

# Sensitive tests of the standard model from *K* mesons and lattice QCD

Jefferson Laboratory

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# Outline

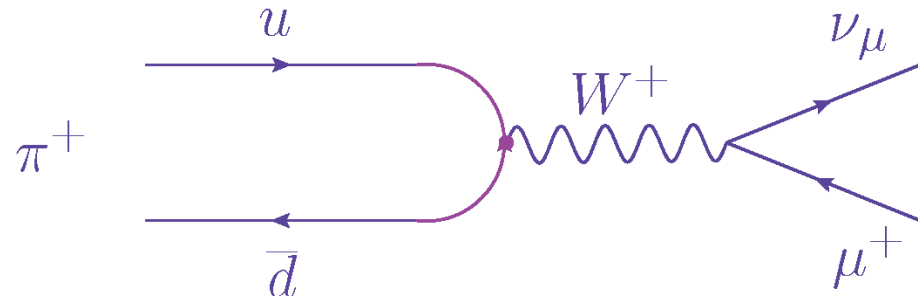
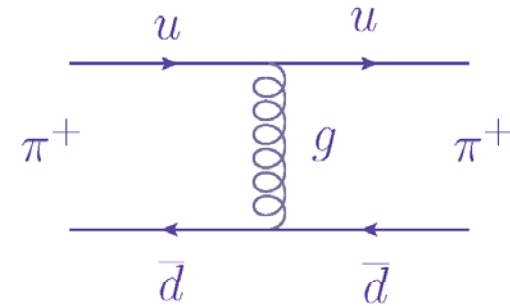
- Quick review of the standard model
- Lattice QCD: methods and status
- Four precision tests of the standard model:
  - 1)  $K \rightarrow \pi \pi$  decay and direct ~~CP~~:  $\varepsilon'$
  - 2)  $K_L - K_S$  mass difference
  - 3) Long distance contribution to  $\varepsilon_K$
  - 4) Long distance contribution to rare kaon decay:  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

# Standard Model

Three generations of matter (fermions)

	I	II	III		
mass	2.4 MeV/c <sup>2</sup>	1.27 GeV/c <sup>2</sup>	171.2 GeV/c <sup>2</sup>	0	? GeV/c <sup>2</sup>
charge	2/3	2/3	2/3	0	0
spin	1/2	1/2	1/2	1	0
name	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>γ</b> photon	<b>H</b> Higgs boson
Quarks	4.8 MeV/c <sup>2</sup>	104 MeV/c <sup>2</sup>	4.2 GeV/c <sup>2</sup>	0	
	-1/3	-1/3	-1/3	0	
	1/2	1/2	1/2	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>g</b> gluon	
Leptons	<2.2 eV/c <sup>2</sup>	<0.17 MeV/c <sup>2</sup>	<15.5 MeV/c <sup>2</sup>	91.2 GeV/c <sup>2</sup>	
	0	0	0	0	
	1/2	1/2	1/2	1	
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>Z<sup>0</sup></b> Z boson	
	0.511 MeV/c <sup>2</sup>	105.7 MeV/c <sup>2</sup>	1.777 GeV/c <sup>2</sup>	80.4 GeV/c <sup>2</sup>	
	-1	-1	-1	±1	
	1/2	1/2	1/2	1	
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>W<sup>±</sup></b> W boson	

Gauge bosons



Examples of  $g$  (gluon) and  $W^+$  (weak) exchange

# Cabibbo-Kobayashi-Maskawa mixing

- $W^\pm$  emission scrambles the quark flavors

$$\begin{pmatrix} u \\ c \\ t \end{pmatrix} \xleftrightarrow{W^\pm} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

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$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\lambda = 0.22535 \pm 0.00065, \quad A = 0.811^{+0.022}_{-0.012},$$

$$\bar{\rho} = 0.131^{+0.026}_{-0.013}, \quad \bar{\eta} = 0.345^{+0.013}_{-0.014}.$$

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CP violation!

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

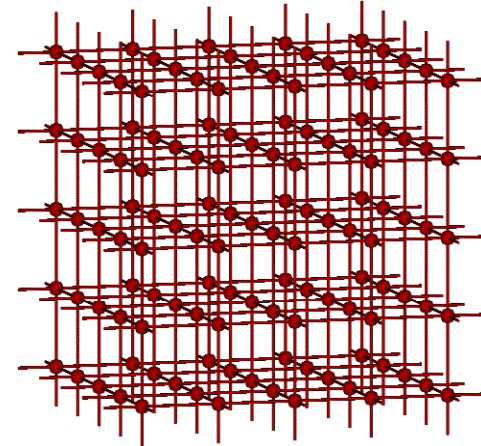
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# State-of-the-art Lattice QCD

# Lattice QCD

- Introduce a space-time lattice.
- Evaluate the Euclidean Feynman path integral
  - Study  $e^{-H_{QCD}t}$
  - Precise non-perturbative formulation
  - Capable of numerical evaluation



$$\sum_n \langle n | e^{-H(T-t)} \mathcal{O} e^{-Ht} | n \rangle = \int d[U_\mu(n)] e^{-\mathcal{A}[U]} \det(D+m) \mathcal{O}[U](t)$$

- Evaluate using Monte Carlo importance sampling with hybrid, molecular dynamics/Langevin evolution.



# Lattice QCD – 2018

- Physical quark masses (ChPT not needed)
- Chiral quarks (doubling problem solved)
- Large physical volumes:  $(6 - 10 \text{ fm})^3$
- Small lattice spacing:  $1/a = 2.4 \text{ GeV}$ 
  - $(\Lambda_{\text{QCD}} a)^2$  effects  $< 1\%$  😊
  - $(m_{\text{charm}} a)^2$  effects  $\sim 15\%$  😞

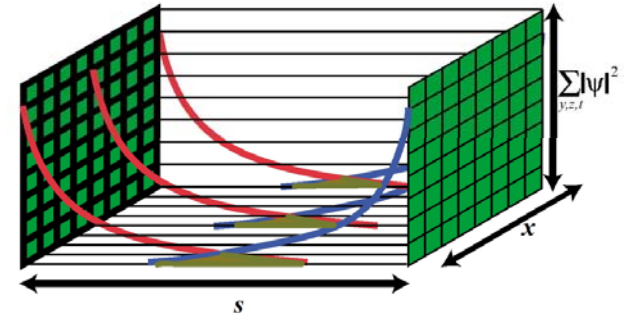
# QCD in Euclidean space

- Euclidean  $e^{-H_{QCD} t}$  projects onto the ground state.
- Treat two-particle states using Luscher's finite-volume analysis
  - Finite-volume energy shifts determine scattering phase shifts.
  - Must work below multi-particle thresholds
  - Two-particle state of interest may not be the lowest energy state
  - Hansen and Sharpe working on 3-particle states – making progress but difficult.
- Extra problems for second-order weak calculations



# Elaborate methods required

- Use 5-D, domain wall lattice fermions – physical quarks bound to 4D boundaries
- Measurements on  $64^3 \times 128$  lattice
- Compute 2000 lowest Dirac eigenvectors to speed up Dirac operator inversion.
- KNL chip has 68 cores, each with 4 threads and two 512-bit wide, pipelined FPUs.
- Broad collaboration and substantial funding needed.



# Lattice QCD

$$\sum_n \langle n | e^{-H(T-t)} \mathcal{O} e^{-Ht} | n \rangle = \int d[U_\mu(n)] e^{-\mathcal{A}[U]} \det(D+m) \mathcal{O}[U](t)$$

- Very large computational challenge:
  - For a  $64^3 \times 128$  lattice: Integrate over one billion variables
  - Spin-1/2 quarks are represented as 4-D states on the boundary of a 5-D volume.
  - Integrand contains the determinant of a (10 Billion) x (10 Billion) matrix



- Fast code running on 32K nodes of Mira sustains one Petaflops [ $10^{15}$  (adds + mults)/sec ]

## The RBC & UKQCD collaborations

### BNL and RBRC

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Luchang Jin  
Chulwoo Jung  
Christoph Lehner  
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Vera Guelpers  
James Harrison  
Andreas Juettner  
Andrew Lawson  
Edwin Lizarazo  
Chris Sachrajda

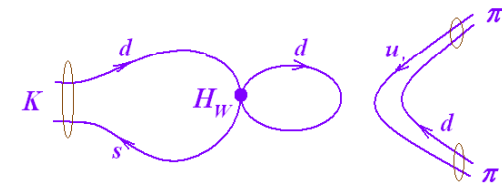
### York University (Toronto)

Renwick Hudspith

# Precision tests of the Standard Model

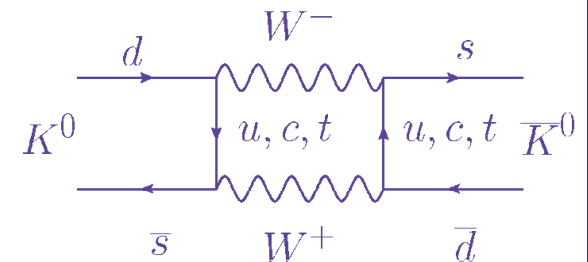
Direct CP violation  $K \rightarrow \pi \pi$

$$|\varepsilon'| = 3.70(53) \times 10^{-6}$$



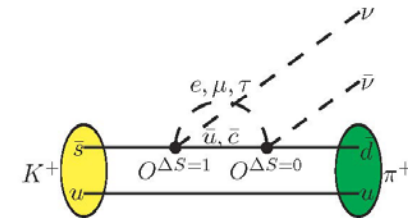
Indirect CP violation  $K \rightarrow \pi \pi$

$$|\varepsilon| = 0.002228 (11)$$



$$m_{K_L} - m_{K_S} = 3.19(41)(96) \times 10^{-12} \text{ MeV}$$

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}, \text{ BR} = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$



# $K \rightarrow \pi \pi$ Decay

## $K^0 - \bar{K}^0$ mixing

- $\Delta S=1$  weak decays allow  $K^0$  and  $\bar{K}^0$  to decay to the same  $\pi\pi$  state.
- Resulting mixing described by Wigner-Weisskopf

$$i \frac{d}{dt} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix} = \left\{ \begin{pmatrix} M_{00} & M_{00\bar{}} \\ M_{\bar{0}0} & M_{\bar{0}\bar{0}} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{00} & \Gamma_{00\bar{}} \\ \Gamma_{\bar{0}0} & \Gamma_{\bar{0}\bar{0}} \end{pmatrix} \right\} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix}$$

- Decaying states are mixtures of  $K^0$  and  $\bar{K}^0$

$$|K_S\rangle = \frac{K_+ + \bar{\epsilon}K_-}{\sqrt{1 + |\bar{\epsilon}|^2}} \quad \bar{\epsilon} = \frac{i}{2} \left\{ \frac{\text{Im}M_{00\bar{}} - \frac{i}{2}\text{Im}\Gamma_{00\bar{}}}{\text{Re}M_{00\bar{}} - \frac{i}{2}\text{Re}\Gamma_{00\bar{}}} \right\}$$

$$|K_L\rangle = \frac{K_- + \bar{\epsilon}K_+}{\sqrt{1 + |\bar{\epsilon}|^2}}$$

Indirect CP  
violation



# CP violation

- CP violating, experimental amplitudes:

$$\eta_{+-} \equiv \frac{\langle \pi^+ \pi^- | H_w | K_L \rangle}{\langle \pi^+ \pi^- | H_w | K_S \rangle} = \epsilon + \epsilon'$$
$$\eta_{00} \equiv \frac{\langle \pi^0 \pi^0 | H_w | K_L \rangle}{\langle \pi^0 \pi^0 | H_w | K_S \rangle} = \epsilon - 2\epsilon'$$

- Where:  $\epsilon = \bar{\epsilon} + i \frac{\text{Im} A_0}{\text{Re} A_0}$

Indirect:  $|\epsilon| = (2.228 \pm 0.011) \times 10^{-3}$

Direct:  $\text{Re}(\epsilon'/\epsilon) = (1.66 \pm 0.23) \times 10^{-3}$

# $K \rightarrow \pi \pi$ and CP violation

- Final  $\pi\pi$  states can have  $I = 0$  or 2.

$$\langle \pi\pi(I = 2) | H_w | K^0 \rangle = A_2 e^{i\delta_2} \quad \Delta I = 3/2$$

$$\langle \pi\pi(I = 0) | H_w | K^0 \rangle = A_0 e^{i\delta_0} \quad \Delta I = 1/2$$

- CP symmetry requires  $A_0$  and  $A_2$  be real.
- Direct CP violation in this decay is characterized by:

$$\epsilon' = \frac{i e^{\delta_2 - \delta_0}}{\sqrt{2}} \left| \frac{A_2}{A_0} \right| \left( \frac{\text{Im} A_2}{\text{Re} A_2} - \frac{\text{Im} A_0}{\text{Re} A_0} \right)$$

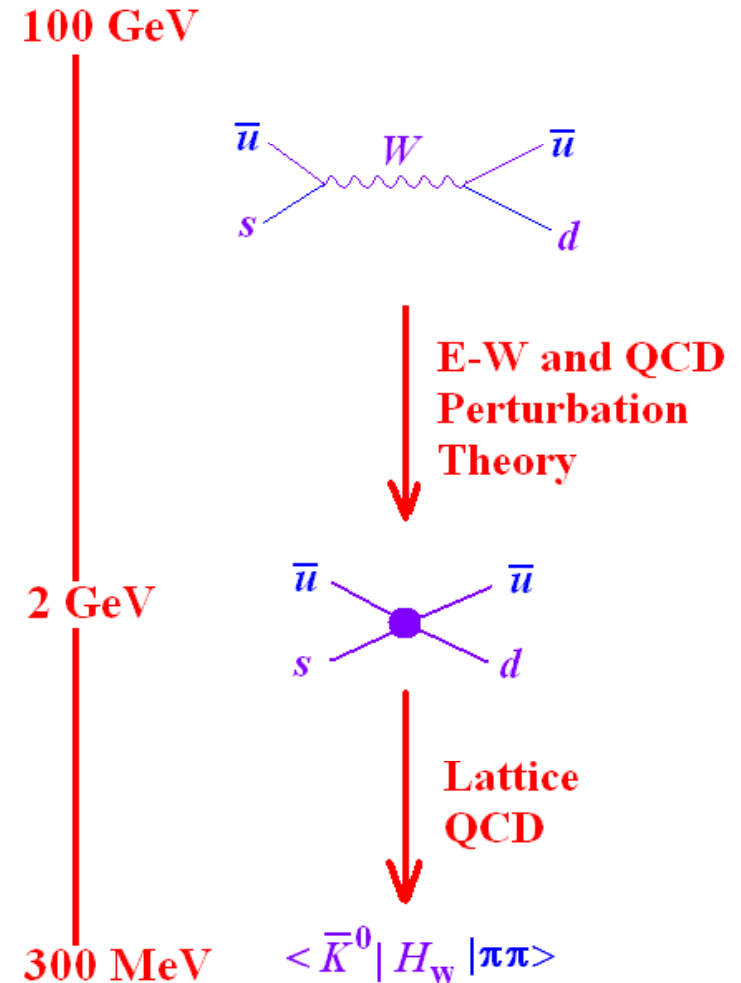
Direct CP  
violation

# Low Energy Effective Theory

- Represent weak interactions by local four-quark Lagrangian

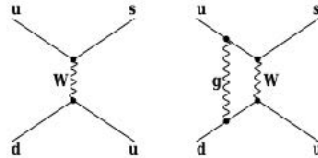
$$\mathcal{H}^{\Delta S=1} = \frac{G_F}{\sqrt{2}} V_{ud} V_{us}^* \left\{ \sum_{i=1}^{10} [z_i(\mu) + \tau y_i(\mu)] Q_i \right\}$$

- $\tau = -\frac{V_{td} V_{ts}^*}{V_{ud} V_{us}^*} = (1.543 + 0.635i) \times 10^{-3}$
- $V_{qq'}$  – CKM matrix elements
- $z_i$  and  $y_i$  – Wilson Coefficients
- $Q_i$  – four-quark operators



# Local four quark operators

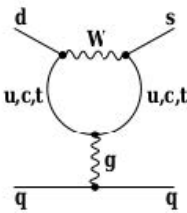
- Current-current operators



$$Q_1 \equiv (\bar{s}_\alpha d_\alpha)_{V-A} (\bar{u}_\beta u_\beta)_{V-A}$$

$$Q_2 \equiv (\bar{s}_\alpha d_\beta)_{V-A} (\bar{u}_\beta u_\alpha)_{V-A}$$

- QCD Penguins



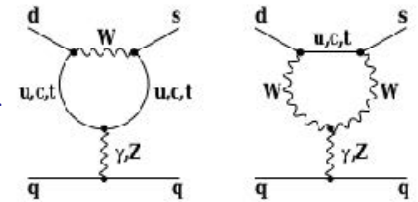
$$Q_3 \equiv (\bar{s}_\alpha d_\alpha)_{V-A} \sum_{q=u,d,s} (\bar{q}_\beta q_\beta)_{V-A}$$

$$Q_4 \equiv (\bar{s}_\alpha d_\beta)_{V-A} \sum_{q=u,d,s} (\bar{q}_\beta q_\alpha)_{V-A}$$

$$Q_5 \equiv (\bar{s}_\alpha d_\alpha)_{V-A} \sum_{q=u,d,s} (\bar{q}_\beta q_\beta)_{V+A}$$

$$Q_6 \equiv (\bar{s}_\alpha d_\beta)_{V-A} \sum_{q=u,d,s} (\bar{q}_\beta q_\alpha)_{V+A}$$

- Electro-Weak Penguins



$$Q_7 \equiv \frac{3}{2} (\bar{s}_\alpha d_\alpha)_{V-A} \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\beta)_{V+A}$$

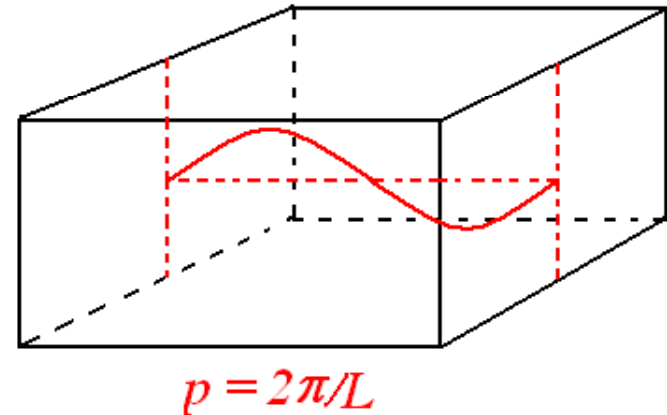
$$Q_8 \equiv \frac{3}{2} (\bar{s}_\alpha d_\beta)_{V-A} \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\alpha)_{V+A}$$

$$Q_9 \equiv \frac{3}{2} (\bar{s}_\alpha d_\alpha)_{V-A} \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\beta)_{V-A}$$

$$Q_{10} \equiv \frac{3}{2} (\bar{s}_\alpha d_\beta)_{V-A} \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\alpha)_{V-A}$$

# Physical $\pi\pi$ states – Lellouch-Lüscher

- Euclidean  $e^{-Ht}$  projects onto  $|\pi\pi(\vec{p}=0)\rangle$
- Use finite-volume quantization.
- Adjust volume so 1<sup>st</sup> or 2<sup>nd</sup> excited state has correct  $p$ .



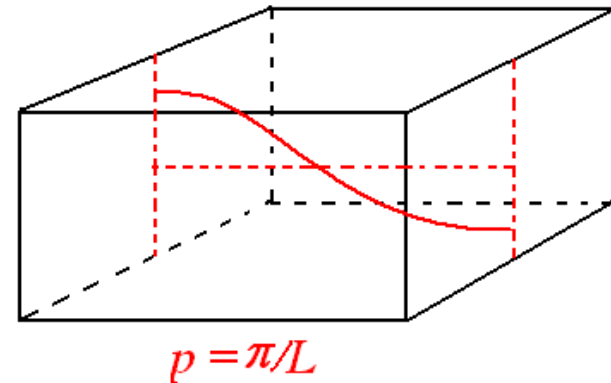
- Include  $\pi - \pi$  interactions with leading  $1/L^3$  finite-volume correction.
- Requires extracting signal from non-leading large- $t$  behavior:

$$G(t) \sim c_0 e^{-E_0 t} + c_1 e^{-E_1 t}$$

# Exploit boundary conditions

- Remove  $\pi\pi$  states with  $E_{\pi\pi} < M_K$  by imposing anti-periodic boundary conditions:

$$2\sqrt{3\left(\frac{\pi}{L}\right)^2 + M_\pi^2} = M_K \rightarrow L = 5.2 \text{ fm}$$



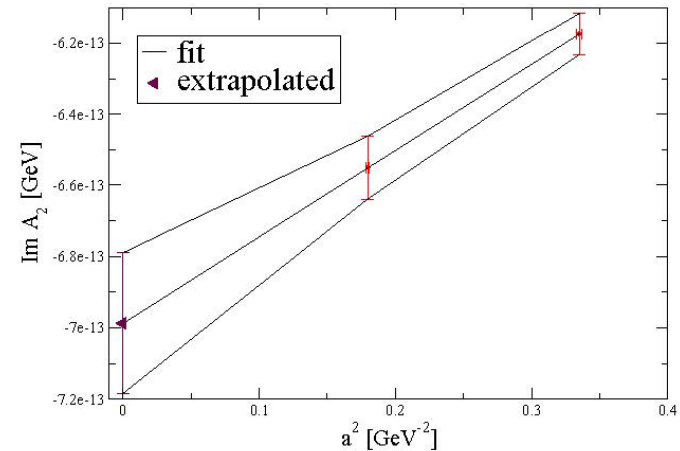
- $I = 2$ , Repulsive,  $L \rightarrow 5.7 \text{ fm}$ 
  - Work with  $\pi^+ \pi^+$  state, impose anti-periodic BC on  $d$  quark
  - $|\pi^+ \pi^+\rangle$  unique, charge-two state, does not mix
- $I = 0$ , Attractive,  $L \rightarrow 4.5 \text{ fm}$ 
  - Must distinguish  $I = 0$  state:  $|\pi^+ \pi^-\rangle - 2 |\pi^0 \pi^0\rangle + |\pi^- \pi^+\rangle$
  - Impose  $G$ -parity BC,  $G = C e^{i\pi I_y}$ ;  $[G, \vec{I}] = 0$

# Calculation of $A_2$

# $\Delta I = 3/2$ – Continuum Results

(M. Lightman, E. Goode T. Janowski)

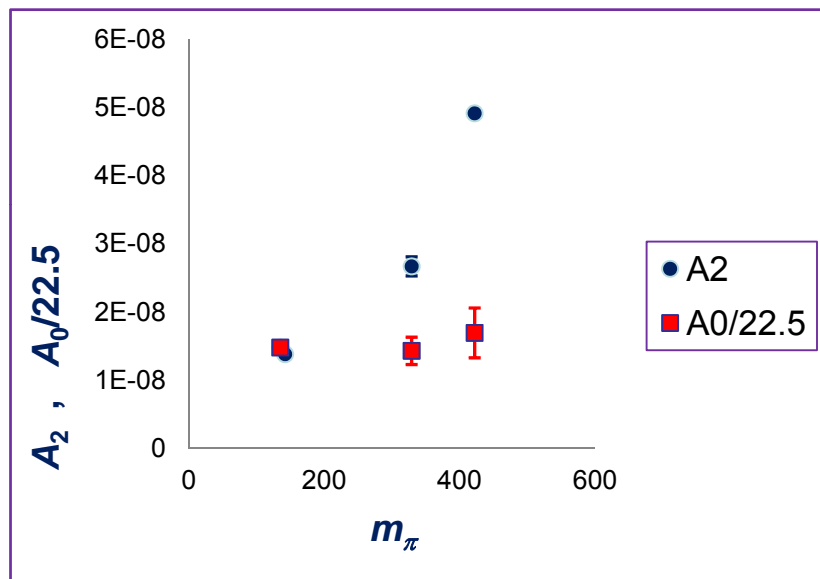
- Use two large ensembles to remove  $a^2$  error ( $m_\pi=135$  MeV,  $L=5.4$  fm)
  - $48^3 \times 96$ ,  $1/a=1.73$  GeV
  - $64^3 \times 128$ ,  $1/a=2.28$  GeV
- Continuum results:
  - $\text{Re}(A_2) = 1.50(0.04_{\text{stat}}) (0.14)_{\text{syst}} \times 10^{-8}$  GeV
  - $\text{Im}(A_2) = -6.99(0.20)_{\text{stat}} (0.84)_{\text{syst}} \times 10^{-13}$  GeV
- Experiment:  $\text{Re}(A_2) = 1.479(4) 10^{-8}$  GeV
- $E_{\pi\pi} \rightarrow \delta_2 = -11.6(2.5)(1.2)^\circ$
- [Phys.Rev. **D91**, 074502 (2015)]



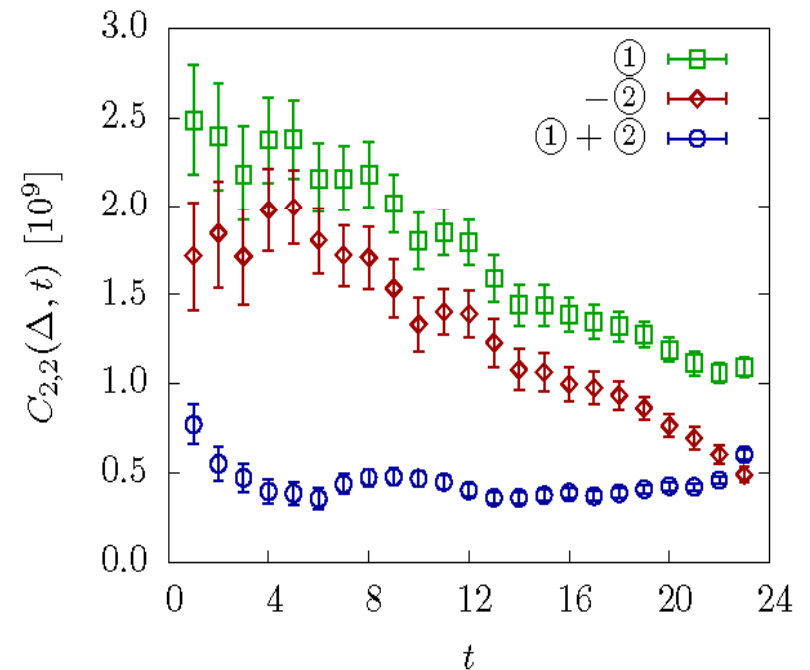


# $\Delta I = 1/2$ Rule (Qiu Liu)

Compare  $A_2$  and  $A_0/22.5$



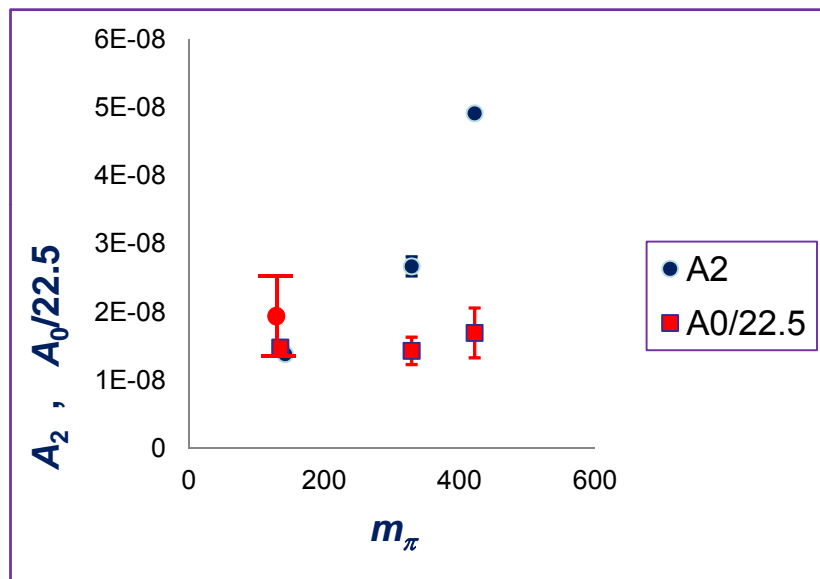
Cancellation in  $A_2$



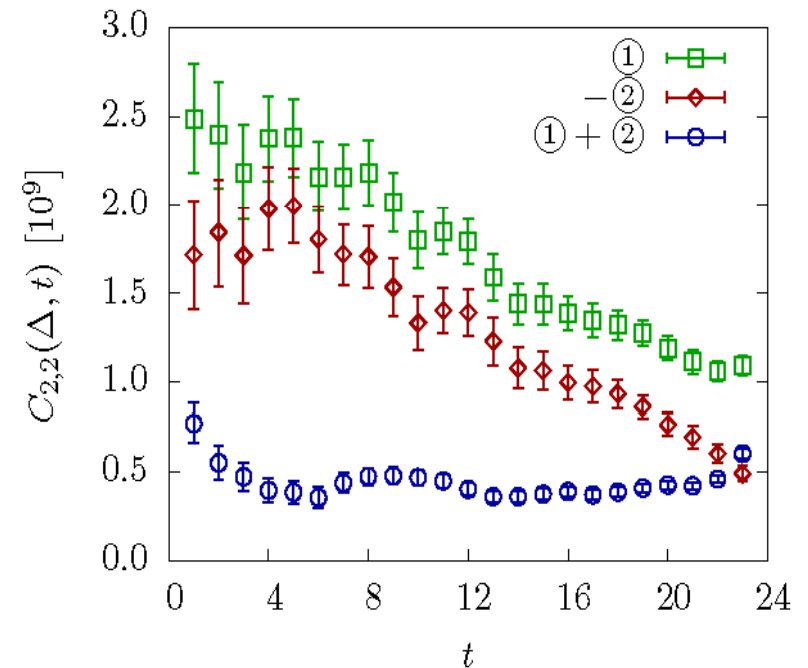
- 50 year puzzle resolved!
- A dynamical QCD effect – no more explanation needed?  
[Phys. Rev. Lett. 108 (2012) 141601]

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[Phys. Rev. Lett. 108 (2012) 141601]

# Calculation of $A_0$ and $\varepsilon'$

# Overview of calculation

(Chris Kelly and Daiqian Zhang)

- Use  $32^3 \times 64$  ensemble
  - $1/a = 1.3784(68)$  GeV,  $L = 4.53$  fm.
  - G-parity boundary condition in 3 directions
  - 216 configurations separated by 4 time units
  - 900 low modes for all-to-all propagators
  - Solve for  $\pi\pi$  and kaon sources on each of 64 time slices
- Achieve essentially physical kinematics:
  - $M_\pi = 143.1(2.0)$
  - $M_K = 490.6(2.2)$  MeV
  - $E_{\pi\pi} = 498(11)$  MeV

# $\Delta I = 1/2$ $K \rightarrow \pi \pi$ – above threshold

(Chris Kelly & Daiqian Zhang)

- Use **G-parity** BC to obtain  $p_\pi = 205$  MeV  
(Changhoan Kim, hep-lat/0210003)

–  $G = C e^{i\pi I_y}$

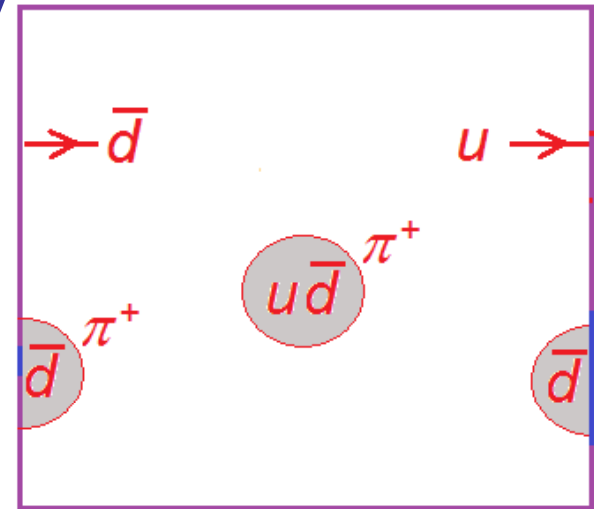
– Non-trivial:  $\begin{pmatrix} u \\ d \end{pmatrix} \rightarrow \begin{pmatrix} \bar{d} \\ -\bar{u} \end{pmatrix}$

– Gauge fields obey C BC

– Extra  $I = 1/2$ ,  $s'$  quark adds  $e^{-m_K L}$  error

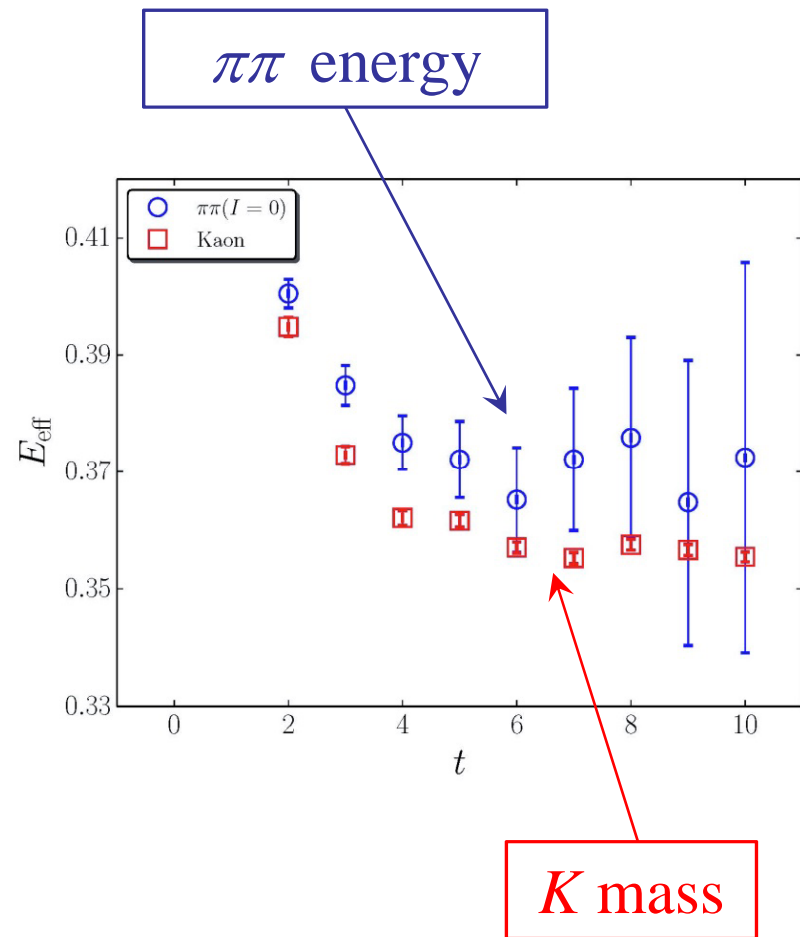
– Must take non-local square root of s-s' determinant.

– Tests:  $f_K$  and  $B_K$  correct within errors.



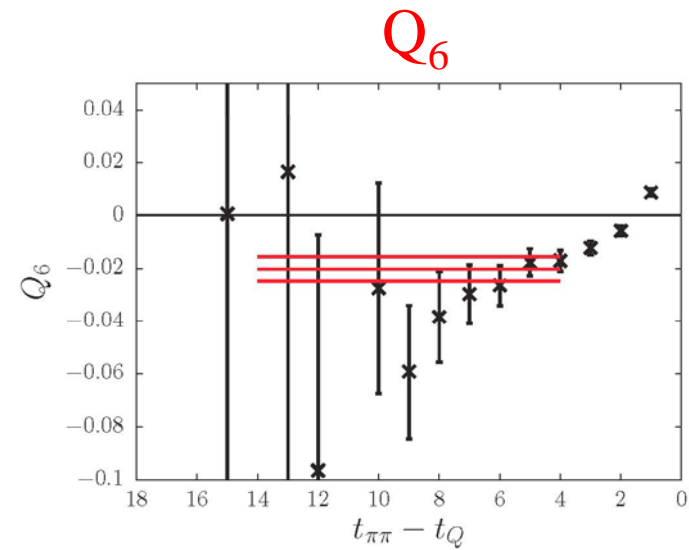
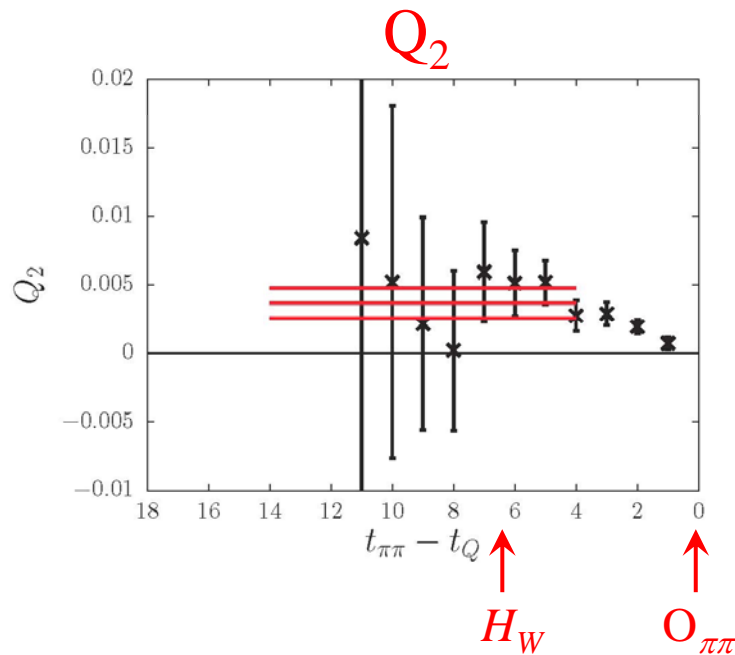
# $I = 0$ , $\pi\pi - \pi\pi$ correlator

- Determine normalization of  $\pi\pi$  interpolating operator
- Determine energy of finite volume,  $I = 0$ ,  $\pi\pi$  state:  
 $E_{\pi\pi} = 498(11) \text{ MeV}$
- Determine  $I = 0$   $\pi\pi$  phase shift:  $\delta_0 = 23.8(4.9)(2.2)^\circ$
- Dispersion theory result:  
 $\delta_0 = 38.0(1.3)^\circ$  [G. Colangelo]



# $\Delta I = 1/2$ $K \rightarrow \pi\pi$ matrix elements

- Vary time separation between  $H_W$  and  $\pi\pi$  operator.
- Show data for all  $K - H_W$  separations  $t_Q - t_K \geq 6$  and  $t_{\pi\pi} - t_K = 10, 12, 14, 16$  and 18.
- Fit correlators with  $t_{\pi\pi} - t_Q \geq 4$
- Obtain consistent results for  $t_{\pi\pi} - t_Q \geq 3$  or 5



# Systematic errors

Description	Error
Operator renormalization	15%
Wilson coefficients	12%
Finite lattice spacing	12%
Lellouch-Luscher factor	11%
Finite volume	7%
Parametric errors	5%
Excited states	5%
Unphysical kinematics	3%
<b>Total</b>	<b>27%</b>



# Results

Determine the complex  $\Delta I=1/2$  amplitude  $A_0$

$$\text{Re}(A_0) = (4.66 \pm 1.00_{\text{stat}} \pm 1.26_{\text{sys}}) \times 10^{-7} \text{ GeV}$$

$$\text{Expt: } (3.3201 \pm 0.0018) \times 10^{-7} \text{ GeV}$$

$$\text{Im}(A_0) = (-1.90 \pm 1.23_{\text{stat}} \pm 1.08_{\text{sys}}) \times 10^{-11} \text{ GeV}$$

Calculate  $\text{Re}(\varepsilon'/\varepsilon)$ :

$$\text{Re}(\varepsilon'/\varepsilon) = (1.38 \pm 5.15_{\text{stat}} \pm 4.59_{\text{sys}}) \times 10^{-4}$$

$$\text{Expt.: } (16.6 \pm 2.3) \times 10^{-4}$$

2.1  $\sigma$  difference

[Phys. Rev. Lett. 115 (2015) 212001]

# Extend and improve calculation

(Chris Kelly and Tianle Wang)

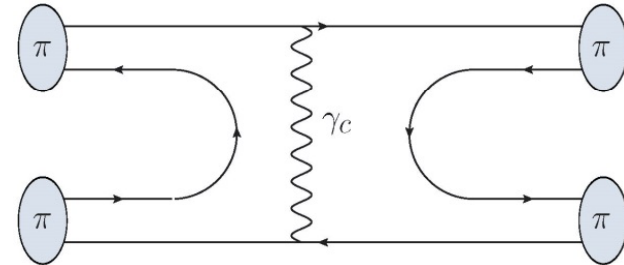
- ✓- Increase statistics: 216 → 1400 configs.
  - Reduce statistical errors
  - Allow in depth study of systematic errors
- ✓- Study operators neglected in our NPR implementation
- ✓- Use step-scaling to allow perturbative matching at a higher energy
  - Use an expanded set of  $\pi\pi$  operators
  - Use X-space NPR to cross charm threshold (Masaaki Tomii).

# Add E&M corrections (Xu Feng)

- Avoid QED<sub>L</sub>, instead use:

- Use 
$$V_T(r) = \begin{cases} \frac{e^2}{r} & r \leq R_T \\ 0 & r > R_T \end{cases}$$

- Choose  $R_{\text{strong}} < R_T < L/2$



- Hasen-Sharpe two-channel, finite-volume quantization/amplitude correction can be employed.
- Missing long-distance effects, including  $\eta \ln(2kr)$  term cancel in the ratios  $\eta_{+-}$ ,  $\eta_{00}$  or  $\epsilon'$

$$\eta_{+-} \equiv \frac{\text{out} \langle (\pi \pi)_{+-}^\gamma | H_W | K_L \rangle}{\text{out} \langle (\pi \pi)_{+-}^\gamma | H_W | K_S \rangle} \quad \eta_{00} \equiv \frac{\text{out} \langle (\pi \pi)_{00}^\gamma | H_W | K_L \rangle}{\text{out} \langle (\pi \pi)_{00}^\gamma | H_W | K_S \rangle}$$

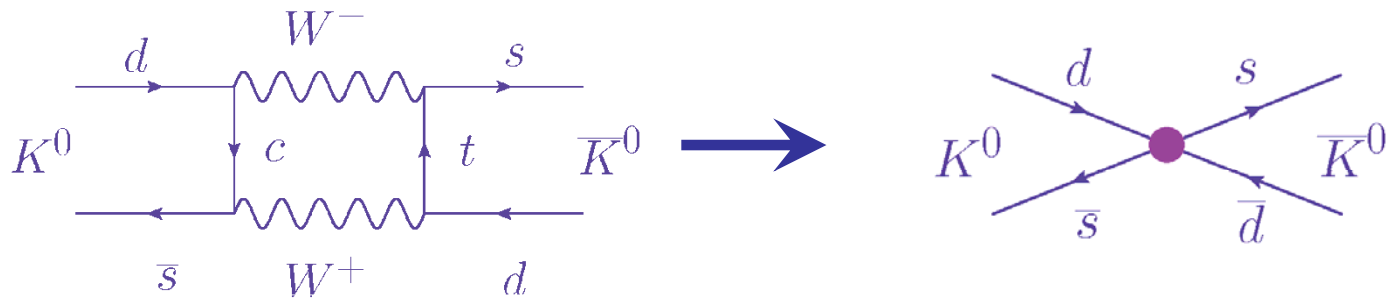
$$\epsilon' = \frac{1}{3} (\eta_{+-} - \eta_{00}) = \frac{\sin 2\theta}{\sin 2\theta^\gamma} \frac{i e^{i(\delta_2^\gamma - \delta_0^\gamma)}}{\sqrt{2}} \frac{\text{Re} A_2^\gamma}{\text{Re} A_0^\gamma} \left( \frac{\text{Im} A_2^\gamma}{\text{Re} A_2^\gamma} - \frac{\text{Im} A_0^\gamma}{\text{Re} A_0^\gamma} \right)$$

$K^0 - \bar{K}^0$  mixing

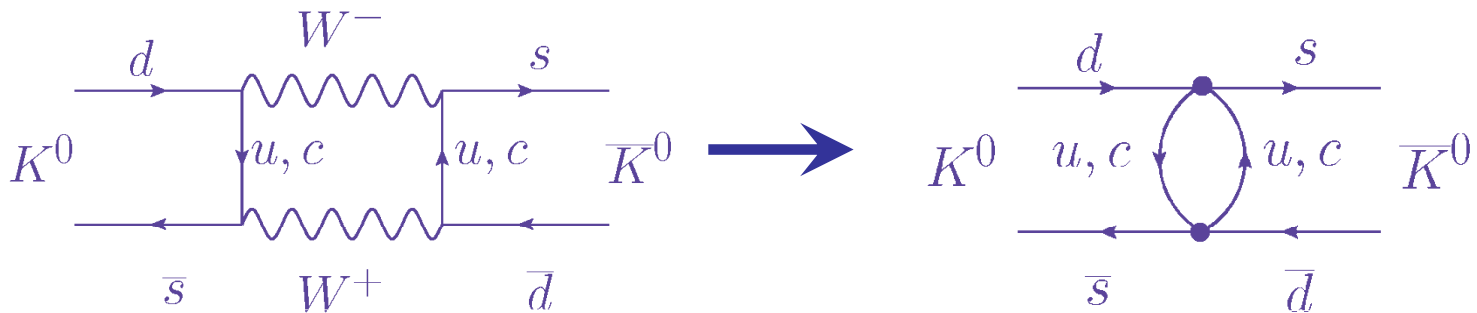
$\Delta M_K$  &  $\varepsilon_K$

# $K^0 - \bar{K}^0$ Mixing

- CP violating:  $p \sim m_t$   $\epsilon_K = \frac{i}{2} \left\{ \frac{\text{Im}M_{00} - \frac{i}{2}\text{Im}\Gamma_{00}}{\text{Re}M_{00} - \frac{i}{2}\text{Re}\Gamma_{00}} \right\} + i \frac{\text{Im}A_0}{\text{Re}A_0}$



- CP conserving:  $p \leq m_c$   $m_{K_S} - m_{K_L} = 2\text{Re}\{M_{00}\}$



# $K^0 - \bar{K}^0$ Mixing

- $\Delta S=1$  weak decay allows  $K^0$  and  $\bar{K}^0$  to decay to the same  $\pi-\pi$  state
- Resulting mixing described by Wigner-Weisskopf:

$$i \frac{d}{dt} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix} = \left\{ \begin{pmatrix} M_{00} & M_{0\bar{0}} \\ M_{\bar{0}0} & M_{\bar{0}\bar{0}} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{00} & \Gamma_{0\bar{0}} \\ \Gamma_{\bar{0}0} & \Gamma_{\bar{0}\bar{0}} \end{pmatrix} \right\} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix}$$

where

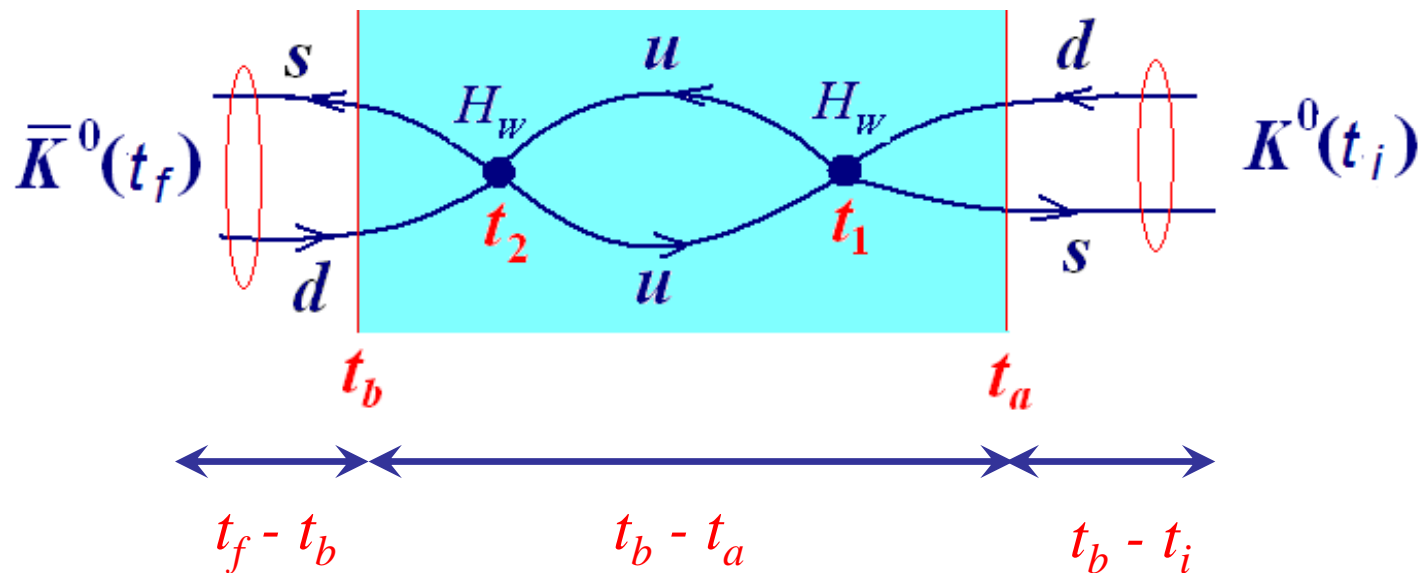
$$\Gamma_{ij} = 2\pi \sum_{\alpha} \int_{2m_{\pi}}^{\infty} dE \langle i | H_W | \alpha(E) \rangle \langle \alpha(E) | H_W | j \rangle \delta(E - m_K)$$

$$M_{ij} = \sum_{\alpha} \mathcal{P} \int_{2m_{\pi}}^{\infty} dE \frac{\langle i | H_W | \alpha(E) \rangle \langle \alpha(E) | H_W | j \rangle}{m_K - E}$$

# Lattice Version

- Evaluate standard, Euclidean, 2<sup>nd</sup> order  $\bar{K}^0 - K^0$  amplitude:

$$\mathcal{A} = \langle 0 | T \left( K^0(t_f) \frac{1}{2} \int_{t_a}^{t_b} dt_2 \int_{t_a}^{t_b} dt_1 H_W(t_2) H_W(t_1) K^0(t_i) \right) | 0 \rangle$$



# Interpret Lattice Result

$$\mathcal{A} = N_K^2 e^{-M_K(t_f - t_i)} \sum_n \frac{\langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle}{M_K - E_n} \left( \overset{\textcircled{1.}}{- (t_b - t_a)} - \overset{\textcircled{2.}}{\frac{1}{M_K - E_n}} + \frac{e^{(M_K - E_n)(t_b - t_a)}}{M_K - E_n} \right)$$

1.  $\Delta m_K^{\text{FV}}$

2. Uninteresting constant

3. Growing or decreasing exponential:  
states with  $E_n < m_K$  must be removed!

- Finite volume correction:

$$M_{K_L} - M_{K_S} = 2 \sum_n \frac{\langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle}{M_K - E_n} - 2 \left. \frac{d(\phi + \delta_0)}{dk} \right|_{m_K} |\langle n_0 | H_W | K^0 \rangle|^2 \cot(\phi + \delta_0) \Big|_{m_K}$$

(N.H. Christ, X. Feng, G. Martinelli, C.T. Sachrajda, arXiv:1504.01170)

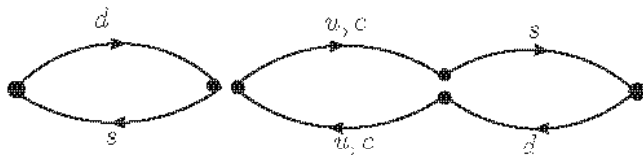


$K_L - K_S$   
mass  
difference

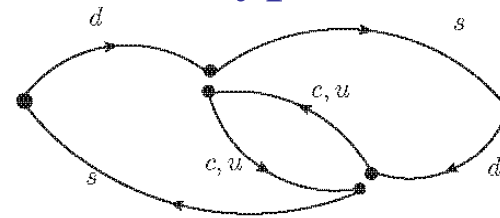
# $K_L - K_S$ mass difference

- $M_{K_L} - M_{K_S} = 3.483(6) \times 10^{-12}$  MeV: sensitive to 1000 TeV scale physics.
- Perturbative result integrates out charm and shows poor convergence (Brod and Gorbahn).
- Finite when charm quark is included (GIM).

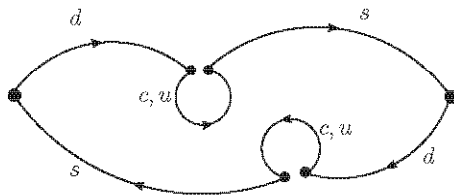
Type 1



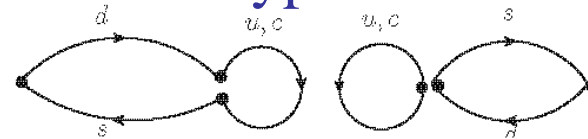
Type 2



Type 3

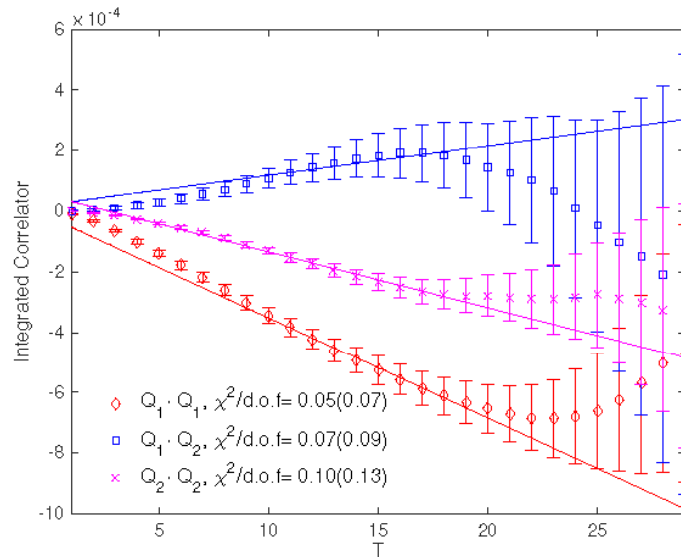


Type 4



# $\Delta M_K$ Preliminary Results

(Ziyuan Bai)



	$\Delta M_K \times 10^{+12} \text{ MeV}$
Types 1-4	5.8(1.7)
Types 1-2	-1.1(1.2)
$\Delta_{\text{FV}}$	0.27(18)
<b>Expt.</b>	<b>3.483(6)</b>

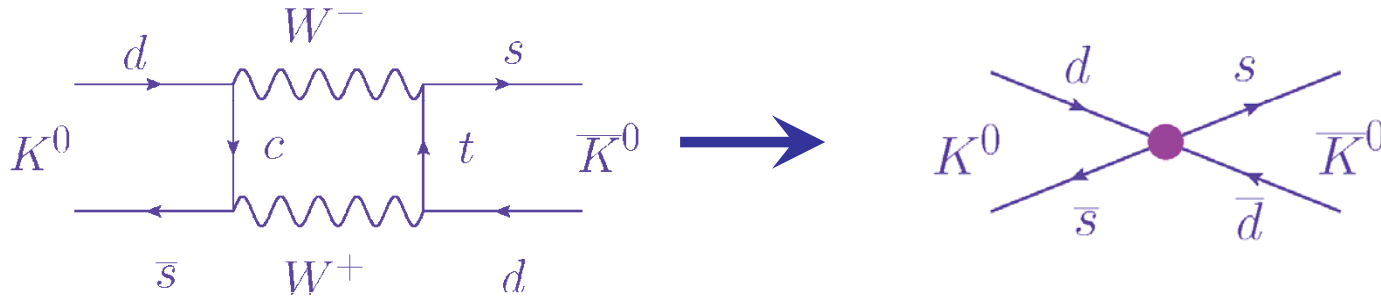
- $m_c^{\overline{MS}}(2 \text{ GeV}) \sim 1.2 \text{ MeV}, M_\pi = 138 \text{ MeV}$
- $64^3 \times 128, 1/a = 2.36 \text{ GeV}$
- Uncorrelated fit:  $10 \leq T \leq 20$
- FV correction  $\sim 5\%$
- $a^2$  errors 5-10%

# Long distance part of $\varepsilon_K$

# $K^0 - \bar{K}^0$ mixing: Indirect CP Violation

- CP violating:  $p \sim m_t$ 

$$\epsilon_K = \frac{i}{2} \left\{ \frac{\text{Im}M_{00} - \frac{i}{2}\text{Im}\Gamma_{00}}{\text{Re}M_{00} - \frac{i}{2}\text{Re}\Gamma_{00}} \right\} + i \frac{\text{Im}A_0}{\text{Re}A_0}$$



- Where  $|\epsilon_K| = (2.228 \pm 0.011) \times 10^{-3}$ 
  - Short distance prediction [W.Lee, *et al.* 1710.06614]:  
 $|\epsilon_K| = 1.58 \pm 0.16$  ( $V_{cb}$  dominant error)
  - Long distance estimate [Buras, *et al.* 1002.3612] :  
 results in 6% reduction

# $\Delta S = 1$ , four-flavor operators (Ziyuan Bai)

- Choose appropriate  $N_f = 4$  effective Hamiltonian:

$$H_W^{\Delta S=1; \Delta C=\pm 1,0} = \frac{G_F}{\sqrt{2}} \left\{ \sum_{q,q'=u,c} V_{q's}^* V_{qd} \sum_{i=1}^2 C_i Q_i^{q'q} + V_{ts}^* V_{td} \sum_{i=3}^6 C_i Q_i \right\}$$

$$Q_1^{q'q} = (\bar{s}_i q'_j)_{V-A} (\bar{q}_j d_i)_{V-A}$$

$$Q_2^{q'q} = (\bar{s}_i q'_i)_{V-A} (\bar{q}_j d_j)_{V-A}$$

$$Q_3 = (\bar{s}_i d_i)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_j)_{V-A}$$

$$Q_4 = (\bar{s}_i d_j)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_i)_{V-A}$$

$$Q_5 = (\bar{s}_i d_i)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_j)_{V+A}$$

$$Q_6 = (\bar{s}_i d_j)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_i)_{V+A}$$

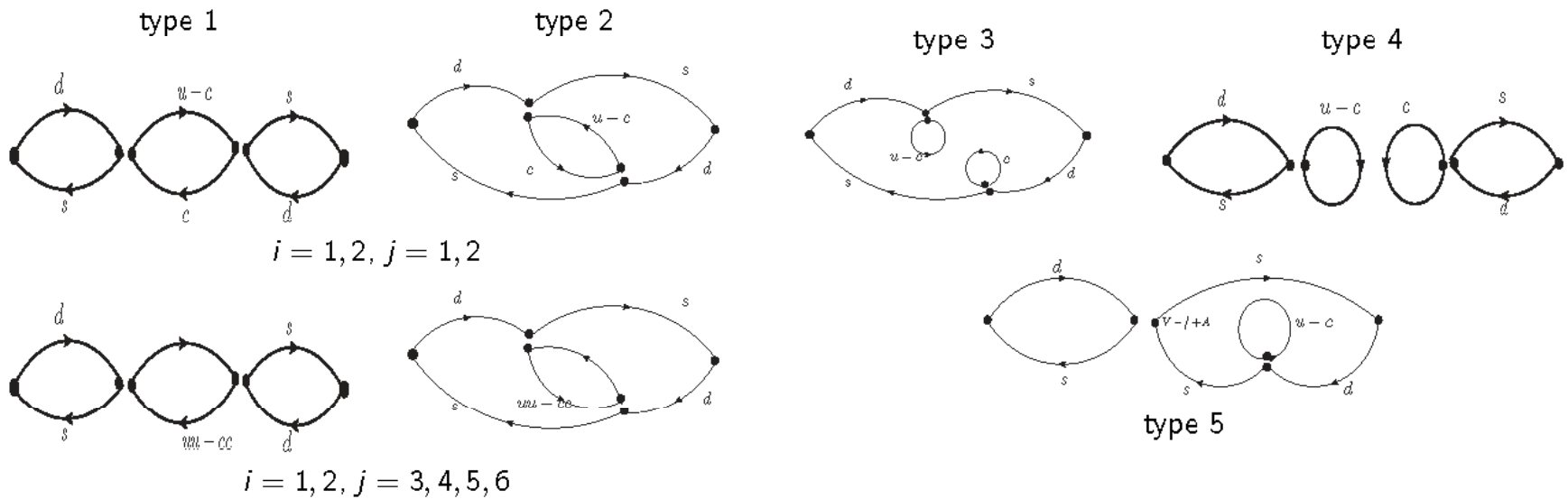
current x current

QCD penguin

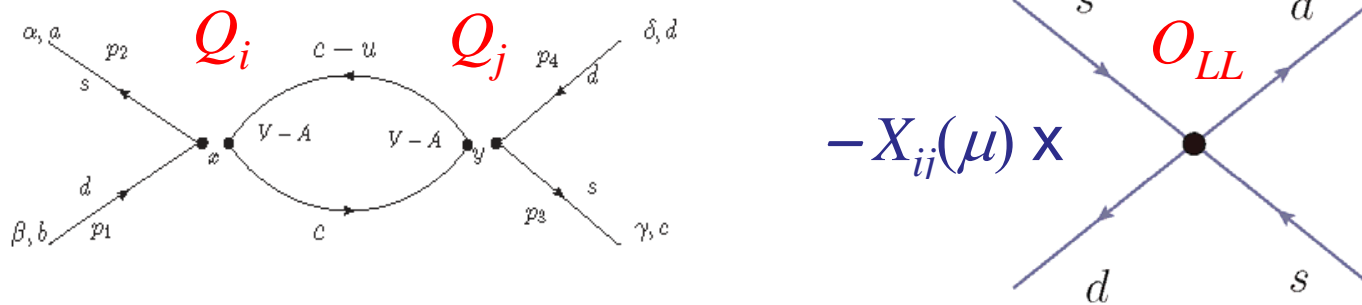
# Diagrams for $\lambda_t \lambda_u$ contribution to $\varepsilon_K$

(Ziyuan Bai)

- Identify five types of diagrams



# New $\Delta S = 2$ counter term (Ziyuan Bai)



- Subtract  $X_{ij}(\mu) (\bar{s}\gamma^\nu(1-\gamma^5)d) (\bar{s}\gamma^\nu(1-\gamma^5)d)$  to make off-shell Greens function vanish at  $p_i^2 = \mu_{RI}^2$
- Define infrared-safe Rome-Southampton normalization for bi-local operator.



# Progress toward long-distance part of $\epsilon_K$

(Ziyuan Bai)

- Compute NLO (one-loop) conversion from bilocal RI to MS
- Preliminary

$\mu_{RI}$	$\text{Im } M_{00}^{ut,RI}$	$\text{Im } M_{00}^{ut,RI \rightarrow \overline{MS}}$	$\text{Im } M_{00}^{ut,ld corr}$	$\epsilon_K^{ut,ld corr}$
1.54 GeV	-0.746(0.389)	0.282	-0.464 (0.389)	0.0911(0.076)
1.92 GeV	-0.912(0.389)	0.384	-0.527 (0.389)	0.104(0.076)
2.11 GeV	-0.986(0.389)	0.434	-0.551 (0.389)	0.108(0.076)
2.31 GeV	-1.050(0.390)	0.486	-0.565 (0.390)	0.111(0.077)
2.56 GeV	-1.115(0.390)	0.548	-0.568 (0.390)	0.111(0.077)

- $|\epsilon_K| = 2.228(11) \times 10^{-3}$  *expt.*

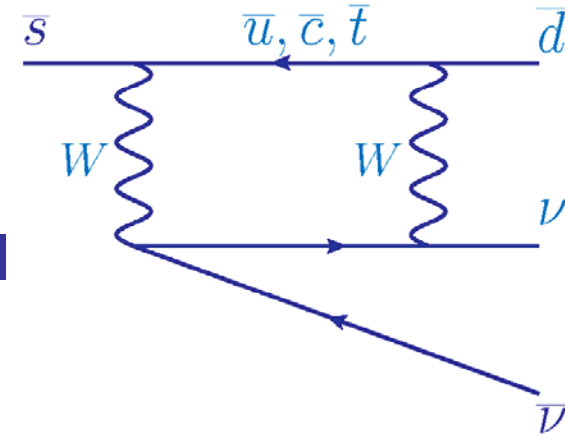
# Rare Kaon Decays

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

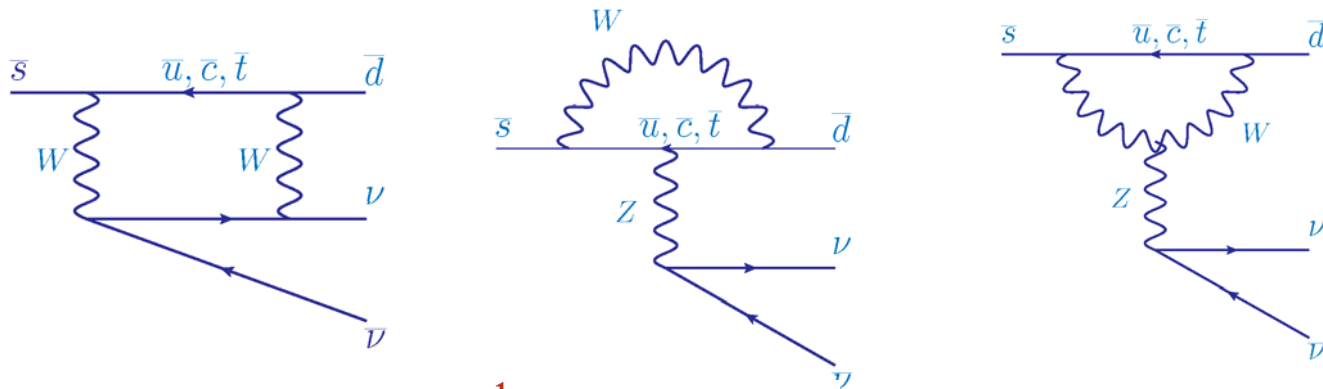
$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

(Xu Feng)

- Flavor changing neutral current
  - Allowed in the Standard Model only in second order
  - Short distance dominated
- Target of NA62 at CERN
  - 100 events in 2-3 years
  - Test Standard Model prediction at 10% level
  - Use lattice for long distance part: 5% effect ?



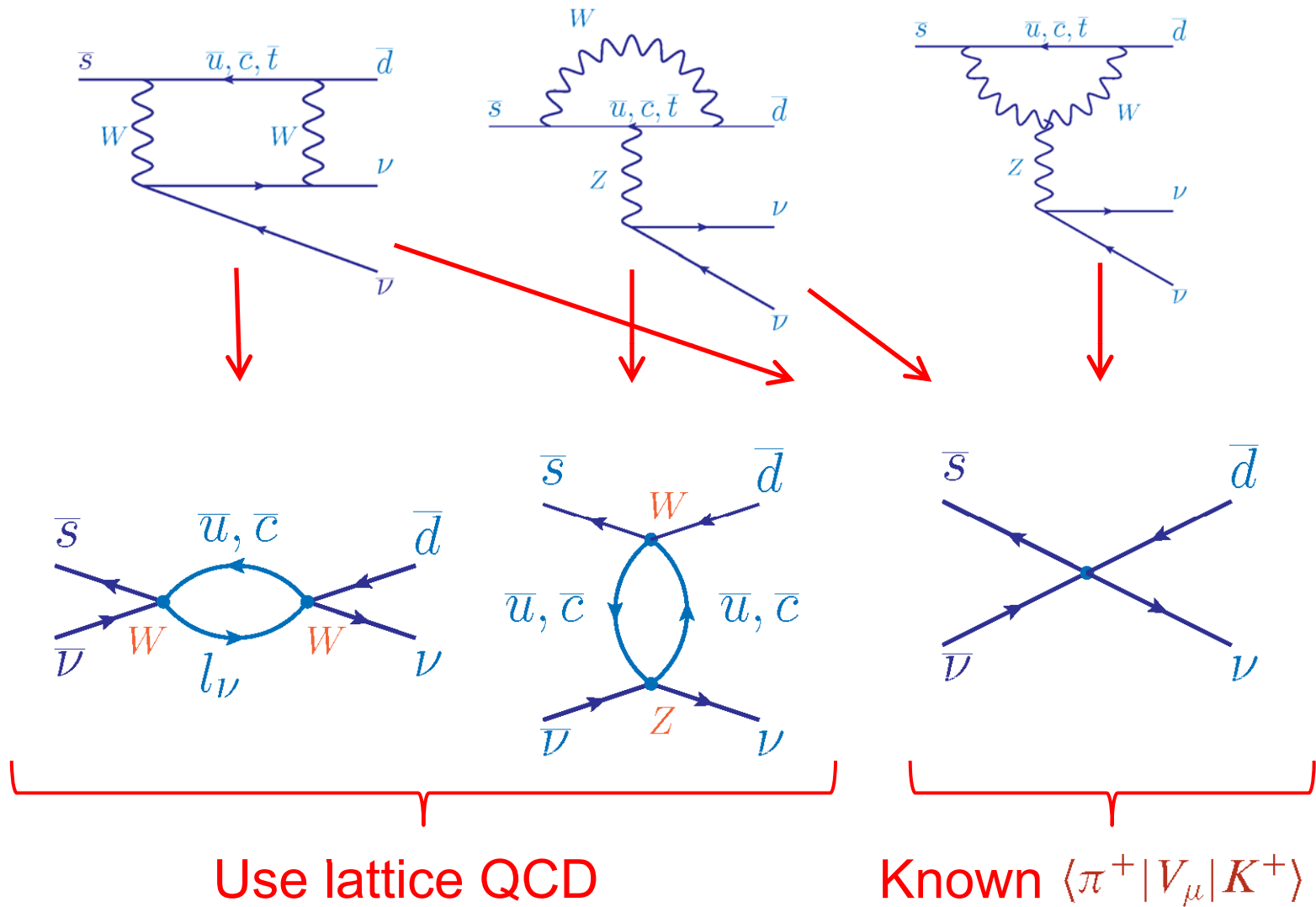
# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model



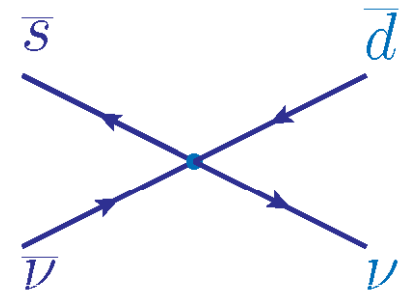
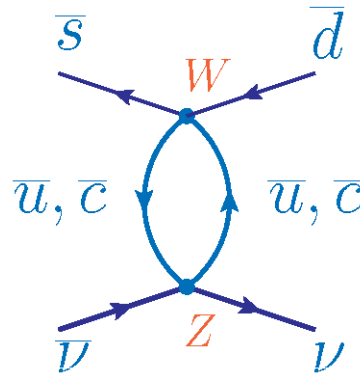
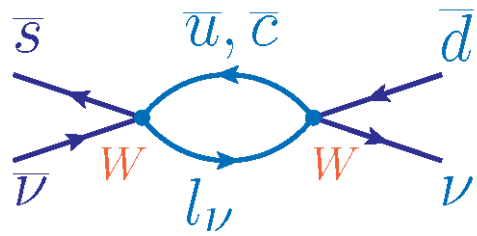
- Factors of  $\frac{1}{M_W^4}$  or  $\frac{1}{M_W^2 M_Z^2}$  force the largest contribution to come from short distance

- Pert. Th. {
- Top quark contribution largest.
  - GIM implies charm-up  $\sim \frac{m_c^2 - m_u^2}{M_W^4} \ln(M_W^2/m_c^2)$
- Lattice {
- Long distance part  $\sim \frac{m_c^2}{M_W^4}$

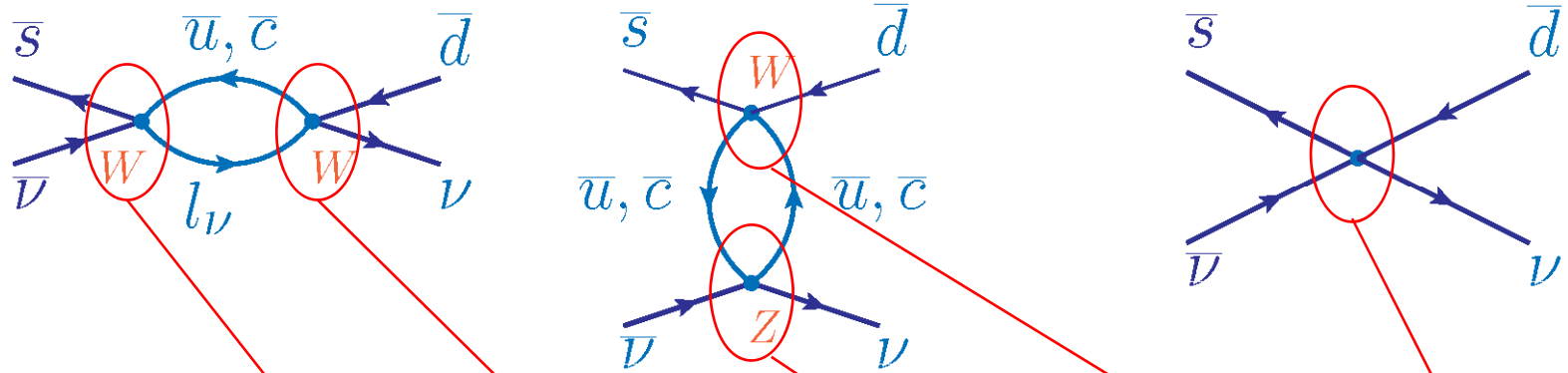
# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at long distance



# $H_{\text{eff}}$ for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

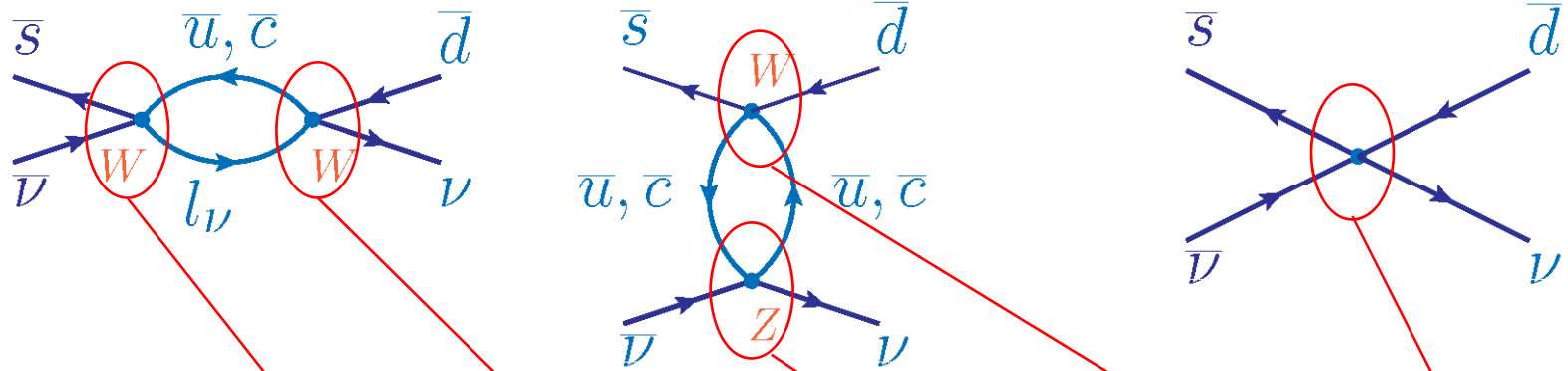


# $H_{\text{eff}}$ for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



$$\mathcal{H}_{\text{eff}} = +\frac{G_F}{\sqrt{2}} \left\{ \sum_{\substack{q=u,c \\ \ell=e,\mu,\tau}} \left( V_{qs}^* O_{q\ell}^{\Delta S=1} + V_{qd} O_{q\ell}^{\Delta S=0} \right) + \sum_{\ell=e,\mu,\tau} O_\ell^Z + \sum_{q=u,c} \lambda_q O_q^W \right\} + O_0$$

# $H_{\text{eff}}$ for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



$$\mathcal{H}_{\text{eff}} = +\frac{G_F}{\sqrt{2}} \left\{ \sum_{\substack{q=u,c \\ \ell=e,\mu,\tau}} \left( V_{qs}^* O_{q\ell}^{\Delta S=1} + V_{qd} O_{q\ell}^{\Delta S=0} \right) + \sum_{\ell=e,\mu,\tau} O_{\ell}^Z + \sum_{q=u,c} \lambda_q O_q^W \right\} + O_0$$

$$O^{\Delta S=1} = C_{\Delta S=1} (\bar{s}q)_{V-A} (\bar{\ell}\nu_{\ell})_{V-A}$$

$$O_q^W = C_1 (\bar{s}_a q_b)_{V-A} (\bar{q}_b d_a)_{V-A} + C_2 (\bar{s}_a q_a)_{V-A} (\bar{q}_b d_b)_{V-A}$$

$$O^{\Delta S=0} = C_{\Delta S=0} (\bar{q}d)_{V-A} (\bar{\ell}\nu_{\ell})_{V-A}$$

$$O_0 = C_0 \sum_{\ell=e,\mu,\tau} (\bar{s}d)_{V-A} (\bar{\nu}_{\ell}\nu_{\ell})_{V-A}$$

$$O_{\ell}^Z = C_Z \sum_{q=u,c,d,s} (T_3^q \bar{q} \gamma_{\mu} (1 - \gamma_5) q - Q_{\text{em},q} \sin^2 \theta_W \bar{q} \gamma_{\mu} q) \bar{\nu}_{\ell} \gamma_{\mu} (1 - \gamma_5) \ell$$



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : 2<sup>nd</sup> order effective theory

Bilocal

Local

$$A(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \langle \pi^+ \nu \bar{\nu} | T \left\{ \int d^4x \mathcal{H}'_{\text{eff}}(x) \mathcal{H}'_{\text{eff}}(0) \right\} + O_0(0) | K^+ \rangle$$

$$\mathcal{H}_{\text{eff}} = + \frac{G_F}{\sqrt{2}} \left\{ \sum_{\substack{q=u,c \\ \ell=e,\mu,\tau}} \left( V_{qs}^* O_{q\ell}^{\Delta S=1} + V_{qd} O_{q\ell}^{\Delta S=0} \right) + \sum_{\ell=e,\mu,\tau} O_{\ell}^Z + \sum_{q=u,c} \lambda_q O_q^W \right\} + O_0$$

$$O^{\Delta S=1} = C_{\Delta S=1} (\bar{s}q)_{V-A} (\bar{\ell}\nu_{\ell})_{V-A}$$

$$O_q^W = C_1 (\bar{s}_a q_b)_{V-A} (\bar{q}_b d_a)_{V-A} + C_2 (\bar{s}_a q_a)_{V-A} (\bar{q}_b d_b)_{V-A}$$

$$O^{\Delta S=0} = C_{\Delta S=0} (\bar{q}d)_{V-A} (\bar{\ell}\nu_{\ell})_{V-A}$$

$$O_0 = C_0 \sum_{\ell=e,\mu,\tau} (\bar{s}d)_{V-A} (\bar{\nu}_{\ell}\nu_{\ell})_{V-A}$$

$$O_{\ell}^Z = C_Z \sum_{q=u,c,d,s} (T_3^q \bar{q} \gamma_{\mu} (1 - \gamma_5) q - Q_{\text{em},q} \sin^2 \theta_W \bar{q} \gamma_{\mu} q) \bar{\nu}_{\ell} \gamma_{\mu} (1 - \gamma_5) \nu_{\ell}$$

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Effect of bilocal operator

Bilocal

Local

$$\mathcal{A}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \langle \pi^+ \nu \bar{\nu} | T \left\{ \int d^4x \mathcal{H}'_{\text{eff}}(x) \mathcal{H}'_{\text{eff}}(0) \right\} + \mathcal{O}_0(0) | K^+ \rangle$$

- Standard continuum treatment
  - Replace bilocal term with (perturbative coefficient) x (local operator)
- Lattice treatment: Evaluate  $H_{\text{eff}}(x) H_{\text{eff}}(0)$  product
  - Resolve logarithmic divergence as  $x \rightarrow 0$
  - Deal with intermediate states with  $E \leq M_K$ 
    - Exponential Euclidean time dependence
    - Power-law finite volume corrections
    - Exploit methods from  $M_{K_L}$ - $M_{K_S}$  calculation

# Exploratory Lattice Calculation

- $16^3 \times 32$ , RBC-UKQCD ensemble
  - 2+1 flavor DWF,  $1/a = 1.73$  GeV
  - $M_\pi = 420$  MeV,  $M_K = 540$  MeV,
  - $m_c(2 \text{ GeV})^{\overline{\text{MS}}} = 863$  GeV
- Calculate all diagrams
- 800 configurations
- Low-mode deflation with 100 modes
- Place sources on 32 time slices
- Treat internal lepton as an overlap fermion moving in an  $\infty$  time extent.

# Compare lattice and perturbative:

- Decay rate is short distance dominated:

$$\text{Br} = \kappa_+ (1 + \Delta_{\text{EM}}) \left[ \underbrace{\left( \frac{\text{Im}\lambda_t}{\lambda^4} X(x_t) \right)^2}_{0.270 \times 1.481} + \underbrace{\left( \frac{\text{Re}\lambda_c}{\lambda} P_c \right)}_{-0.974 \times 0.365} + \underbrace{\left( \frac{\text{Re}\lambda_t}{\lambda^5} X(x_t) \right)^2}_{-0.533 \times 1.481} \right]$$

- Charm contribution is less than top but is significant (removing charm lowers BR by 50%).
- Result for  $P_c$ :

– Perturbation theory [Buras, et al., 1503.02693]:  $P_c = 0.365(12)$

– LD correction [Isidori, et al., hep-ph/0503107]:  $\delta P_{cu} = 0.04(2)$

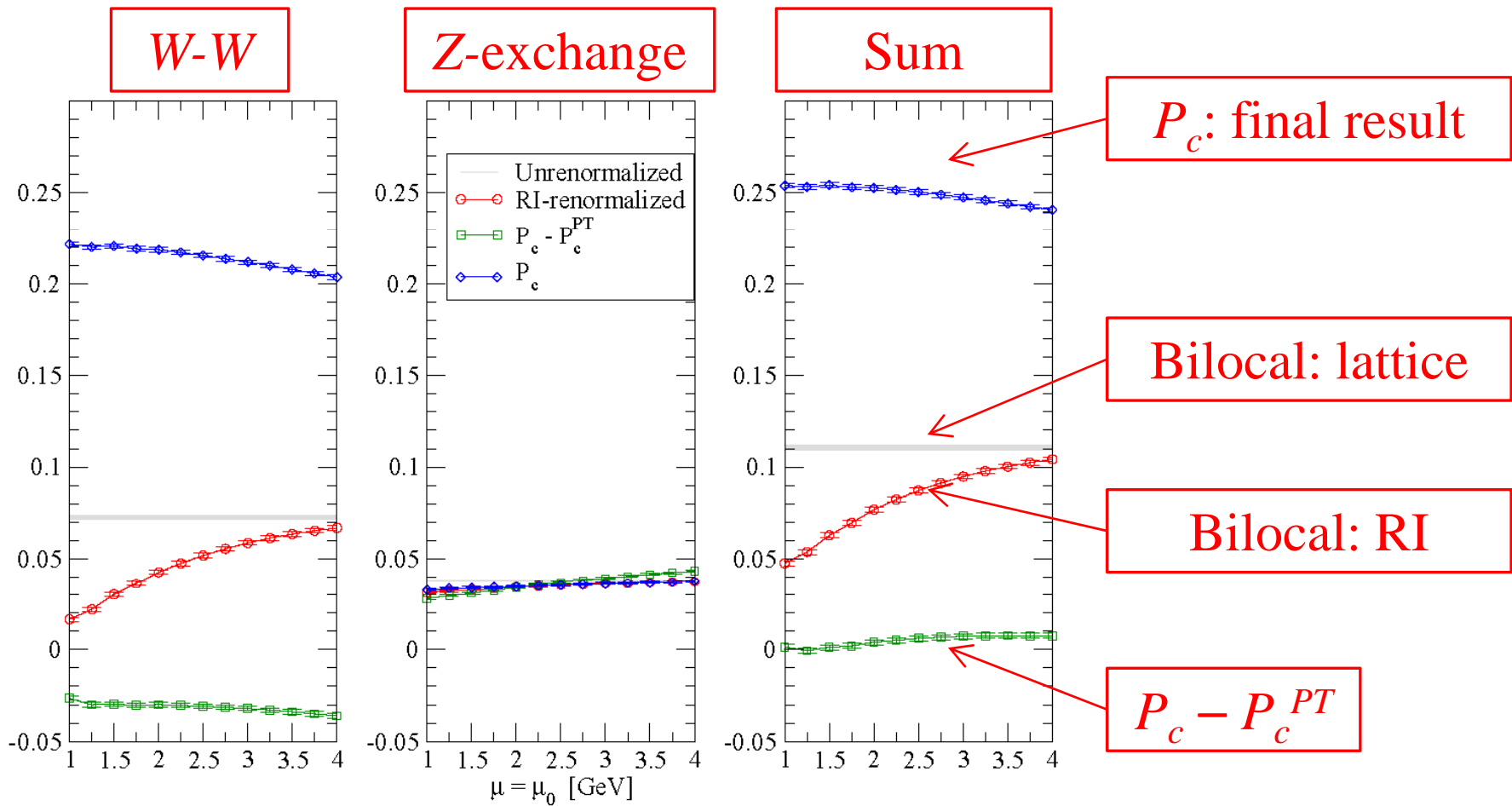
(estimate of non-perturbative and  $(\Lambda_{\text{QCD}}/m_c)^2$  effects)

– Exploratory lattice result:

$$P_c(\mu_{\overline{\text{MS}}}) - P^{\text{PT}}(\mu_{\overline{\text{MS}}}) = 0.0040 (\pm 13)_{\text{stat}} (\pm 32)_{\text{scale}} (-45)_{\text{FV}}$$

(lattice evaluation of bilocal matrix element minus PT estimate)

# Details of W-W – Z-exchange cancellation



# Outlook

- Lattice QCD is now capable of 1<sup>st</sup>-principles calculation of:
  - $K \rightarrow \pi \pi$ ,  $\Delta I = 3/2$  and  $1/2$ ,  $\varepsilon'/\varepsilon$ .
  - $M_{K_L} - M_{K_S}$  and long distance contribution to  $\varepsilon$ .
  - Long distance parts of  $K \rightarrow \pi \bar{l} l$ ,  $K \rightarrow \pi \bar{\nu} \nu$ .
- Physical quark mass calculations underway:
  - $M_{K_L} - M_{K_S}$
  - $K^+ \rightarrow \pi^+ \bar{\nu} \nu$
- With the new CORAL computers (Summit at ORNL) can perform  $a^2 \rightarrow 0$  limit with charm.