## "T-Odd Transverse Quark-Spin Effects"

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Inclusive and Semi-Inclusive Spin Physics with High Luminosity and Large Acceptance at 11 GeV



- Remarks Transverse Spin effects in TSSAs and AAs in QCD
- Reaction Mechanisms: Colinear-limit ETQS-Twist Three, Beyond Co-lineararity ISI/FSI Twist Two
- $\star$  Unintegrated PDF "T-odd" TMDs Distribution and Fragmentation Functions Correlations between intrinsic  $k_{\perp}$ , transverse spin  $S_T$
- $\star$  T-odd cos 2 $\phi$  asymmetry in SIDIS & DRELL-YAN
- Conclusions

G. R. Goldstein (Tufts), Andreas Metz, Marc Schlegel (Bochum), A. Bacchetta (DESY), A. Mukherjee (ITT, Bombay), D.S. Hwang (Seoul) PENNSTATE 4: SIDIS Spin Physics, TJNAF- December 14<sup>th</sup> 2006



• 
$$|\perp/\top > = \frac{1}{\sqrt{2}} (|+>\pm i|->) \Rightarrow A_N = \frac{d\hat{\sigma}^{\perp} - d\hat{\sigma}^{\top}}{d\hat{\sigma}^{\perp} + d\hat{\sigma}^{\top}} \sim \frac{2 \operatorname{Im} f^* + f^-}{|f^+|^2 + |f^-|^2}$$

- ★ Requires relative phase btwn helicity amps
- "TRIVIALITY" QCD interactions conserve helicity  $m_q \rightarrow 0$ & Born amplitudes are real!
- \* Generically, Interference btwn loops-tree level  $A_N \sim m_q \alpha_s/P_T$ Kane, Repko, PRL:1978

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# Early test- $\Lambda$ Production ( $pp \to \Lambda^{\uparrow} X$ ) Dharmartna & Goldstein PRD 1990

- Need strange quark to polarize a  $\Lambda$ 

$$P_{\Lambda} = \frac{d\sigma^{pp \to \Lambda^{\uparrow} X} - d\sigma^{pp \to \Lambda^{\downarrow} X}}{d\sigma^{pp \to \Lambda^{\uparrow} X} + d\sigma^{pp \to \Lambda^{\downarrow} X}}$$



Phases in hard part interference of loops and tree level

- Polarization  $P_{\Lambda} \sim m_{\rm s} \alpha_s / P_T$ -twist 3 & small  $\approx 5\%$  as predicted
- Experiment glaringly at odd with this result
   P<sub>Λ</sub> in p - p scattering-Fermi Lab
   Heller,...,Bunce PRL:1983

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## LARGE TSSAS OBSERVED: E704-Fermi Lab, RHIC $p^{\uparrow}p \rightarrow \pi X$

L-R asymmetry of  $\pi$  production and  $A_N$  for  $\pi_0$  production at STAR : PRL 2004





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## **HERMES** SIDIS $e \ p^{\uparrow} \to \pi \ X$









## **Azimuthal Asymmetry–Unpolarized DRELL YAN**



E615, Conway et al. 1986, NA10, ZPC, 1986

#### QCD-Parton Model doesn't account for large "AA"

$$\lambda,\ \mu,\ 
u$$
 depend on  $s,x,m^2_{\mu\mu},p_T$ 

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$$\frac{dN}{d\Omega} = \left(\frac{d\sigma}{d^4q}\right)^{-1} \frac{d\sigma}{d^4q d\Omega} = \frac{3}{4\pi} \frac{1}{\lambda+3} \left(1 + \lambda \cos^2\theta + \mu \sin^2\theta \cos\phi + \frac{\nu}{2} \sin^2\theta \cos 2\phi\right)$$

- NNLO QCD predict Lam-Tung relation  $1 \lambda 2\nu = 0$ (Mirkes Ohnemus, PRD 1995)
- AND unexpected large  $\cos 2\phi \nu \sim 10 30\%$  AA



Lam-Tung Relationship Violated

## When $P_T >> \Lambda_{qcd}$ Co-linear Twist Three Mechanism

Phases generated poles in propagator of hard parton subprocess Efremov & Teryaev :PLB 1982

- \* Get helicity flips and phases,  $m_q \rightarrow \sim M_H$  and \*  $\alpha_s \rightarrow$  correlation function-*Sounds familiar* !
- $\Delta \sigma \sim f_a \otimes T \otimes H_{ETQS} \otimes D^{q \to \pi}$  Factorized co-linear QCD Qiu & Sterman :PLB 1991, 1999 Koike & Kanazawa:PLB 2000, Ji,Qiu,Vogelsang,Yuan:PR 2006





## $p_T \sim k_\perp$ TSSAs thru " $T ext{-Odd}$ " TMD

• Sivers PRD: 1990, Anselmino & Murgia PLB: 1995 TSSA associated with "T-odd" correlation of *transverse* spin and momenta Correlation accounts for left-right TSSA



 $\Delta \sigma \sim D \otimes f \otimes \Delta f^{\perp} \otimes \hat{\sigma}_{Born} \quad i \mathbf{S}_T \cdot (\mathbf{P} \times \mathbf{k}_{\perp}) \to f_{1T}^{\perp}(x, \mathbf{k}_{\perp})$ 

• SIDIS w/ transverse polarized nucleon target  $e p^{\perp} \rightarrow \pi X$ Brodsky, Hwang, Schmidt PLB: 2002 FSI produce phase in TSSAs-*Leading Twist* Ji, Yuan PLB: 2002 -Sivers fnct. FSI emerge from Color Gauge-links



• <u>Collins NPB 1993</u> "*T*-odd" correlation transversely polarized fragmenting quark: TSSA in lepto-production  $i\mathbf{s}_T \cdot (\mathbf{p} \times \mathbf{P}_{\pi\perp}) \to H_1^{\perp}(z, \mathbf{p}_{\perp})$ 

## "T-odd" Correlation of Transversely polarized quark

### Transversity w/o Target Polarization

Boer and Mulders PRD: 1998 "T-odd" correlation of transversely polarized quark spin with intrinsic  $\mathbf{k}_{\perp}$   $i\mathbf{s}_{T} \cdot (\mathbf{k}_{\perp} \times \mathbf{P}) \rightarrow h_{1}^{\perp}(x, \mathbf{k}_{\perp})$ 



- \* Boer, Mulders PRD: 1998  $\cos 2\phi$ -AA in unpolarized lepto-production  $e P \rightarrow e' \pi X$
- \* Boer PRD: 1999 Drell Yan:  $\pi^- + p \rightarrow \mu^+ + \mu^- + X$  or  $\bar{p} + p \rightarrow \mu^- \mu^+ + X$  (cleaner-no Fragmentation)

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### Provide source of T-Odd Contributions to TSSA and AA

• "T-odd" distribution-fragmentation functions enter transverse momentum dependent correlators at *leading twist* Boer, Mulders: PRD 1998

$$\Delta(z, \boldsymbol{k}_{\perp}) = \frac{1}{4} \{ D_1(z, \boldsymbol{k}_{\perp}) \not n_- + H_1^{\perp}(z, \boldsymbol{k}_{\perp}) \frac{\sigma^{\alpha\beta} k_{\perp\alpha} n_{-\beta}}{M_h} + D_{1T}^{\perp}(z, \boldsymbol{k}_{\perp}) \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^{\mu} n_{-}^{\nu} k_{\perp}^{\rho} S_{hT}^{\sigma}}{M_h} + \cdots \}$$

$$\Phi(x, \boldsymbol{p}_{\perp}) = \frac{1}{2} \{ f_1(x, \boldsymbol{p}_{\perp}) \not n_+ + h_1^{\perp}(x, \boldsymbol{p}_{\perp}) \frac{\sigma^{\alpha\beta} p_{T\alpha} n_{+\beta}}{M} + f_{1T}^{\perp}(x, \boldsymbol{p}_{\perp}) \frac{\epsilon^{\mu\nu\rho\sigma} \gamma^{\mu} n_{+}^{\nu} p_{\perp}^{\rho} S_T^{\sigma}}{M} \cdots \}$$
SUDIS every position

SIDIS cross section

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$$\begin{aligned} d\sigma_{\{\lambda,\Lambda\}}^{\ell N \to \ell \pi X} &\propto f_1 \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes D_1 + \frac{k_\perp}{Q} f_1 \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes D_1 \cdot \cos \phi \\ &+ \left[ \frac{k_\perp^2}{Q^2} f_1 \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes D_1 + h_1^\perp \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes H_1^\perp \right] \cdot \cos 2\phi \\ &+ |S_T| \cdot h_1 \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes H_1^\perp \cdot \sin(\phi + \phi_S) \quad \text{Collins} \\ &+ |S_T| \cdot f_{1T}^\perp \otimes d\hat{\sigma}^{\ell q \to \ell q} \otimes D_1 \cdot \sin(\phi - \phi_S) \quad \text{Sivers} \\ &+ \cdots \end{aligned}$$

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## Factorization For TMD- PDF and FF and Hard Soft Parts

Ji, Ma, Yuan: PLB, PRD 2004, 2005 building on Collins-Soper NPB: 81, extend factorization theorems to 1-loop-beyond



Collins and Metz: PRL 2005, Universality & Factorization "Maximally" Correlated



## **T-Odd Effects Incorp. Color Gauge Invariant Factorized QCD** leading twist thru Wilson Line

Gauge Invariant Distribution and Fragmentation Functions Boer, Mulders: NPB 2000, Ji et al PLB: 2002, NPB 2003, Boer et al NPB 2003



Sub-class of loops in eikonal limit sum up to yield color gauge invariant hadronic tensor factorized into distribution  $\Phi$  and fragmentation  $\Delta$  operators

$$\begin{split} \Phi(p,P) &= \int \frac{d^3\xi}{2(2\pi)^3} e^{ip\cdot\xi} \langle P|\overline{\psi}(\xi^-,\xi_\perp) \mathcal{G}_{[\xi^-,\infty]}^{\dagger} |X\rangle \langle X|\mathcal{G}_{[0,\infty]}\psi(0)|P\rangle|_{\xi^+} = 0 \\ \Delta(k,P_h) &= \int \frac{d^3\xi}{4z(2\pi)^3} e^{ik\cdot\xi} \langle 0|\mathcal{G}_{[\xi^+,-\infty]}\psi(\xi)|X;P_h\rangle \langle X;P_h|\overline{\psi}(0)\mathcal{G}_{[0,-\infty]}^{\dagger}|0\rangle|_{\xi^-} = 0 \\ \mathcal{G}_{[\xi,\infty]} &= \mathcal{G}_{[\xi_T,\infty]}\mathcal{G}_{[\xi^-,\infty]}, \quad \text{where} \quad \mathcal{G}_{[\xi^-,\infty]} = \mathcal{P}exp(-ig\int_{\xi^-}^{\infty} d\xi^-A^+) \end{split}$$

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### $\cos 2\phi$ Asymmetry Convolution of ISI & FSI thru Gauge link

G. Goldstein, L.G.-ICHEP-2002 hep-ph/0209085, L.G,G.G., Oganessyan PRD:2003, Como-PROC. 2006



 $\frac{d\sigma}{dxdydzd^2P_{\perp}} \propto f_1 \otimes D_1 + \frac{k_T}{Q}f_1 \otimes D_1 \cdot \cos\phi + \left[\frac{k_T^2}{Q^2}f_1 \otimes D_1 + h_1^{\perp} \otimes H_1^{\perp}\right] \cdot \cos 2\phi$ 

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# Estimates of T-odd Contribution in SIDIS (HERMES, JLAB 6 & 12 GeV program)

### $\cos 2\phi$ Asymmetry in SIDIS-Boer Mulders Effect

 Spectator framework in BHS Ji-Yuan point-like nucleon-quark-diquark vertex logarithmically divergent asymmetries, Goldstein, L.G., ICHEP 2002; hep-ph/0209085)

$$h_1^{\perp(s)}(x,k_{\perp}) = f_{1T}^{\perp(s)}(x,k_{\perp})$$

- Asymmetry-weighted function  $h_1^{(1)\perp}(x) \equiv \int d^2k_{\perp} \frac{k_{\perp}^2}{2M^2} h_1^{\perp}(x,k_{\perp}^2)$  diverges
- Gaussian Distribution in  $k_{\perp}$  L.G., Goldstein, Oganessyan, PRD 67 (2003)

$$h_1^{\perp}(x,k_{\perp}) = \alpha_s \mathcal{N}_s \frac{M(m+xM)(1-x)}{k_{\perp}^2 \Lambda(k_{\perp}^2)} \mathcal{R}(k_{\perp}^2,x)$$

with

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$$\mathcal{R}(k_{\perp}^2, x) = \exp^{-2b(k_{\perp}^2 - \Lambda(0))} \left( \Gamma(0, 2b\Lambda(0)) - \Gamma(0, 2b\Lambda(k_{\perp}^2)) \right)$$

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## Quark Transversity, Boer Mulders Function GPDs-Impact Parameter TMDs

• Correlations transverse-spin & intrinsic  $k_{\perp}$  serves fix sign Boer Mulders



- $\delta q^X(x, \mathbf{b}_{\perp}) \leftrightarrow h_1^{\perp q}$  WHERE  $\delta q^X(x, \mathbf{b}_{\perp}) = -\frac{1}{2M} \frac{\partial}{\partial b_y} (2 \tilde{\mathcal{H}}_T(x, \mathbf{b}_{\perp}) + \mathcal{E}_T(x, \mathbf{b}_{\perp}))$  $\star d_y^q = \int dx \int d^2 \mathbf{b}_{\perp} \delta q^X(x, \mathbf{b}_{\perp}) b_y = \kappa_T^q / 2M$
- *Transverse distortion* in impact parameter space of transversly polarized quarks in an unpolarized nucleon Burkardt PRD 2005, Diehl, Hägler EPJC 2005
- ★ Implies up and down quark Boer Mulders function-same sign!

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## Deformed quark densities and spin asymmetries



Spectator Framework: Boer-Mulders  $h_1^{\perp(1/2)}$  and Unpolarized  $f_1(x)$ 

$$f_1(x) = \frac{g^2}{(2\pi)^2} \left(1 - x\right) \cdot \left\{ \frac{(m + xM)^2 - \Lambda(0)}{\Lambda(0)} - \left[ 2b \left( (m + xM)^2 - \Lambda(0) \right) - 1 \right] e^{2b\Lambda(0)} \Gamma(0, 2b\Lambda(0)) \right\}$$

- ★ Valence Normalization,  $\int_0^1 u(x) = 2$ ,  $\int_0^1 d(x) = 1$
- Black curve- xu(x)
- Dashed curve xu(x) GRV
- Red/Blue curve  $xh_1^{\perp(1/2)(u,d)}$





## **Pion Fragmentation Function**

$$D_1(z) = \mathcal{N}' \frac{(1-z)}{z^2} \Big\{ \frac{m^2 - \Lambda'(0)}{\Lambda'(0)} - \Big[ 2b' \left( m^2 - \Lambda'(0) \right) - 1 \Big] e^{2b' \Lambda'(0)} \Gamma(0, 2b' \Lambda'(0)) \Big\}$$

Normalized to Kretzer, PRD: 2000





### Gauge Link Contribution to *T*-Odd Collins Function

L.G., Goldstein, Oganessyan PRD68, 2003  $\Delta^{[\sigma^{\perp}-\gamma_5]}(z,k_{\perp}) = \frac{1}{4z} \int dk^+ Tr(\gamma^-\gamma^{\perp}\gamma_5\Delta)|_{k^-=P_{\pi}^-/z}$ 



Motivation:color gauge .inv frag. correlator "pole contribution" Gribov-Lipatov Reciprocity 1974 Mulders et al. 1990s Bacchetta, L.G., Goldstein, Mukherjee Re-analysis and Kaons

$$H_{1}^{\perp}(z,k_{\perp}) = \mathcal{N}' \alpha_{s} \frac{(1-z)}{z^{2}} \frac{\mu - m(1-z)}{z} \frac{M_{\pi}}{k_{\perp}^{2} \Lambda'(k_{\perp}^{2})} \mathcal{R}(z, \mathbf{k}_{\perp}^{2})$$



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 $\frac{H}{D}$  **Plots** 

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## S-Channel Cut-COMO Proceedings 2006



$$H_1^{\perp}(z,k_{\perp}) = \mathcal{N}'' lpha_s rac{M_{\pi}}{4z} (1-z) rac{\mathcal{I}_1(z,P_{\perp}^2) + \mathcal{I}_2(z,P_{\perp}^2)}{\Lambda'(P_{\perp}^2)P_{\perp}^2} \,,$$

where

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$$\mathcal{I}_{1} = \pi (\mu - m(1-z)) \frac{E_{\pi} + P \cos \theta}{P + E_{\pi} \cos \theta} \left[ \ln \frac{(P + E_{\pi} \cos \theta)^{2}}{\mu^{2}} - \cos \theta \ln \frac{4P^{2}}{\mu^{2}} \right]$$
$$\mathcal{I}_{2} = \pi z m \frac{P \sin^{2} \theta}{E_{\pi} - P \cos \theta} \ln \frac{4P^{2}}{\mu^{2}},$$

 $P \equiv |\mathbf{P}_h|$  and  $P_{\perp}^2 = k_{\perp}^2/z^2$ . As in the case of the "gluonic pole" contribution, survives the limit that incoming quark mass  $m_q \rightarrow 0$ . Results depend the non-perturbative correlator mass  $\mu \chi SB$ .

### **Collins Asymmetry**

L.G., Goldstein, Oganessyan PRD 2003: updated For the HERMES kinematics  $1 \text{ GeV}^2 \le Q^2 \le 15 \text{ GeV}^2$ ,  $4.5 \text{ GeV} \le E_{\pi} \le 13.5 \text{ GeV}$ ,  $0.2 \le x \le 0.41$ ,  $0.2 \le z \le 0.7$ ,  $0.2 \le y \le 0.8$ ,  $\langle P_{h\perp}^2 \rangle = 0.25 \text{ GeV}^2$ 

$$\langle \frac{P_{h\perp}}{M_{\pi}} \sin(\phi + \phi_s) \rangle_{UT} = |S_T| \frac{2(1-y) \sum_q e_q^2 h_1(x) z H_1^{\perp(1)}(z)}{(1+(1-y)^2) \sum_q e_q^2 f_1(x) D_1(z)}.$$

Data from A. Airapetian et al. PRL94,2005





## **T-odd** $\cos 2\phi$ asymmetry

Transversity of quarks inside an unpolarized hadron,  $\cos 2\phi$  SIDIS  $\langle \frac{|P_{h\perp}^2|}{MM_h} \cos 2\phi \rangle_{UU}$ 



## CLAS12 PAC 30-Avakian, Meziani. . . L.G. . .

Model assumption for dis-favored fragmentation  $H_1^{\perp \ (d \to \pi^+)} = -H_1^{\perp \ (u \to \pi^+)}$ 





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## **Boer-Mulders Effect in Unpolarized DRELL YAN** $\cos 2\phi$



Boer PRD: 1999, Boer, Brodsky, Hwang PRD: 2003, L.G., Goldstein 2005

• Leading twist  $\cos 2\phi$  azimuthal asymmetry depends on T-odd distribution  $h_1^{\perp}$ .

$$\nu_2 = \frac{2\sum_a e_a^2 \mathcal{F}\left[\mathcal{W}_2 \frac{h_1^{\perp}(x, \boldsymbol{k}_T) \bar{h}_1^{\perp}(\bar{x}, \boldsymbol{p}_T)}{M_1 M_2}\right]}{\sum_a e_a^2 \mathcal{F}[f_1 \bar{f}_1]}$$

Higher twist comes in Collins SoperPRD: 1977

$$\nu_4 = \frac{\frac{1}{Q^2} \sum_a e_a^2 \mathcal{F} \left[ \mathcal{W}_4 f_1(x, \boldsymbol{k}_\perp) \bar{f}_1(\bar{x}, \boldsymbol{p}_\perp) \right]}{\sum_a e_a^2 \mathcal{F} \left( f_1(x, \boldsymbol{k}_\perp) \bar{f}_1(\bar{x}, \boldsymbol{p}_\perp) \right)}$$

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Perform Convolution integral L.G., Goldstein  $s = 50 \text{ GeV}^2$ , x = [0.2 - 1.0], q = [3.0 - 6.0] GeV,  $q_T = 0 - 2.0 \text{ GeV}$ 

 $q_T^2/Q^2$  corrections  $x_1x_2=rac{Q^2(1+q_T^2/Q^2)}{s}$  $q_T/Q$  can be order 0.5





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## $\cos 2\phi$ JLAB, EIC, GSI, JPARC ...

- Georgi and Mendez 1975, gluon PQCD ".. gluon bremstrulang competes with convolution of  $h_1^\perp\otimes H_1^\perp$
- Cahn Effect: Chay-Ellis PRD 1995, L.G., Goldstein, Oganessyan DIS03-proc 2003, Barone, Ma, PLB: 2006, Anselmino, Boglione, Prokudin, Turk Chay et al PRD: 95
- Qui Sterman Ji Yuan Vogelsang approach 2006



• Gluon bremstrulang Collins PRL: 1979 competes with convolution of  $h_1^\perp\otimes ar{h}_1^\perp$ 





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$$\langle \cos 2\phi \rangle_{UU} \propto rac{k_{\perp}^2}{Q^2} f_1(x) D_1(z) \pm h_1^{\perp(1)}(x) H_1^{\perp(1)}(z)}{f_1(x) D_1(z) + rac{k_{\perp}^2}{Q^2} f_1(x) D_1(z)}.$$





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## **SUMMARY**

- Going beyond the collinear approximation in PQCD recent progress has been achieved characterizing transverse SSA and azimuthal asymmetries through "rescattering" mechanisms which generate T-odd, intrinsic transverse momentum,  $k_{\perp}$ , dependent *distribution and fragmentation* functions at leading twist
- Central to this understanding is the role that transversity properties of quarks and hadrons pocess terms of correlations between transverse momentum and transverse spin in QCD hard scattering
- The tranversity programs Belle, HERMES, RHIC, have uncovered large effects and near term Hall-A Transversity will start to check flavor structure of T-odd TMDs
- Future experiments to uncover the Boer Mulders function was approved at JLAB Hall B-CLAS12 proposal on  $\cos 2\phi$ . Will also be a check on the Collins function
- ★ Azimuthal asymmetries in Drell Yan and SSA can be measured at GSI-PAX, JPARC as well
- $\star$  Transverse spin effects are more than  $h_1$

