp; +\infty|n^-) \]

See talk of Igor Cherednikov on evolution and definition
\[ \Phi_{ij}(p, P, S) = \int \frac{d^4 \xi}{(2\pi)^4} e^{ip \cdot \xi} \langle P, S|\bar{\psi}_j(0)\mathcal{W}(0, \xi|n^-)\psi_i(\xi)|P, S\rangle \]

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this link connects two fields which are positioned at “0” and “\( \xi \)”. Process “defines” direction of the gauge link. In different processes the direction of the link changes thus not trivial relations among TMDs appear Collins 2002.

Separation in transverse direction is crucial.
Quark-quark Correlator and TMDs

\[
\Phi_{ij}(p, P, S) = \int \frac{d^4 \xi}{(2\pi)^4} e^{ip \cdot \xi} \langle P, S| \bar{\psi}_j(0) W(0, \xi| n^-) \psi_i(\xi)| P, S \rangle
\]

Mulders, Tangerman 95; Goeke, Metz, Belitsky, Ji, Yuan 2002, Schlegel 05, Bacchetta et al 07

TMD distribution functions can be found via $p^-$ integration

\[
\Phi(x, p_T, S) = \int dp^- \Phi(p, P, S) \bigg|_{p^+ = xP^+}
\]

Dirac decomposition is done by projecting onto the basis of Dirac matrices

\[
\Phi^{[\Gamma]}(x, p_T, S) = \frac{1}{2} Tr(\Phi(x, p_T, S)\Gamma)
\]
\[ \Phi_{ij}(p, P, S) = \int \frac{d^4 \xi}{(2\pi)^4} e^{ip \cdot \xi} \langle P, S | \bar{\psi}_j(0) \mathcal{W}(0, \xi | n^-) \psi_i(\xi) | P, S \rangle \]

Mulders, Tangerman 95; Goeke, Metz, Belitsky, Ji, Yuan 2002, Schlegel 05, Bacchetta et al 07

**Twist-2 decomposition** (= leading terms in \(P^+\) expansion) contains 8 functions:

\[ \Phi[\gamma^+](x, p_T, S) = f_1(x, p_T^2) - \frac{\epsilon_{ij}^T p_T^i S_T^j}{M} f_{1T}^\perp(x, p_T^2) \]

\[ \Phi[\gamma^+\gamma_5](x, p_T, S) = S_L g_{1L}(x, p_T^2) - \frac{p_T \cdot S_T}{M} g_{1T}^\perp(x, p_T^2) \]

\[ \Phi[i\sigma^i + \gamma_5](x, p_T, S) = S_T^i h_1^T + S_L \frac{p_T^i}{M} h_{1L}^\perp - \frac{p_T^i p_T^j - 1/2 p_T^2 g_{ij}}{M^2} S_T^j h_{1T}^\perp - \frac{\epsilon_{ij}^T p_T^T}{M} h_1^\perp \]

"Amsterdam notation" is used for the TMDs

Kotzinian 95; Mulders, Tangerman 96; Barone, Drago, Ratcliffe 02; Bacchetta et al 07; Anselmino et al 06

talk of Peter Schweitzer

Alexei Prokudin
Asymmetry in $\gamma^* p$ cm frame of $\ell p^\uparrow \rightarrow \ell' h X$

TMD functions can be studied in asymmetries

$$A_{UT} = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{\frac{1}{2}(d\sigma^\uparrow + d\sigma^\downarrow)}$$

Unpolarised electron beam, Transversely polarised proton. Azimuthal dependence on $\Phi_h$ and $\Phi_S$ singles out different combinations.

**Contributions at leading twist**

$$d\sigma^\uparrow - d\sigma^\downarrow \propto f_{1T}^\perp \otimes d\hat{\sigma} \otimes D_{h/q} \sin(\phi_h - \phi_S) + \underbrace{h_1 \otimes \Delta \hat{\sigma}^\uparrow \otimes H_1^\perp \sin(\phi_h + \phi_S)}_{\text{Collins effect}} + \ldots$$

Kotzinian 1995; Mulders, Tangerman 1995; Boer and Mulders 1997; Bacchetta et al 2007

see talks of Heiner Wollny, Luciano Pappalardo, and Patrizia Rossi on experimental results
Transverse Momentum Dependent distributions are present in other processes, such as Drell Yan $A^+ B \rightarrow l^+l^- X$

Universality of distributions is proven Belitsky, Ji, Yuan 2002; Collins 2002. Sivers and Boer-Mulders distributions have modified universality → they change sign. This is a unique property of TMDs.
TMDs in other processes

$e^+e^- \text{ annihilation } e^+e^- \rightarrow H_1H_2X$ and inclusive hadron production in hadron-hadron scattering $A^\uparrow B \rightarrow HX$

Collins, Soper 1981

Hadron-hadron scattering

TMD factorization is not proven, “counterexamples” exist

Collins, Qiu 2007; Rogers, Mulders 2010

\[ d\sigma^{e^+e^-} \propto D_{q/H_1}(z_1, \mathbf{k}_\perp) \otimes \sigma \otimes D_{\bar{q}/H_1}(z_2, \mathbf{p}_\perp) \]

\[ d\sigma \propto f_{q_1/P_A^\uparrow}(x_1, \mathbf{k}_{1\perp}) \otimes f_{q_2/P_B}(x_2, \mathbf{k}_{2\perp}) \otimes \sigma \otimes D_{q_3/H}(z, \mathbf{p}_\perp) \]

See talk of Les Bland on $A_N$ in hadron-hadron scattering
Sivers function \textit{Sivers 1990} can be measured in both SIDIS and DY processes.

\[ f_{q/P}(x, k_\perp, S) = f_1(x, k_\perp) - \frac{S \cdot (\hat{P} \times k_\perp)}{M} f_{1T}(x, k_\perp) \]

Drell Yan \( A^\uparrow B \rightarrow l^+ l^- X \)

\[ A_{UT}^{\sin(\phi_\gamma - \phi_S)} \sim f_{1T}^{DY}(x, k_\perp) \otimes f_{\bar{q}/B}(x, p_\perp) \]

SIDIS \( \ell P^\uparrow \rightarrow \ell' h X \)

\[ A_{UT}^{\sin(\phi_H - \phi_S)} \sim f_{1T}^{SIDIS}(x, k_\perp) \otimes D_{h/q}(z, p_\perp) \]
Sivers function: process dependence

Operator definitions of distributions in SIDIS and DY:

\[ f^{SIDIS}_{q/p \uparrow}(x, k_\perp, \vec{S}) = \int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{ixP^+y^- - i\vec{k}_\perp \cdot \vec{y}_\perp} \cdot \langle P, S | \bar{\psi}(0) W^{SIDIS}_{n^-} (0, y | n^-) \psi(y) | P, S \rangle \]

\[ f^{DY}_{q/p \uparrow}(x, k_\perp, \vec{S}) = \int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{ixP^+y^- - i\vec{k}_\perp \cdot \vec{y}_\perp} \cdot \langle P, S | \bar{\psi}(0) W^{DY}_{n^-} (0, y | n^-) \psi(y) | P, S \rangle \]

From parity and time reversal invariance one can show (Collins 2002; Qiu, Kang 2008)

\[ f^{SIDIS}_{q/p \uparrow}(x, k_\perp, \vec{S}) = f^{DY}_{q/p \uparrow}(x, k_\perp, -\vec{S}) \]

and

\[ f^{\perp}_{1T}^{SIDIS}(x, k_\perp) = -f^{\perp}_{1T}^{DY}(x, k_\perp) \]
Modified universality

Sivers function is process dependent. Collins 2002

\[ f_{1T}^{DY} = -f_{1T}^{SIDIS} \]

Let's consider a simple model of Final State Interactions as in Brodsky, Hwang, Schmidt 2002, proton = quark\(^+\) + antiquark\(^-\)

SIDIS - attractive

DY - repulsive

- Experimental test of this relation is fundamental for our understanding of the origin of the correlation between parton angular momentum and the spin of the proton and the gauge link formalism itself.

Experimental DY data are not available, experiments are planned.

See talk of Les Bland
The fundamental distributions of partons inside a nucleon

**Unpolarised Distribution**

\[ f_1(x) \text{ or } q(x) \]

Distribution of unpolarised partons in an unpolarised nucleon.
Well known

**Helicity Distribution**

\[ g_1(x) \text{ or } \Delta q(x) \]

Distribution of longitudinally polarised partons in a longitudinally polarised nucleon.
Known

**Transversity Distribution**

\[ h_1(x) \text{ or } \Delta_T q(x) \]

Distribution of transversely polarised quarks in a transversely polarised nucleon.
Little known!
HERMES and COMPASS experimental measurements
The fundamental distributions of partons inside a nucleon

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Transversity cannot be studied in DIS as QED and QCD interactions conserve helicity up to corrections $O(m_q/E)$.

Transversity can be measured if coupled with another chiral-odd function. This can be done in Semi Inclusive DIS (SIDIS), quark fragments into unpolarised hadron. It couples to so called Collins Fragmentation function that describes how a polarised quark fragments into unpolarised hadron.

Golden channel to study transversity is proton - antiproton double spin asymmetry at GSI

$$A_N \propto h_q/P(x)h_{\bar{q}}/\bar{P}(x).$$
How to measure transversity? SIDIS and $e^+e^-$ annihilation

SIDIS $lN \rightarrow l'H_1X$

Collins effect gives rise to azimuthal Single Spin Asymmetry

$\Delta T q (x, Q^2)$

$\Delta^N D_{h/q^\uparrow} (z, Q^2)$


Alexei Prokudin 20
Experimental data

HERMES $A_{UT}^\sin(\phi_h+\phi_S)$

\[ \sin(\phi_h+\phi_S) \]

COMPASS $A_{UT}^\sin(\phi_h+\phi_S+\pi)$

\[ \sin(\phi_h+\phi_S+\pi) \]

\[ ep \rightarrow e\pi X, \, p_{lab} = 27.57 \, \text{GeV}. \]

\[ \mu D \rightarrow \mu\pi X, \, p_{lab} = 160 \, \text{GeV} \]


see talks of Heiner Wollny, Luciano Pappalardo, and Patrizia Rossi on experimental results
Description of the data

Predictions for COMPASS operating on PROTON target

COMPASS $A_{UT} \sin(\phi_h + \phi_S + \pi)$

Comparison with preliminary
COMPASS data arXiv:0808.0086

Anselmino et al 2009
Transversity vs. helicity

1. Solid red line – transversity distribution
   \[ \Delta_T q(x) \]

   this analysis at \( Q^2 = 2.4 \text{ GeV}^2 \).

2. Solid blue line – Soffer bound
   \[ |\Delta_T q(x)| < \frac{q(x) + \Delta q(x)}{2} \]

GRV98LO + GRSV98LO

3. Dashed line – helicity distribution
   \[ \Delta q(x) \]

GRSV98LO
This is the extraction of transversity from existing experimental data. Anselmino et al 2009

- $\Delta_T u(x) > 0$ and $\Delta_T d(x) < 0$
- $|\Delta_T q(x)| < |\Delta q(x)|$.

JLab @ 12 GeV will provide wider region of $x$ for tensor charge extraction.
New extraction is close to most models.

Barone, Calarco, Drago PLB 390 287 (97)
Soffer et al. PRD 65 (02)
Korotkov et al. EPJC 18 (01)
Schweitzer et al. PRD 64 (01)
Wakamatsu, PLB B653 (07)
Pasquini et al., PRD 72 (05)
Cloet, Bentz and Thomas PLB 659 (08)
Anselmino et al 2009.

see talk of Barbara Pasquini on model calculations
Tensor charges

\[ \delta_T q = \int_0^1 dx (h_{1q} - h_{1\bar{q}}) = \int_0^1 dx h_{1q} \]

\[ \delta_T u = 0.54^{+0.09}_{-0.22}, \delta_T d = -0.23^{+0.09}_{-0.16} \text{ at } Q^2 = 0.8 \text{ GeV}^2 \]

1. **Quark-diquark model:**
   Cloet, Bentz and Thomas
   PLB 659, 214 (2008), \( Q^2 = 0.4 \text{ GeV}^2 \)

2. **CQSM:**
   \( Q^2 = 0.3 \text{ GeV}^2 \)

3. **Lattice QCD:**
   M. Gockeler et al.,
   \( Q^2 = 4 \text{ GeV}^2 \)

4. **QCD sum rules:**
   Han-xin He, Xiang-Dong Ji,
   PRD 52:2960-2963,1995,
   \( Q^2 \sim 1 \text{ GeV}^2 \)

5. **Constituent quark model:**
   B. Pasquini, M. Pincetti, and S. Boffi,
   PRD72(2005)094029 and PRD76(2007)034020,
   \( Q^2 \sim 0.8 \text{ GeV}^2 \)

6. **Spin-flavour SU(6) symmetry**
   L. Gamberg, G. Goldstein,
   \( Q^2 \sim 1 \text{ GeV}^2 \)

See talk of Bernhard Musch on lattice QCD calculations of TMDs
Sivers effect

The azimuthal asymmetry $A_{UT}^{\sin(\phi_h-\phi_S)}$ arises due to Sivers function (Sivers 90)

Torino notations are used

$$f_{q/p}(x,k_{\perp}) = f_{q/p}(x,k_{\perp}) + \frac{1}{2} \Delta N f_{q/p}(x,k_{\perp}) S_T \cdot (\hat{P} \times \hat{k}_{\perp})$$

Spin sum rule:

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + <L_{\bar{q},\bar{q}z}> + <L_{qz}>$$

EMC result on $\Delta \Sigma = \sum_{q,\bar{q}} \Delta q \simeq 0.3$ triggered so called “Spin crisis” – only 30% of the spin of the proton is carried by quarks.

Leader, Anselmino ‘‘A Crisis In The Parton Model: Where, Oh Where Is The Proton’s Spin?’’
Z.Phys.C41:239,1988

$S_T \cdot (\hat{P} \times \hat{k}_{\perp})$ – correlation between the spin ($S_T$) and angular momentum ($L_q$) implies non zero contribution $<L_{\bar{q},\bar{q}z}> \neq 0$

Data are available from HERMES and COMPASS. $u$ and $d$ Sivers functions are non zero thus $L_{u,d} \neq 0$. See talk of Peter Schweitzer on connection of $L_q$ and TMDs
HERMES and COMPASS DATA.

**HERMES**

\[ e p \to e \pi X , \quad p_{lab} = 27.57 \text{ GeV}. \]

**COMPASS**

\[ \mu D \to \mu \pi X , \quad p_{lab} = 160 \text{ GeV}. \]

\[ l p^\uparrow \to l \pi^+ X \simeq \Delta^N u \otimes D_{u/\pi^+} > 0 \]

\[ l p^\uparrow \to l \pi^- X \simeq 4\Delta^N u \otimes D_{u/\pi^-} + \Delta^N d \otimes D_{d/\pi^-} \simeq 0 \]

\[ l D^\uparrow \to l \pi^+ X \simeq (\Delta^N u + \Delta^N d) \otimes D_{u/\pi^+} \simeq 0 \]

M. Anselmino et al 2009

M. Anselmino et al 2009
**HERMES and COMPASS DATA.**

**HERMES**

\[ ep \rightarrow e\pi X, \ p_{lab} = 27.57 \text{ GeV}. \]

![Graph showing \( A_{UT} \sin(\phi - \phi_S) \) vs. \( x \) for different \( \pi^0, \pi^+, \pi^- \) with data from HERMES 2002-2005.]

M. Anselmino et al 2009

**HERMES**

\[ ep \rightarrow e\pi X, \ p_{lab} = 27.57 \text{ GeV}. \]

![Graph showing proton \( \pi^+ \) data from HERMES preliminary.]

Arnold et al 2008

\[
lp \uparrow \rightarrow l\pi^+ X \simeq \Delta^N u \otimes D_{u/\pi^+} > 0
\]

\[
lp \uparrow \rightarrow l\pi^- X \simeq 4\Delta^N u \otimes D_{u/\pi^-} + \Delta^N d \otimes D_{d/\pi^-} \simeq 0
\]

\[
lD \uparrow \rightarrow l\pi^+ X \simeq (\Delta^N u + \Delta^N d) \otimes D_{u/\pi^+} \simeq 0
\]
Sivers functions

\[ \Delta^N f_q^{(1)}(x) \equiv \int d^2 k_\perp \frac{k_\perp}{4m_p} \Delta^N f_q/p^\uparrow(x, k_\perp) = -f_{1T}^{\perp(1)}q(x). \]

Sivers functions for \( u, \, d \) and sea quarks are extracted from HERMES and COMPASS data. \( \Delta^N f_u > 0, \, \Delta^N f_d < 0 \), first hints on nonzero sea quark Sivers functions.
There is a number of model calculations of Sivers function:

Pasquini and Yuan (2010)

Alessandro Bacchetta et al. (2010)

Reasonable agreement of the extracted Sivers functions:
- Anselmino et al. (2009)
- Collins et al. (2005)

See talk of Barbara Pasquini for model calculation results.
Three dimensional picture of the proton

The proton moves along $-Z$ direction (into the screen) and $S_T$ is along $Y$.

This is the three dimensional view of the proton as “seen” by the virtual photon. 
Red color – more quarks. Blue color – less quarks. Distributions of quarks are not symmetrical and shifted due to final state interactions.

$x = 0.2$
Sivers functions for $u$, $d$ and sea quarks are extracted from HERMES and COMPASS data. Red color – more quarks. Blue Color – less quarks. Sivers functions is a left – right asymmetry of quark distribution. $x = 0.01$
More information on sea quarks. Future Electron Ion Collider and JLab will contribute.
8 Transverse Momentum Dependent functions describe spin structure of the proton at twist-2.

- Spin Asymmetries are used to study TMDs experimentally.
- T-odd TMDs: Sivers and Boer-Mulders functions have modified universality, they change sign from SIDIS to DY.
- HERMES, COMPASS, JLAB, RHIC, and BELLE provide lots of experimental data for TMD extraction.
- Model and lattice QCD calculations of TMDs are possible and match well with TMDs extracted from the experimental data.
- Future facilities such as JLab @ 12 GeV, Electron Ion Collider and GSI will contribute to unravel three dimensional structure of the proton.
CONCLUSIONS

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