

Quark-Hadron Duality

Rolf Ent

PAC-25 Mini-Workshop on Nucleon Excited States

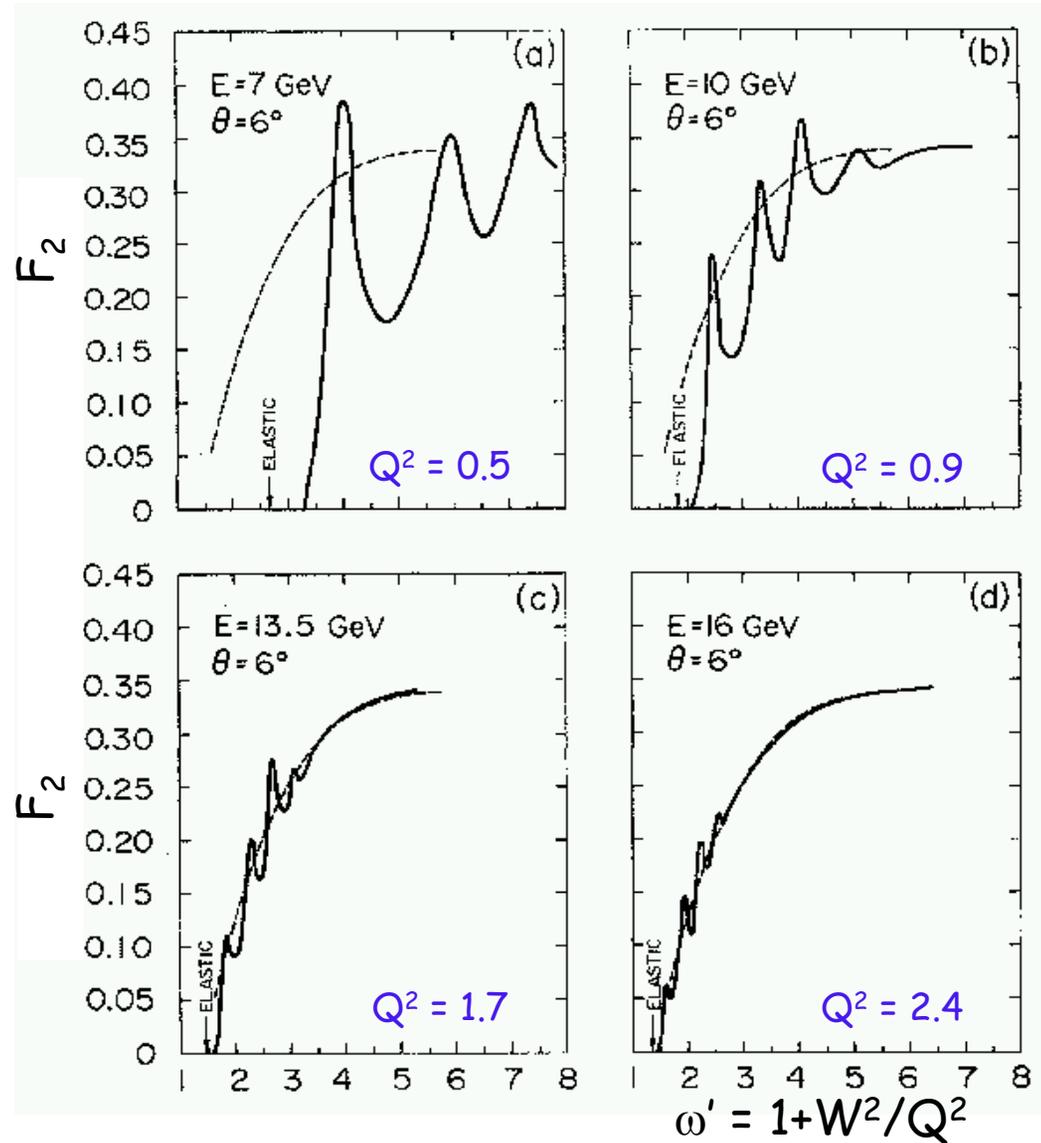
Duality in the F_2 Structure Function

First observed ~1970 by Bloom and Gilman at SLAC by comparing resonance production data with deep inelastic scattering data

- Integrated F_2 strength in Nucleon Resonance region equals strength under scaling curve. Integrated strength (over all ω') is called Bloom-Gilman integral

Shortcomings:

- Only a single scaling curve and no Q^2 evolution (Theory inadequate in pre-QCD era)
- No σ_L/σ_T separation $\rightarrow F_2$ data depend on assumption of $R = \sigma_L/\sigma_T$
- Only moderate statistics



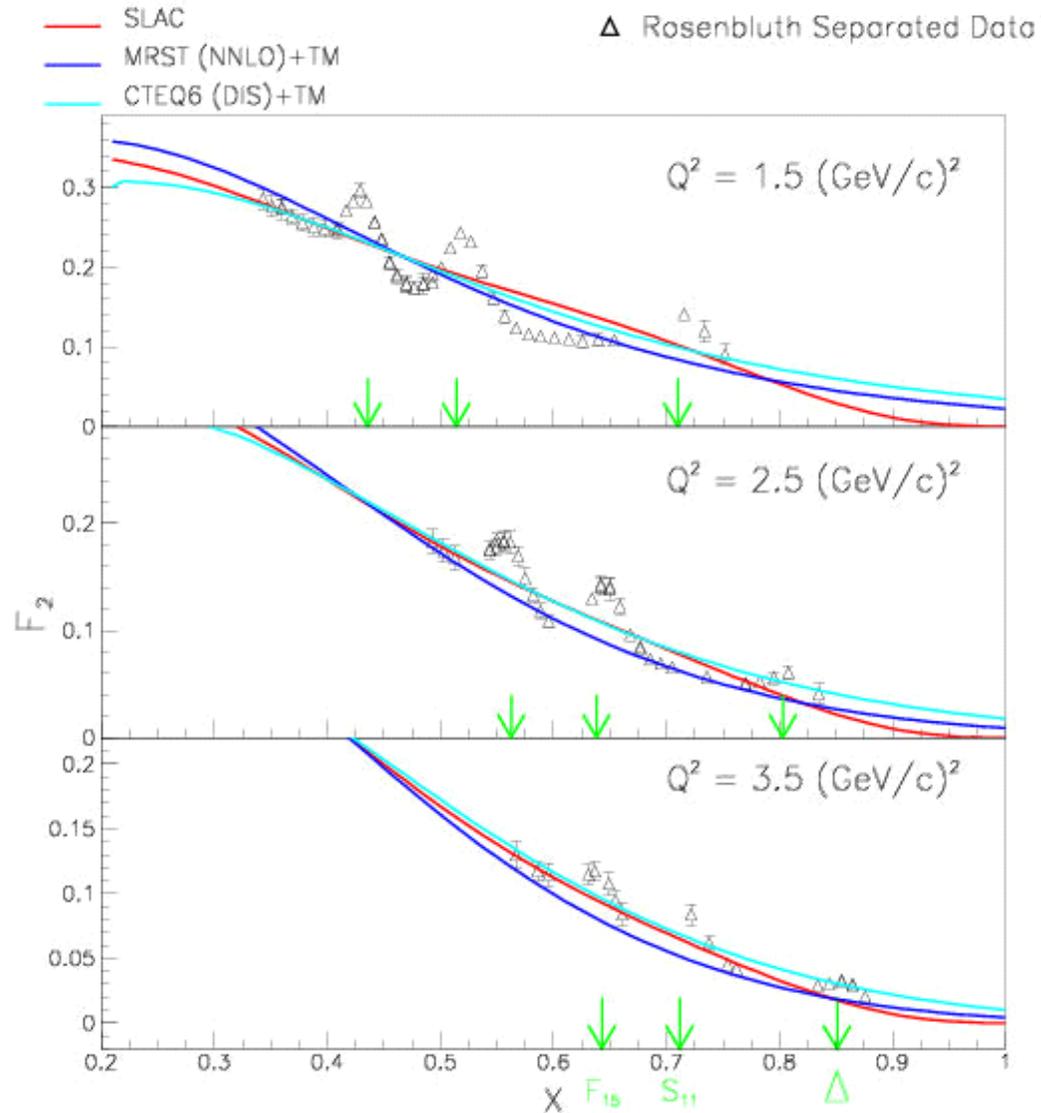
Duality in the F_2 Structure Function

First observed ~1970 by Bloom and Gilman at SLAC

Now can truly obtain F_2 structure function data, and compare with DIS fits or QCD calculations/fits (CTEQ/MRST/GRV)

Use Bjorken x instead of Bloom-Gilman's ω'

- Bjorken Limit: $Q^2, \nu \rightarrow \infty$
- Empirically, DIS region is where logarithmic scaling is observed: $Q^2 > 5 \text{ GeV}^2$, $W^2 > 4 \text{ GeV}^2$
- Duality: Averaged over W , logarithmic scaling observed to work also for $Q^2 > 0.5 \text{ GeV}^2$, $W^2 < 4 \text{ GeV}^2$, resonance regime (note: $x = Q^2/(W^2 - M^2 + Q^2)$)
- JLab results: Works quantitatively to better than 10% at surprisingly low Q^2



Quark-Hadron Duality

complementarity between quark and hadron descriptions of observables

At high enough energy:

Hadronic Cross Sections
averaged over appropriate
energy range

Perturbative
Quark-Gluon Theory

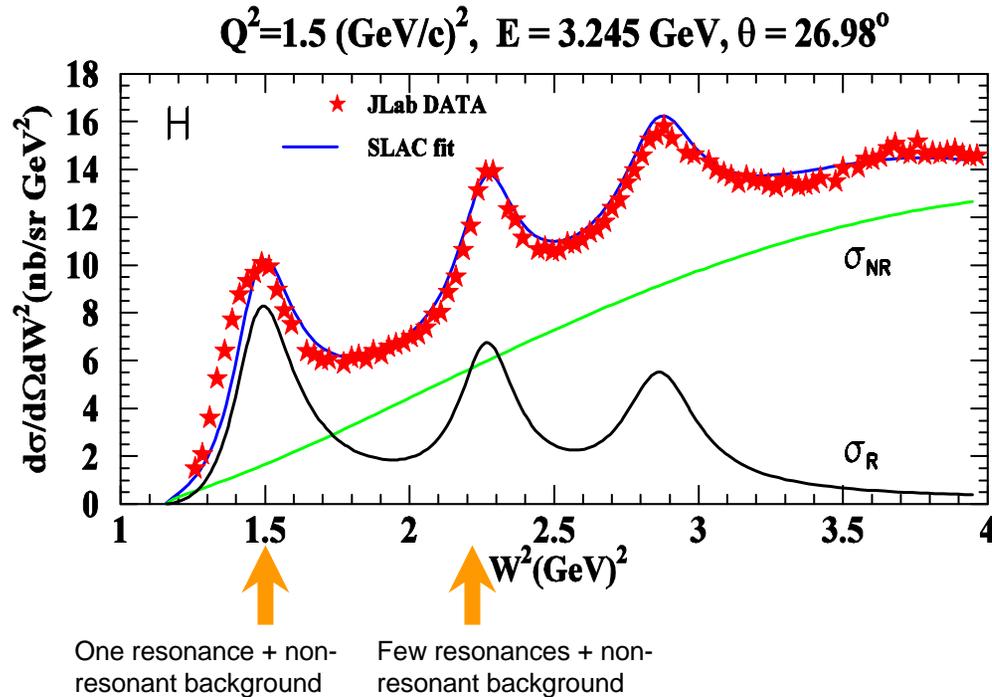
$$\Sigma_{\text{hadrons}} = \Sigma_{\text{quarks+gluons}}$$

Can use **either** set of complete basis states to describe physical phenomena

But why also in limited local energy ranges?

If one integrates over all resonant and non-resonant states, quark-hadron duality should be shown by any model. This is simply unitarity.

However, quark-hadron duality works also, for $Q^2 > 0.5$ (1.0) GeV^2 , to better than 10 (5) % for the F_2 structure function in both the N- Δ region and the N- S_{11} region!



Why does local quark-hadron duality work so well, at such low energies?
 ~ quark-hadron transition

Confinement is local

QCD and the Operator-Product Expansion

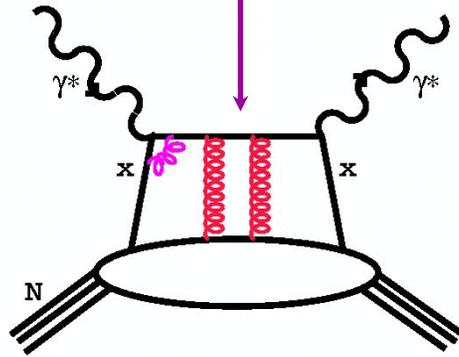
- Moments of the Structure Function $M_n(Q^2) = \int_0^1 dx x^{n-2} F(x, Q^2)$
If $n = 2$, this is the Bloom-Gilman duality integral!

- Operator Product Expansion

$$M_n(Q^2) = \sum_{k=1}^{\infty} (nM_0^2 / Q^2)^{k-1} B_{nk}(Q^2)$$

higher twist

logarithmic dependence



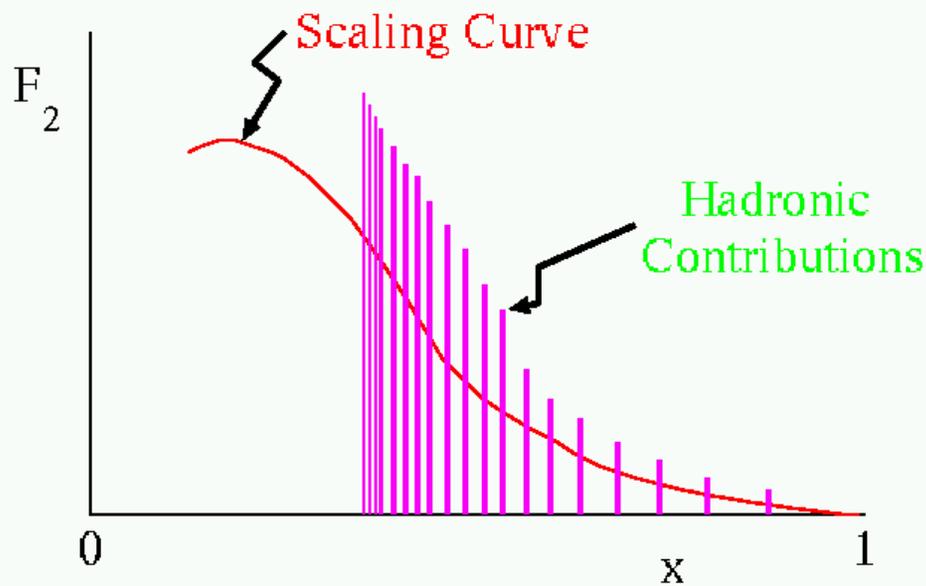
- Duality is described in the Operator Product Expansion
as higher twist effects being small or canceling

DeRujula, Georgi, Politzer (1977)

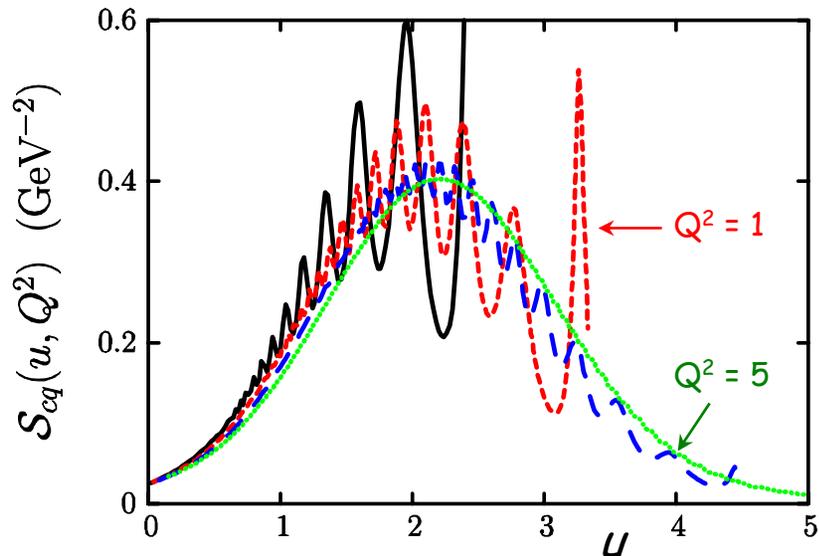
Quark-Hadron Duality – Theoretical Efforts

N. Isgur et al : $N_c \rightarrow \infty$

qq infinitely narrow resonances
 qqq only resonances



One heavy quark, Relativistic HO



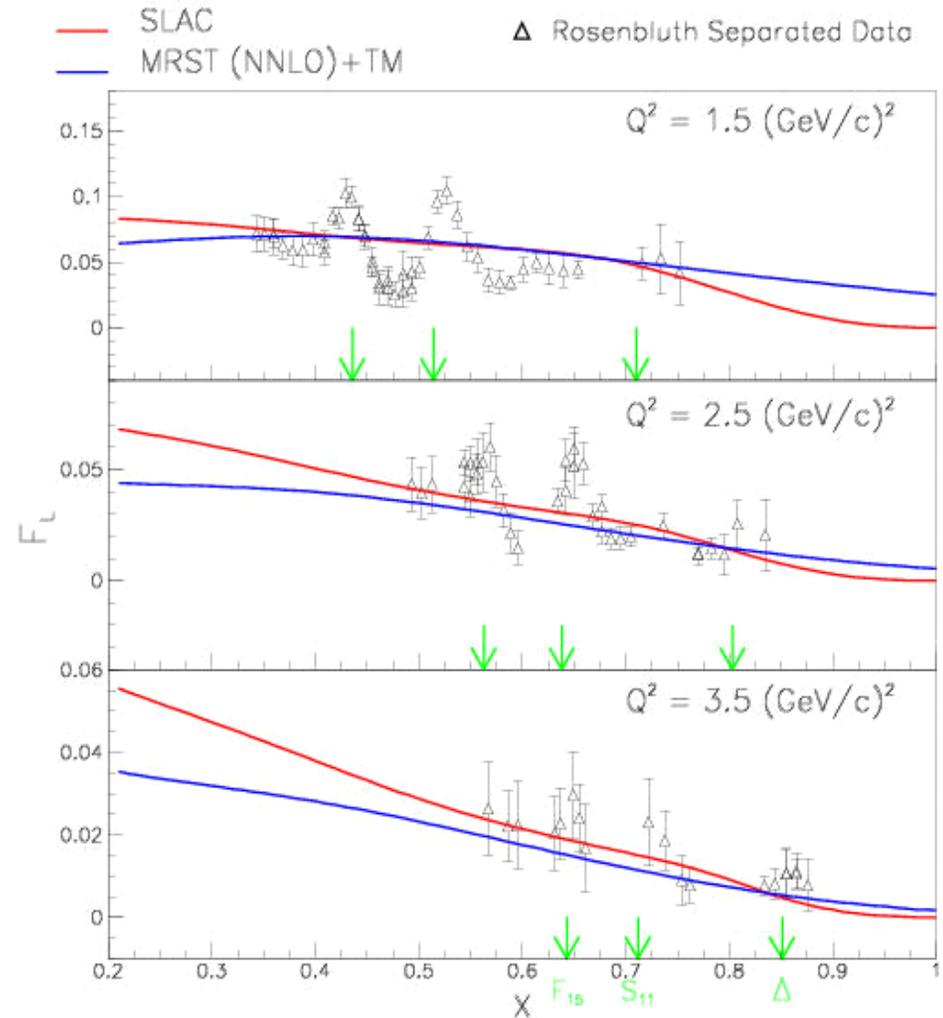
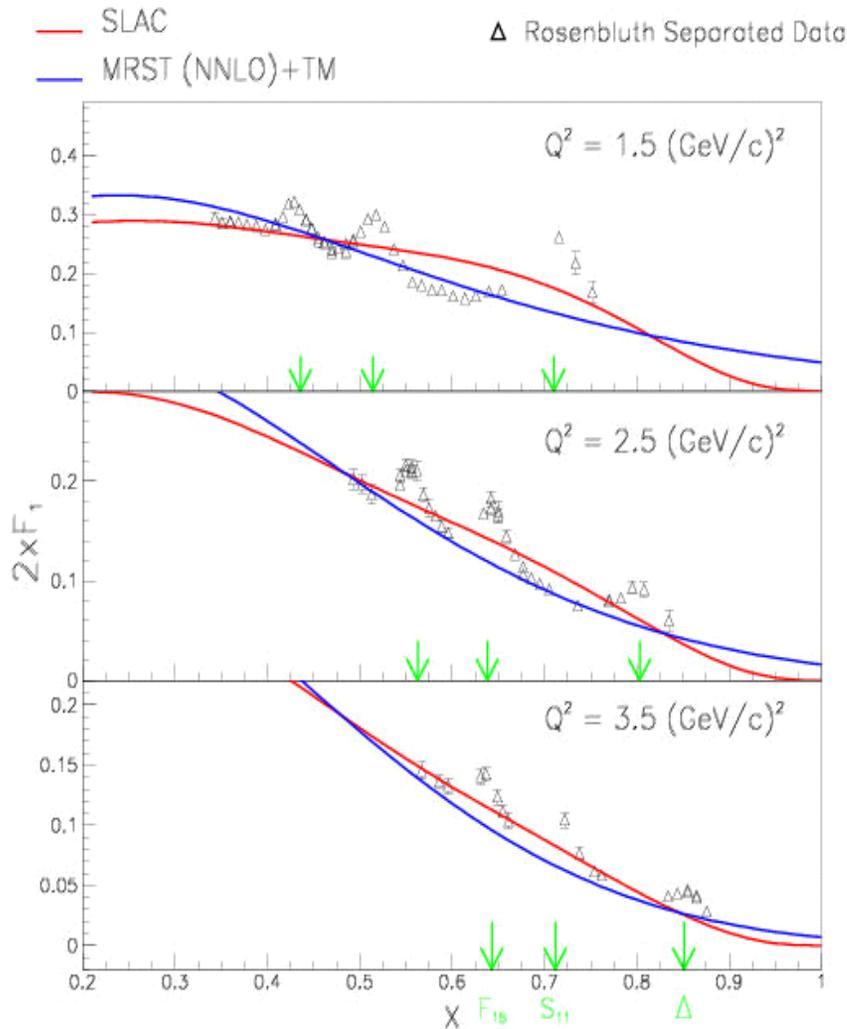
Scaling occurs rapidly!

- Distinction between Resonance and Scaling regions is spurious
- Bloom-Gilman Duality must be invoked even in the Bjorken Scaling region
 → Bjorken Duality

F. Close et al : SU(6) Quark Model

How many resonances does one need to average over to obtain a complete set of states to mimic a parton model?
 → 56 and 70 states o.k. for closure
 → Similar arguments for e.g. DVCS and semi-inclusive reactions

Duality in F_T and F_L Structure Functions

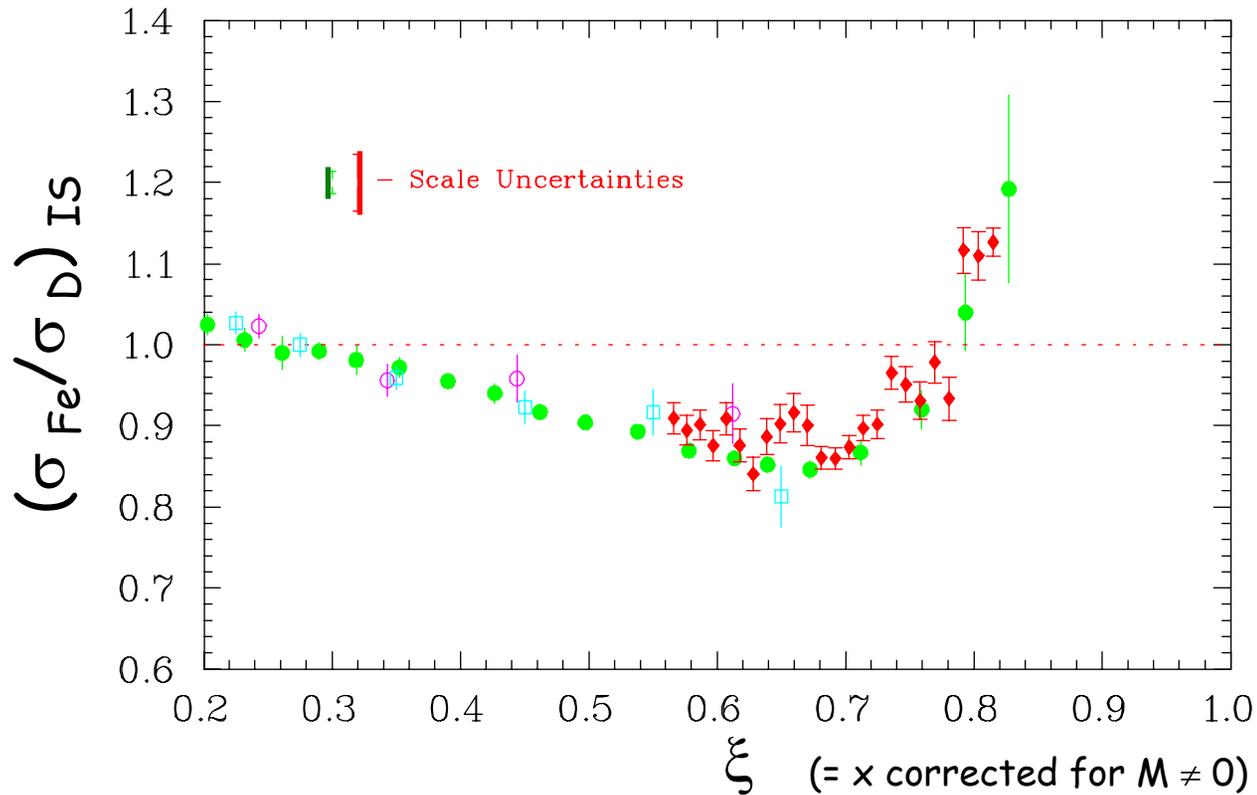


Duality works well for both F_T and F_L above $Q^2 \sim 1.5 \text{ (GeV/c)}^2$

Duality "easier" established in Nuclei

EMC Effect
Fe/D

Resonance
Region Only



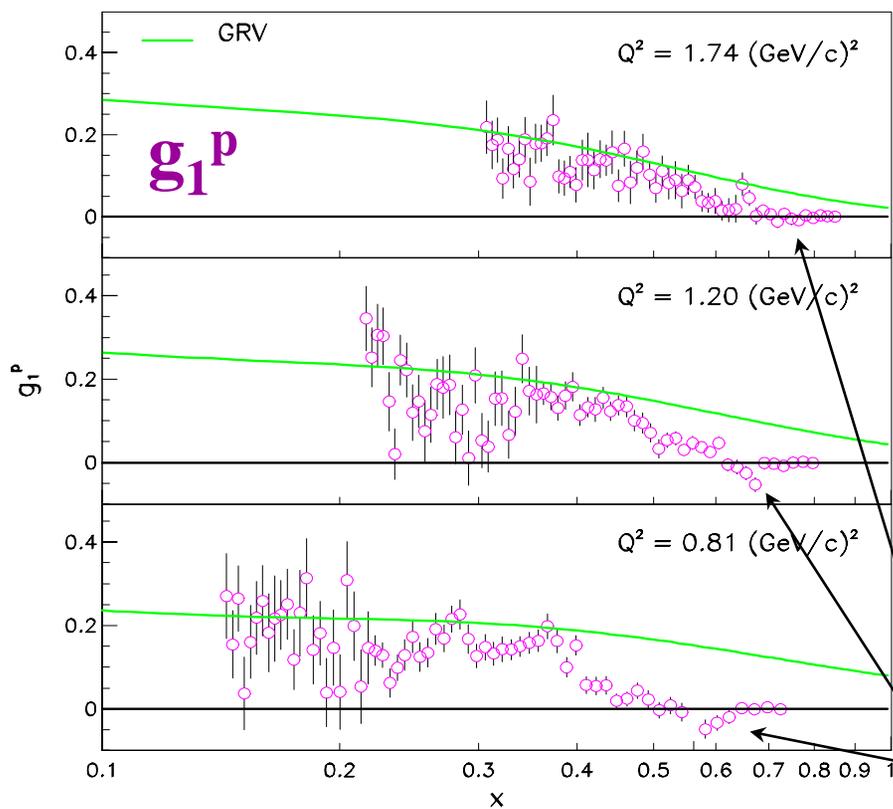
Nucleons have
Fermi motion
in a nucleus



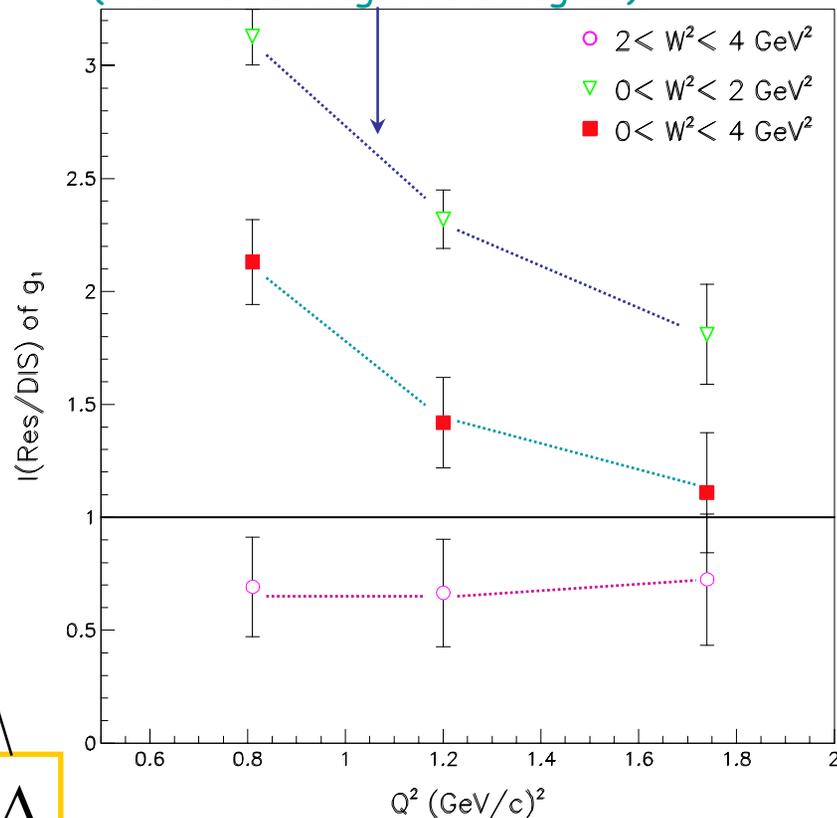
The nucleus does the averaging for you!

... but tougher in Spin Structure Functions

CLAS E01



Pick up effects of both N and Δ
(the Δ is not negative enough...)



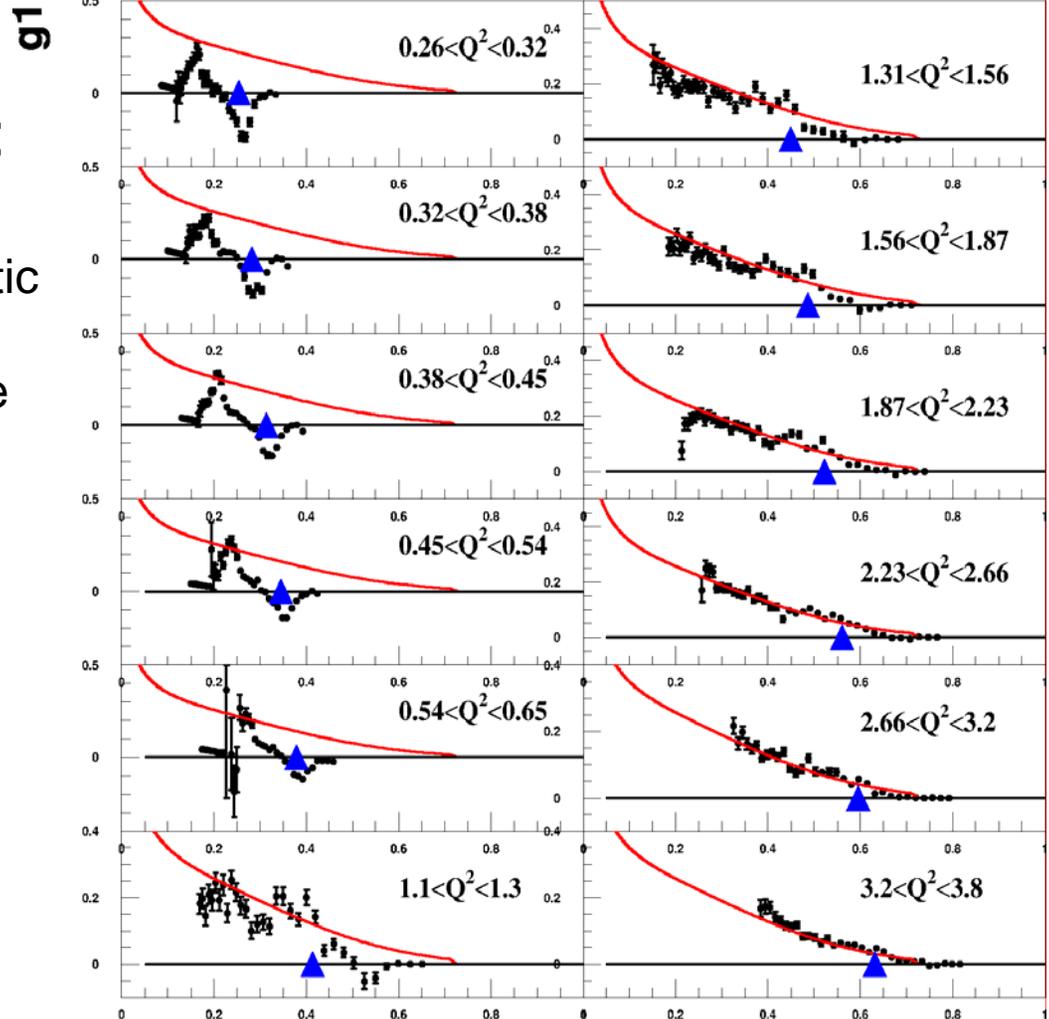
CLAS: N- Δ transition region turns positive at $Q^2 = 1.5 \text{ (GeV/c)}^2$
Elastic and N- Δ transition cause most of the higher twist effects

CLAS – Spin Structure Function $g_{1p}(\xi, Q^2)$

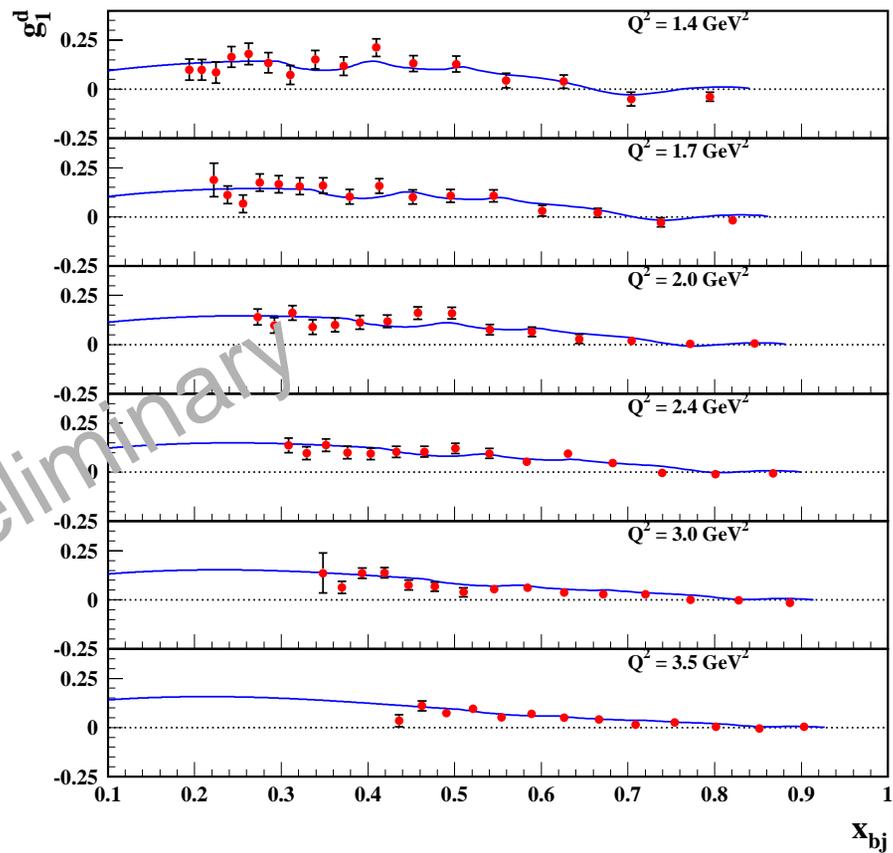
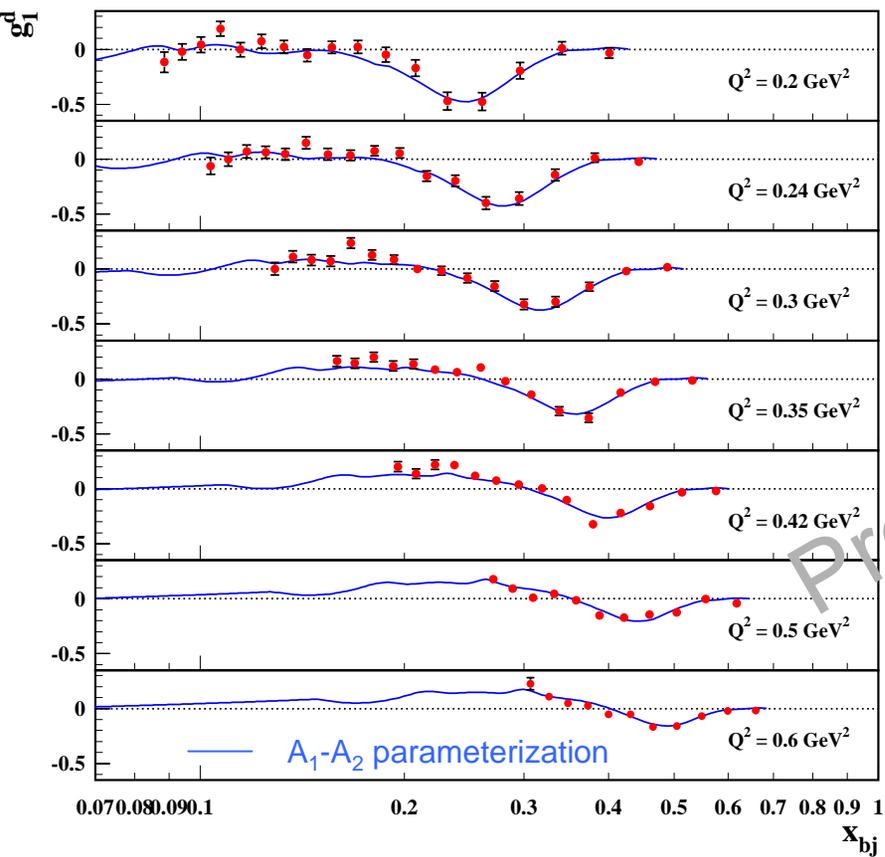
$g_1(\xi)$ of the proton. Duality

Test of Local Spin Duality:

- The presence of a strong magnetic dipole transition in the $\Delta(1232)$ region, and other higher resonance effects shift the onset of LSD to $Q^2 > 1.5 \text{ GeV}^2$.



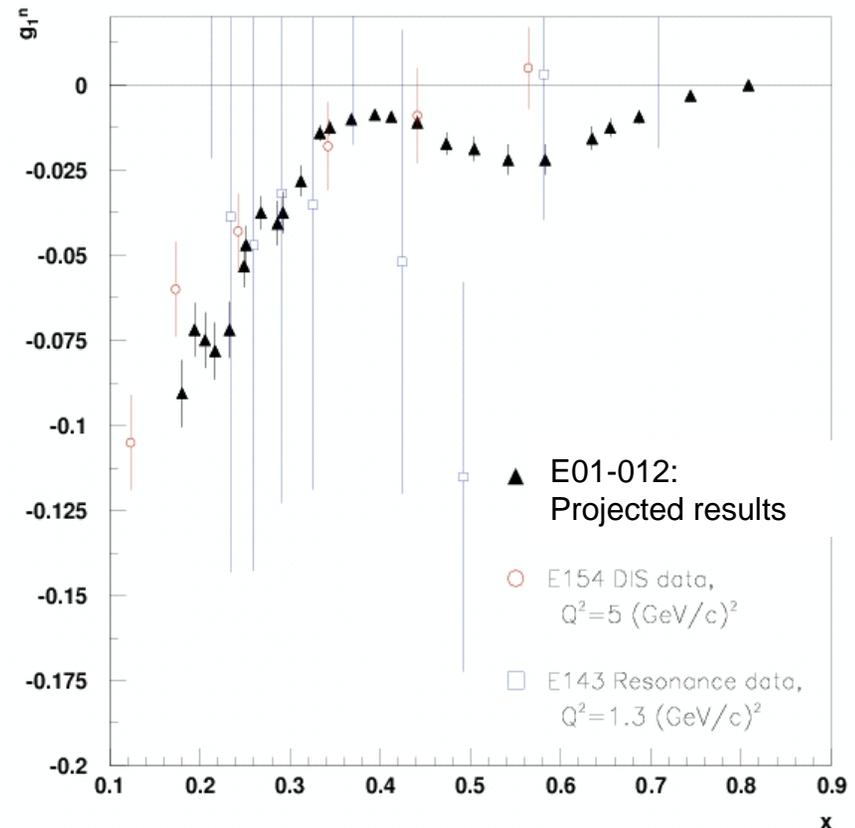
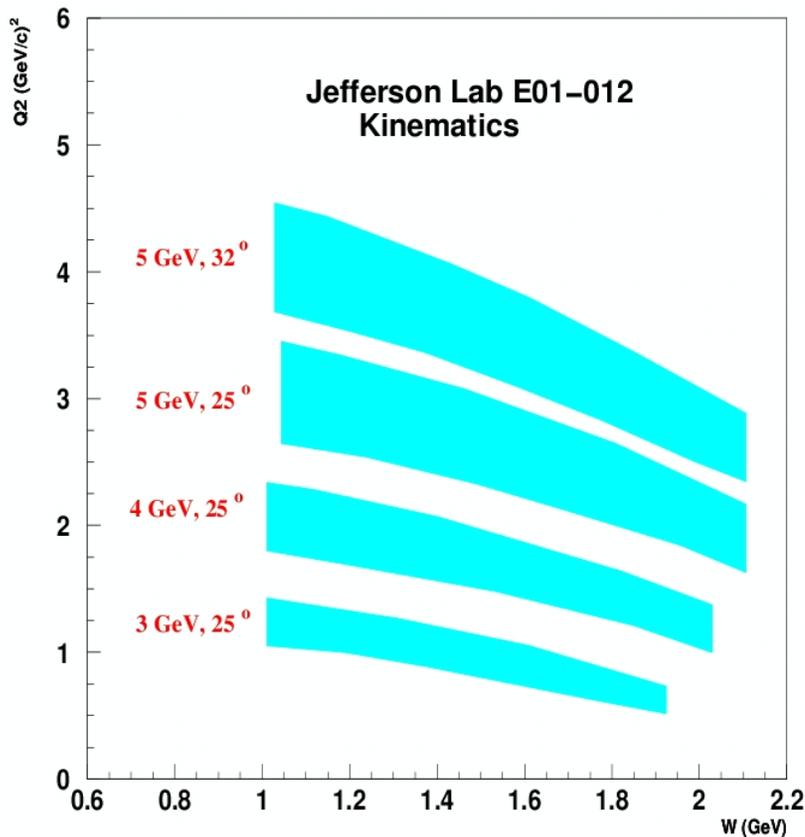
CLAS - $g_1(x, Q^2)$ for the deuteron



Hall A E01-012: Measurement of neutron (^3He) spin structure functions in the resonance region

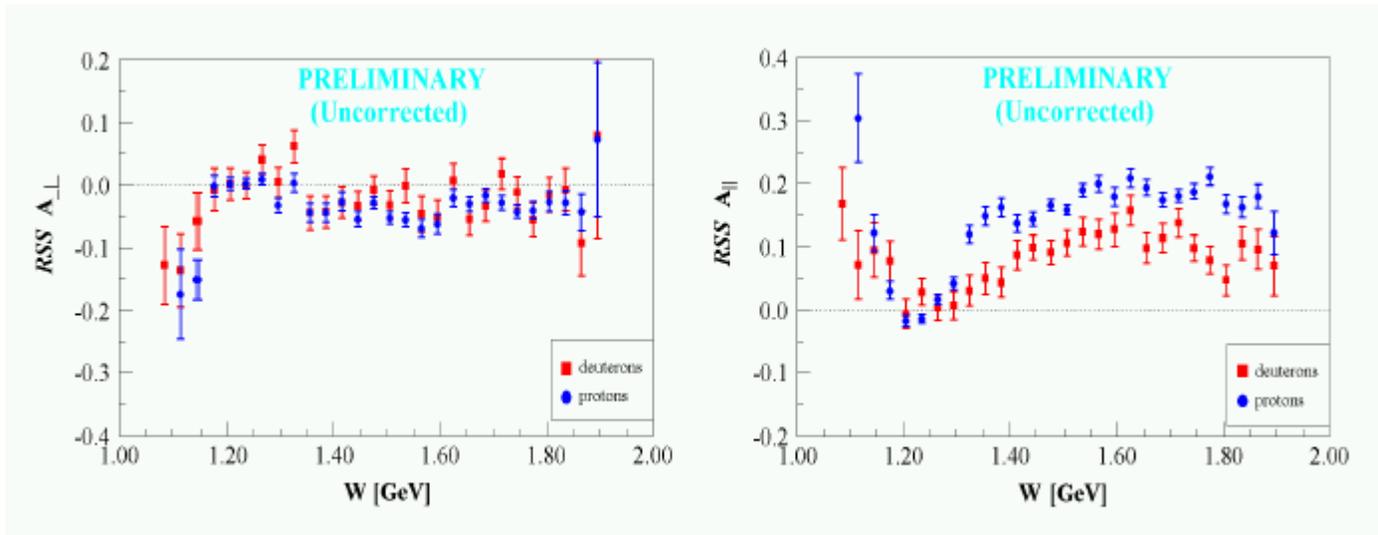
- Ran in January and February, 2003.
- **Data analysis in progress**
- **Preliminary results expected this Spring.**

Projected results shown here (black triangles), compared to published resonance data (blue squares) and DIS data (red circles), are from one of the 4 kinematic settings of the experiment.



Hall C E01-006: Precision Measurement of the Nucleon Spin Structure Functions in the Region of the Nucleon Resonances

Measure proton and deuteron spin asymmetries $A_1(W, Q^2)$ and $A_2(W, Q^2)$ at $Q^2 = 1.3 \text{ GeV}^2$



Equivalently, using F_2 and R , one can determine $g_1(x, Q^2)$ and $g_2(x, Q^2)$

Hall C E03-109: Spin Asymmetries on the Nucleon Experiment

Similar measurements (but using a non-magnetic detector) at $Q^2 \sim 4 \text{ GeV}^2$

Close and Isgur Approach to Duality

Phys. Lett. B509, 81 (2001):

$$\sum q = \sum h$$

Relative photo/electroproduction strengths in SU(6)

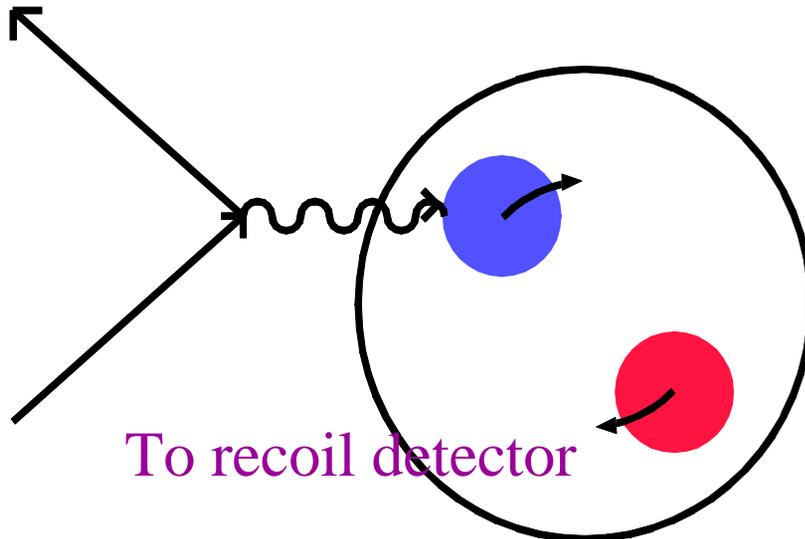
How many states does it take to approximate closure?

Proton $W \sim 1.5$

Neutron $W \sim 1.7$

“The proton – neutron difference is the acid test for quark-hadron duality.”

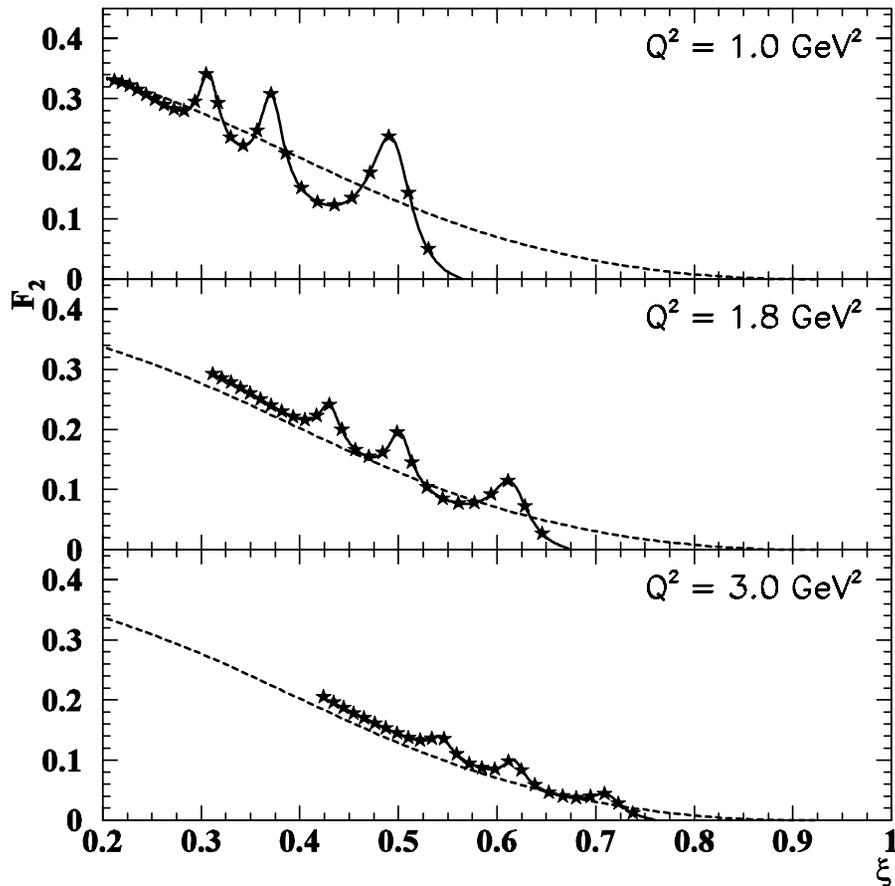
To spectrometer



To recoil detector

The BONUS experiment will measure neutron structure functions.....

Neutron Quark-Hadron Duality – Projected Results (CLAS/BONUS)



Systematics ~5%

- Sample neutron structure function spectra
- Plotted on proton structure function model for example only
- Neutron resonance structure function essentially unknown
- Smooth curve is NMC DIS parameterization

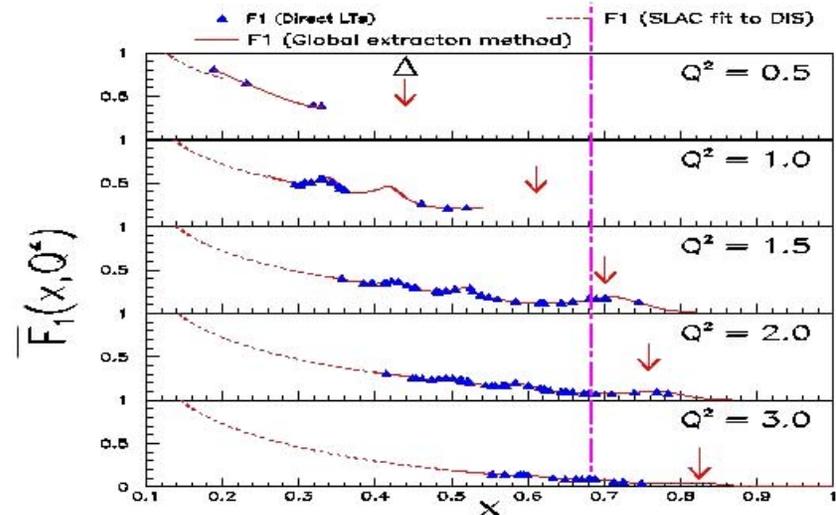
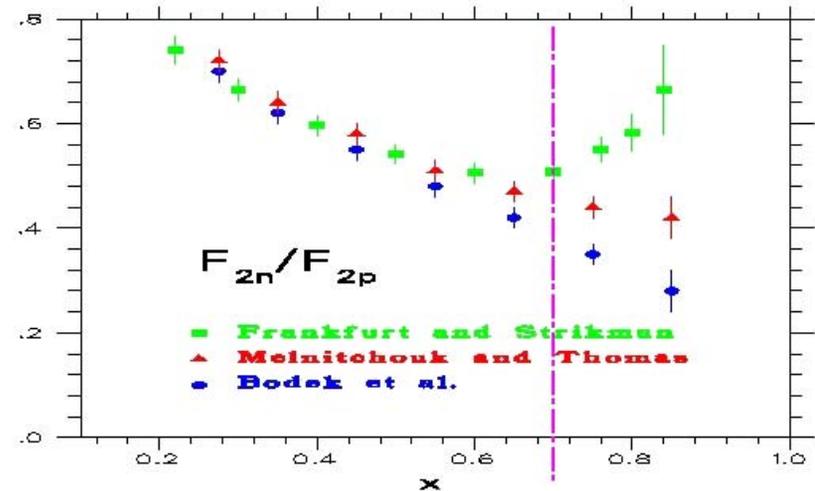
Needs R

Resonance Structure Functions in Deuterium

Hall C experiment E02-109
will measure $R = \sigma_L/\sigma_T$ in
deuterium and separate the
deuterium Structure Functions in
the Resonance Region

\vec{E} also allows for extraction
of
low Q^2 neutron moments

Combine with existing proton
data to extract valence
moments which are calculated
on the lattice at $Q^2 = 4 \text{ GeV}^2$

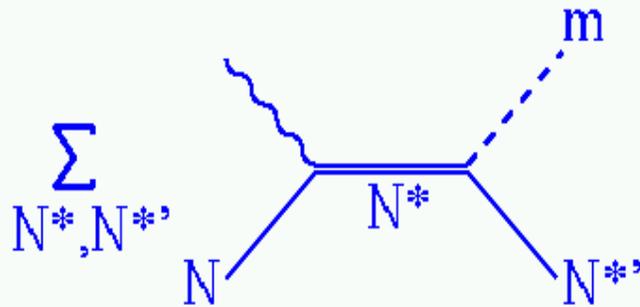


Duality in Meson Electroproduction

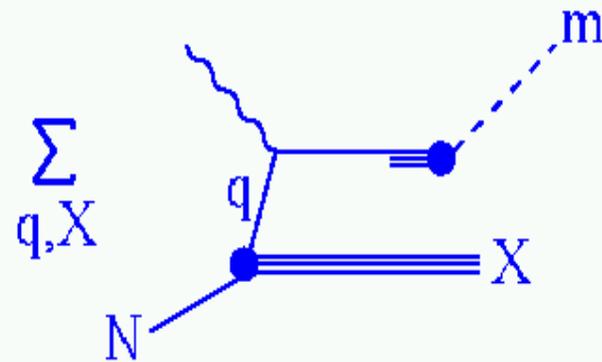
Duality and factorization possible for $Q^2, W^2 \leq 3 \text{ GeV}^2$

(Close and Isgur, Phys. Lett. B509, 81 (2001))

hadronic description



quark-gluon description



Requires non-trivial cancellations of decay angular distributions

If duality is not observed, factorization is questionable

$$d\sigma/dz \cong \sum_i e_i^2 [q_i(x, Q^2) D_{q_i}^m(z, Q^2) + \bar{q}_i(x, Q^2) D_{\bar{q}_i}^m(z, Q^2)]$$

Hall C E00-108 : Duality in Meson Electroproduction

Quark-Hadron Duality in semi-inclusive processes predicted but never observed
Possibly related to low-energy factorization between quark scattering and fragmentation

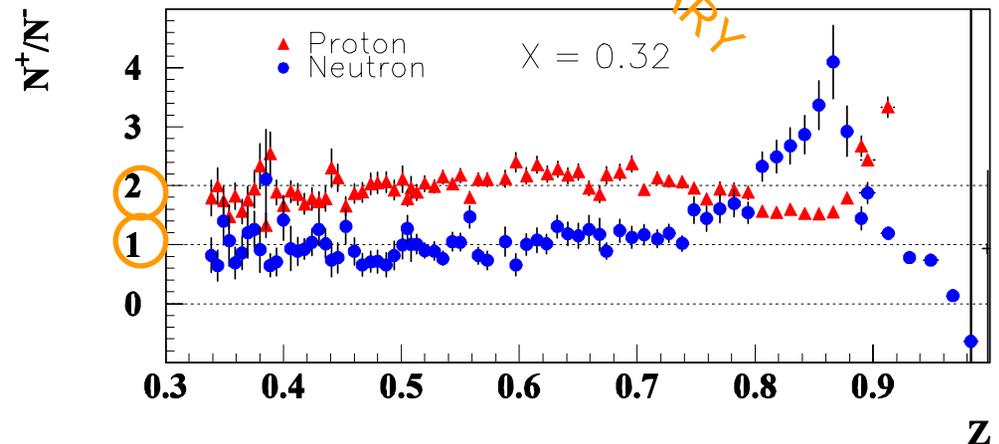
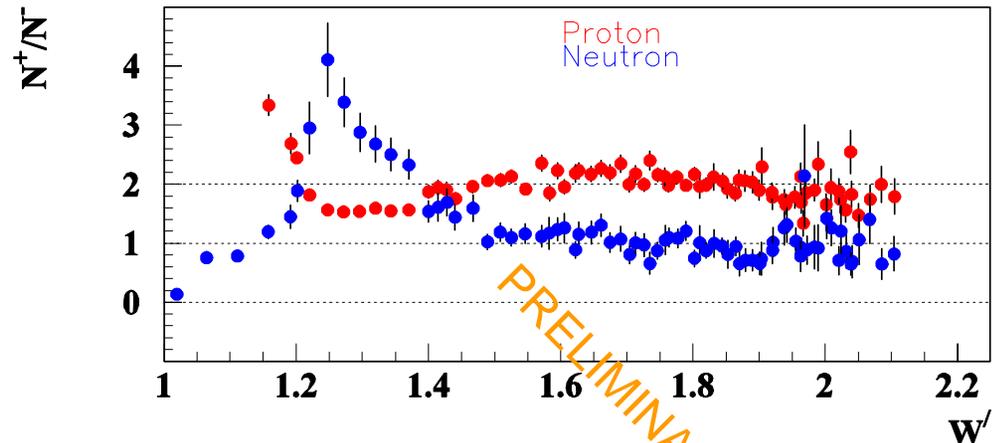
$$d\sigma/dz \cong \sum_i e_i^2 [q_i(x, Q^2) D_{q_i}^m(z, Q^2) + \bar{q}_i(x, Q^2) D_{\bar{q}_i}^m(z, Q^2)]$$

W' is invariant mass of final state in pion electroproduction
[$W'^2 \sim M^2 + Q^2(1/x - 1)(1-z)$]

D is the fragmentation function, z is the fraction of energy transfer carried by the meson

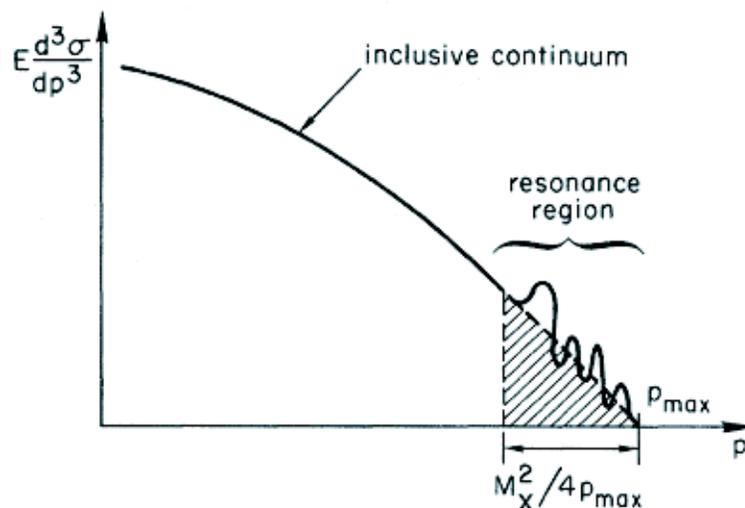
Data were taken for $^1\text{H}(e, e'\pi^+)$, $^1\text{H}(e, e'\pi^-)$, $^2\text{H}(e, e'\pi^+)$, $^2\text{H}(e, e'\pi^-)$ in August, 2003

Preliminary results for the ratio of π^+ and π^- (N^+/N^-) yields look encouraging and show little z dependence for fixed x beyond the Δ region ($z < 0.7$). The preliminary ratio is also consistent with the naïve expectation from the quark model and previous data.



Duality in Exclusive Processes

Inclusive-Exclusive connection: Bjorken and Kogut impose “correspondence principle”: demanding continuity of the dynamics from one region of kinematics to the other → relates exclusive cross sections at low energy to inclusive production at high energies



Momentum Spectrum of produced hadrons in an inclusive reaction
 $\gamma^*N \rightarrow MX$

Used to predict the behavior of real Compton Scattering off the proton at large Θ_{cm} and recently by Zhao and Close relating Quark-Hadron Duality to DVCS and to exclusive hard pion photoproduction

Could potentially be addressed by Hall A experiments E99-114 (RCS) and E94-104/E02-010 ($D(\gamma, \pi^{+/-})$) [both not in N^* program]

Quark-Hadron Duality - Applications

- CTEQ currently planning to use duality for large x parton distribution modeling
- Neutrino community using duality to predict low energy (~ 1 GeV) regime
 - Implications for exact neutrino mass
 - Plans to extend JLab data required and to test duality with neutrino beams
- Duality provides extended access to large x regime
- Allows for direct comparison to QCD Moments
 - Lattice QCD Calculations now available for u-d (valence only) moments at $Q^2 = 4$ (GeV/c)²
 - Higher Twist not directly comparable with Lattice QCD
 - If Duality holds, comparison with Lattice QCD more robust

Summary

Wealth of data being produced to investigate why quark-hadron duality works in local regions and at surprisingly low energies: at the root of the quark-hadron transition

Proton	Measured F_2 and R up to $Q^2 \sim 5$ (also down to $Q^2 = 0$) Measure “ g_1 ” with CLAS, and g_2 constraints in Hall C
Deuteron	Measured “ F_2 ”, and R approved up to $Q^2 \sim 5$ Measure “ g_1 ” with CLAS, and g_2 constraints in Hall C
Neutron	“ F_2 ” with BONUS approved up to $Q^2 \sim 5$
^3He	Measured “ g_1 ” in Hall A + g_2 measurements
Nuclei	Measured “ F_2 ” over large region of x (but not complete) Measured R at low (x, Q^2) only
Semi-Inclusive	Measured (10 out of 20 days) for $^1,2\text{H}(e, e' \pi^{+/-})$ at large z
Exclusive	Some measurements potentially related (RCS, $D(\gamma, \pi)$) DVCS test would be interesting

Hall C Research Program on N* and Meson Properties

- 8 (+1) (Conditionally) Approved Experiments (25%)
- 7 of these have finalized data taking
- 1 (+1) Related Experiments, in “Sum Rules Topic”
- 92 (+ 40) PAC Days (17%)
- Mostly concentrating on F_2 and $R (= \sigma_L/\sigma_T)$, g_1 and g_2 , N- Δ and N- S_{11} Transition Form Factors at High Q^2
- “Outliers”: Sub-threshold J/ ψ Photoproduction
Parity-Violating N- Δ

N-N* Experiments/Proposals

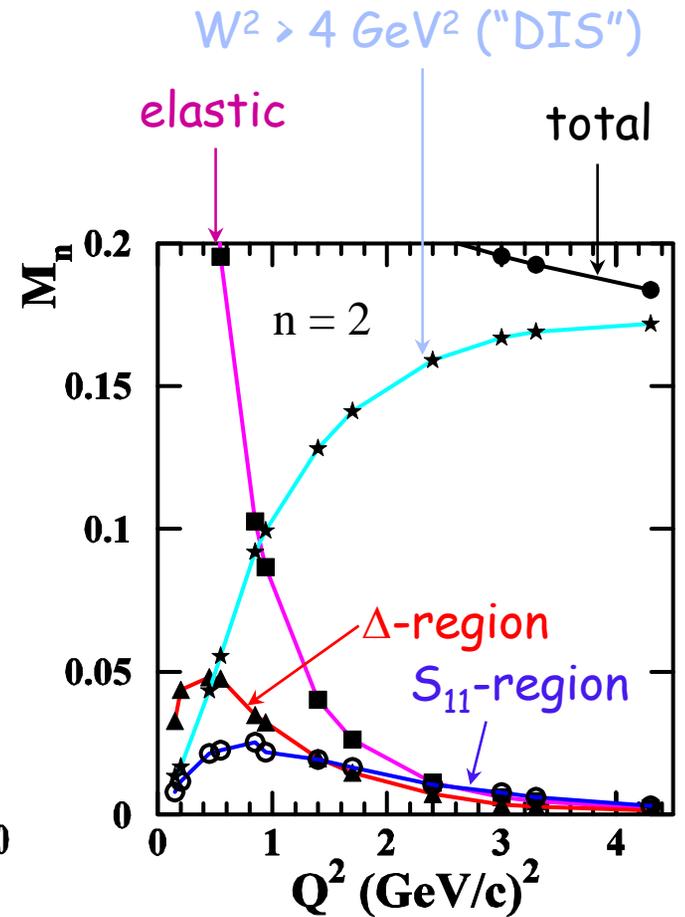
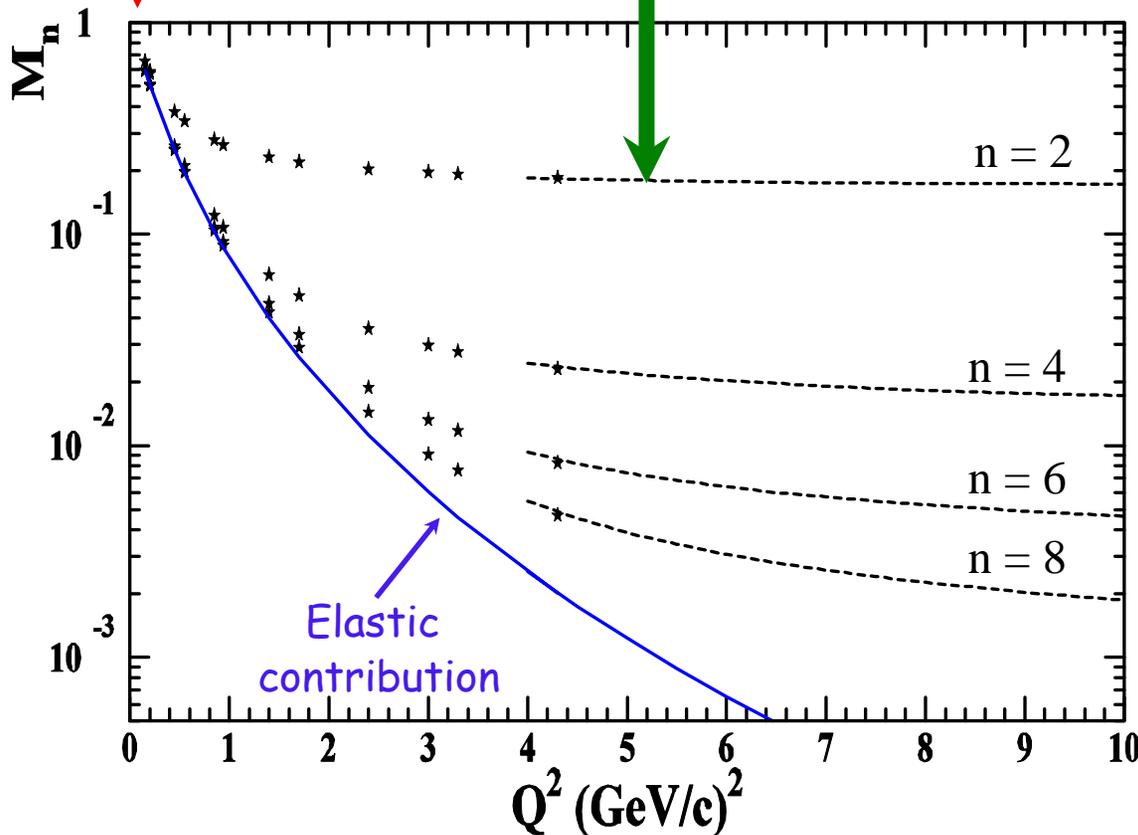
• The $\Delta(1232)$ Form Factor at High Momentum Transfer (1996 - PRL, PRD)	Stoler	10 days
• Measurement of $R = \sigma_L/\sigma_T$ in the Nucleon Resonance Region (1999 – draft PRL, PRC)	Keppel	9 days
3) Measurement of the Nuclear Dependence of $R = \sigma_L/\sigma_T$ at low Q^2 (2000 – analysis nearly final)	Bruell/Dunne/Keppel	16 days
4) F_2^N at Low Q^2 (2003)	Keppel/Niculescu	8 days
• Measurement of H and D Inclusive Resonance Cross Sections at Intermediate Q^2 for Parton-Hadron Duality Studies (2003)	Christy/Keppel	3 days
6) Baryon Resonance Electroproduction at High Momentum Transfer (2003)	Bosted/Frolov/Jones/ Koubarovski/Stoler	25 days
7) Precision Measurement of the Nucleon Spin Structure Functions in the Region of the Nucleon Resonances (2002)	Jones/Rondon	14 days
8) Sub-Threshold J/Ψ Photoproduction	Bosted/Dunne	7 days
• Measurement of the Parity-Violating Asymmetry in the N to Δ Region	Simicevic/Wells	(0 days)
10) Measurement of $R = \sigma_L/\sigma_T$ on Deuterium in the Nucleon Resonance Region	Christy/Keppel	13 days
11) Spin Asymmetries on the Nucleon Experiment	Choi/Meziani/Rondon	(27 days)

Moments of F_2^p @ Low Q^2

Proton Charge
(Coulomb Sum Rule)

50% of momentum
carried by quarks
(Momentum Sum Rule)

@ $Q^2 = 2 \text{ (GeV/c)}^2$ 30% of M_2
comes from the resonance region



Combining the various pion electroproduction data, the ratios of favored to unfavored fragmentation functions, and down to up parton distribution functions, only show little z and x dependence, respectively (similarly for $z < 0.7$).

Mezon Duality Preliminary Results

