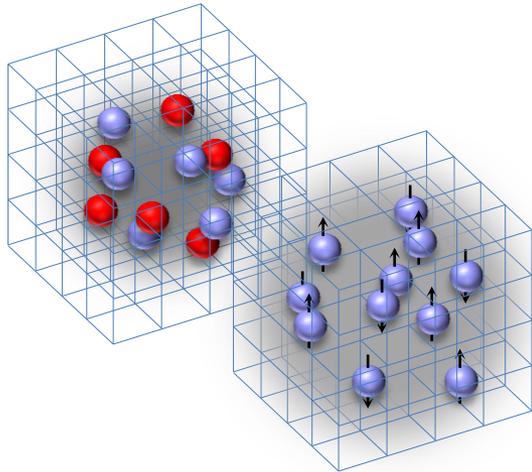


# Lattice Effective Field Theory for Nuclei



## Nuclear Lattice EFT Collaboration

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Jefferson Laboratory  
Newport News, VA  
April 29, 2013



# Outline

Introduction and motivation

What is lattice effective field theory?

Lattice interactions and scattering

Euclidean time projection and auxiliary fields

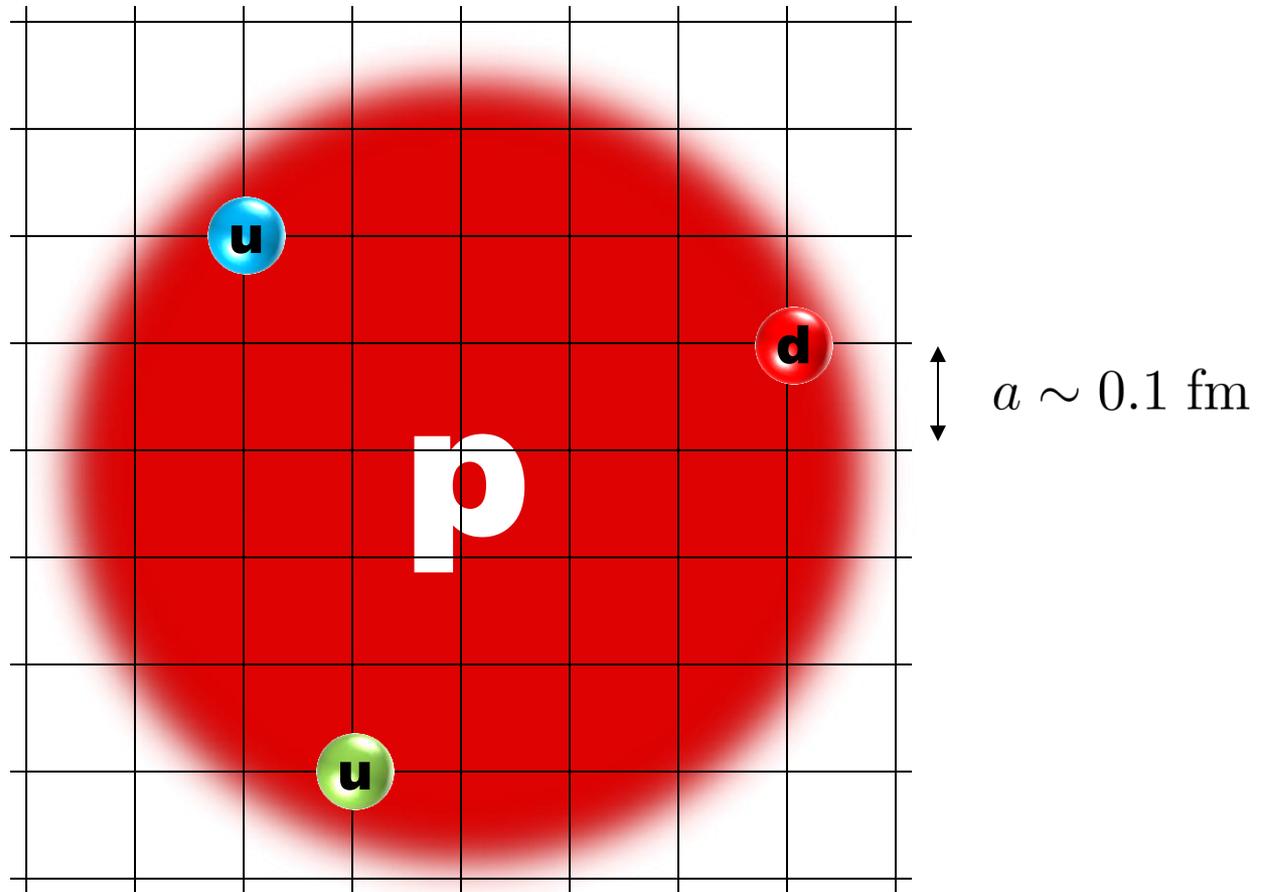
Carbon-12 and the Hoyle state

Light quark mass dependence of helium burning

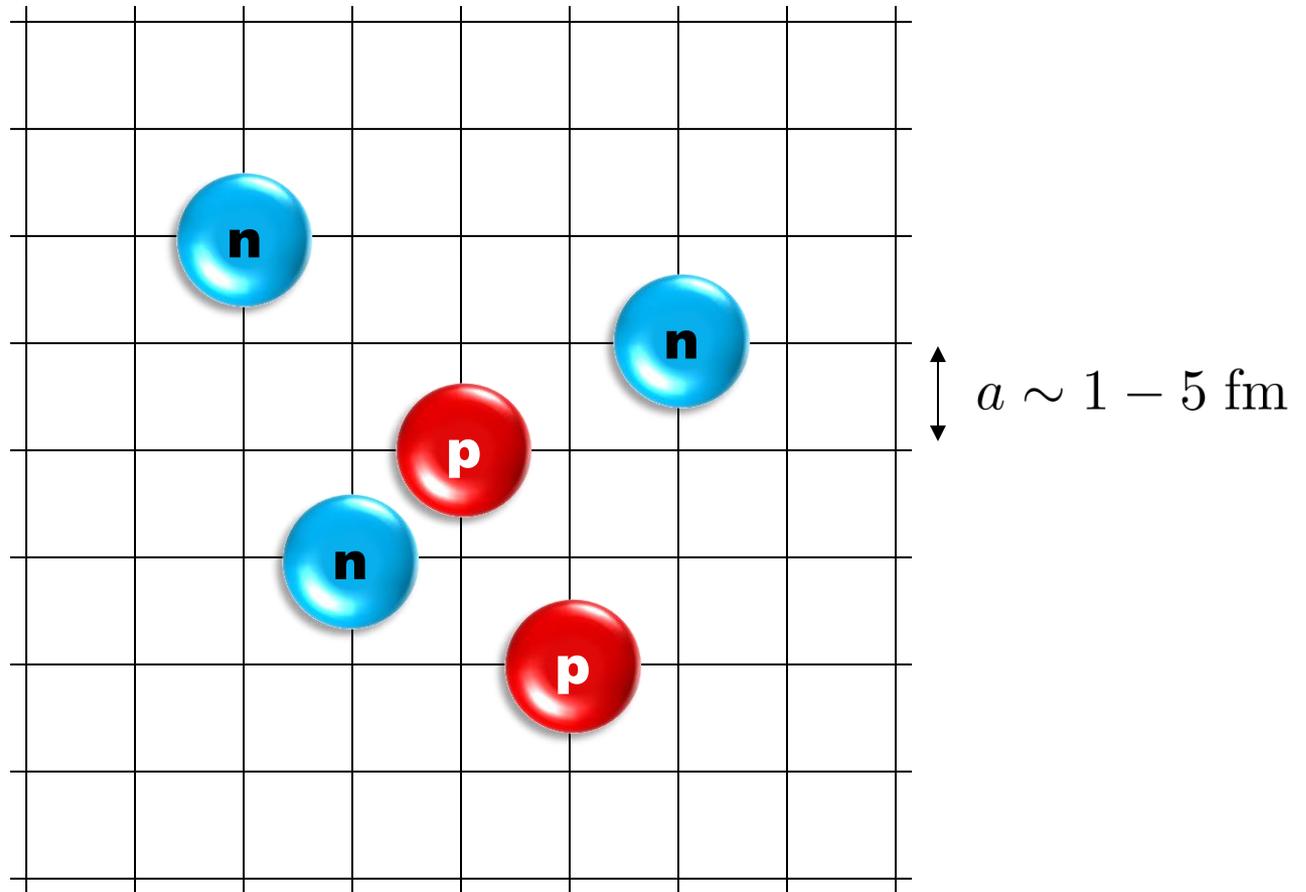
Towards scattering and reactions on the lattice

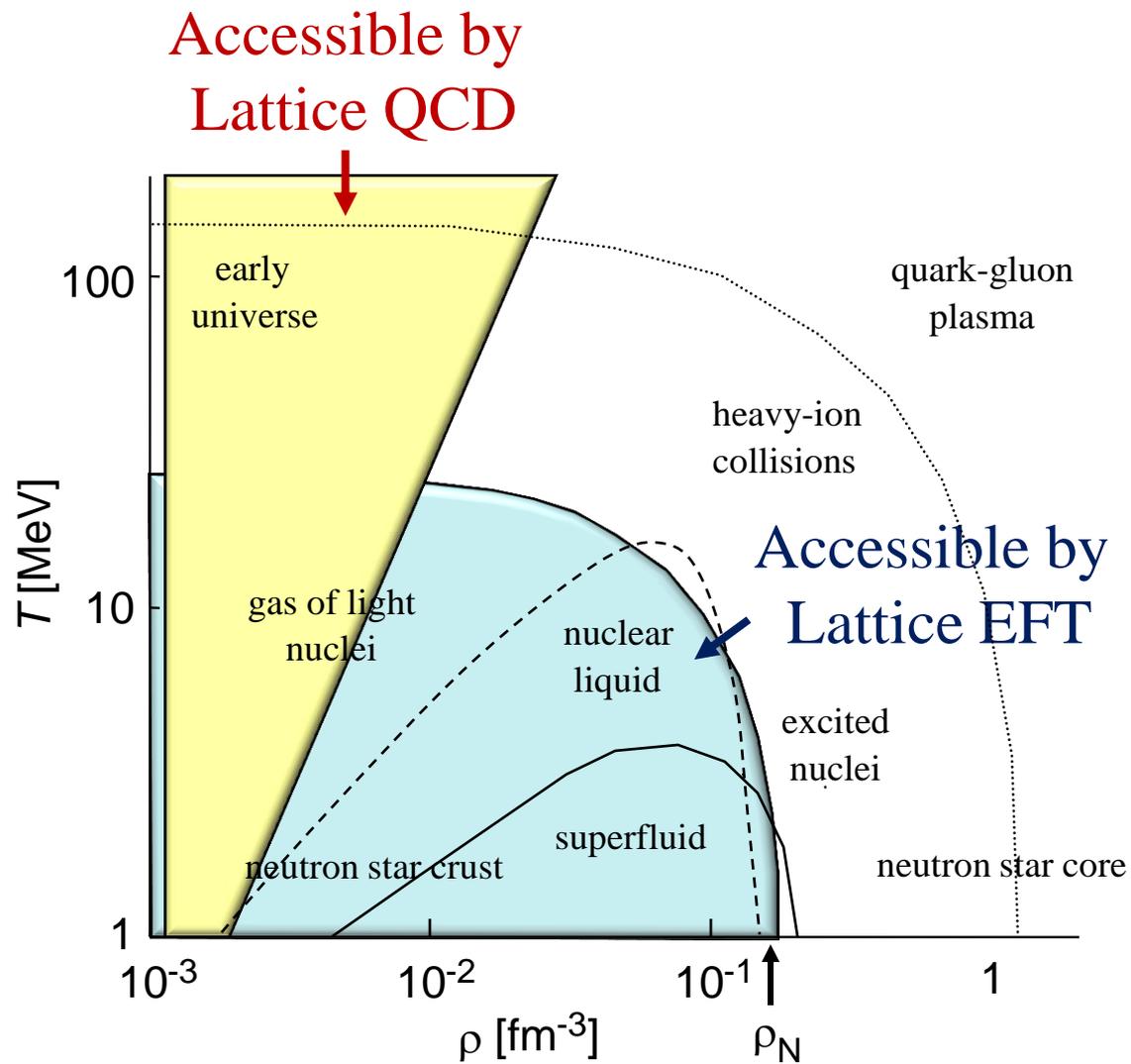
Summary and future directions

# Lattice quantum chromodynamics



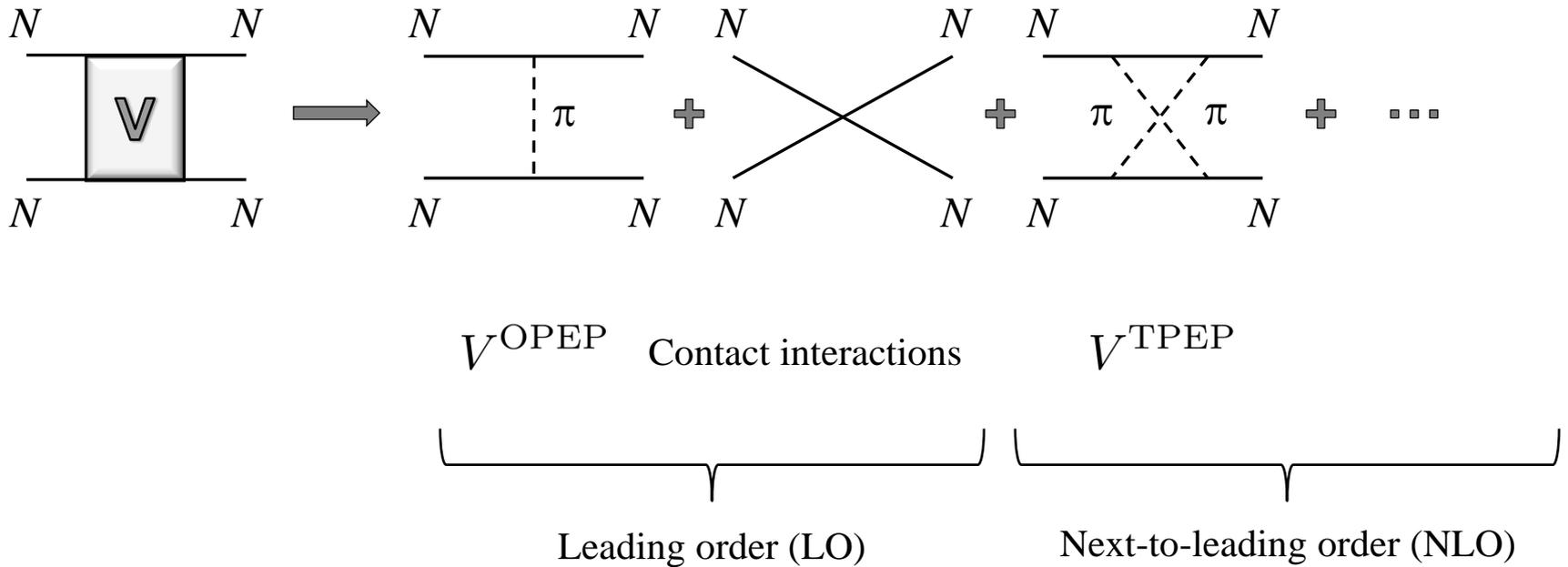
# Lattice effective field theory



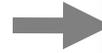


# Low energy nucleons: Chiral effective field theory

Construct the effective potential order by order



Physical  
scattering data

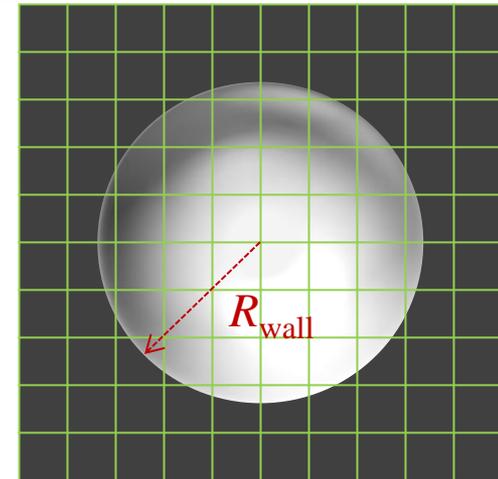


Unknown operator  
coefficients

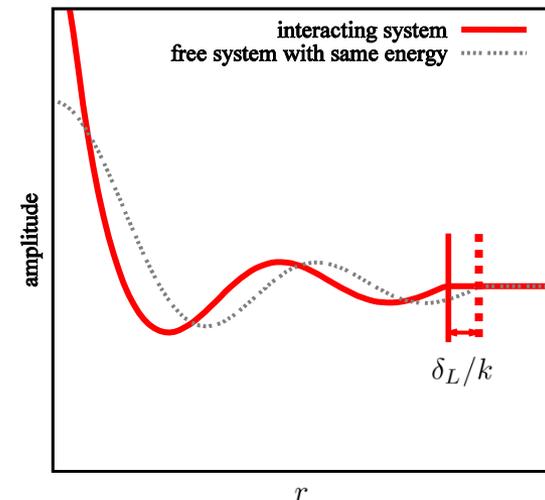
### Spherical wall method

*Borasoy, Epelbaum, Krebs, D.L., Meißner,  
EPJA 34 (2007) 185*

Spherical wall imposed in the center of mass  
frame

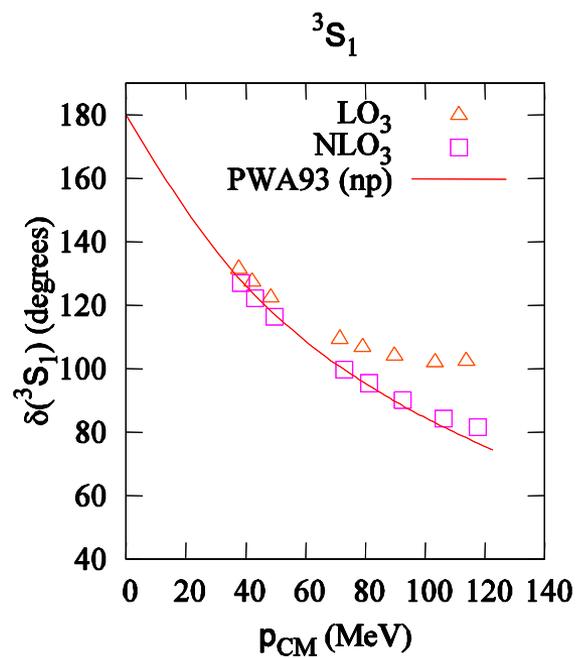
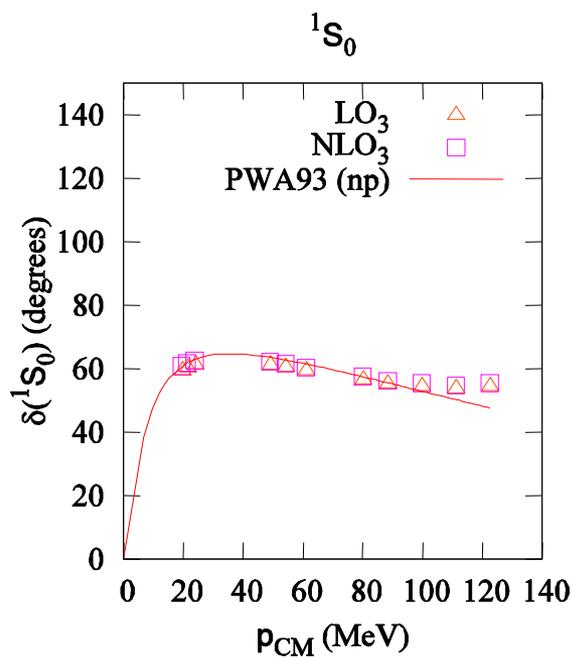


Representation	$J_z$	Example
$A_1$	$0 \bmod 4$	$Y_{0,0}$
$T_1$	$0, 1, 3 \bmod 4$	$\{Y_{1,0}, Y_{1,1}, Y_{1,-1}\}$
$E$	$0, 2 \bmod 4$	$\left\{Y_{2,0}, \frac{Y_{2,-2}+Y_{2,2}}{\sqrt{2}}\right\}$
$T_2$	$1, 2, 3 \bmod 4$	$\left\{Y_{2,1}, \frac{Y_{2,-2}-Y_{2,2}}{\sqrt{2}}, Y_{2,-1}\right\}$
$A_2$	$2 \bmod 4$	$\frac{Y_{3,2}-Y_{3,-2}}{\sqrt{2}}$



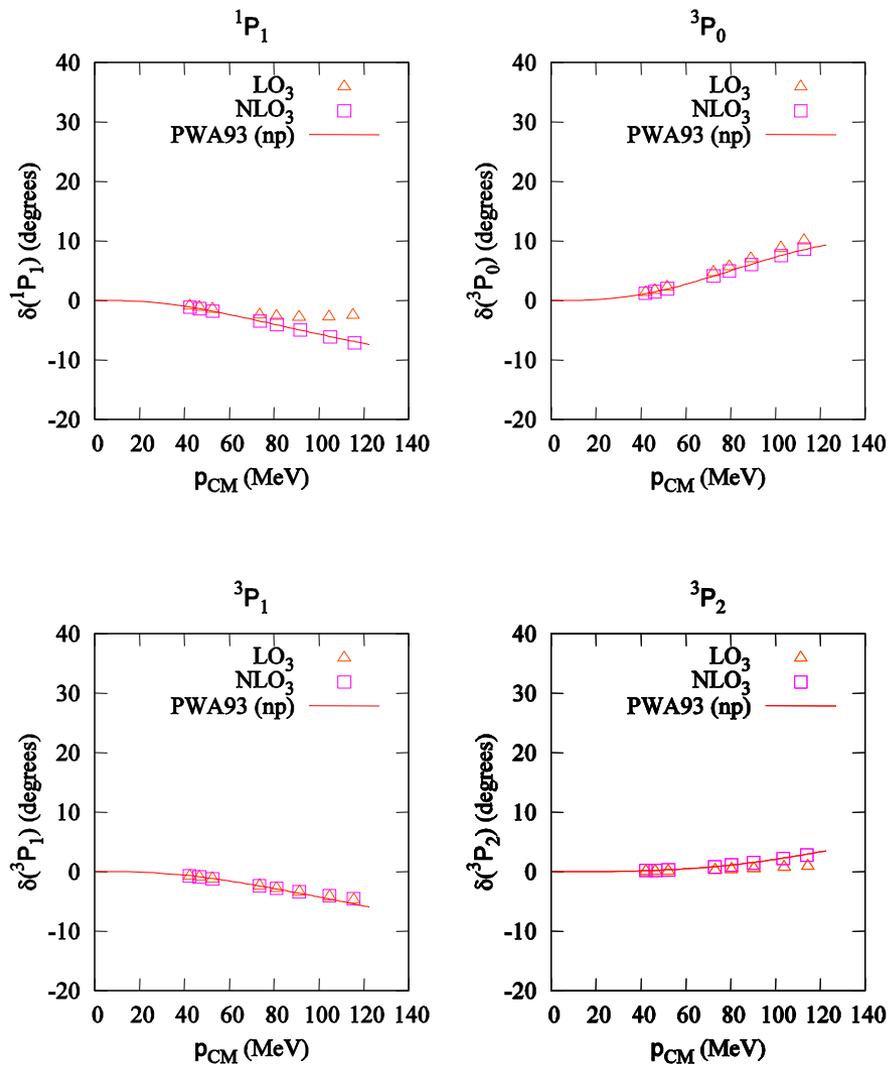
# LO<sub>3</sub>: S waves

$a = 1.97$  fm



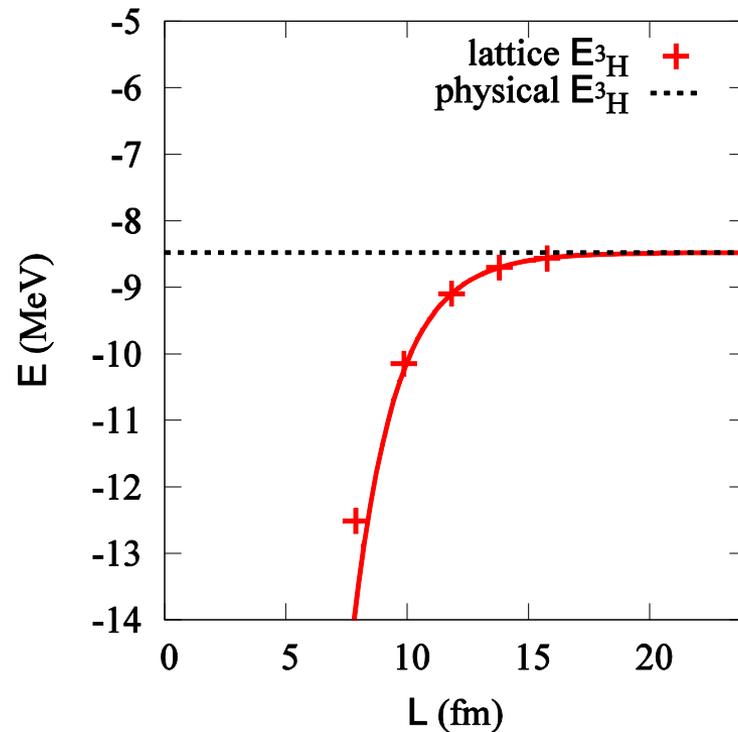
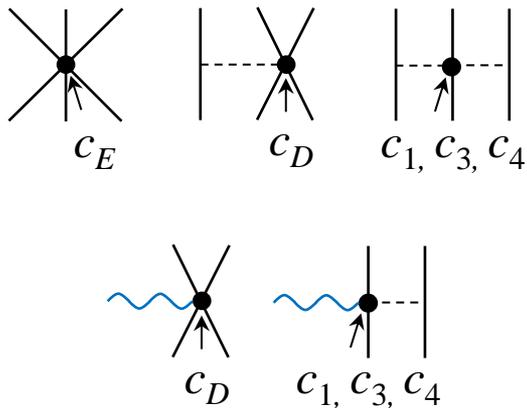
# LO<sub>3</sub>: P waves

$a = 1.97$  fm



## Three nucleon forces

Two unknown coefficients at NNLO from three-nucleon forces.  
 Determine  $c_D$  and  $c_E$  using  ${}^3\text{H}$  binding energy and the weak axial current  
 at low cutoff momentum.

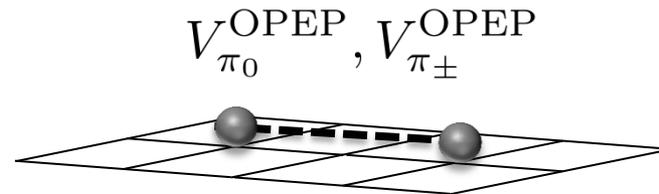
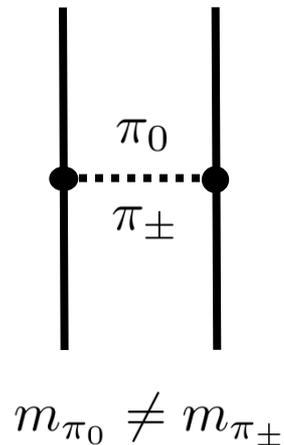


*Park, et al., PRC 67 (2003) 055206,*  
*Gårdestig, Phillips, PRL 96 (2006) 232301,*  
*Gazit, Quaglioni, Navratil, PRL 103 (2009) 102502*

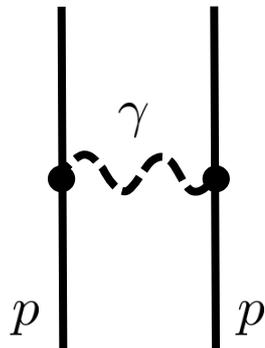
# Neutrons and protons: Isospin breaking and Coulomb

Isospin-breaking and power counting [*Friar, van Kolck, PRC 60 (1999) 034006; Walzl, Meißner, Epelbaum NPA 693 (2001) 663; Friar, van Kolck, Payne, Coon, PRC 68 (2003) 024003; Epelbaum, Meißner, PRC72 (2005) 044001 ...*]

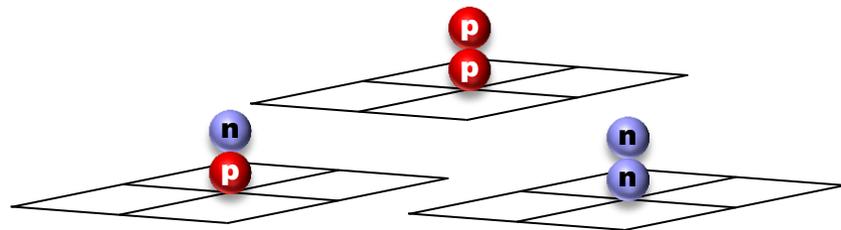
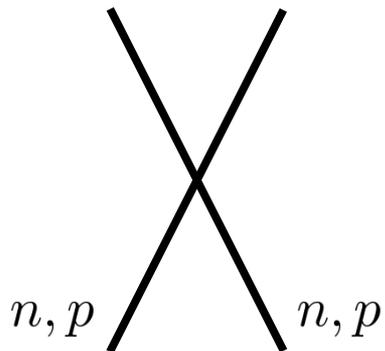
## Pion mass difference



## Coulomb potential

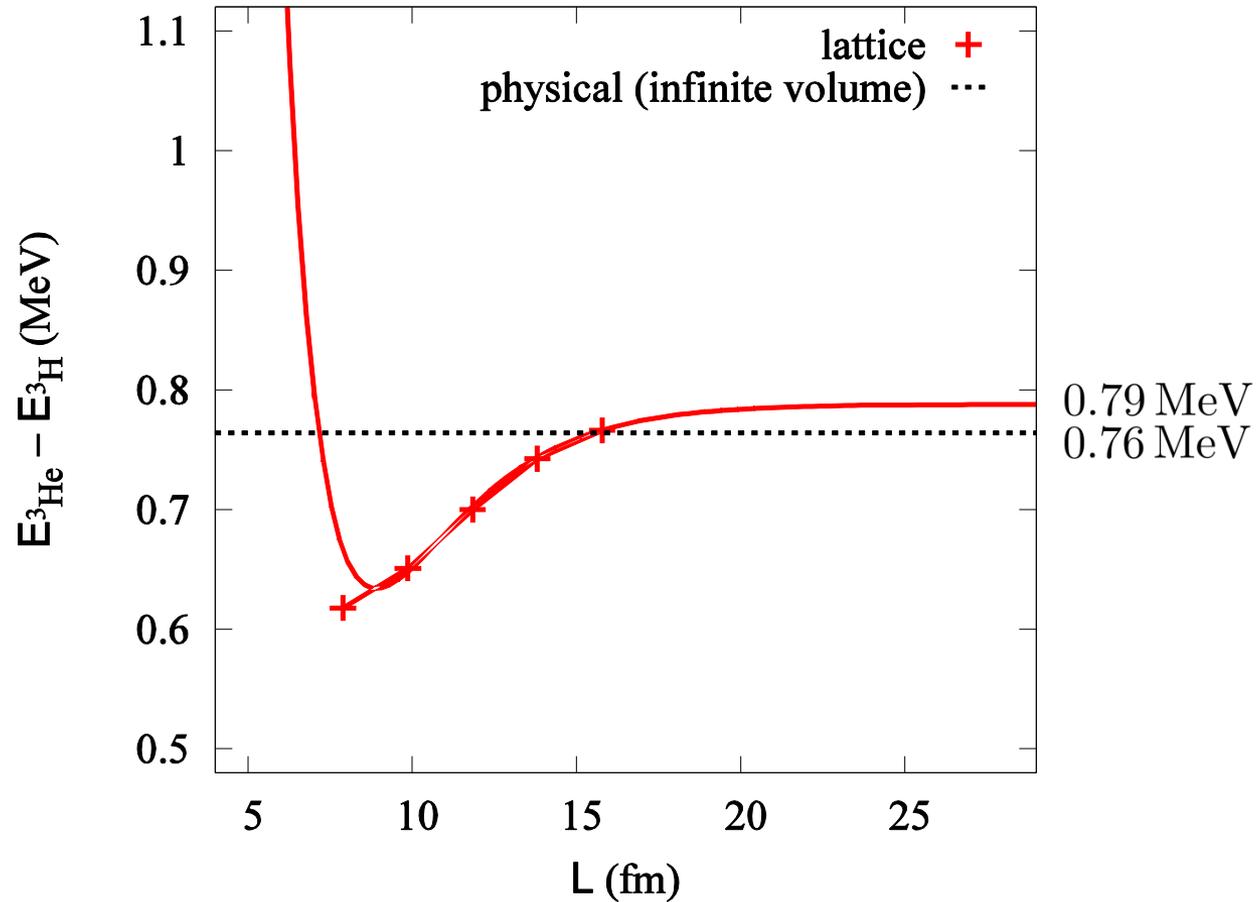


## Charge symmetry breaking Charge independence breaking

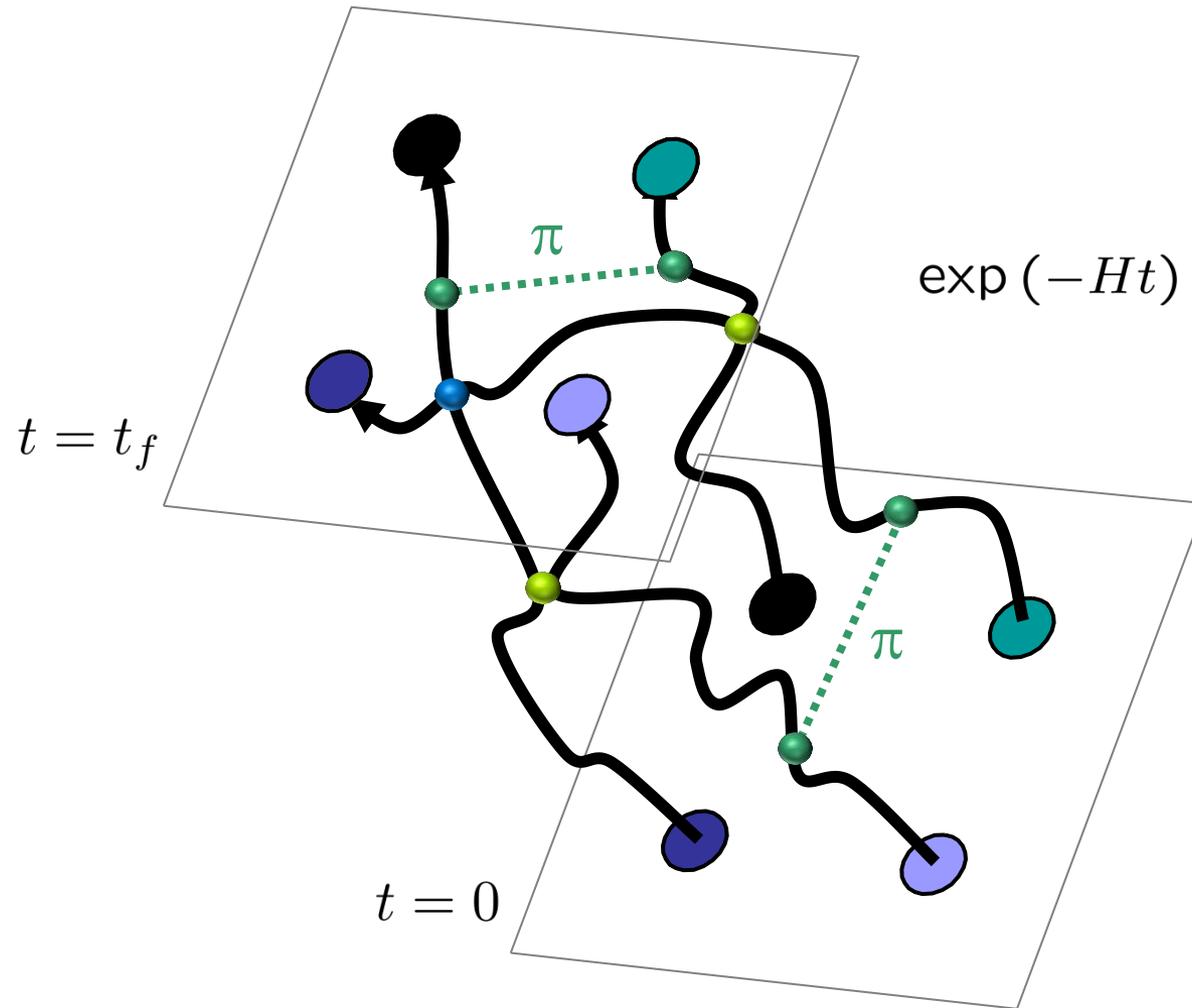


## Triton and Helium-3

$$E_{3\text{He}} - E_{\text{triton}} = 0.79(5) \text{ MeV}$$



# Euclidean time projection



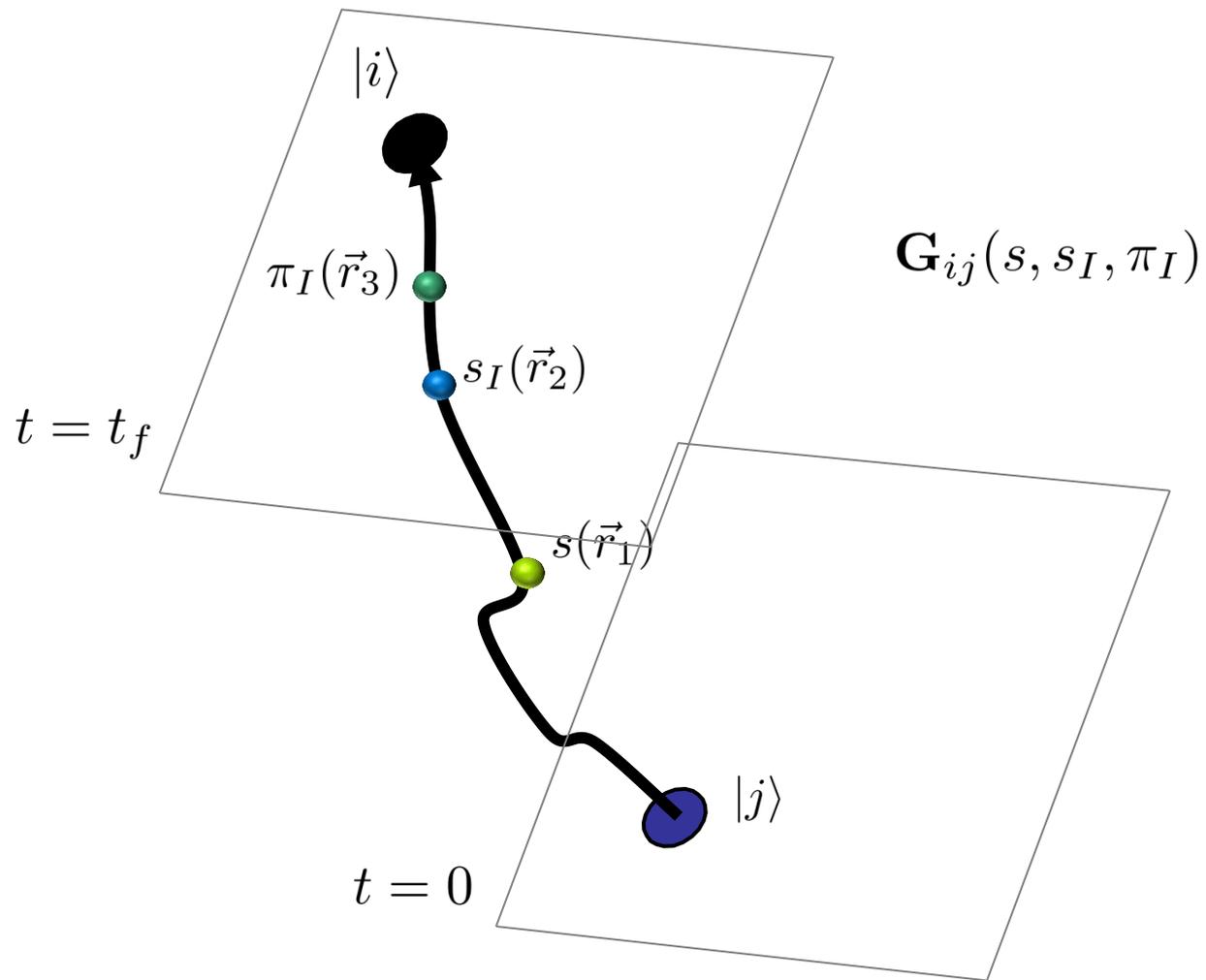
## Auxiliary field method

We can write exponentials of the interaction using a Gaussian integral identity

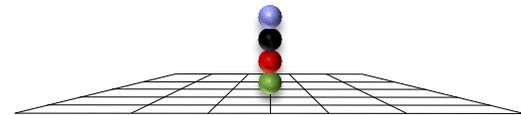
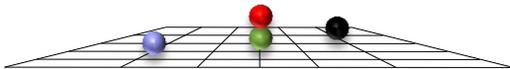
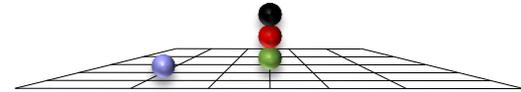
$$\exp\left[-\frac{C}{2}(N^\dagger N)^2\right] \quad \times \quad (N^\dagger N)^2$$

$$= \sqrt{\frac{1}{2\pi}} \int_{-\infty}^{\infty} ds \exp\left[-\frac{1}{2}s^2 + \sqrt{-C} s(N^\dagger N)\right] \quad \rangle \quad sN^\dagger N$$

We remove the interaction between nucleons and replace it with the interactions of each nucleon with a background field.



# Particle clustering included automatically





$$Z_{n_t, \text{NLO}} = \langle \psi_{\text{init}} | \left[ \text{black grid} \right] \left[ \text{blue grid} \right] \left[ \text{black grid} \right] | \psi_{\text{init}} \rangle$$



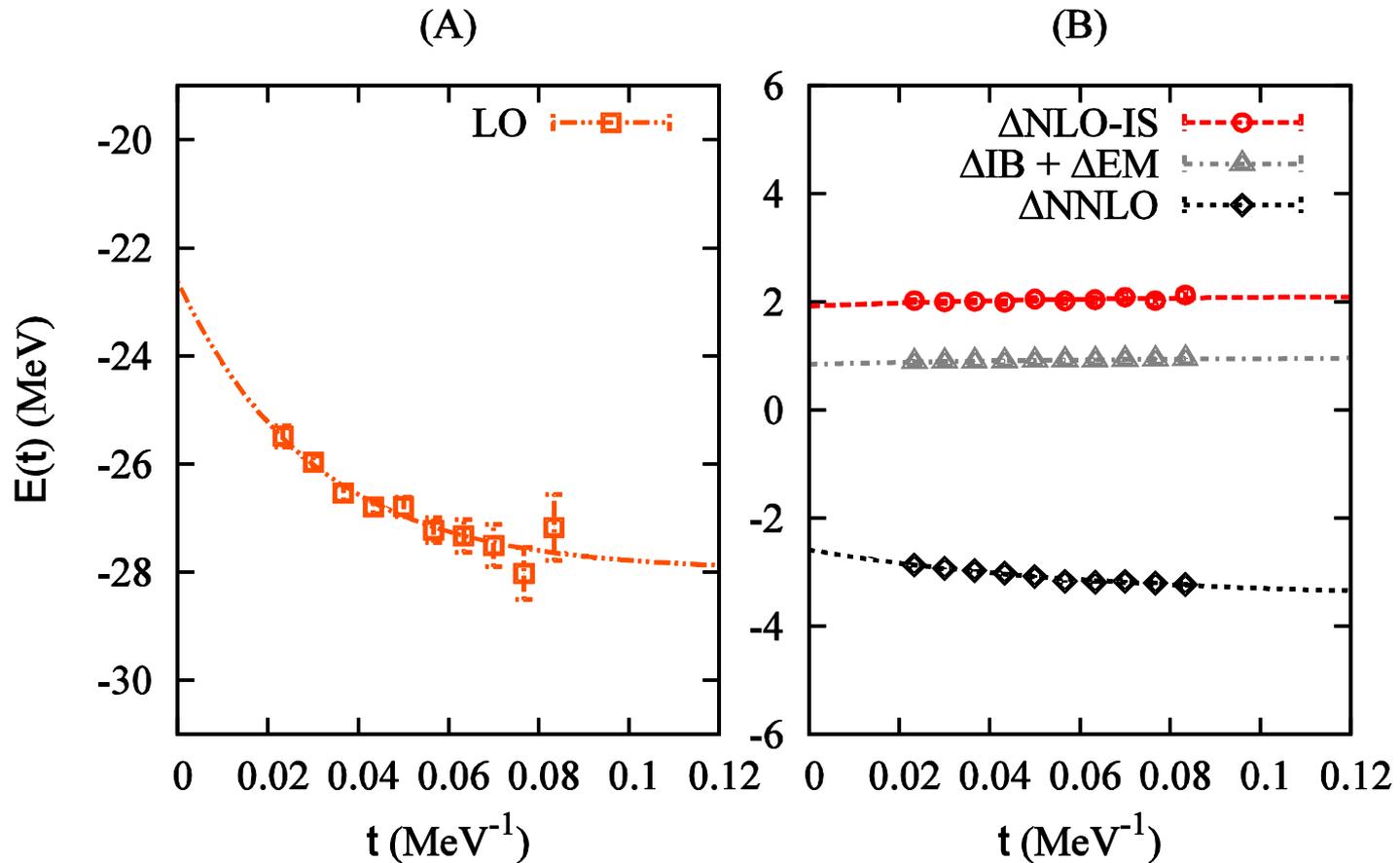
$$Z_{n_t, \text{NLO}}^{\langle O \rangle} = \langle \psi_{\text{init}} | \left[ \text{black grid} \right] \left[ \text{blue grid} \right] \left[ \text{yellow bar} \right] \left[ \text{blue grid} \right] \left[ \text{black grid} \right] | \psi_{\text{init}} \rangle$$



$$\langle O \rangle_{0, \text{NLO}} = \lim_{n_t \rightarrow \infty} Z_{n_t, \text{NLO}}^{\langle O \rangle} / Z_{n_t, \text{NLO}}$$

# Ground state of Helium-4

$$L = 11.8 \text{ fm}$$



*Epelbaum, Krebs, D.L, Meißner, PRL 106 (2011) 192501*

*Epelbaum, Krebs, Lähde, D.L, Meißner, PRL 109 (2012) 252501*

## Ground state of Helium-4

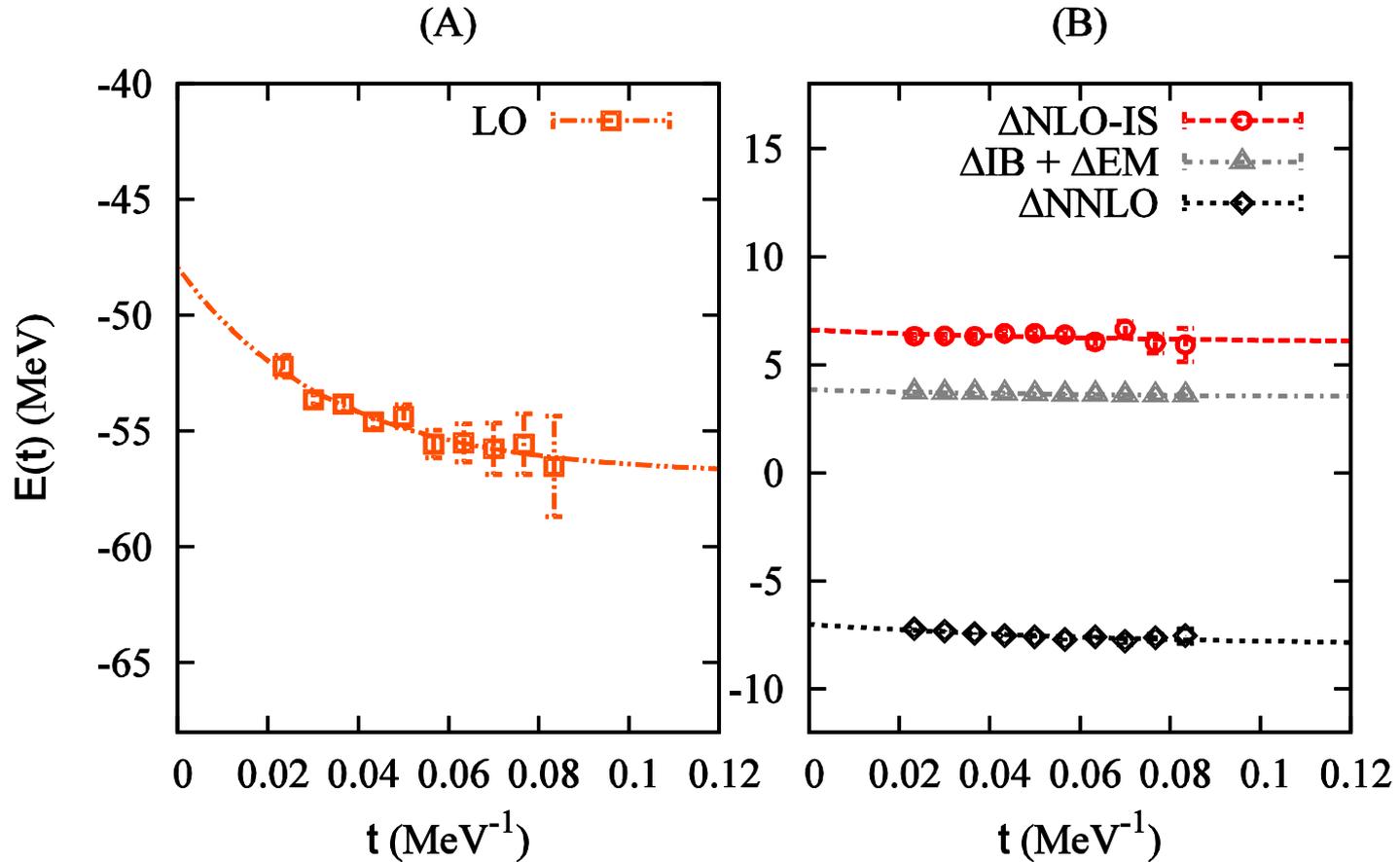
$$L = 11.8 \text{ fm}$$

LO* ( $O(Q^0)$ )	-28.0(3) MeV
NLO ( $O(Q^2)$ )	-24.9(5) MeV
NNLO ( $O(Q^3)$ )	-28.3(6) MeV
Experiment	-28.3 MeV

\*contains some interactions promoted from NLO

# Ground state of Beryllium-8

$L = 11.8$  fm



*Epelbaum, Krebs, D.L, Meißner, PRL 106 (2011) 192501*

*Epelbaum, Krebs, Lähde, D.L, Meißner, PRL 109 (2012) 252501*

## Ground state of Beryllium-8

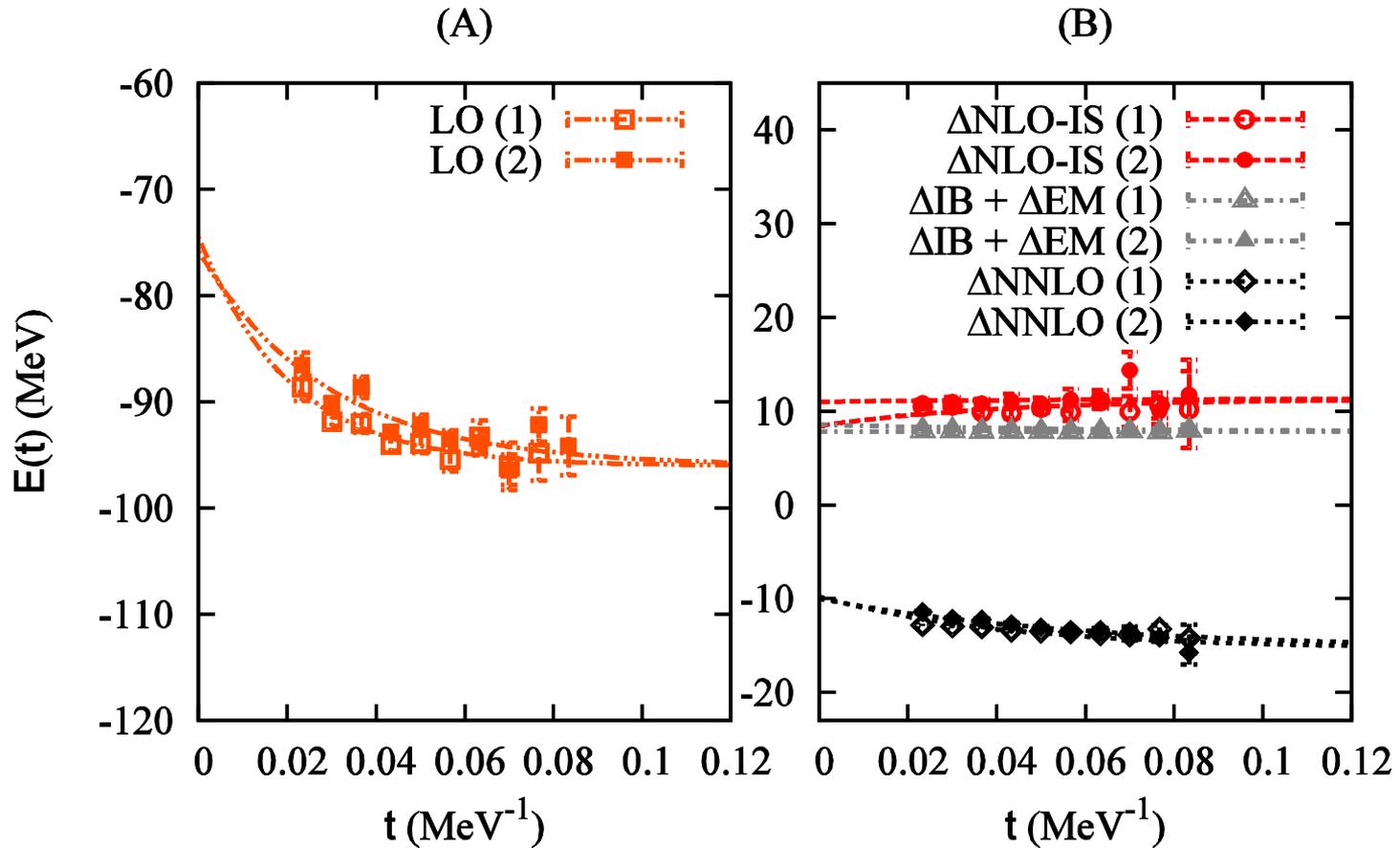
$$L = 11.8 \text{ fm}$$

LO* ( $O(Q^0)$ )	-57(2) MeV
NLO ( $O(Q^2)$ )	-47(2) MeV
NNLO ( $O(Q^3)$ )	-55(2) MeV
Experiment	-56.5 MeV

\*contains some interactions promoted from NLO

# Ground state of Carbon-12

$L = 11.8$  fm



*Epelbaum, Krebs, D.L, Meißner, PRL 106 (2011) 192501*

*Epelbaum, Krebs, Lähde, D.L, Meißner, PRL 109 (2012) 252501*

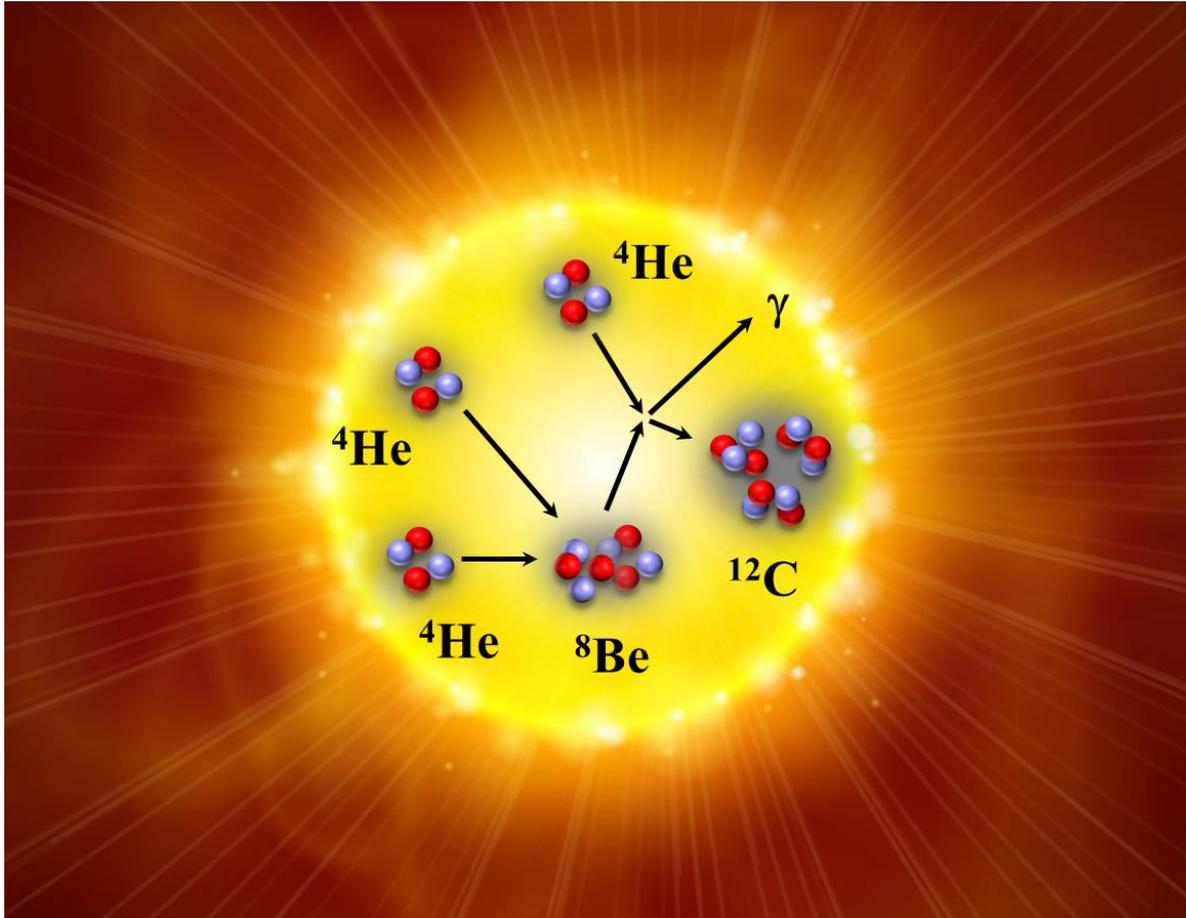
## Ground state of Carbon-12

$$L = 11.8 \text{ fm}$$

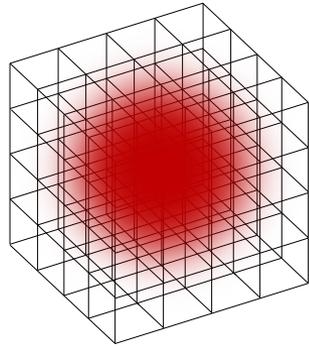
LO* ( $O(Q^0)$ )	-96(2) MeV
NLO ( $O(Q^2)$ )	-77(3) MeV
NNLO ( $O(Q^3)$ )	-92(3) MeV
Experiment	-92.2 MeV

\*contains some interactions promoted from NLO

## Carbon-12 spectrum and the Hoyle state



## Simulations using general initial/final state wavefunctions



$$\bigwedge_{j=1, \dots, A} |\psi_j(\vec{n})\rangle$$

Construct states with well-defined momentum using all possible translations.

$$L^{-3/2} \sum_{\vec{m}} e^{i\vec{P}\cdot\vec{m}} \bigwedge_{j=1, \dots, A} |\psi_j(\vec{n} - \vec{m})\rangle$$

## Shell model wavefunctions

$$\begin{aligned}\psi_j(\vec{n}) &= \exp(-c\vec{n}^2) \\ \psi'_j(\vec{n}) &= n_x \exp(-c\vec{n}^2) \\ \psi''_j(\vec{n}) &= n_y \exp(-c\vec{n}^2) \\ \psi'''_j(\vec{n}) &= n_z \exp(-c\vec{n}^2) \\ &\vdots\end{aligned}$$

## Alpha cluster wavefunctions

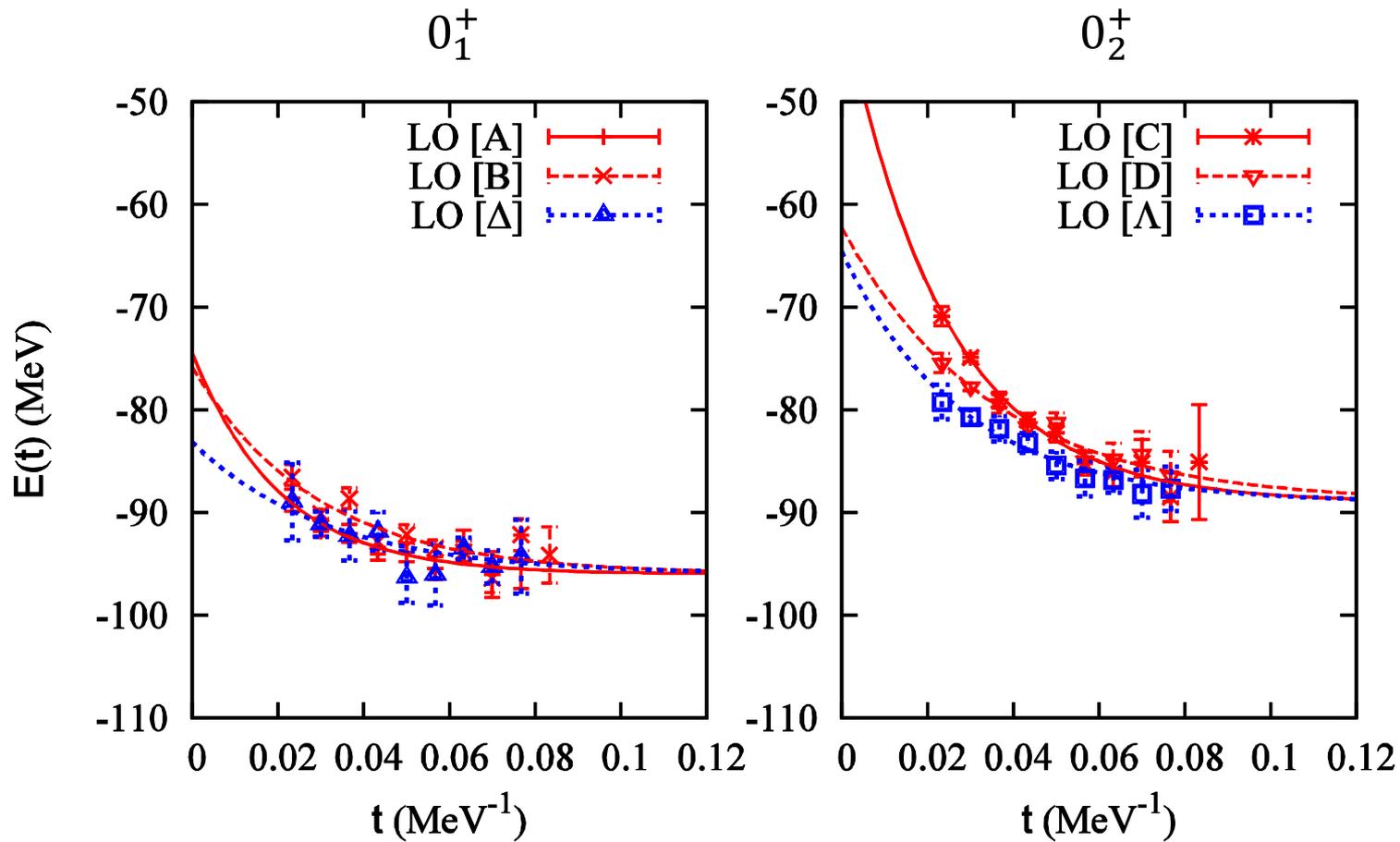
$$\begin{aligned}\psi_j(\vec{n}) &= \exp[-c(\vec{n} - \vec{m})^2] \\ \psi'_j(\vec{n}) &= \exp[-c(\vec{n} - \vec{m}')^2] \\ \psi''_j(\vec{n}) &= \exp[-c(\vec{n} - \vec{m}'')^2] \\ &\vdots\end{aligned}$$

Shell model wavefunctions by themselves do not have enough local four nucleon correlations,

$$\langle (N^\dagger N)^4 \rangle$$

Needs to develop the four nucleon correlations via Euclidean time projection.

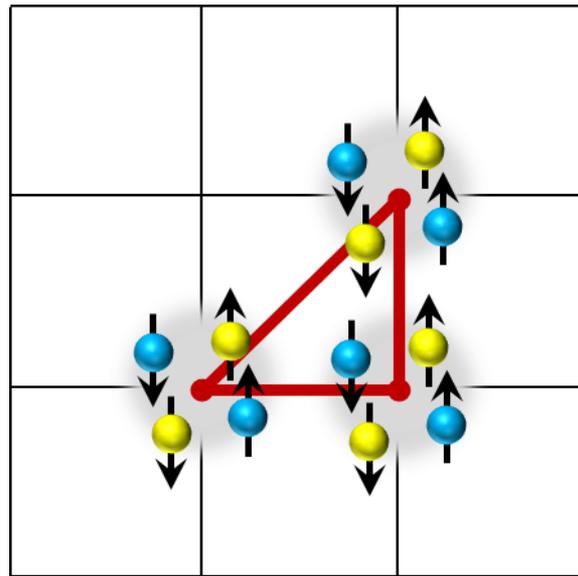
But can reproduce same results starting directly from alpha cluster wavefunctions [ $\Delta$  and  $\Lambda$  in plots on next slide].



*Epelbaum, Krebs, Lähde, D.L. Meißner, PRL 109 252501 (2012)*

## Structure of ground state and first 2+

Strong overlap with compact triangle configuration

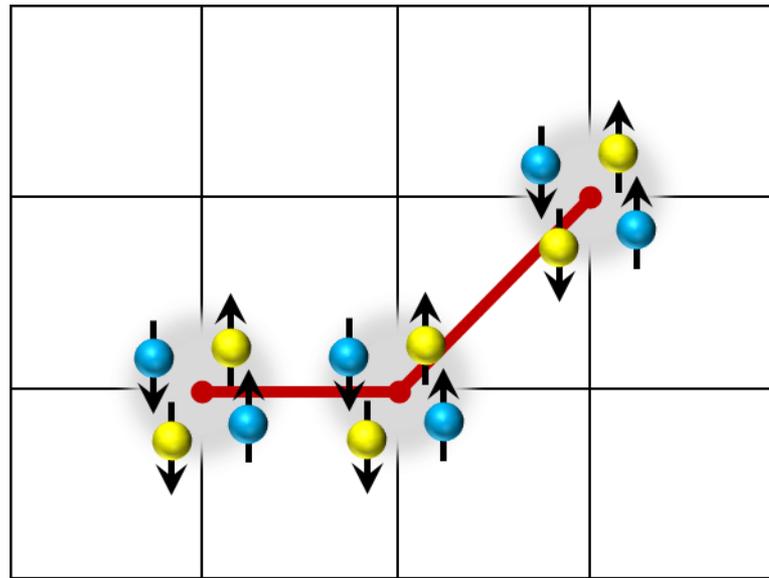


**12 rotational orientations**

$$a = 1.97 \text{ fm}$$

## Structure of Hoyle state and second 2+

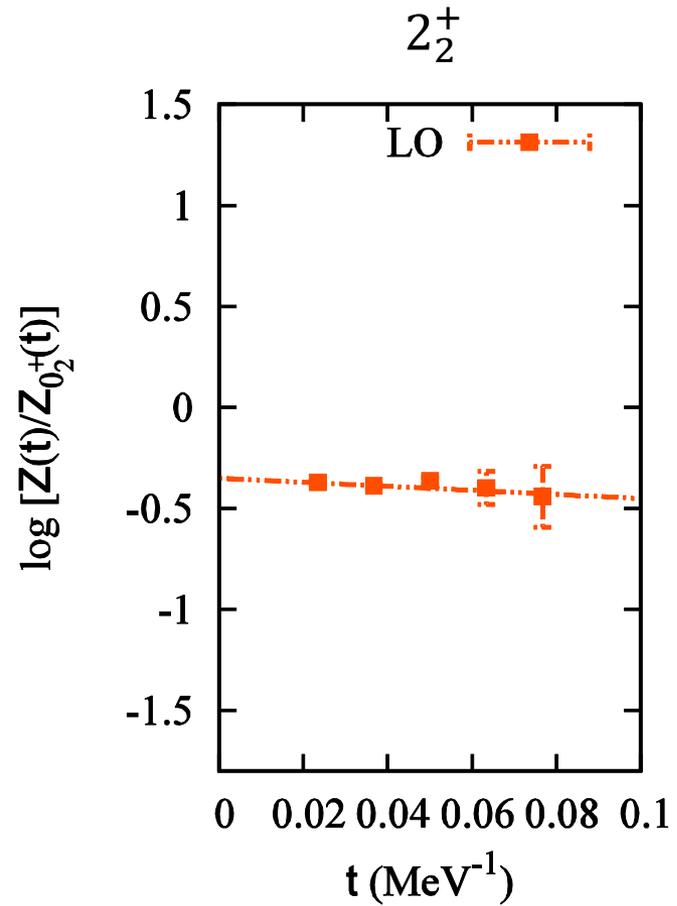
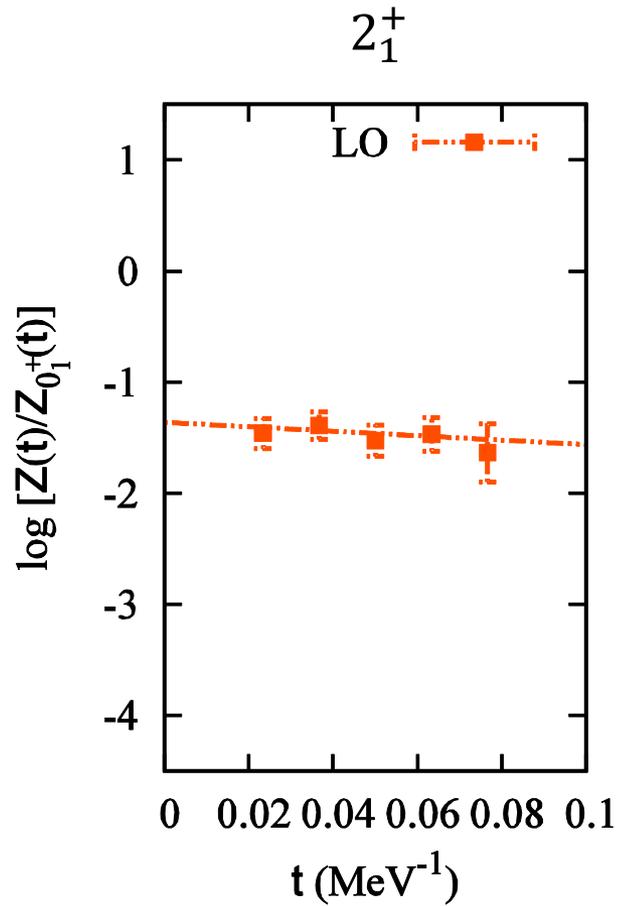
Strong overlap with bent arm configuration



**24 rotational orientations**

$$a = 1.97 \text{ fm}$$

# Rotational excitations – $2_1^+$ , $2_2^+$



## Excited state spectrum of carbon-12 (even parity)

	$2_1^+$	$0_2^+$	$2_2^+$
LO* ( $O(Q^0)$ )	-94(2) MeV	-89(2) MeV	-88(2) MeV
NLO ( $O(Q^2)$ )	-74(3) MeV	-72(3) MeV	-70(3) MeV
NNLO ( $O(Q^3)$ )	-89(3) MeV	-85(3) MeV	-83(3) MeV
Experiment	-87.72 MeV	-84.51 MeV	-82.6(1) MeV (A,B) -81.1(3) MeV (C) -82.13(11) MeV (D)

\*contains some interactions  
promoted from NLO

*A – Freer et al., PRC 80 (2009) 041303*

*B – Zimmerman et al., PRC 84 (2011) 027304*

*C – Hyldegaard et al., PRC 81 (2010) 024303*

*D – Itoh et al., PRC 84 (2011) 054308*

*Epelbaum, Krebs, Lähde, D.L, Meißner, PRL 109 252501 (2012)*

### RMS charge radius

	LO	Experiment
$r_{0_1^+}$ [fm]	2.2(2)	2.47(2)
$r_{2_1^+}$ [fm]	2.2(2)	—
$r_{0_2^+}$ [fm]	2.4(2)	—
$r_{2_2^+}$ [fm]	2.4(2)	—

*Schaller, et al.*  
*NPA 379 (1982) 523*

bound states at  
leading order

### Quadrupole moment

	LO	Experiment
$Q_{2_1^+}$ [ $e \text{ fm}^2$ ]	6(2)	6(3)
$Q_{2_2^+}$ [ $e \text{ fm}^2$ ]	-7(2)	—

*Vermeer, et al.*  
*PLB 122 (1983) 23*

## Electromagnetic transition strengths

	LO	Experiment
$B(E2, 2_1^+ \rightarrow 0_1^+) [e^2 \text{ fm}^4]$	5(2)	7.6(4)
$B(E2, 2_1^+ \rightarrow 0_2^+) [e^2 \text{ fm}^4]$	1.5(7)	2.6(4)
$B(E2, 2_2^+ \rightarrow 0_1^+) [e^2 \text{ fm}^4]$	2(1)	0.73(13)
$B(E2, 2_2^+ \rightarrow 0_2^+) [e^2 \text{ fm}^4]$	6(2)	–
$m(E0, 0_2^+ \rightarrow 0_1^+) [e \text{ fm}^2]$	3(1)	5.5(1)

*Ajzenberg-Selove,  
NPA 506 (1990) 1*

*Zimmerman, et al.,  
arXiv:1303.4326*

*Chernykh, et al.,  
PRL 105 (2010) 022501*

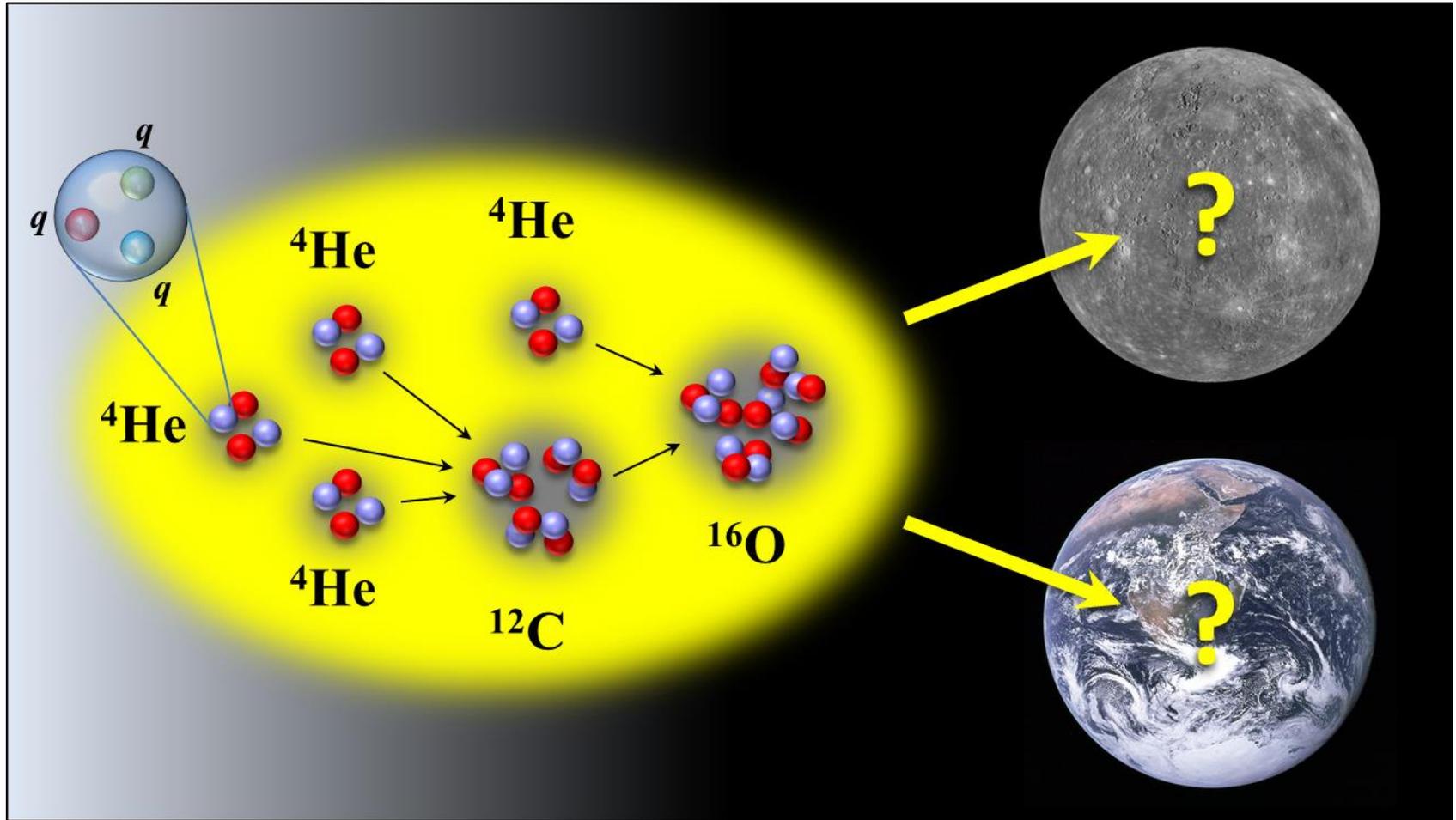
See also other recent calculations using fermionic molecular dynamics

*Chernykh, et al., PRL 98 (2007) 032501*

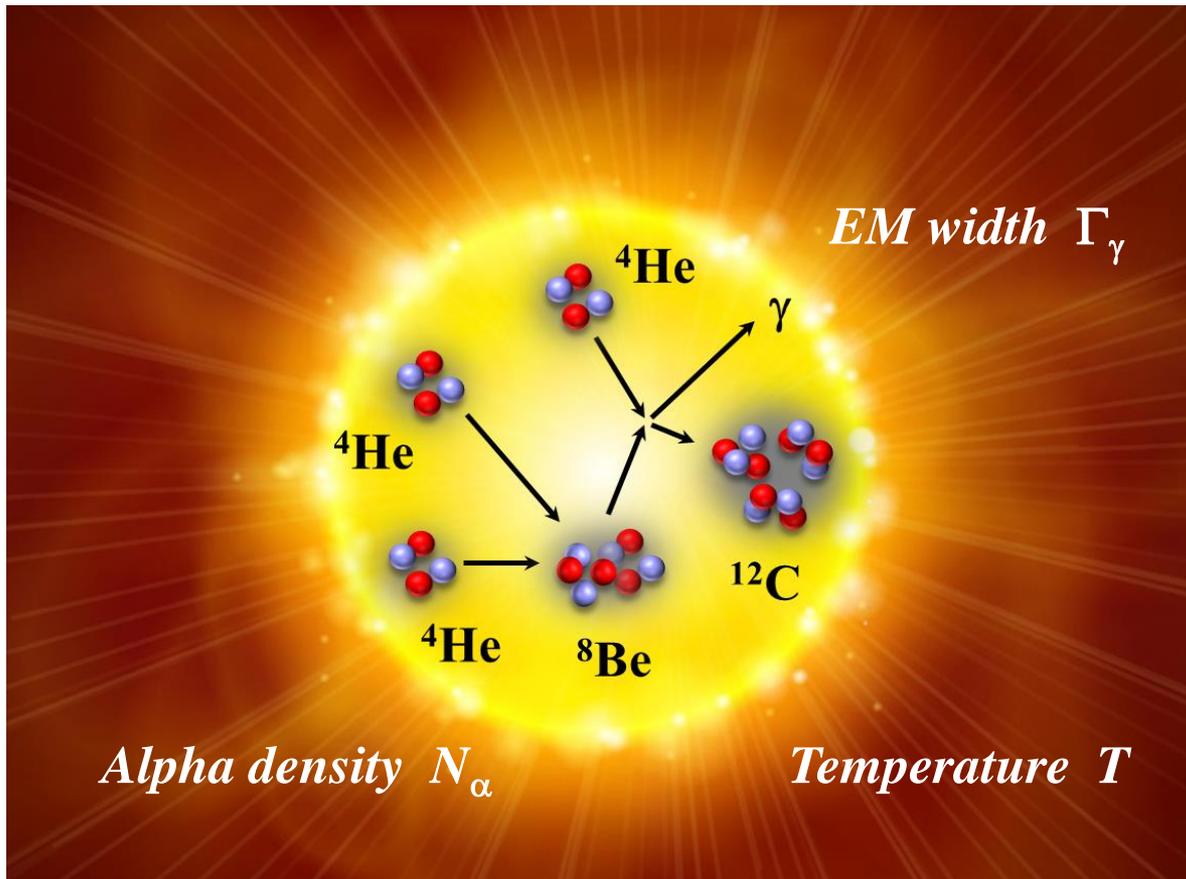
and no-core shell model

*Forssen, Roth, Navratil, arXiv:1110.0634v2*

# Light quark mass dependence of helium burning



## Triple alpha reaction rate



$$r_{3\alpha} \propto \Gamma_\gamma (N_\alpha/k_B T)^3 \times \exp(-\varepsilon/k_B T)$$

$$\varepsilon = E_h - 3E_\alpha \quad \text{Hoyle relative to triple-alpha}$$

## Is nature fine-tuned?

$$\varepsilon = E_h - 3E_\alpha = 379 \text{ keV}$$

$$\varepsilon > 479 \text{ keV}$$

Less resonance enhancement.  
Rate of carbon production smaller  
by several orders of magnitude.  
Low carbon abundance is  
unfavorable for carbon-based life.

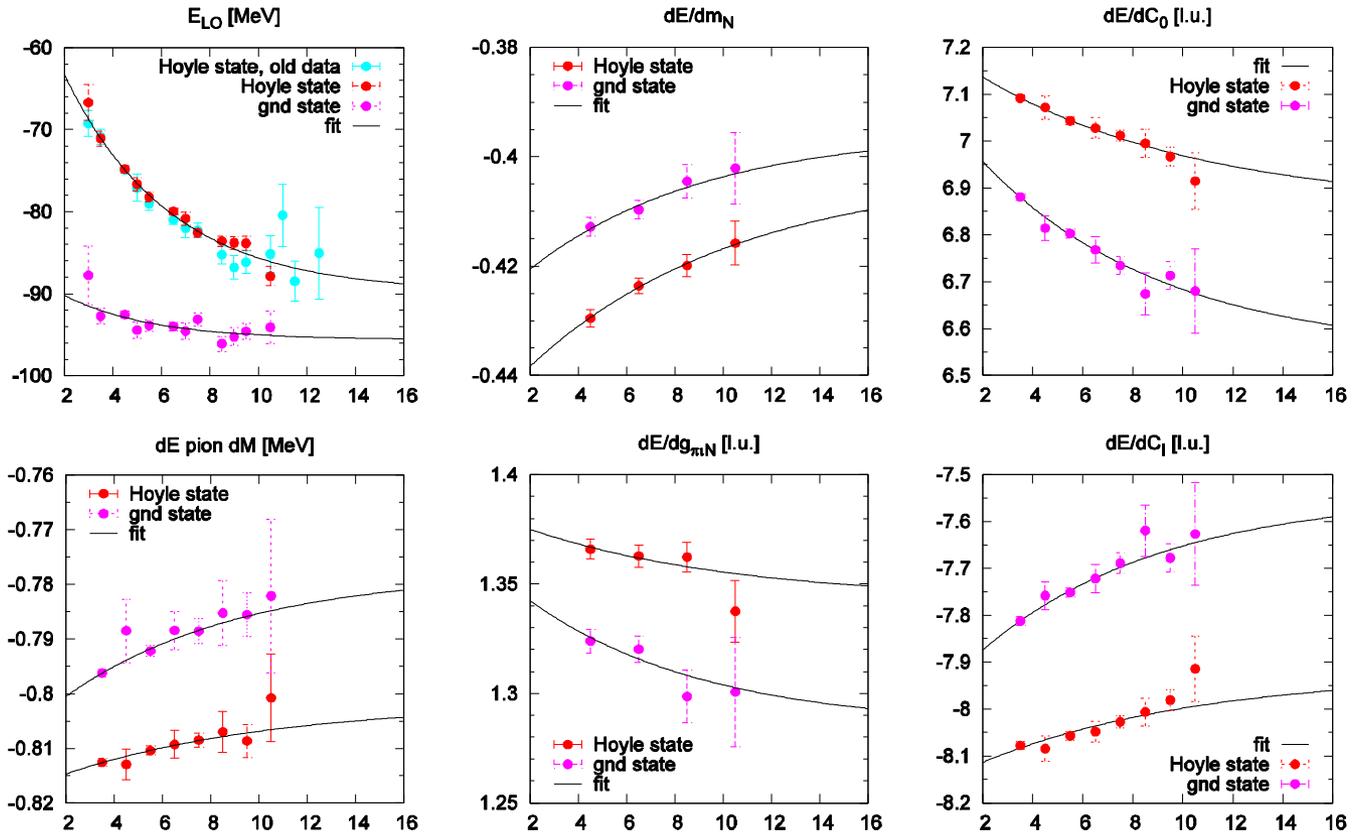
$$\varepsilon < 279 \text{ keV}$$

Carbon production occurs at  
lower stellar temperatures and  
oxygen production greatly reduced.  
Low oxygen abundance is  
unfavorable for carbon-based life.

*Schlattl et al., Astrophys. Space Sci., 291, 27–56 (2004)*

We investigate the dependence on the fundamental parameters of the standard model such as the light quark masses. Can be parameterized by the pion mass.

# Lattice results for pion mass dependence



$$\Delta E_h = E_h - E_b - E_\alpha \quad \text{Hoyle relative to Be-8-alpha}$$

$$\Delta E_b = E_b - 2E_\alpha \quad \text{Be-8 relative to alpha-alpha}$$

$$\varepsilon = E_h - 3E_\alpha \quad \text{Hoyle relative to triple-alpha}$$

$$\left. \frac{\partial \Delta E_h}{\partial M_\pi} \right|_{M_\pi^{\text{ph}}} = -0.455(35) \bar{A}_s - 0.744(24) \bar{A}_t + 0.051(19)$$

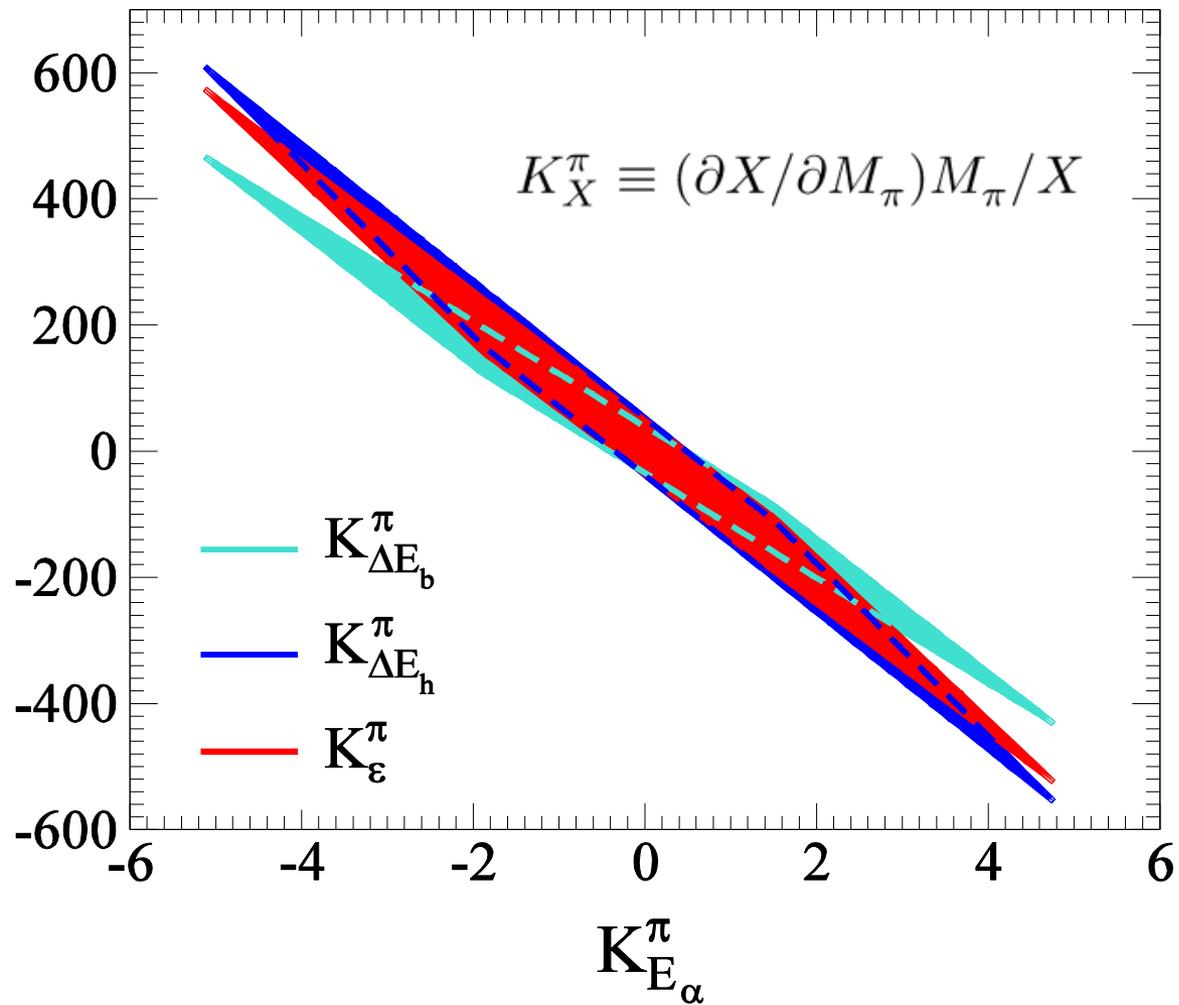
$$\left. \frac{\partial \Delta E_b}{\partial M_\pi} \right|_{M_\pi^{\text{ph}}} = -0.117(34) \bar{A}_s - 0.189(24) \bar{A}_t + 0.013(12)$$

$$\left. \frac{\partial \varepsilon}{\partial M_\pi} \right|_{M_\pi^{\text{ph}}} = -0.572(19) \bar{A}_s - 0.933(15) \bar{A}_t + 0.064(16)$$

$$\bar{A}_s \equiv \partial a_s^{-1} / \partial M_\pi \Big|_{M_\pi^{\text{ph}}} \quad \bar{A}_t \equiv \partial a_t^{-1} / \partial M_\pi \Big|_{M_\pi^{\text{ph}}}$$

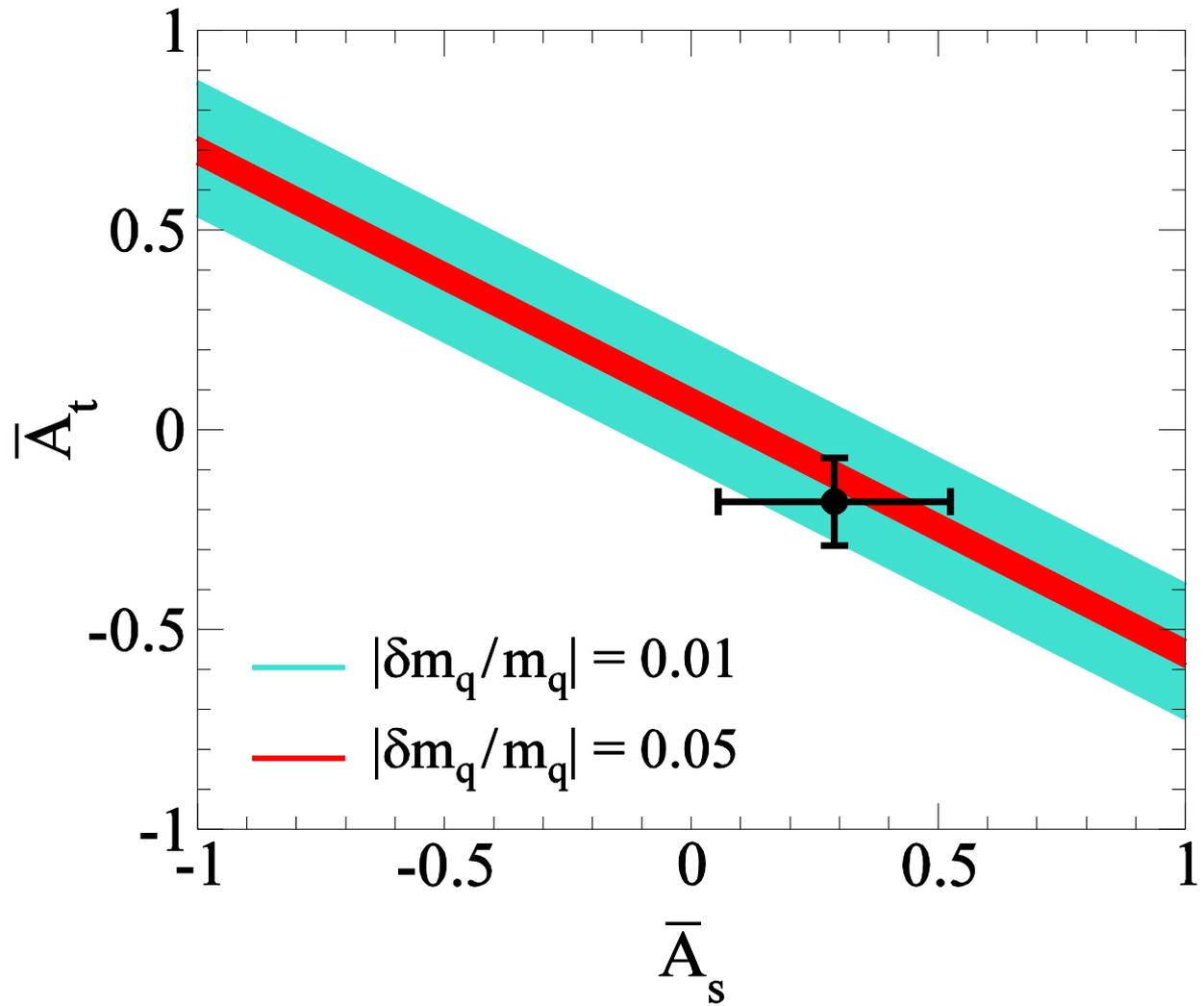
*Epelbaum, Krebs, Lähde, D.L. Meißner, PRL 110 (2013) 112502; ibid., arXiv:1303.4856*  
*Berengut et al., arXiv:1301.1738*

## Evidence for correlation with alpha binding energy



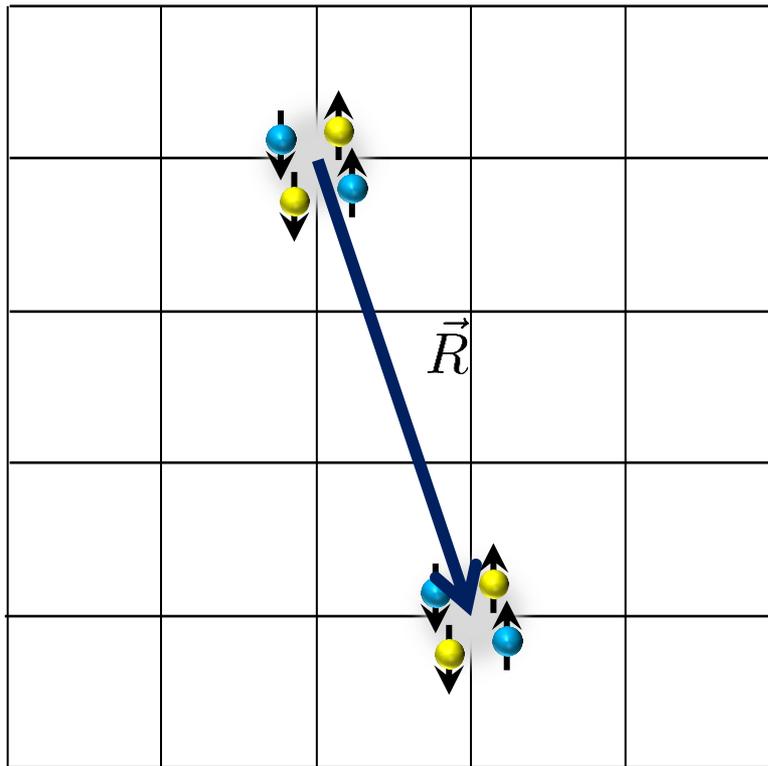
*Epelbaum, Krebs, Lähde, D.L, Meißner, PRL 110 (2013) 112502; ibid., arXiv:1303.4856*

“End of the world” plot



# Towards scattering and reactions on the lattice

## Projected adiabatic matrix method



Using cluster  
wavefunctions  
for continuum  
scattering states

initial state  $|\vec{R}\rangle$

Use projection Monte Carlo to propagate cluster wavefunctions in Euclidean time

$$|\vec{R}\rangle_t = e^{-Ht} |\vec{R}\rangle$$

$$|\vec{R}\rangle_t = \left[ \text{blue grid} \right] \left[ \text{black grid} \right] |\vec{R}\rangle$$

Construct a norm matrix and matrix of expectation values

$$\langle N \rangle_t = {}_t \langle \vec{R}' | \vec{R} \rangle_t =$$

$$\langle \vec{R}' | \left[ \text{black grid} \right] \left[ \text{blue grid} \right] \left[ \text{black grid} \right] | \vec{R} \rangle$$

$$\langle O \rangle_t = {}_t \langle \vec{R}' | O | \vec{R} \rangle_t =$$

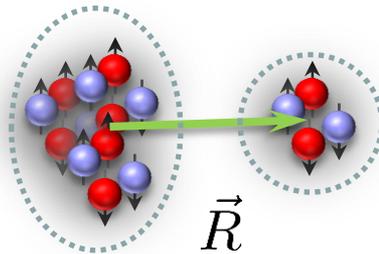
$$\langle \vec{R}' | \left[ \text{black grid} \right] \left[ \text{blue grid} \right] \left[ \text{yellow bar} \right] \left[ \text{blue grid} \right] \left[ \text{black grid} \right] | \vec{R} \rangle$$

Compute the projected adiabatic matrix

$$\langle O \rangle_{\text{adiab}} = \langle N \rangle_t^{-1/2} \langle O \rangle_t \langle N \rangle_t^{-1/2}$$

Projected adiabatic Hamiltonian is now an effective two-body Hamiltonian. Similar in spirit to no-core shell model with resonating group method.

But some differences. Distortion of the nucleus wavefunctions is automatic due to projection in Euclidean time.



Allow for several coupled channels to calculate capture reactions, exchange processes, and break up processes

$$1 + 2 \rightarrow 3 + \gamma$$

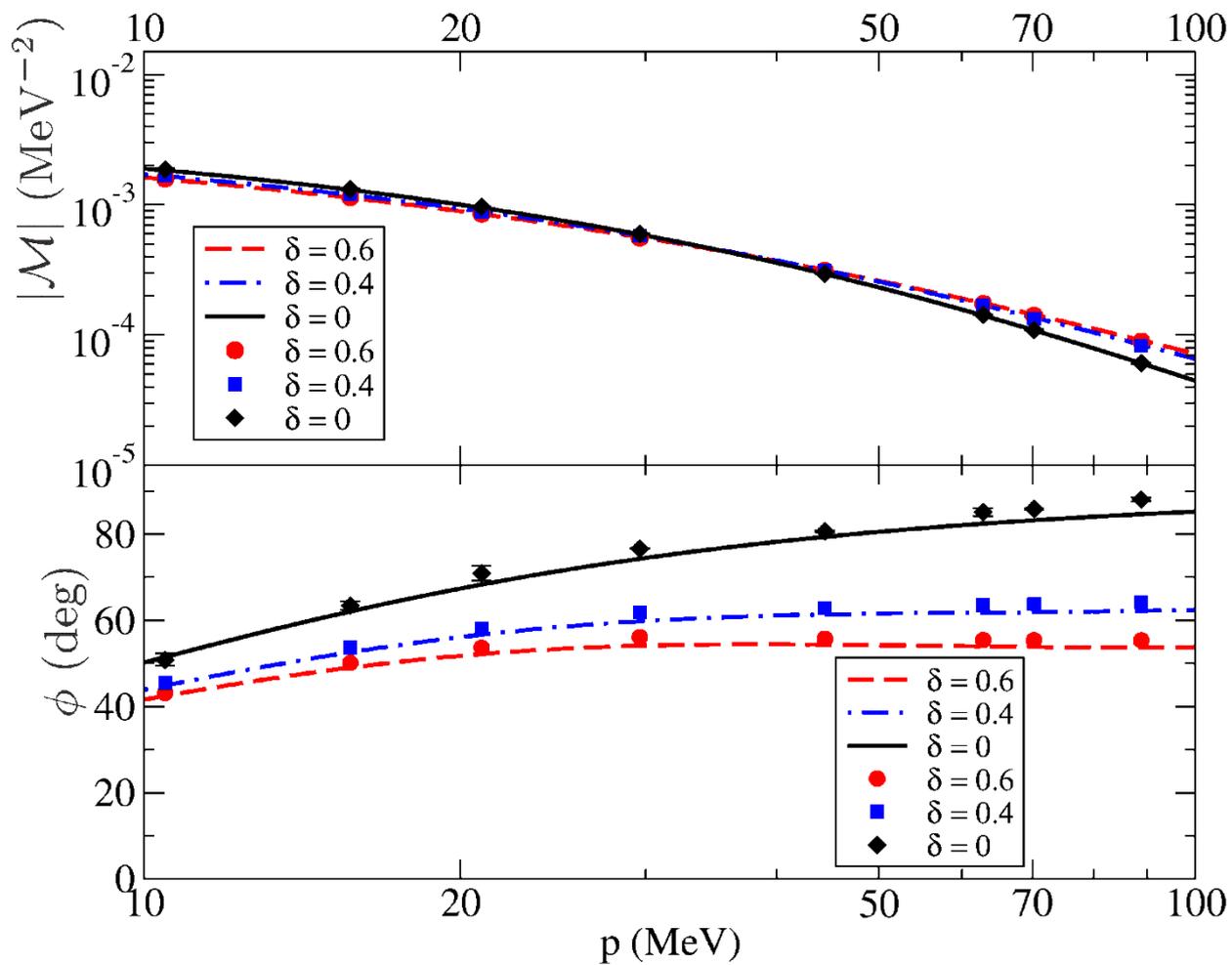
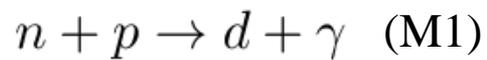
$$1 + 2 \rightarrow 3 + 4$$

$$1 + 2 \rightarrow 3 + 4 + 5$$

We have tested the lattice capture reaction formalism by calculating the M1 amplitude for radiative neutron capture on proton

$$n + p \rightarrow d + \gamma$$

at leading order in pionless effective field theory.



Rupak, D.L., arXiv:1302.4158 [nucl-th]

## Summary and future directions

A golden age for nuclear theory from first principles. Big science discoveries being made and many more around the corner.

Lattice effective field theory is a relatively new and promising tool that combines the framework of effective field theory and computational lattice methods. May play a significant role in the future of *ab initio* nuclear theory.

*Topics to be addressed in the near future...*

Different lattice spacings, spectrum of oxygen-16, adiabatic Hamiltonians for scattering and reactions, alpha clustering in nuclei, transition from S-wave to P-wave pairing in superfluid neutron matter, weak matrix elements, etc.