

# Structure functions at low $Q^2$

- higher twists and target mass effects

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# Outline

## ■ Quark-hadron duality

- resonances and higher twists
- quark models of local duality

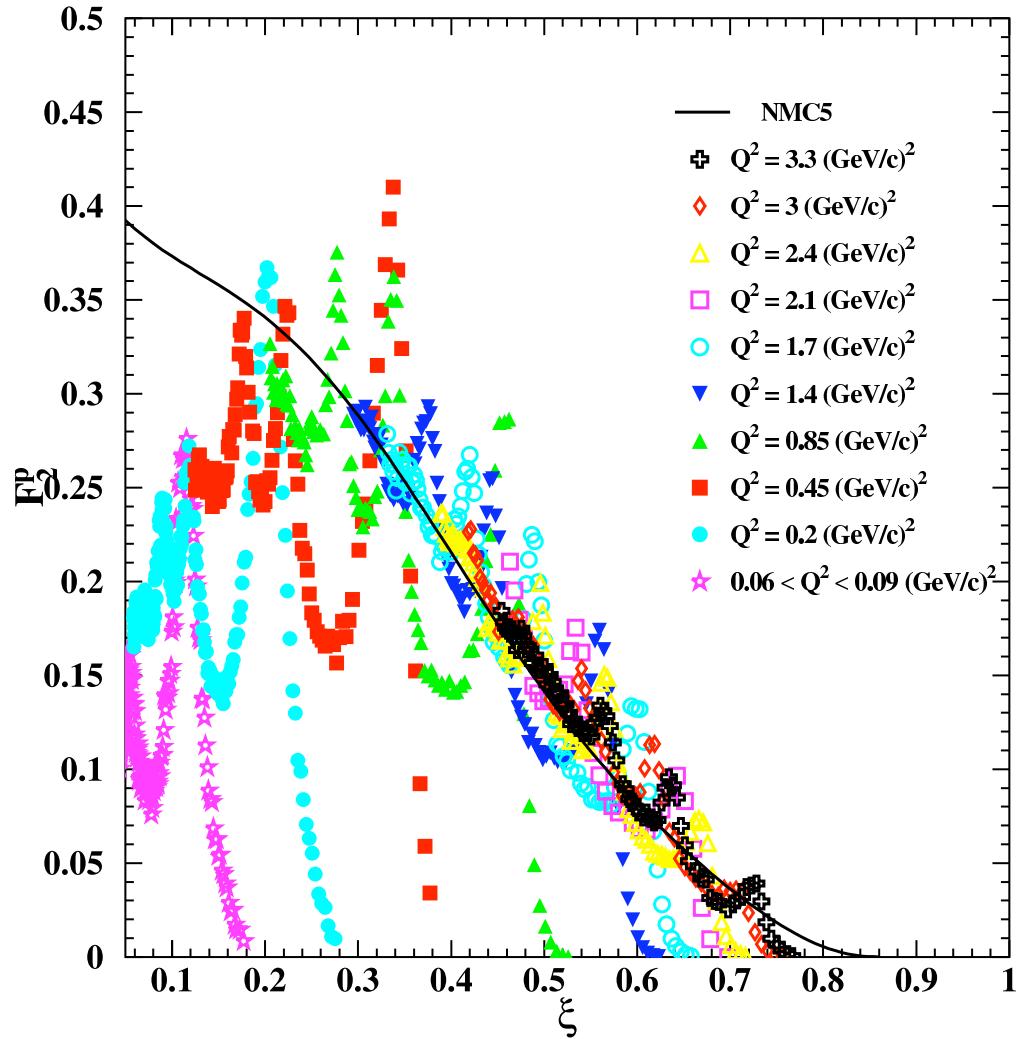
## ■ Target mass corrections

- kinematical  $1/Q^2$  corrections
- new formulation without “threshold problem”

I.

# Quark-hadron duality

# Bloom-Gilman duality

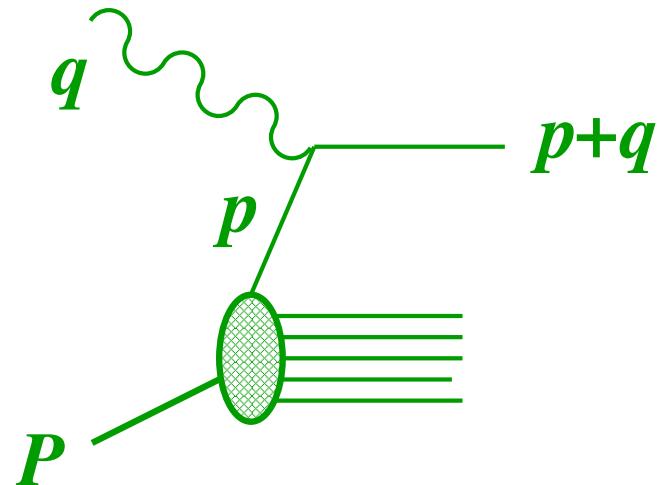


Jefferson Lab (Hall C)

Niculescu *et al.*, Phys. Rev. Lett. 85 (2000) 1182

Average over  
(strongly  $Q^2$  dependent)  
resonances  
 $\approx Q^2$  independent  
scaling function

# Kinematics



$$m_q = 0$$

$$p_T = 0$$

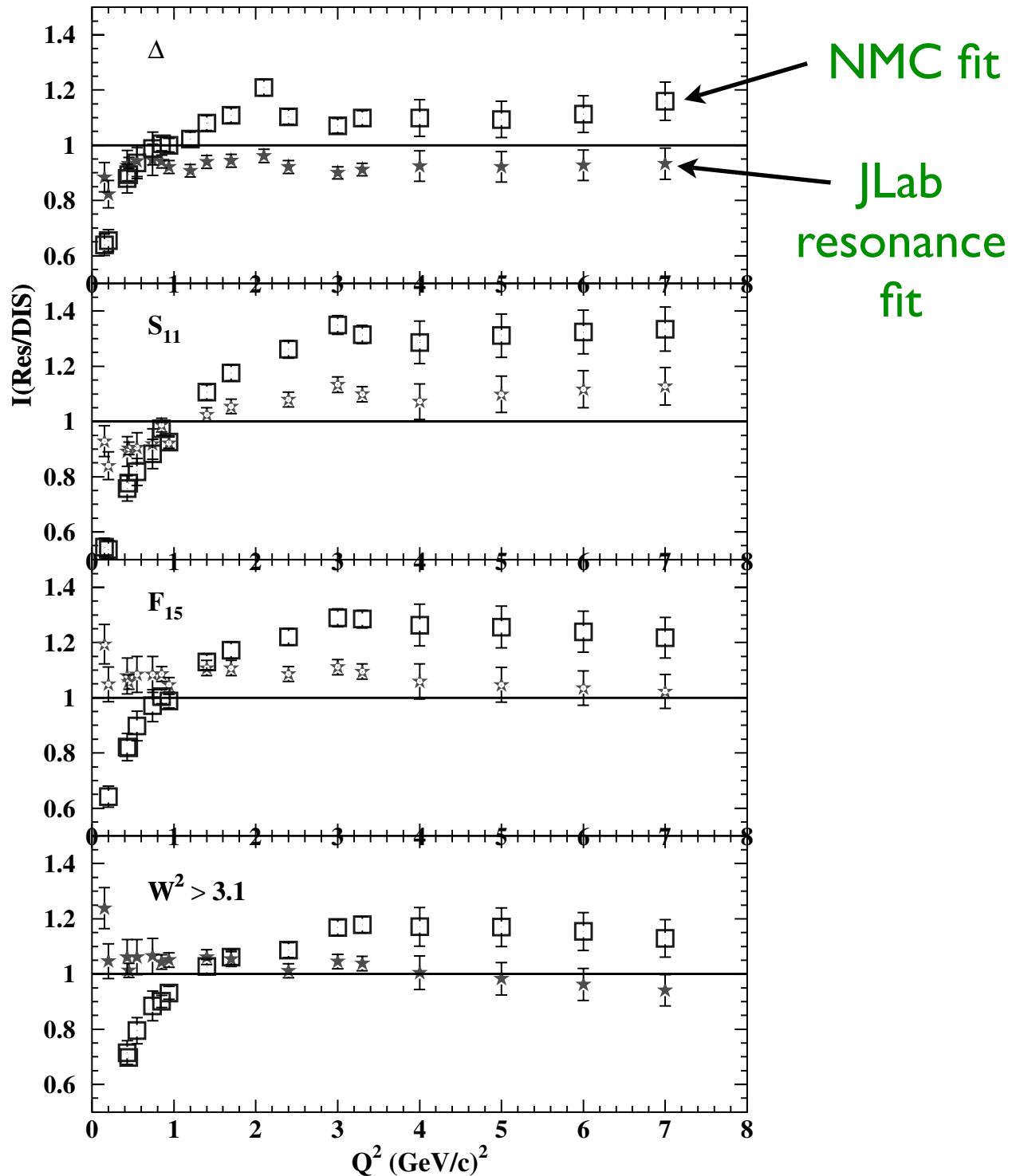
$$\xi = \frac{p^+}{P^+} = \frac{p^0 + p^z}{M}$$

→ Nachtmann scaling variable

$$\xi = \frac{2x}{1 + \sqrt{1 + 4x^2 M^2 / Q^2}}$$

target mass dependence

# Integrated strength



~10% agreement  
for  $Q^2 > 1 \text{ GeV}^2$

# Duality and the OPE

## Operator product expansion

→ expand moments of structure functions  
in powers of  $1/Q^2$

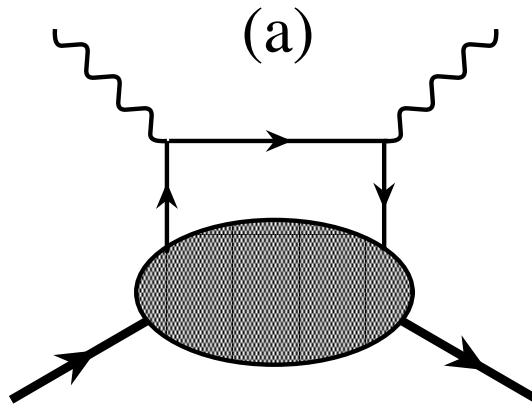
$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx \ x^{n-2} \ F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$



matrix elements of operators  
with specific “twist”  $\tau$

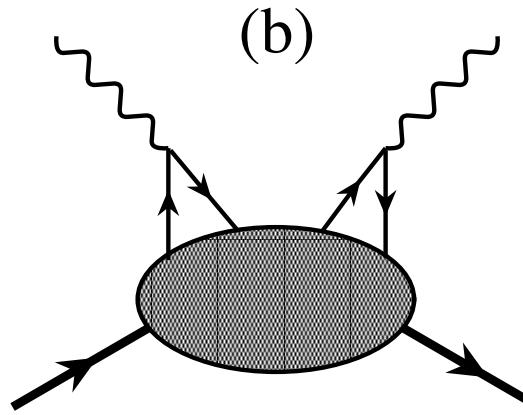
$\tau = \text{dimension} - \text{spin}$

# Higher twists



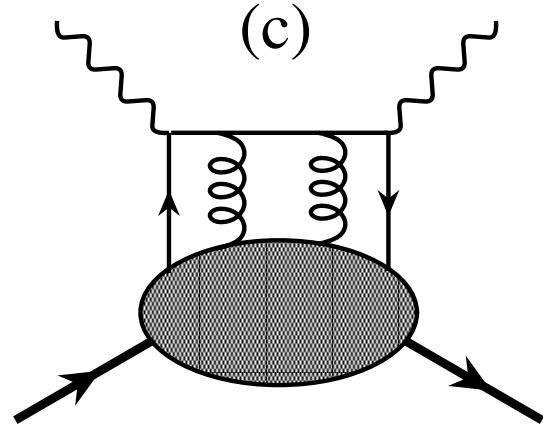
$$\tau = 2$$

single quark  
scattering



$$\tau > 2$$

*qq* and *qg*  
correlations



# Duality and the OPE

## Operator product expansion

→ expand moments of structure functions  
in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx \ x^{n-2} \ F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

If moment  $\approx$  independent of  $Q^2$

→ higher twist terms  $A_n^{(\tau>2)}$  small

# Duality and the OPE

## Operator product expansion

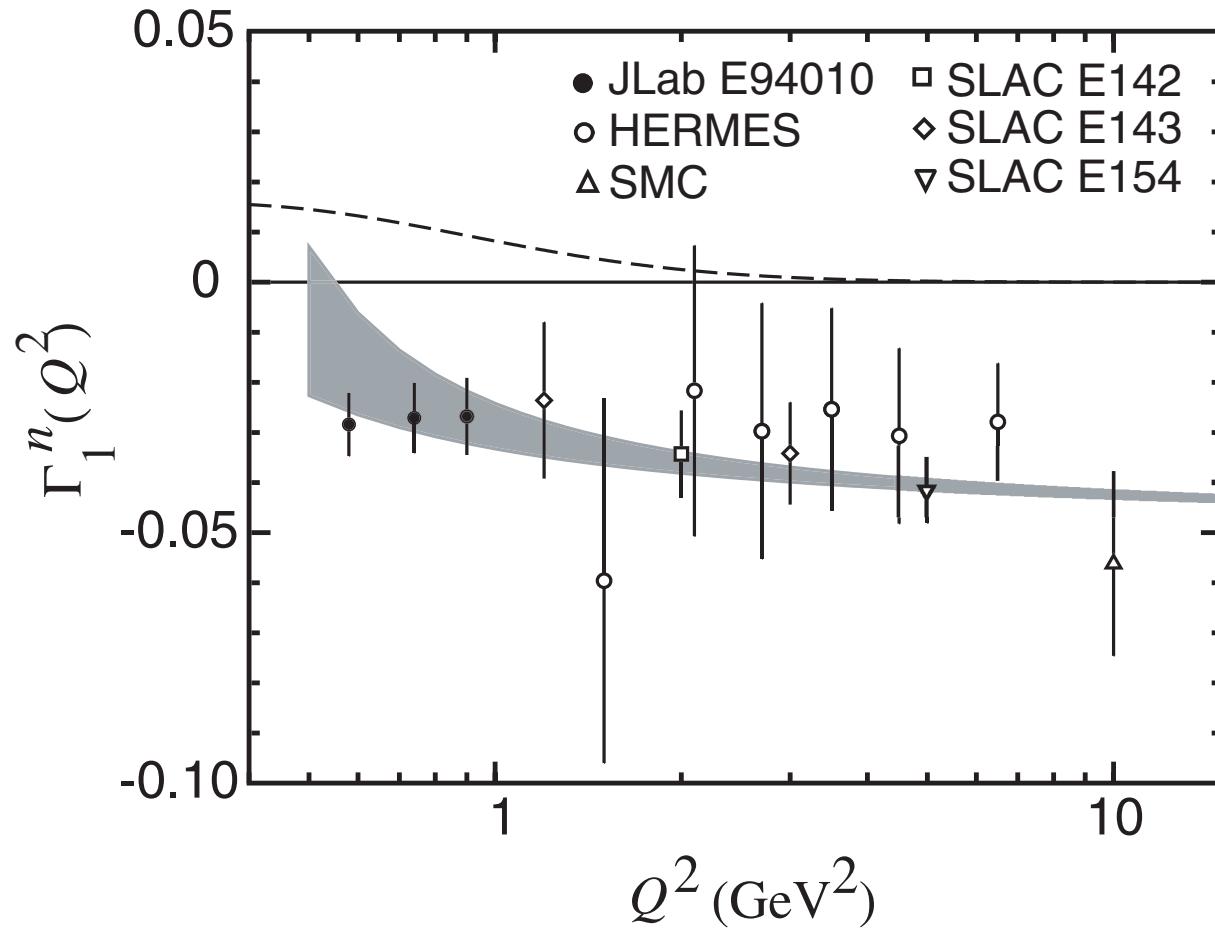
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Duality  $\iff$  suppression of higher twists

*de Rujula, Georgi, Politzer,  
Ann. Phys. 103 (1975) 315*

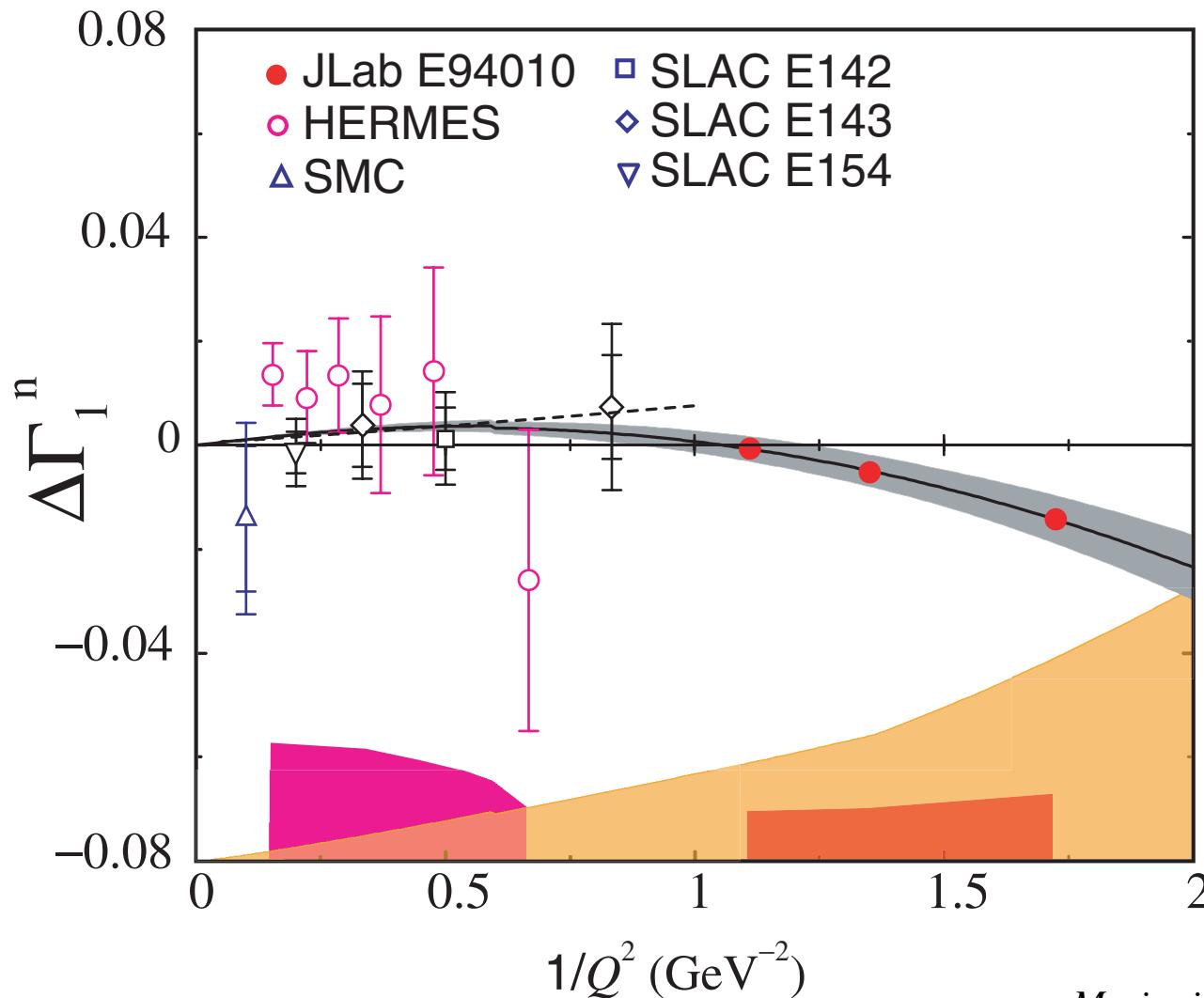
# Neutron $g_1$ moment



$\Gamma_1^n$  extracted from  $\Gamma_1^{^3\text{He}}$  data  
correcting for nuclear effects

# Neutron $g_1$ moment

→ higher twist contribution



*Meziani, WM et al.,  
Phys. Lett. B613 (2005) 148*

Total higher twist  $\sim$  zero at  $Q^2 \sim 1 - 2 \text{ GeV}^2$

- nonperturbative interactions between quarks and gluons not dominant at these scales
- suggests *strong cancellations* between resonances, resulting in dominance of *leading twist*
- OPE does not tell us why higher twists are small !

Can we understand this  
behavior dynamically?

How do cancellations between  
*coherent* resonances produce  
*incoherent* scaling function?

# Coherence vs. incoherence

Exclusive form factors

→ *coherent* scattering from quarks

$$d\sigma \sim \left( \sum_i e_i \right)^2$$

Inclusive structure functions

→ *incoherent* scattering from quarks

$$d\sigma \sim \sum_i e_i^2$$

→ How can square of a sum  $\approx$  sum of squares ?

# Pedagogical model

Two quarks bound in a harmonic oscillator potential  
→ exactly solvable spectrum

Structure function given by sum of squares of transition form factors

$$F(\nu, \mathbf{q}^2) \sim \sum_n |G_{0,n}(\mathbf{q}^2)|^2 \delta(E_n - E_0 - \nu)$$

Charge operator  $\sum_i e_i \exp(i\mathbf{q} \cdot \mathbf{r}_i)$  excites  
*even* partial waves with strength  $\propto (e_1 + e_2)^2$   
*odd* partial waves with strength  $\propto (e_1 - e_2)^2$

# Pedagogical model

## Resulting structure function

$$F(\nu, \mathbf{q}^2) \sim \sum_n \{(e_1 + e_2)^2 G_{0,2n}^2 + (e_1 - e_2)^2 G_{0,2n+1}^2\}$$

If states degenerate, cross terms ( $\sim e_1 e_2$ )  
cancel when averaged over nearby even and odd  
parity states

Minimum condition for duality:

→ *at least one complete set of even and odd parity resonances must be summed over*

# Quark model

Even and odd parity states generalize to  $56^+$  ( $L=0$ ) and  $70^-$  ( $L=1$ ) multiplets of spin-flavor SU(6)

→ scaling occurs if contributions from  $56^+$  and  $70^-$  have equal overall strengths

representation	$^2\mathbf{8}[56^+]$	$^4\mathbf{10}[56^+]$	$^2\mathbf{8}[70^-]$	$^4\mathbf{8}[70^-]$	$^2\mathbf{10}[70^-]$	Total
$F_1^p$	$9\rho^2$	$8\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 + 9\lambda^2$
$F_1^n$	$(3\rho + \lambda)^2/4$	$8\lambda^2$	$(3\rho - \lambda)^2/4$	$4\lambda^2$	$\lambda^2$	$(9\rho^2 + 27\lambda^2)/2$
$g_1^p$	$9\rho^2$	$-4\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 - 3\lambda^2$
$g_1^n$	$(3\rho + \lambda)^2/4$	$-4\lambda^2$	$(3\rho - \lambda)^2/4$	$-2\lambda^2$	$\lambda^2$	$(9\rho^2 - 9\lambda^2)/2$

$\lambda$  ( $\rho$ ) = (anti) symmetric component of ground state wfn.

# Quark model

**SU(6) limit**   $\lambda = \rho$

$SU(6) :$	$[56, 0^+]^2 8$	$[56, 0^+]^4 10$	$[70, 1^-]^2 8$	$[70, 1^-]^4 8$	$[70, 1^-]^2 10$	$total$
$F_1^p$	9	8	9	0	1	27
$F_1^n$	4	8	1	4	1	18
$g_1^p$	9	-4	9	0	1	15
$g_1^n$	4	-4	1	-2	1	0

Summing over all resonances in  $56^+$  and  $70^-$  multiplets

  $R^{np} = \frac{F_1^n}{F_1^p} = \frac{2}{3}$        $A_1^p = \frac{g_1^p}{F_1^p} = \frac{5}{9}$        $A_1^n = \frac{g_1^n}{F_1^n} = 0$

 as in quark-parton model !

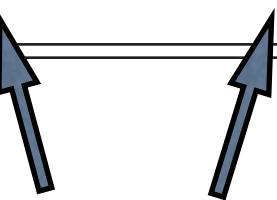
# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1



gives  $\Delta u/u > 1$



*inconsistent  
with duality*

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$A_1^n$	0	2/5	1/3	1	1	1



${}^4\mathbf{10} [56^+]$  and  ${}^4\mathbf{8} [70^-]$   
suppressed

# Quark model

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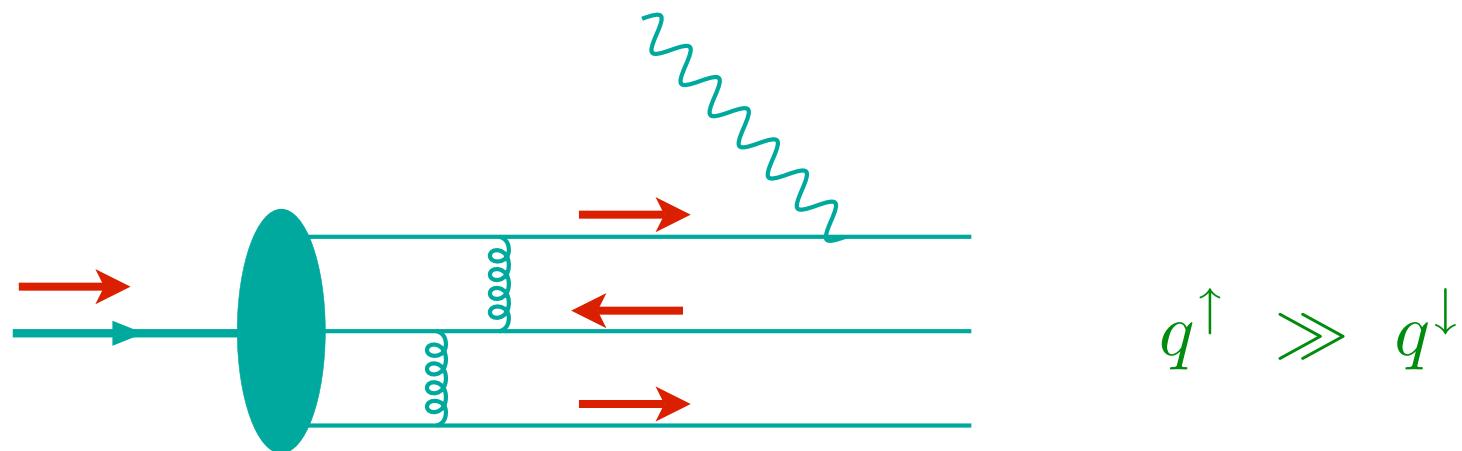
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helicity 3/2 suppression

## ■ hard gluon exchange

at large  $x$ , helicity of struck quark = helicity of hadron



$\implies$  helicity-zero diquark dominant in  $x \rightarrow 1$  limit

$$\rightarrow \frac{d}{u} \rightarrow \frac{1}{5}$$

$$\rightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{3}{7}$$

*Farrar, Jackson 1975*

# $N \rightarrow N^*$ transitions for helicity-1/2 dominance

SU(6) representation	$^2\mathbf{8}[\mathbf{56}^+]$	$^4\mathbf{10}[\mathbf{56}^+]$	$^2\mathbf{8}[\mathbf{70}^-]$	$^4\mathbf{8}[\mathbf{70}^-]$	$^2\mathbf{10}[\mathbf{70}^-]$	Total
$F_1^p = g_1^p$	9	2	9	0	1	21
$F_1^n = g_1^n$	4	2	1	1	1	9

polarization asymmetries  $A_1^N \rightarrow 1$

- cf. pQCD “counting rules”
- hard gluon exchange between quarks

neutron to proton ratio  $F_2^n/F_2^p \rightarrow 3/7$

- cf. “helicity retention” model

*Farrar, Jackson, Phys. Rev. Lett. 35 (1975) 1416*

# Quark model

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$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

e.g. through  $\vec{S}_i \cdot \vec{S}_j$   
interaction  
between quarks



suppression of symmetric  
part of spin-flavor wfn.



# Valence quarks

## ■ scalar diquark dominance

$M_\Delta > M_N \implies (qq)_1$  has larger energy than  $(qq)_0$

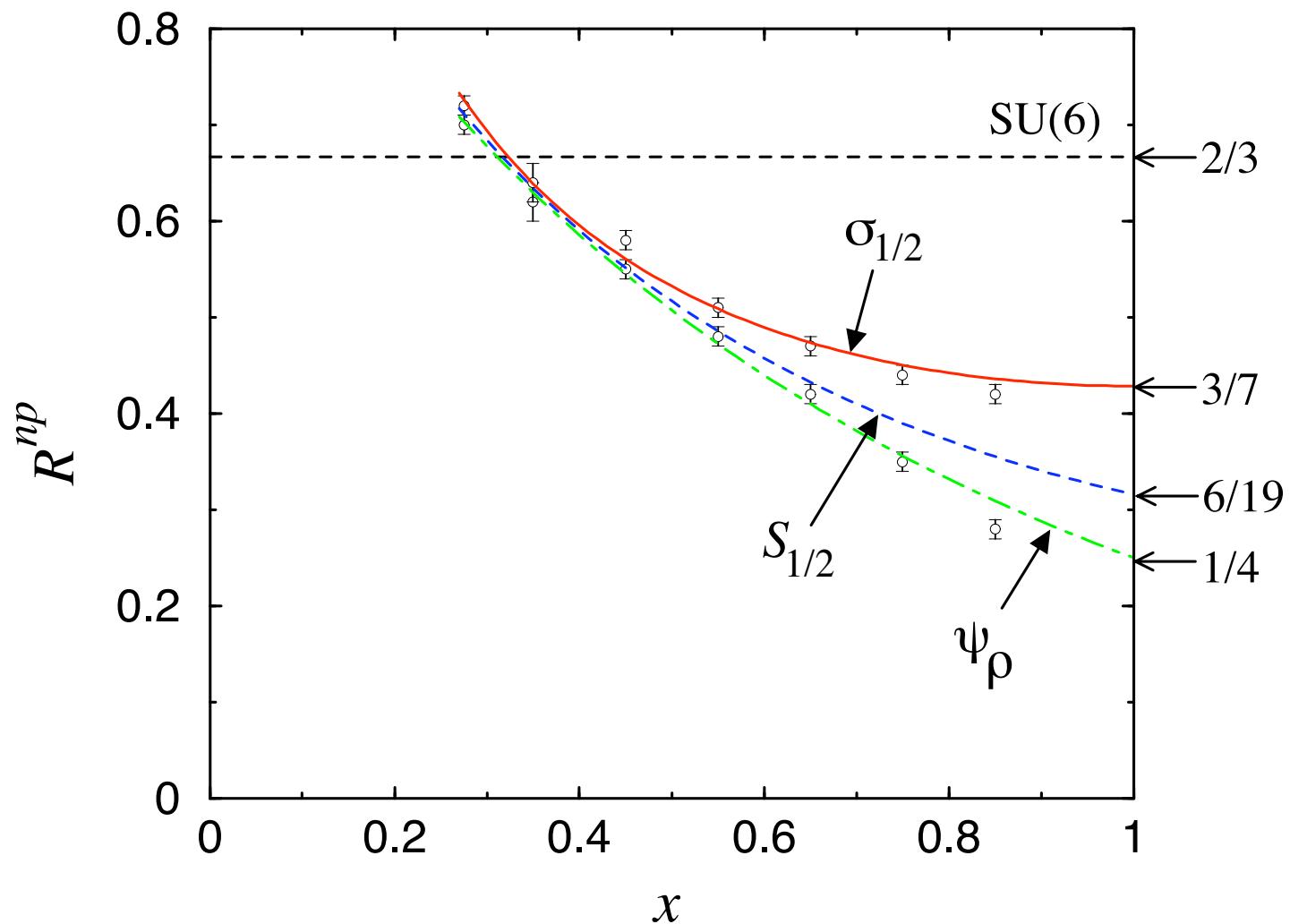
$\implies$  scalar diquark dominant in  $x \rightarrow 1$  limit

since only  $u$  quarks couple to scalar diquarks

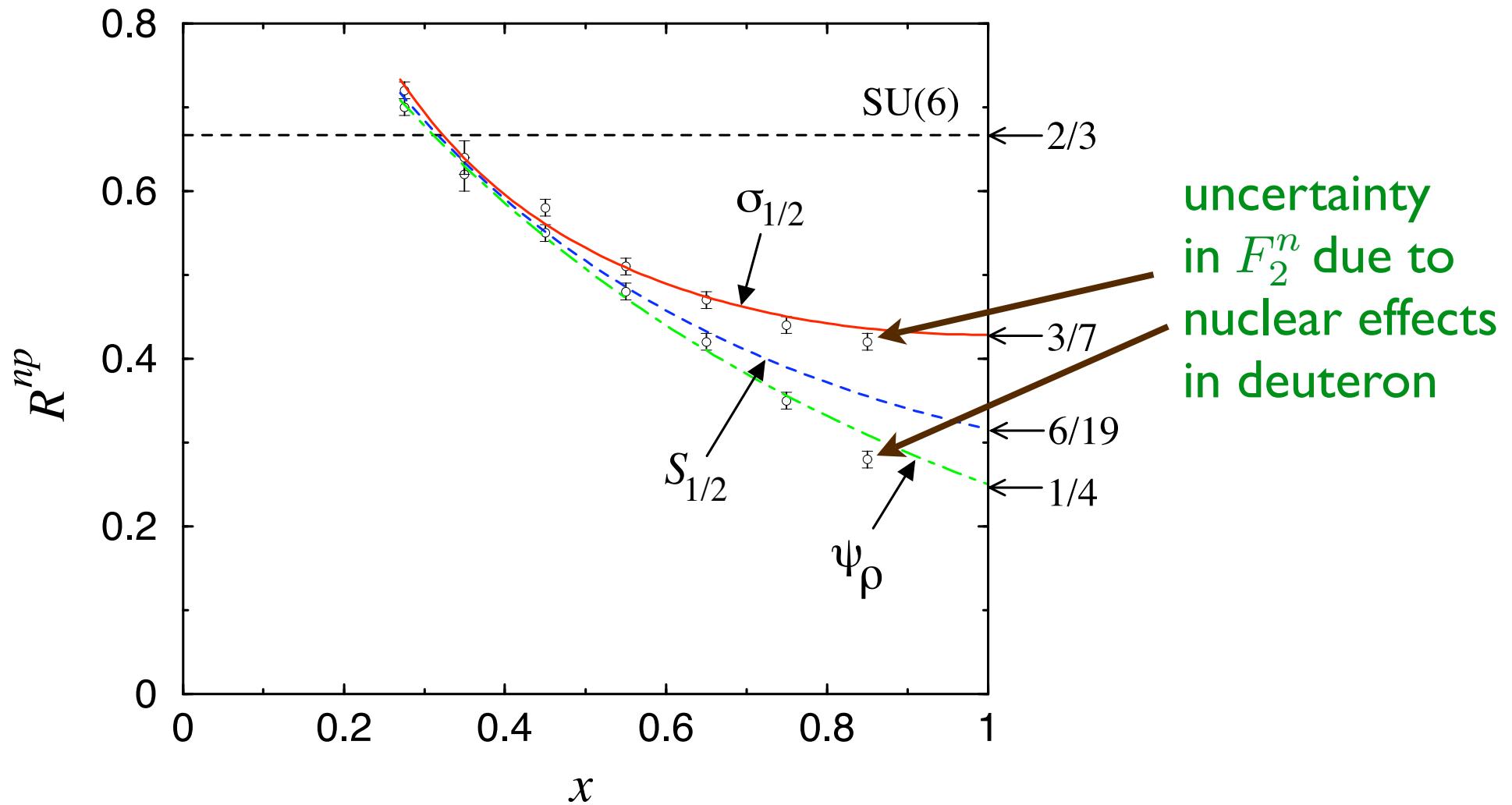
$$\longrightarrow \frac{d}{u} \rightarrow 0$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4}$$

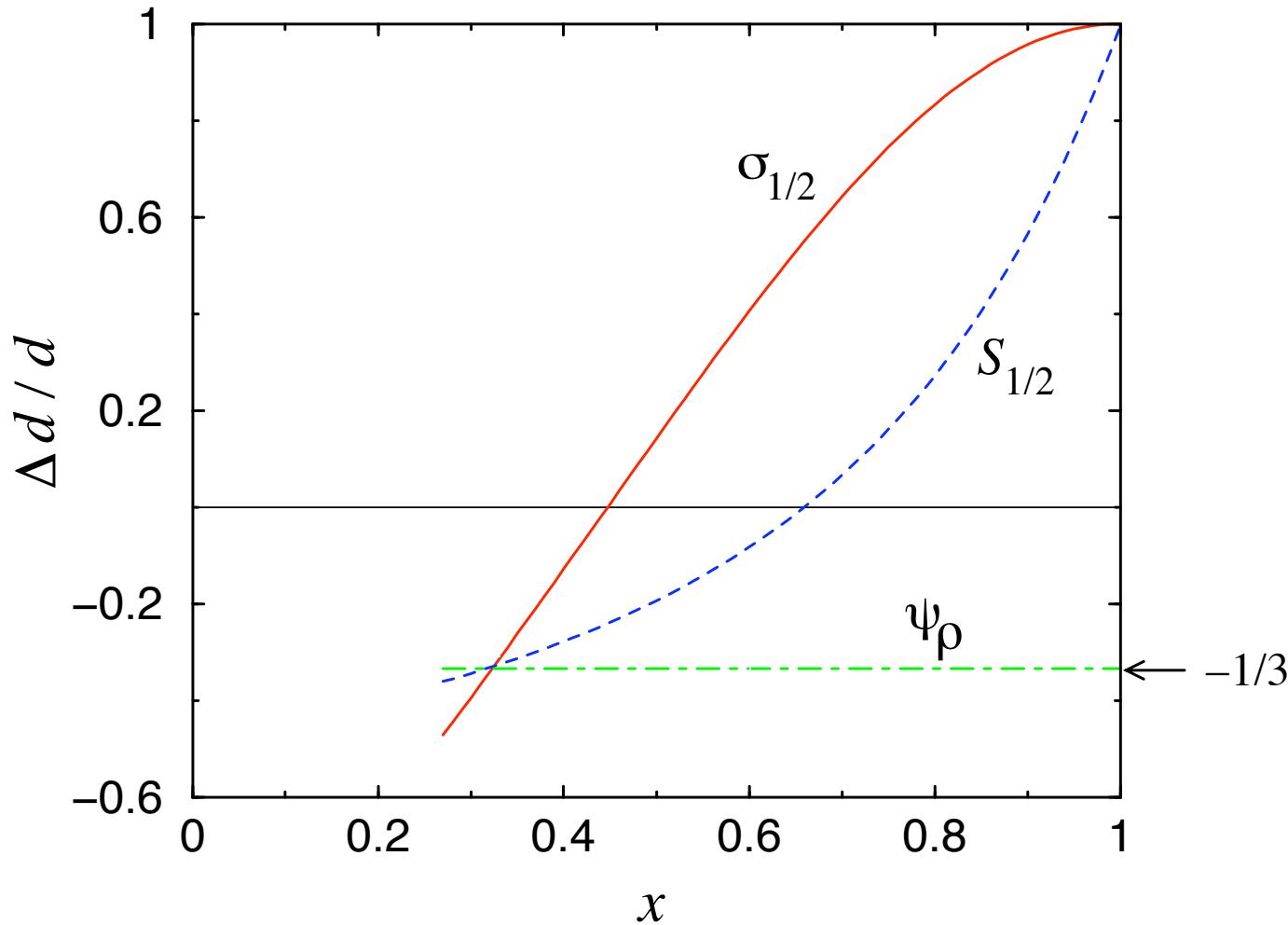
Fit to  $\left\{ \begin{array}{l} \text{SU(6) symmetry at } x \sim 1/3 \\ \text{SU(6) breaking at } x \sim 1 \end{array} \right.$



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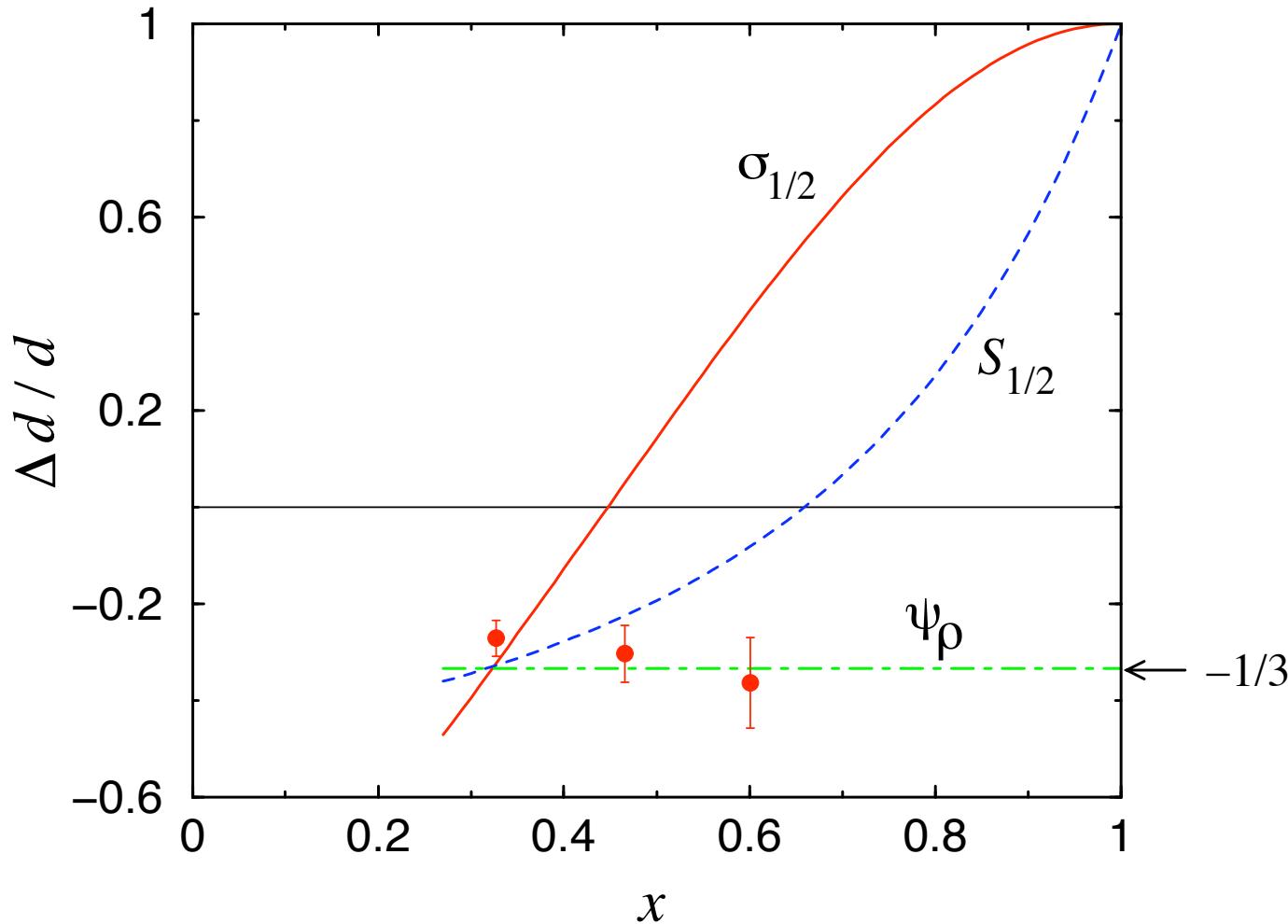
$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

*Close, WM*  
*PRC68 (2003) 035210*

$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

Zheng et al. (JLab Hall A)  
PRL (2004) 012004

**nonperturbative physics  
still dominant at  $x \sim 0.6$  !**

2.

# Target mass corrections

# Operator Product Expansion

Georgi, Politzer (1976)

$$\Pi_{\mu_1 \cdots \mu_{2k}} = p_{\mu_1} \cdots p_{\mu_{2k}} - (g_{\mu_i \mu_j} \text{ terms})$$

$$= \sum_{j=0}^k (-1)^j \frac{(2k-j)!}{2^j (2k)^j} g \cdots g \ p \cdots p$$

traceless, symmetric rank- $2k$  tensor

## ■ $n$ -th moment of $F_2$ structure function

$$\begin{aligned} M_2^n(Q^2) &= \int dx \ x^{n-2} \ F_2(x, Q^2) \\ &= \sum_{j=0}^{\infty} \left( \frac{M^2}{Q^2} \right)^j \frac{(n+j)!}{j!(n-2)!} \frac{A_{n+2j}}{(n+2j)(n+2j-1)} \end{aligned}$$

→  $A_n = \int_0^1 dy \ y^n \ F(y)$

↑  
“quark distribution function”

$$F(y) = \frac{F_2(y)}{y^2}$$

## ■ inverse Mellin transform (+ tedious manipulations)

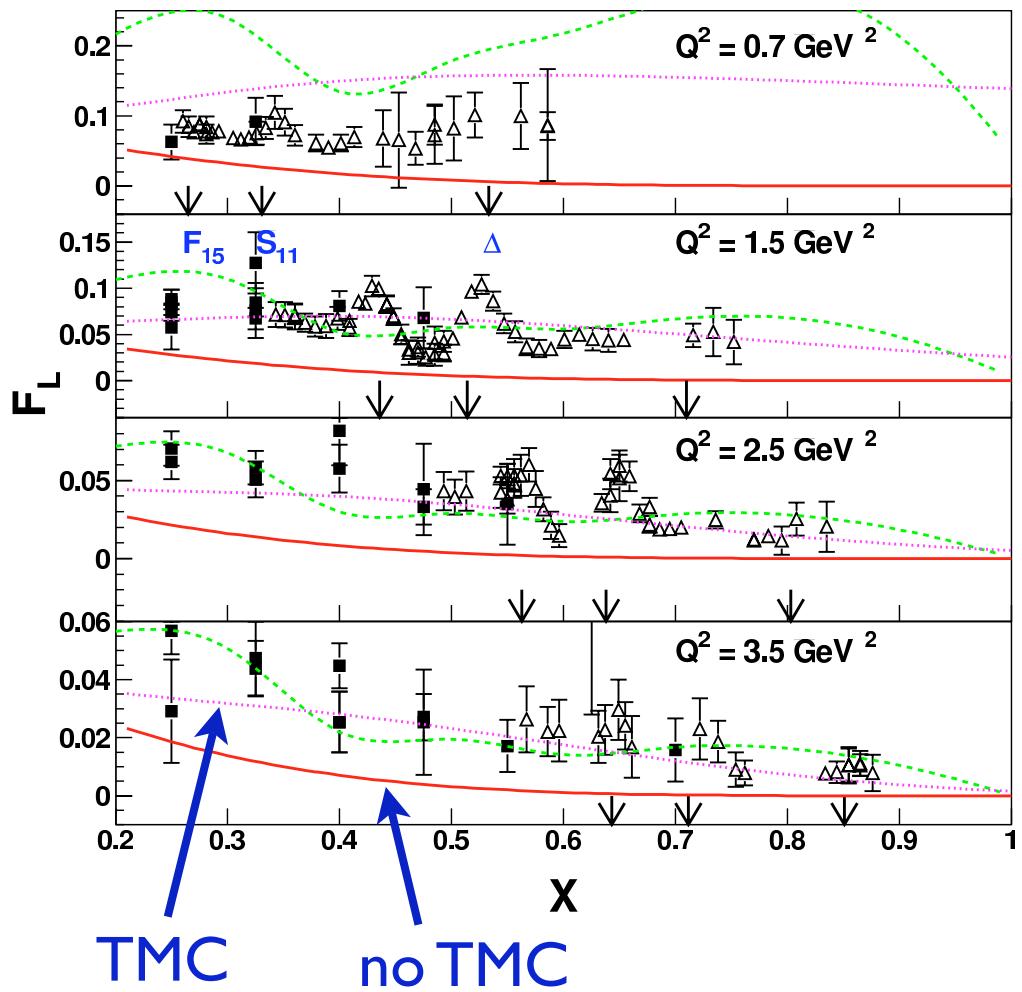
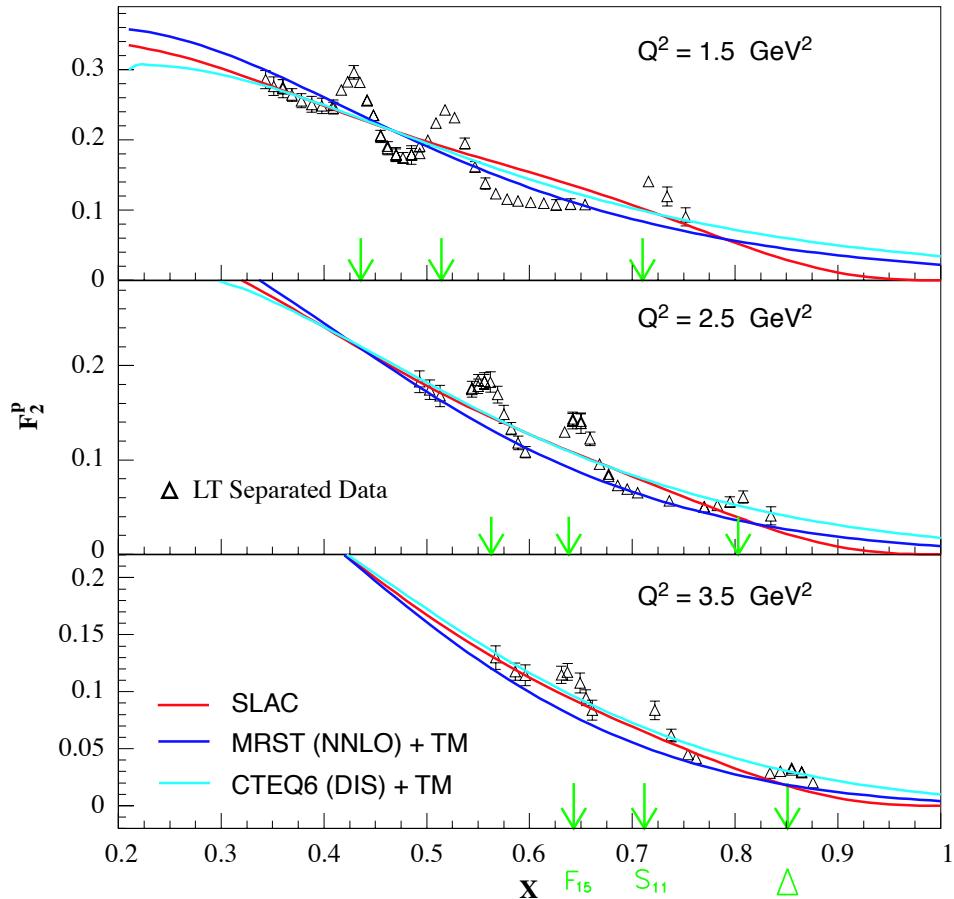
$$\begin{aligned} F_2^{\text{GP}}(x, Q^2) = & \frac{x^2}{r^3} F(\xi) + 6 \frac{M^2}{Q^2} \frac{x^3}{r^4} \int_{\xi}^1 d\xi' F(\xi') \\ & + 12 \frac{M^4}{Q^4} \frac{x^4}{r^5} \int_{\xi}^1 d\xi' \int_{\xi'}^1 d\xi'' F(\xi'') \end{aligned}$$

$$\xi = \frac{2x}{1+r} \quad r = \sqrt{1 + 4x^2 M^2 / Q^2}$$

... similarly for other structure functions  $F_1, F_L$

## ■ duality in $F_2$ and $F_L$ structure functions

Christy et al. (2005)



→ TMCs significant at large  $x^2/Q^2$ , especially for  $F_L$

# Threshold problem

- if  $F(y) \sim (1 - y)^\beta$  at large  $y$

then since  $\xi_0 \equiv \xi(x = 1) < 1$

→  $F(\xi_0) > 0$

→  $F_i^{\text{TMC}}(x = 1, Q^2) > 0$

is this physical?

→ problem with GP formulation?

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

Nachtmann moment

$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

→ supposed to remove TMCs explicitly from SF moment

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

*Nachtmann moment*

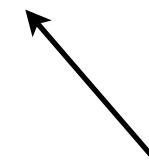
$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

→  $n$  fixed,  $Q^2 \rightarrow \infty$

$$\mu_2^n(Q^2) \rightarrow (\ln Q^2 / \Lambda^2)^{-\lambda_n} A_n$$

→  $n \rightarrow \infty$ ,  $Q^2$  fixed

$$\mu_2^n(Q^2) \rightarrow \xi_0^n(Q^2) \tilde{\mu}_2^n(Q^2)$$



“regularized” amplitudes  
(threshold-independent)

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

*Nachtmann moment*

$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

*ansatz*       $\mu_2^n(Q^2) = \xi_0^n(Q^2) (\ln Q^2/\Lambda^2)^{-\lambda_n} A_n$

- consistent with asymptotic pQCD behavior
- not unique!

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

moreover, if identify  $A_n$  with  $M_2^n = \int_0^1 dx x^{n-2} F_2(x)$

$$\mu_2^n(Q^2) = \xi_0^n(Q^2) M_2^n(Q^2)$$

→  $M_2^n(Q^2) = \mu_2^n(Q^2) + \frac{nM^2}{Q^2} M_2^n + \dots$

*cf. exact expression*

$$M_2^n(Q^2) = \mu_2^n(Q^2) + \frac{n(n-1)}{n+2} \frac{M^2}{Q^2} M_2^{n+2} + \dots$$

→ inconsistency at low  $Q^2$  ?

# Alternative solution

- work with  $\xi_0$  dependent PDFs

→  $n$ -th moment  $A_n$  of distribution function

$$A_n = \int_0^{\xi_{\max}} d\xi \, \xi^n \, F(\xi)$$

→ what is  $\xi_{\max}$  ?

- GP use  $\xi_{\max} = 1$ ,  $\xi_0 < \xi < 1$  unphysical
- strictly, should use  $\xi_{\max} = \xi_0$

# Alternative solution

- what is effect on phenomenology?
  - try several “toy distributions”

standard TMC (“sTMC”)

$$q(\xi) = \mathcal{N} \xi^{-1/2} (1 - \xi)^3 , \quad \xi_{\max} = 1$$

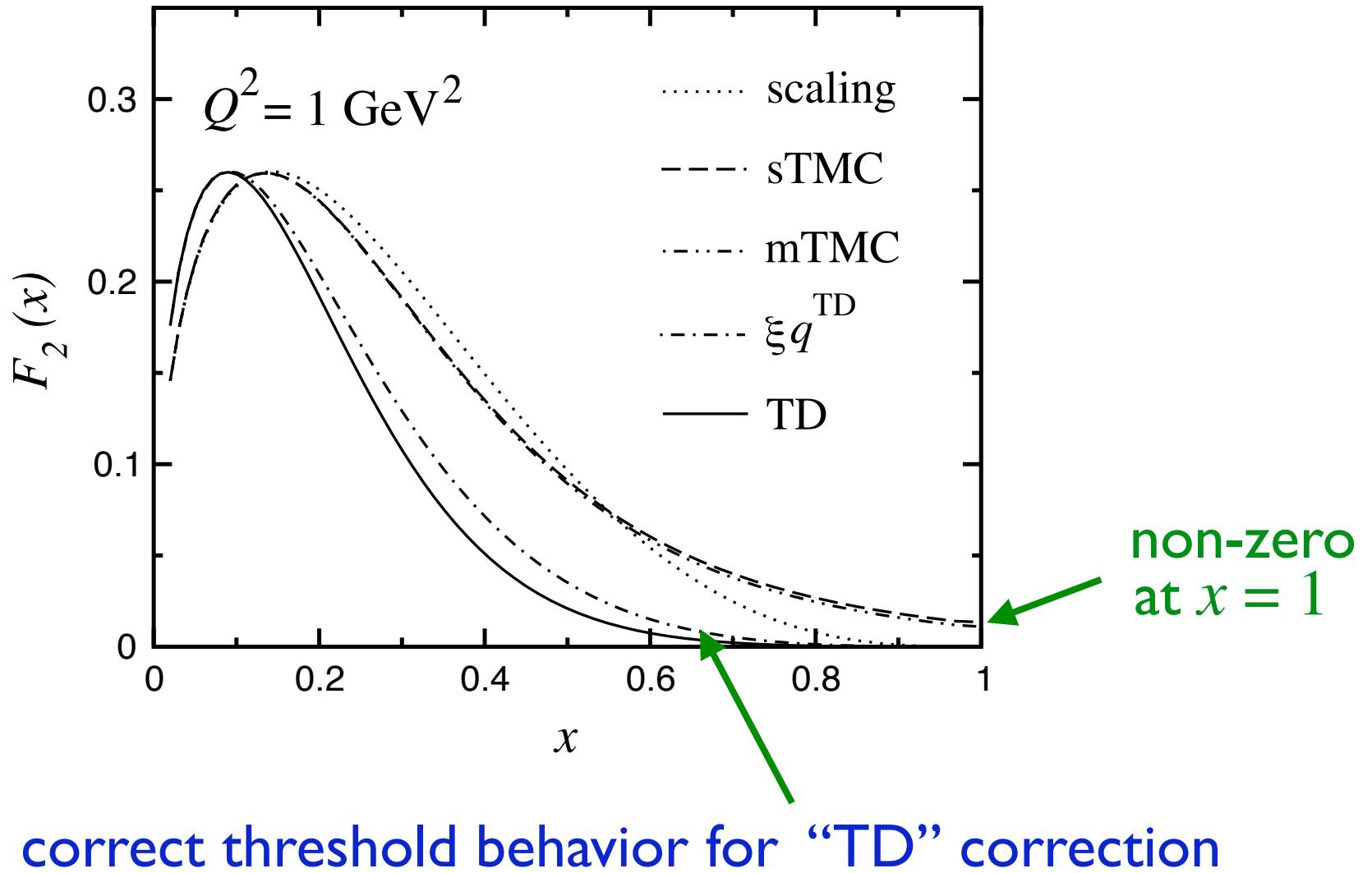
modified TMC (“mTMC”)

$$q(\xi) = \mathcal{N} \xi^{-1/2} (1 - \xi)^3 \Theta(\xi - \xi_0), \quad \xi_{\max} = \xi_0$$

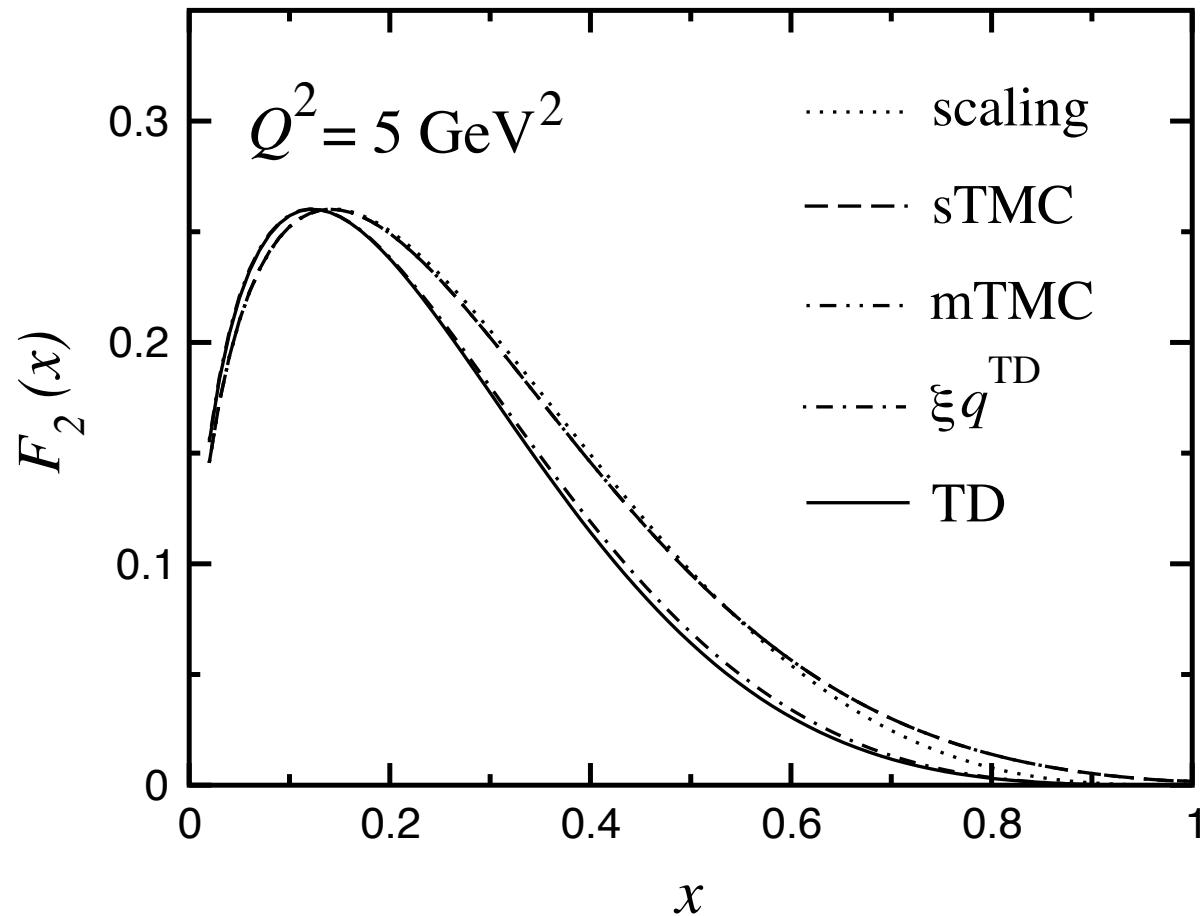
threshold dependent (“TD”)

$$q^{\text{TD}}(\xi) = \mathcal{N} \xi^{-1/2} (\xi_0 - \xi)^3 , \quad \xi_{\max} = \xi_0$$

# TMCs in $F_2$

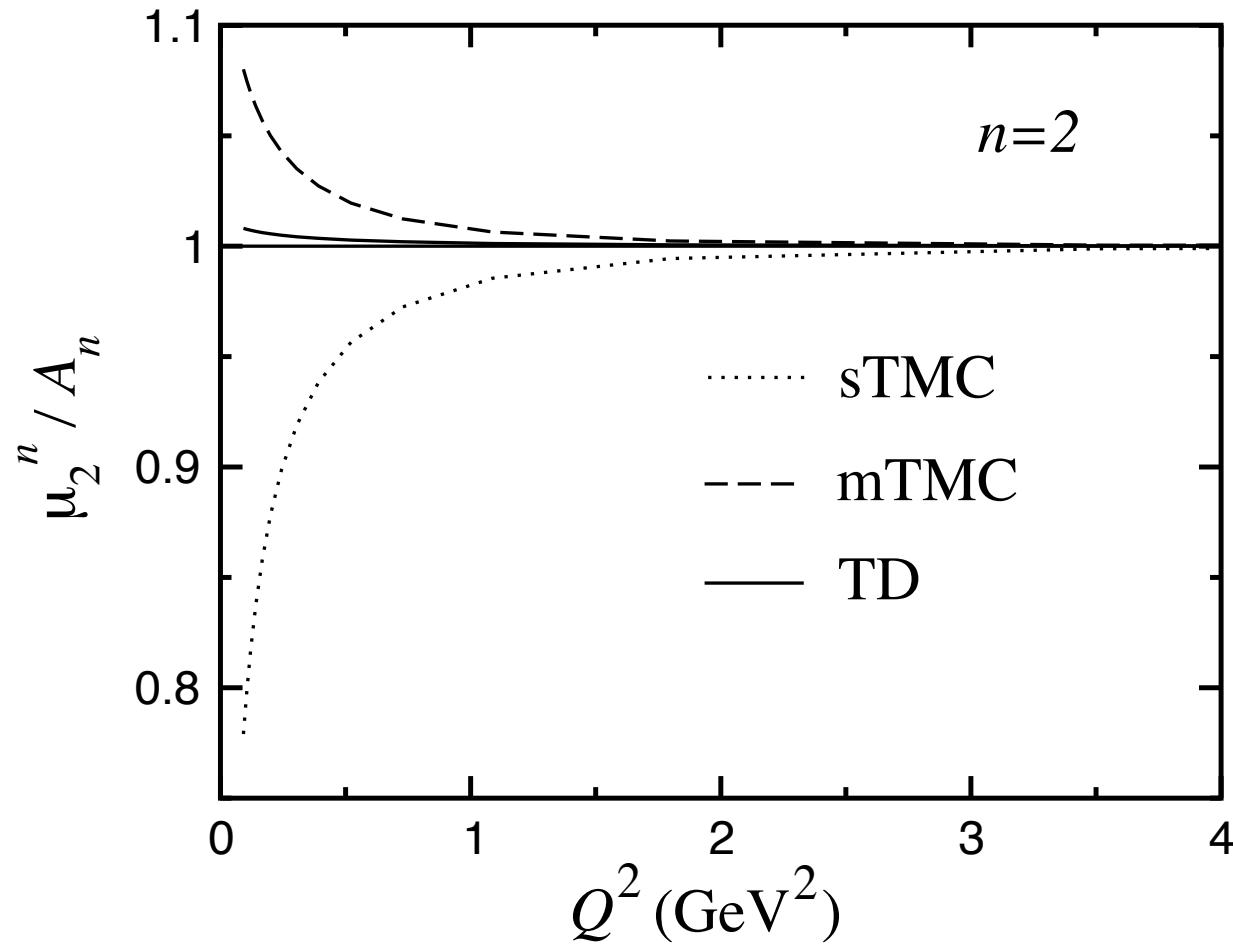


# TMCs in $F_2$



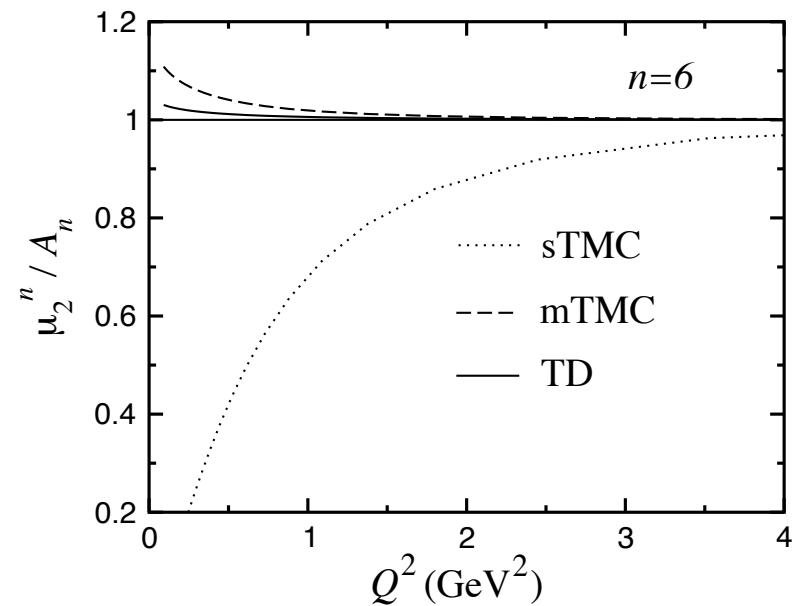
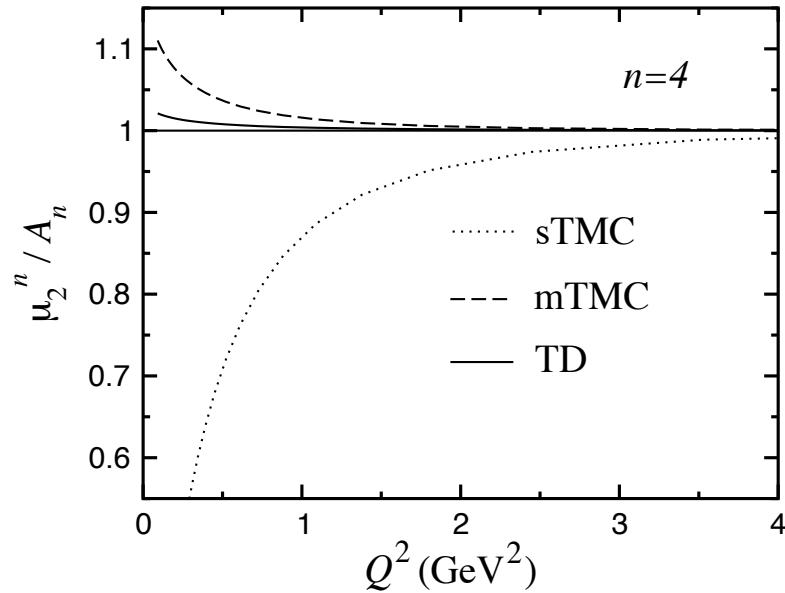
→ effect small at higher  $Q^2$

# Nachtmann $F_2$ moments



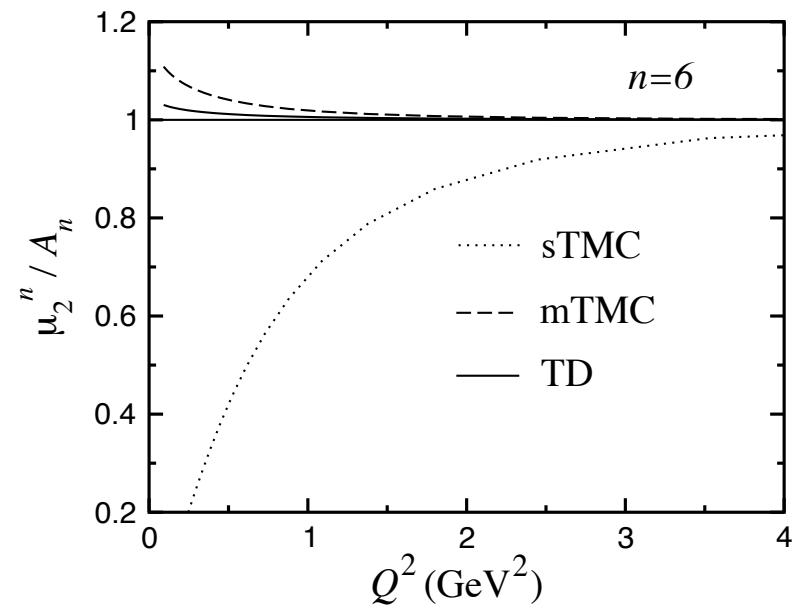
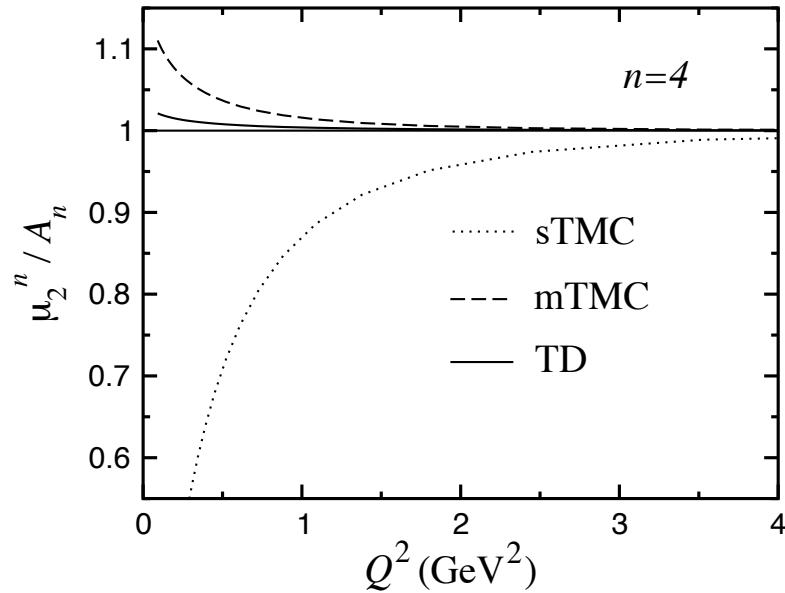
→ moment of structure function agrees with moment of PDF to 1% down to very low  $Q^2$

# Nachtmann $F_2$ moments



→ higher moments show much weaker  $Q^2$  dependence than sTMC & mTMC prescriptions

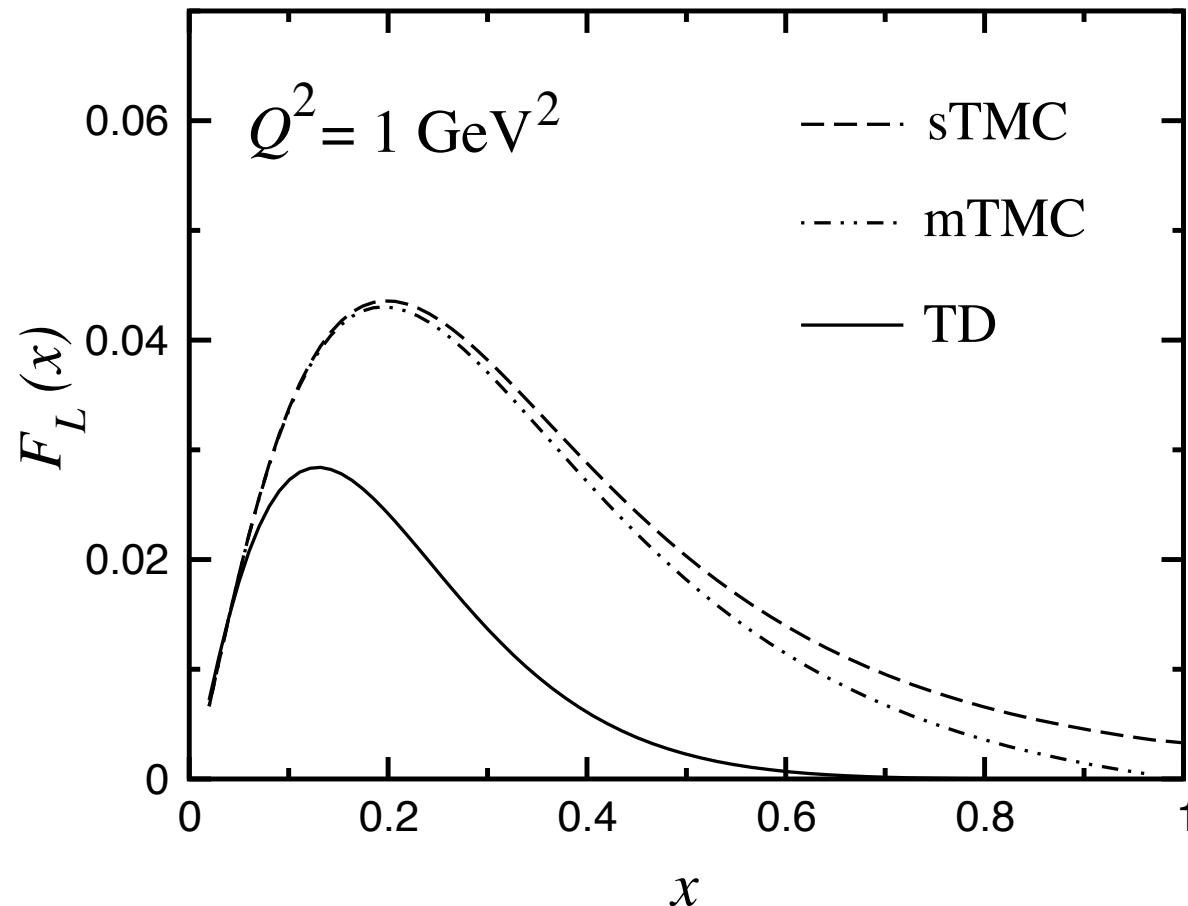
# Nachtmann $F_2$ moments



$$\rightarrow \frac{\mu_2^n(\text{finite } Q^2)}{A_n(\text{finite } Q^2)} = \frac{\mu_2^n(Q^2 \rightarrow \infty)}{A_n(Q^2 \rightarrow \infty)}$$

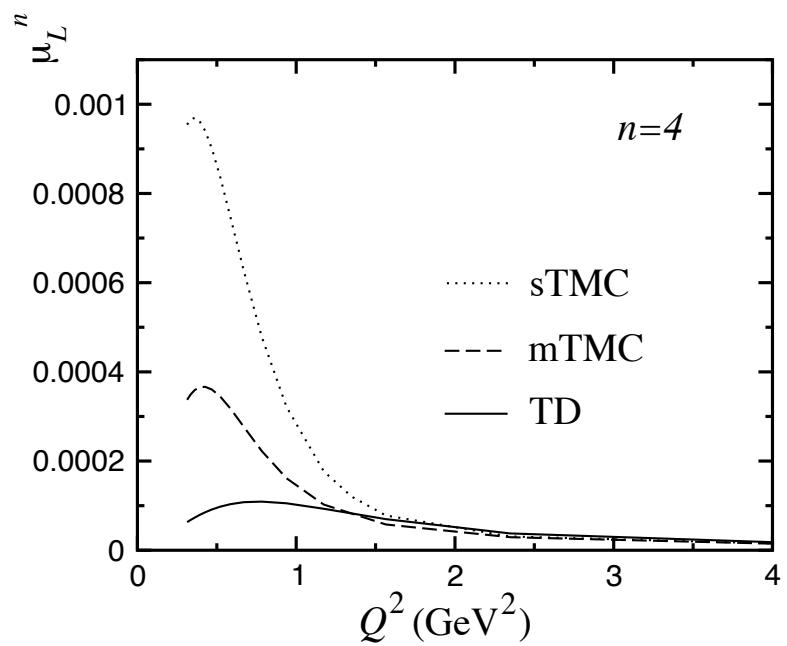
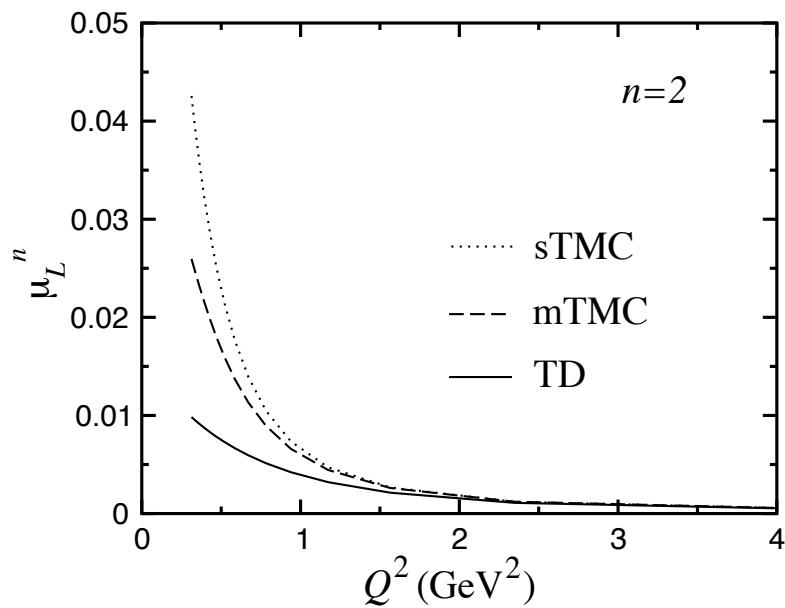
$\rightarrow$  extract PDFs from structure function data  
at lower  $Q^2$

# TMCs in $F_L$



- correct threshold behavior for “TD” correction
- reduced TMC effect *cf.* sTMC and mTMC

# Nachtmann $F_L$ moments



→ weaker  $Q^2$  dependence for TD prescription

# Summary

- Remarkable confirmation of quark-hadron duality in structure functions at low  $Q^2$ 
  - higher twists “small” down to  $Q^2 \sim 1 \text{ GeV}^2$
  - quark models provide clues to origin of resonance cancellations (local duality)
- WM, Ent, Keppel, Phys. Rept. 406 (2005) 127
- Formulation of TMC in GP approach *without* threshold problem
  - much faster approach to scaling for  $\xi_0$  dependent PDF
  - extend to polarized and nuclear structure functions
  - how should  $F(\xi, \xi_0)$  be interpreted in partonic language?  
how do evolution equations change?

Steffens, WM, PRC 73 (2006) 055202

The End