The Parton-Hadron Transition in Structure Functions and Moments

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• Introduction: QCD and the Strong Nuclear Force
• The Parton-Hadron Transition in Moments of Structure Functions
• Quark-Hadron Duality: How Local is the Transition?
• Applications and Theoretical Understanding
• Summary
How are the Nucleons Made from Quarks and Gluons?

How do we understand QCD in the confinement regime?

A) What are the spatial distributions of u, d, and s quarks in the hadrons?

B) What is the excited state spectrum of the hadrons, and what does it reveal about the underlying degrees of freedom?

C) What is the QCD basis for the spin structure of the hadrons?
   - $Q^2$ evolution of structure functions and their moments
   - Extended GDH sum rule, Bjorken sum rule

D) What can other hadron properties tell us about ‘Strong QCD’?
   - Inclusive Resonance Electroproduction and Quark-Hadron Duality
   - $Q^2$ evolution of structure functions and their moments
QCD and the Strong Nuclear Force

*QCD has the most bizarre properties of all the forces in nature*

- **Confinement:**
  - restoring force between quarks at large distances equivalent to 10 tons, *no matter how far apart*

- **Asymptotic freedom:**
  - quarks feel almost no strong force when closer together

*QCD (+ electro-weak) in principle describes all of nuclear physics - at all distance scales - but how does it work?*
QCD and the Parton-Hadron Transition

One parameter, $\Lambda_{QCD}$, 
~ Mass Scale or 
Inverse Distance Scale 
where $a_s(Q) = 1$

“Separates” Confinement 
and Perturbative Regions

Mass and Radius of the 
Proton are (almost) 
completely governed by

$\Lambda_{QCD} \approx 213 \text{ MeV}$

Asymptotically 
Free Quarks 
$Q \gg \Lambda$

$\alpha_s(Q)$ small

$\alpha_s(Q) > 1$

Hadrons

$Q < \Lambda$

Constituent
Quarks

$Q > \Lambda$

$\alpha_s(Q)$ large
QCD and the Parton-Hadron Transition

One parameter, $\Lambda_{\text{QCD}}$, 
~ Mass Scale or 
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“Separates” Confinement 
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Inclusive Electron Scattering – Formalism

\[ \frac{d^2\sigma}{dE'd\Omega}(\downarrow \uparrow + \uparrow \uparrow) = \frac{8\alpha^2 \cos^2(\theta/2)}{Q^4} \left[ \frac{F_2(x, Q^2)}{\nu} + \frac{2F_1(x, Q^2)}{M} \tan^2(\theta/2) \right] \]

- Unpolarized structure functions \( F_1(x, Q^2) \) and \( F_2(x, Q^2) \), or \( F_T(x, Q^2) \) \([=2xF_1(x, Q^2)]\) and \( F_L(x, Q^2) \), to separate by measuring \( R = \sigma_L/\sigma_T \)
- Polarized structure functions \( g_1(x, Q^2) \) and \( g_2(x, Q^2) \)

\[ \frac{d^2\sigma}{dE'd\Omega}(\downarrow \uparrow - \uparrow \uparrow) = \frac{4\alpha^2}{MQ^2} \frac{E'}{\nu E} \left[ (E + E' \cos \theta)g_1(x, Q^2) - \frac{Q^2}{\nu} g_2(x, Q^2) \right] \]

\[ \frac{d^2\sigma}{dE'd\Omega}(\downarrow \Rightarrow - \uparrow \Rightarrow) = \frac{4\alpha^2 \sin \theta}{MQ^2} \frac{E'^2}{\nu^2 E} \left[ \nu g_1(x, Q^2) + 2E g_2(x, Q^2) \right] \]
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QCD and Moments

• Moments of the Structure Function

\[ M_n(Q^2) = \int_0^1 dx \, x^{n-2} F(x, Q^2) \]

Let \( F(x, Q^2) = xf(x) \) be a probability density distribution, describing the velocity distribution in a dilute gas

\[ M_1 = 1 \]

\[ M_2 = \langle x \rangle \quad \text{average velocity} \]

\[ M_3 = \langle x^2 \rangle \quad \text{variance} \]

etc.

In QCD the moments are also dependent on \( Q^2 \) due to \( Q^2 \) evolution of probability density distributions \( \rightarrow \langle x \rangle, \langle x^2 \rangle \) vary with \( Q^2 \)
QCD and the Operator-Product Expansion

- Moments of the Structure Function
- Operator Product Expansion

\[ M_n(Q^2) = \sum_{k=1}^{\infty} \frac{(nM_0^2/Q^2)^{k-1}}{B_{nk}(Q^2)} \]

higher logarithmic twist dependence

At High \( Q^2 \): \( \ln(Q^2) \) dependence of moments one of first “proofs” of QCD

\( \Lambda(QCD) \)

At Low \( Q^2 \): Unique regime for JLab to determine \( (1/Q^2)^m \)

\( \Rightarrow \) Higher Twist effects

Lowest moments are calculable in Lattice QCD (LQCD): least computer intensive!
Moments of $F_2^p$ @ Low $Q^2$

50% of momentum carried by quarks
(Momentum Sum Rule)
Moments of $F_{2p}^p$ @ Low $Q^2$

Proton Charge (Coulomb Sum Rule)

50% of momentum carried by quarks (Momentum Sum Rule)

Elastic contribution

(A combination of Hall C + CLAS data presented by VB to constrain the Constituent Quark radius, here only Hall C data are shown)
Moments of $F_2^p$ @ Low $Q^2$

Proton Charge (Coulomb Sum Rule)

50% of momentum carried by quarks (Momentum Sum Rule)

@ $Q^2 = 2 \text{ (GeV/c)}^2$ 30% of $M_2$ comes from the resonance region

$W^2 > 4 \text{ GeV}^2$ ("DIS")

Elastic contribution

50% of momentum carried by quarks (Momentum Sum Rule)

W2 > 4 GeV2 ("DIS")
\[ n = 2 \text{ Moments of } F_2, F_1 \text{ and } F_L: \quad M_n(Q^2) = \int_0^1 dx \, x^{n-2} F(x, Q^2) \]

**Elastic Contributions**

\[
\begin{align*}
F_1^{EL} &= G_M^2 \delta(x-1) \\
F_2^{EL} &= (G_E^2 + \tau G_M^2) \delta(x-1) \\
\tau &= Q^2/4M_p^2 \\
F_L^{EL} &= G_E^2 \delta(x-1)
\end{align*}
\]

Flat \( Q^2 \) dependence \( \Rightarrow \) small higher twist! - not true for contributions from the elastic peak (bound quarks)
**n = 4 Moments of $F_2$, $F_1$ and $F_L$**

Neglecting elastic contribution, $n = 4$ moments have only a small $Q^2$ dependence as well.

**Momentum sum rule**

$$M_L^{(n)} = \alpha_s(Q^2)\left\{4M_2^{(n)} + \frac{2c}{3(n+1)}\int dx xG(x,Q^2)\right\} \frac{1}{(n+1)(n+2)}$$

**Gluon distributions!**

This is only at leading twist and for zero proton mass

$\Rightarrow$ Must remove non-zero proton mass effects from data to extract moment of $xG(x,Q^2)$

$\Rightarrow$ Work in progress
Moments of $g_1^p (= \Gamma_1^p)$

30% of Spin carried by quarks (Ellis-Jaffe Sum Rule)

Elastic not included in Moment as shown →
*With Elastic included no zero crossing, and $Q^2$ dependence far smoother
Moments of $g_1^p (=\Gamma_1^p)$

Elastic not included in Moment as shown →
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30% of Spin carried by quarks (Ellis-Jaffe Sum Rule)

CLAS EG1 Data

Zero crossing mainly due to cancellation of $\Delta$ (negative) and $S_{11}$ Resonances

$\sim \kappa$ of proton (GDH Sum Rule)
Moments of $g_{1p}$ ($=\Gamma_{1p}$)

30% of Spin carried by quarks (Ellis-Jaffe Sum Rule)

Elastic not included in Moment as shown →
*With Elastic included no zero crossing, and $Q^2$ dependence far smoother

\[
\frac{\sigma_{\uparrow\downarrow} + \sigma_{\uparrow\uparrow}}{\sigma_{\downarrow\downarrow} - \sigma_{\uparrow\uparrow}} = \sigma_{1/2} - \sigma_{3/2}
\]

SU(6) unbroken: $M_p = M_{\Delta}$
$\Delta$ ground state of $\sigma_{3/2}$

In this scenario the $\Delta$ is a $\delta$ function and all higher twist $\rightarrow$ it plays the same role as the elastic in $F_2$

~$k$ of proton (GDH Sum Rule)

CLAS EG1 Data

Zero crossing mainly due to cancellation of $\Delta$ (negative) and $S_{11}$ Resonances
Moments of $g_1^n$ and $g_1^p - g_1^n$

Hall A $^3\text{He}(e,e')$ to extract $g_1^n$ and its moment $\Gamma_1^n$ (E94-010)

- Similar ideas as with proton
- Here, whole region negative

![Graph showing $\Gamma_1^n$ vs. $Q^2$]
Moments of $g_1^n$ and $g_1^p - g_1^n$

Hall A $^3$He($e,e'$) to extract $g_1^n$ and its moment $\Gamma_1^n$
* Similar ideas as with proton
* Here, whole region negative

Combine Hall A $g_1^n$ with Hall B $g_1^p$ data

![Graph showing $\Gamma_1^n$ vs. $Q^2$]
Moments of $g_1^n$ and $g_1^p-g_1^n$

Hall A $^3\text{He}(e,e')$ to extract $g_1^n$ and its moment $\Gamma_1^n$
* Similar ideas as with proton
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Combine Hall A $g_1^n$ with Hall B $g_1^p$ data

Chiral Perturbation Theory ($\chi$PT)

Bjorken Sum Rule (Verification of QCD)

PRELIMINARY
Summary I - Moments

Resonances are an integral part of the Structure Function Moments at Low $Q^2$
(Note: QCD deals with the Moments and does not care what contributes to the moments!)

The Structure Function Moments have a smooth behavior as a function of $Q^2$, and in fact pick up almost uniquely the quark-quark interactions in the SU(6) ground states

This seems to indicate that the parton-hadron transition occurs in a local and small region, with only few resonances

→ “Quark-Hadron Duality”
→ Let us examine the structure functions themselves rather than their moments
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**Quark-Hadron Duality**

*complementarity between quark and hadron descriptions of observables*

**At high enough energy:**

- **Hadronic Cross Sections** averaged over appropriate energy range
- **Perturbative Quark-Gluon Theory**

\[
\sum_{\text{hadrons}} = \sum_{\text{quarks+gluons}}
\]

*Can use either set of complete basis states to describe physical phenomena*

*But why also in limited local energy ranges?*
Duality in the $F_2$ Structure Function

First observed ~1970 by Bloom and Gilman at SLAC by comparing resonance production data with deep inelastic scattering data.

- Integrated $F_2$ strength in Nucleon Resonance region equals strength under scaling curve. Integrated strength (over all $\omega'$) is called Bloom-Gilman integral.

Shortcomings:

- Only a single scaling curve and no $Q^2$ evolution (Theory inadequate in pre-QCD era)
- No $\sigma_L/\sigma_T$ separation → $F_2$ data depend on assumption of $R = \sigma_L/\sigma_T$
- Only moderate statistics
Rosenbluth Separations

Hall C E94-110: a global survey of longitudinal strength in the resonance region

\[ Q^2 = 0.935 \text{ (GeV/c)}^2 \]
\[ W^2 = 1.925 \text{ (GeV)}^2 \]

\[ R = 0.253 \pm 0.049 \]

\[ Q^2 = 20935 \text{ (GeV/c)}^2 \]
\[ W^2 = 21835 \text{ (GeV)}^2 \]

\[ R = 0.243 \pm 0.036 \]

\[ \sigma_L / \sigma_T \]

\[ \sigma_T \]

\[ \sigma_L \]

\[ \varepsilon \text{ (polarization of virtual photon)} \]
Rosenbluth Separations

Hall C E94-110: a global survey of longitudinal strength in the resonance region......

- Spread of points about the linear fits is Gaussian with $\sigma \sim 1.6\%$ consistent with the estimated point-point experimental uncertainty (1.1–1.5%)
  - a systematic “tour de force”
World's L/T Separated Resonance Data

\[ R = \frac{\sigma_L}{\sigma_T} \]

Previous Worlds Data for \( Q^2 < 9 \)

\[ W^2 (\text{GeV}^2) \]
World's L/T Separated Resonance Data

\[ R = \frac{\sigma_L}{\sigma_T} \]

Now able to study the \( Q^2 \) dependence of individual resonance regions!

Clear resonant behaviour can be observed!

Use \( R \) to extract \( F_2, F_1, F_L \)
Duality in the $F_2$ Structure Function

Now can truly obtain $F_2$ structure function data, and compare with DIS fits or QCD calculations/fits (CTEQ/MRST)

Use Bjorken $x$ instead of Bloom-Gilman's $\omega'$

- **Bjorken Limit:** $Q^2, \nu \to \infty$
- **Empirically, DIS region is where logarithmic scaling is observed:** $Q^2 > 5 \text{ GeV}^2$, $W^2 > 4 \text{ GeV}^2$
- **Duality:** Averaged over $W$, logarithmic scaling observed to work also for $Q^2 > 0.5 \text{ GeV}^2$, $W^2 < 4 \text{ GeV}^2$, resonance regime
  (note: $x = Q^2/(W^2-M^2+Q^2)$)
- **JLab results:** Works quantitatively to better than 10% at such low $Q^2$
Duality works well for both $F_T$ and $F_L$ above $Q^2 \sim 1.5 \ (GeV/c)^2$
QCD and the Operator-Product Expansion

- Moments of the Structure Function
  \[ M_n(Q^2) = \int_0^1 dx \ x^{n-2} F(x, Q^2) \]
  If \( n = 2 \), this is the Bloom-Gilman duality integral!

- Operator Product Expansion

\[ M_n(Q^2) = \sum_{k=1}^{\infty} \left( \frac{nM_0^2}{Q^2} \right)^{k-1} B_{nk}(Q^2) \]

*higher twist logarithmic dependence

- Duality is described in the Operator Product Expansion as higher twist effects being small or canceling

DeRujula, Georgi, Politzer (1977)
Duality more easily established in Nuclei

EMC Effect
Fe/D
Resonance
Region Only

$\frac{\sigma_{\text{Fe}}}{\sigma_D}$ IS

$\xi (= x \text{ corrected for } M \neq 0)$

Scale Uncertainties

The nucleus does the averaging for you!

Nucleons have Fermi motion in a nucleus
... but tougher in Spin Structure Functions

**CLAS EG1**

- $g_1^p$
- $g_1^n$
- $Q^2 = 1.74$ (GeV/c)$^2$
- $Q^2 = 1.20$ (GeV/c)$^2$
- $Q^2 = 0.81$ (GeV/c)$^2$

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**Pick up effects of both N and $\Delta$**

(the $\Delta$ is not negative enough....)

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**CLAS: N-$\Delta$ transition region turns positive at $Q^2 = 1.5$ (GeV/c)$^2$**

Elastic and N-$\Delta$ transition cause most of the higher twist effects