

# Structure functions at low $Q^2$

- higher twists and target mass effects

*Wally Melnitchouk*

*Jefferson Lab*



# Outline

## ■ Quark-hadron duality

- resonances and higher twists
- quark models of local duality

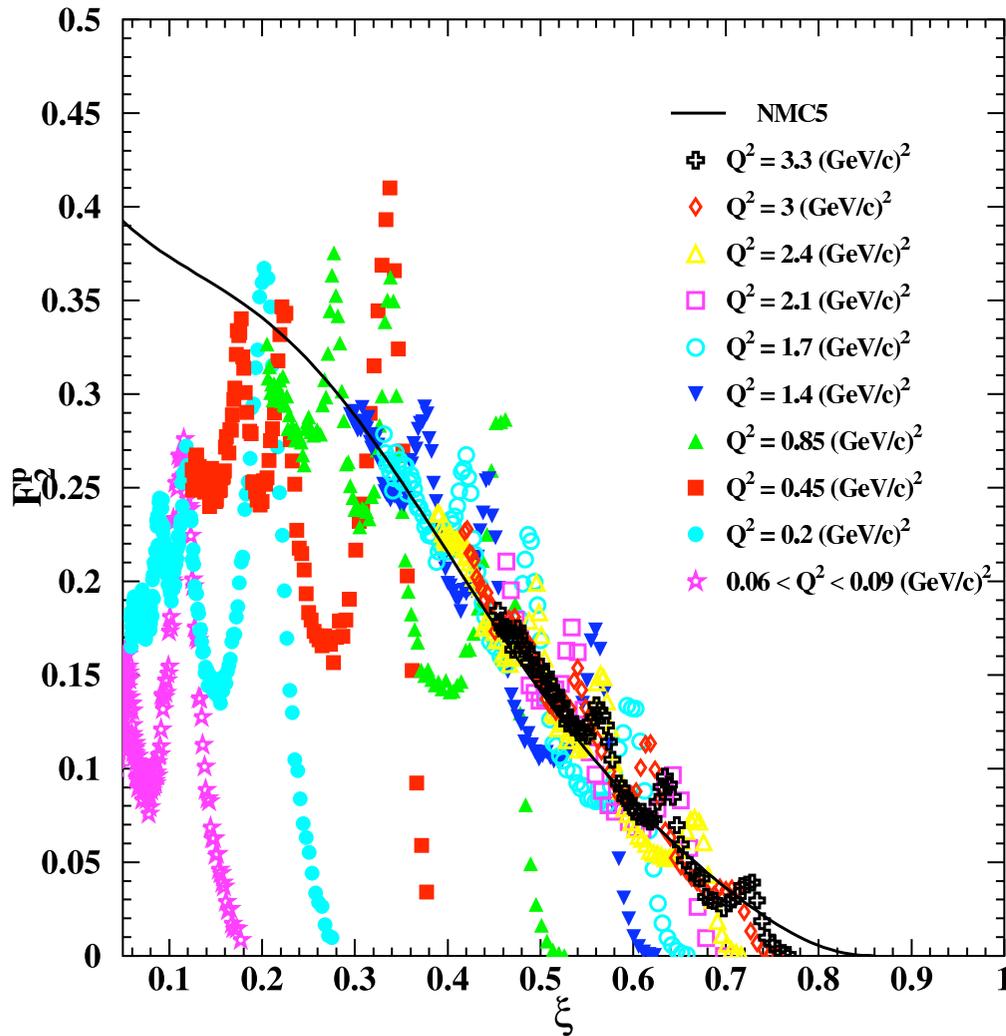
## ■ Target mass corrections

- kinematical  $1/Q^2$  corrections
- new formulation without “threshold problem”

I.

# Quark-hadron duality

# Bloom-Gilman duality

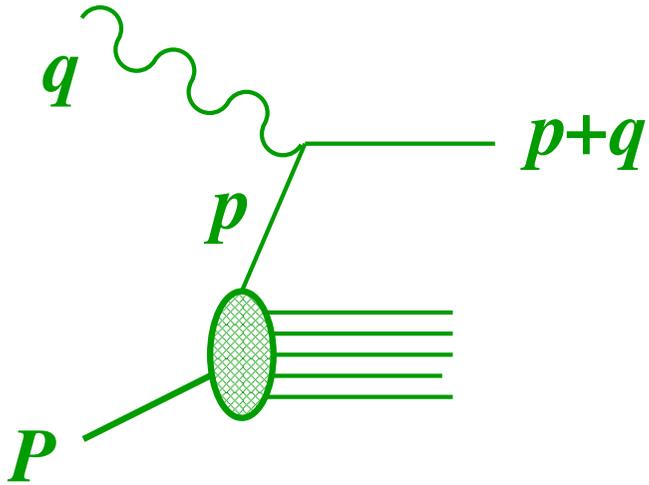


Average over  
(strongly  $Q^2$  dependent)  
resonances  
 $\approx$   $Q^2$  independent  
scaling function

Jefferson Lab (Hall C)

*Niculescu et al., Phys. Rev. Lett. 85 (2000) 1182*

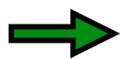
# Kinematics



$$m_q = 0$$

$$p_T = 0$$

$$\xi = \frac{p^+}{P^+} = \frac{p^0 + p^z}{M}$$



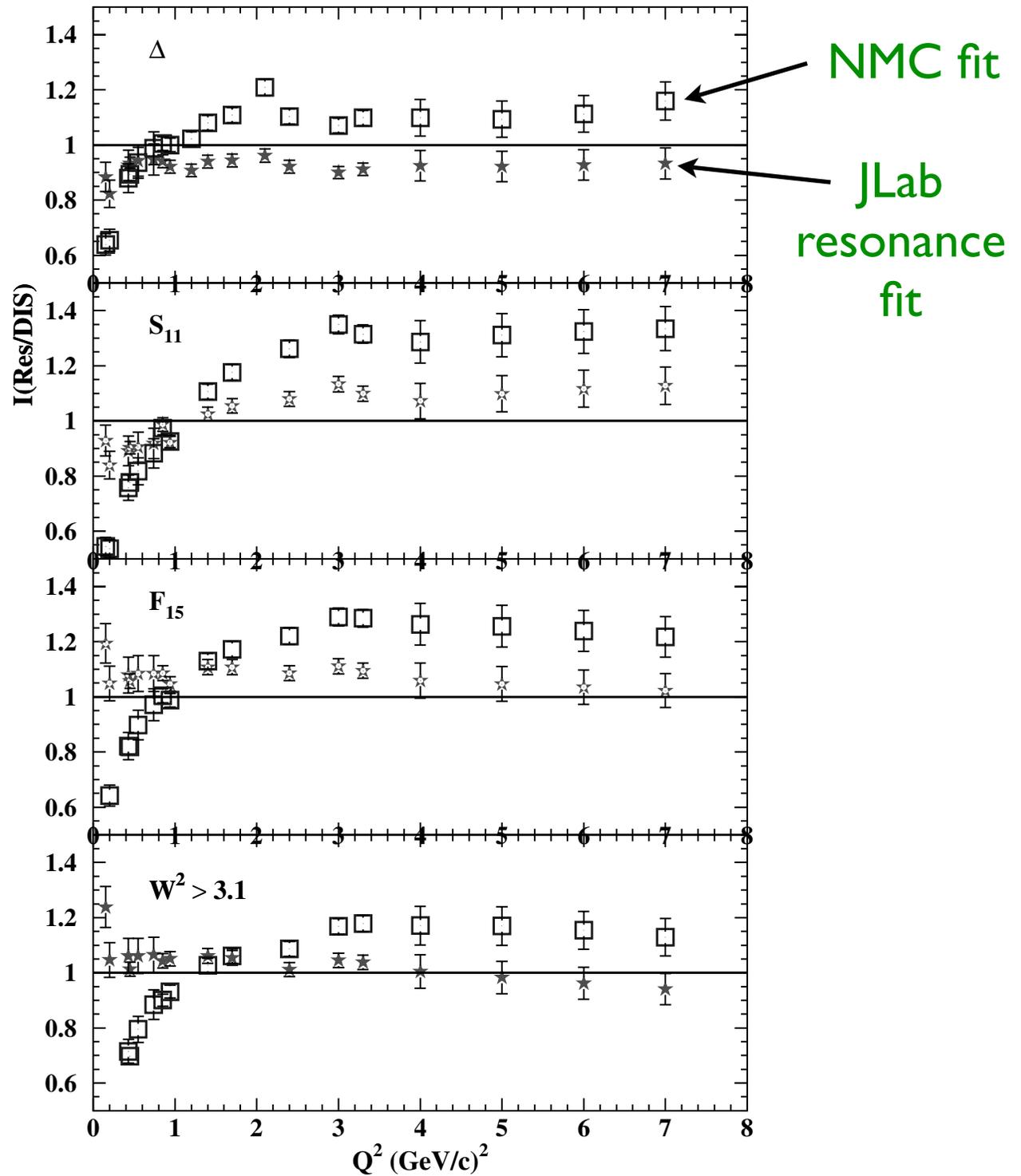
Nachtmann scaling variable

$$\xi = \frac{2x}{1 + \sqrt{1 + 4x^2 M^2 / Q^2}}$$

target mass dependence

# Integrated strength

~10% agreement  
for  $Q^2 > 1 \text{ GeV}^2$



# Duality and the OPE

## Operator product expansion

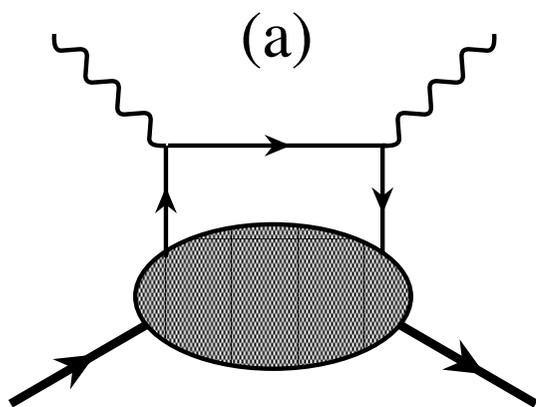
→ expand moments of structure functions  
in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx x^{n-2} F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

matrix elements of operators  
with specific “twist”  $\tau$

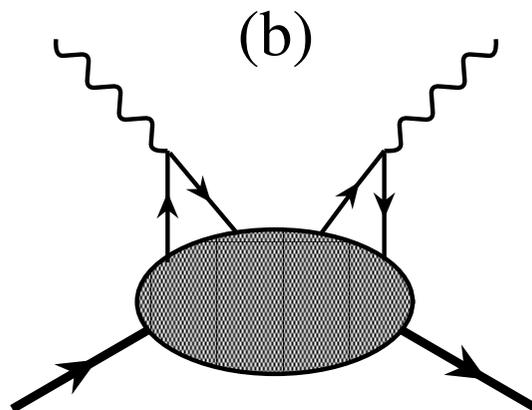
$\tau = \text{dimension} - \text{spin}$

# Higher twists



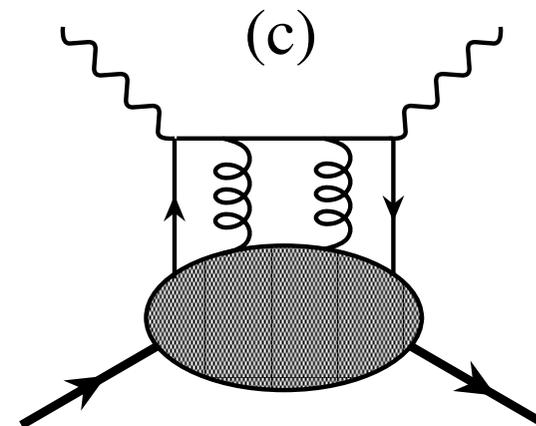
$$\tau = 2$$

single quark  
scattering



$$\tau > 2$$

*qq* and *qg*  
correlations



# Duality and the OPE

## Operator product expansion

→ expand moments of structure functions in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx x^{n-2} F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

If moment  $\approx$  independent of  $Q^2$

→ higher twist terms  $A_n^{(\tau > 2)}$  small

# Duality and the OPE

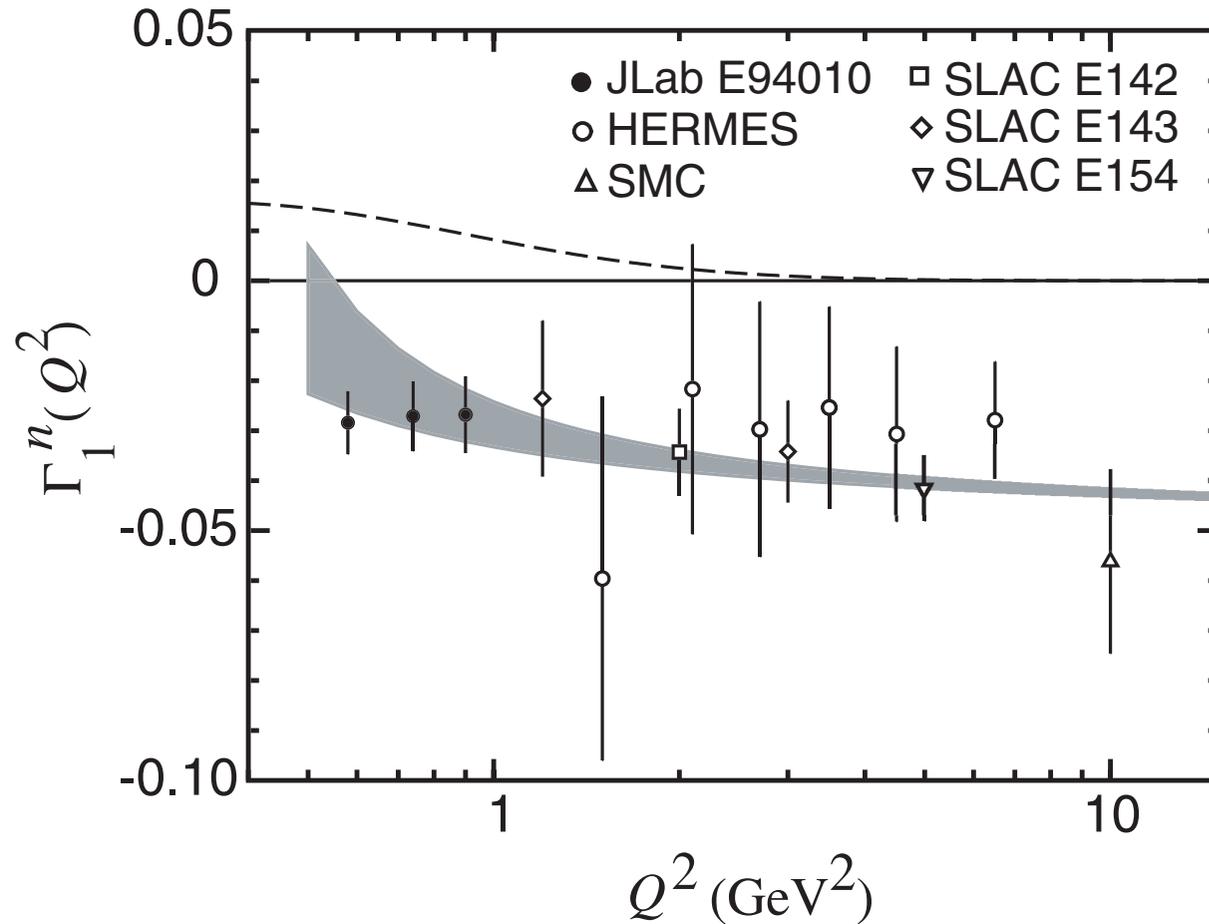
## Operator product expansion

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Duality  $\iff$  suppression of higher twists

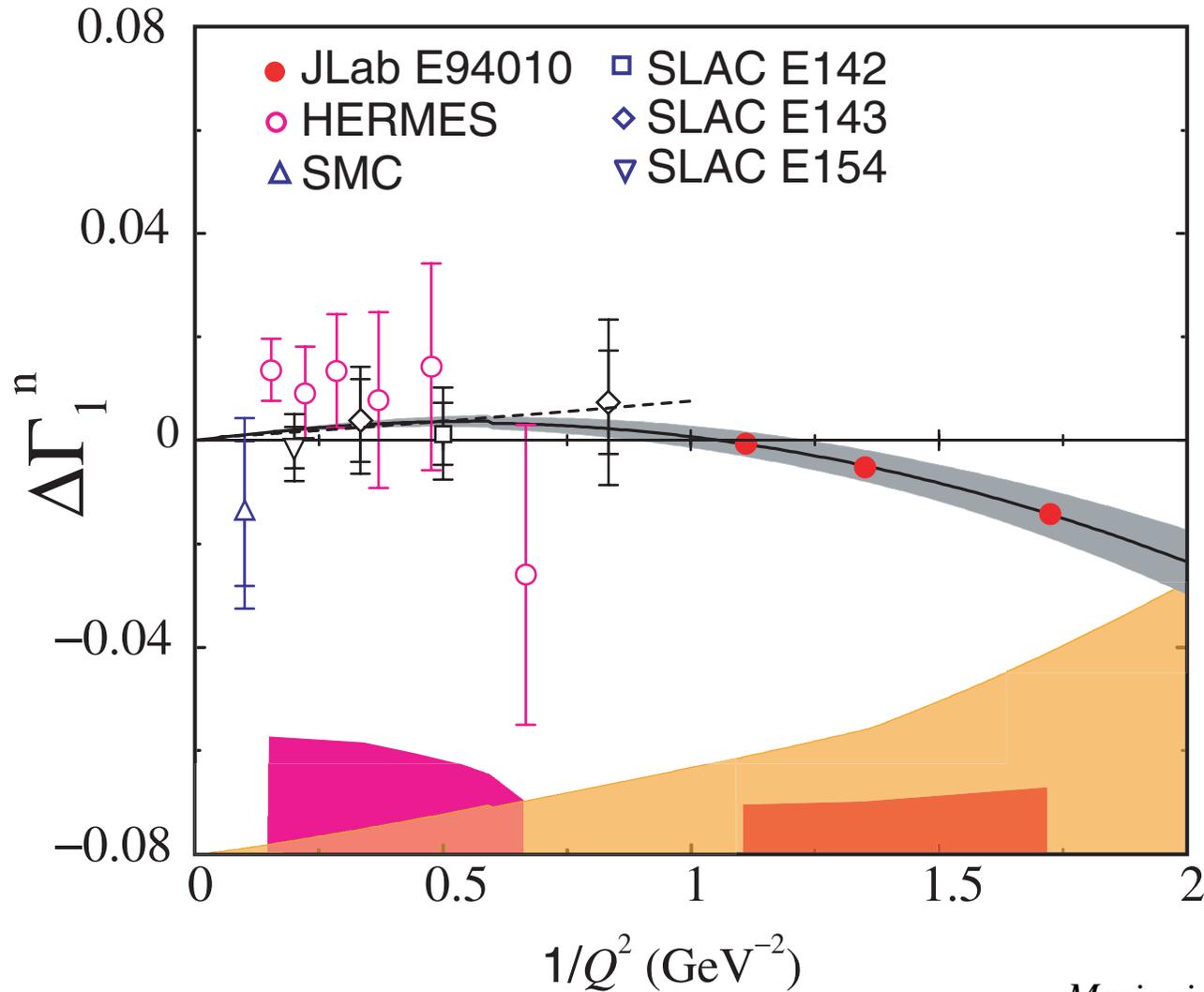
# Neutron $g_1$ moment



$\Gamma_1^n$  extracted from  $\Gamma_1^{3\text{He}}$  data  
correcting for nuclear effects

# Neutron $g_1$ moment

→ higher twist contribution



Total higher twist  $\sim zero$  at  $Q^2 \sim 1 - 2 \text{ GeV}^2$

→ nonperturbative interactions between quarks and gluons not dominant at these scales

→ suggests *strong cancellations* between resonances, resulting in dominance of *leading twist*

→ OPE does not tell us why higher twists are small !

Can we understand this  
behavior dynamically?

How do cancellations between  
*coherent* resonances produce  
*incoherent* scaling function?

# Coherence vs. incoherence

## Exclusive form factors

→ coherent scattering from quarks

$$d\sigma \sim \left( \sum_i e_i \right)^2$$

## Inclusive structure functions

→ incoherent scattering from quarks

$$d\sigma \sim \sum_i e_i^2$$

→ How can square of a sum  $\approx$  sum of squares ?

# Pedagogical model

Two quarks bound in a harmonic oscillator potential

→ exactly solvable spectrum

Structure function given by sum of squares of transition form factors

$$F(\nu, \mathbf{q}^2) \sim \sum_n |G_{0,n}(\mathbf{q}^2)|^2 \delta(E_n - E_0 - \nu)$$

Charge operator  $\sum_i e_i \exp(i\mathbf{q} \cdot \mathbf{r}_i)$  excites

*even* partial waves with strength  $\propto (e_1 + e_2)^2$

*odd* partial waves with strength  $\propto (e_1 - e_2)^2$

# Pedagogical model

## Resulting structure function

$$F(\nu, \mathbf{q}^2) \sim \sum_n \{ (e_1 + e_2)^2 G_{0,2n}^2 + (e_1 - e_2)^2 G_{0,2n+1}^2 \}$$

If states degenerate, cross terms ( $\sim e_1 e_2$ )  
cancel when averaged over nearby even and odd  
parity states

Minimum condition for duality:

➔ *at least one complete set of even and odd  
parity resonances must be summed over*

# Quark model

Even and odd parity states generalize to  $56^+$  ( $L=0$ ) and  $70^-$  ( $L=1$ ) multiplets of spin-flavor SU(6)

→ scaling occurs if contributions from  $56^+$  and  $70^-$  have equal overall strengths

representation	${}^2\mathbf{8}[56^+]$	${}^4\mathbf{10}[56^+]$	${}^2\mathbf{8}[70^-]$	${}^4\mathbf{8}[70^-]$	${}^2\mathbf{10}[70^-]$	Total
$F_1^p$	$9\rho^2$	$8\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 + 9\lambda^2$
$F_1^n$	$(3\rho + \lambda)^2/4$	$8\lambda^2$	$(3\rho - \lambda)^2/4$	$4\lambda^2$	$\lambda^2$	$(9\rho^2 + 27\lambda^2)/2$
$g_1^p$	$9\rho^2$	$-4\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 - 3\lambda^2$
$g_1^n$	$(3\rho + \lambda)^2/4$	$-4\lambda^2$	$(3\rho - \lambda)^2/4$	$-2\lambda^2$	$\lambda^2$	$(9\rho^2 - 9\lambda^2)/2$

$\lambda$  ( $\rho$ ) = (anti) symmetric component of ground state wfn.

# Quark model

SU(6) limit  $\longrightarrow \lambda = \rho$

$SU(6) :$	$[56, 0^+]^2 8$	$[56, 0^+]^4 10$	$[70, 1^-]^2 8$	$[70, 1^-]^4 8$	$[70, 1^-]^2 10$	<i>total</i>
$F_1^p$	9	8	9	0	1	27
$F_1^n$	4	8	1	4	1	18
$g_1^p$	9	-4	9	0	1	15
$g_1^n$	4	-4	1	-2	1	0

Summing over all resonances in  $56^+$  and  $70^-$  multiplets

$$\longrightarrow R^{np} = \frac{F_1^n}{F_1^p} = \frac{2}{3} \quad A_1^p = \frac{g_1^p}{F_1^p} = \frac{5}{9} \quad A_1^n = \frac{g_1^n}{F_1^n} = 0$$

$\longrightarrow$  as in quark-parton model !

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

gives  $\Delta u/u > 1$



*inconsistent with duality*

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${}^4\mathbf{10} [56^+]$  and  ${}^4\mathbf{8} [70^-]$   
suppressed

# Quark model

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But significant deviations at large  $x$

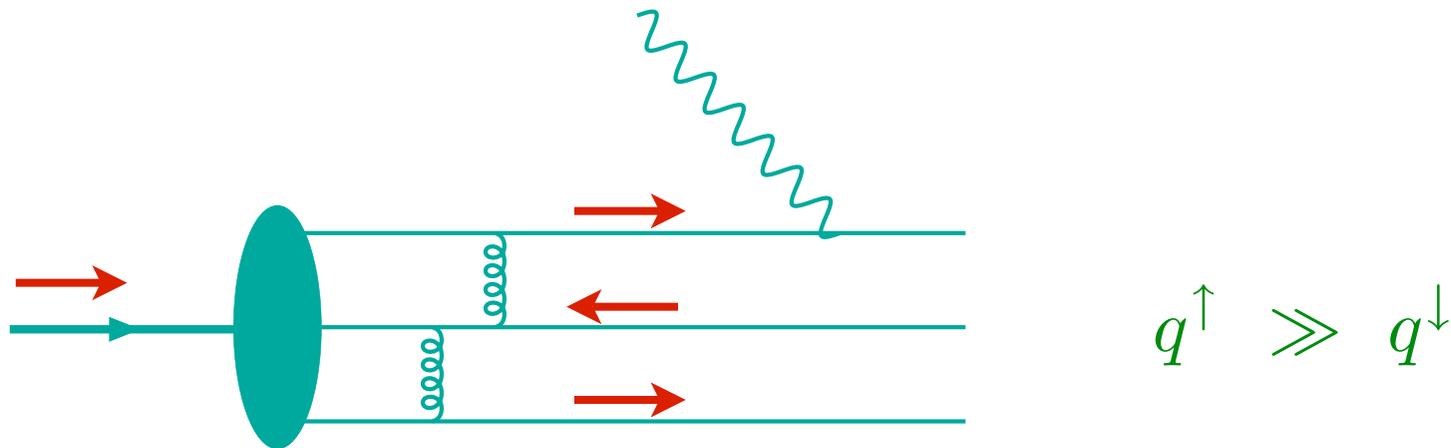
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↑  
helicity 3/2  
suppression

■ hard gluon exchange

at large  $x$ , helicity of struck quark = helicity of hadron



$\implies$  helicity-zero diquark dominant in  $x \rightarrow 1$  limit

$$\longrightarrow \frac{d}{u} \longrightarrow \frac{1}{5}$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \longrightarrow \frac{3}{7}$$

# $N \rightarrow N^*$ transitions for helicity-1/2 dominance

SU(6) representation	${}^2\mathbf{8}[\mathbf{56}^+]$	${}^4\mathbf{10}[\mathbf{56}^+]$	${}^2\mathbf{8}[\mathbf{70}^-]$	${}^4\mathbf{8}[\mathbf{70}^-]$	${}^2\mathbf{10}[\mathbf{70}^-]$	Total
$F_1^p = g_1^p$	9	2	9	0	1	21
$F_1^n = g_1^n$	4	2	1	1	1	9

polarization asymmetries  $A_1^N \rightarrow 1$

→ cf. pQCD “counting rules”

→ hard gluon exchange between quarks

neutron to proton ratio  $F_2^n / F_2^p \rightarrow 3/7$

→ cf. “helicity retention” model

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

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$A_1^n$	0	2/5	1/3	1	1	1

*e.g.* through  $\vec{S}_i \cdot \vec{S}_j$   
interaction  
between quarks

← suppression of symmetric  
part of spin-flavor wfn.

# Valence quarks

## ■ scalar diquark dominance

$M_{\Delta} > M_N \implies (qq)_1$  has larger energy than  $(qq)_0$

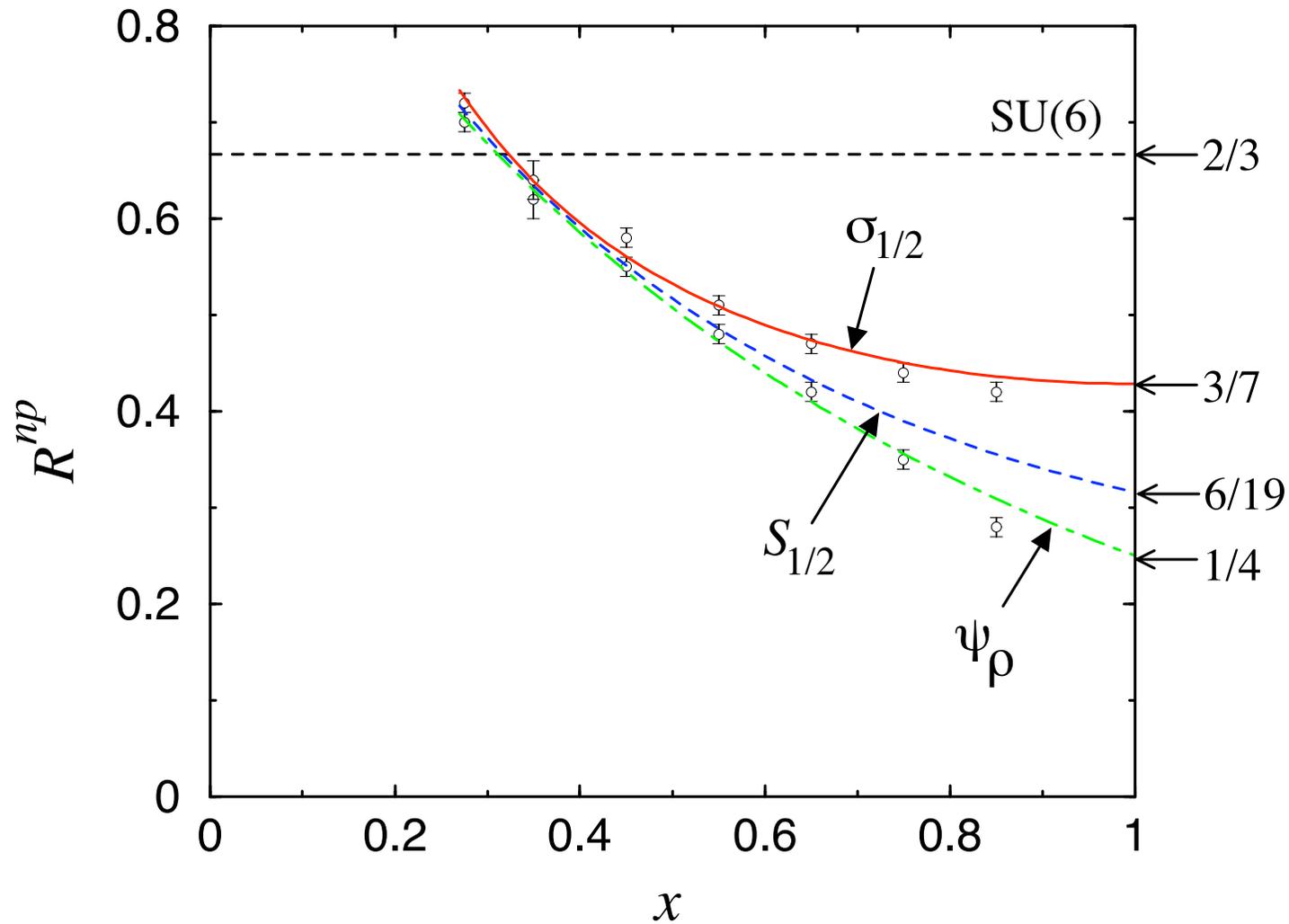
$\implies$  scalar diquark dominant in  $x \rightarrow 1$  limit

since only  $u$  quarks couple to scalar diquarks

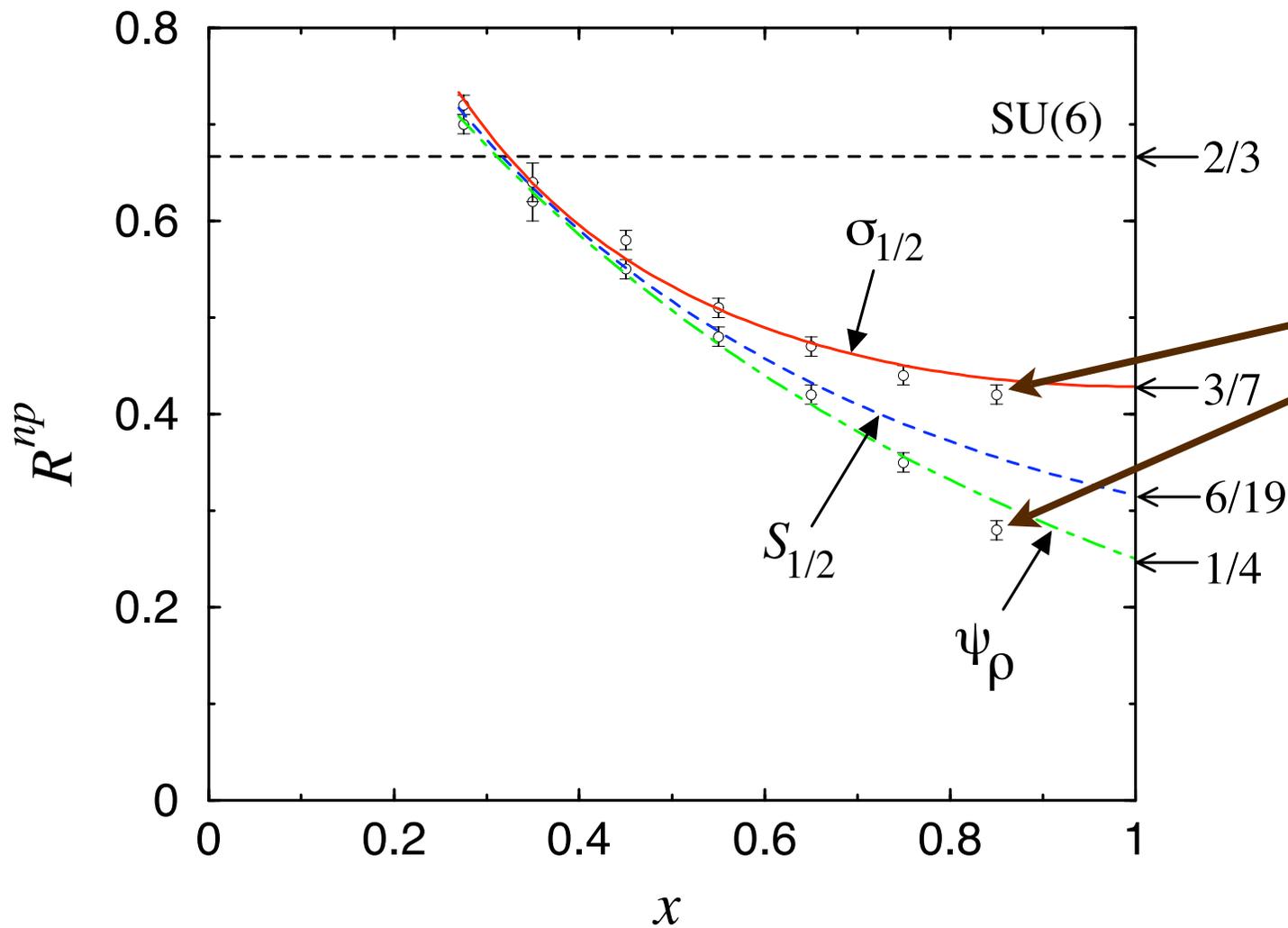
$$\longrightarrow \frac{d}{u} \rightarrow 0$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4}$$

Fit to  $\left\{ \begin{array}{l} \text{SU(6) symmetry at } x \sim 1/3 \\ \text{SU(6) breaking at } x \sim 1 \end{array} \right.$

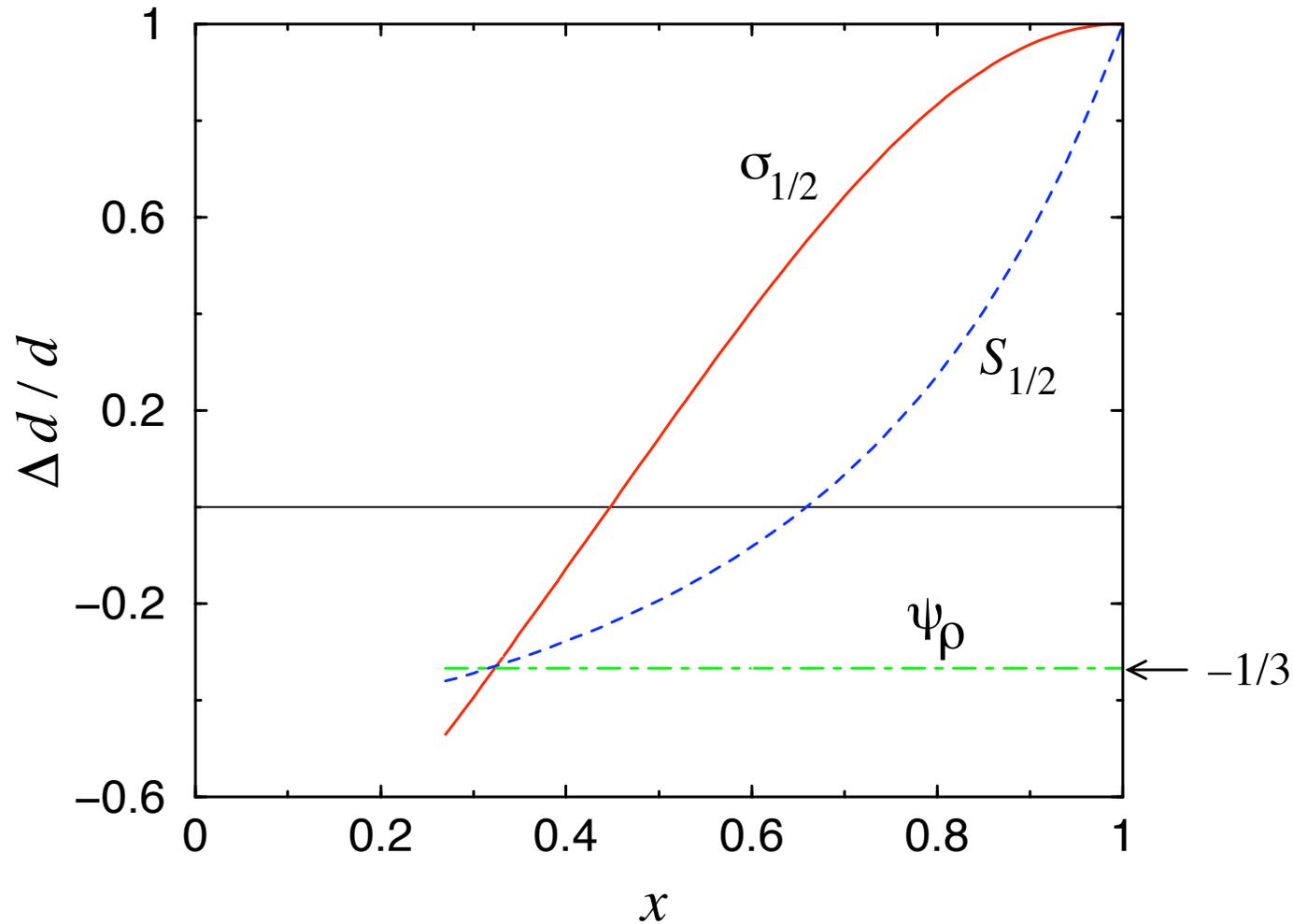


Fit to  $\left\{ \begin{array}{l} \text{SU(6) symmetry at } x \sim 1/3 \\ \text{SU(6) breaking at } x \sim 1 \end{array} \right.$



uncertainty  
in  $F_2^n$  due to  
nuclear effects  
in deuteron

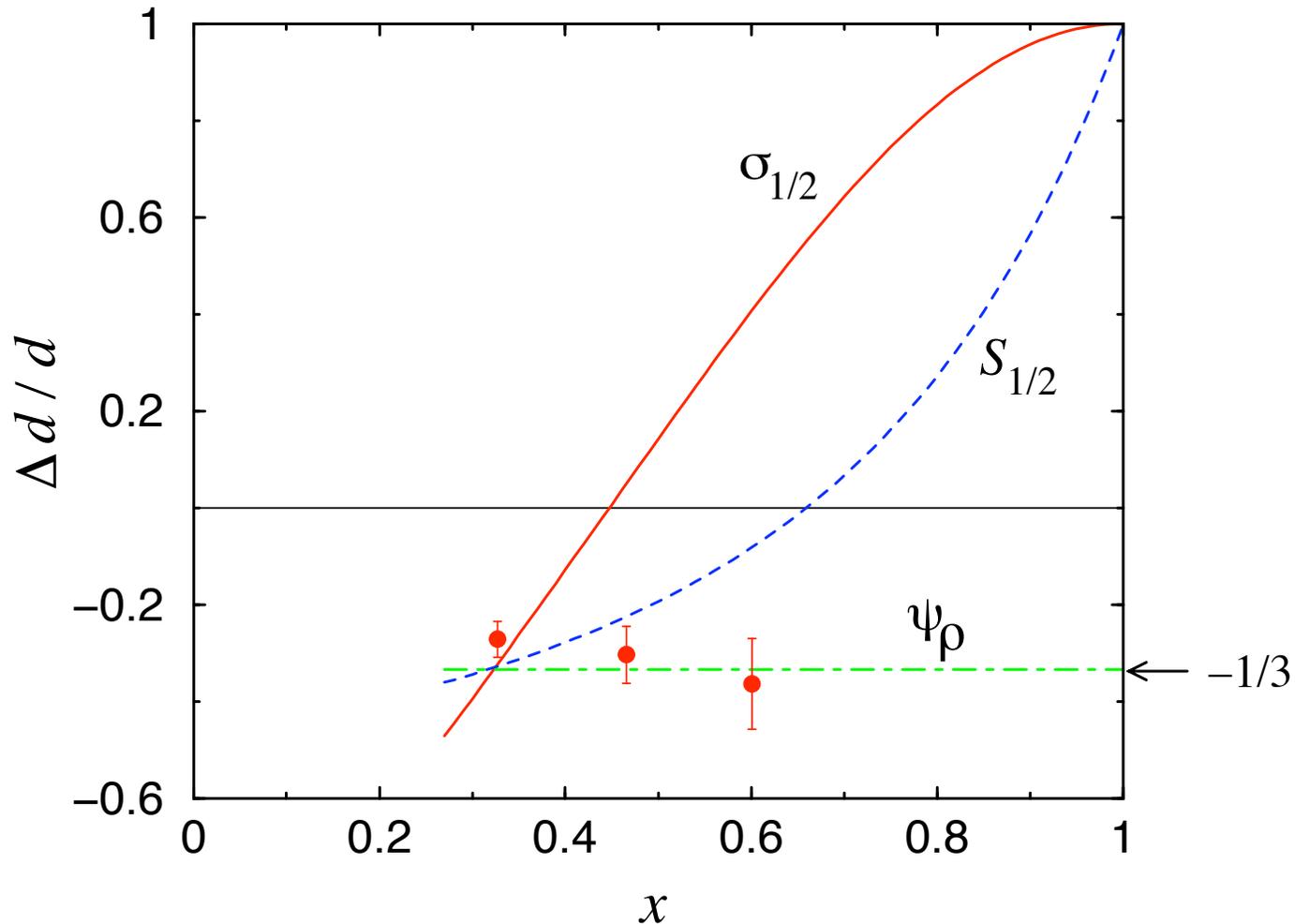
$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

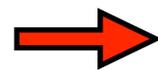
Close, WM  
PRC68 (2003) 035210

$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

Zheng et al. (JLab Hall A)  
PRL (2004) 012004



nonperturbative physics  
still dominant at  $x \sim 0.6$  !

2.

Target mass corrections

# Operator Product Expansion

*Georgi, Politzer (1976)*

$$\begin{aligned} & \int d^4x e^{iq \cdot x} \langle N | T(J^\mu(x) J^\nu(0)) | N \rangle \\ &= \sum_k \left( -g^{\mu\nu} q^{\mu_1} q^{\mu_2} + g^{\mu\mu_1} q^\nu q^{\mu_2} + q^\mu q^{\mu_1} g^{\nu\mu_2} + g^{\mu\mu_1} g^{\nu\mu_2} Q^2 \right) \\ & \quad \times q^{\mu_3} \dots q^{\mu_{2k}} \frac{2^{2k}}{Q^{4k}} A_{2k} \underbrace{\Pi_{\mu_1 \dots \mu_{2k}}}_{\langle N | \mathcal{O}_{\mu_1 \dots \mu_{2k}} | N \rangle} \end{aligned}$$

$$\begin{aligned} \Pi_{\mu_1 \dots \mu_{2k}} &= p_{\mu_1} \dots p_{\mu_{2k}} - (g_{\mu_i \mu_j} \text{ terms}) \\ &= \sum_{j=0}^k (-1)^j \frac{(2k-j)!}{2^j (2k)^j} g \dots g p \dots p \end{aligned}$$

traceless, symmetric rank- $2k$  tensor

■  $n$ -th moment of  $F_2$  structure function

$$\begin{aligned} M_2^n(Q^2) &= \int dx x^{n-2} F_2(x, Q^2) \\ &= \sum_{j=0}^{\infty} \left( \frac{M^2}{Q^2} \right)^j \frac{(n+j)!}{j!(n-2)!} \frac{A_{n+2j}}{(n+2j)(n+2j-1)} \end{aligned}$$

→  $A_n = \int_0^1 dy y^n F(y)$

“quark distribution function”

$$F(y) = \frac{F_2(y)}{y^2}$$

■ inverse Mellin transform (+ tedious manipulations)

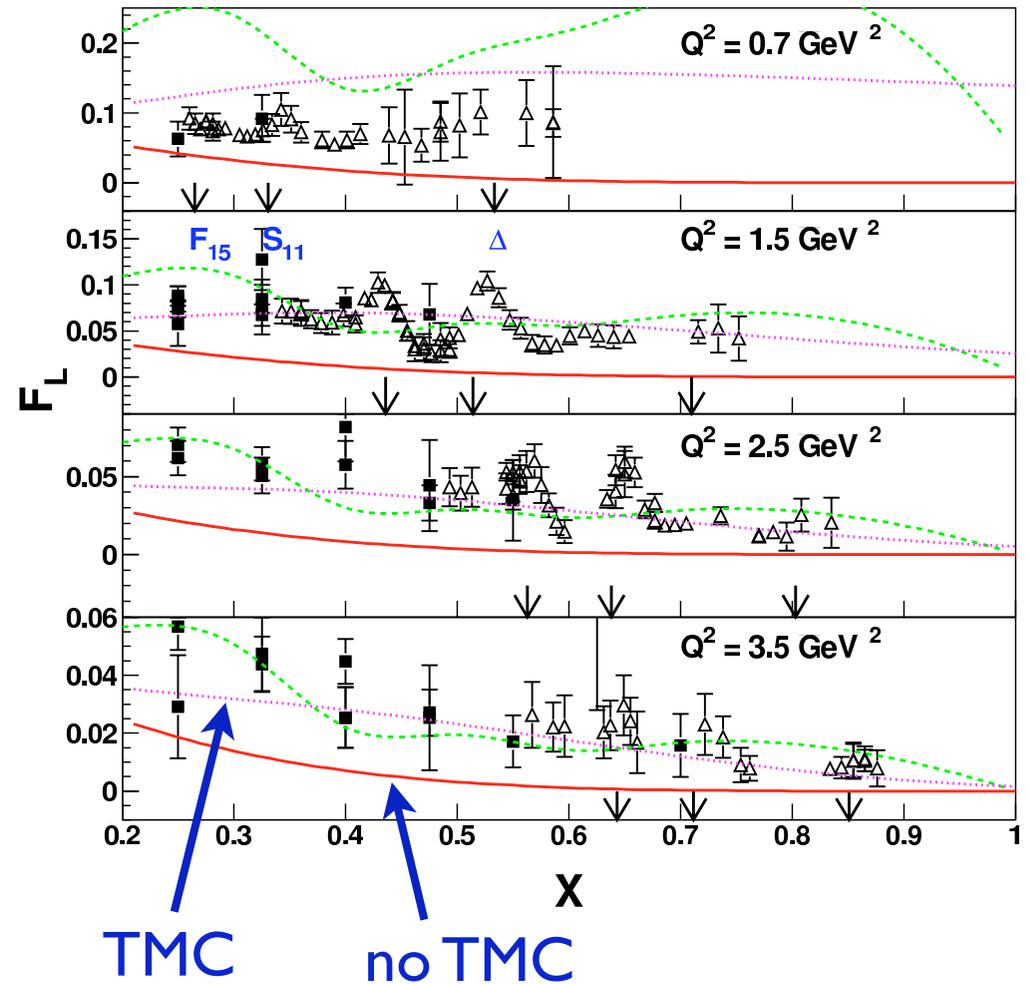
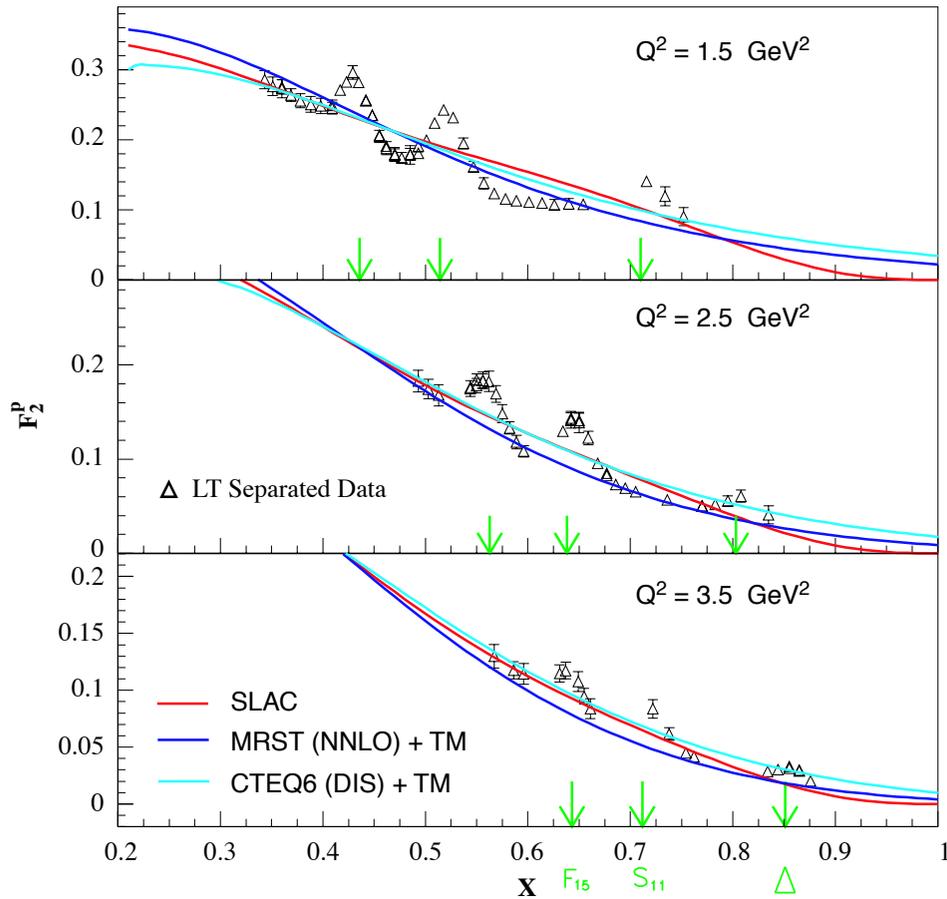
$$F_2^{\text{GP}}(x, Q^2) = \frac{x^2}{r^3} F(\xi) + 6 \frac{M^2 x^3}{Q^2 r^4} \int_{\xi}^1 d\xi' F(\xi')$$
$$+ 12 \frac{M^4 x^4}{Q^4 r^5} \int_{\xi}^1 d\xi' \int_{\xi'}^1 d\xi'' F(\xi'')$$

$$\xi = \frac{2x}{1+r} \quad r = \sqrt{1 + 4x^2 M^2 / Q^2}$$

... similarly for other structure functions  $F_1, F_L$

# ■ duality in $F_2$ and $F_L$ structure functions

Christy et al. (2005)



➔ TMCs significant at large  $x^2/Q^2$ , especially for  $F_L$

# Threshold problem

■ if  $F(y) \sim (1 - y)^\beta$  at large  $y$

then since  $\xi_0 \equiv \xi(x = 1) < 1$

→  $F(\xi_0) > 0$

→  $F_i^{\text{TMC}}(x = 1, Q^2) > 0$

*is this physical?*

→ problem with GP formulation?

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

*Nachtmann moment*

$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

→ supposed to remove TMCs explicitly from SF moment

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

Nachtmann moment

$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

→  $n$  fixed,  $Q^2 \rightarrow \infty$

$$\mu_2^n(Q^2) \rightarrow (\ln Q^2 / \Lambda^2)^{-\lambda_n} A_n$$

→  $n \rightarrow \infty$ ,  $Q^2$  fixed

$$\mu_2^n(Q^2) \rightarrow \xi_0^n(Q^2) \tilde{\mu}_2^n(Q^2)$$

“regularized” amplitudes  
(threshold-independent)

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

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$$\mu_2^n(Q^2) = \int_0^1 dx \frac{\xi^{n+1}}{x^3} \left( \frac{3 + 3(n+1)r + n(n+2)r^2}{(n+2)(n+3)} \right) F_2(x, Q^2)$$

*ansatz*  $\mu_2^n(Q^2) = \xi_0^n(Q^2) (\ln Q^2 / \Lambda^2)^{-\lambda_n} A_n$

➔ consistent with asymptotic pQCD behavior

➔ not unique!

# Possible solutions

## ■ Johnson/Tung - modified threshold factor

moreover, if identify  $A_n$  with  $M_2^n = \int_0^1 dx x^{n-2} F_2(x)$

$$\mu_2^n(Q^2) = \xi_0^n(Q^2) M_2^n(Q^2)$$

$$\rightarrow M_2^n(Q^2) = \mu_2^n(Q^2) + \frac{nM^2}{Q^2} M_2^n + \dots$$

*cf.* exact expression

$$M_2^n(Q^2) = \mu_2^n(Q^2) + \frac{n(n-1)}{n+2} \frac{M^2}{Q^2} M_2^{n+2} + \dots$$

$\rightarrow$  inconsistency at low  $Q^2$  ?

# Alternative solution

■ work with  $\xi_0$  dependent PDFs

→  $n$ -th moment  $A_n$  of distribution function

$$A_n = \int_0^{\xi_{\max}} d\xi \xi^n F(\xi)$$

→ what is  $\xi_{\max}$  ?

- GP use  $\xi_{\max} = 1$ ,  $\xi_0 < \xi < 1$  unphysical
- strictly, should use  $\xi_{\max} = \xi_0$

# Alternative solution

■ what is effect on phenomenology?

→ try several “toy distributions”

standard TMC (“sTMC”)

$$q(\xi) = \mathcal{N} \xi^{-1/2} (1 - \xi)^3, \quad \xi_{\max} = 1$$

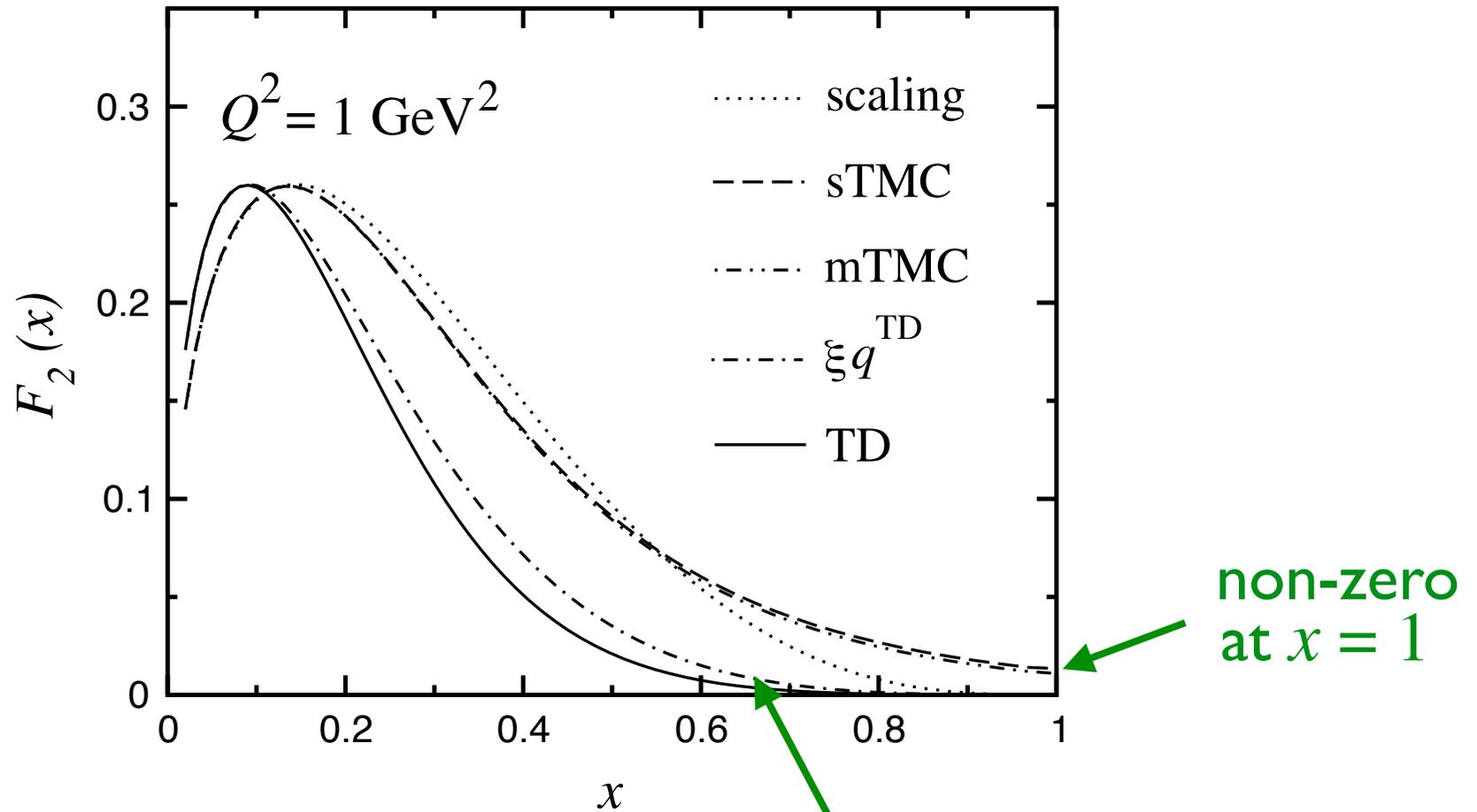
modified TMC (“mTMC”)

$$q(\xi) = \mathcal{N} \xi^{-1/2} (1 - \xi)^3 \Theta(\xi - \xi_0), \quad \xi_{\max} = \xi_0$$

threshold dependent (“TD”)

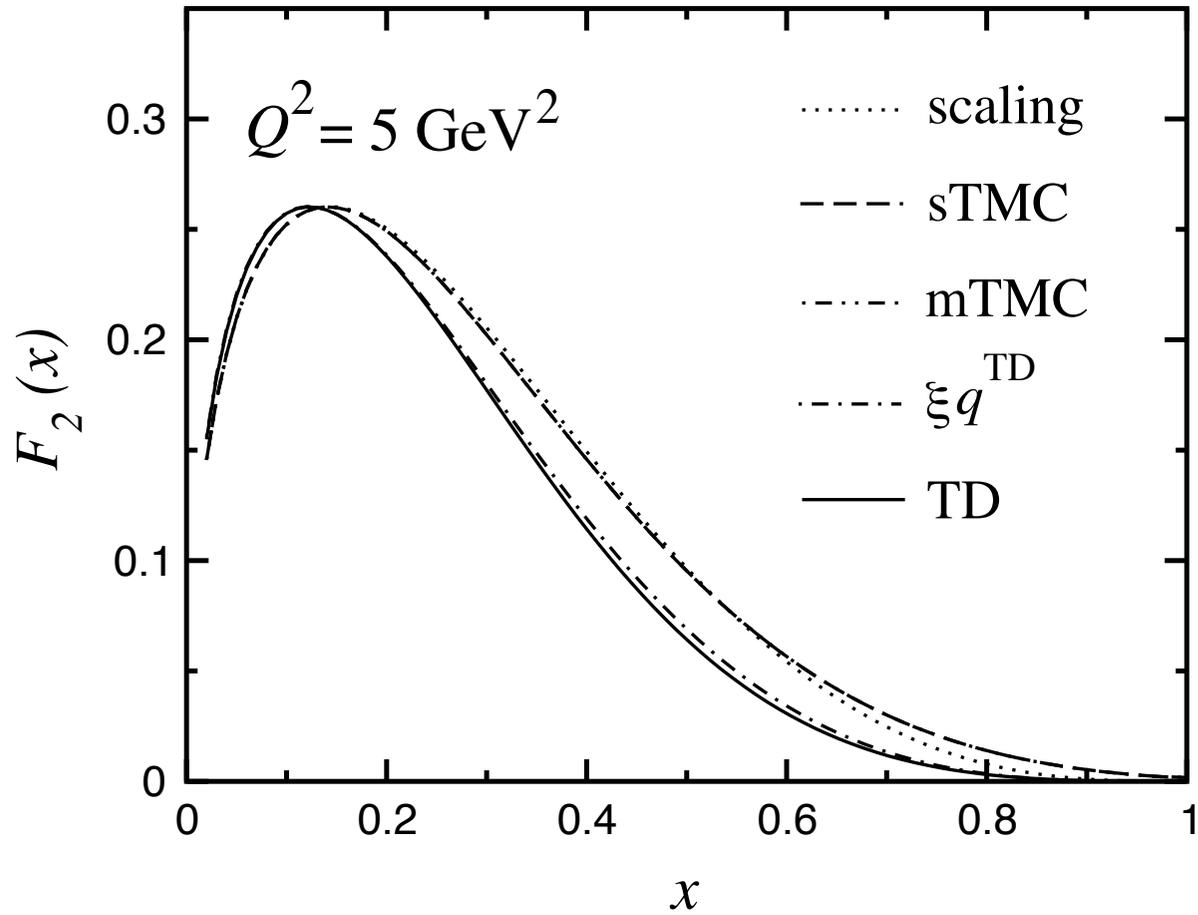
$$q^{\text{TD}}(\xi) = \mathcal{N} \xi^{-1/2} (\xi_0 - \xi)^3, \quad \xi_{\max} = \xi_0$$

# TMCs in $F_2$



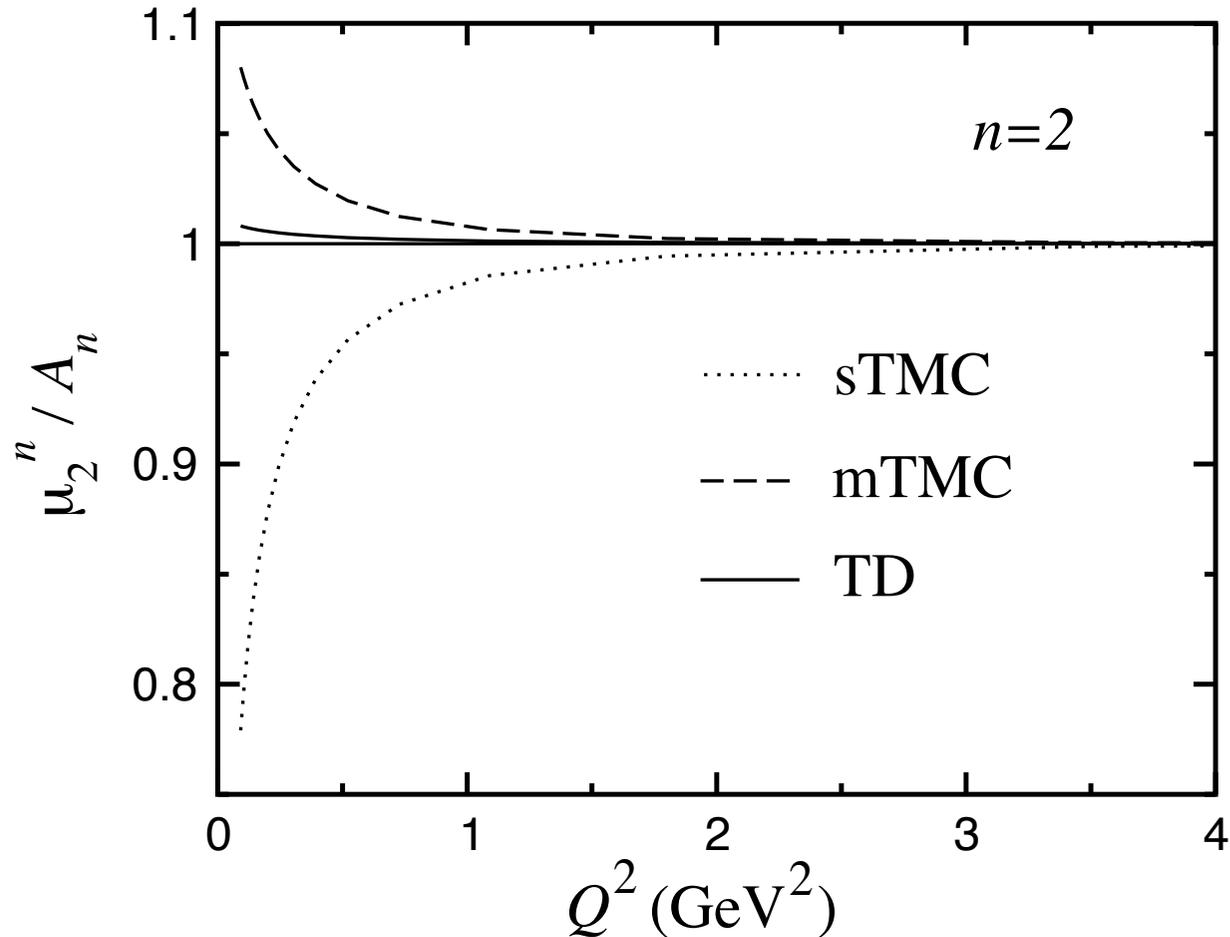
→ correct threshold behavior for "TD" correction

# TMCs in $F_2$



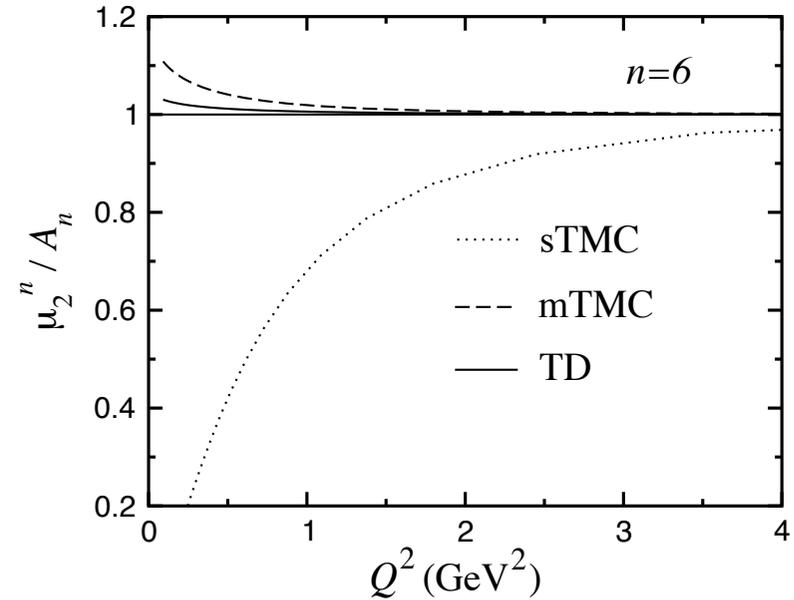
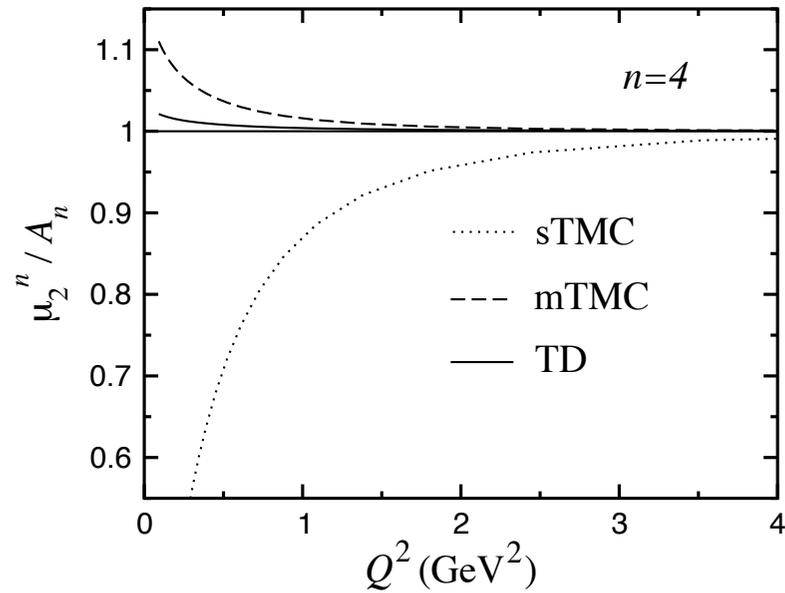
→ effect small at higher  $Q^2$

# Nachtmann $F_2$ moments



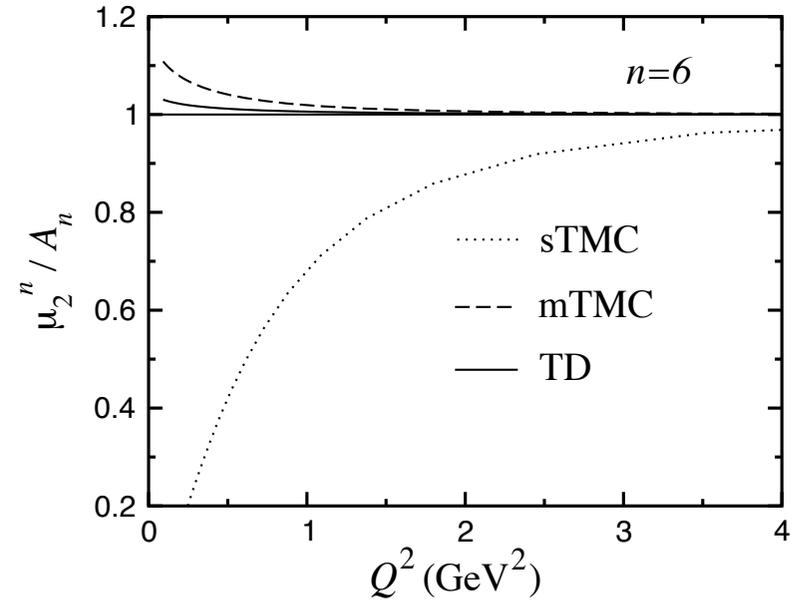
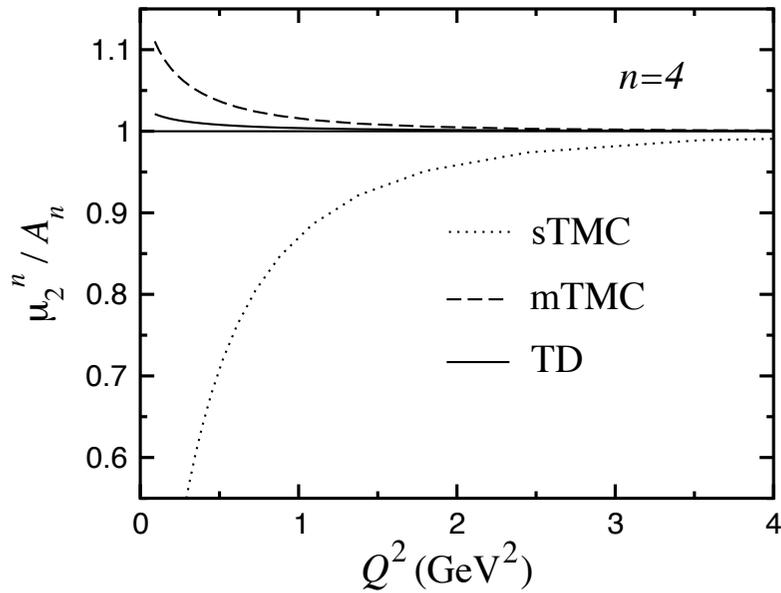
→ moment of structure function agrees with moment of PDF to 1% down to very low  $Q^2$

# Nachtmann $F_2$ moments



→ higher moments show much weaker  $Q^2$  dependence than sTMC & mTMC prescriptions

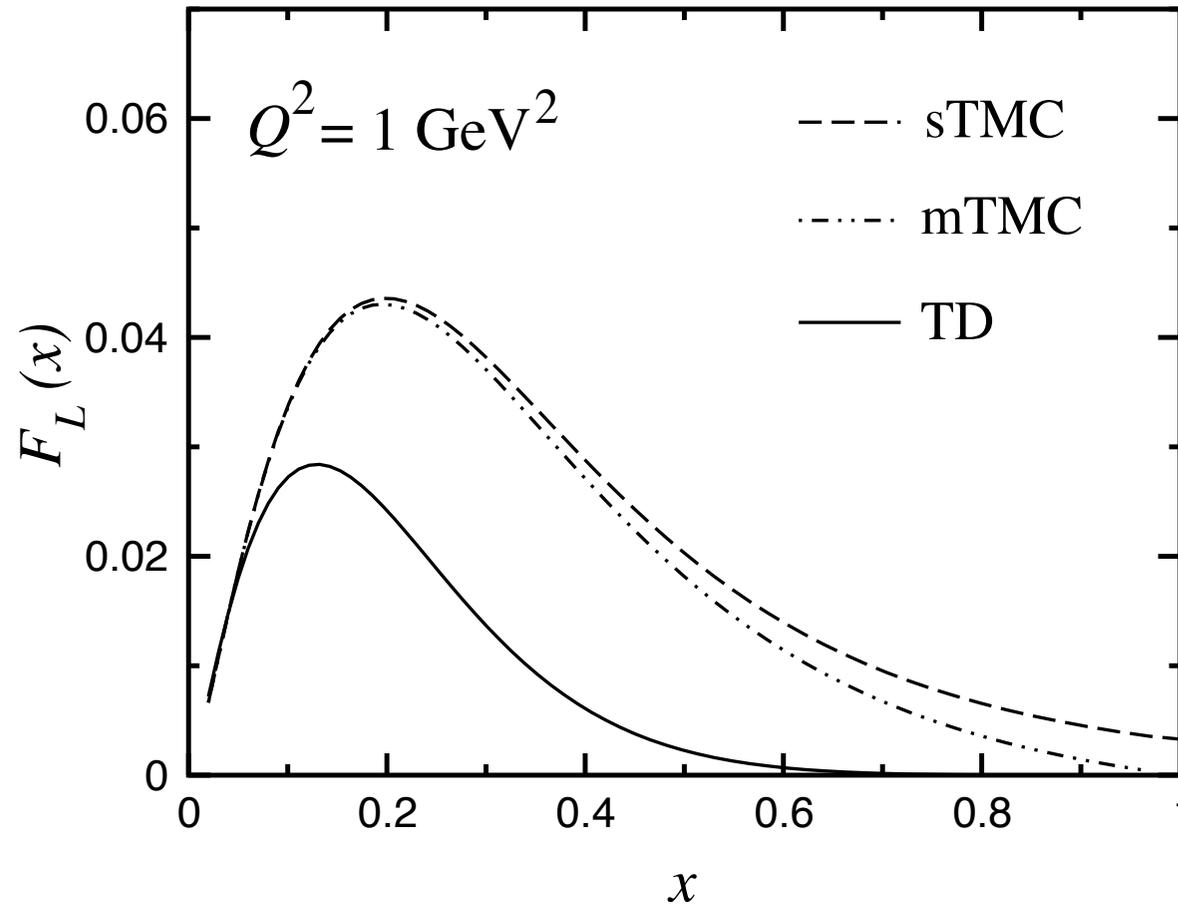
# Nachtmann $F_2$ moments



$$\rightarrow \frac{\mu_2^n(\text{finite } Q^2)}{A_n(\text{finite } Q^2)} = \frac{\mu_2^n(Q^2 \rightarrow \infty)}{A_n(Q^2 \rightarrow \infty)}$$

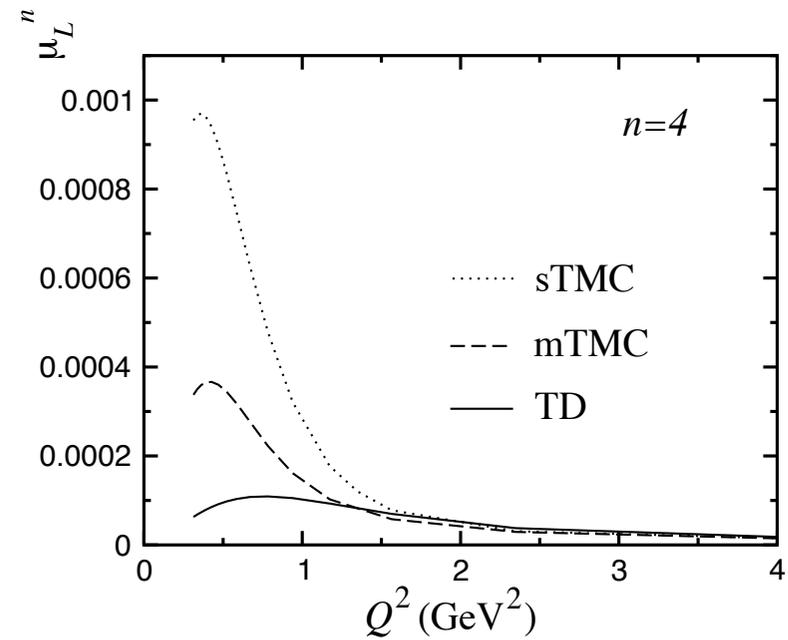
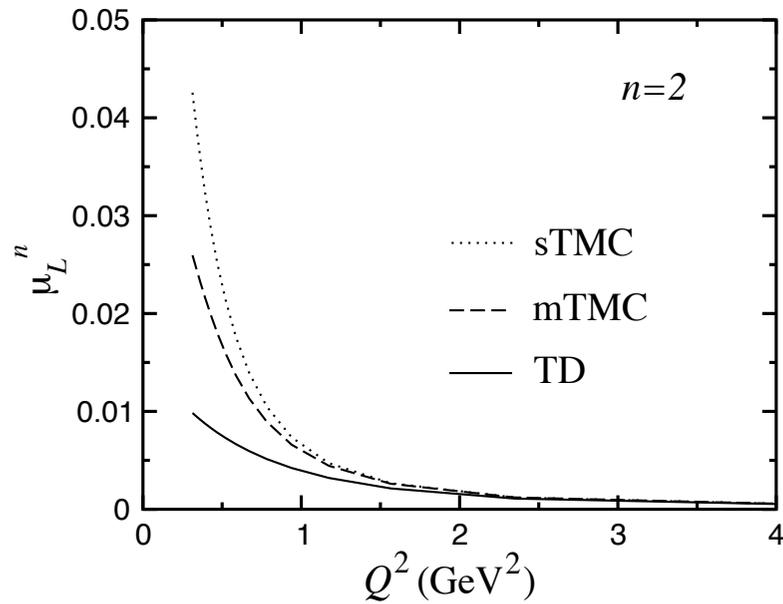
$\rightarrow$  extract PDFs from structure function data at lower  $Q^2$

# TMCs in $F_L$



- correct threshold behavior for “TD” correction
- reduced TMC effect *cf.* sTMC and mTMC

# Nachtmann $F_L$ moments



→ weaker  $Q^2$  dependence for TD prescription

# Summary

## ■ Remarkable confirmation of quark-hadron duality in structure functions at low $Q^2$

- higher twists “small” down to  $Q^2 \sim 1 \text{ GeV}^2$
- quark models provide clues to origin of resonance cancellations (local duality)

*WM, Ent, Keppel, Phys. Rept. 406 (2005) 127*

## ■ Formulation of TMC in GP approach *without* threshold problem

- much faster approach to scaling for  $\xi_0$  dependent PDF
- extend to polarized and nuclear structure functions
- how should  $F(\xi, \xi_0)$  be interpreted in partonic language?  
how do evolution equations change?

*Steffens, WM, PRC 73 (2006) 055202*

**The End**