

# Structure of the Nucleon with electroweak probes

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# Outline

## 1. Introduction

- QCD and the strong nuclear force
- electron scattering

## 2. Quark distributions in the nucleon

- sea quarks and flavour asymmetries
- valence quarks at large  $x$
- nuclear effects
- lattice QCD

# Outline

## 3. Quark-hadron duality

- structure functions in the resonance region
- duality and QCD
- global vs. local duality

## 4. Electromagnetic form factors

- two-photon exchange
- strangeness form factors

Thursday, February 2, 2006

U.S. Department of Energy Requests \$4.1 Billion Investment  
As Part of the American Competitiveness Initiative

### **Nuclear Physics Program (\$454.1 million)**

This is an \$87 million increase over FY 2006. This funding supports research to provide new insights and knowledge of the structure and interaction of atomic nuclei and the primary forces of particles of nature in nuclear matter. The funding increase restores operations at both the Thomas Jefferson National Accelerator Facility (TJNAF) and the Relativistic Heavy Ion Collider (RHIC). In addition, new funding is requested for a TJNAF power upgrade and a new injector for RHIC.

### **High Energy Physics Program (\$775.1 million)**

This is a \$58.4 million increase over FY 2006. This funding for grants and full experimental facility operations will be used to further explore basic research to explore the laws of nature governing the most basic constituents of matter and the forces binding them. These are fundamental principles at the heart of physics and the physical sciences. Project engineering and design funding of \$10.3 million is requested for the new Electron Neutrino Appearance project.

“puts DOE's Office of Science on the path to doubling its budget by 2016”

1.

# Introduction

- *QCD and the strong nuclear force*

# Building Blocks of the Universe

## FERMIONS

matter constituents  
spin = 1/2, 3/2, 5/2, ...

Leptons spin = 1/2			Quarks spin = 1/2		
Flavor	Mass GeV/c <sup>2</sup>	Electric charge	Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge
$\nu_e$ electron neutrino	$<1 \times 10^{-8}$	0	<b>u</b> up	0.003	2/3
<b>e</b> electron	0.000511	-1	<b>d</b> down	0.006	-1/3
$\nu_\mu$ muon neutrino	$<0.0002$	0	<b>c</b> charm	1.3	2/3
<b><math>\mu</math></b> muon	0.106	-1	<b>s</b> strange	0.1	-1/3
$\nu_\tau$ tau neutrino	$<0.02$	0	<b>t</b> top	175	2/3
<b><math>\tau</math></b> tau	1.7771	-1	<b>b</b> bottom	4.3	-1/3

- Each quark comes in 3 “colours”: **red**, **green** and **blue**.
- Leptons do not carry color charge.

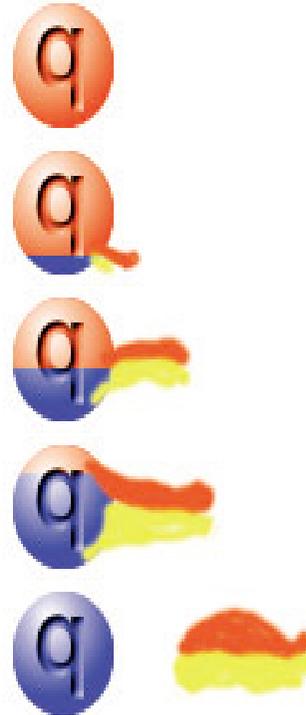
# Force Carriers of the Universe

<b>BOSONS</b>			force carriers spin = 0, 1, 2, ...		
Unified Electroweak spin = 1			Strong (color) spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge	Name	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0	<b>g</b> gluon	0	0
$W^-$	80.4	-1			
$W^+$	80.4	+1			
$Z^0$	91.187	0			

- The massless photon mediates the long-range e.m. interactions.
- Gluons carry **color** and mediate the strong interaction.
- The very massive  $W^-$ ,  $W^+$ , and  $Z^0$  bosons mediate the weak interaction

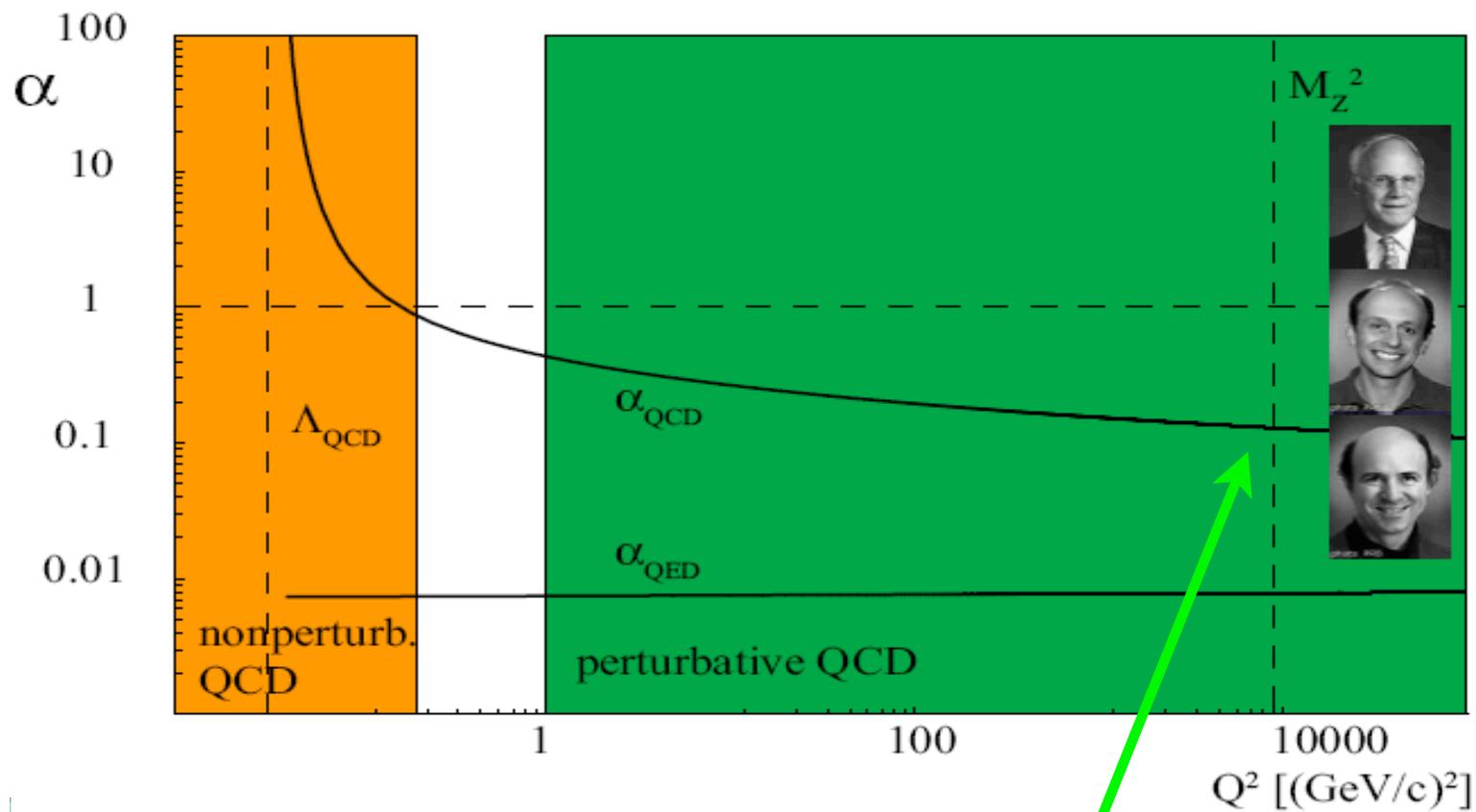
# Quantum Chromodynamics (QCD)

- Photons do not carry electric charge.
- Gluons *do* carry colour charge!
- Gluons can directly interact with other gluons!
- This is new!



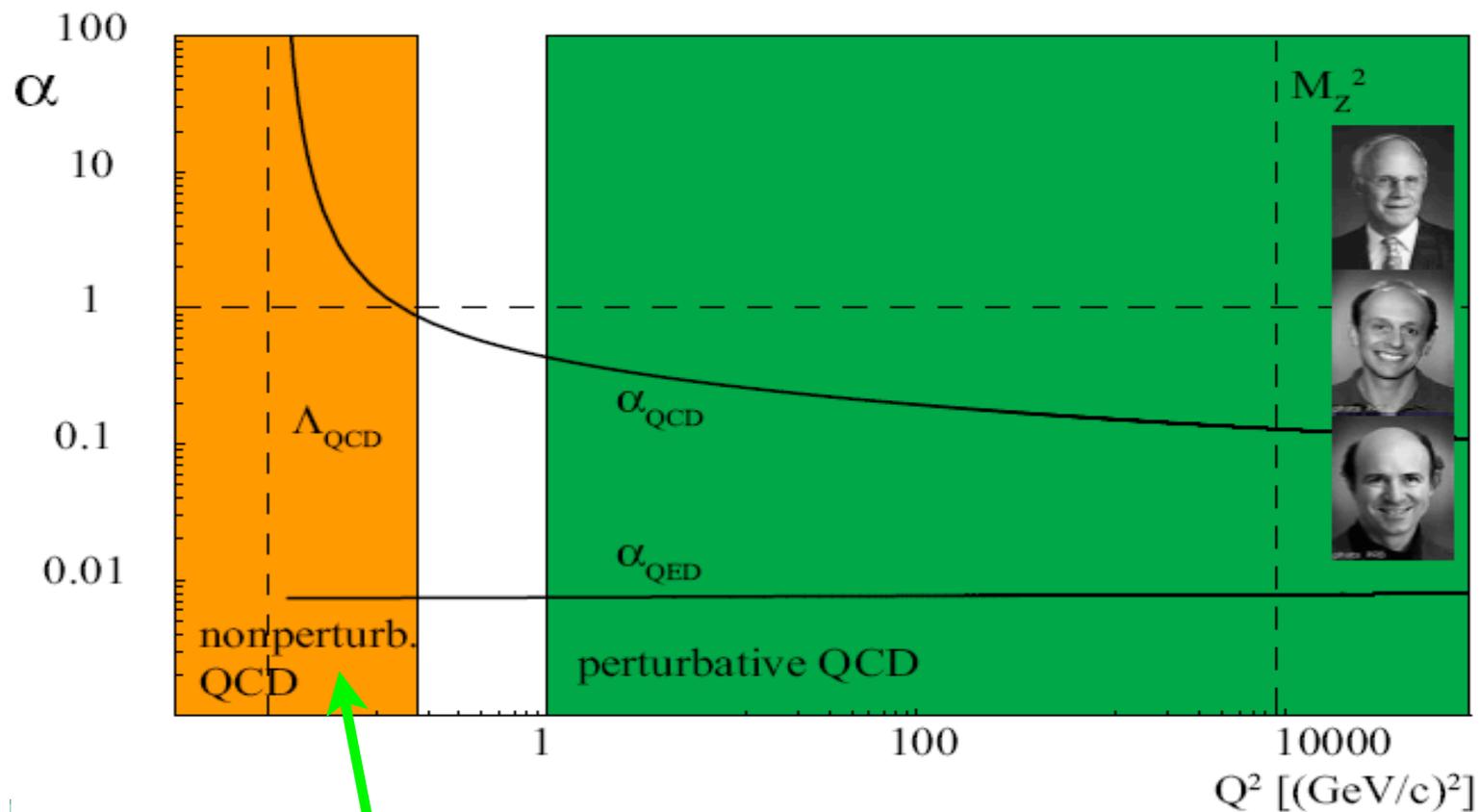
A **red** quark emitting a **red** anti-blue gluon to leave a **blue** quark.

Quark-quark force grows **WEAKER** as quarks come close  
‘Asymptotic Freedom’



2004 Nobel Prize for discovery  
of asymptotic freedom  
(Gross, Politzer, Wilczek)

➔ calculate observables using perturbation theory  
as power series in small expansion parameter  $\alpha_s$



**BUT - only half of the story...**  
 at low energy  $\longrightarrow$  confinement !

$\longrightarrow \alpha_s \sim 1$  so cannot use perturbative expansion

$\longrightarrow$  here QCD said to be "nonperturbative"

# QCD and the Origin of Mass

$$u + u + d = \text{proton}$$

$$\text{mass: } 0.003 + 0.003 + 0.006 \neq 0.938 \text{ MeV}$$

**HOW does the rest of the proton mass arise?**

# QCD: Unsolved in Nonperturbative Regime



The Nobel Prize in Physics

2004

Gross, Politzer, Wilczek



- 2004 Nobel Prize awarded for “asymptotic freedom”
  - BUT in nonperturbative regime QCD is still unsolved
  - One of the top 10 challenges for physics!
  - **Is it right/complete?**
  - Do glueballs, exotics and other apparent predictions of QCD in this regime agree with experiment?
- central to answering these questions is the need to understand how quarks form hadrons

Looking for quarks in the nucleon  
is like looking for the Mafia in Sicily -  
everybody *knows* they're there,  
but it's hard to find the evidence!

Anonymous



J. Harris

"QUARKS. NEUTRINOS. MESONS. ALL THOSE DAMN. PARTICLES YOU CAN'T SEE. THAT'S WHAT DROVE ME TO DRINK. BUT NOW I CAN SEE THEM."

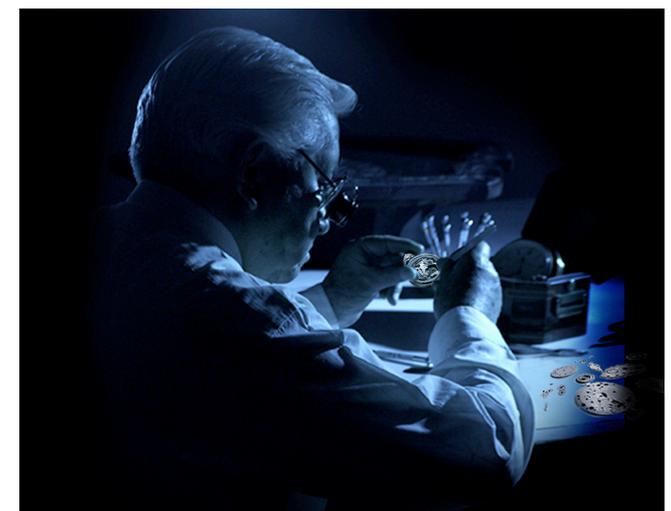
# How to probe the structure of hadrons?



collide hadrons



probe with leptons



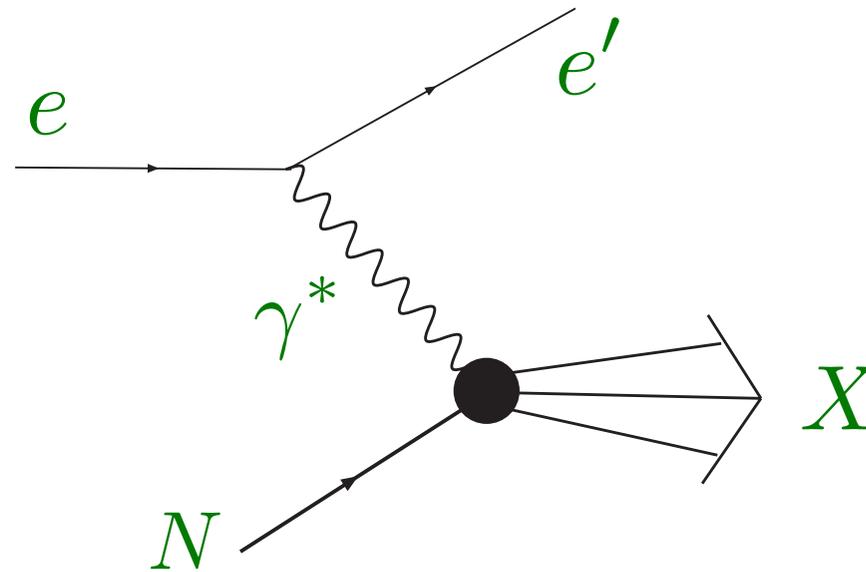
1.

# Introduction

- *electron scattering*

# Electron scattering

## Electron Scattering Provides an Ideal Microscope for Nuclear Physics

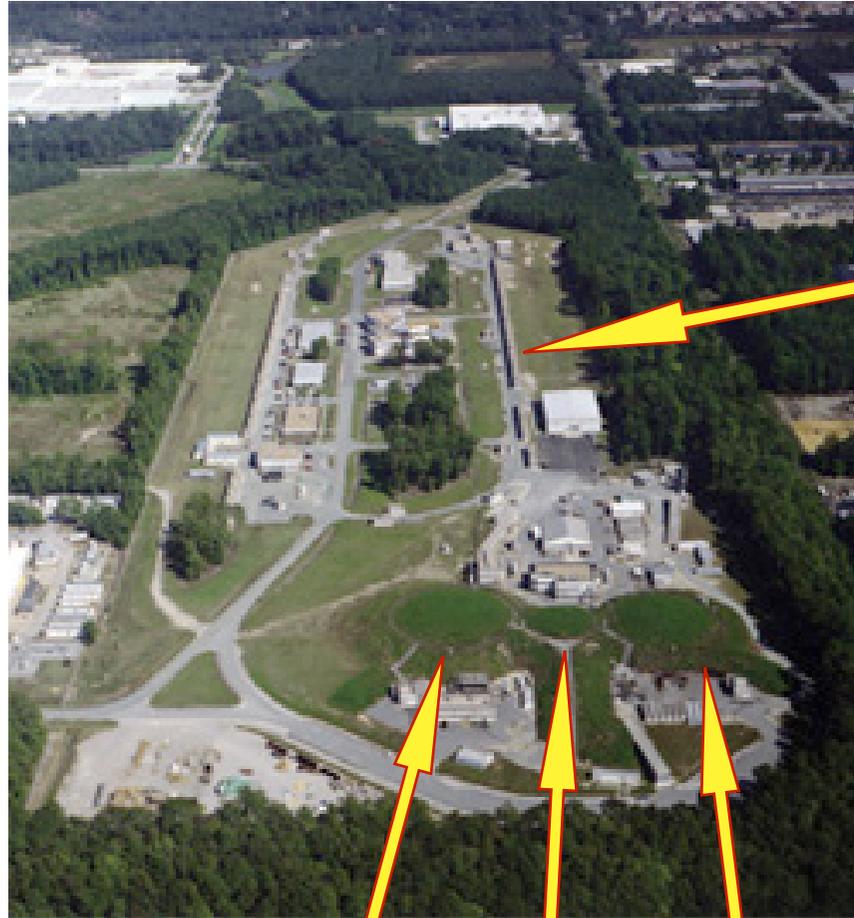


- Electrons are point-like
- The interaction (QED) is well-known
- The interaction is weak

# Continuous Electron Beam Accelerator Facility (CEBAF) at Jefferson Lab (JLab)



# Continuous Electron Beam Accelerator Facility (CEBAF) at Jefferson Lab (JLab)



0.6 GeV electrons / linac  
X 10 → 6 GeV

Hall A

Hall B

Hall C

# Experimental Halls

*Hall A*



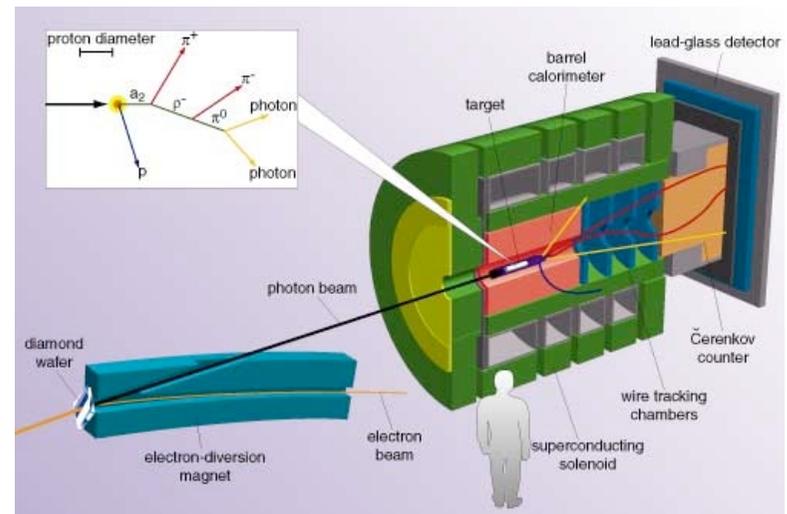
*Hall B*



*Hall C*



*Hall D*



# Experimental Halls

*Hall A*



high luminosity  
 $> 10^{38} \text{ cm}^{-2} \text{ s}^{-1}$

very high precision  
measurements

*Hall C*



high  $Q^2$  form factors,  
parity-violating  $e$  scattering,  
precision structure functions,  
...

# Experimental Halls

large acceptance

lower luminosity

$$\sim 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$$

collect all data “at once”

$N^*$  spectroscopy

(multi-hadron final states),

structure function moments,

...

*Hall B*



**CLAS**

(CEBAF Large Acceptance Spectrometer)

# Experimental Halls

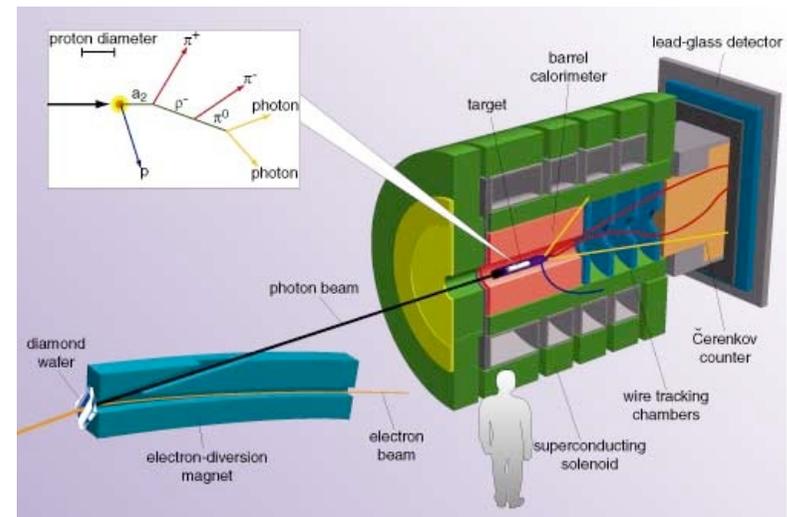
proposed new Hall  
as part of 12 GeV upgrade

$4\pi$  acceptance

photon beam

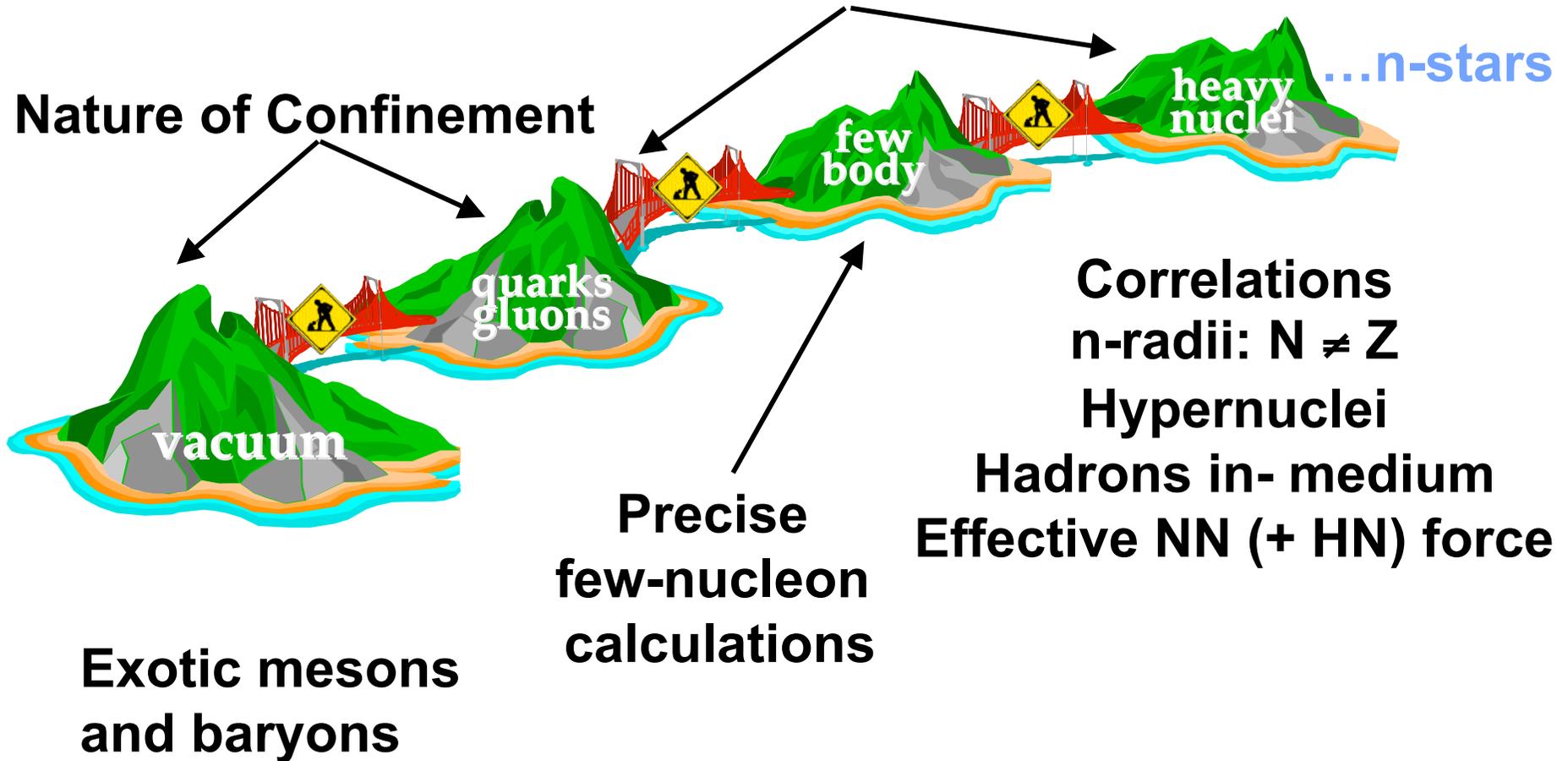
exotic meson spectroscopy  
(GlueX Collaboration)  
“origins of confinement”

*Hall D*



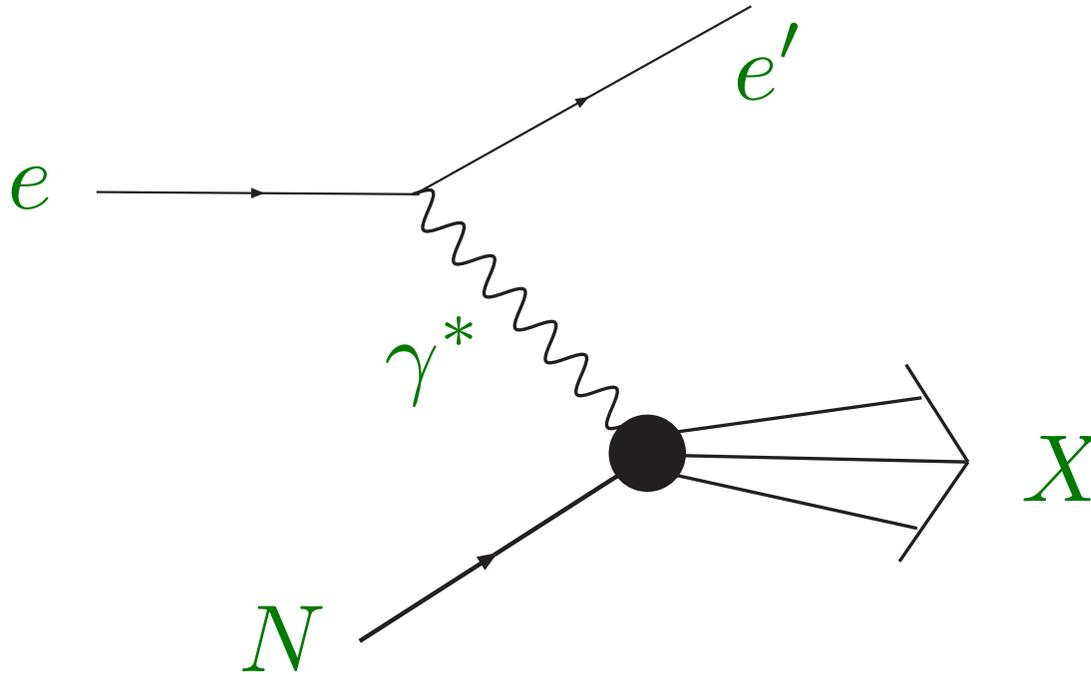
# JLab Central to *all* of Nuclear Science

## Quark-Gluon Structure Of Nucleons and Nuclei



# Electron scattering

Inclusive cross section for  $eN \rightarrow eX$



➡ one-photon exchange approximation

# Electron scattering

Inclusive cross section for  $eN \rightarrow eX$

$$\frac{d^2\sigma}{d\Omega dE'} = \frac{4\alpha^2 E'^2 \cos^2 \frac{\theta}{2}}{Q^4} \left( 2 \tan^2 \frac{\theta}{2} \frac{F_1}{2M} + \frac{F_2}{\nu} \right)$$

$$\left. \begin{aligned} \nu &= E - E' \\ Q^2 &= \vec{q}^2 - \nu^2 = 4EE' \sin^2 \frac{\theta}{2} \end{aligned} \right\} x = \frac{Q^2}{2M\nu} \quad \begin{array}{l} \text{Bjorken} \\ \text{scaling} \\ \text{variable} \end{array}$$

$F_1, F_2$  “structure functions”

→ contain all information about structure of nucleon

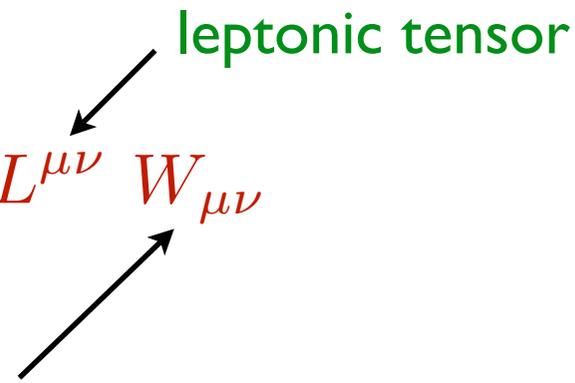
→ functions of  $x, Q^2$  in general

# Electron scattering

Inclusive cross section for  $eN \rightarrow eX$

$$\frac{d^2\sigma}{d\Omega dE'} \sim L^{\mu\nu} W_{\mu\nu}$$

leptonic tensor



Hadronic tensor

$$\begin{aligned} W_{\mu\nu} &= \sum_X \langle X | J_\mu(z) | N \rangle \langle N | J_\nu(0) | X \rangle \delta^4(p + q - p_X) \\ &= \int d^4z e^{iq \cdot z} \langle N | J_\mu(z) J_\nu(0) | N \rangle \end{aligned}$$

using completeness (sum over *ALL* states  $X$ )

$$\sum_X |X\rangle \langle X| = 1$$

“duality”

→ in general,  $N \rightarrow X$  transition matrix element very complicated

→ at large  $Q^2$  and large  $\nu$  (“Bjorken limit”) things simplify ...

- Wilson Operator Product Expansion

Expand product of currents  $J(z)J(0)$  in a series of (nonperturbative) local operators  $\widehat{\mathcal{O}}$  and (perturbative) coefficient functions  $C_n$

$$J(z)J(0) \sim \sum_n C_n(z^2) z^{\mu_1} z^{\mu_2} \dots z^{\mu_n} \widehat{\mathcal{O}}_{\mu_1 \mu_2 \dots \mu_n}$$

- Matrix elements of  $\widehat{\mathcal{O}}_{\mu_1 \mu_2 \dots \mu_n}$   $M^2/Q^2$  corrections

$$\langle N | \widehat{\mathcal{O}}_{\mu_1 \mu_2 \dots \mu_n} | N \rangle = \mathcal{A}_n(\mu^2) p_{\mu_1} p_{\mu_2} \dots p_{\mu_n} - \text{traces}$$


- Moments of structure function  $F_2$

$$\begin{aligned}
 M_n(Q^2) &\equiv \int_0^1 dx x^{n-2} F_2(x, Q^2) \\
 &= \sum_i \tilde{C}_n^i(Q^2) \mathcal{A}_n^i(Q^2/\mu^2)
 \end{aligned}$$

where  $\tilde{C}_n(Q^2)$  is Fourier transform of  $C_n(z^2)$

- Reconstruct structure function from moments via inverse Mellin transform

- Parton model:  $F_2(x, Q^2) = x \sum_q e_q^2 q(x, Q^2)$

probability to find quark type “ $q$ ” in nucleon, carrying (light-cone) momentum fraction  $x = \frac{p_q^+}{p_N^+} = \frac{p_q^0 + p_q^z}{p_N^0 + p_N^z}$

- Fourier transform of  $J_\mu(z)J_\nu(0)$

→ series in  $\left(\frac{1}{Q^2}\right)^{d-n-2}$ , where  $\tau \equiv d - n$   
 “twist”

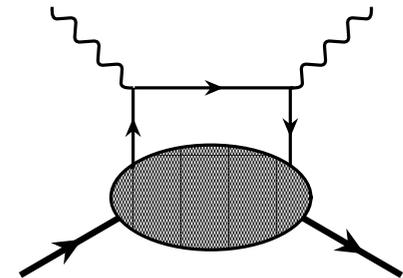
- Twist expansion of moments

$$M_n(Q^2) = A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots$$

leading twist ( $\tau = 2$ )

e.g.  $\bar{\psi} \gamma_\mu \psi$

→ free quark scattering



- Fourier transform of  $J_\mu(z)J_\nu(0)$

→ series in  $\left(\frac{1}{Q^2}\right)^{d-n-2}$ , where  $\tau \equiv d - n$   
 “twist”

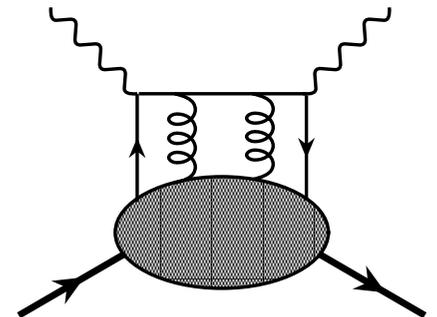
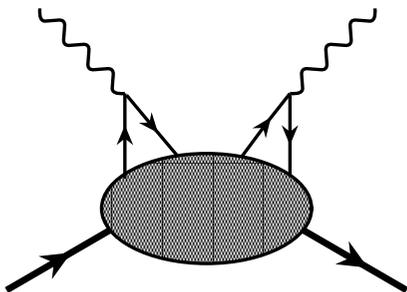
- Twist expansion of moments

$$M_n(Q^2) = A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots$$

higher twists

e.g.  $\bar{\psi} \gamma_\mu \psi \bar{\psi} \gamma_\nu \psi$   
 or  $\bar{\psi} \tilde{G}_{\mu\nu} \gamma^\nu \psi$

→ multi-quark or  
 quark-gluon correlations



2.

# Quark distributions

# Parton distributions functions (PDFs) (*leading twist*)

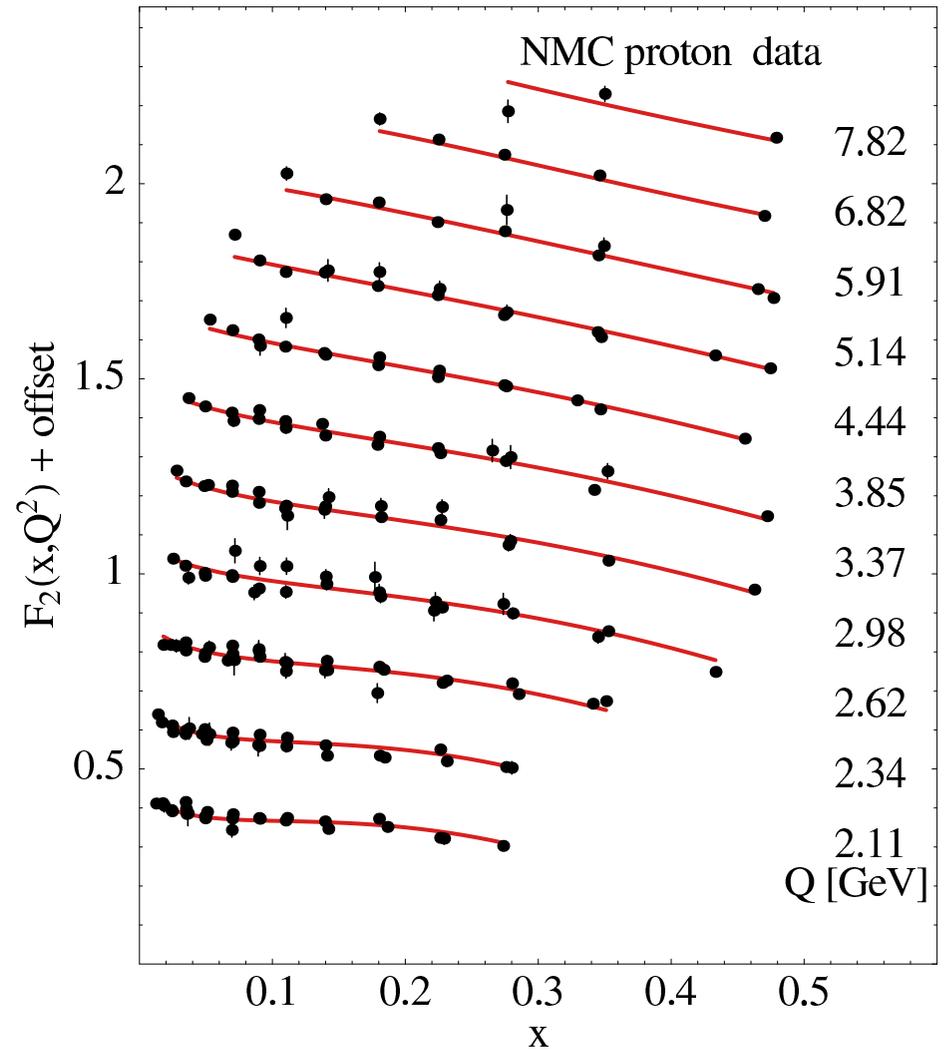
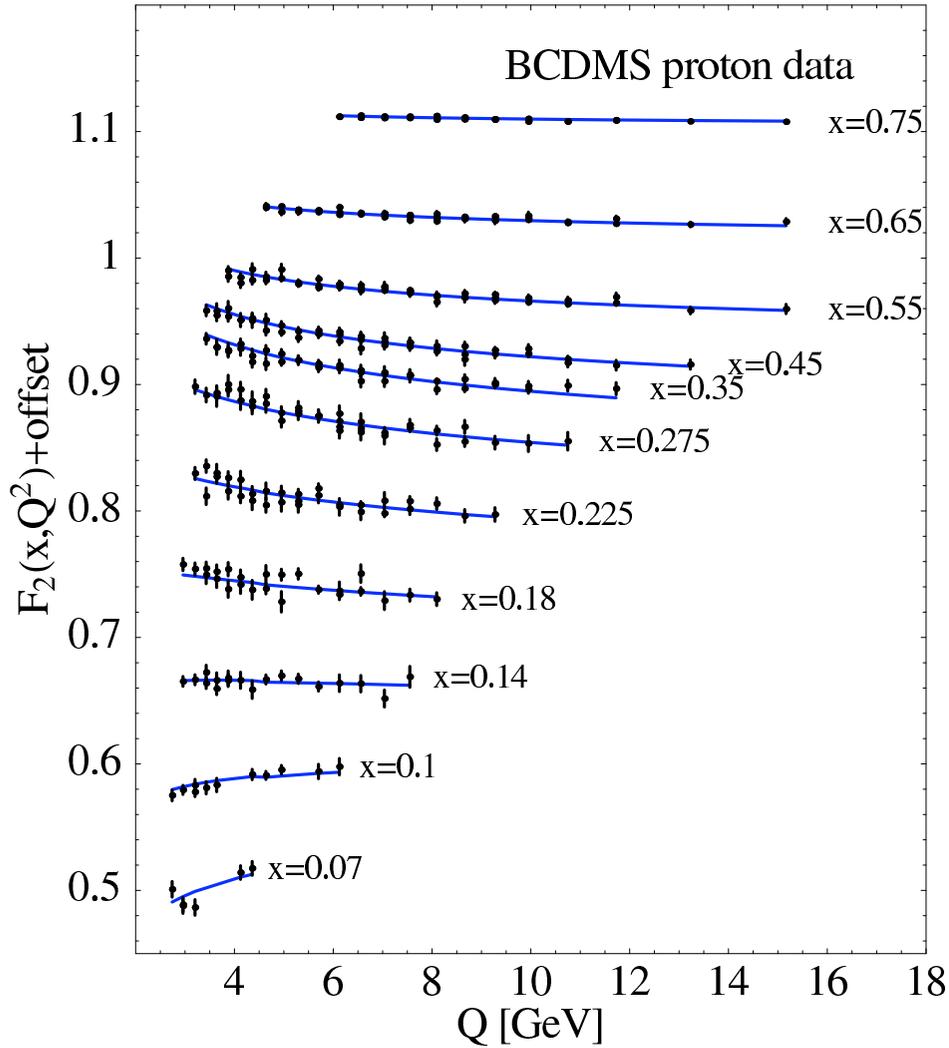
- ➔ PDFs provide basic information on structure of bound states in QCD
  - *momentum, flavour, spin ... distributions of quarks and gluons in hadrons*
- ➔ integrals of PDFs (“*moments*”) test fundamental sum rules (*Adler, Bjorken ...*)
  - *relate high-energy observables to low-energy hadron properties*

# Parton distributions functions (PDFs) *(leading twist)*

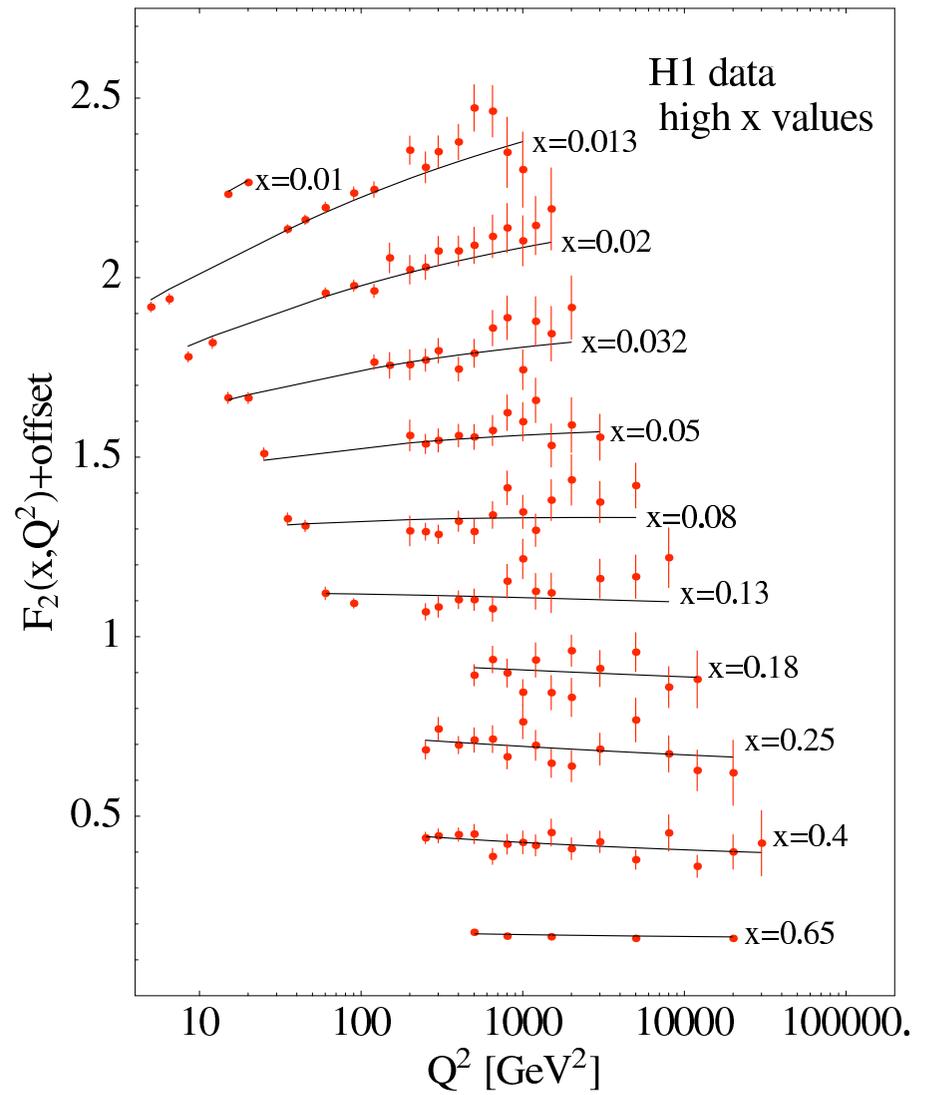
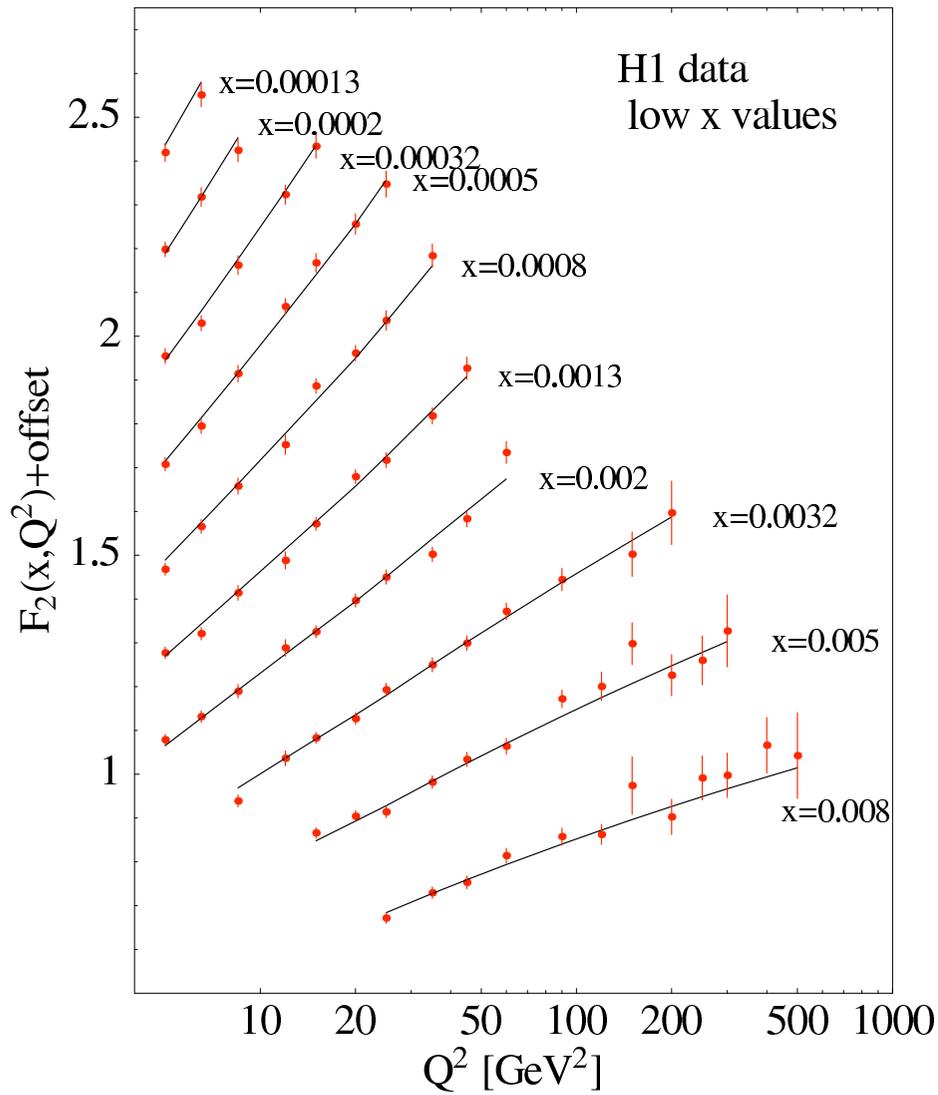
→ provide input into nuclear physics and astrophysics calculations  
→ *e.g. relativistic heavy ion collisions*

→ needed to understand backgrounds in searches for “new physics” beyond the Standard Model in high-energy colliders  
→ *e.g. neutrino oscillations*

# Structure function data



# Structure function data



# Parton distributions functions (PDFs) *(leading twist)*

➔ PDFs extracted in global analyses of structure function data from electron, muon & neutrino scattering (also from Drell-Yan & W-boson production in hadronic collisions)

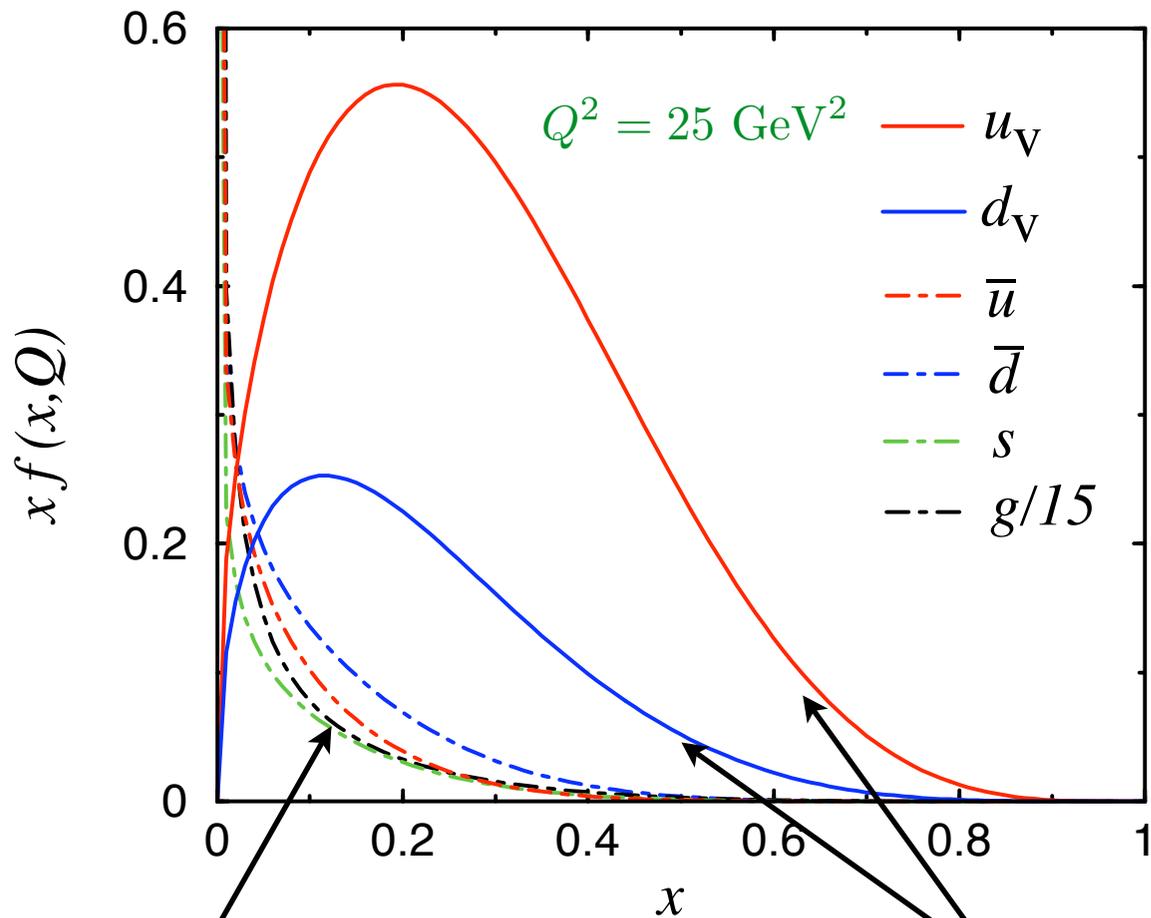
➔ parameterized using some functional form, e.g.

$$xq(x, Q^2) = A_0 x^{A_1} (1 - x)^{A_2} e^{A_3 x} (1 + e^{A_4 x})^{A_5}$$

➔ determined over several orders of magnitude in  $x$  and  $Q^2$

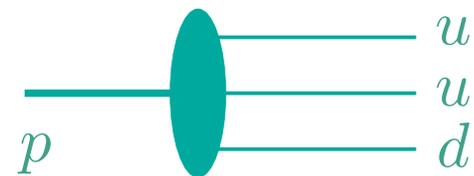
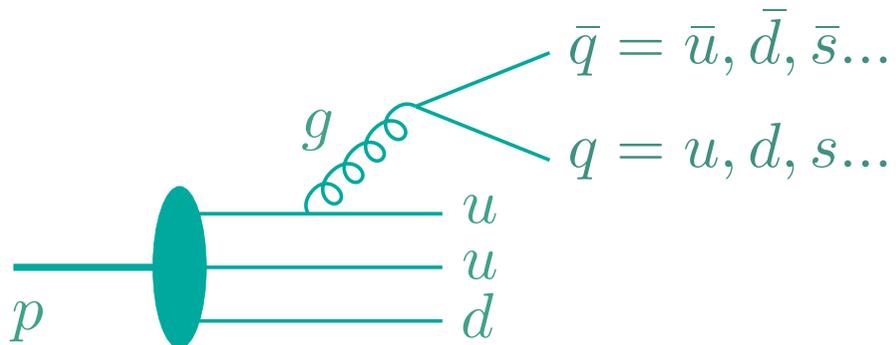
$$10^{-6} < x < 1$$

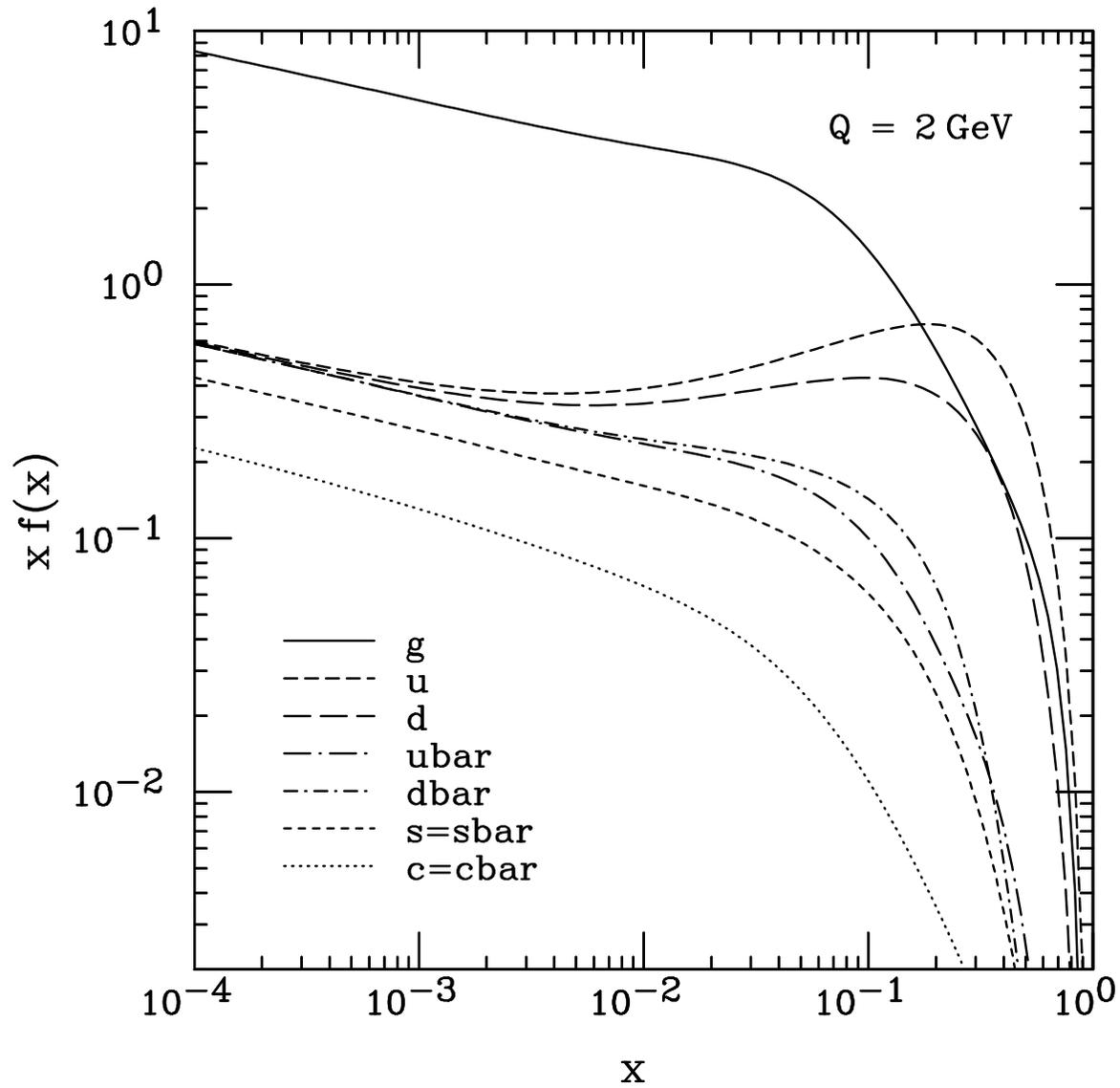
$$1 < Q^2 < 10^8 \text{ GeV}^2$$



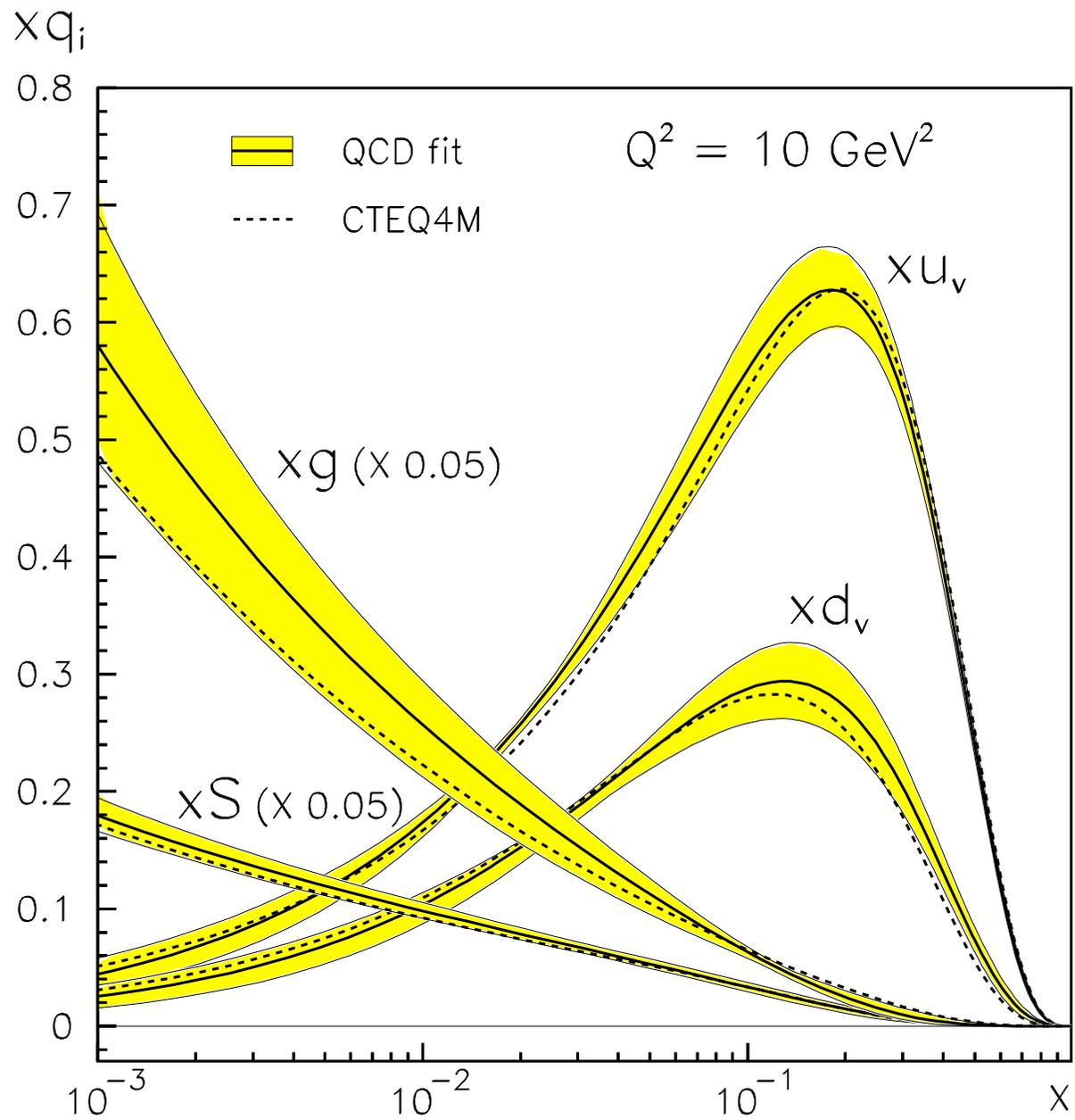
sea quarks & gluons

valence quarks





Virtual sea of  $q\bar{q}$  pairs and gluons dominate small- $x$  region



2.

# Quark distributions

- *sea quarks*

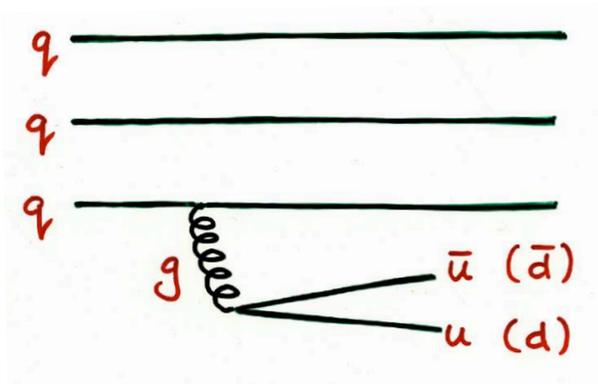
# Sea quarks

- Because sea quarks & antiquarks are produced “*radiatively*” (by  $g \rightarrow q\bar{q}$  radiation)

→ expect flavour-symmetric sea  
IF quark masses are the same

→ *e.g.* since  $m_s \gg m_d \implies \bar{d}(x) > \bar{s}(x)$

- BUT since  $m_u \approx m_d \implies$  expect  $\bar{d}(x) \approx \bar{u}(x)$

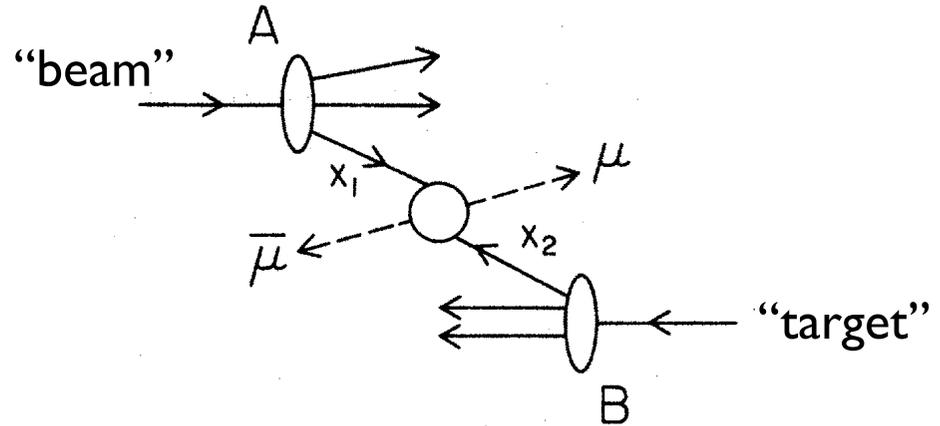


$$\underline{\underline{\bar{d} = \bar{u}}}$$

# Fermilab E866 Drell-Yan experiment

$q\bar{q}$  annihilation in  
hadron-hadron collisions

$$q\bar{q} \rightarrow \gamma^* \rightarrow \mu^+ \mu^-$$



*Drell, Yan, Phys. Rev. Lett. 25 (1970) 316*

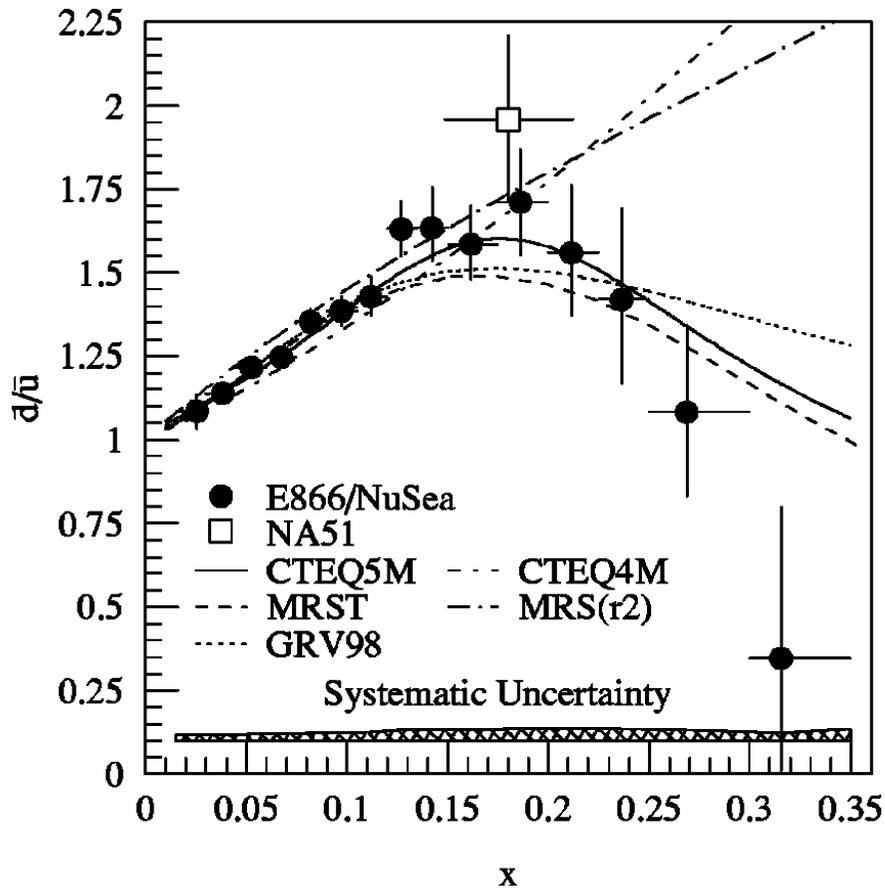
$$\frac{d^2\sigma}{dx_b dx_t} = \frac{4\pi\alpha^2}{9Q^2} \sum_q e_q^2 (q(x_b)\bar{q}(x_t) + \bar{q}(x_b)q(x_t))$$

For  $x_b \gg x_t$

$$\frac{\sigma^{pd}}{2\sigma^{pp}} \approx \frac{1}{2} \left( 1 + \frac{\bar{d}(x_t)}{\bar{u}(x_t)} \right)$$

# Sea quarks

- Large  $\bar{d} - \bar{u}$  asymmetry in proton observed in DIS (NMC) and Drell-Yan (CERN NA51 and FNAL E866) experiments



$$\int_0^1 dx (\bar{d}(x) - \bar{u}(x)) = 0.118 \pm 0.012$$

*Towell et al., Phys. Rev. D 64 (2001) 052002*

→ why is  $\bar{d} \gg \bar{u}$  ?

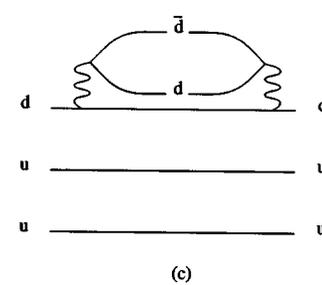
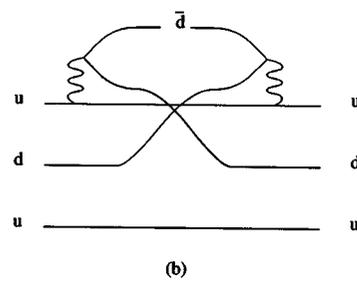
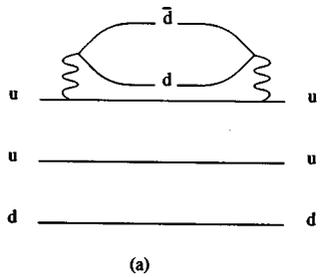
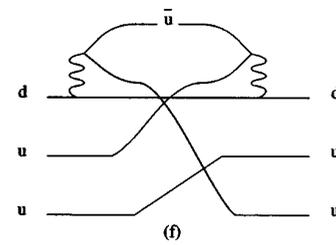
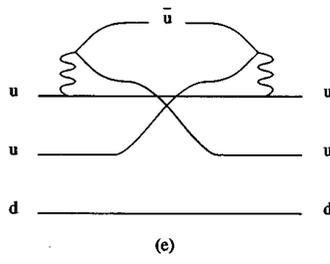
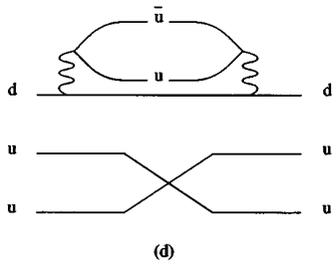
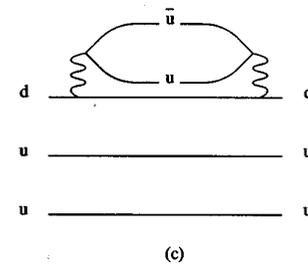
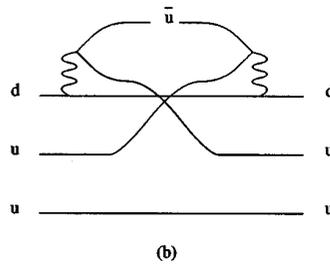
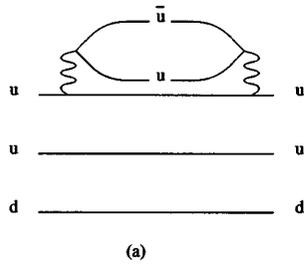
# Sea quarks

## ■ Pauli Exclusion Principle

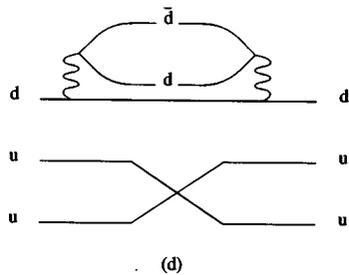


BARTENDER WITH  
P.H.D. IN PHYSICS

$u\bar{u}$



$d\bar{d}$



*Steffens, Thomas, Phys. Rev. 55 (1997) 900*

# Sea quarks

## ■ Pauli Exclusion Principle

- since proton has more valence  $u$  than  $d$   
→ easier to create  $d\bar{d}$  than  $u\bar{u}$

*Field, Feynman, Phys. Rev. D15 (1977) 2590*

- explicit calculations of antisymmetrization effects in  $g \rightarrow u\bar{u}$  and  $g \rightarrow d\bar{d}$

- $\bar{u} > \bar{d}$   
asymmetry tiny

*Ross, Sachrajda, Nucl. Phys. B149 (1979) 497*

*Steffens, Thomas, Phys. Rev. 55 (1997) 900*



"BUT, HEISENBERG — YOU MUST BE CERTAIN ABOUT SOMETHING!"

# Sea quarks

## ■ Pion cloud

→ some of the time the proton looks like a neutron &  $\pi^+$

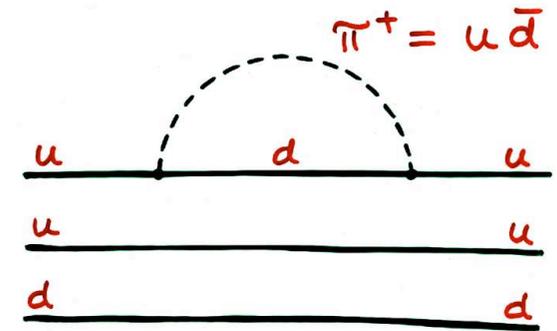
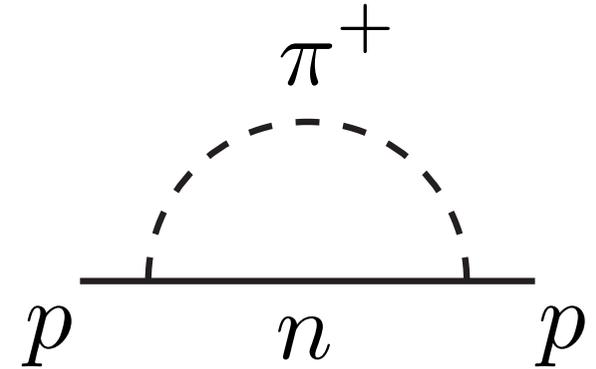
*(Heisenberg Uncertainty Principle)*

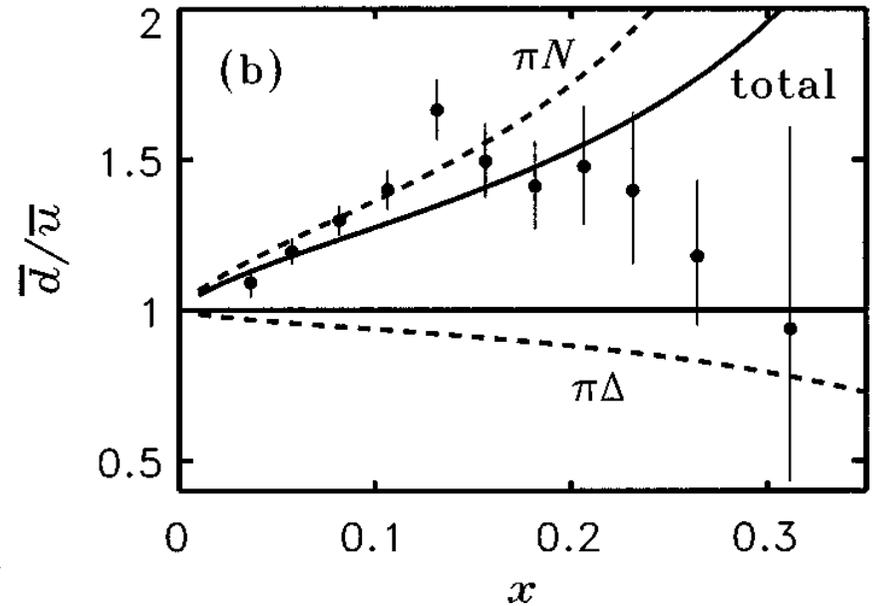
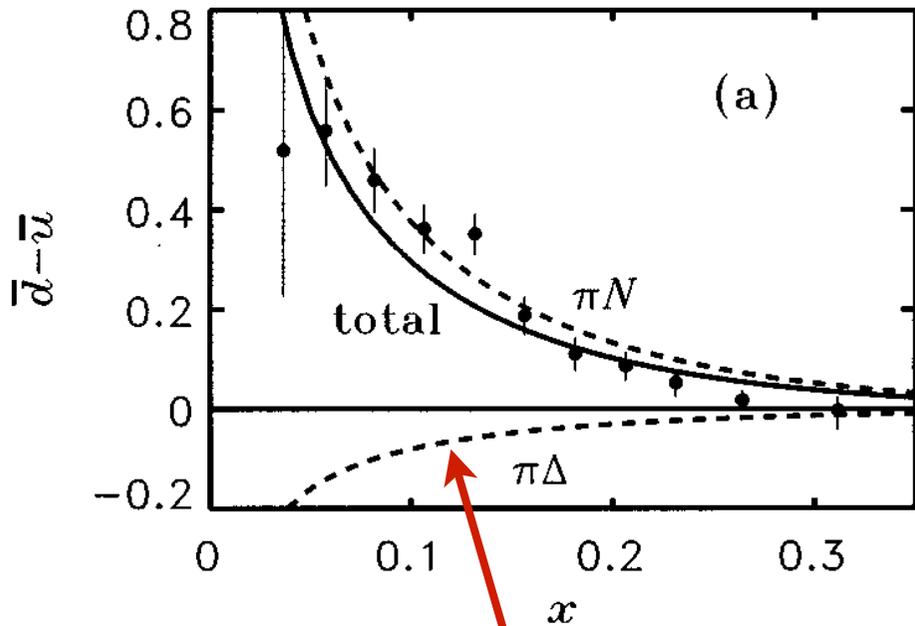
$$p \rightarrow \pi^+ n \rightarrow p$$

→ at the quark level

$$uud \rightarrow (udd)(\bar{d}u) \rightarrow uud$$

→  $\bar{d} > \bar{u}$  !

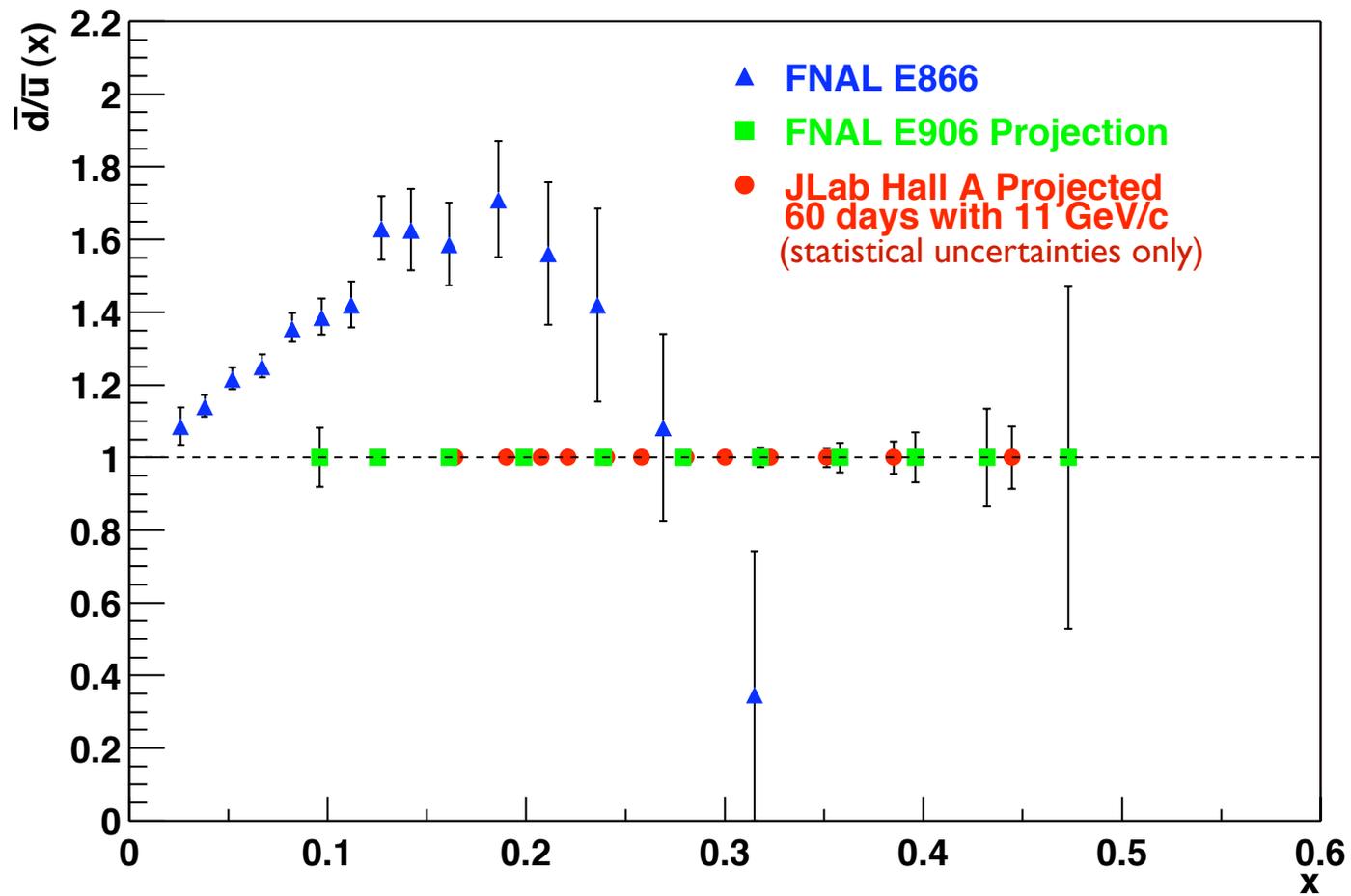




$$\begin{aligned}
 p( uud ) &\rightarrow \pi^- ( d\bar{u} ) + \Delta^{++} ( uuu ) \\
 &\Rightarrow \bar{u} > \bar{d}
 \end{aligned}$$

WM, Speth, Thomas  
*Phys. Rev. D*59 (1998) 014033

- ➔ difficult to understand quantitatively large  $x$  behavior
- ➔ JLab can significantly improve uncertainties at large  $x$



# Polarization asymmetry of proton sea (*aside...*)

Neither gluon radiation nor pion cloud contribute to  $\Delta\bar{d} - \Delta\bar{u}$

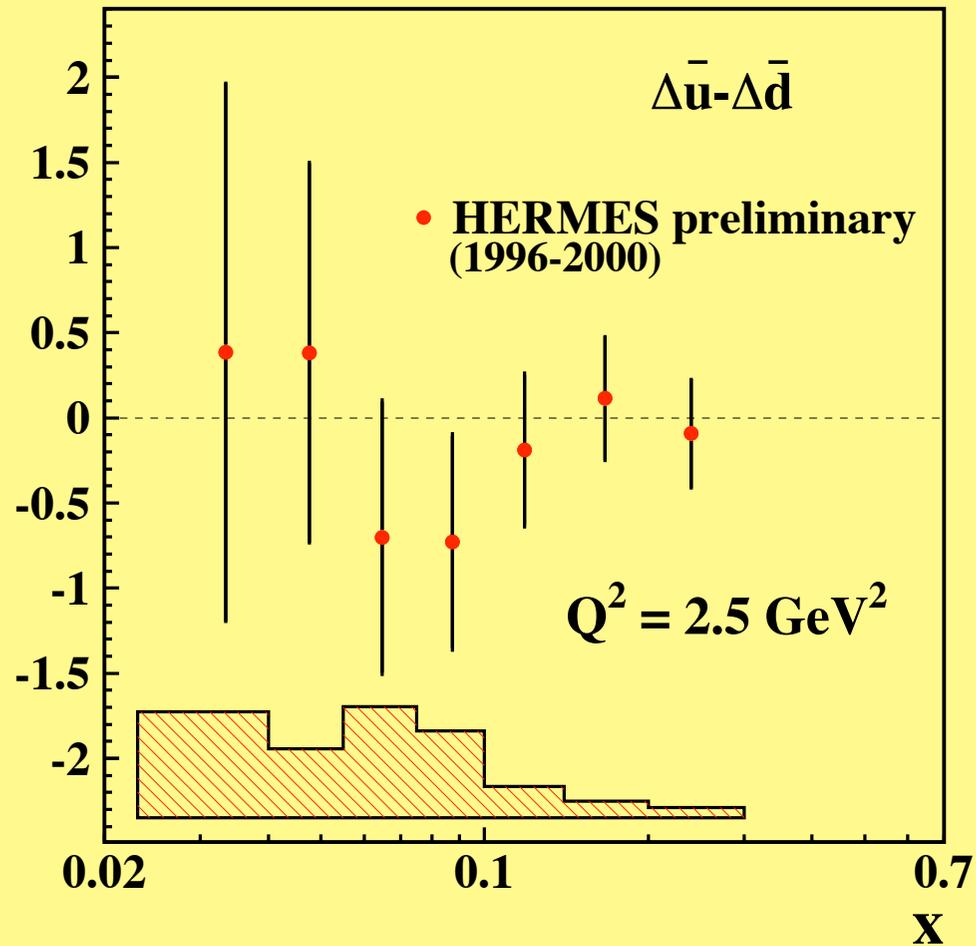
Pauli Exclusion Principle (antisymmetrization)

$$\longrightarrow \Delta\bar{u} - \Delta\bar{d} \approx \frac{5}{3}(\bar{d} - \bar{u})$$

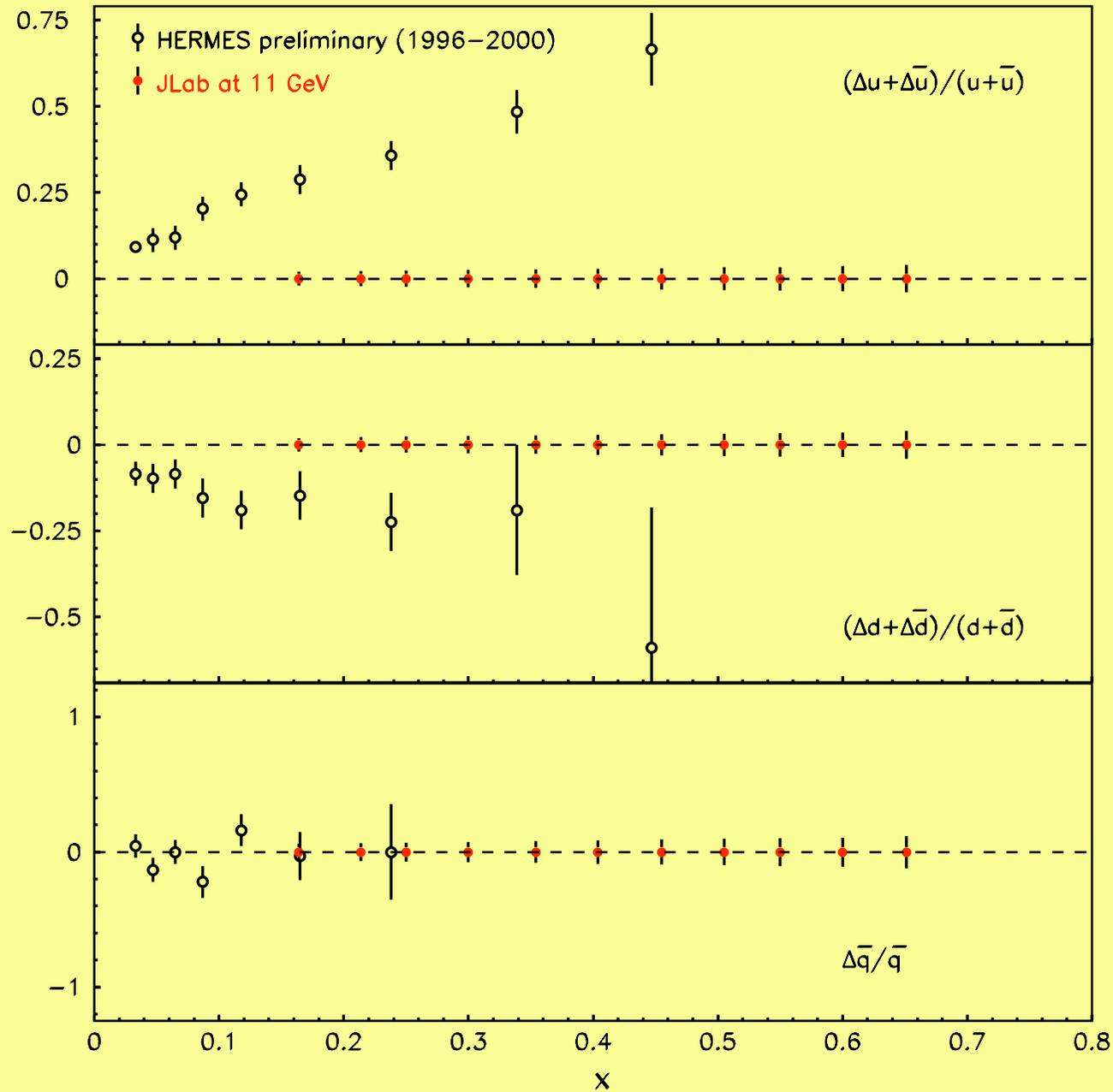
$\longrightarrow$  also contributes to  $\bar{d} - \bar{u}$

Disentangle origin of unpolarized and polarized asymmetries in sea via semi-inclusive DIS

# Polarization asymmetry of proton sea (*aside...*)



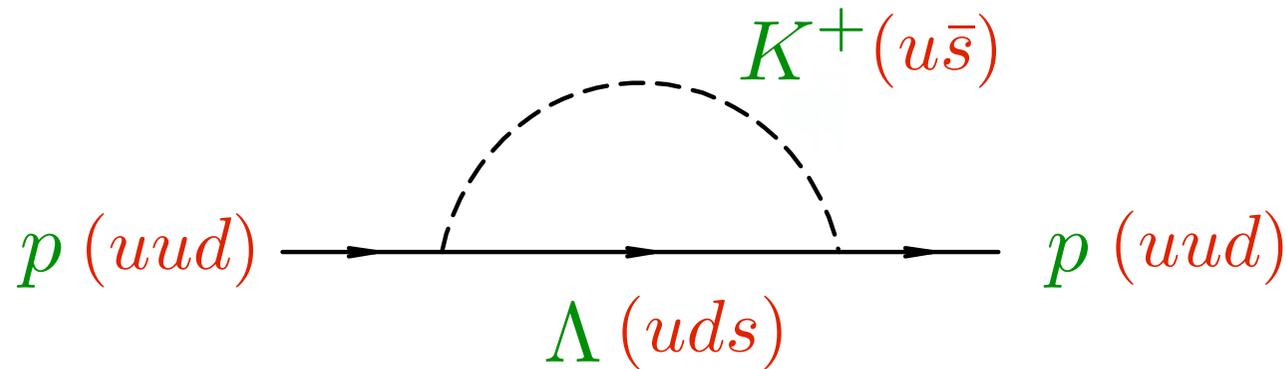
# Polarization asymmetry of proton sea (*aside...*)



# Sea quarks

## ■ Strange asymmetry

$s \neq \bar{s}$  can similarly be generated by nonperturbative kaon cloud



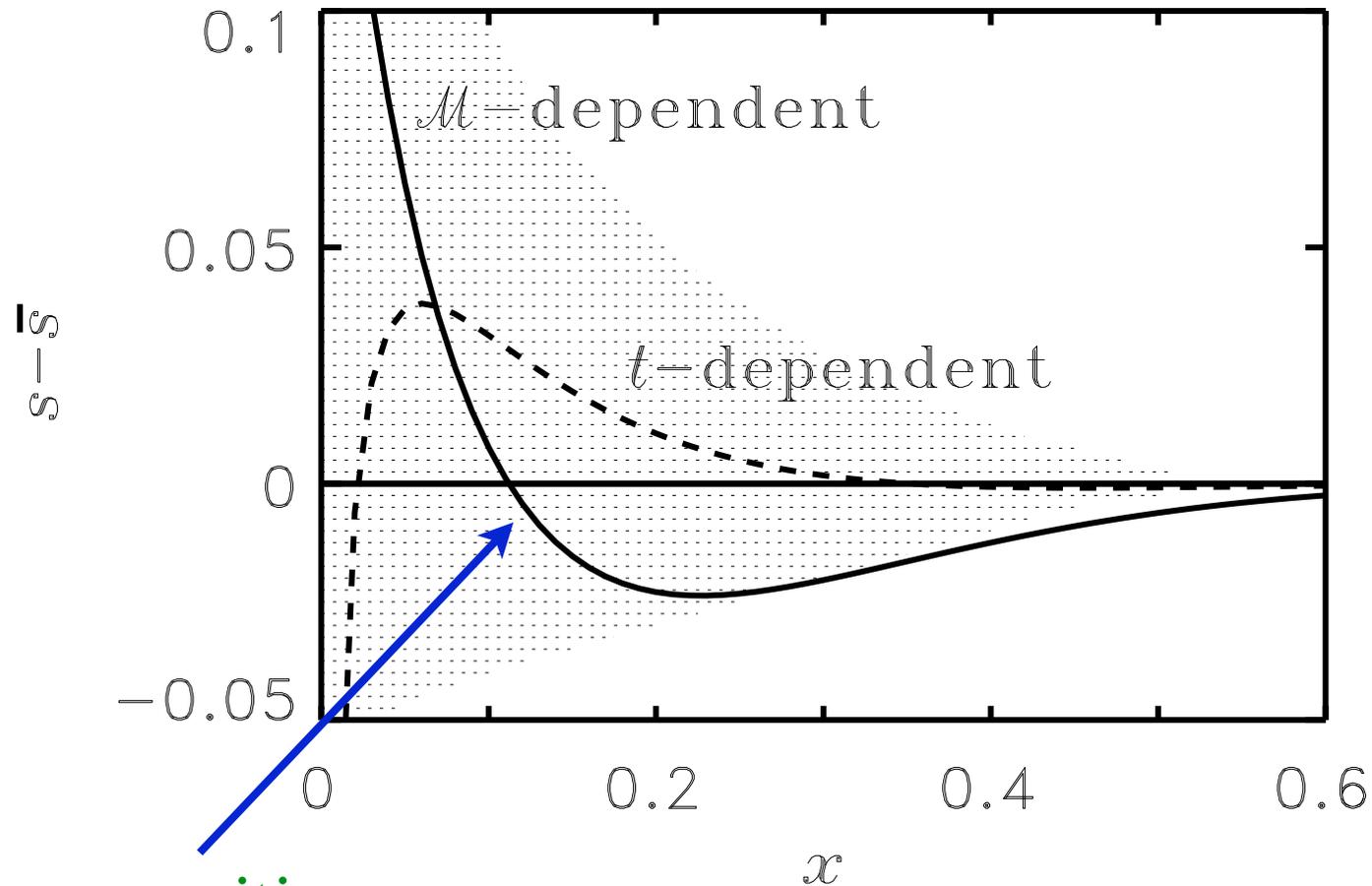
*Signal, Thomas, Phys. Lett. B 191, 205 (1987)*

➔ net number of strange quarks must be zero

$$\int_0^1 dx (s - \bar{s}) = 0$$

# Sea quarks

## ■ Strange asymmetry

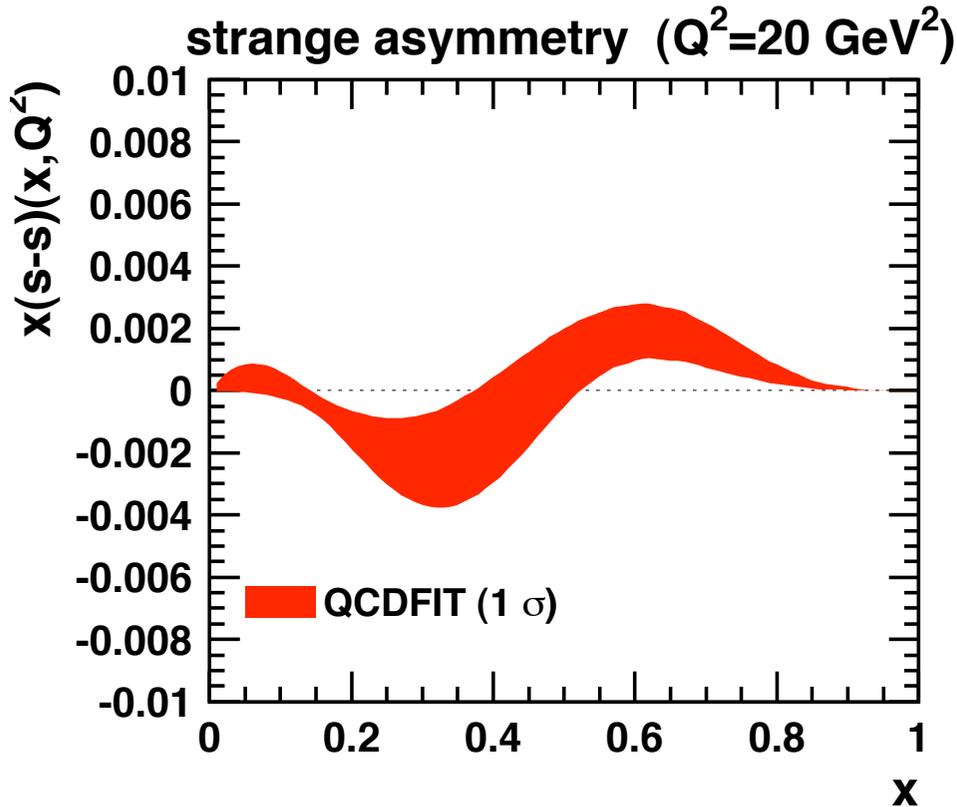


shape very sensitive  
to details of  $Kp\Lambda$   
interaction

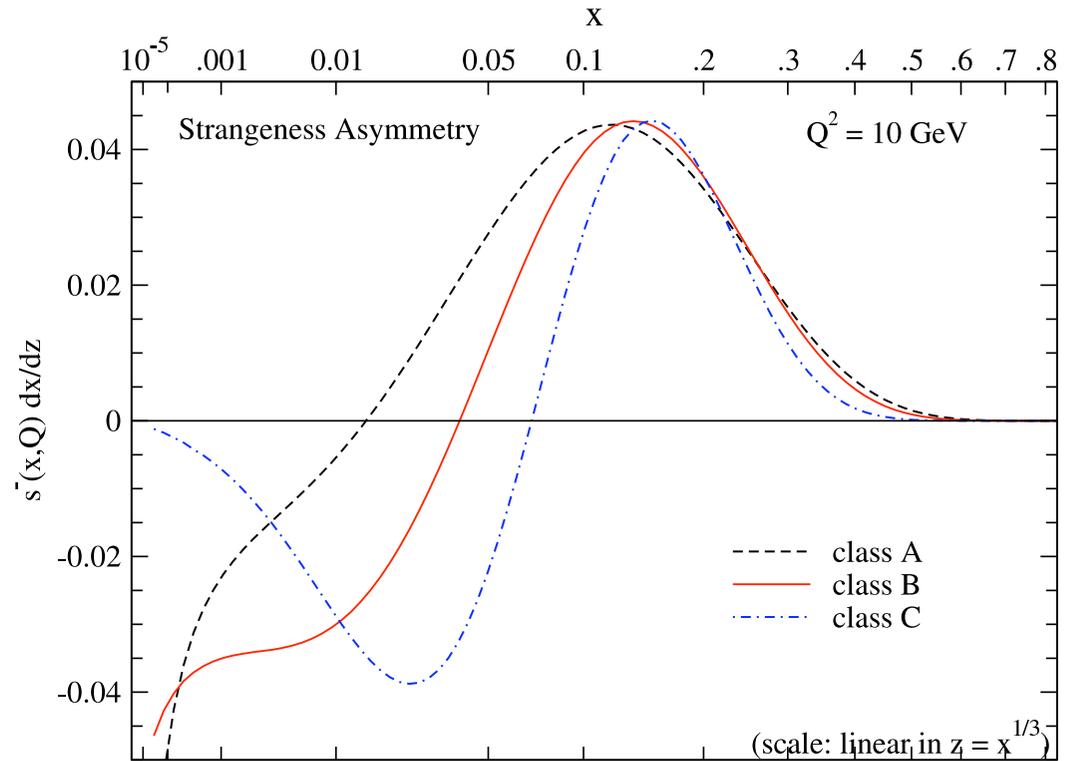
# Sea quarks

## ■ Strange asymmetry

➔ shape from global fits also not well constrained



*B. Porthault, hep-ph/0406226*



*S. Kretzer, hep-ph/0408287*

# Sea quarks

## ■ Strange asymmetry

→ can also be generated perturbatively by higher-order (3-loop) gluon radiation

*Catani et al., hep-ph/0404240*

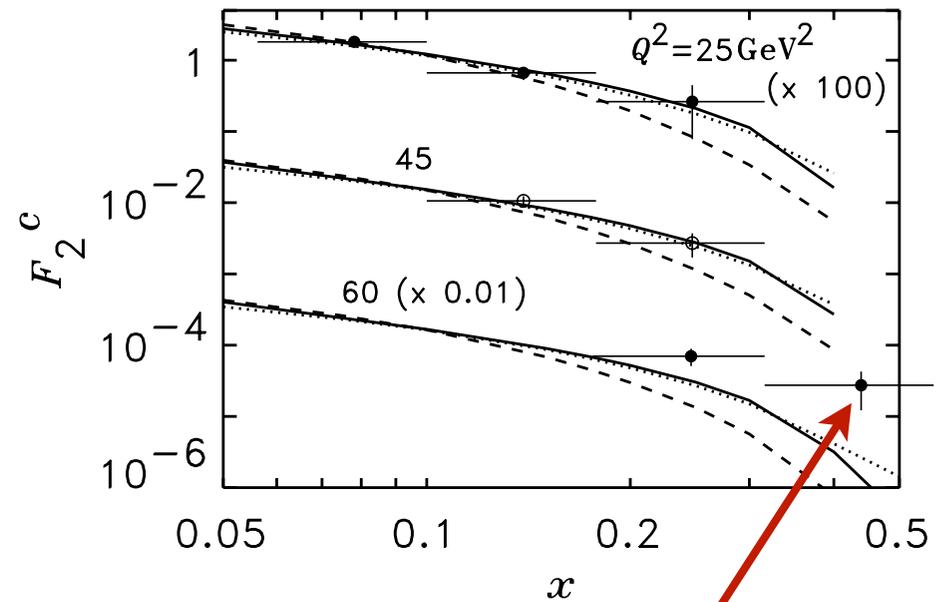
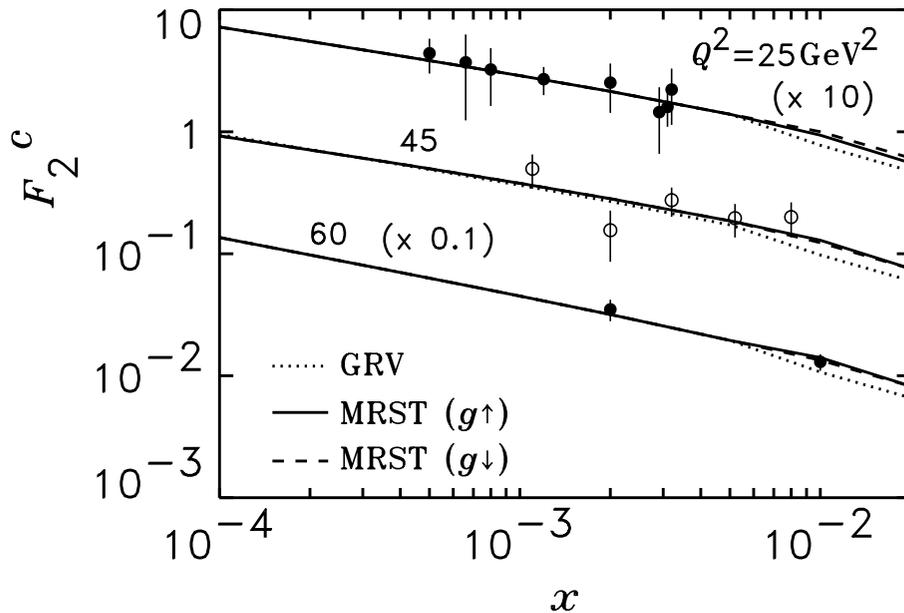
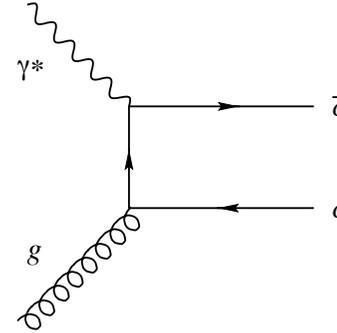
... though cannot predict shape

→  $s \neq \bar{s}$  can have significant impact on extraction of  $\sin^2 \theta_W$  from  $\nu, \bar{\nu}$  data

# Sea quarks

## ■ Charm structure function

photon-gluon fusion



disagreement with  
perturbative charm??

# Sea quarks

## ■ Intrinsic (nonperturbative) charm

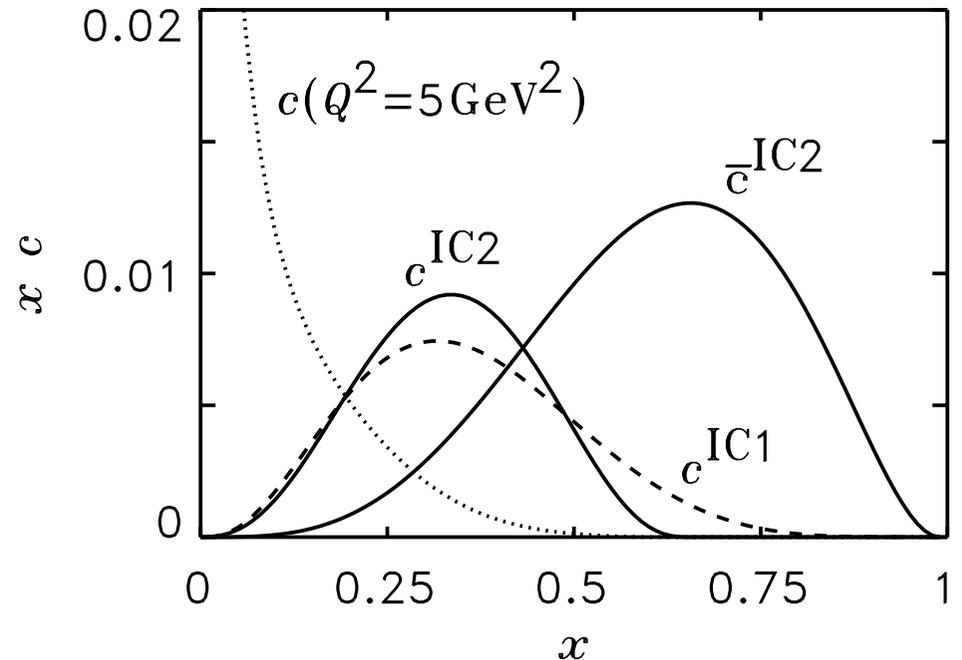
→  $|p\rangle = c_0|uud\rangle + c_1|uudc\bar{c}\rangle$       1% normalisation

$$c^{\text{IC1}}(x) = 6x^2 \left( (1-x)(1+10x+x^2) - 6x(1+x) \log 1/x \right)$$

→ meson cloud model

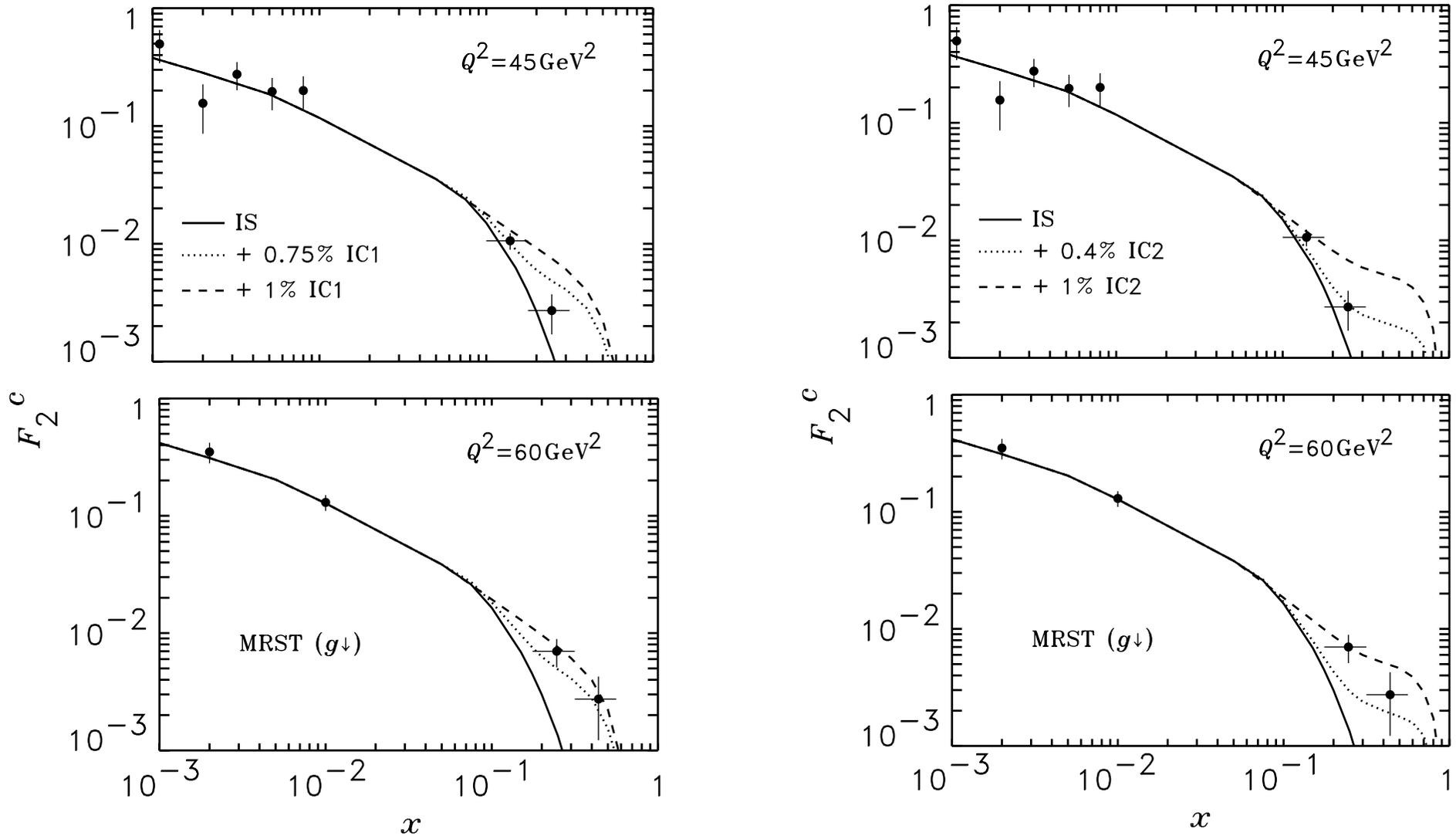
$$c^{\text{IC2}}(x) = \int_x^1 \frac{dz}{z} f_{\Lambda_c/N}(z) c^{\Lambda_c}(x/z)$$
$$\approx \frac{3}{2} f_{\Lambda_c/N}(3x/2)$$

$$\bar{c}^{\text{IC2}}(x) = \int_x^1 \frac{dz}{z} f_{\bar{D}/N}(z) \bar{c}^{D^-}(x/z)$$
$$\approx f_{\bar{D}/N}(x)$$



# Sea quarks

## ■ Perturbative + intrinsic charm



➡ need more data at large  $x$ !

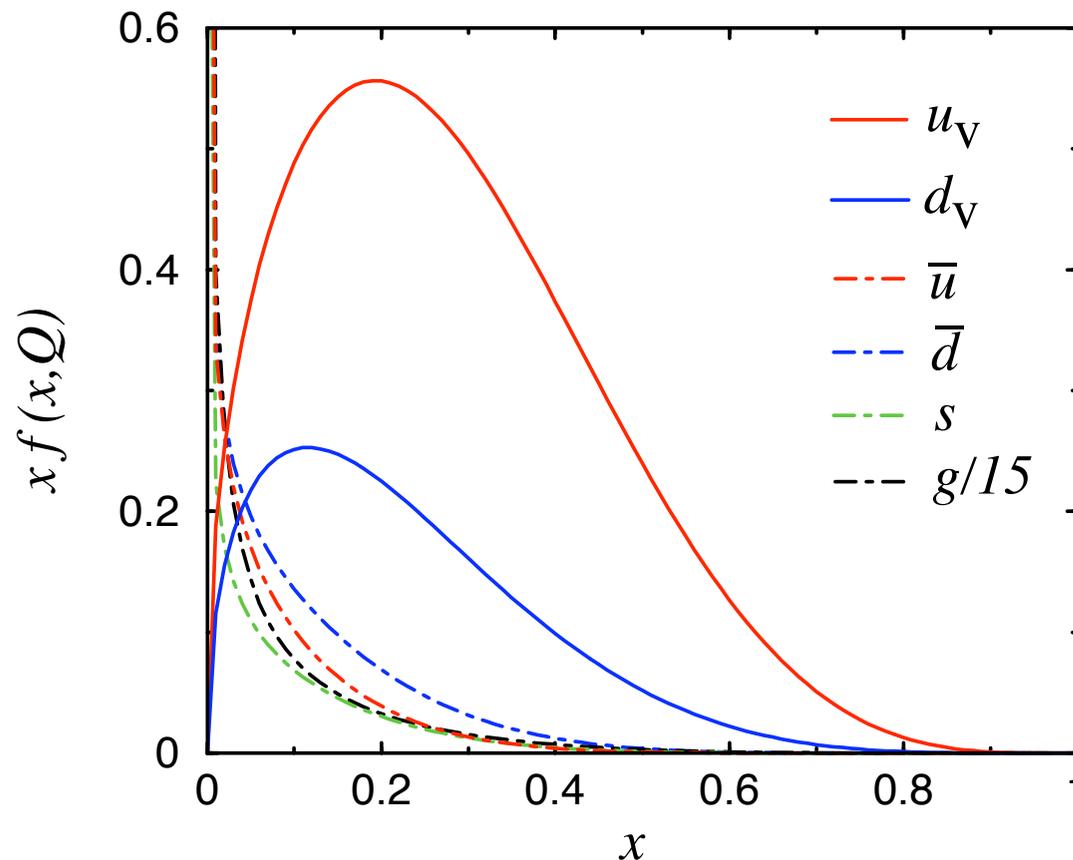
2.

# Quark distributions

*- valence quarks*

# Valence quarks

- Most direct connection between quark distributions and models of the nucleon is through *valence* quarks
- Nucleon structure at intermediate & large  $x$  dominated by valence quarks



# Valence quarks

- At large  $x$ , valence  $u$  and  $d$  distributions extracted from  $p$  and  $n$  structure functions

$$F_2^p \approx \frac{4}{9}u_v + \frac{1}{9}d_v$$

$$F_2^n \approx \frac{4}{9}d_v + \frac{1}{9}u_v$$

- $u$  quark distribution well determined from  $p$
- $d$  quark distribution requires  $n$  structure function

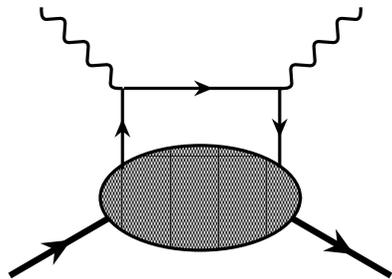
$$\rightarrow \frac{d}{u} \approx \frac{4 - F_2^n / F_2^p}{4F_2^n / F_2^p - 1}$$

# Valence quarks

- Ratio of  $d$  to  $u$  quark distributions particularly sensitive to quark dynamics in nucleon
- SU(6) spin-flavour symmetry

*proton wave function*

$$\begin{aligned}
 p^\uparrow = & -\frac{1}{3}d^\uparrow(uu)_1 - \frac{\sqrt{2}}{3}d^\downarrow(uu)_1 \\
 & + \frac{\sqrt{2}}{6}u^\uparrow(ud)_1 - \frac{1}{3}u^\downarrow(ud)_1 + \frac{1}{\sqrt{2}}u^\uparrow(ud)_0
 \end{aligned}$$



interacting  
quark

spectator  
diquark

diquark spin

# Valence quarks

- Ratio of  $d$  to  $u$  quark distributions particularly sensitive to quark dynamics in nucleon
- SU(6) spin-flavour symmetry

*proton wave function*

$$p^\uparrow = -\frac{1}{3}d^\uparrow(uu)_1 - \frac{\sqrt{2}}{3}d^\downarrow(uu)_1 \\ + \frac{\sqrt{2}}{6}u^\uparrow(ud)_1 - \frac{1}{3}u^\downarrow(ud)_1 + \frac{1}{\sqrt{2}}u^\uparrow(ud)_0$$

$$\longrightarrow u(x) = 2 d(x) \text{ for all } x$$

$$\longrightarrow \frac{F_2^n}{F_2^p} = \frac{2}{3}$$

# Valence quarks

## ■ scalar diquark dominance

$M_\Delta > M_N \implies (qq)_1$  has larger energy than  $(qq)_0$

$\implies$  scalar diquark dominant in  $x \rightarrow 1$  limit

since only  $u$  quarks couple to scalar diquarks

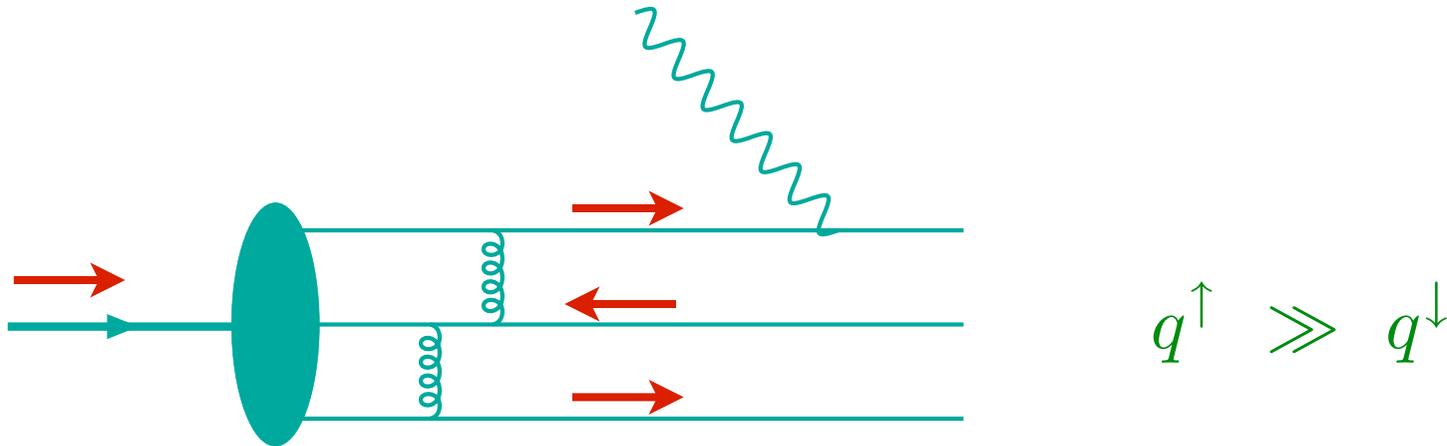
$$\longrightarrow \frac{d}{u} \rightarrow 0$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4}$$

# Valence quarks

## ■ hard gluon exchange

at large  $x$ , helicity of struck quark = helicity of hadron



$\implies$  helicity-zero diquark dominant in  $x \rightarrow 1$  limit

$$\longrightarrow \frac{d}{u} \rightarrow \frac{1}{5}$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{3}{7}$$

# Valence quarks

- BUT no free neutron targets!

(neutron half-life ~ 12 mins)

→ use deuteron as “effective neutron target”

- However: deuteron is a nucleus, and  $F_2^d \neq F_2^p + F_2^n$

→ nuclear effects (nuclear binding, Fermi motion, shadowing)  
*obscure neutron structure information*

→ “nuclear EMC effect”

2.

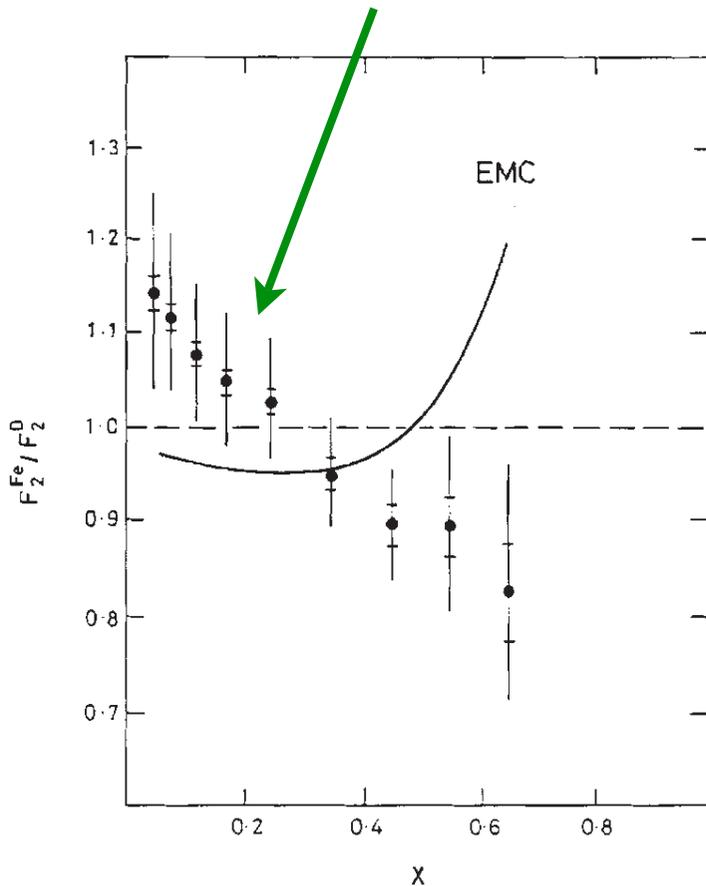
# Quark distributions

*- nuclear effects*

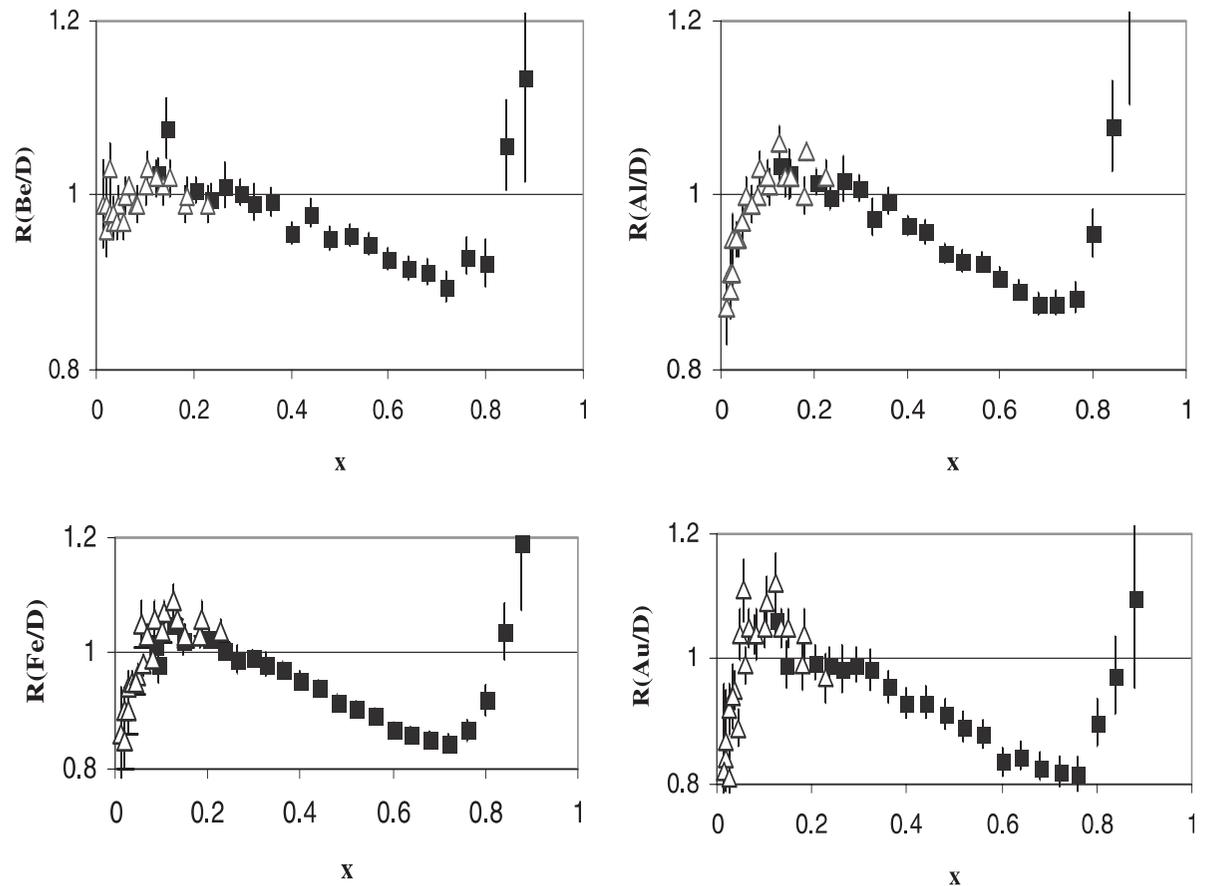
# Nuclear “EMC effect”

$$F_2^A(x, Q^2) \neq AF_2^N(x, Q^2)$$

Original EMC data



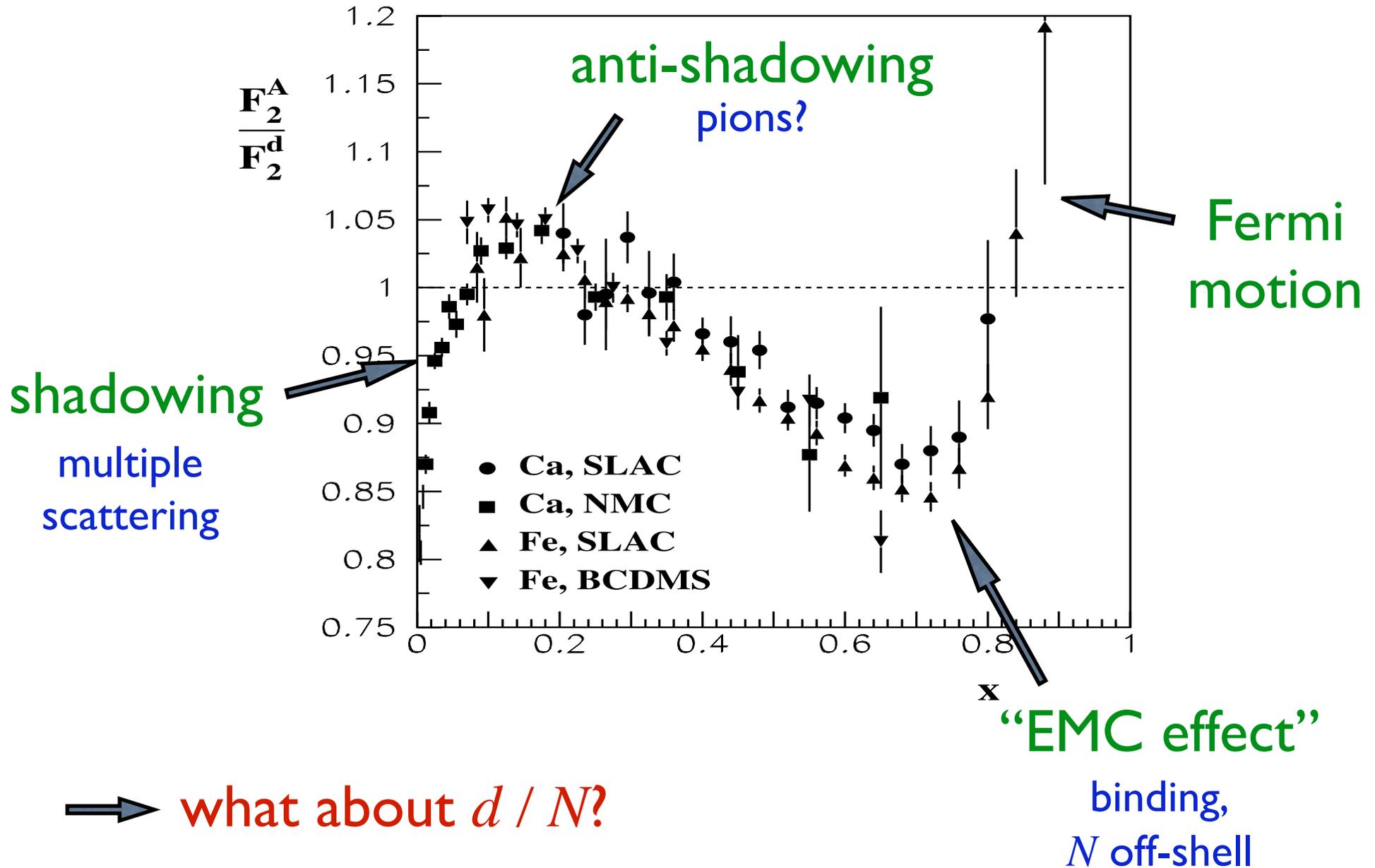
Later SLAC data



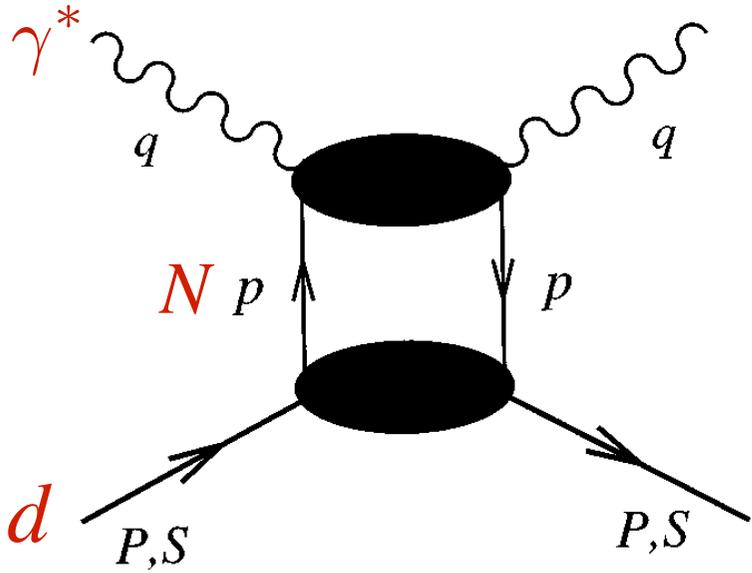
*Aubert et al., Phys. Lett. B 123, 123 (1983)*

*Gomez et al., Phys. Rev. D 49, 4348 (1994)*

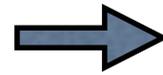
# Nuclear "EMC effect"



# EMC effect in deuteron



Nuclear “impulse approximation”



incoherent scattering  
from individual nucleons  
in deuteron

$$F_2^d(x) = \int dy f_{N/d}(y) F_2^N(x/y) + \delta^{(\text{off})} F_2^d(x)$$

nucleon momentum distribution

off-shell correction

# EMC effect in deuteron

Nucleon momentum distribution in deuteron

→ relativistic  $dNN$  vertex function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\max}^2} dp^2 \frac{E_p}{p_0} |\Psi_d(\vec{p}^2)|^2$$

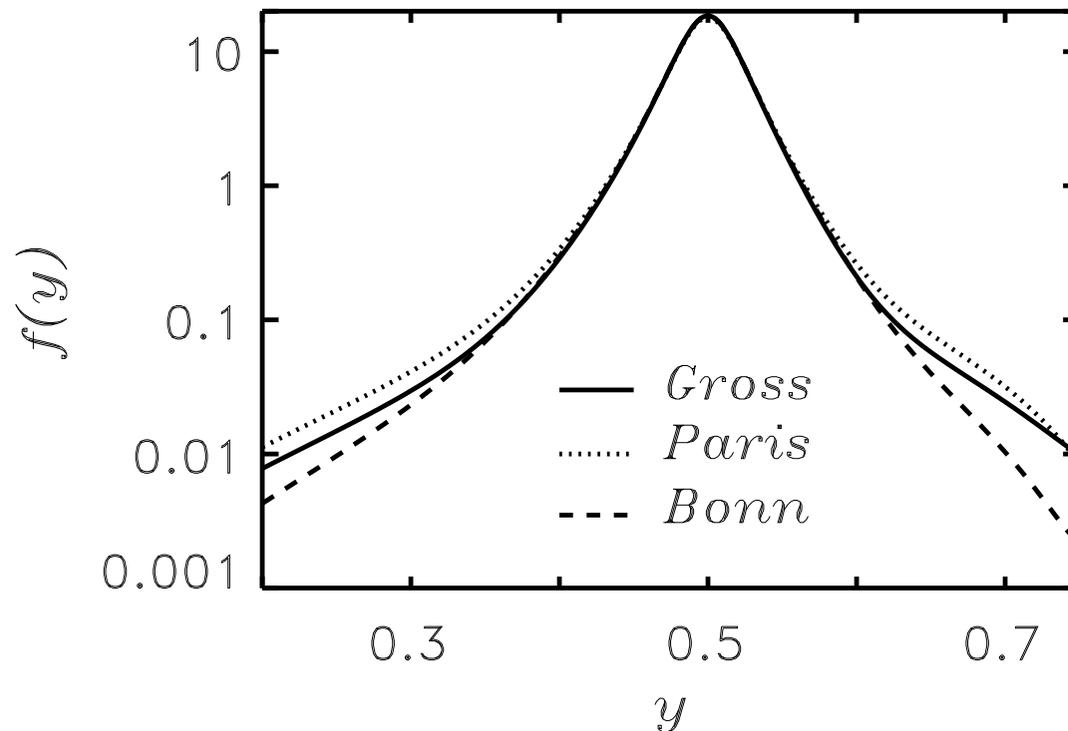
momentum fraction of deuteron  
carried by nucleon

# EMC effect in deuteron

## Nucleon momentum distribution in deuteron

→ relativistic  $dNN$  vertex function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\max}^2} dp^2 \frac{E_p}{p_0} |\Psi_d(\vec{p}^2)|^2$$



# EMC effect in deuteron

Nucleon momentum distribution in deuteron

→ relativistic  $dNN$  vertex function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\max}^2} dp^2 \frac{E_p}{p_0} |\Psi_d(\vec{p}^2)|^2$$

Wave function dependence only at large  $|y-1/2|$

→ sensitive to large  $p$  components of wave function

→ not very well known

# EMC effect in deuteron

## Nucleon momentum distribution in deuteron

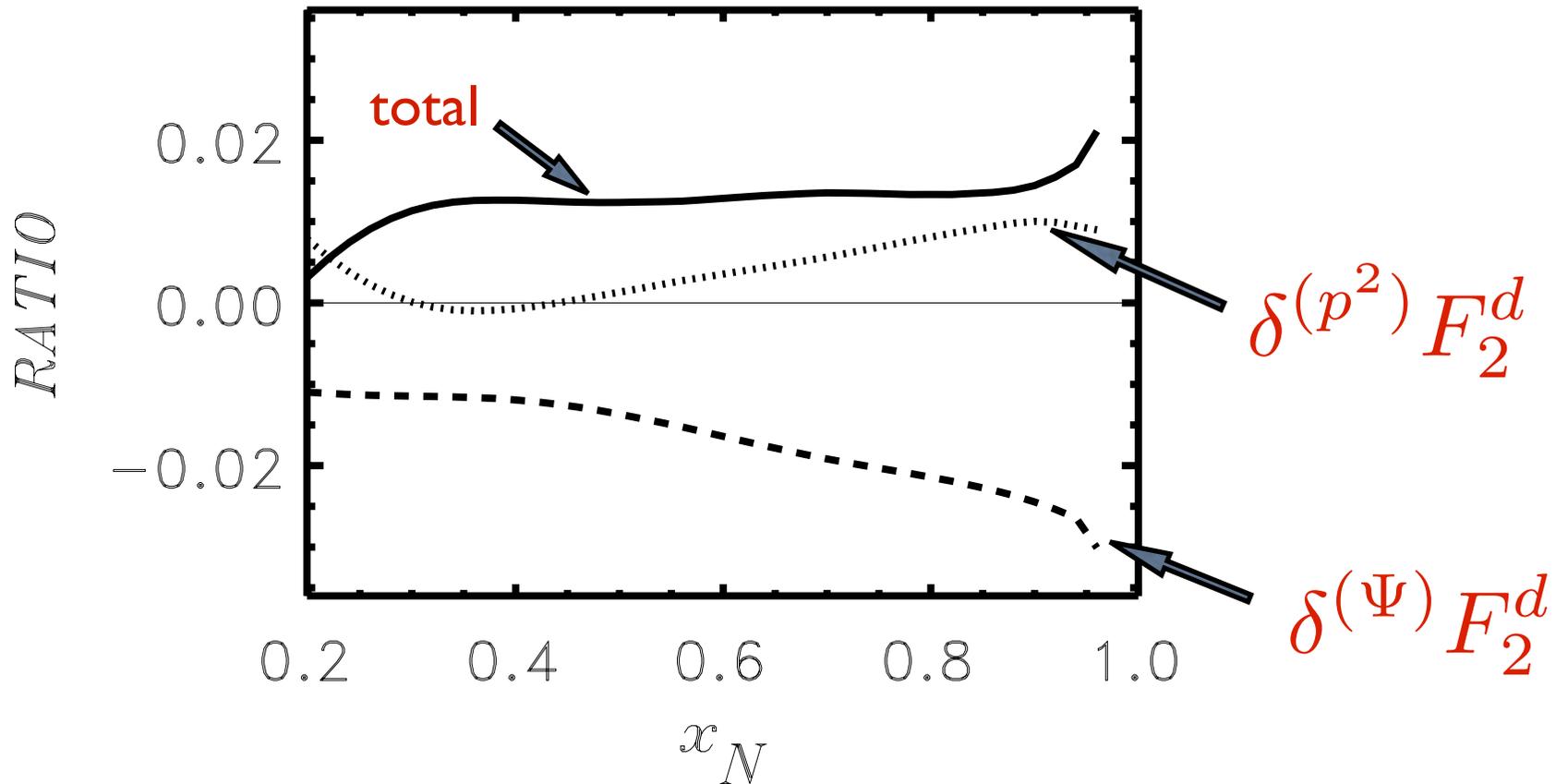
→ relativistic  $dNN$  vertex function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\max}^2} dp^2 \frac{E_p}{p_0} |\Psi_d(\vec{p}^2)|^2$$

## Nucleon off-shell correction

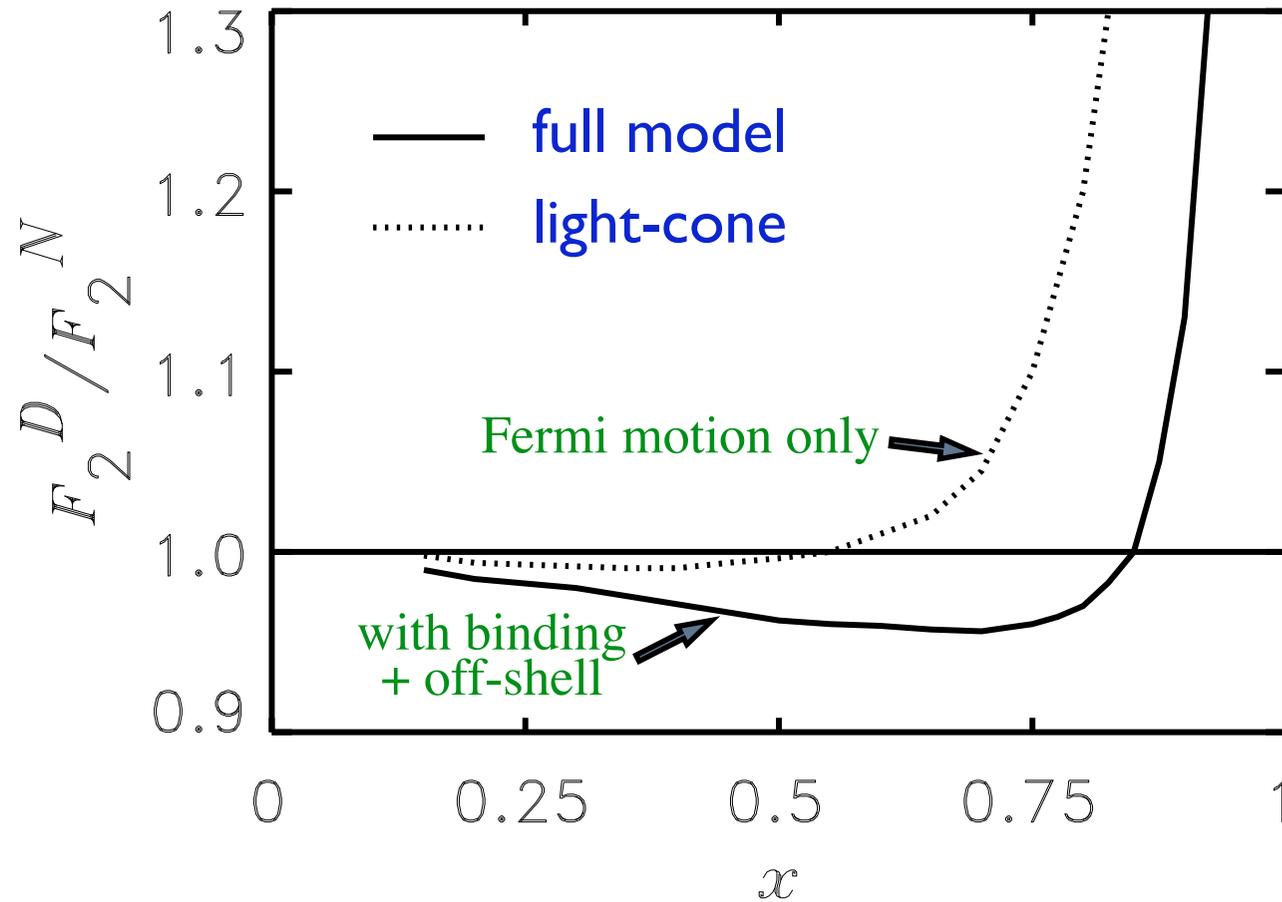
$$\delta^{(\text{off})} F_2^d \quad \longrightarrow \quad \delta^{(\Psi)} F_2^d \quad \text{negative energy components of } d \text{ wave function}$$
$$\quad \quad \quad \longrightarrow \quad \delta^{(p^2)} F_2^d \quad \text{off-shell } N \text{ structure function}$$

# Off-shell correction



→  $\leq 1 - 2 \%$  effect

# EMC effect in deuteron



Larger EMC effect (smaller  $d/N$  ratio)

→  $F_2^n$  underestimated at large  $x$

# Unsmearing

Note: calculated  $d/N$  ratio depends on input  $F_2^n$

→ extracted  $n$  depends on input  $n$  ... cyclic argument

Solution: iteration procedure

0. subtract  $\delta^{(\text{off})} F_2^d$  from  $d$  data:  $F_2^d \rightarrow F_2^d - \delta^{(\text{off})} F_2^d$

1. smear  $F_2^p$  with  $f_{N/d}$ :  $f_{N/d} \otimes F_2^p \equiv S_p F_2^p$

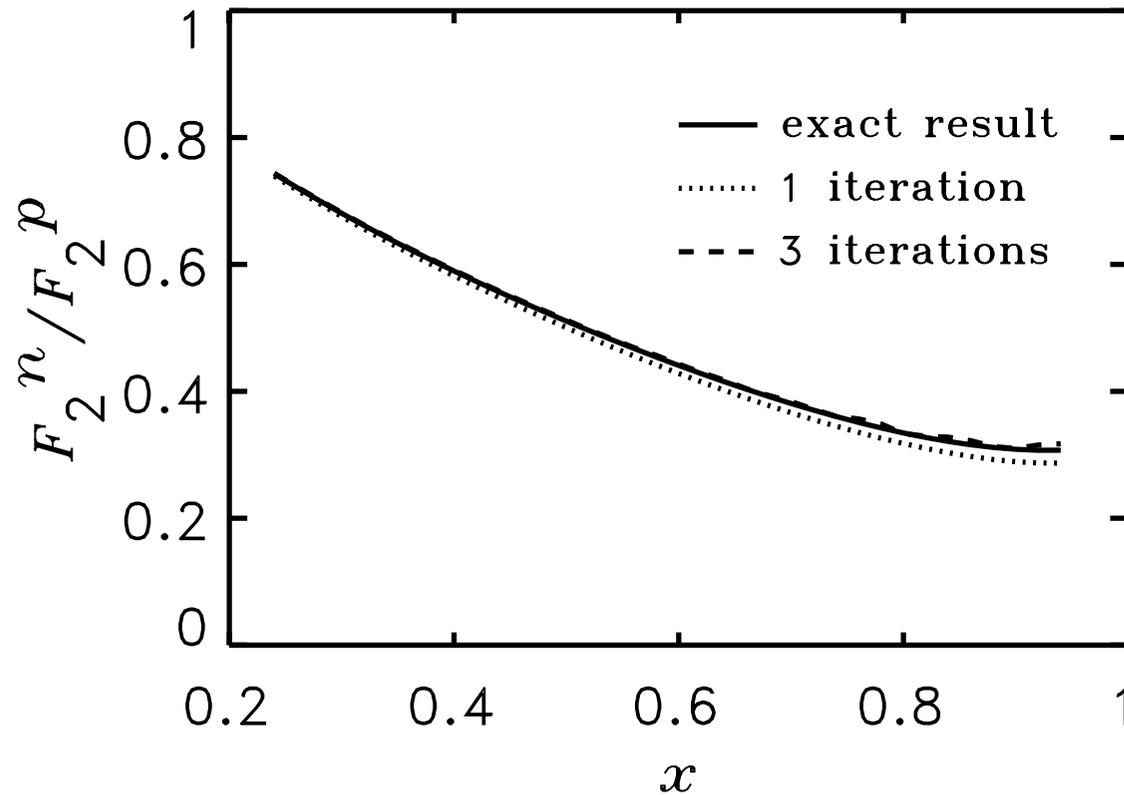
2. extract neutron via  $F_2^n = S_n (F_2^d - F_2^p / S_p)$

starting with *e.g.*  $S_n = S_p$

3. smear  $F_2^n$  with  $f_{N/d}$  to get new  $S_n$

4. repeat 2-3 until convergence

# Unsmearing



*Afnan, Bissey, Gomez, Liuti, WM, Thomas et al.,  
Phys. Rev. C68 (2003) 035201*



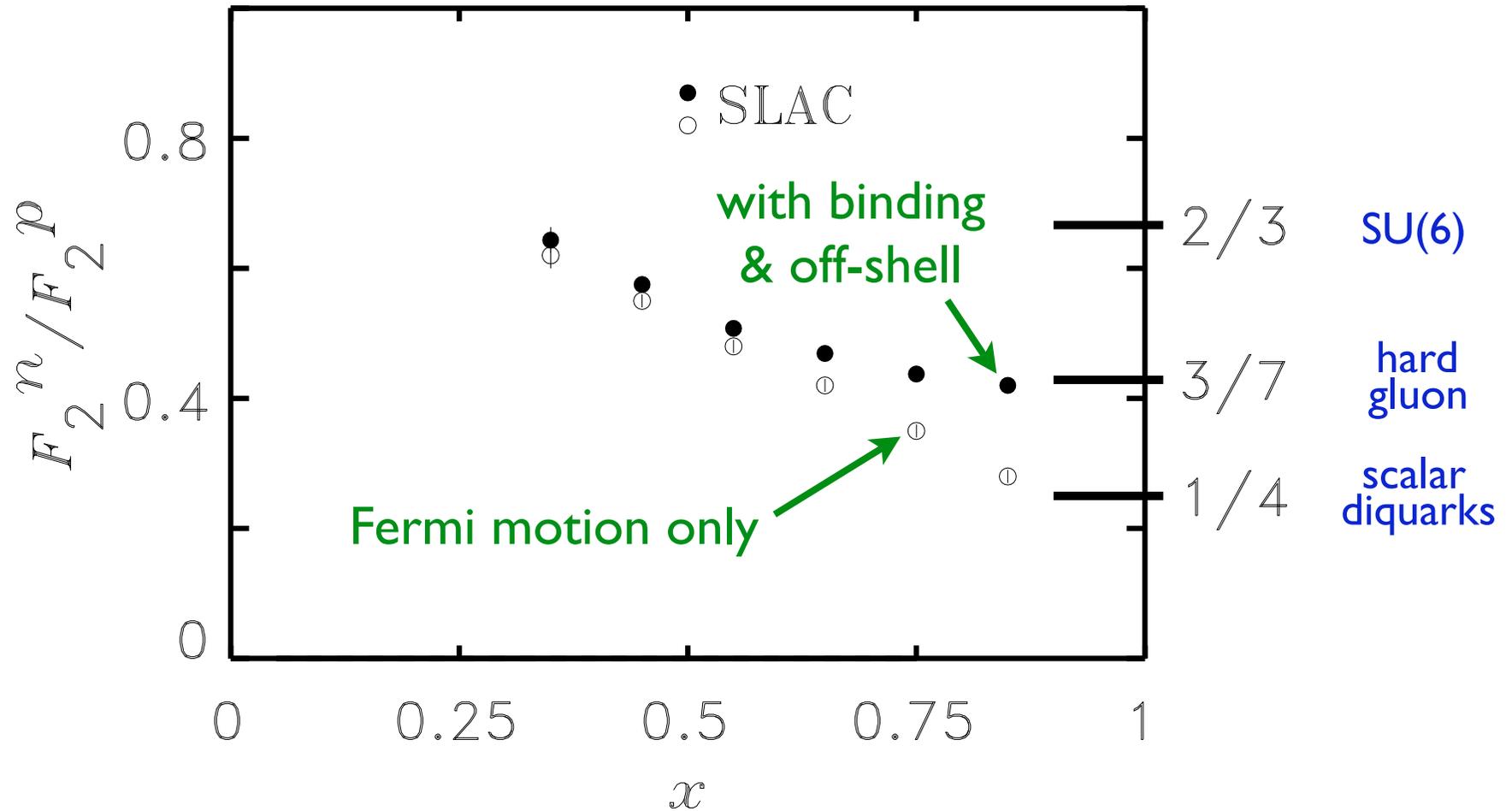
good convergence after several iterations

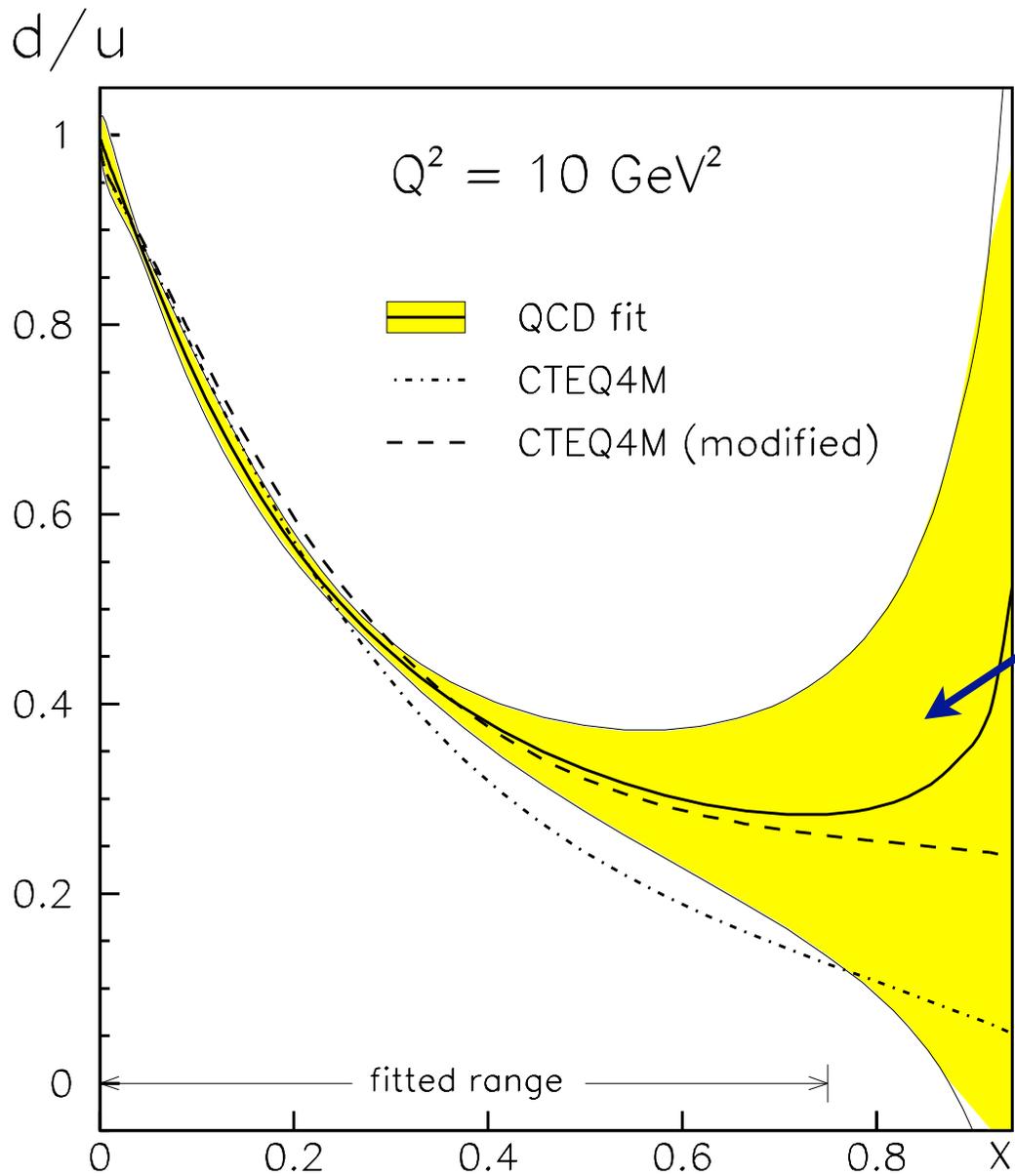


resulting  $F_2^n$  independent of starting assumptions

depends only on smearing function  $f_{N/d}$

# Effect on $n/p$ ratio





uncertainty due to nuclear effects in neutron (full range of nuclear models)

$d$  distribution poorly known beyond  $x \sim 0.5$

## “Cleaner” methods of determining $d/u$

$$e^{\mp} p \rightarrow \nu(\bar{\nu}) X$$

need high luminosity

$$\nu(\bar{\nu}) p \rightarrow l^{\mp} X$$

low statistics

$$p p(\bar{p}) \rightarrow W^{\pm} X$$

need large lepton rapidity

$$\vec{e}_L(\vec{e}_R) p \rightarrow e X$$

low count rate

$$e p \rightarrow e \pi^{\pm} X$$

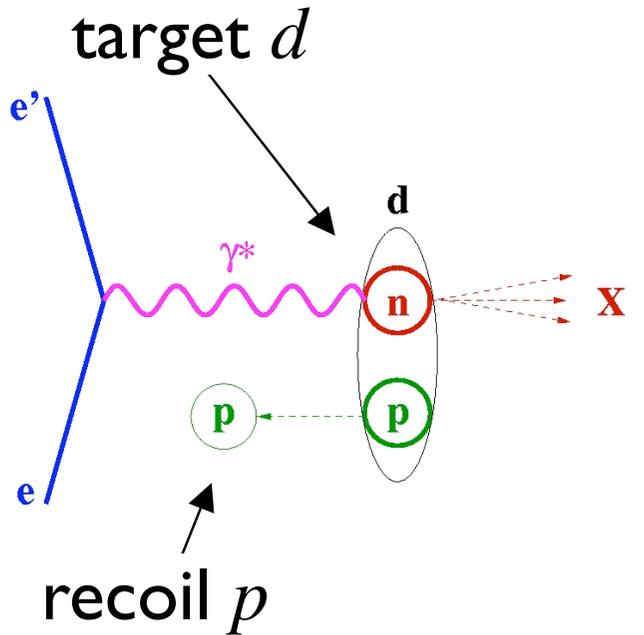
need  $z \sim 1$ , factorization

$$e {}^3\text{He}({}^3\text{H}) \rightarrow e X$$

tritium target

# “Cleaner” methods of determining $d/u$

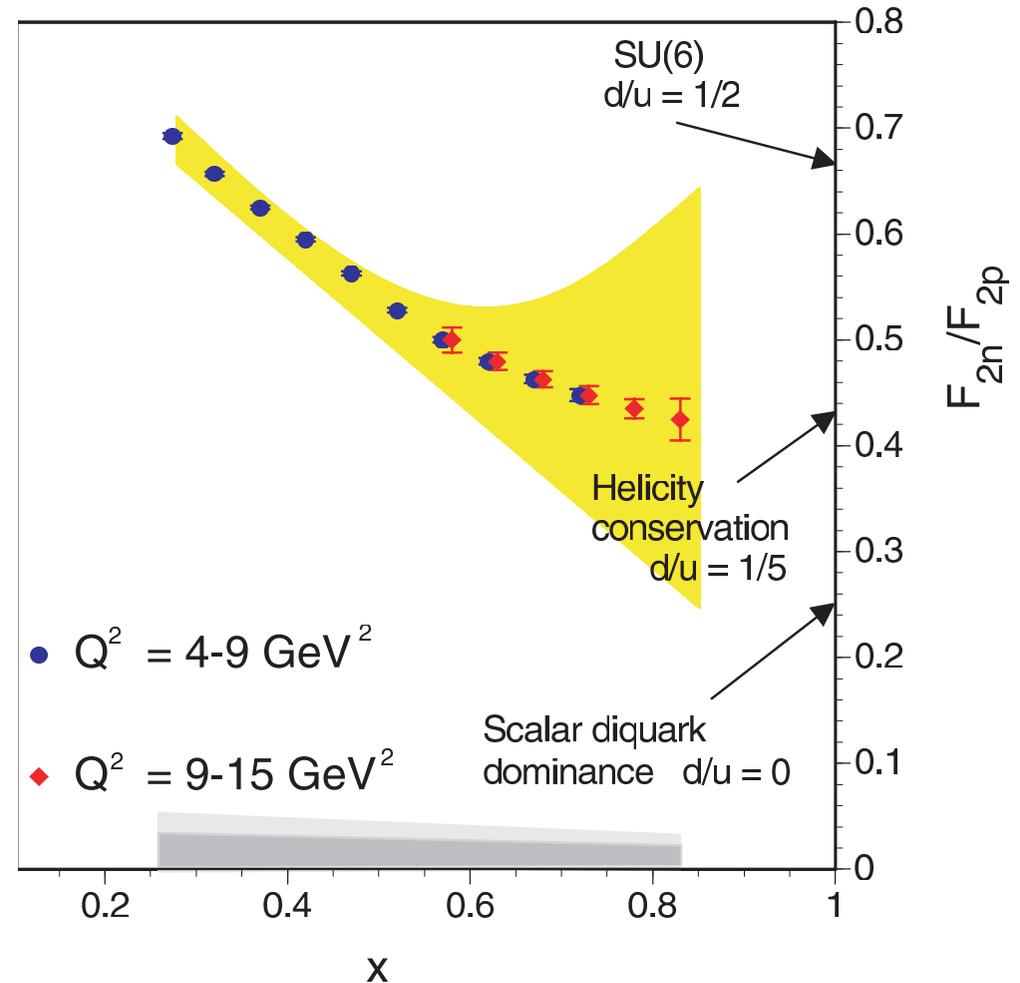
$$e d \rightarrow e p X$$



slow backward  $p$

➔ neutron nearly on-shell

➔ minimize rescattering



JLab Hall B experiment (“BoNuS”)  
completed run Dec. 2005

2.

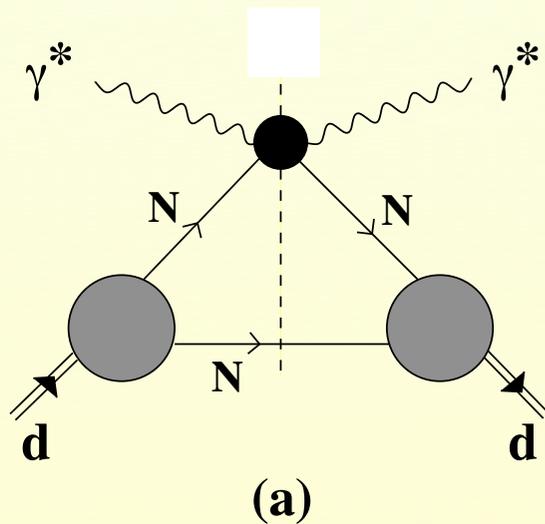
# Quark distributions

- *nuclear shadowing*

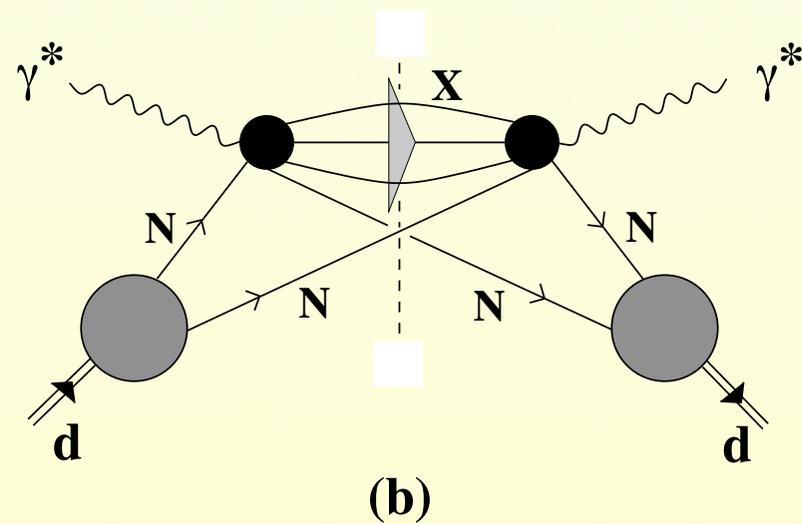
# Nuclear shadowing

Interference of multiple scattering amplitudes

For deuteron:



Nuclear impulse approximation

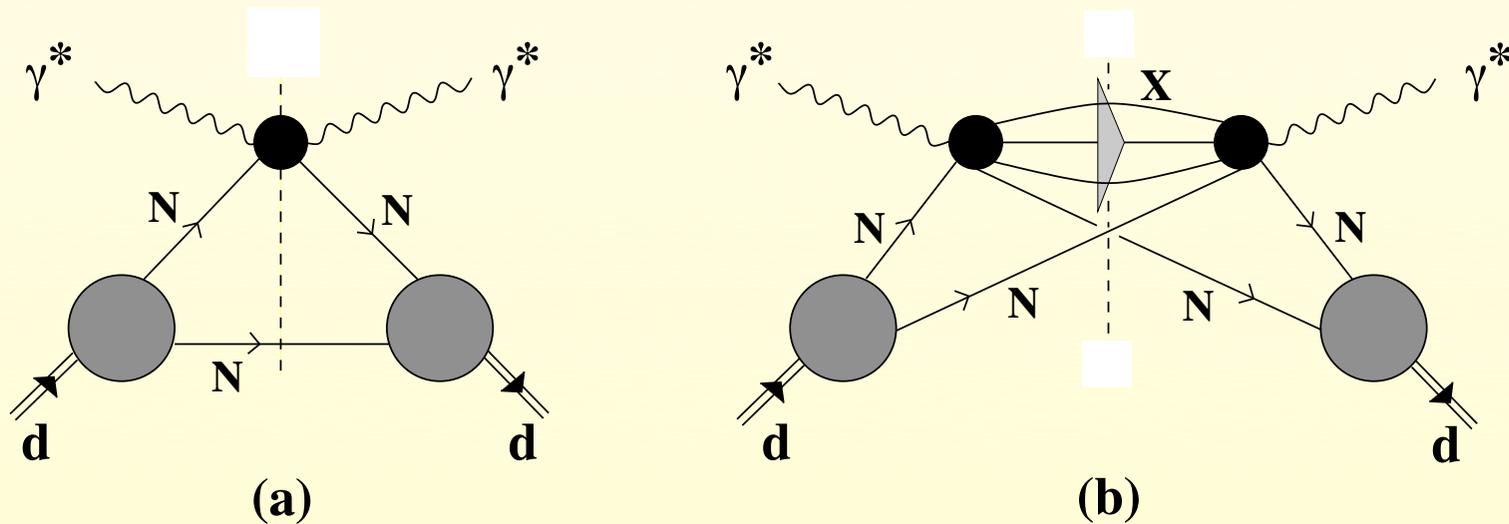


Double scattering

# Nuclear shadowing

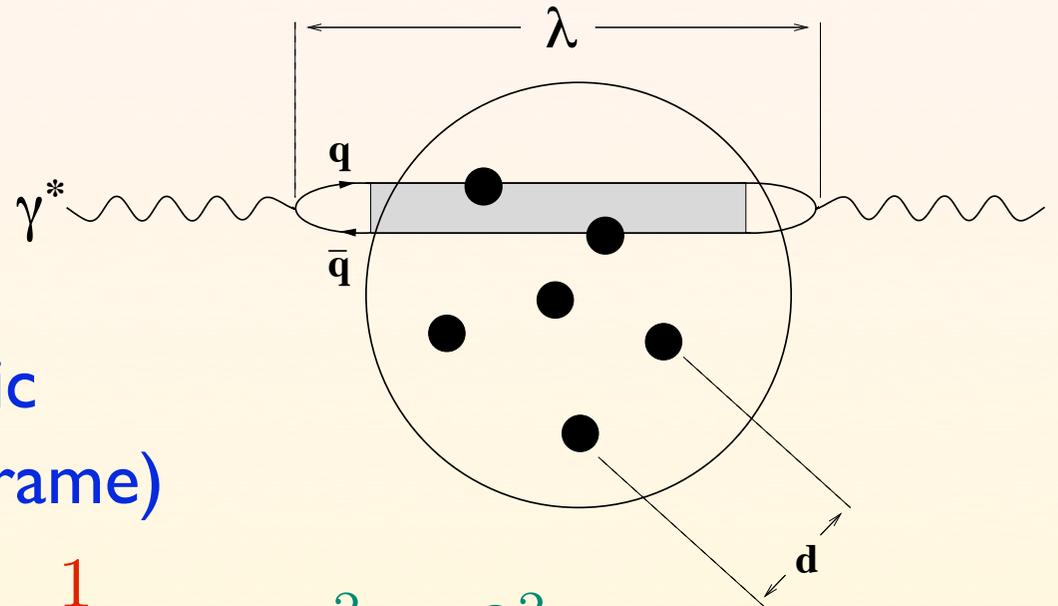
## Interference of multiple scattering amplitudes

For deuteron:



$$F_2^d = F_2^p + F_2^n + \delta^{(\text{shad})} F_2^d$$

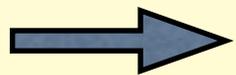
# Space-time view of shadowing



propagation length of hadronic fluctuation of mass  $\mu$  (in lab frame)

$$\lambda \sim \frac{1}{\Delta E} = \frac{2\nu}{\mu^2 + Q^2} \rightarrow \frac{1}{2xM}, \quad \mu^2 \sim Q^2$$

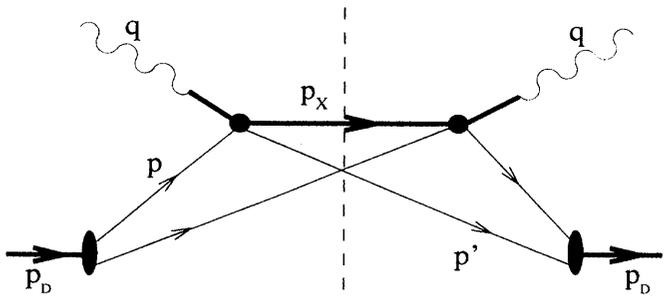
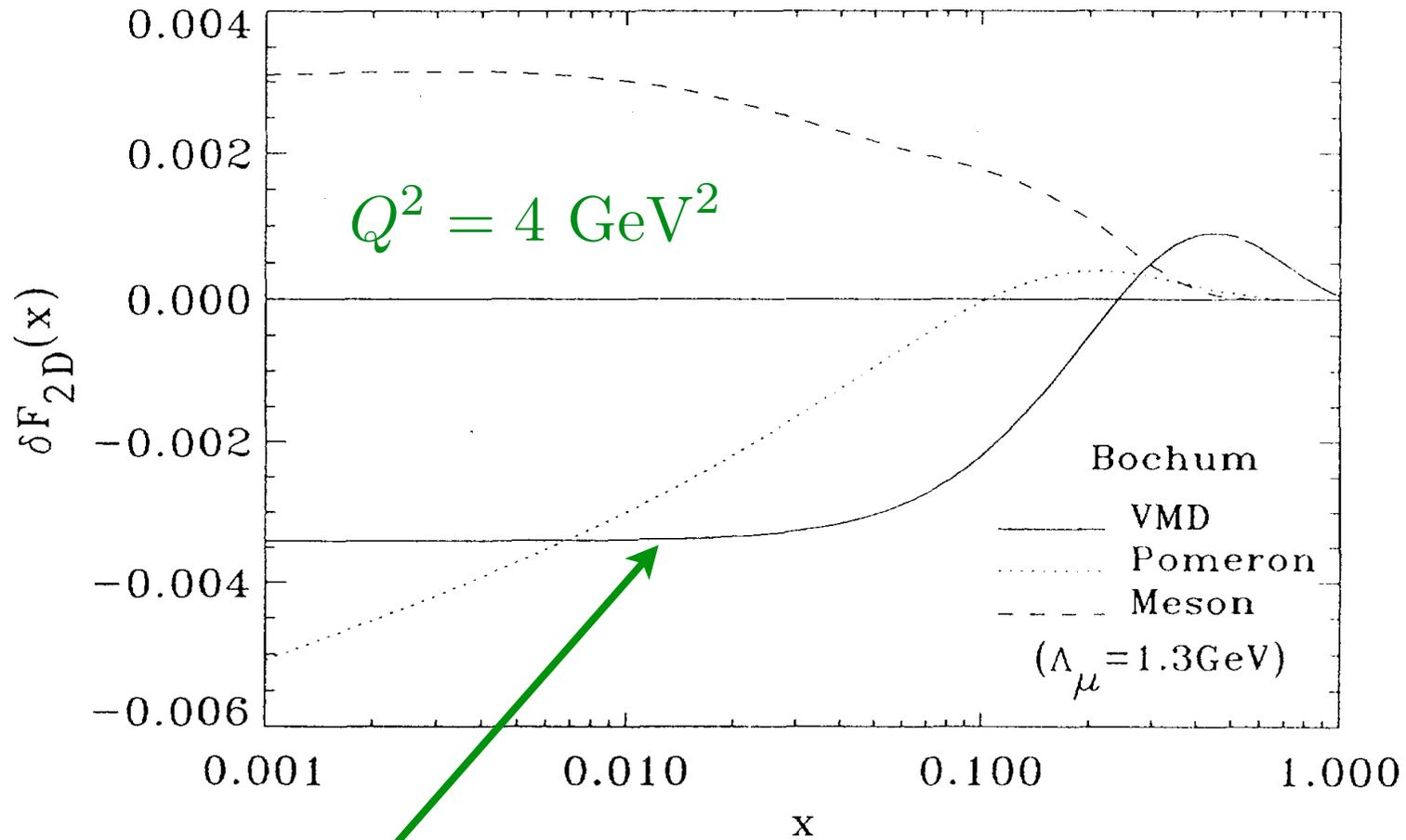
if propagation length exceeds average distance between nucleons  $\lambda > d \approx 2 \text{ fm}$



coherent multiple scattering can occur

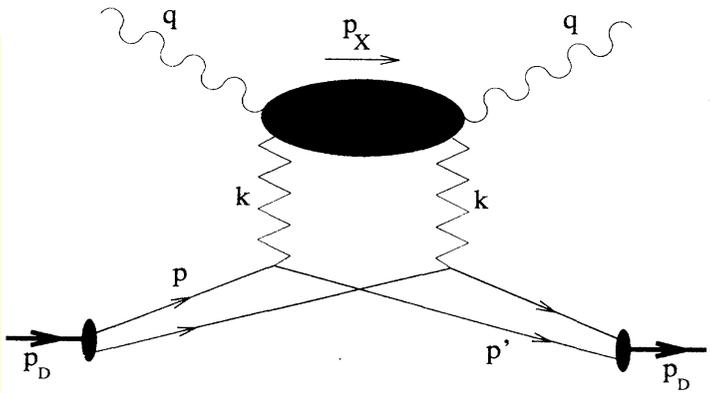
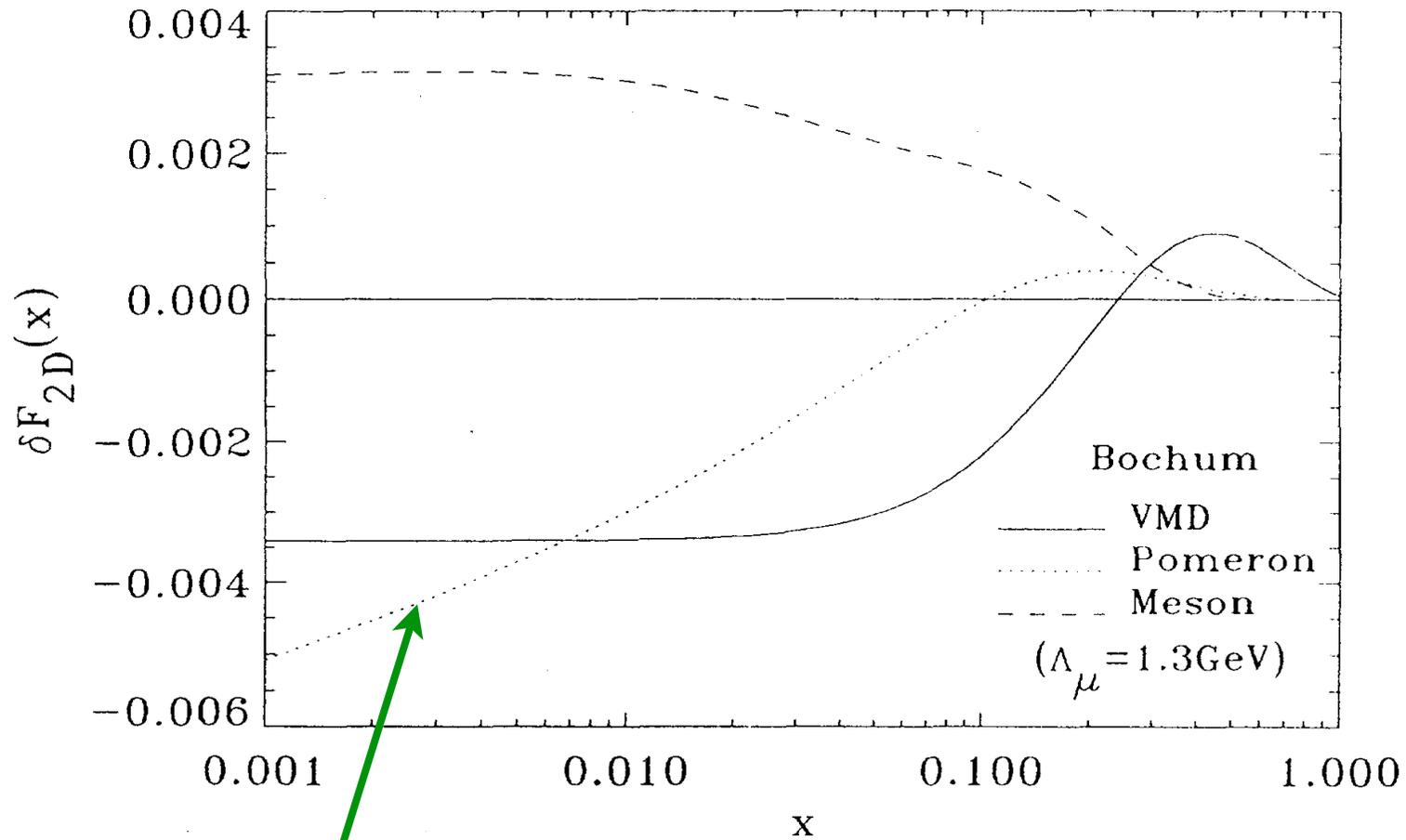
$$x < 0.05$$

# Shadowing in deuterium



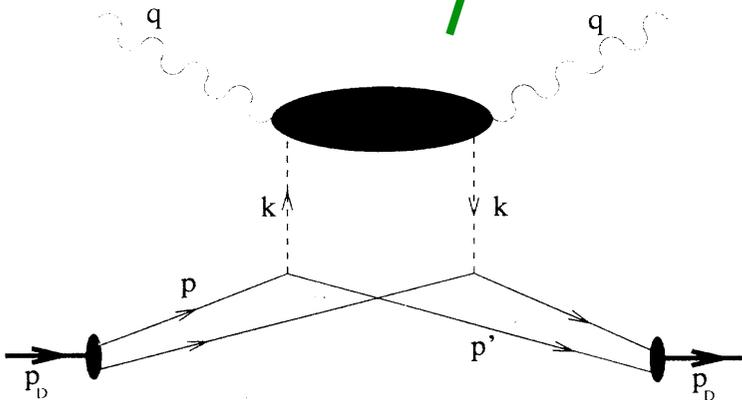
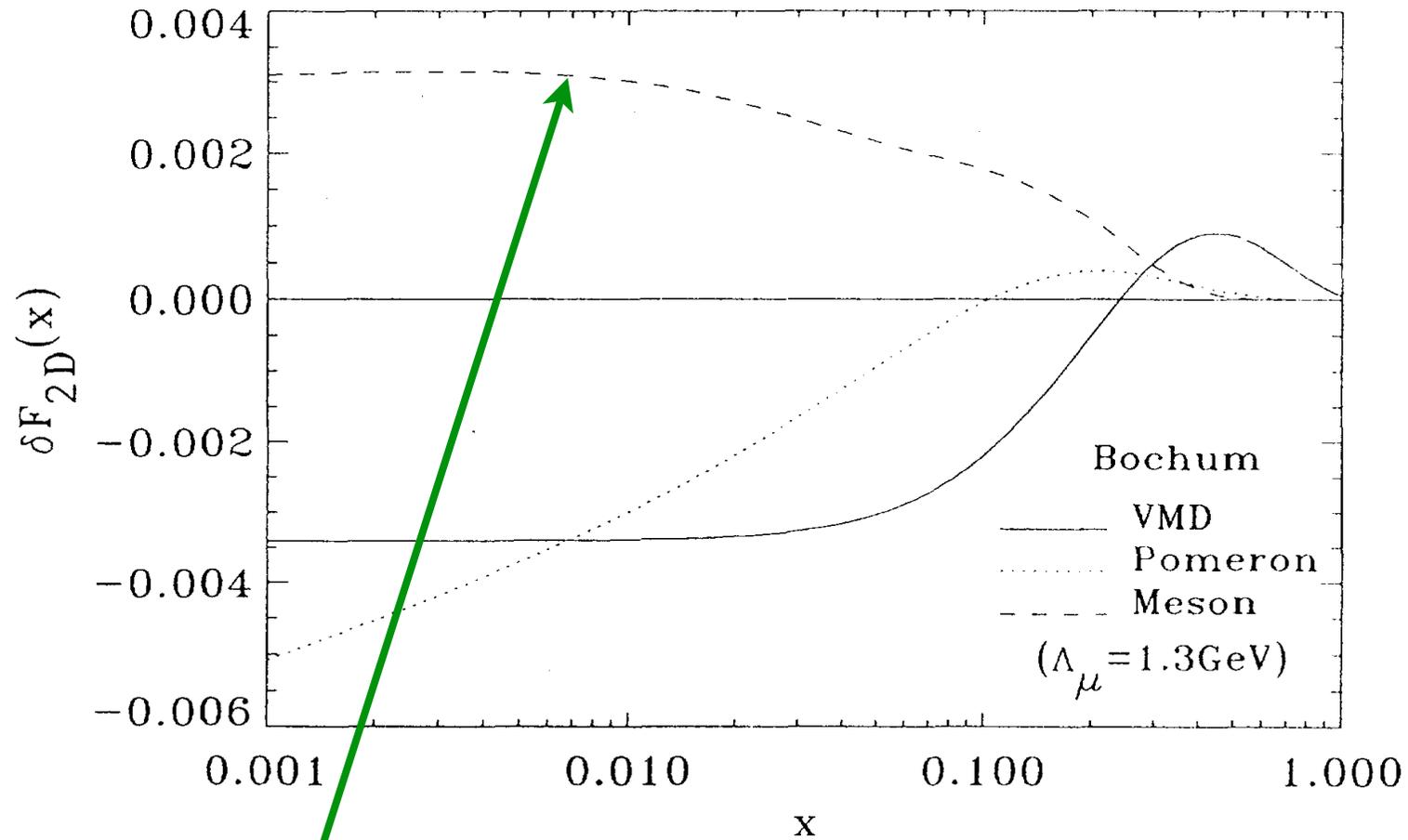
**vector meson dominance**  
(higher twist)

# Shadowing in deuterium

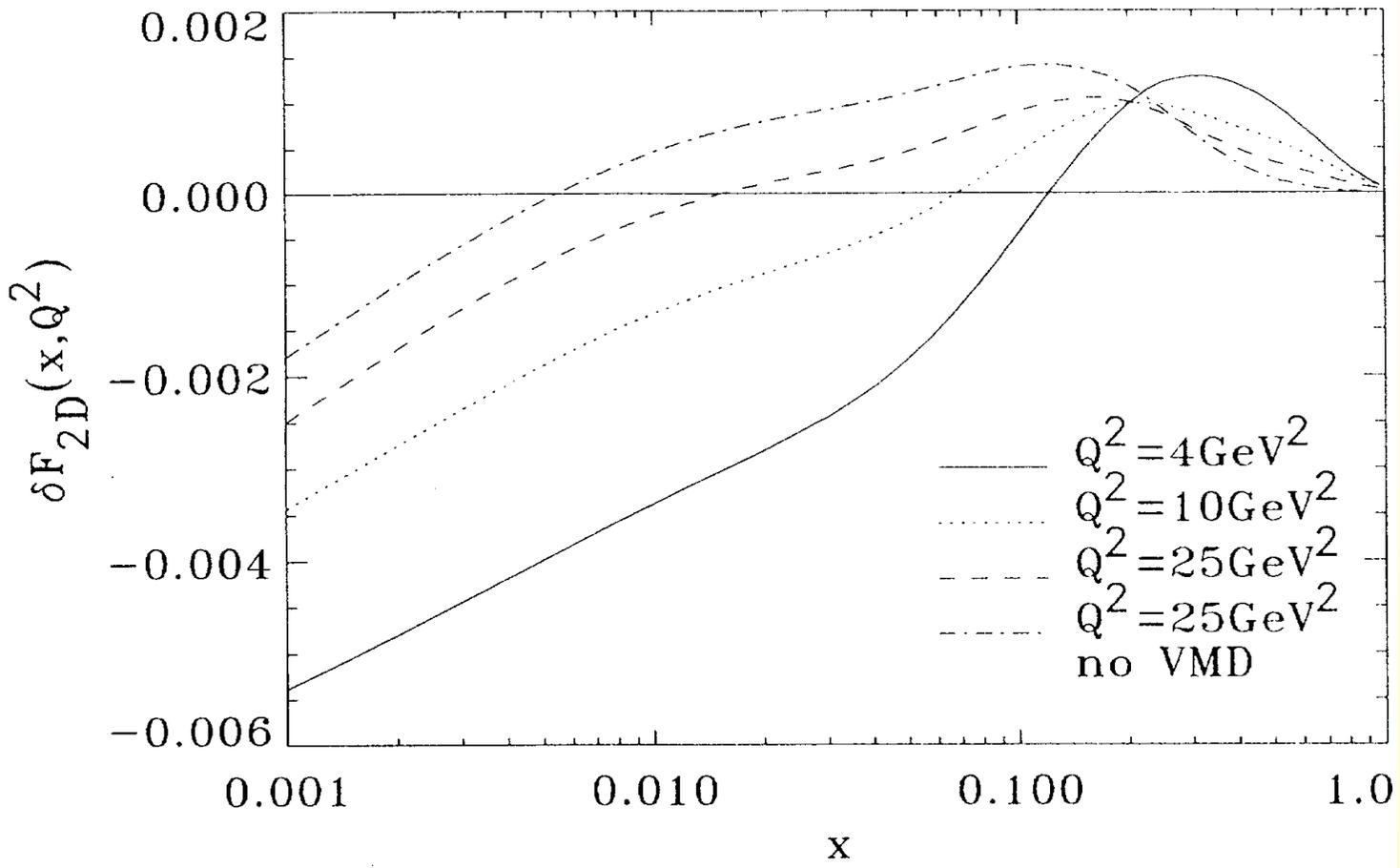


Pomeron exchange

# Anti-shadowing in deuterium



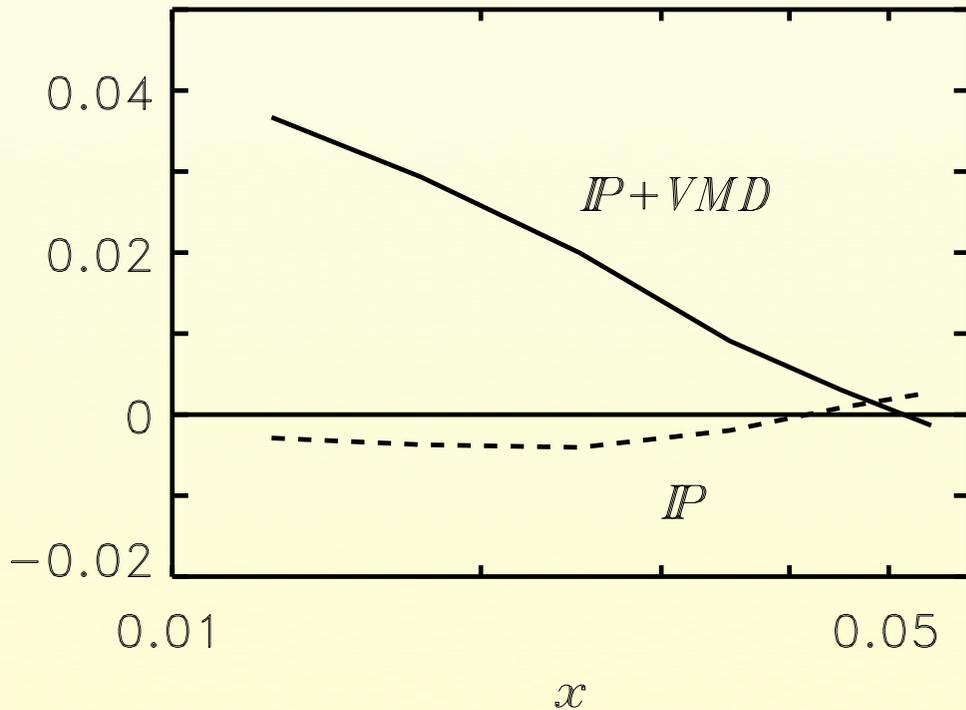
meson (pion) exchange



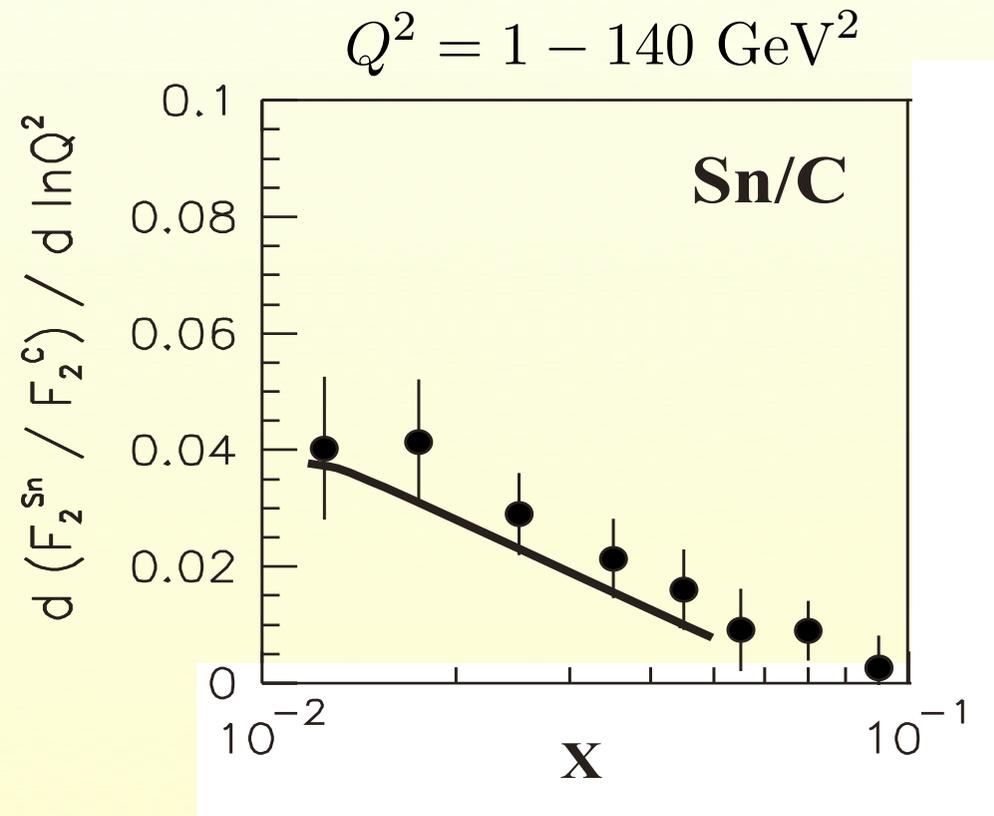
VMD important even at moderate  $Q^2$

# Shadowing in nuclei

Perturbative or nonperturbative origin  
of  $Q^2$  dependence?

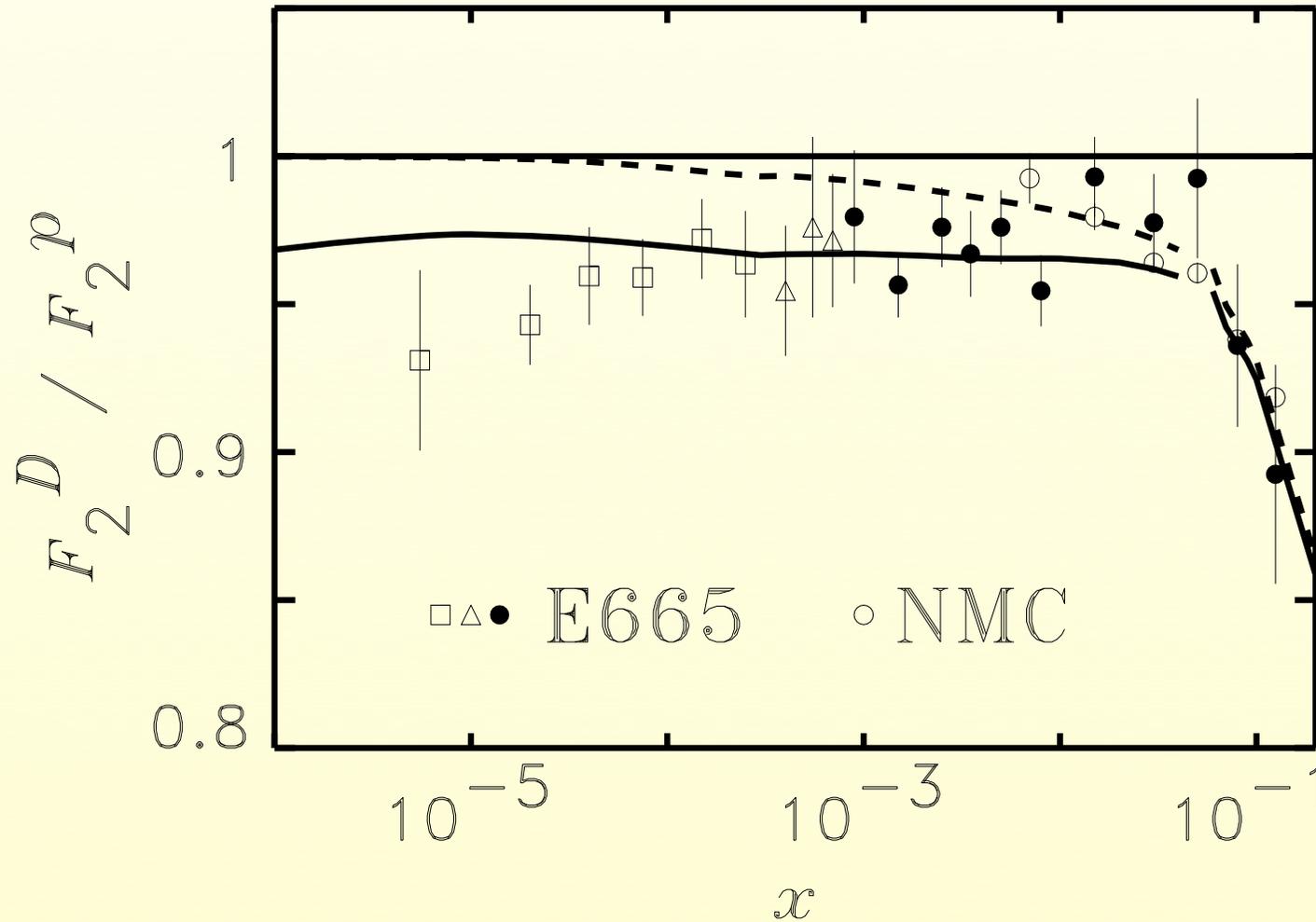


WM, Thomas, *Phys. Rev. C*52 (1995) 3373



NMC, *Nucl. Phys. B*481 (1996) 23

# Comparison with data



WM, Thomas, *Phys. Rev. C* 52 (1995) 3373  
- see also Badelek, Kwiecinski (1992),  
Nikolaev, Zoller (1992)

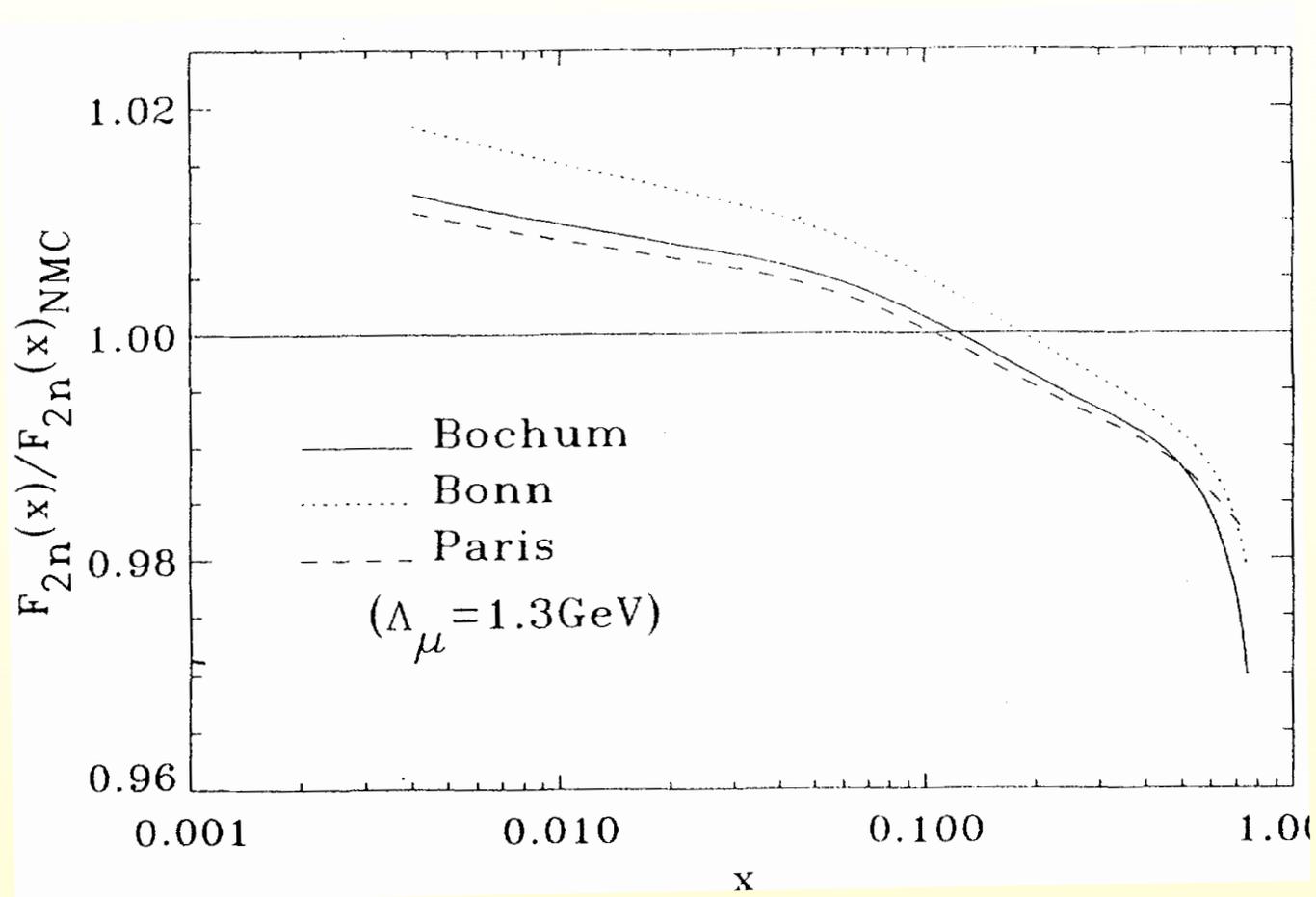
## Effect on neutron structure function at small $x$

$$\frac{F_2^n}{(F_2^n)_{\text{exp}}} = 1 - \frac{\delta F_2^d}{F_2^d} \left( \frac{1 + (F_2^n / F_2^p)_{\text{exp}}}{(F_2^n / F_2^p)_{\text{exp}}} \right)$$

where “experimental”  $n/p$  ratio is defined as

$$\left. \frac{F_2^n}{F_2^p} \right|_{\text{exp}} \equiv \frac{F_2^d}{F_2^p} - 1$$

# Effect on neutron structure function at small $x$



**1-2% enhancement at  $x \sim 0.01$**

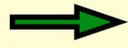
# Gottfried sum rule

Integrated difference of  $p$  and  $n$  structure functions

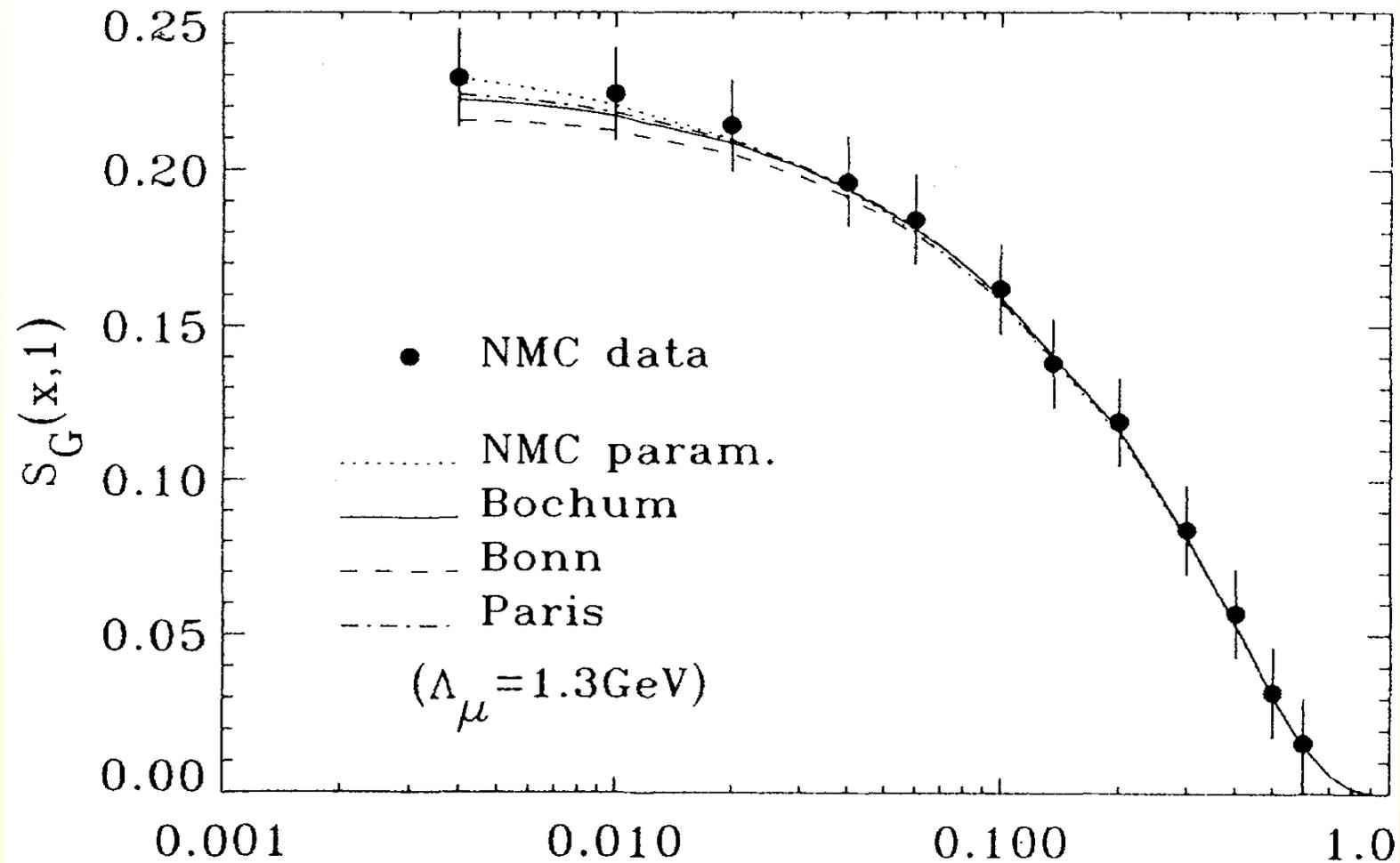
$$\begin{aligned} S_G &= \int_0^1 dx \frac{F_2^p(x) - F_2^n(x)}{x} \\ &= \frac{1}{3} + \frac{2}{3} \int_0^1 dx (\bar{u}(x) - \bar{d}(x)) \end{aligned}$$

Experiment:  $S_G = 0.235 \pm 0.026$

*NMC, Phys. Rev. D 50 (1994) 1*

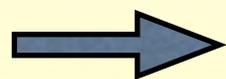
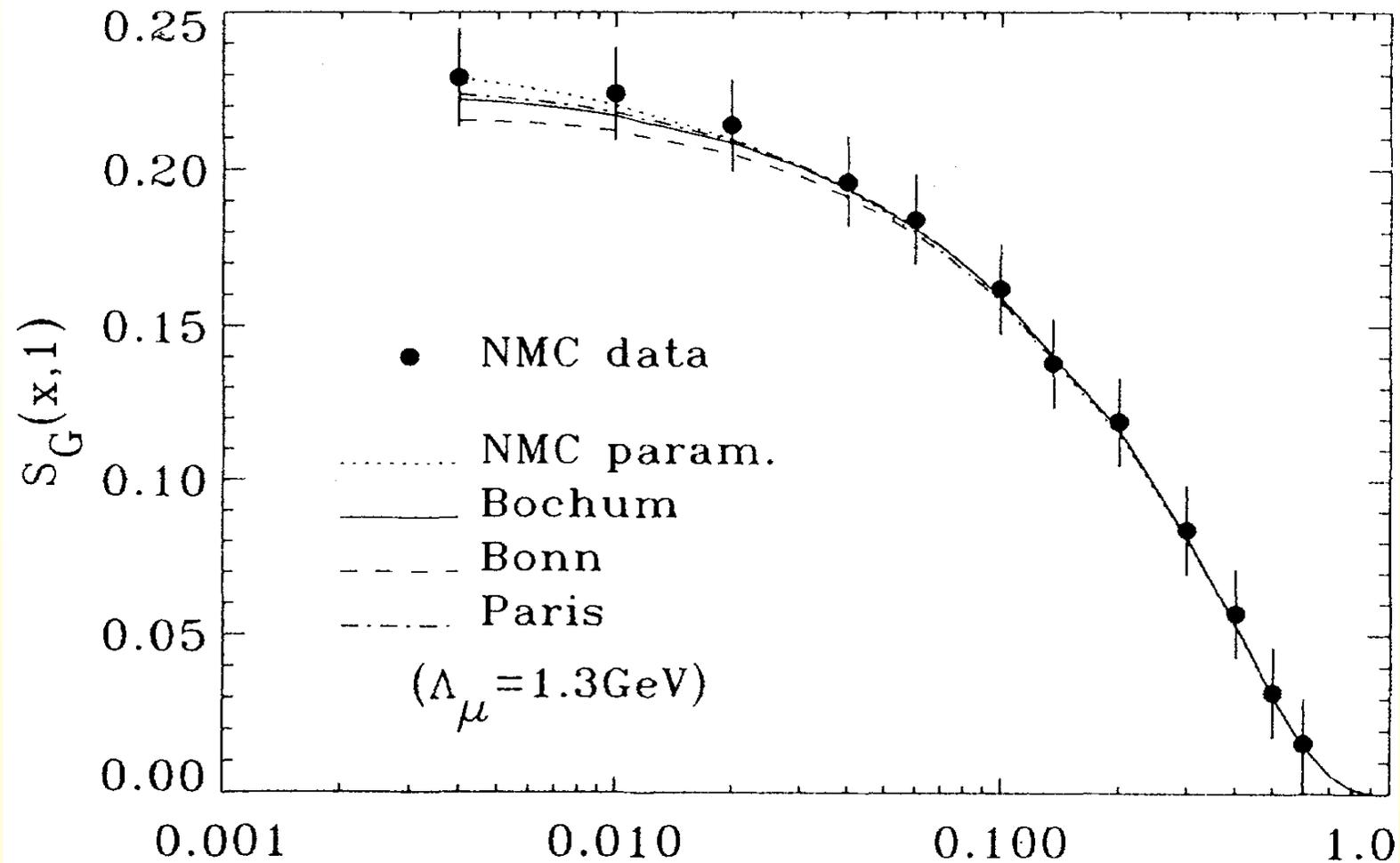
  $\bar{d}(x) \neq \bar{u}(x)$       flavor asymmetric sea

# Saturation of Gottfried sum rule



$$S_G(x, 1) = \int_x^1 dx' \frac{F_2^p(x') - F_2^n(x')}{x'}$$

# Saturation of Gottfried sum rule



correction to  $S_G(0,1) \approx -0.02$

~ 10% decrease due to shadowing

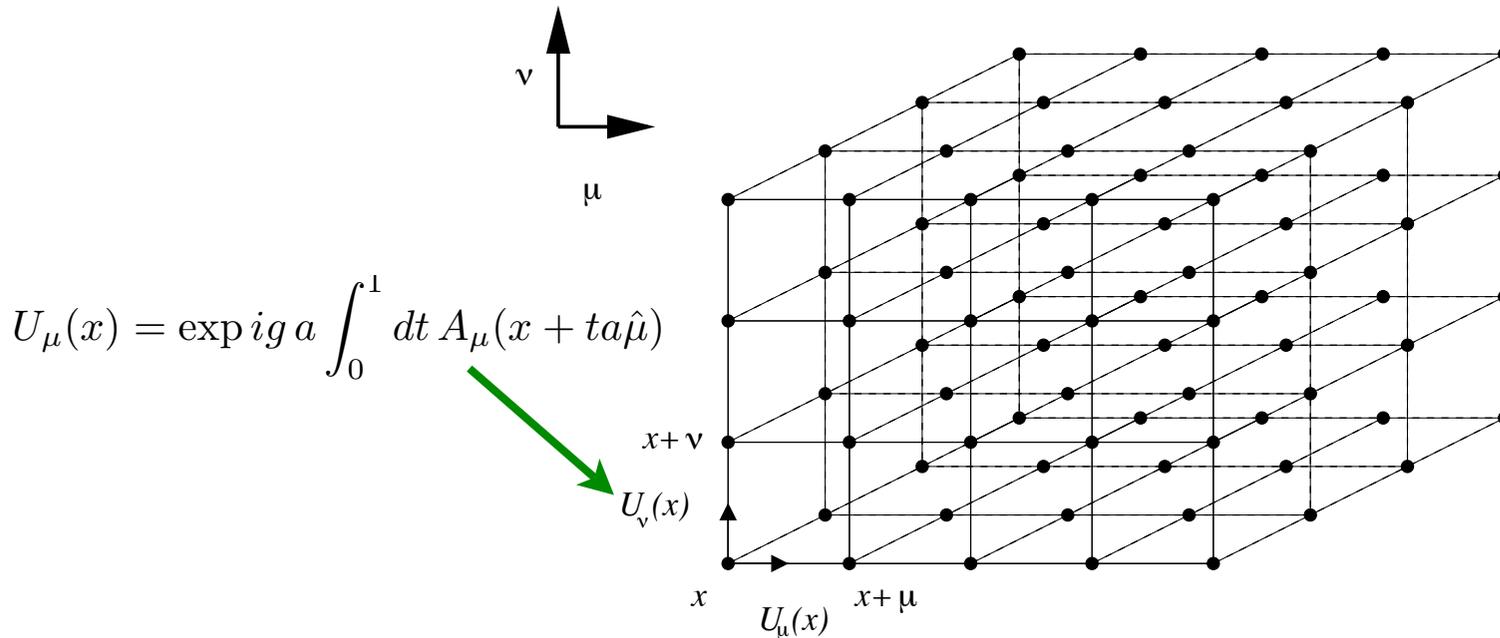
2.

# Quark distributions

*- from lattice QCD*

# Lattice QCD

Solve QCD equations of motion *numerically*  
on discretized space-time grid



Wilson (1974)



quarks on lattice nodes



gluons as links between nodes

# Observables calculated from path integrals in Euclidean space

$$\langle O \rangle = \frac{1}{Z} \int \mathcal{D}U O(U) e^{-S_G(U)}$$

generating functional

$$Z = \int \mathcal{D}U \det M(U) e^{-S_G(U)}$$

Fermion mass matrix

$$M(x, y, U) = m \delta_{x,y} + \frac{1}{2} \sum_{\mu} \gamma_{\mu} (U_{\mu}(x) \delta_{y, x+\hat{\mu}} - U_{\mu}^{\dagger}(x - \hat{\mu}) \delta_{y, x-\hat{\mu}})$$

## Approximations

- finite lattice spacing  $a$  ( $\rightarrow 0$ )
- finite lattice volume  $V$  ( $\rightarrow \infty$ )
- large quark mass  $m_q$  ( $\rightarrow m_q^{\text{phys}}$ )  $\leftarrow \text{cost} \propto m_q^{-4}$
- “quenched” - suppression of background  $q\bar{q}$  loops  $\leftarrow \det M \rightarrow 1$

# PDFs from Lattice QCD

Cannot calculate  $x$ -distribution on lattice

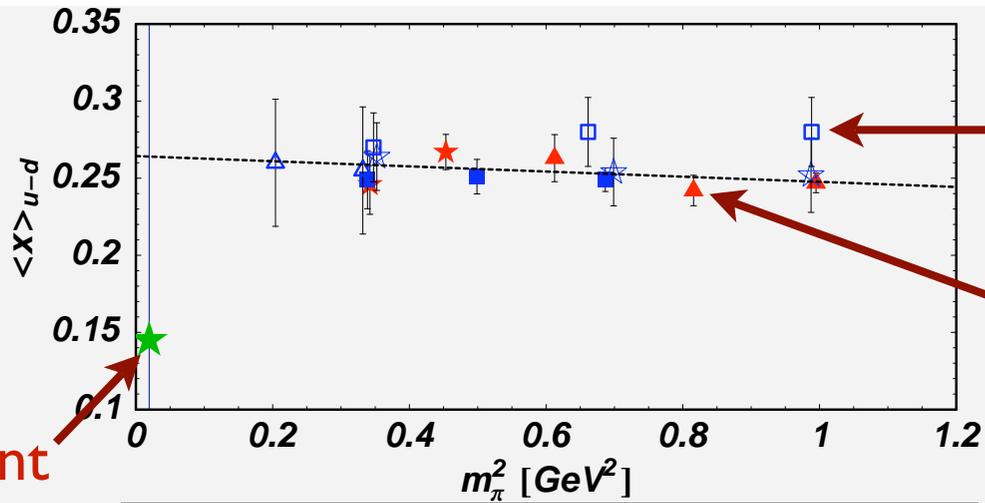
(no light-cone in Euclidean space) - *only moments*

$$\langle x^n \rangle_q = \int_0^1 dx x^n \left( q(x) + (-1)^{n+1} \bar{q}(x) \right)$$

→ use OPE to relate moments of PDFs  
to matrix elements of local operators

$$\langle x^n \rangle p_{\mu_1} \cdots p_{\mu_{n+1}} = \langle N | \mathcal{O}_{\{\mu_1 \cdots \mu_{n+1}\}} | N \rangle$$

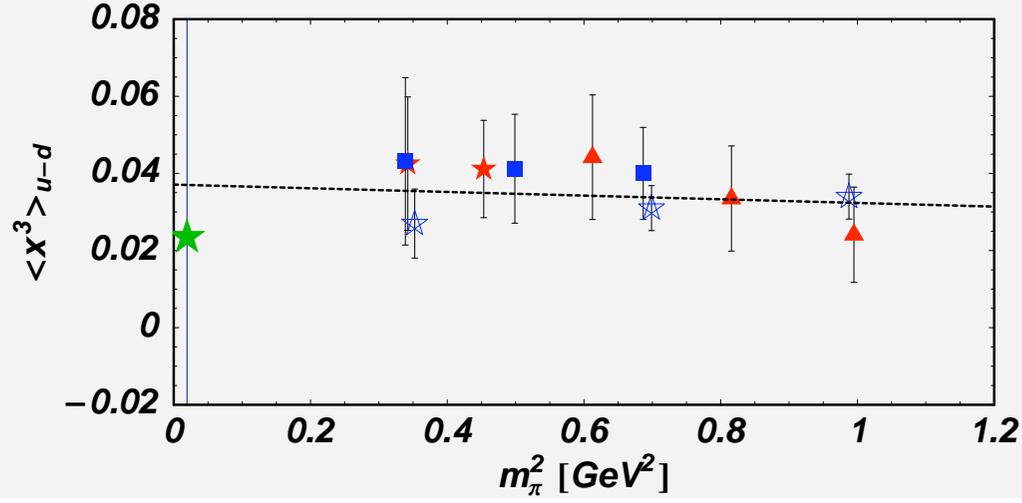
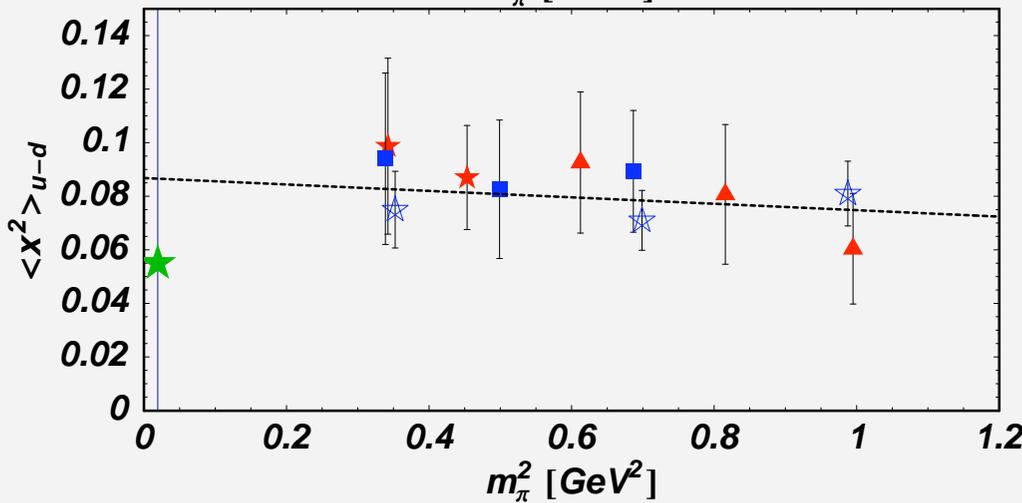
↑  
twist-2 operators



quenched

unquenched  
(full QCD)

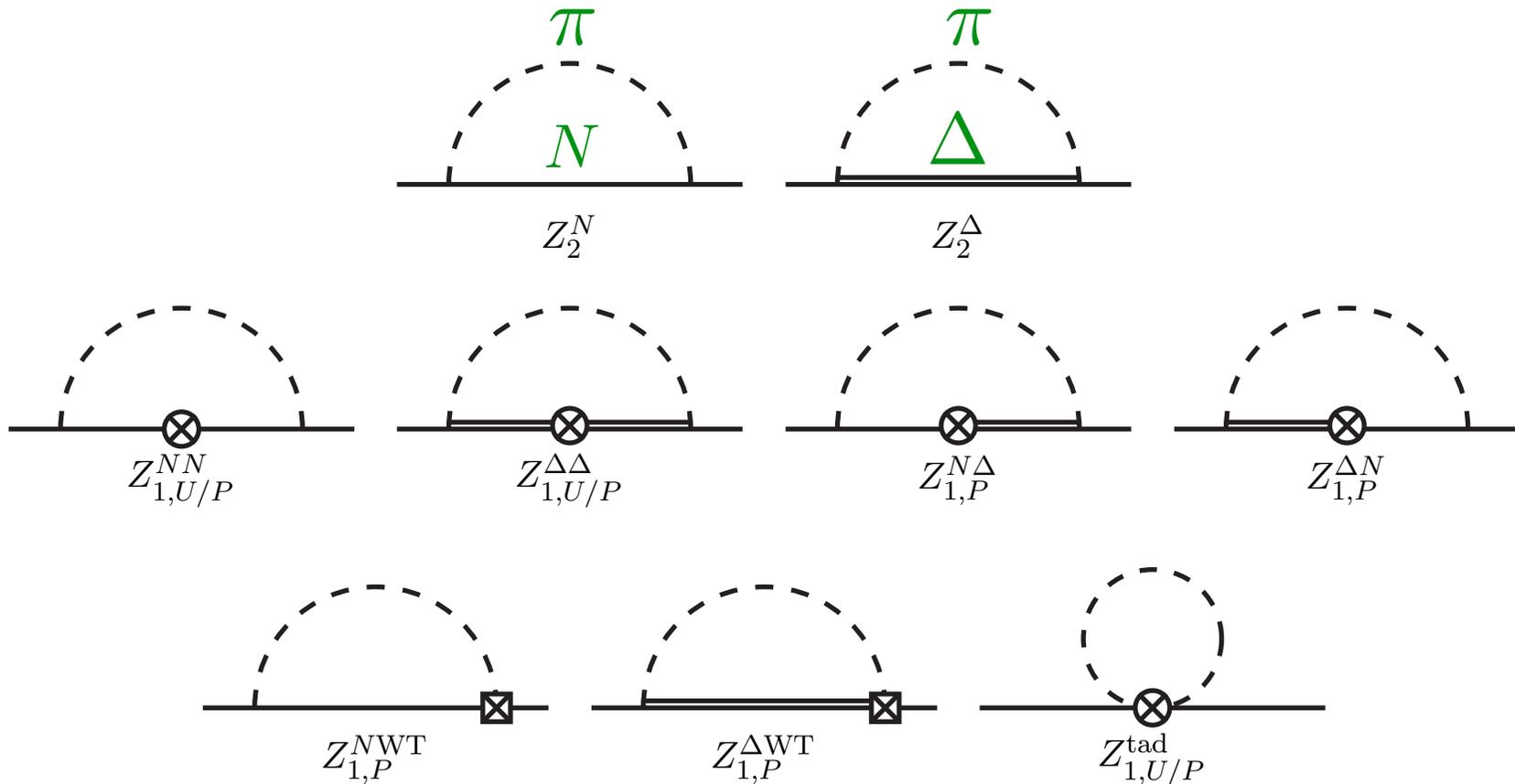
experiment



overestimates  
lowest moment  
of  $u-d$  by  $\sim 50\%$  !

# Chiral extrapolation of lattice moments

Even though structure functions are measured at high energies  
→ their moments have chiral expansion



# Chiral extrapolation of lattice moments

Even though structure functions are measured at high energies  
→ their moments have chiral expansion

$$\langle x^n \rangle_{u-d} = a_n \left( 1 + c_{\text{LNA}} m_\pi^2 \log \frac{m_\pi^2}{m_\pi^2 + \mu^2} \right) + b_n \frac{m_\pi^2}{m_\pi^2 + m_{b,n}^2}$$

*Detmold et al., Phys. Rev. Lett. 87 (2001) 172001*

Leading non-analytic coefficient (non-analytic in  $m_q \sim m_\pi^2$ )

$$c_{\text{LNA}} = -(1 + 3g_A^2) / (4\pi f_\pi)^2$$

calculated from chiral perturbation theory

*Arndt, Savage (2001)*

*Ji, Chen (2001)*

## PDF in heavy quark limit

$$u(x) - d(x) \xrightarrow{m_q \rightarrow \infty} \delta\left(x - \frac{1}{3}\right)$$

## Moment

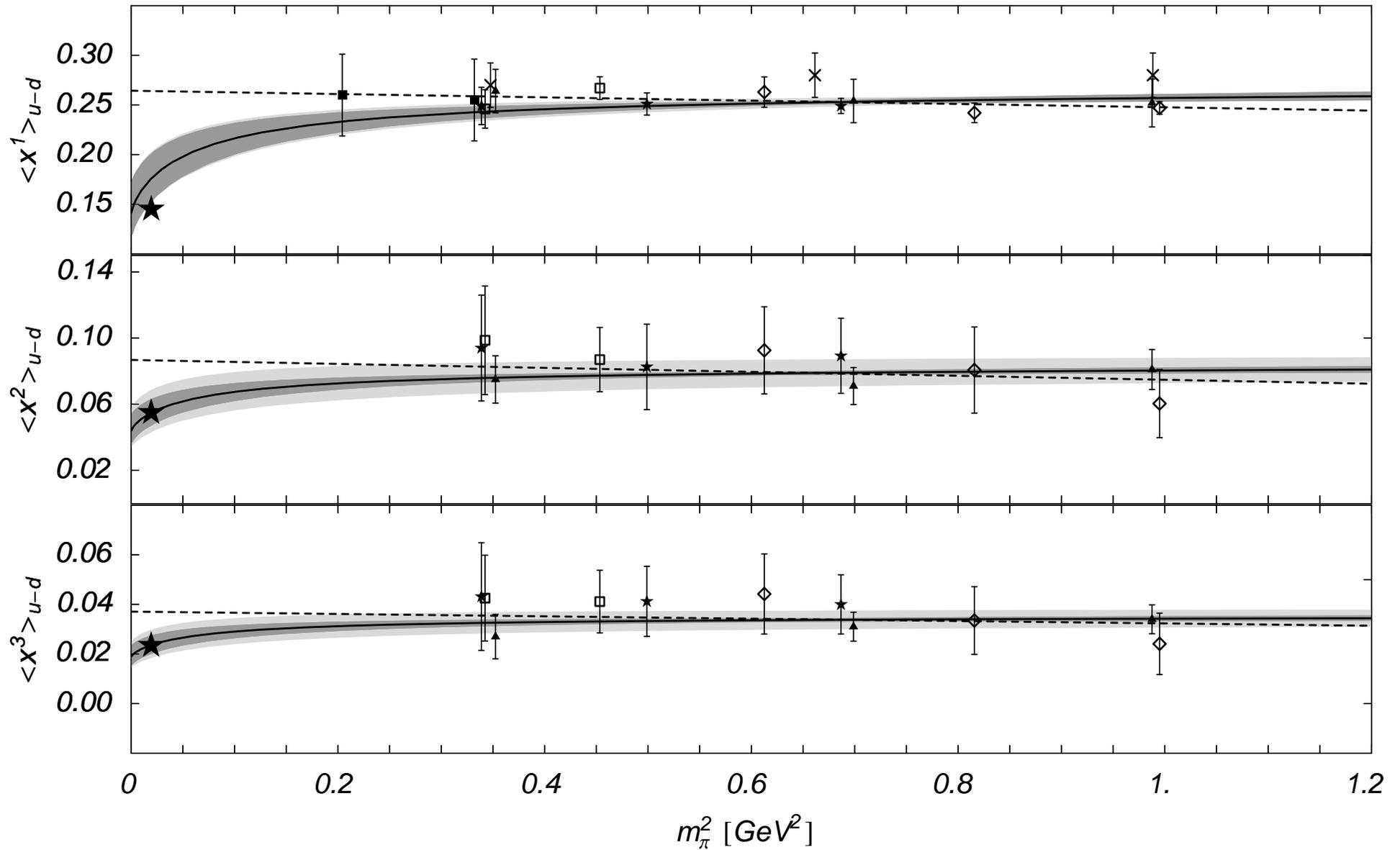
$$\langle x^n \rangle_{u-d} \xrightarrow{m_q \rightarrow \infty} \frac{1}{3^n}$$

Coefficient ensures correct  $m_\pi \rightarrow \infty$  behavior

$$b_n = \frac{1}{3^n} - a_n (1 - \mu^2 c_{\text{LNA}})$$

Parameter  $\mu$  determines amount of curvature at low  $m_\pi^2$

$$(m_\pi^2 \propto m_q)$$



Chiral physics *vital* for understanding lattice data

# Odds and evens

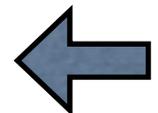
For unpolarized parton distributions

- $n$  even  $\rightarrow$  total  $q + \bar{q}$
- $n$  odd  $\rightarrow$  valence  $q - \bar{q}$

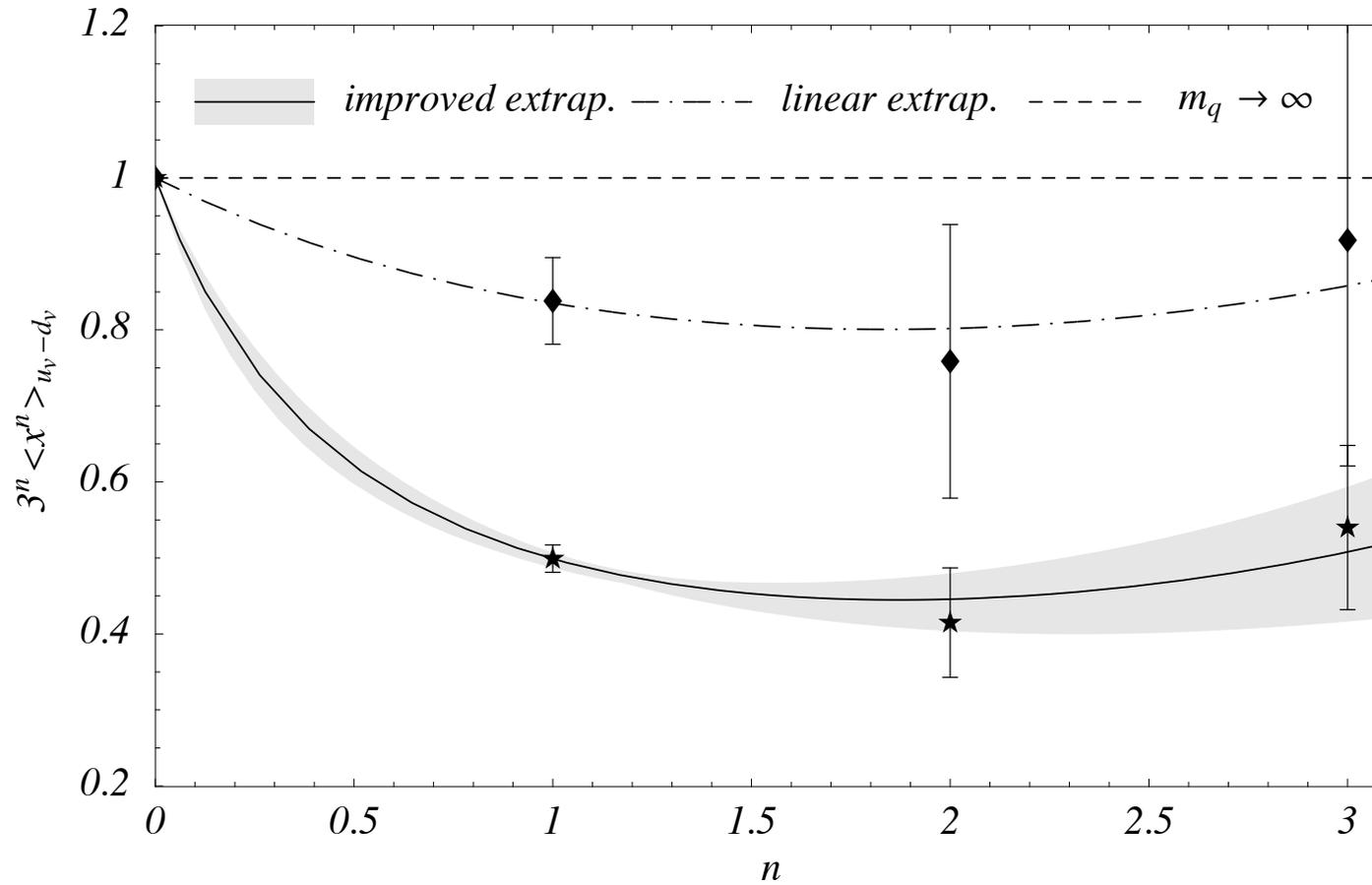
If have sufficient number of moments

- fit odd and even moments separately to obtain both valence and total
- subtract 2 x empirical sea from odd moments

$$q_v \equiv q - \bar{q} = q + \bar{q} - 2\bar{q}$$



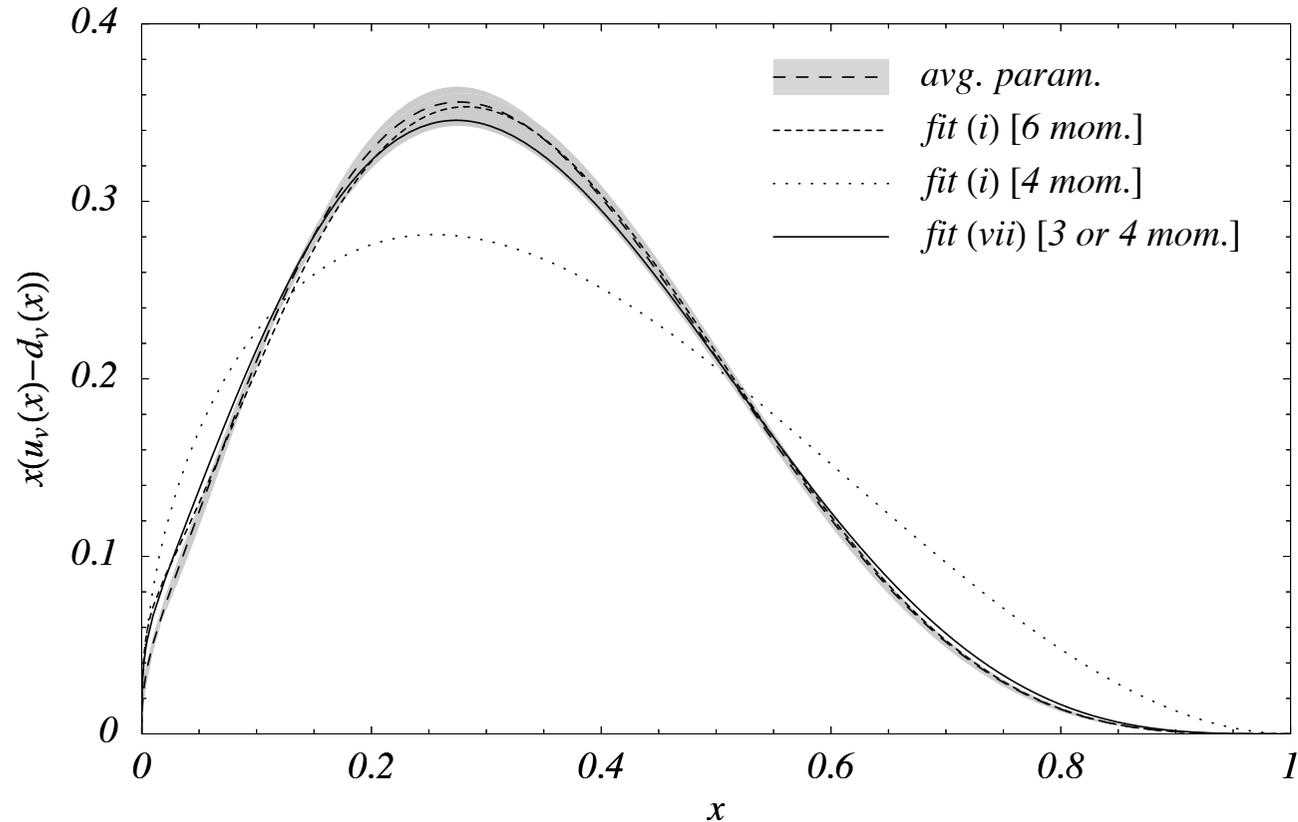
# Chiral extrapolation of valence moments



Moments of  $u_v - d_v$  (scaled by  $3^n$ )

# How well can one reconstruct PDFs from a few moments?

Test case:

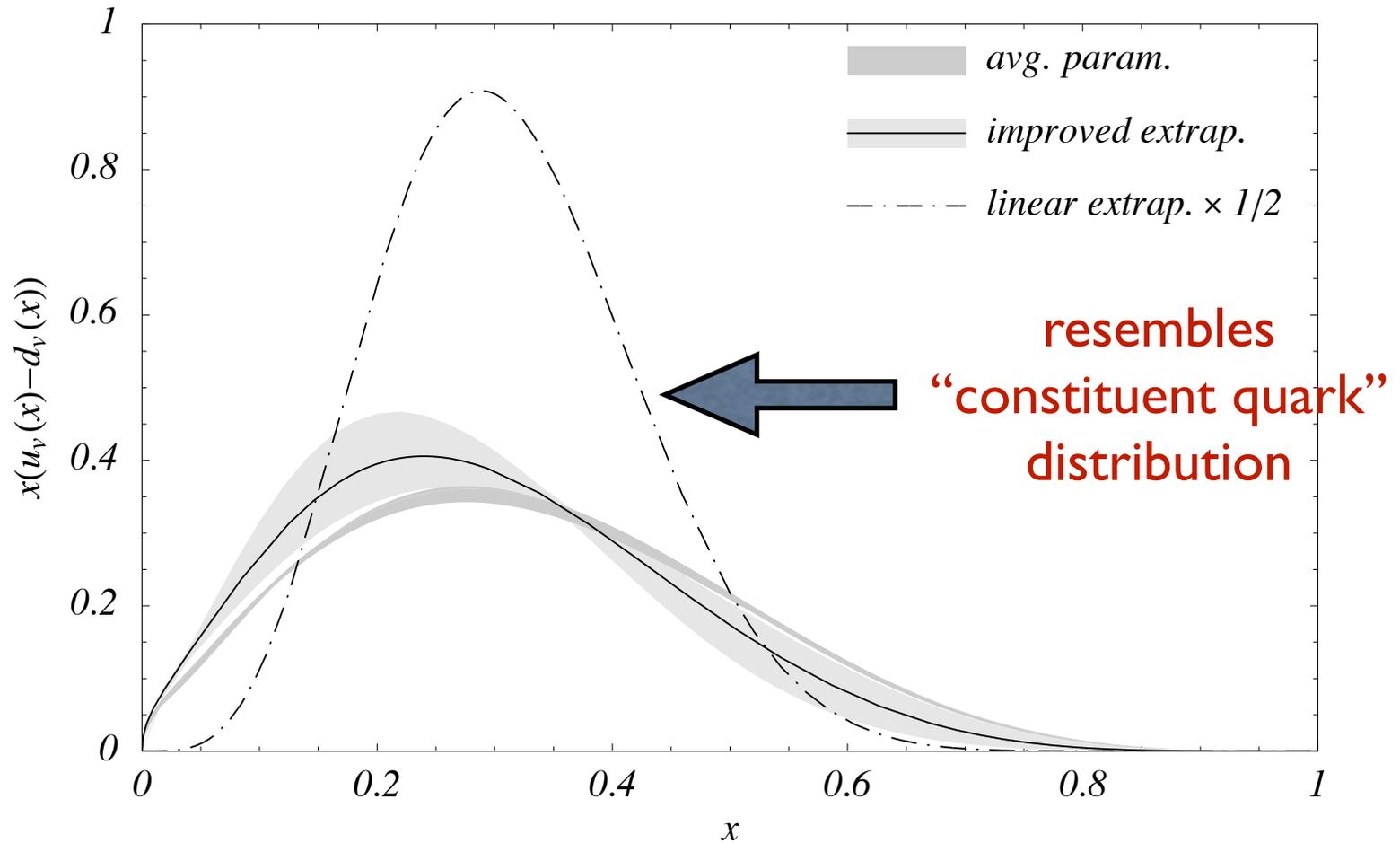


$$xq(x) = ax^b(1-x)^c(1 + \epsilon\sqrt{x} + \gamma x)$$

➔ *fit(i)* : 4 unconstrained parameters ( $b, c, \epsilon, \gamma$ )

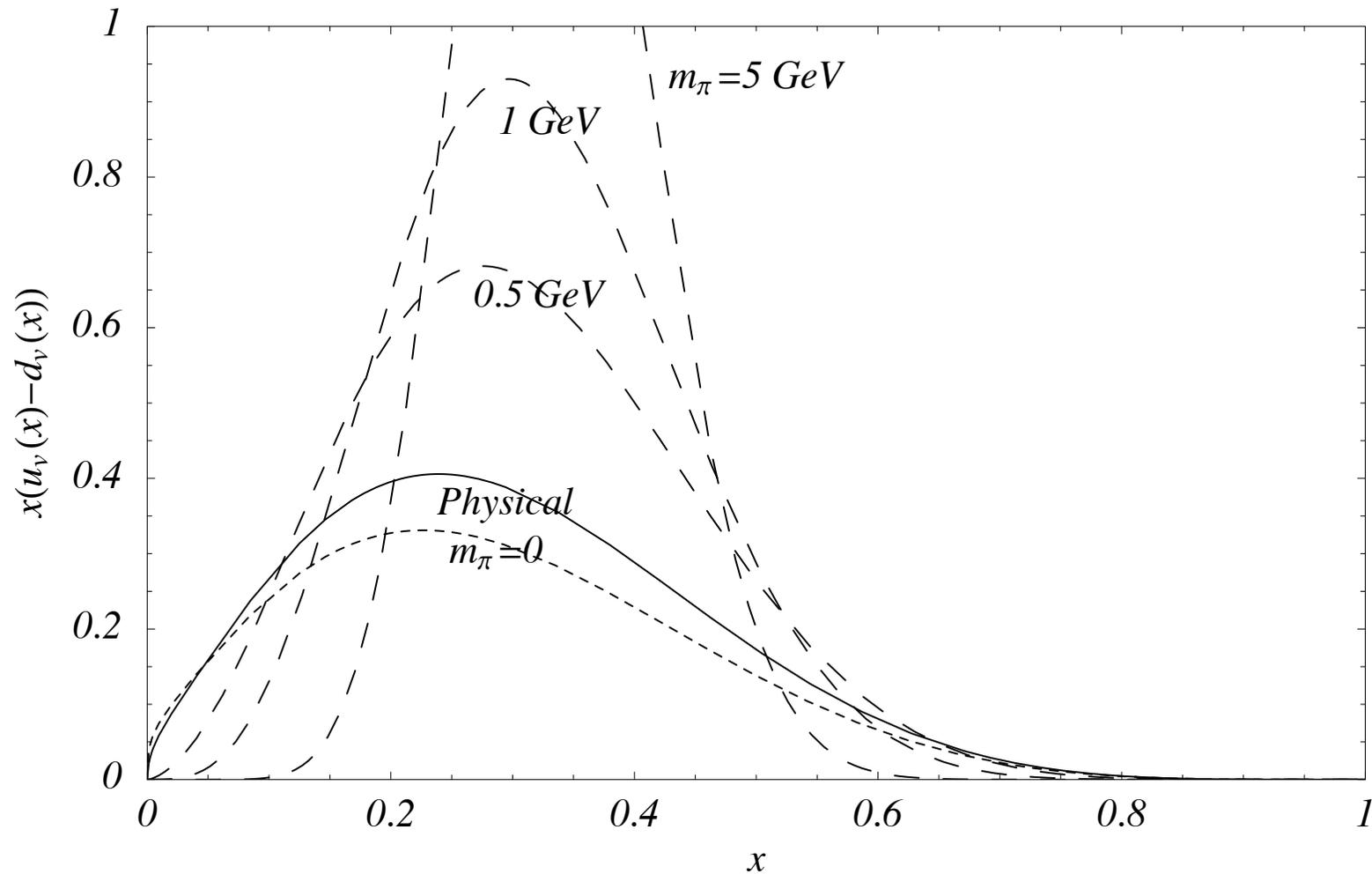
➔ *fit(vii)* : 2 unconstrained parameters ( $b, c$ )

# Reconstructed distribution



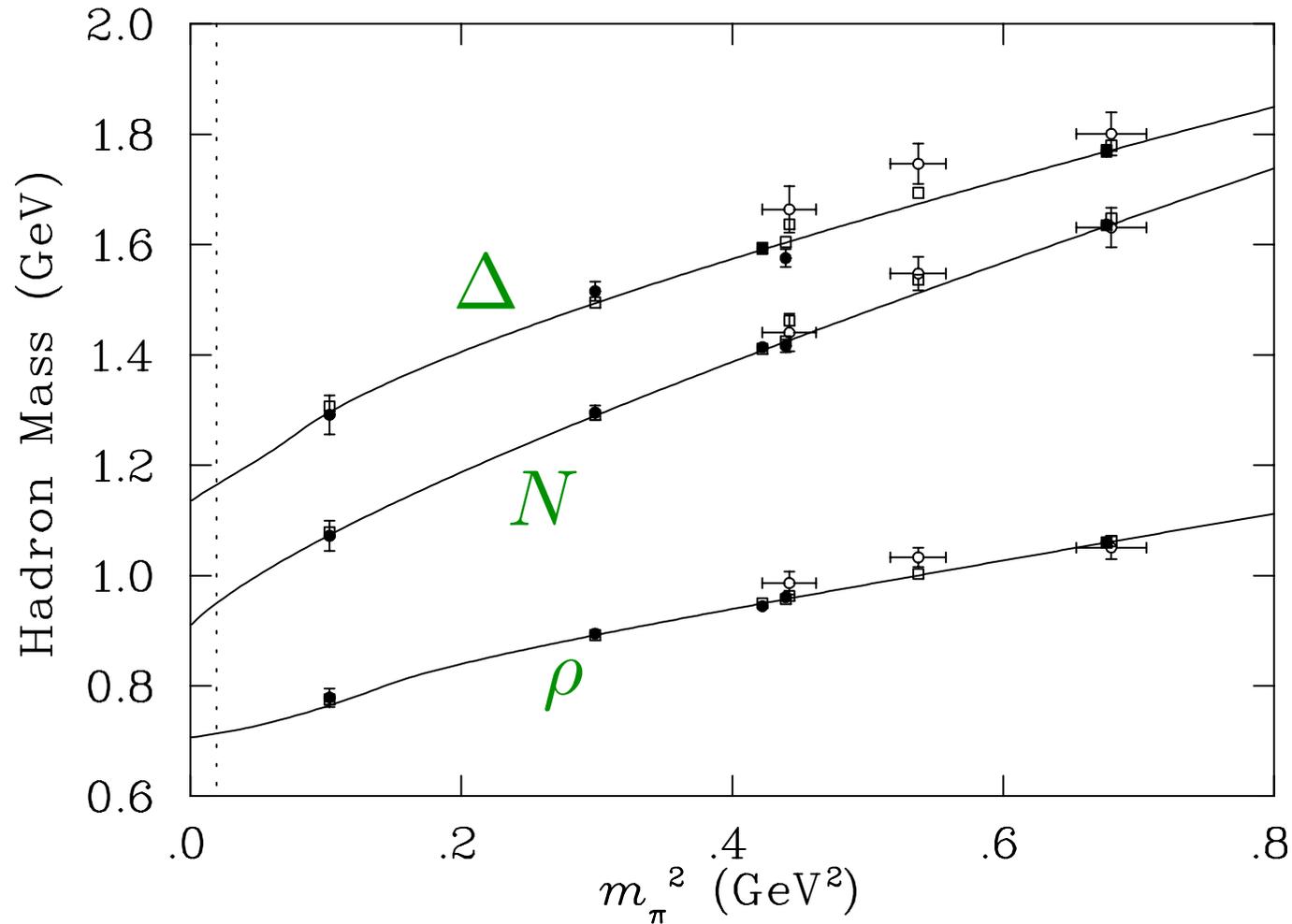
$$xq(x) = ax^b(1-x)^c(1 + \epsilon\sqrt{x} + \gamma x)$$

# Quark mass dependence of PDFs



Looks like “constituent quark” distribution  
in heavy quark limit !

# Connecting models with lattice QCD



*Young, Wright, Leinweber, Thomas et al.*

# Connecting models with lattice QCD

- At large quark masses, observables display “constituent quark” behavior

→  $M_{\text{baryon}} \sim 3m_q$

$M_{\text{meson}} \sim 2m_q$

→ suggests new approach to modeling QCD

- construct “constituent quark” model at large quark masses
- extrapolate to physical quark mass using known chiral behavior

# Summary - quark distributions

## ■ Sea quarks

- asymmetry  $\bar{d} > \bar{u}$  arises from nonperturbative QCD effects such as pion cloud of the nucleon
- similarly, strong indications that  $s \neq \bar{s}$

## ■ Valence quarks

- $d$  quark poorly known at large  $x$
- $n$  structure obscured by nuclear effects in deuteron (also nuclear shadowing at small  $x$ )

## ■ Progress in extracting quark distributions from lattice QCD

- need to extrapolate lattice data to physical regime

3.

Quark-hadron duality

# Quark-hadron duality

Complementarity between *quark* and *hadron* descriptions of observables

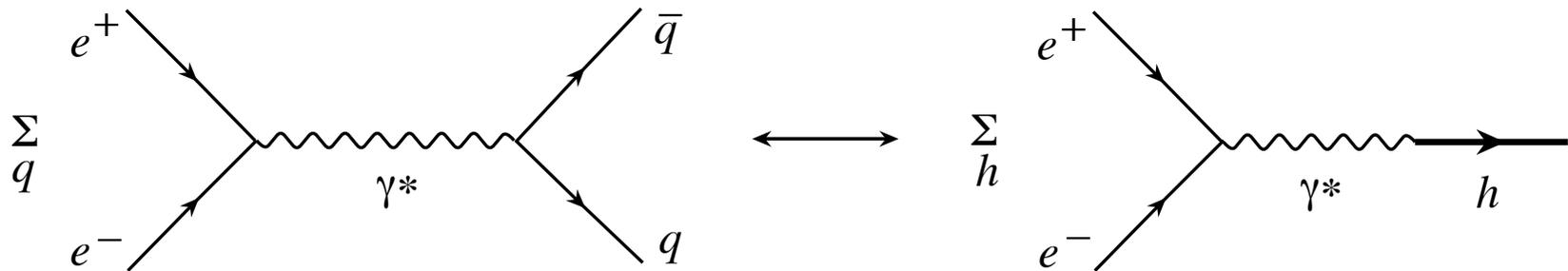
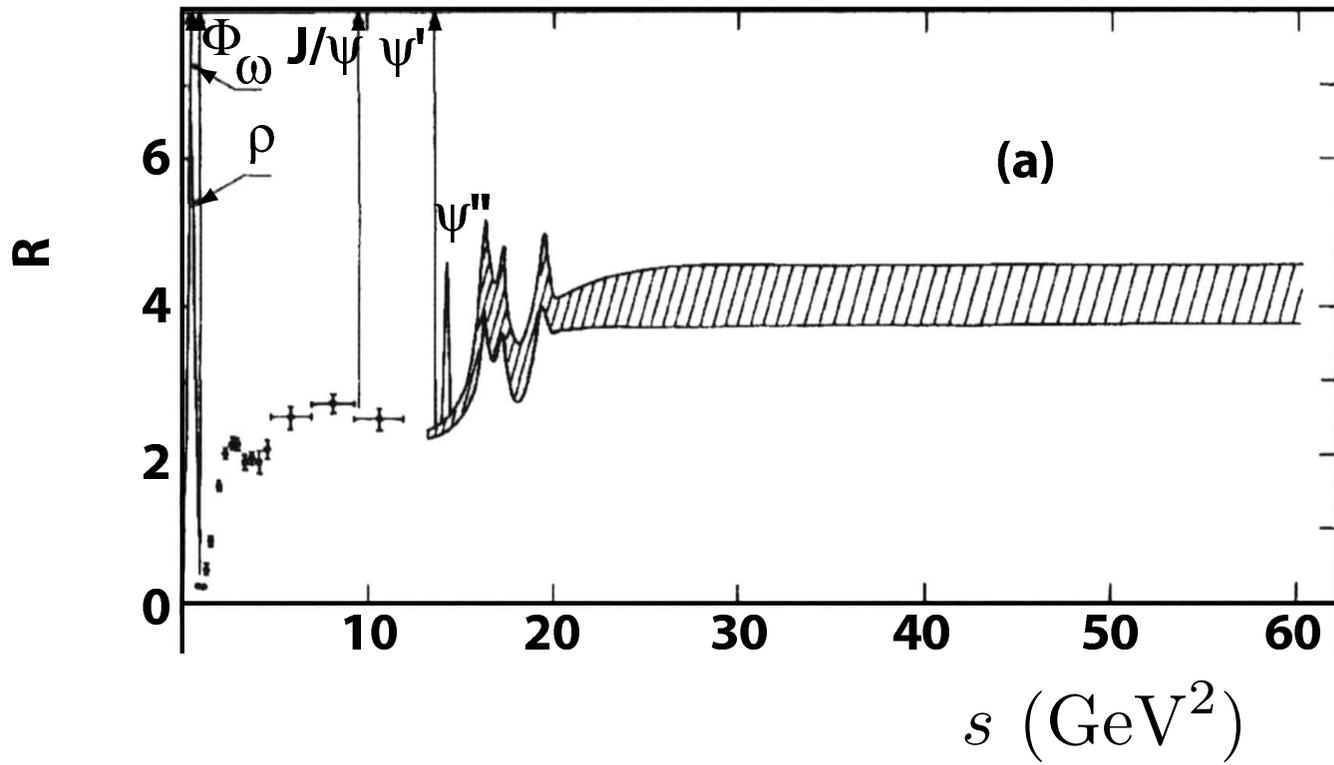
$$\sum_{\text{hadrons}} = \sum_{\text{quarks}}$$

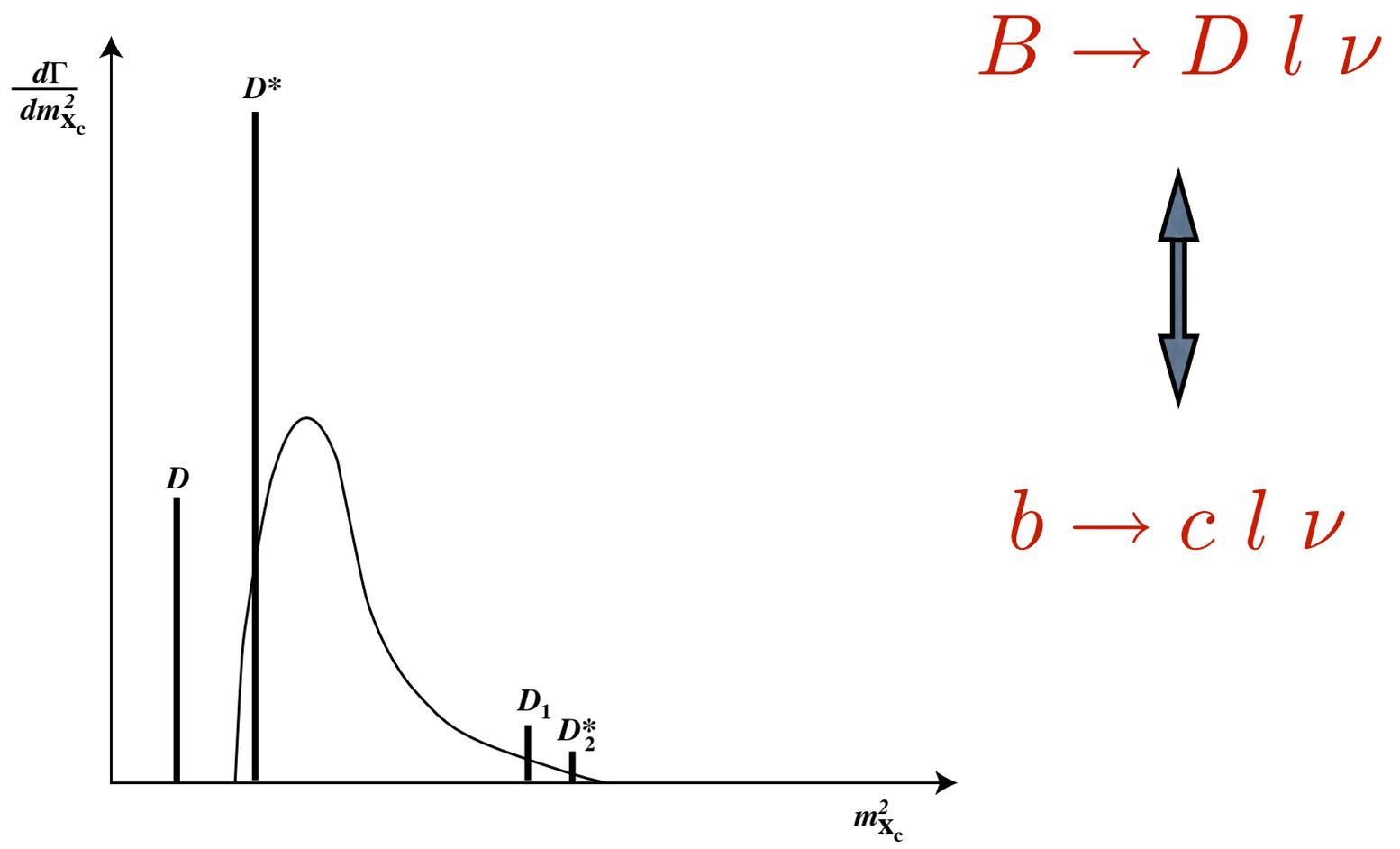
Can use either set of complete basis states to describe all physical phenomena

# Duality in Nature

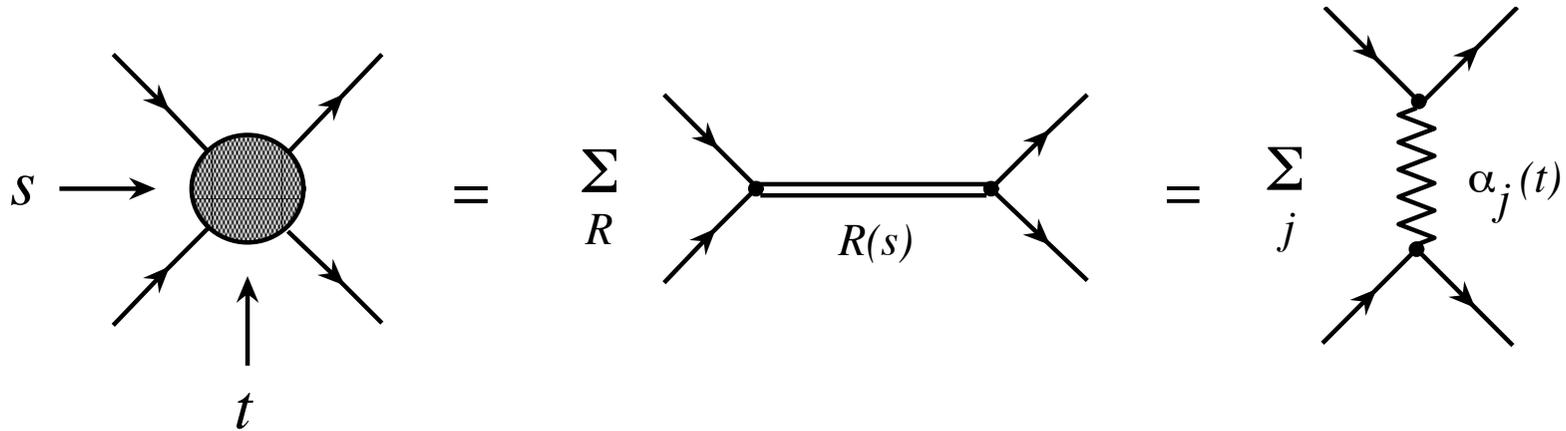
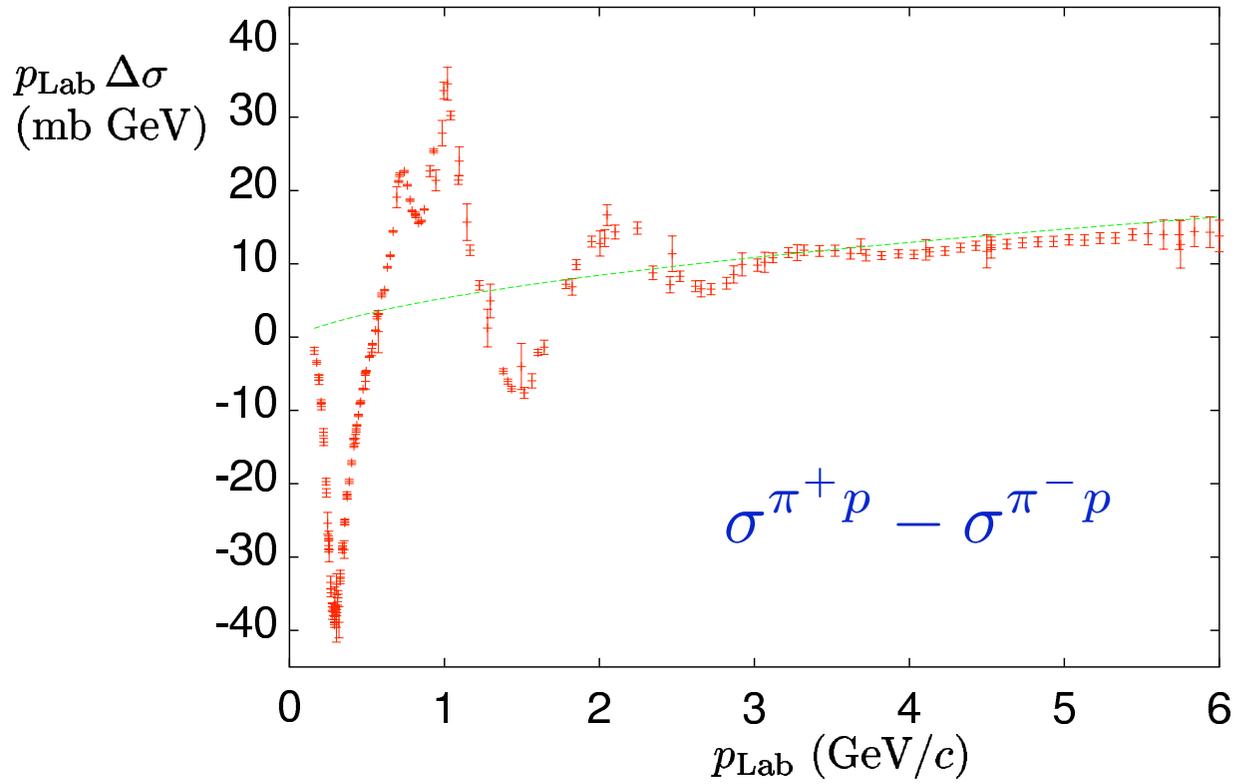
- Duality between quarks (*high energy*) and hadrons (*low energy*) manifests itself in many processes
- $e^+ e^-$  annihilation
  - *total hadronic cross section at high energy averages resonance cross section*
- Heavy meson decays
  - *duality between hadronic & quark descriptions of decays in  $m_Q \rightarrow \infty$  limit*
- Duality between  $s$ -channel resonances and  $t$ -channel (Regge) poles in hadronic reactions

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$





Voloshin, Shifman, *Sov. J. Nucl. Phys.* 41 (1985) 120  
 Isgur, *Phys. Lett. B* 448 (1999) 111



*“Finite energy sum rules”*

*Igi (1962), Dolen, Horn, Schmidt (1968)*

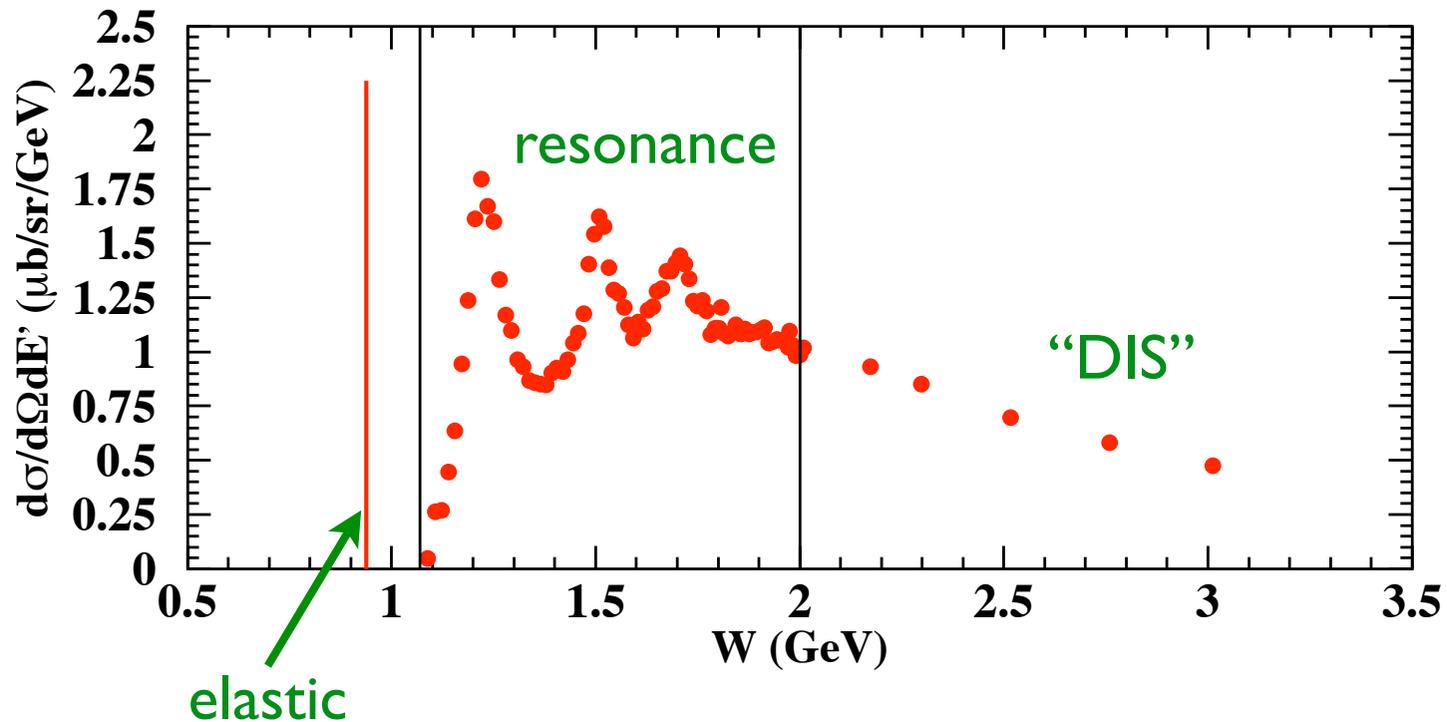
3.

Quark-hadron duality

- *Bloom-Gilman duality*

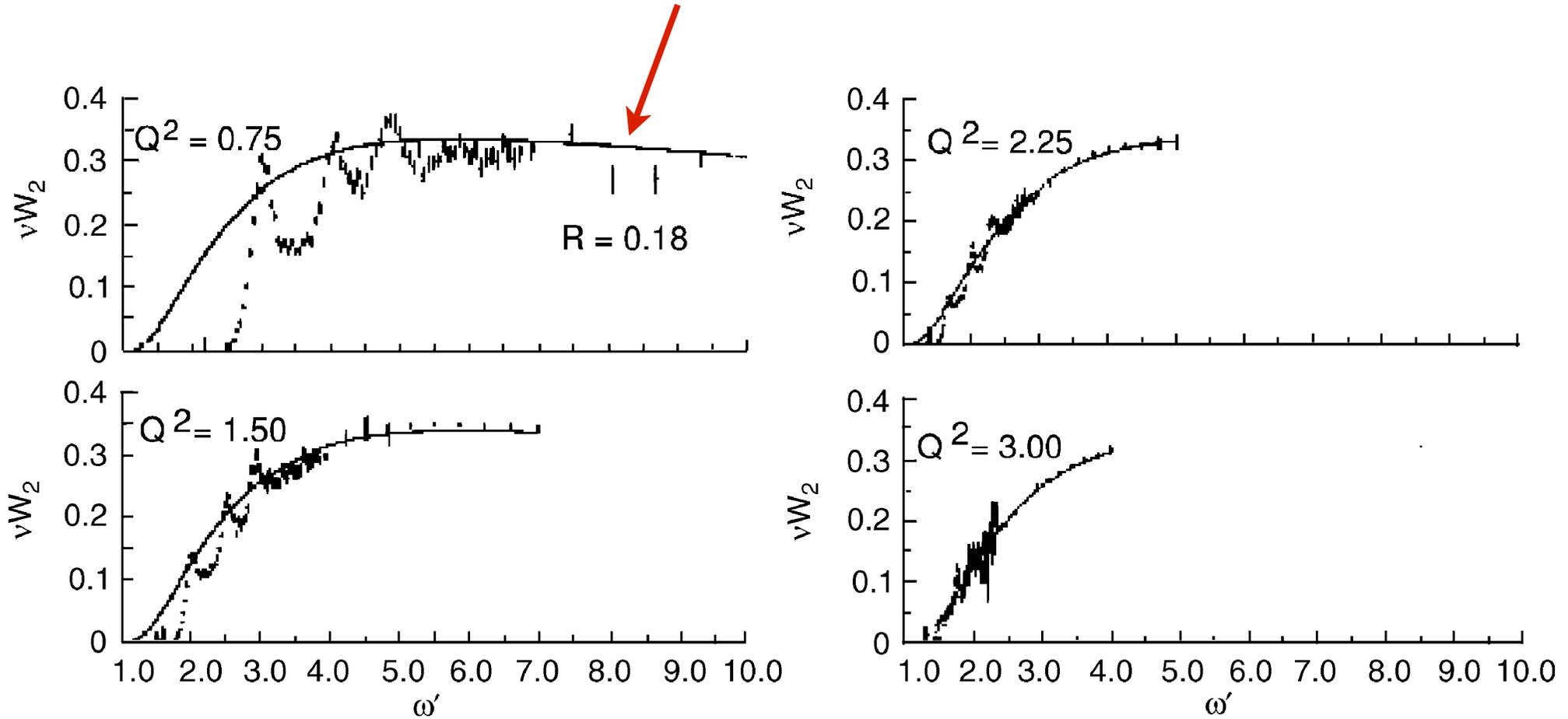
# Resonances

As  $W$  decreases, DIS region gives way to region dominated by nucleon resonances

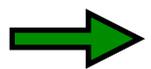


$$x = \frac{Q^2}{W^2 - M^2 + Q^2}$$

scaling curve



*Bloom, Gilman, Phys. Rev. Lett. 85 (1970) 1185*



resonance – scaling duality in  
proton  $\nu W_2 = F_2$  structure function

# Quark-hadron duality

Average over (strongly  $Q^2$  dependent) resonances  
 $\approx Q^2$  independent scaling function

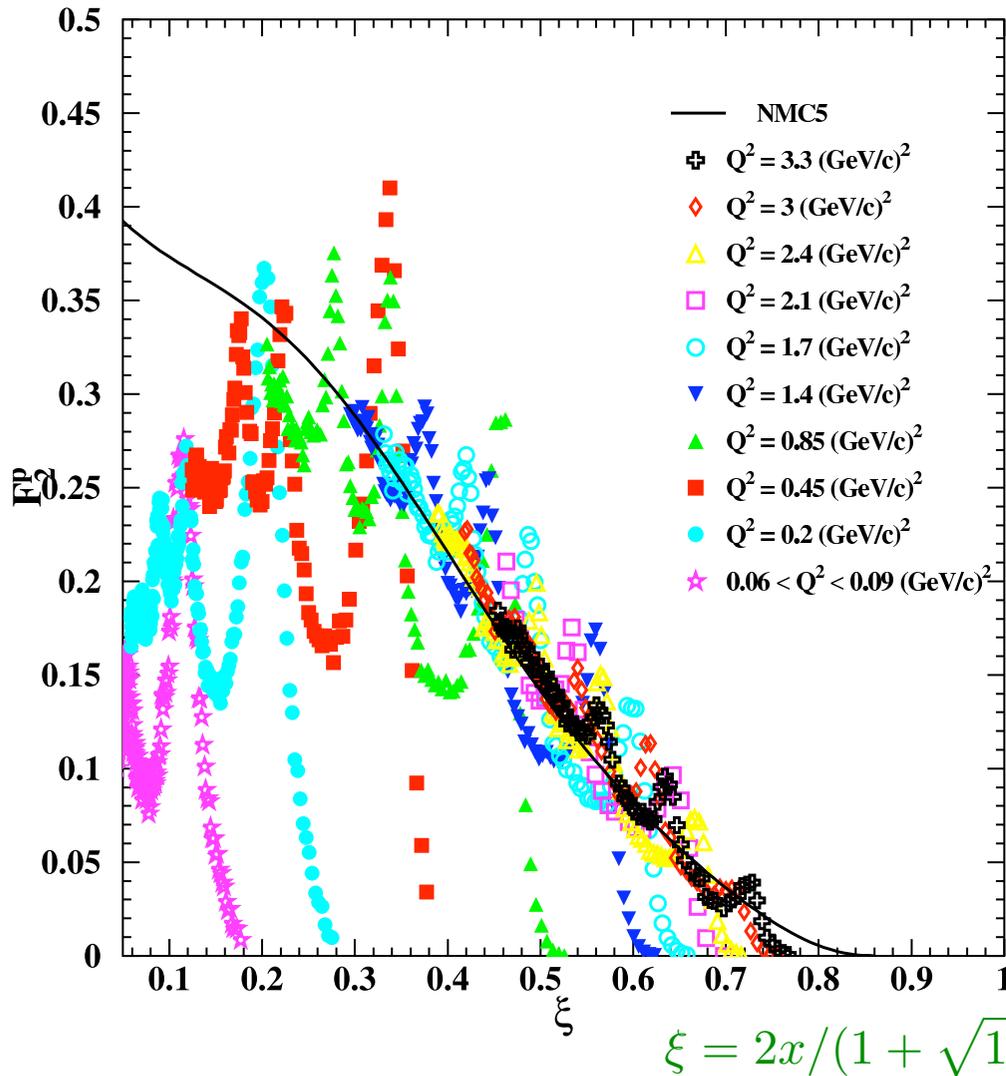
“Finite energy sum rule” for  $eN$  scattering

$$\frac{2M}{Q^2} \int_0^{\nu_m} d\nu F_2(\nu, Q^2) = \int_1^{\omega'} d\omega' F_2(\omega')$$

$$\omega' = 1/x + M^2/Q^2$$

*Bloom, Gilman, Phys. Rev. Lett. 85 (1970) 1185*

# Bloom-Gilman duality

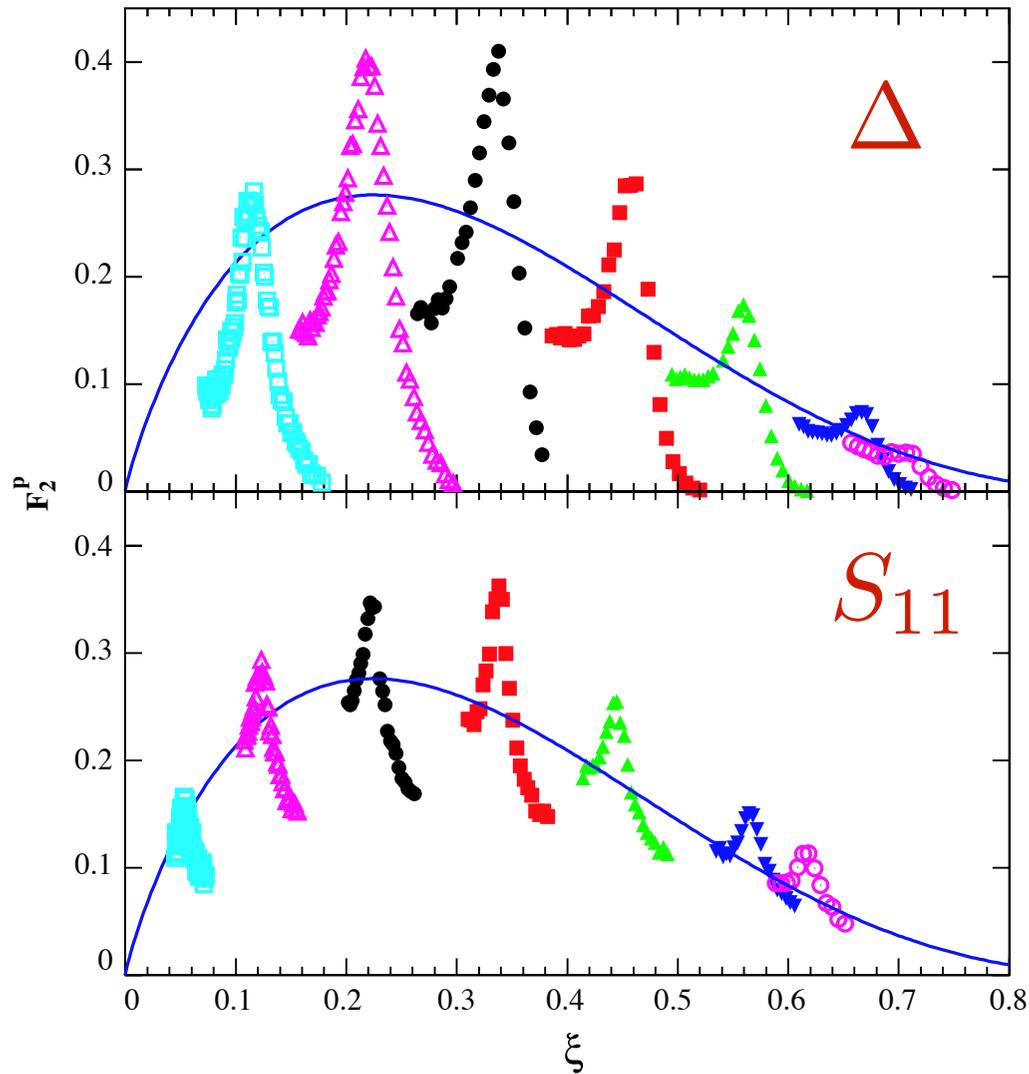


Average over  
(strongly  $Q^2$  dependent)  
resonances  
 $\approx$   $Q^2$  independent  
scaling function

Jefferson Lab (Hall C)

Niculescu et al., *Phys. Rev. Lett.* 85 (2000) 1182

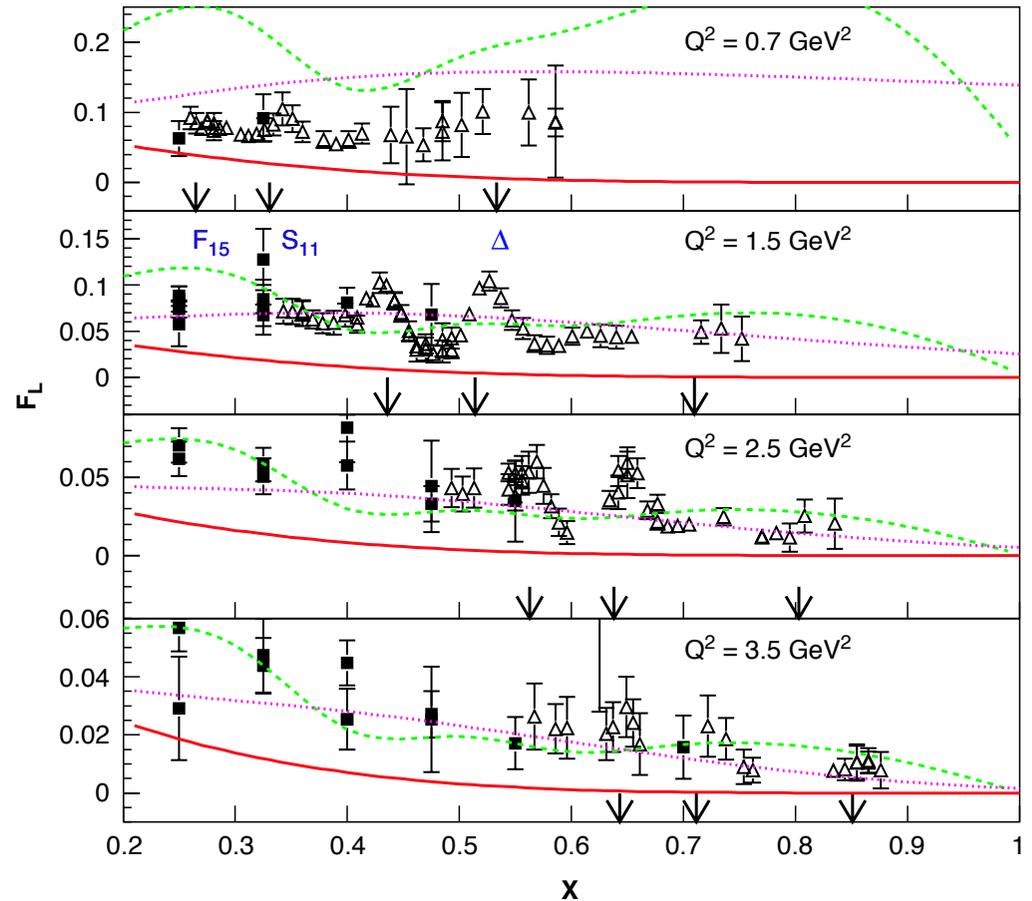
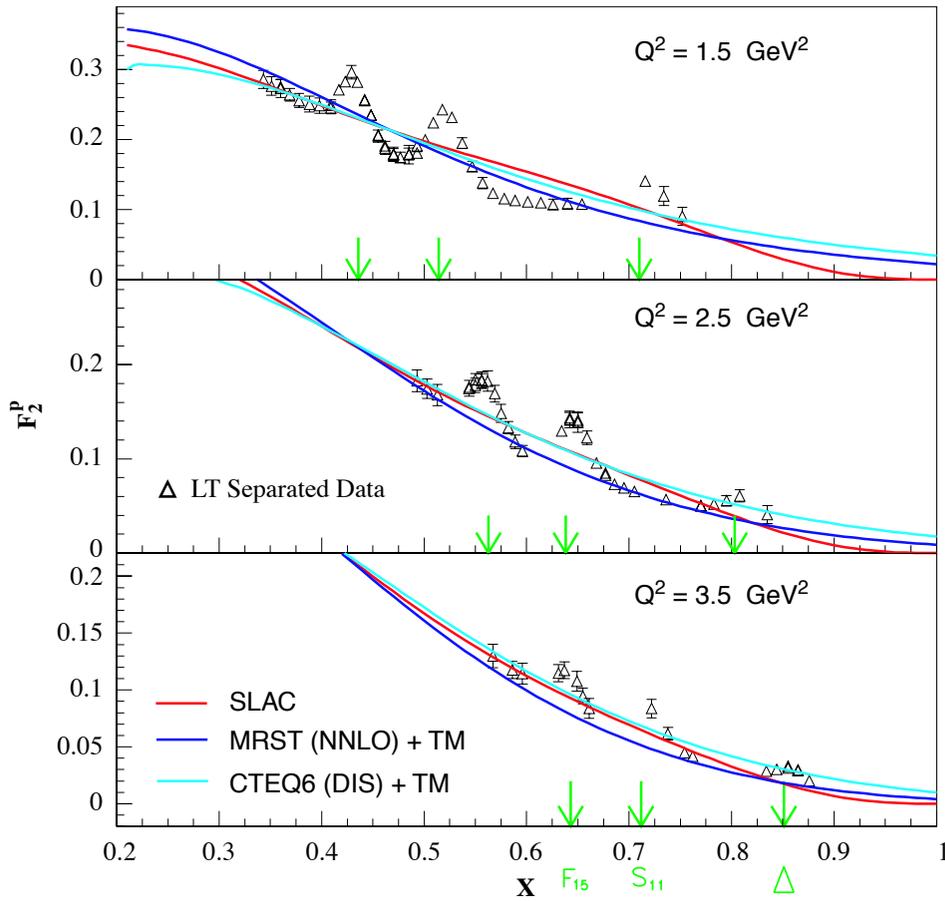
# Local Bloom-Gilman duality



$$\xi = \frac{2x}{1 + \sqrt{1 + 4M^2 x^2 / Q^2}}$$

Nachtmann scaling variable

# Local Bloom-Gilman duality



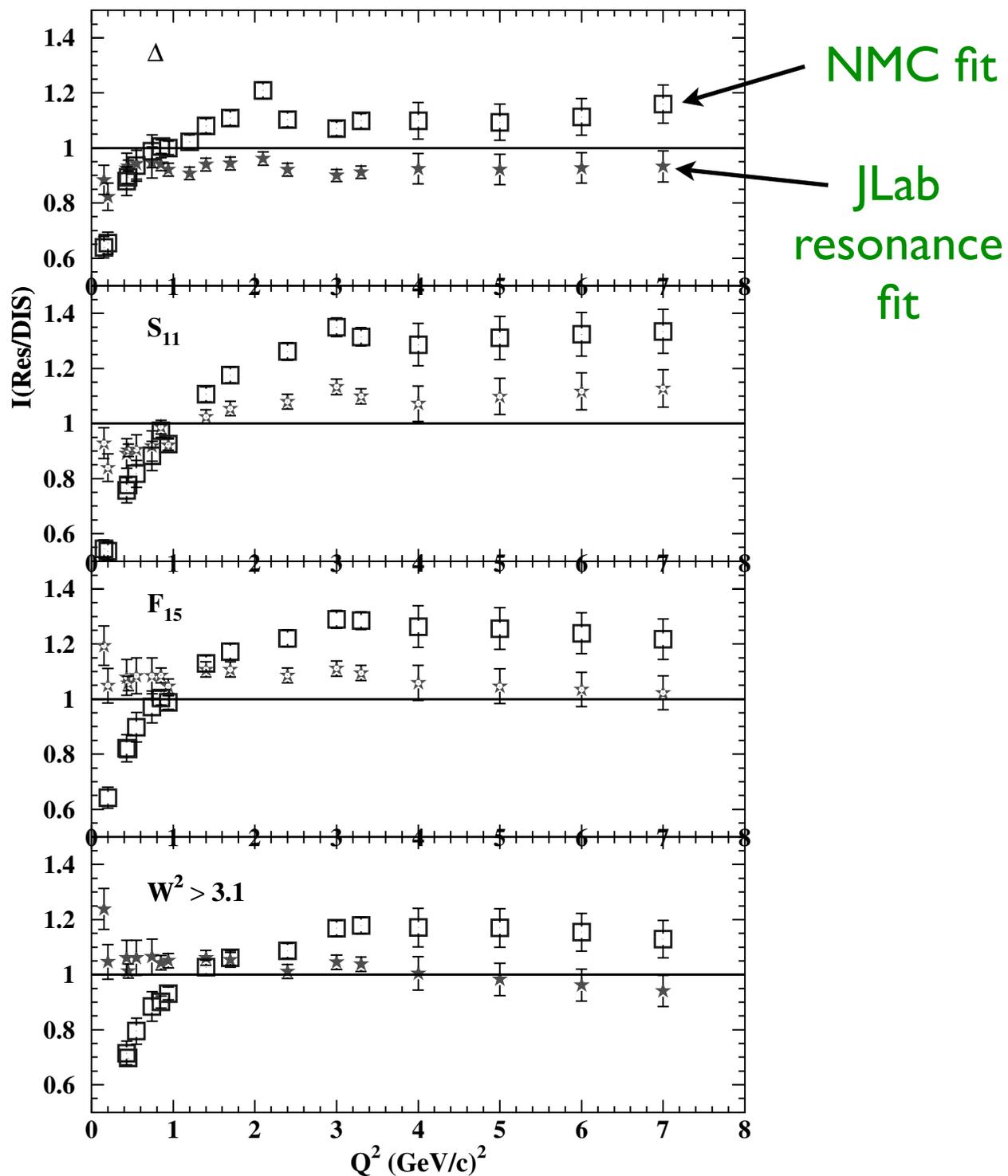
*E. Christy et al. (2005)*

duality in  $F_2$  and  $F_L$  structure functions  
(from longitudinal-transverse separation)

→ importance of target mass corrections

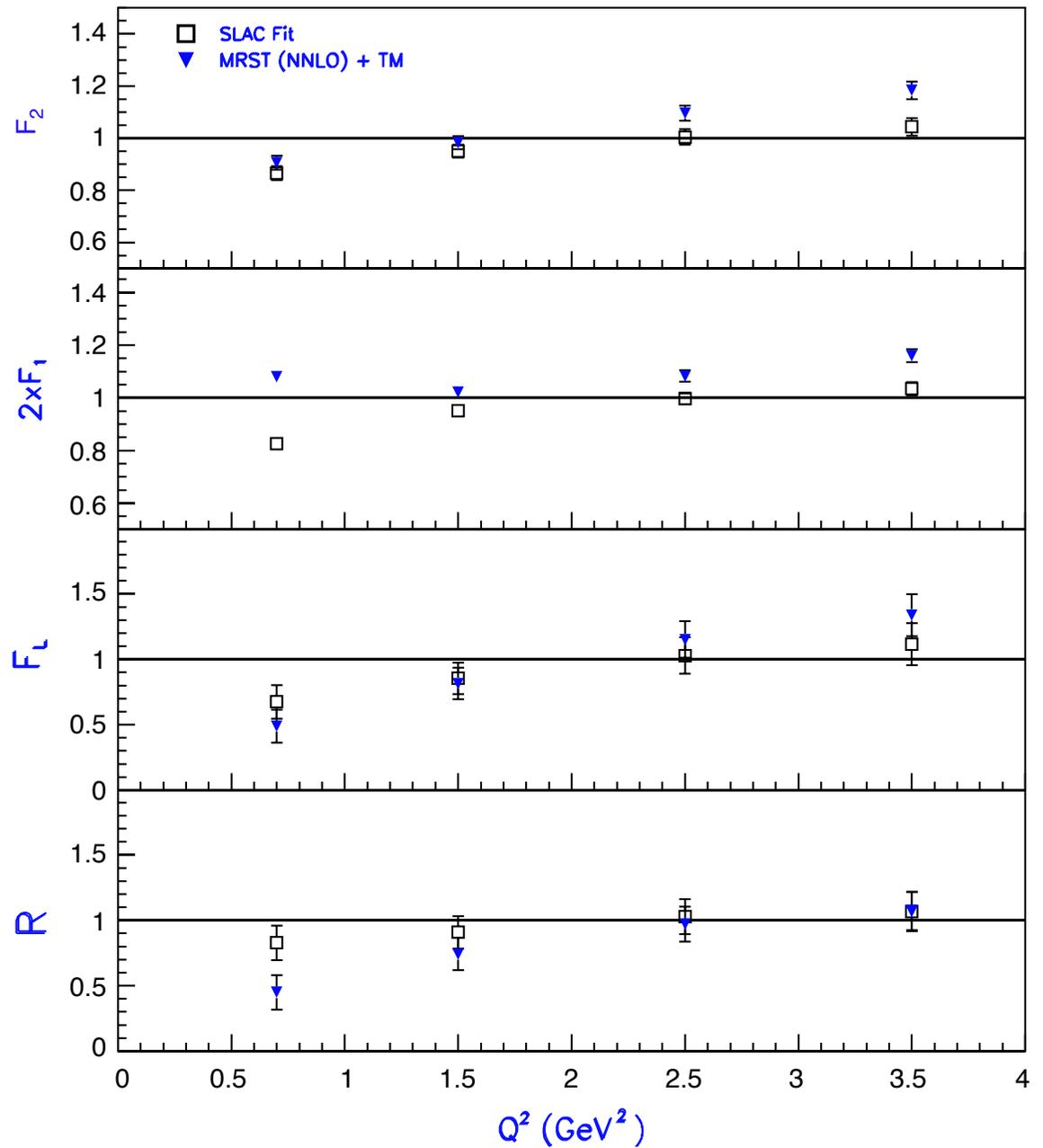
# Integrated strength

~10% agreement  
for  $Q^2 > 1 \text{ GeV}^2$



# Moments

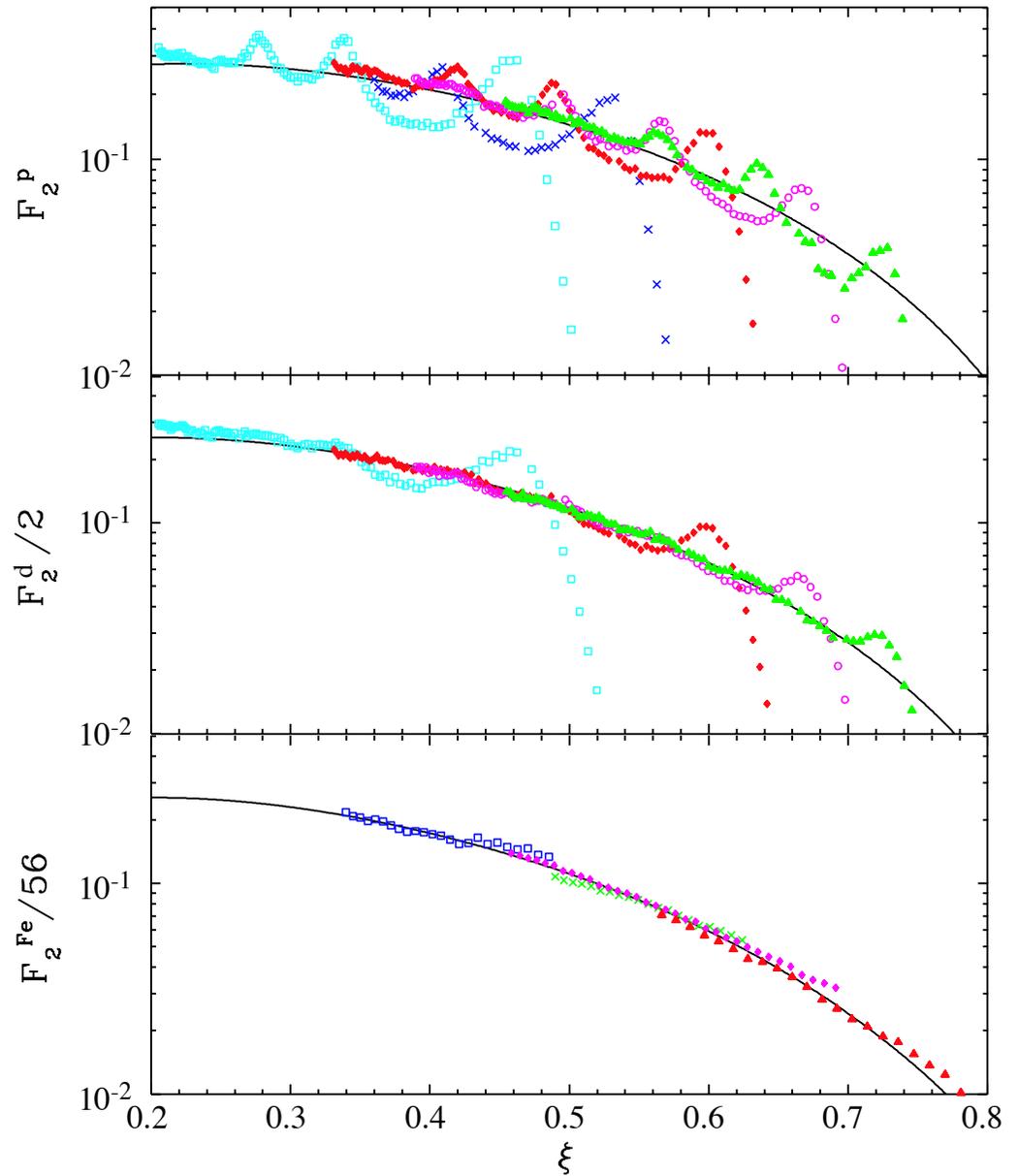
data from  
longitudinal-  
transverse  
separation !



Jefferson Lab (Hall C)

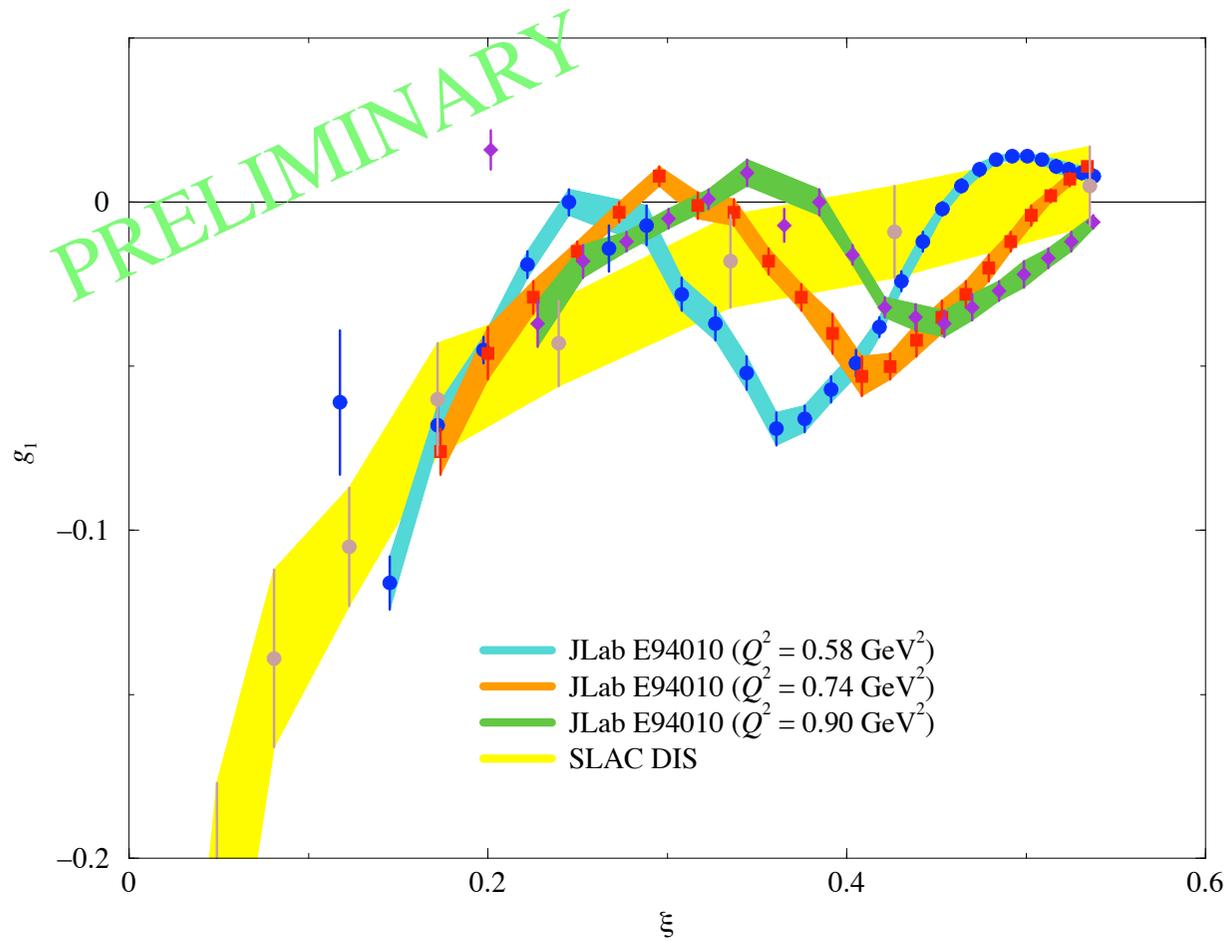
# Nuclear structure functions

for larger nuclei,  
Fermi motion  
does resonance  
averaging  
automatically !



Jefferson Lab (Hall C)

# Neutron ( ${}^3\text{He}$ ) $g_1$ structure function



*Liyanage et al. (JLab Hall A)*

3.

# Quark-hadron duality

- *duality in QCD*

# Duality and QCD

## Operator product expansion

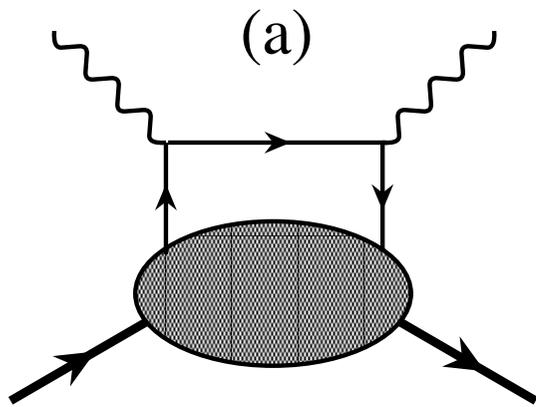
→ expand moments of structure functions  
in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx x^{n-2} F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

matrix elements of operators  
with specific “twist”  $\tau$

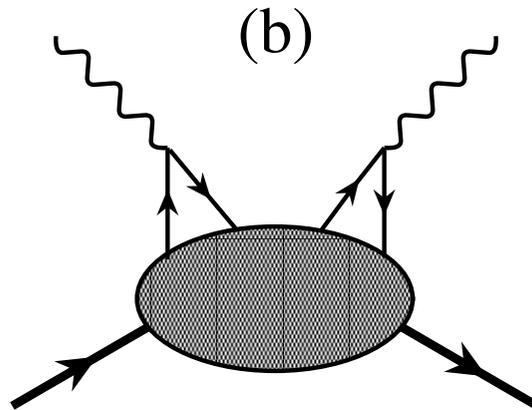
$\tau = \text{dimension} - \text{spin}$

# Higher twists



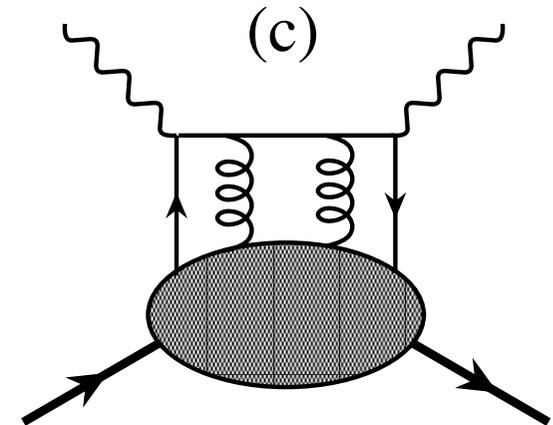
$$\tau = 2$$

single quark  
scattering



$$\tau > 2$$

*qq* and *qg*  
correlations



# Duality and QCD

## Operator product expansion

→ expand moments of structure functions in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx x^{n-2} F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

If moment  $\approx$  independent of  $Q^2$

→ higher twist terms  $A_n^{(\tau > 2)}$  small

# Duality and QCD

## Operator product expansion

→ expand moments of structure functions  
in powers of  $1/Q^2$

$$\begin{aligned} M_n(Q^2) &= \int_0^1 dx x^{n-2} F_2(x, Q^2) \\ &= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \dots \end{aligned}$$

Duality  $\iff$  suppression of higher twists

# Applications of duality

If higher twists are small (duality “works”)

- can use single-parton approximation to describe structure functions
- extract *leading twist* parton distributions

If duality is violated, and if violations are small

- can use duality violations to extract *higher twist matrix elements*
- learn about nonperturbative *qq* or *qg* correlations

Example:

Lowest moment of  $g_1$

$$\begin{aligned}\Gamma_1(Q^2) &= \int_0^1 dx g_1(x, Q^2) \\ &= \mu_2 + \frac{\mu_4}{Q^2} + \frac{\mu_6}{Q^4} + \dots\end{aligned}$$

Twist 2

$$\mu_2^{p(n)} = \left( \pm \frac{1}{12} g_A + \frac{1}{36} a_8 \right) C_{ns}(Q^2) + \frac{1}{9} \Delta\Sigma C_s(Q^2)$$

*triplet*

*octet*

*RGI singlet  
axial charge*

# Higher twist terms

$1/Q^2$  correction to  $g_1$  moment

$$\mu_4 = \frac{1}{9} M^2 (a_2 + 4d_2 + 4f_2)$$



target mass  
correction



quark-gluon  
correlations

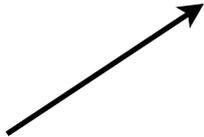
# Higher twist terms

$1/Q^2$  correction to  $g_1$  moment

$$\mu_4 = \frac{1}{9} M^2 (a_2 + 4d_2 + 4f_2)$$

$$d_2 \rightarrow \langle N | \bar{\psi} \tilde{G}^{\mu\{\nu} \gamma^{\alpha\}} \psi | N \rangle$$

twist 3



$$f_2 \rightarrow \langle N | \bar{\psi} \tilde{G}^{\mu\nu} \gamma_\nu \psi | N \rangle$$

twist 4



# Color polarizabilities

$1/Q^2$  correction to  $g_1$  moment

$$\mu_4 = \frac{1}{9} M^2 (a_2 + 4d_2 + 4f_2)$$

color *electric* polarizability

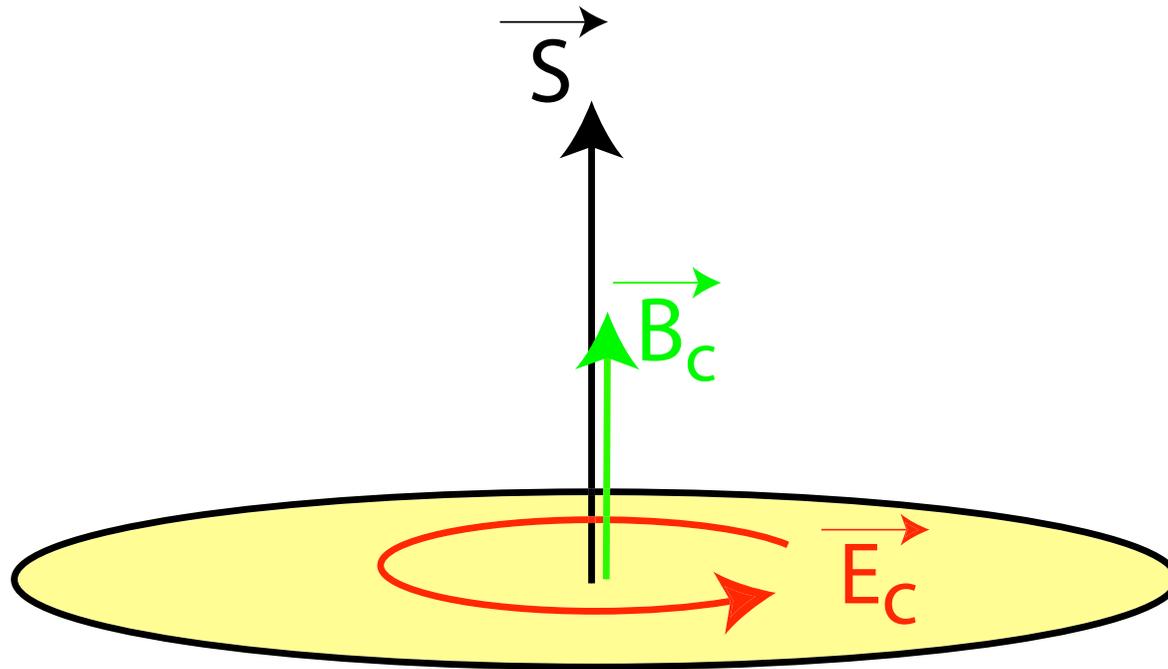
$$\chi_E = \frac{1}{3} (4d_2 + 2f_2) \sim \langle \vec{j}_a \times \vec{E}_a \rangle_z$$

color *magnetic* polarizability

$$\chi_B = \frac{1}{3} (4d_2 - f_2) \sim \langle j_a^0 \vec{B}_a \rangle_z$$

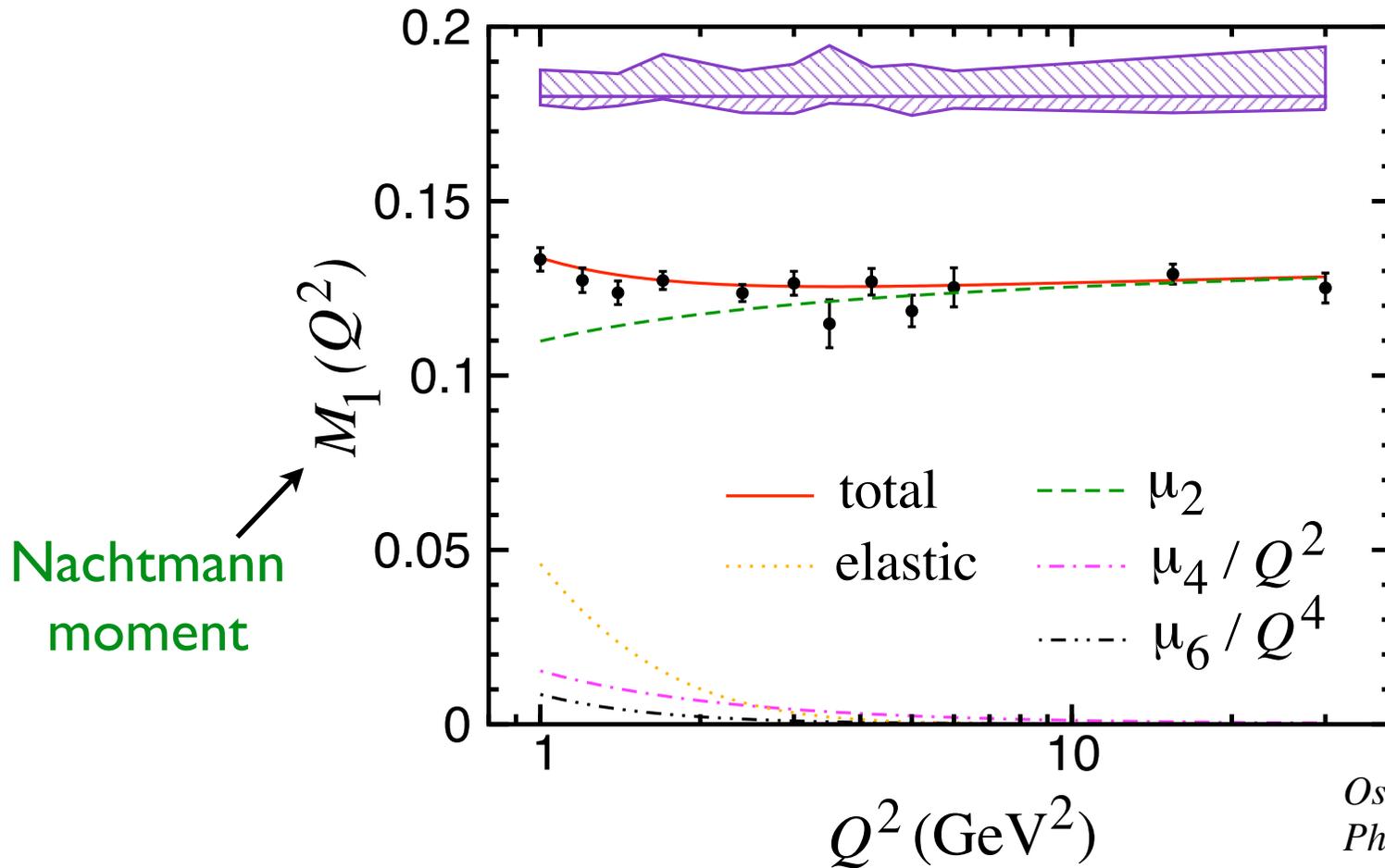

$$j_a^\mu = g_s \psi \gamma^\mu \mathbf{t}_a \psi$$

# Color polarizabilities



*response of collective color electric and magnetic fields  
to spin of nucleon*

# Proton $g_1$ moment



$$M_1 = \int_0^1 dx \frac{\xi^2}{x^2} \left[ g_1 \left( \frac{x}{\xi} - \frac{M^2 x \xi}{9Q^2} \right) - g_2 \frac{4M^2 x^2}{3Q^2} \right] = \mu_2 + \frac{4M^2}{9Q^2} f_2 + \dots$$

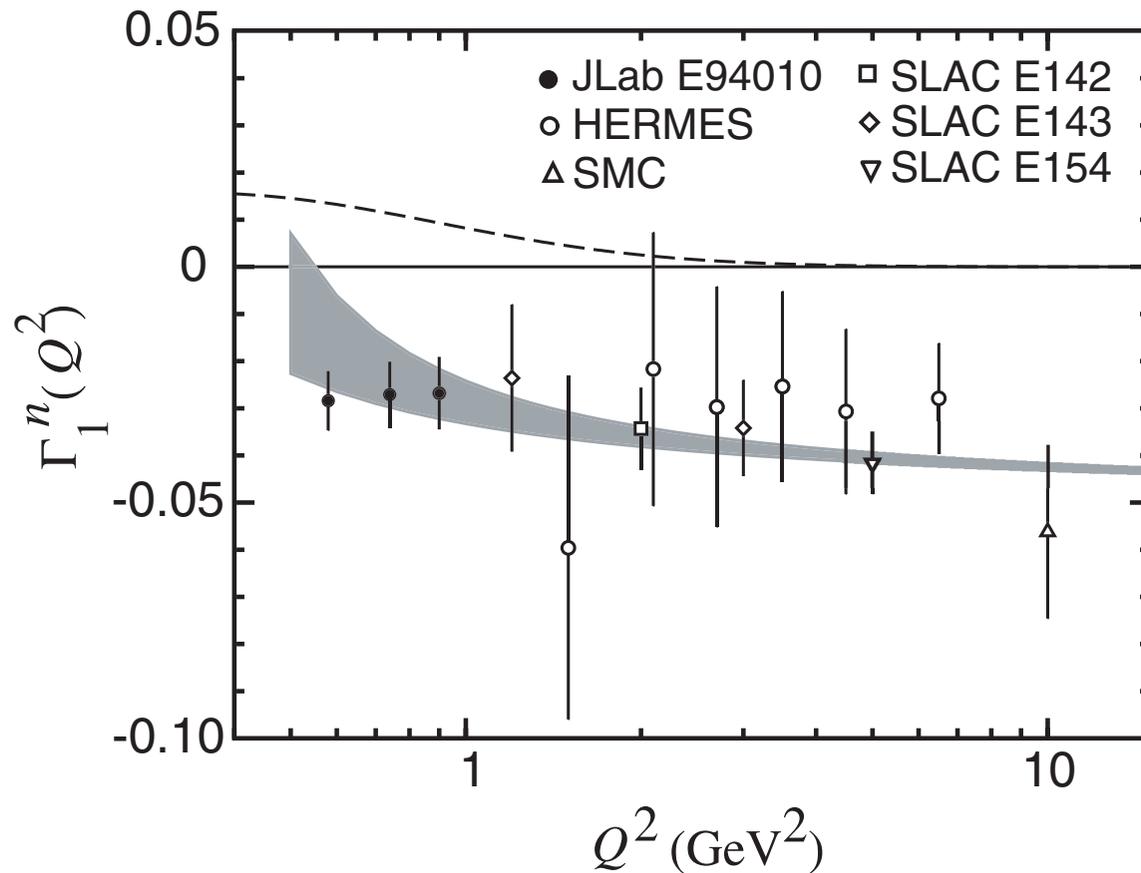
$$\chi_E^p = 0.026 \pm 0.015 \text{ (stat)} \pm 0.021 \text{ (sys)}$$

$$\chi_B^p = -0.013 \pm 0.007 \text{ (stat)} \pm 0.011 \text{ (sys)}$$

Compare with theoretical calculations:

	$\chi_E^p$	$\chi_B^p$
QCD sum rules	-0.04	0.01
MIT bag	0.05	0.02
Instanton	-0.03	0.02
Lattice	?	?

# Neutron $g_1$ moment



*Meziani, WM et al,  
Phys. Lett. B613 (2005) 148*

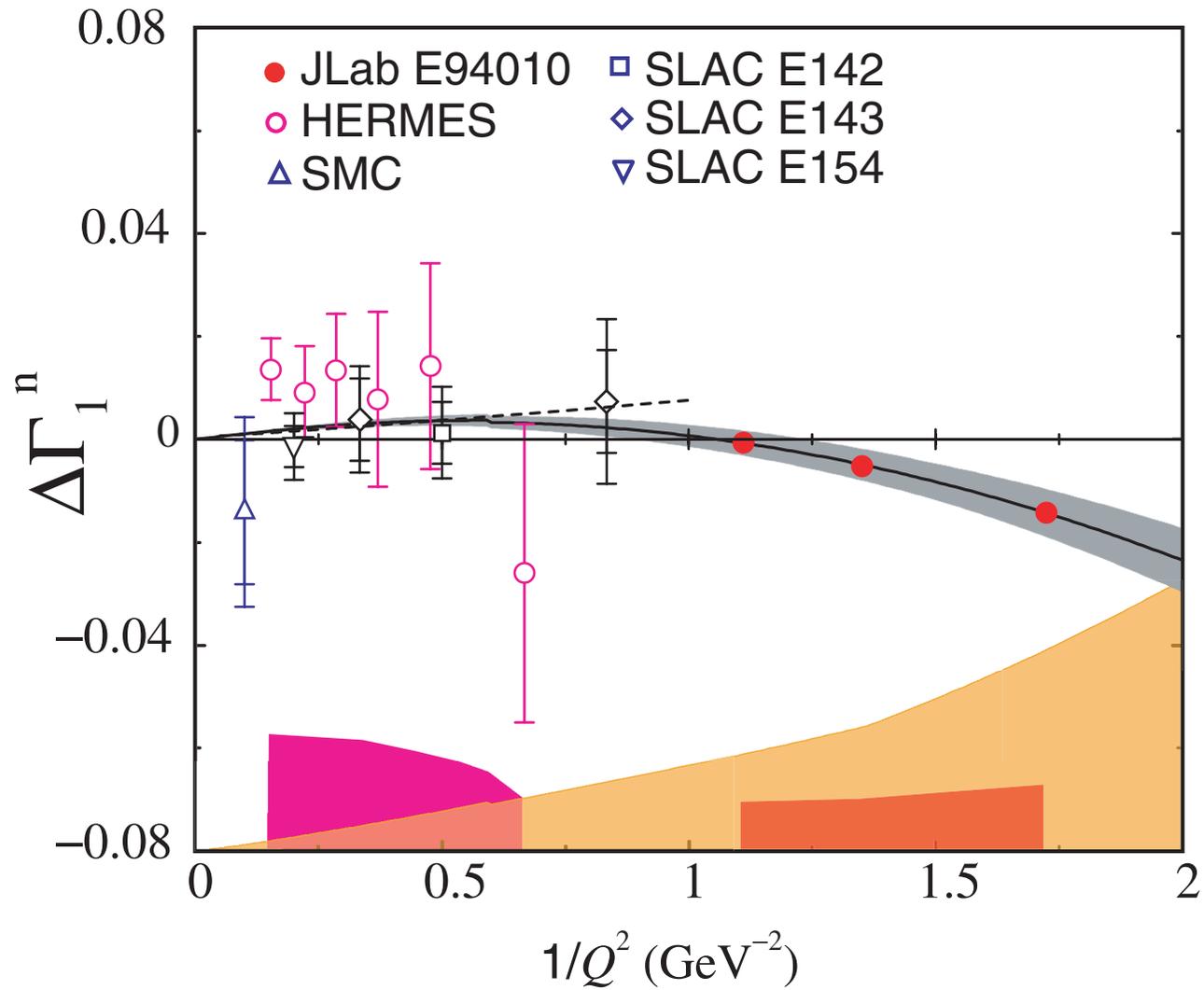
$\Gamma_1^n$  extracted from  $\Gamma_1^{3\text{He}}$  data  
correcting for nuclear effects

$$\chi_E^n = +0.033 \pm 0.029$$

$$\chi_B^n = -0.001 \pm 0.016$$

Compare with theoretical calculations:

	$\chi_E^n$	$\chi_B^n$
QCD sum rules	-0.04	-0.02
MIT bag	0.00	0.00
Instanton	0.03	-0.01
Lattice	?	?



Higher twist contribution to neutron moment

Total higher twist  $\sim zero$  at  $Q^2 \sim 1 - 2 \text{ GeV}^2$

- nonperturbative interactions between quarks and gluons not dominant at these scales
- suggests *strong cancellations* between resonances, resulting in dominance of *leading twist*
- OPE does not tell us *why* higher twists are small !

Can we understand this  
behavior dynamically?

How do cancellations between  
*coherent* resonances produce  
*incoherent* scaling function?

3.

# Quark-hadron duality

*- local duality*

# Coherence vs. incoherence

## Exclusive form factors

→ *coherent* scattering from quarks

$$d\sigma \sim \left( \sum_i e_i \right)^2$$

## Inclusive structure functions

→ *incoherent* scattering from quarks

$$d\sigma \sim \sum_i e_i^2$$

→ How can the square of a sum become the sum of squares?

# Pedagogical model

Two quarks bound in a harmonic oscillator potential

→ exactly solvable spectrum

Structure function given by sum of squares of transition form factors

$$F(\nu, \mathbf{q}^2) \sim \sum_n |G_{0,n}(\mathbf{q}^2)|^2 \delta(E_n - E_0 - \nu)$$

Charge operator  $\sum_i e_i \exp(i\mathbf{q} \cdot \mathbf{r}_i)$  excites

*even* partial waves with strength  $\propto (e_1 + e_2)^2$

*odd* partial waves with strength  $\propto (e_1 - e_2)^2$

# Pedagogical model

## Resulting structure function

$$F(\nu, \mathbf{q}^2) \sim \sum_n \{ (e_1 + e_2)^2 G_{0,2n}^2 + (e_1 - e_2)^2 G_{0,2n+1}^2 \}$$

If states degenerate, cross terms ( $\sim e_1 e_2$ )  
cancel when averaged over nearby even and odd  
parity states

Minimum condition for duality:

➔ *at least one complete set of even and odd  
parity resonances must be summed over*

# Quark model

Even and odd parity states generalize to  $56^+$  ( $L=0$ ) and  $70^-$  ( $L=1$ ) multiplets of spin-flavor SU(6)

→ scaling occurs if contributions from  $56^+$  and  $70^-$  have equal overall strengths

Simplified case: magnetic coupling of  $\gamma^*$  to quark

→ expect dominance over electric at large  $Q^2$

# Quark model

Even and odd parity states generalize to  $56^+$  ( $L=0$ ) and  $70^-$  ( $L=1$ ) multiplets of spin-flavor SU(6)

→ scaling occurs if contributions from  $56^+$  and  $70^-$  have equal overall strengths

representation	${}^2\mathbf{8}[56^+]$	${}^4\mathbf{10}[56^+]$	${}^2\mathbf{8}[70^-]$	${}^4\mathbf{8}[70^-]$	${}^2\mathbf{10}[70^-]$	Total
$F_1^p$	$9\rho^2$	$8\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 + 9\lambda^2$
$F_1^n$	$(3\rho + \lambda)^2/4$	$8\lambda^2$	$(3\rho - \lambda)^2/4$	$4\lambda^2$	$\lambda^2$	$(9\rho^2 + 27\lambda^2)/2$
$g_1^p$	$9\rho^2$	$-4\lambda^2$	$9\rho^2$	0	$\lambda^2$	$18\rho^2 - 3\lambda^2$
$g_1^n$	$(3\rho + \lambda)^2/4$	$-4\lambda^2$	$(3\rho - \lambda)^2/4$	$-2\lambda^2$	$\lambda^2$	$(9\rho^2 - 9\lambda^2)/2$

$\lambda$  ( $\rho$ ) = (anti) symmetric component of ground state wfn.

# Quark model

SU(6) limit  $\longrightarrow \lambda = \rho$

$SU(6) :$	$[56, 0^+]^2 8$	$[56, 0^+]^4 10$	$[70, 1^-]^2 8$	$[70, 1^-]^4 8$	$[70, 1^-]^2 10$	<i>total</i>
$F_1^p$	9	8	9	0	1	27
$F_1^n$	4	8	1	4	1	18
$g_1^p$	9	-4	9	0	1	15
$g_1^n$	4	-4	1	-2	1	0

Summing over all resonances in  $56^+$  and  $70^-$  multiplets

$$\longrightarrow R^{np} = \frac{F_1^n}{F_1^p} = \frac{2}{3} \quad A_1^p = \frac{g_1^p}{F_1^p} = \frac{5}{9} \quad A_1^n = \frac{g_1^n}{F_1^n} = 0$$

$\longrightarrow$  as in quark-parton model !

# Quark model

SU(6) limit  $\longrightarrow \lambda = \rho$

$SU(6) :$	$[56, 0^+]^2 8$	$[56, 0^+]^4 10$	$[70, 1^-]^2 8$	$[70, 1^-]^4 8$	$[70, 1^-]^2 10$	<i>total</i>
$F_1^p$	9	8	9	0	1	27
$F_1^n$	4	8	1	4	1	18
$g_1^p$	9	-4	9	0	1	15
$g_1^n$	4	-4	1	-2	1	0

$\longrightarrow$  expect duality to appear earlier for  $F_1^p$  than  $F_1^n$

$\longrightarrow$  earlier onset for  $g_1^n$  than  $g_1^p$

$\longrightarrow$  cancellations *within* multiplets for  $g_1^n$

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

gives  $\Delta u/u > 1$

→ *inconsistent with duality*

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

${}^4\mathbf{10} [56^+]$  and  ${}^4\mathbf{8} [70^-]$   
suppressed

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

↑  
helicity 3/2  
suppression

# $N \rightarrow N^*$ transitions for helicity-1/2 dominance

SU(6) representation	${}^2\mathbf{8}[\mathbf{56}^+]$	${}^4\mathbf{10}[\mathbf{56}^+]$	${}^2\mathbf{8}[\mathbf{70}^-]$	${}^4\mathbf{8}[\mathbf{70}^-]$	${}^2\mathbf{10}[\mathbf{70}^-]$	Total
$F_1^p = g_1^p$	9	2	9	0	1	21
$F_1^n = g_1^n$	4	2	1	1	1	9

polarization asymmetries  $A_1^N \rightarrow 1$

→ cf. pQCD “counting rules”

→ hard gluon exchange between quarks

neutron to proton ratio  $F_2^n / F_2^p \rightarrow 3/7$

→ cf. “helicity retention” model

# Quark model

SU(6) may be  $\approx$  valid at  $x \sim 1/3$

But significant deviations at large  $x$

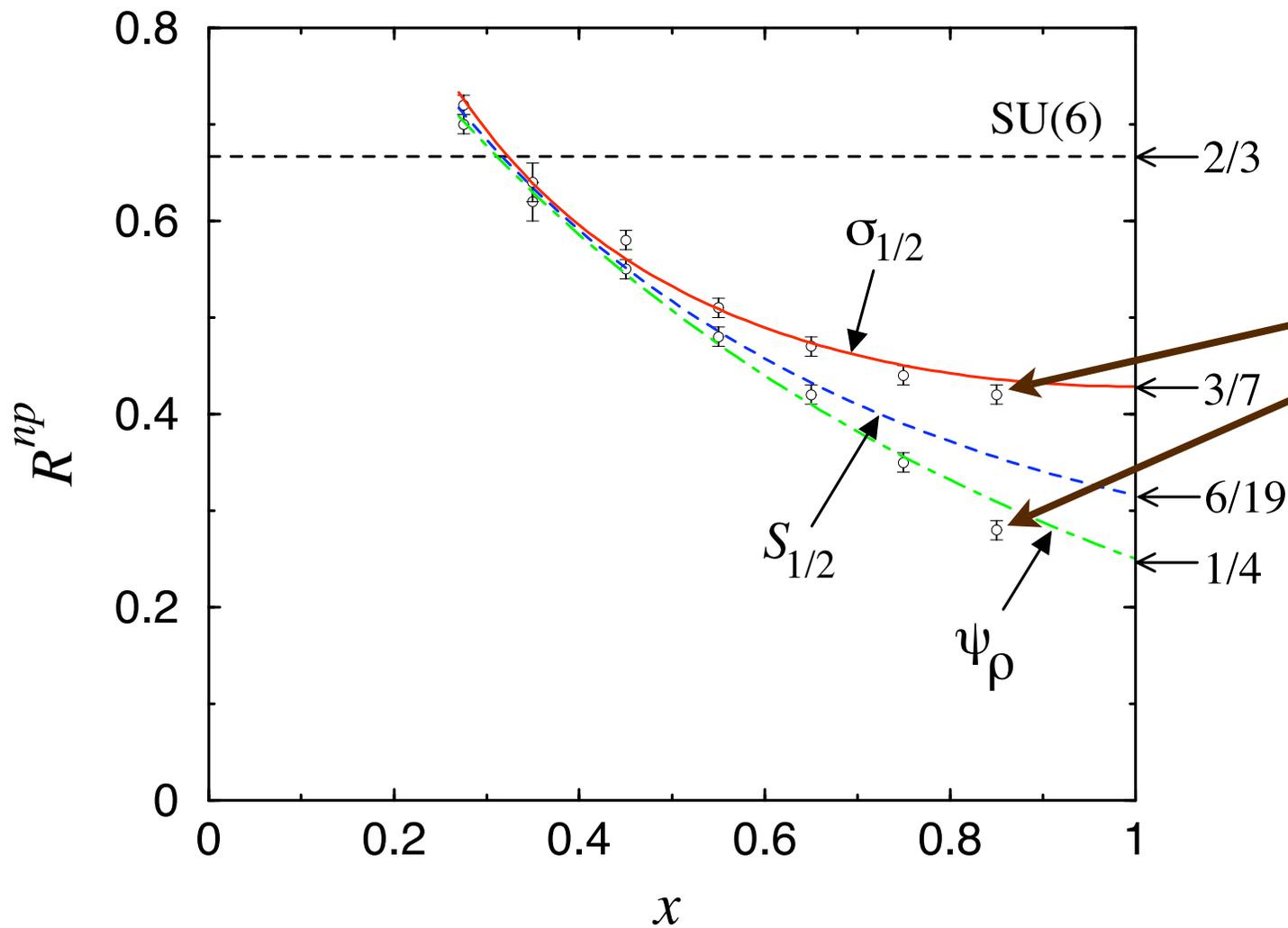
→ which combinations of resonances reproduce behavior of structure functions at large  $x$ ?

Model	SU(6)	No ${}^4\mathbf{10}$	No ${}^2\mathbf{10}, {}^4\mathbf{10}$	No $S_{3/2}$	No $\sigma_{3/2}$	No $\psi_\lambda$
$R^{np}$	2/3	10/19	1/2	6/19	3/7	1/4
$A_1^p$	5/9	1	1	1	1	1
$A_1^n$	0	2/5	1/3	1	1	1

*e.g.* through  $\vec{S}_i \cdot \vec{S}_j$   
interaction  
between quarks

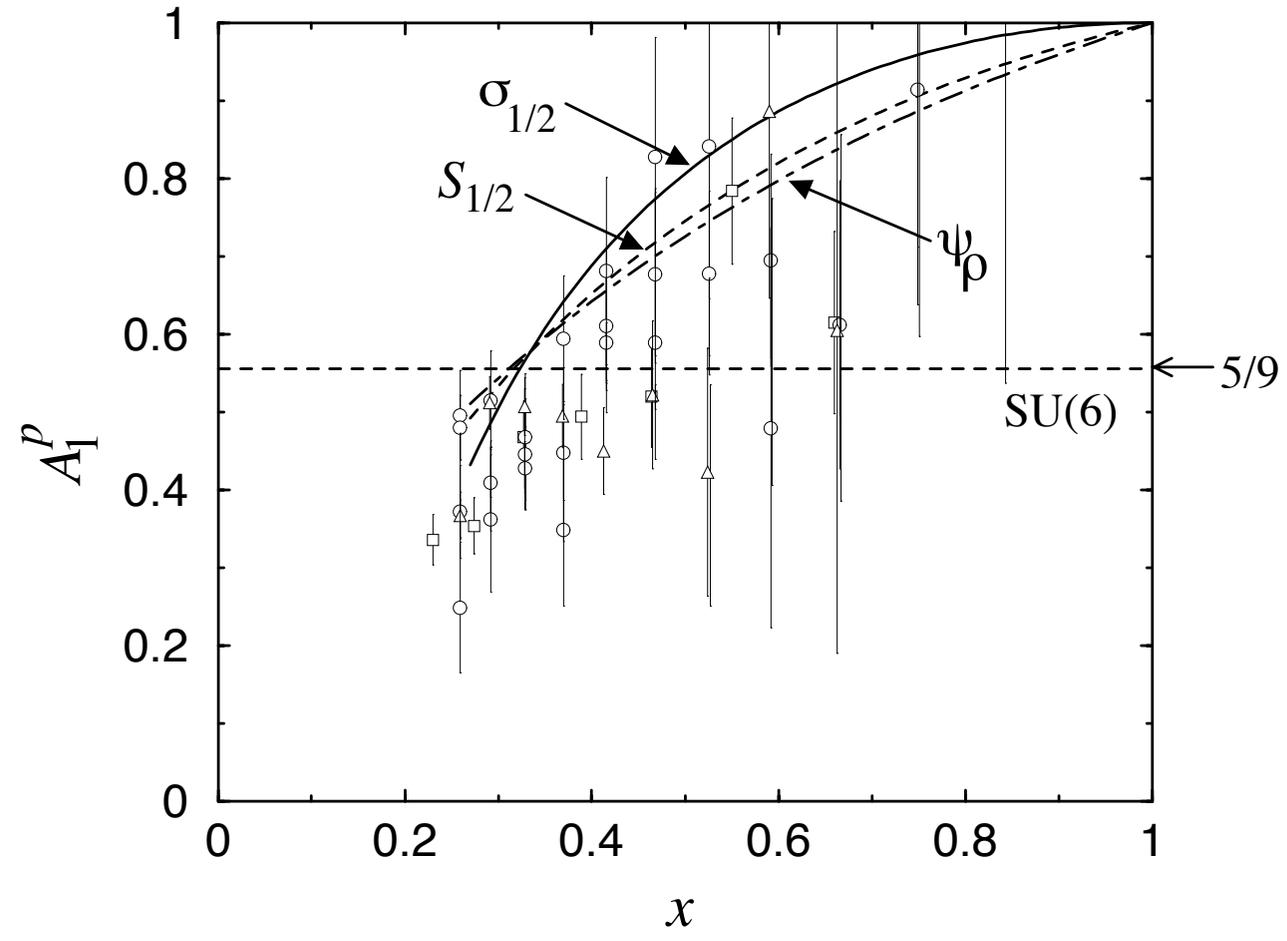
← suppression of symmetric  
part of spin-flavor wfn.

Fit to  $\left\{ \begin{array}{l} \text{SU(6) symmetry at } x \sim 1/3 \\ \text{SU(6) breaking at } x \sim 1 \end{array} \right.$

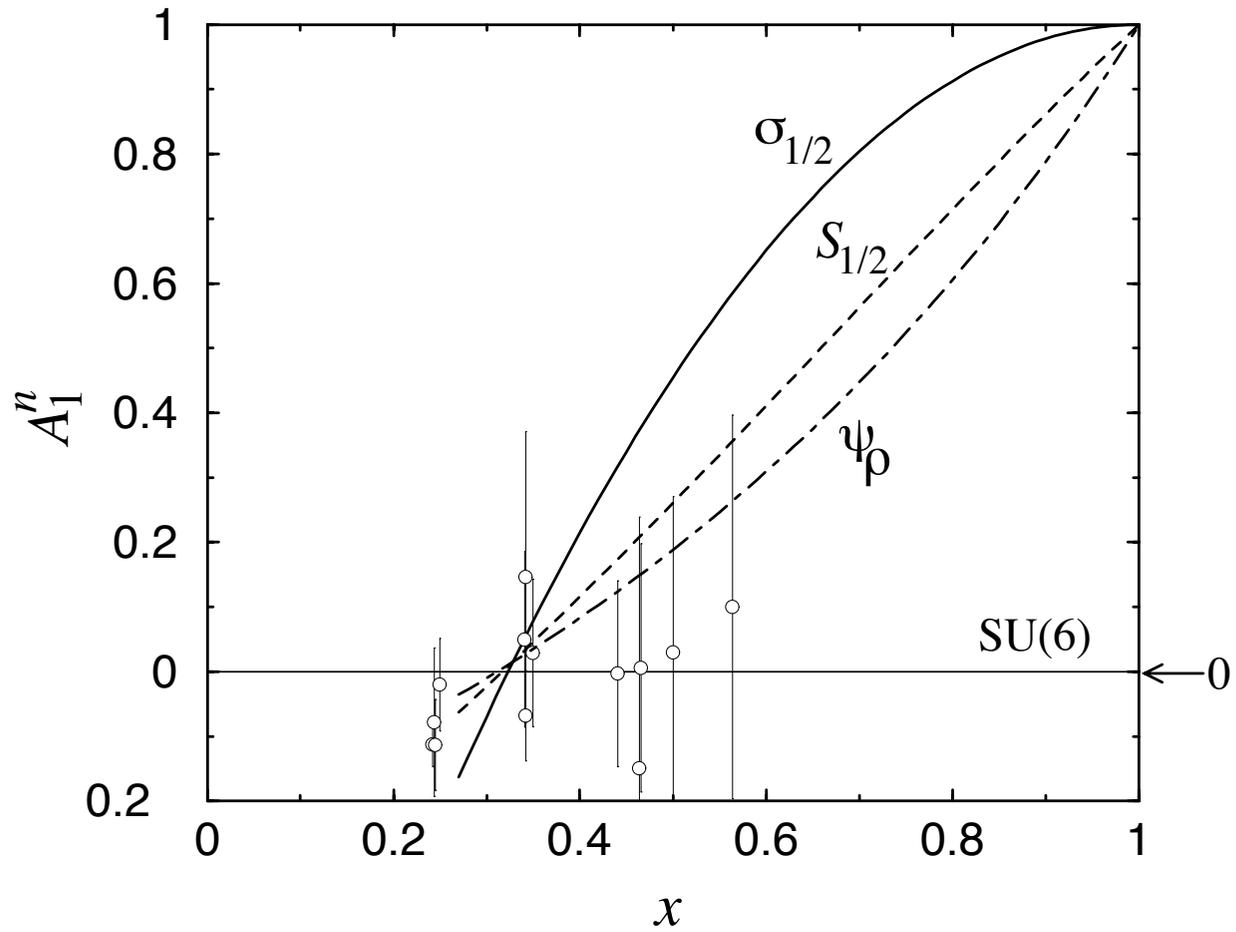


uncertainty  
in  $F_2^n$  due to  
nuclear effects  
in deuteron

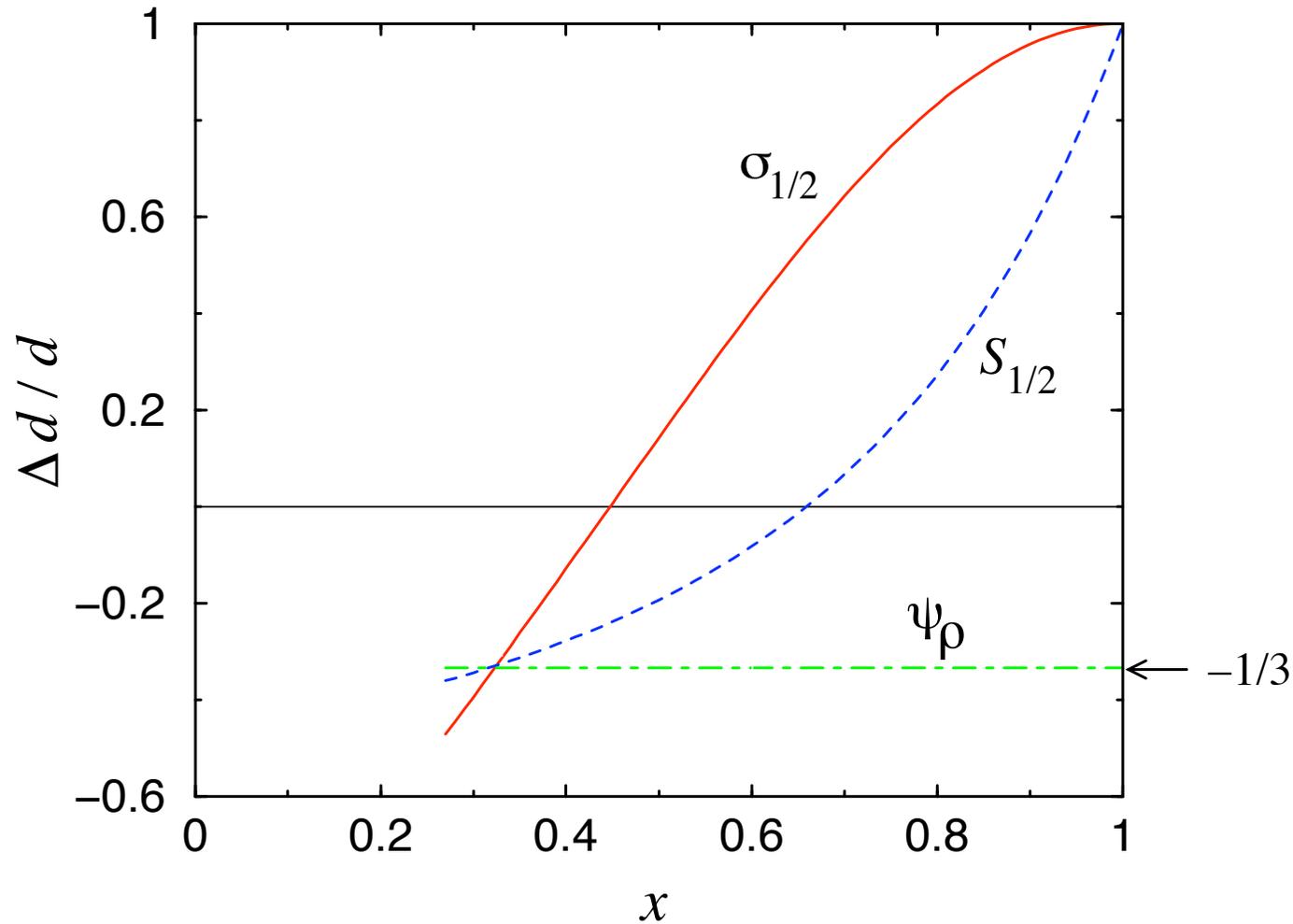
# Polarization asymmetry $A_1^p$



# Polarization asymmetry $A_1^n$

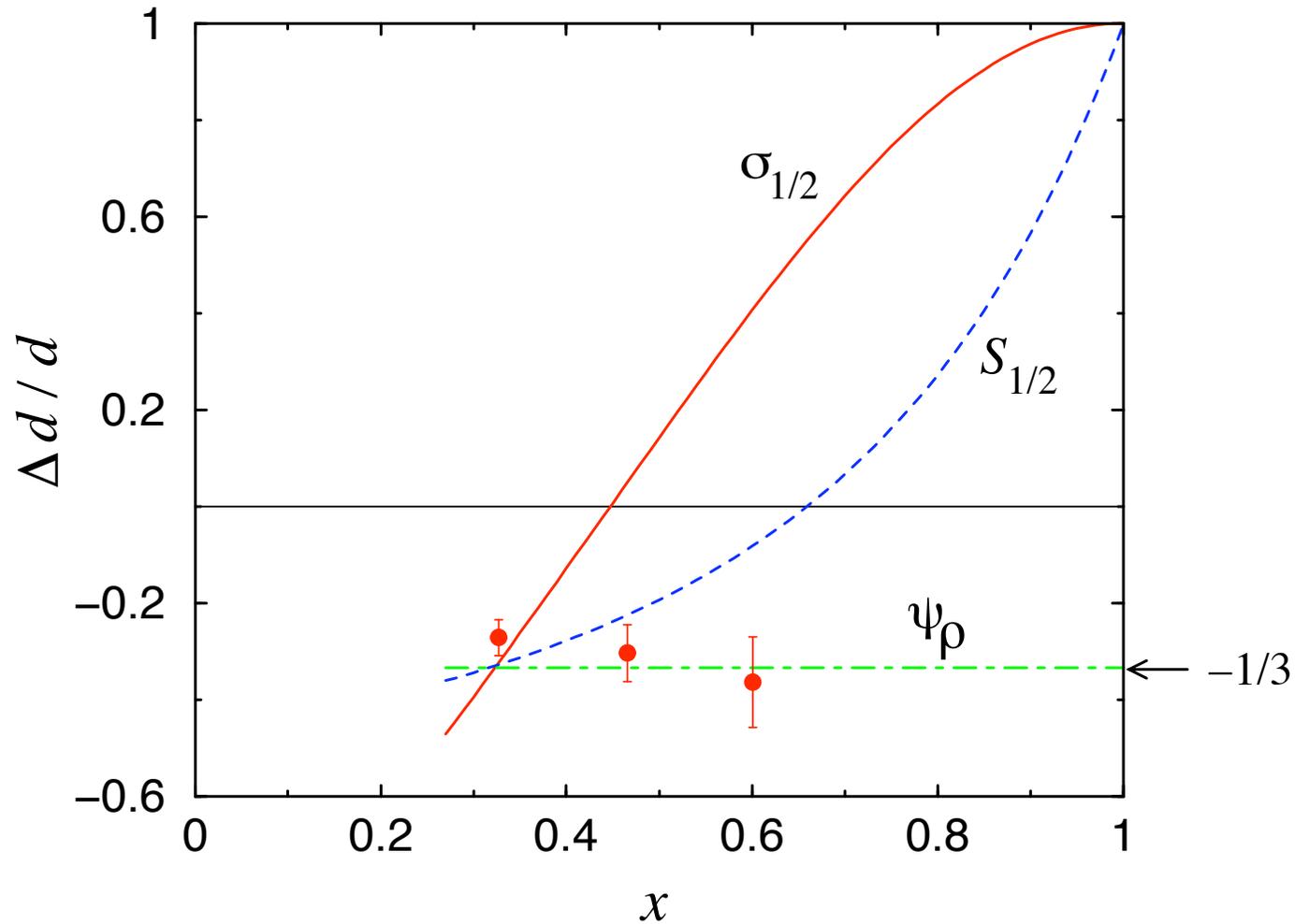


$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

$$\frac{\Delta d}{d} = \frac{4}{15} A_1^n \left( 4 + \frac{u}{d} \right) - \frac{1}{15} A_1^p \left( 1 + 4 \frac{u}{d} \right)$$



$$\frac{u}{d} = \frac{4 - R^{np}}{4R^{np} - 1}$$

# Summary - quark-hadron duality

- Remarkable confirmation of quark-hadron duality in structure functions
  - higher twists “small” down to low  $Q^2$  ( $\sim 1 \text{ GeV}^2$ )
- Use duality violations to extract higher twist matrix elements → color polarizabilities
- Quark models provide clues to origin of resonance cancellations → local duality
- Practical applications
  - broaden kinematic region for studying
  - (leading and higher twist) quark-gluon structure
  - of nucleon
  -

4.

Form factors

# Elastic $eN$ scattering

## Elastic cross section

$$\frac{d\sigma}{d\Omega} = \sigma_{\text{Mott}} \frac{\tau}{\varepsilon (1 + \tau)} \sigma_R$$

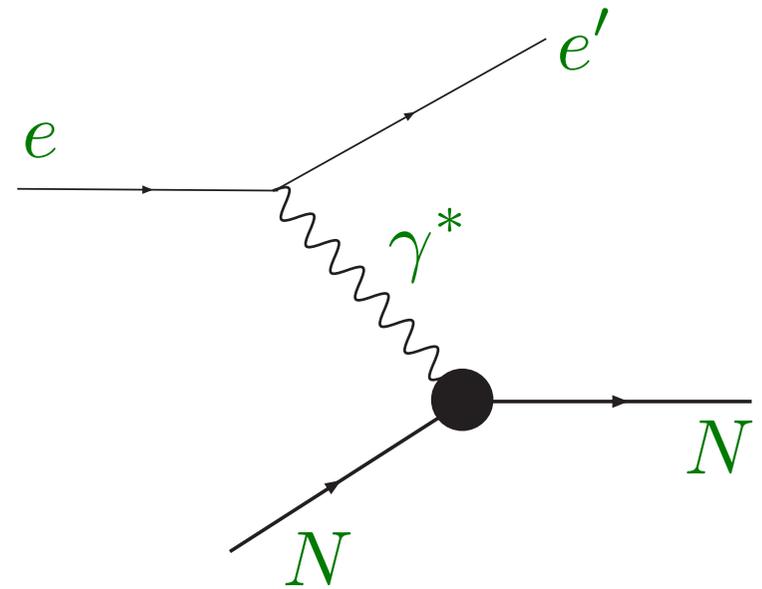
$$\tau = Q^2 / 4M^2$$

$$\varepsilon = (1 + 2(1 + \tau) \tan^2(\theta/2))^{-1}$$

$$\sigma_{\text{Mott}} = \frac{\alpha^2 E' \cos^2 \frac{\theta}{2}}{4E^3 \sin^4 \frac{\theta}{2}} \quad \leftarrow \text{cross section for scattering from point particle}$$

$$\sigma_R = G_M^2(Q^2) + \frac{\varepsilon}{\tau} G_E^2(Q^2) \quad \leftarrow \text{reduced cross section}$$

$G_E$  ,  $G_M$  Sachs electric and magnetic form factors



# Elastic $eN$ scattering

In Breit frame

$$\nu = 0, \quad Q^2 = \vec{q}^2$$

electromagnetic current is

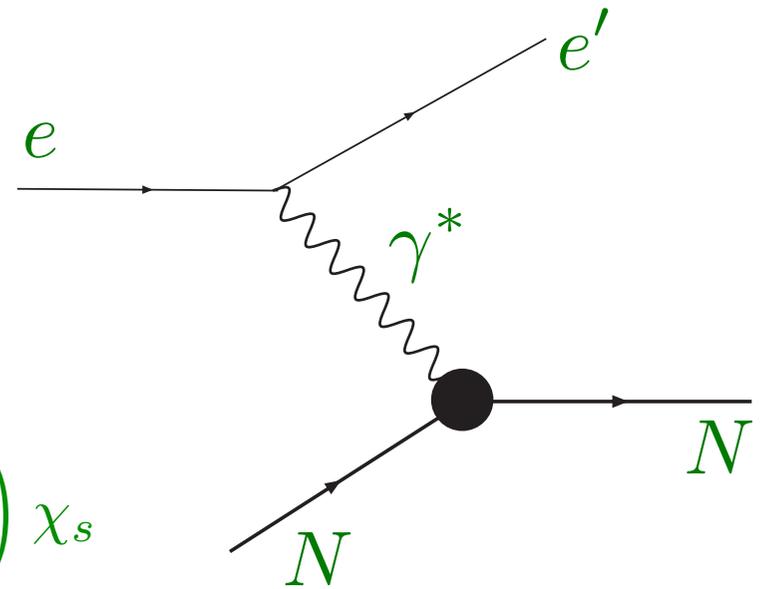
$$\bar{u}(p', s') \Gamma^\mu u(p, s) = \chi_{s'}^\dagger \left( G_E + \frac{i\vec{\sigma} \times \vec{q}}{2M} G_M \right) \chi_s$$

cf. classical (Non-Relativistic) current density

$$J^{\text{NR}} = \left( e \rho_E^{\text{NR}}, \mu \vec{\sigma} \times \vec{\nabla} \rho_M^{\text{NR}} \right)$$

$$\rightarrow \rho_E^{\text{NR}}(r) = \frac{2}{\pi} \int_0^\infty dq \vec{q}^2 j_0(qr) G_E(\vec{q}^2) \quad \text{charge density}$$

$$\mu \rho_M^{\text{NR}}(r) = \frac{2}{\pi} \int_0^\infty dq \vec{q}^2 j_0(qr) G_M(\vec{q}^2) \quad \text{magnetisation density}$$

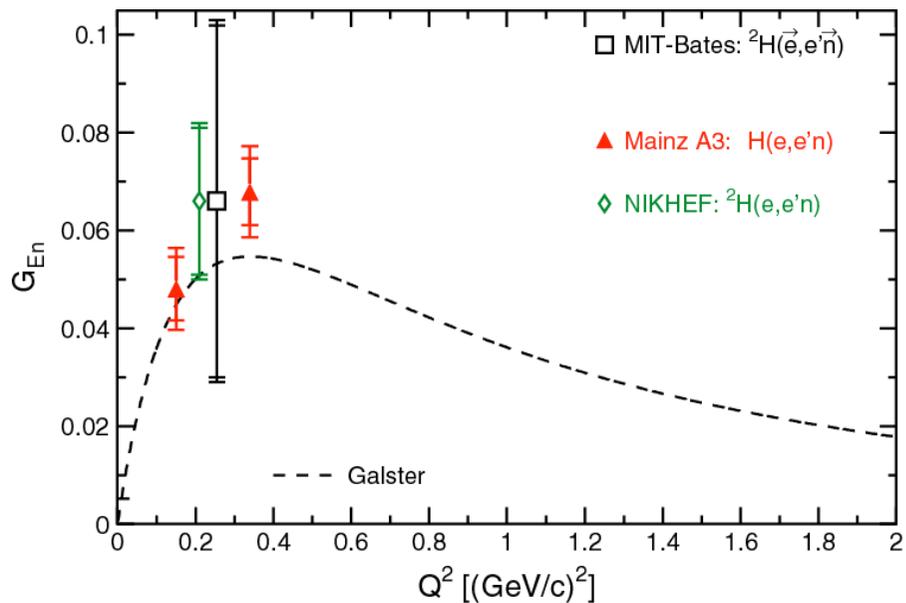
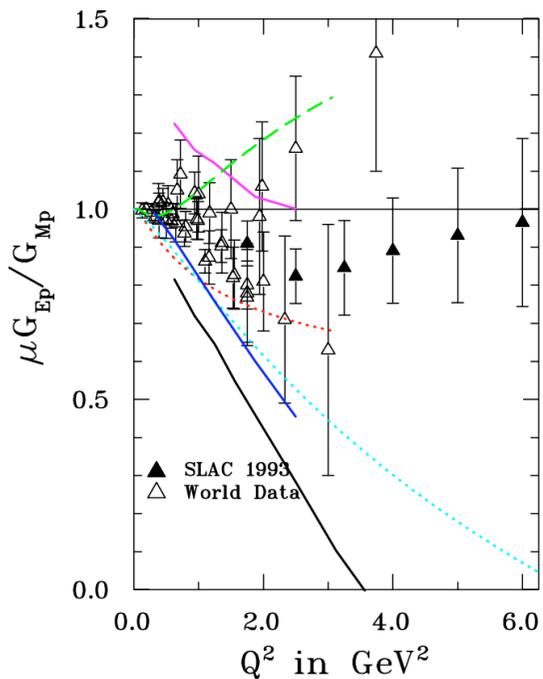


# Until recently...

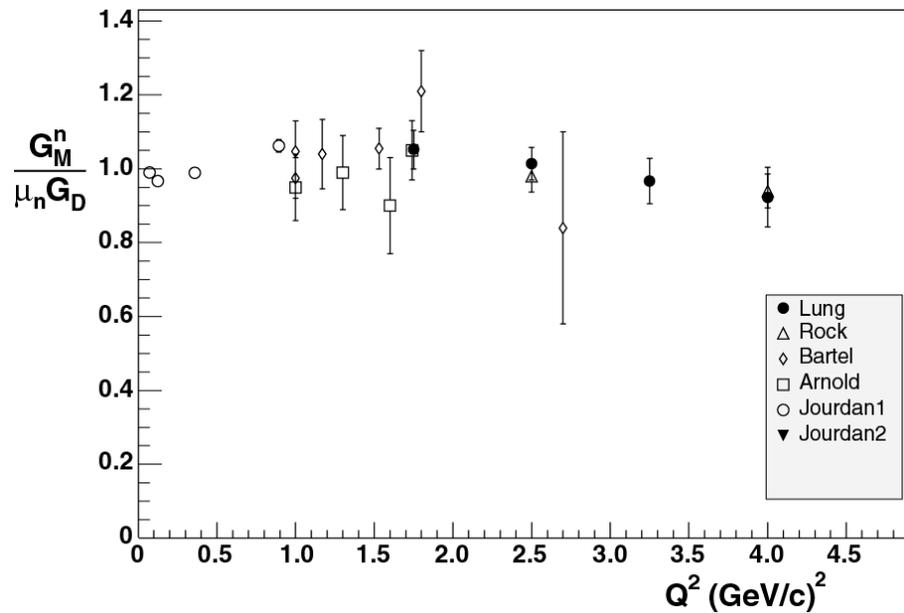
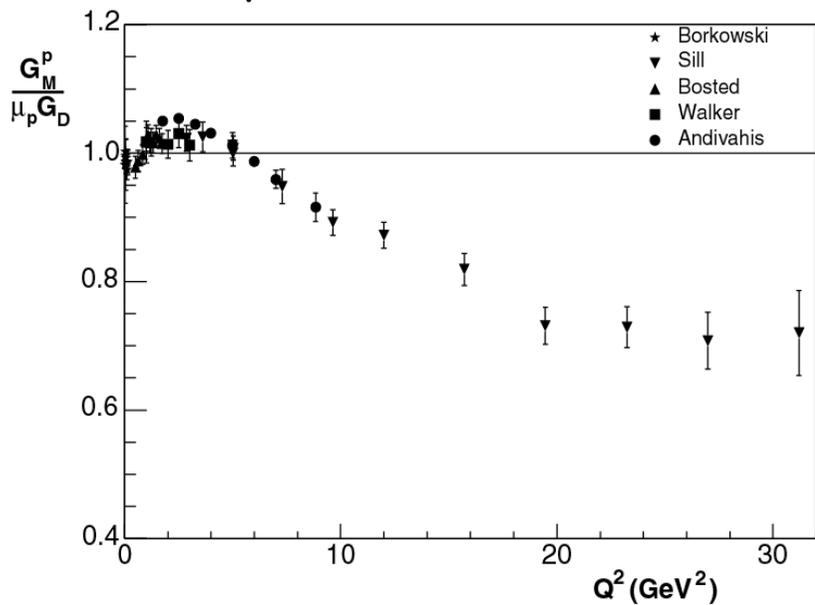
## proton

## neutron

### Electric



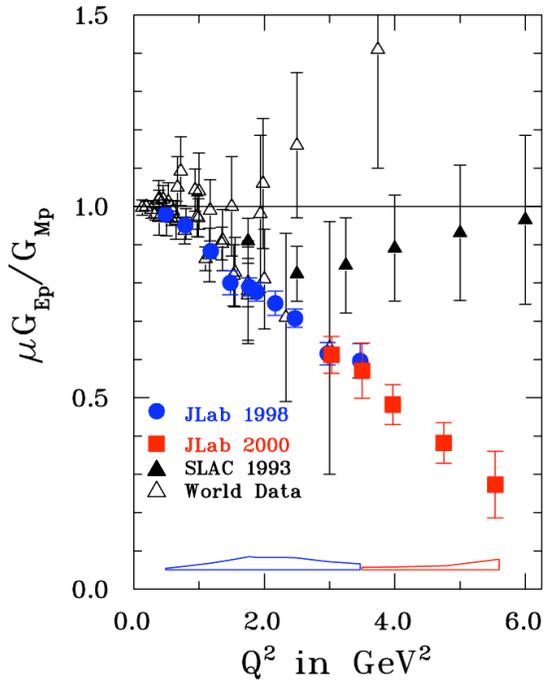
### Magnetic



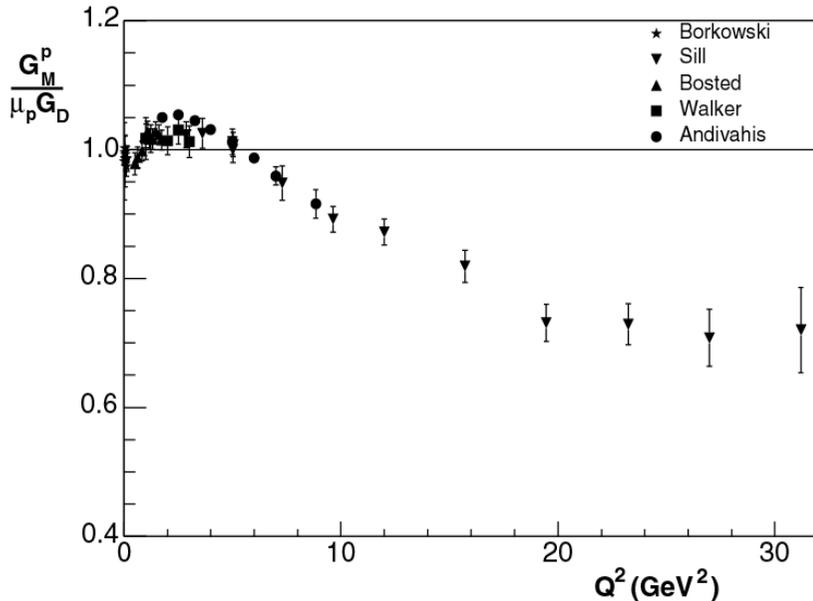
# Latest data...

## proton

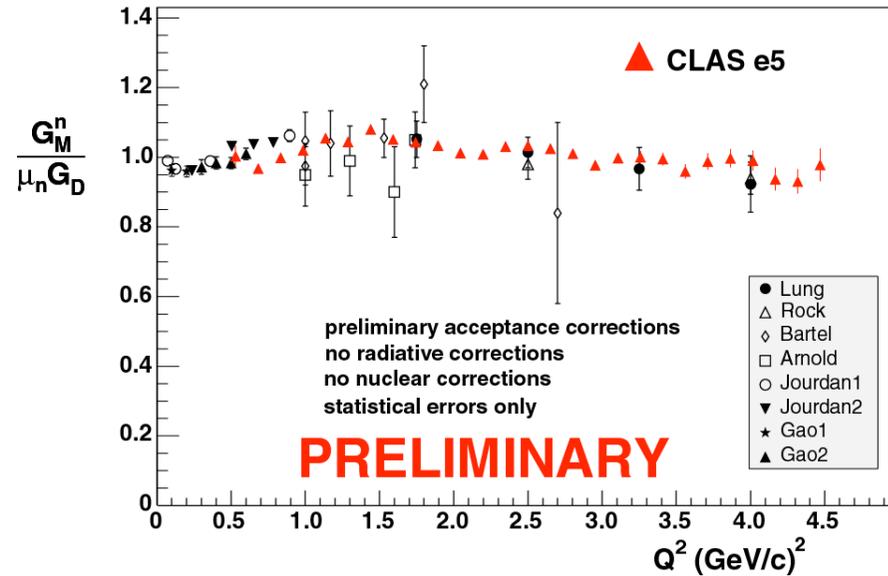
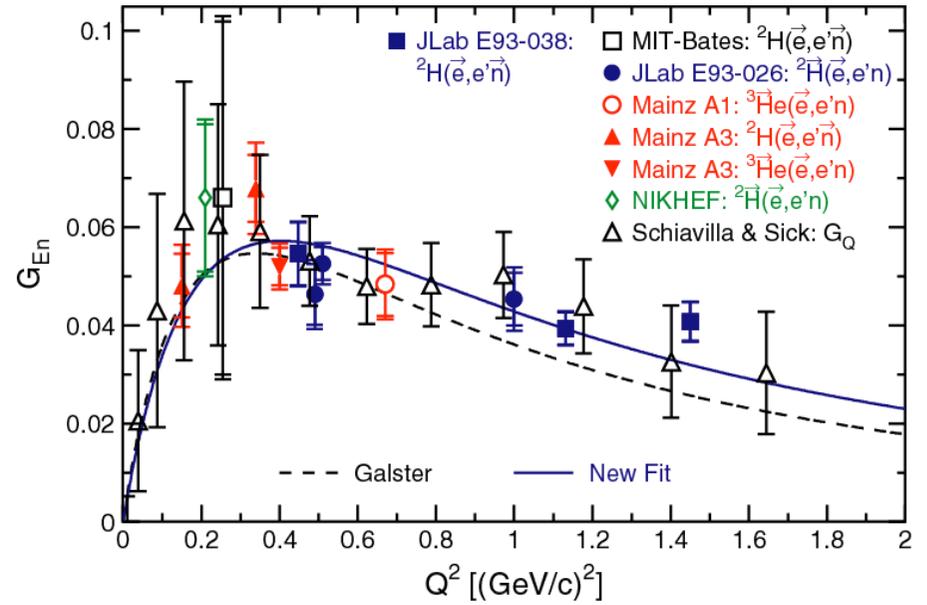
Electric

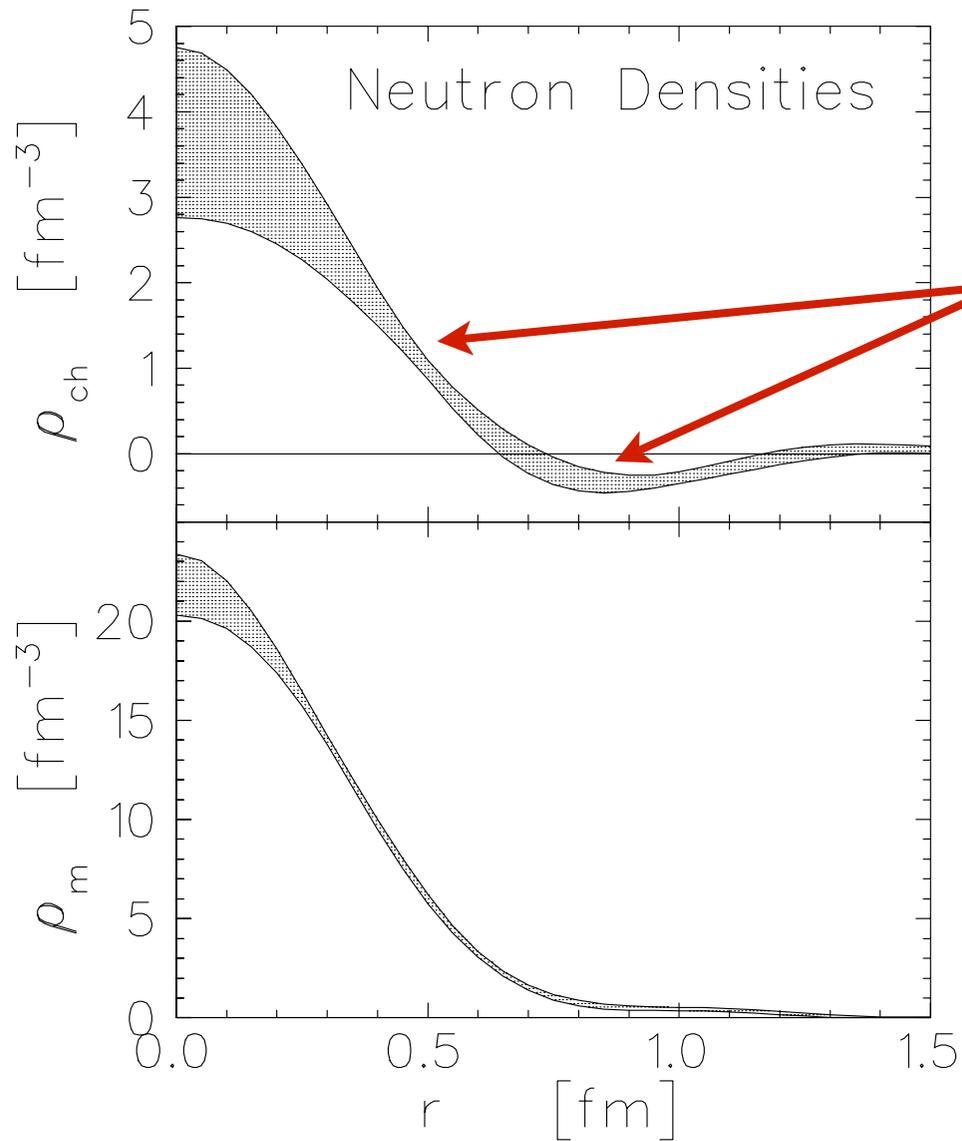


Magnetic



## neutron



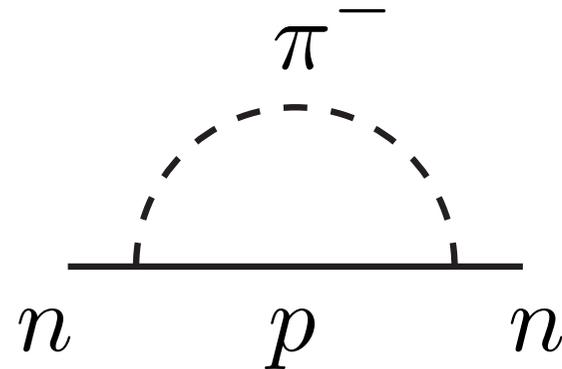


*J.Kelly, Phys. Rev. C 66 (2002) 065203*

note neutron  $\rho_E > 0$  at small  $r$ , but  $< 0$  at larger  $r$

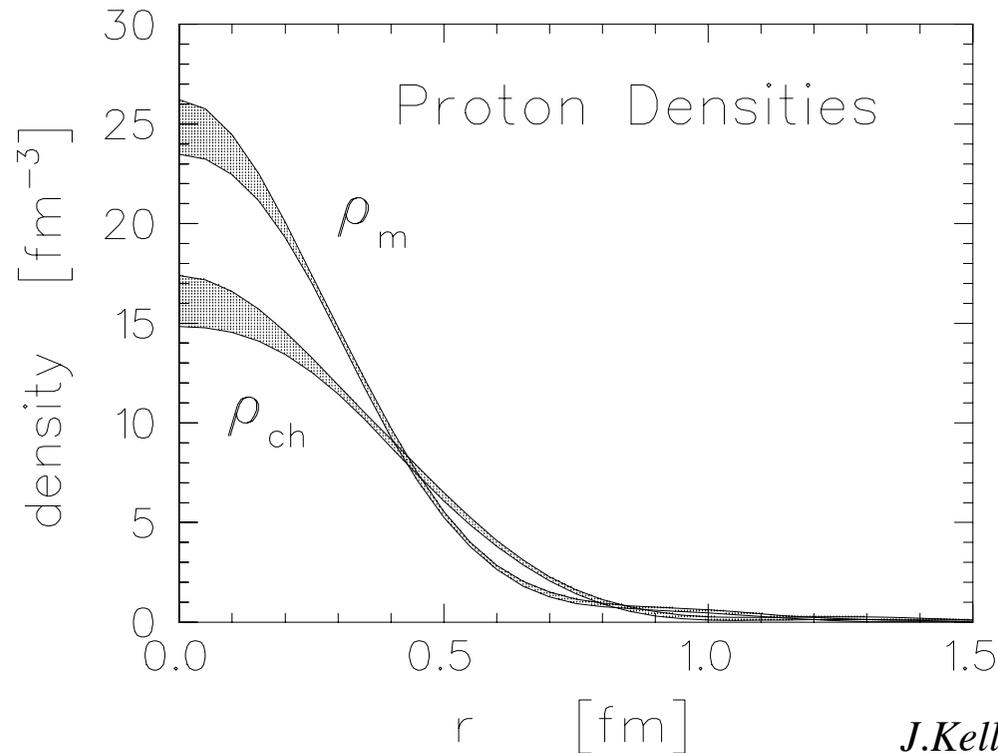
same physics which gives  $\bar{d} > \bar{u}$   
also gives shape of neutron  $\rho_E$

→ pion cloud



## Surprising result for $G_E^p/G_M^p$

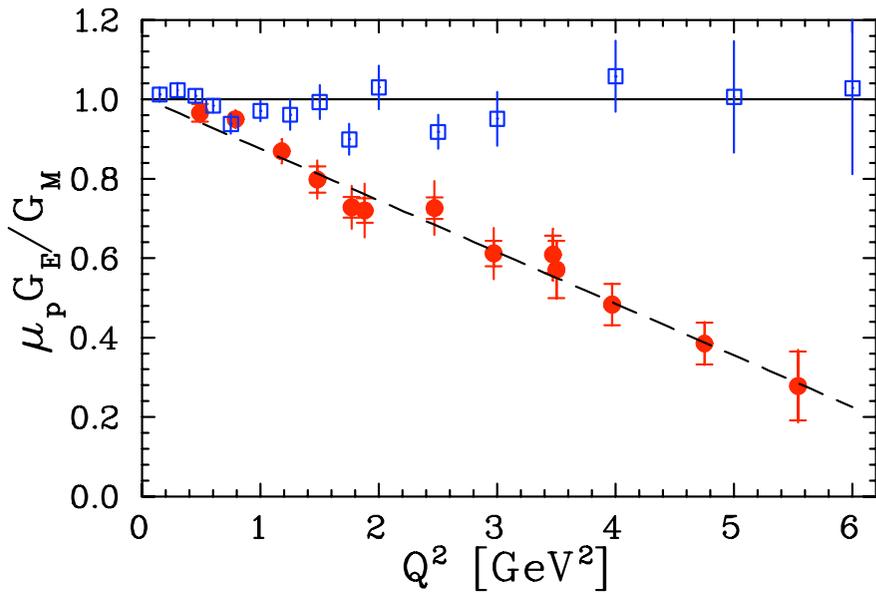
- expect  $G_E^p/G_M^p \rightarrow$  constant at high  $Q^2$
- implies very different proton charge and magnetization densities at small  $r$



*J.Kelly, Phys. Rev. C 66 (2002) 065203*

Are the  $G_E^p/G_M^p$  data consistent ?

# Proton $G_E/G_M$ Ratio



Rosenbluth (Longitudinal-Transverse) Separation

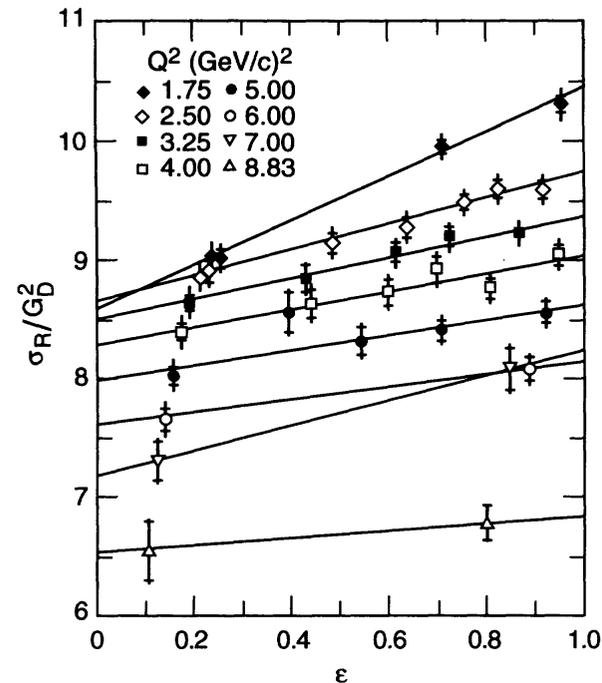
LT method

$$\sigma_R = G_M^2(Q^2) + \frac{\varepsilon}{\tau} G_E^2(Q^2)$$

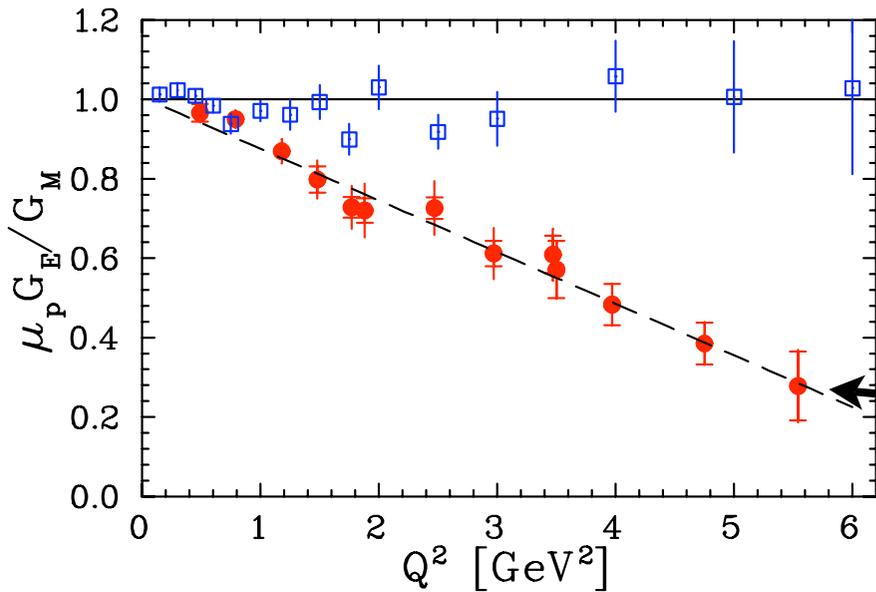
$$\tau = Q^2/4M^2$$

$$\varepsilon = [1 + 2(1 + \tau) \tan^2 \theta/2]^{-1}$$

$G_E/G_M$  from slope in  $\varepsilon$  plot



# Proton $G_E/G_M$ Ratio



Rosenbluth (Longitudinal-Transverse)  
Separation

Polarization Transfer

LT method

$$\sigma_R = G_M^2(Q^2) + \frac{\varepsilon}{\tau} G_E^2(Q^2)$$

$$\tau = Q^2/4M^2$$

$$\varepsilon = [1 + 2(1 + \tau) \tan^2 \theta/2]^{-1}$$

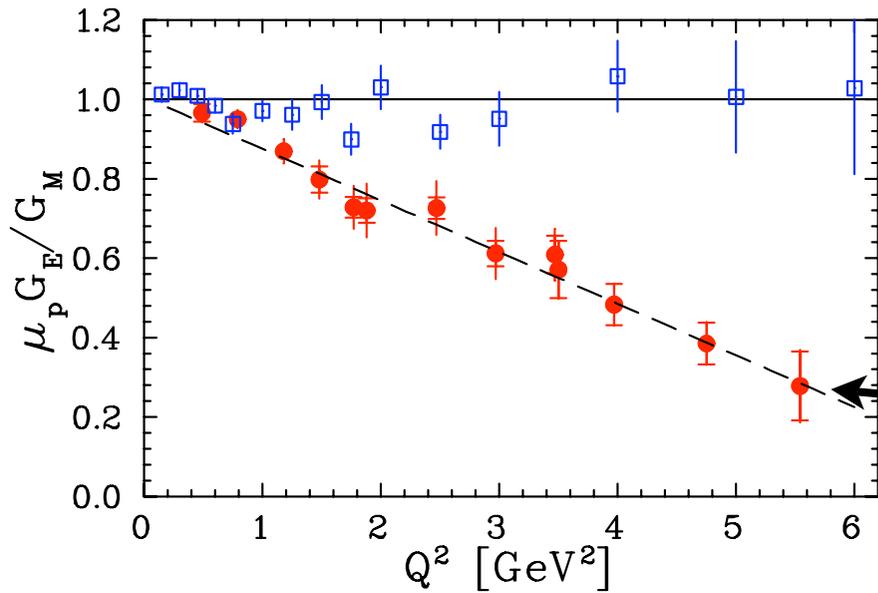
PT method

$$\frac{G_E}{G_M} = -\sqrt{\frac{\tau(1 + \varepsilon)}{2\varepsilon}} \frac{P_T}{P_L}$$

$P_{T,L}$  polarization of recoil proton

$G_E/G_M$  from slope in  $\varepsilon$  plot

# Proton $G_E/G_M$ Ratio



Rosenbluth (Longitudinal-Transverse)  
Separation

Polarization Transfer

LT method

PT method

Why is there a discrepancy between the two methods?

4.

Form factors

- *two photon exchange*

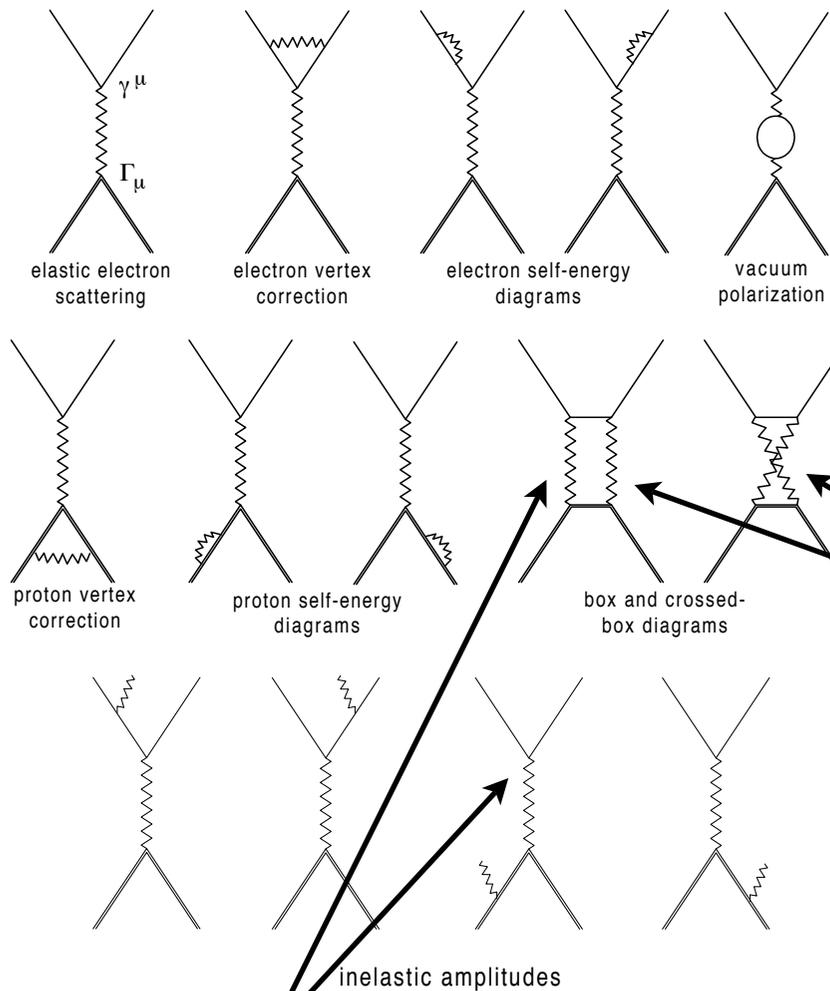
# QED Radiative Corrections

cross section modified by  $1\gamma$  loop effects

$$d\sigma = d\sigma_0 (1 + \delta)$$

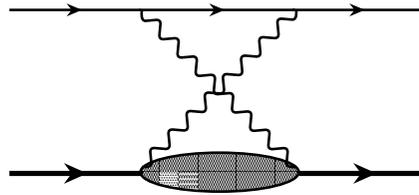
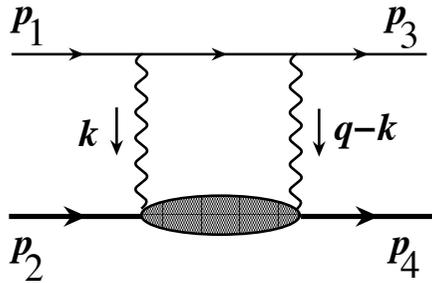
$\delta$  contains additional  $\epsilon$  dependence

mostly from box (and crossed box) diagram



infrared divergences cancel

# Box diagram



→ nucleon elastic contribution

$$\mathcal{M}_{\gamma\gamma} = e^4 \int \frac{d^4 k}{(2\pi)^4} \frac{N(k)}{D(k)}$$

where

$$N(k) = \bar{u}(p_3) \gamma_\mu (\not{p}_1 - \not{k} + m_e) \gamma_\nu u(p_1) \\ \times \bar{u}(p_4) \Gamma^\mu(q - k) (\not{p}_2 + \not{k} + M) \Gamma^\nu(k) u(p_2)$$

and

$$D(k) = (k^2 - \lambda^2) ((k - q)^2 - \lambda^2) \\ \times ((p_1 - k)^2 - m^2) ((p_2 + k)^2 - M^2)$$

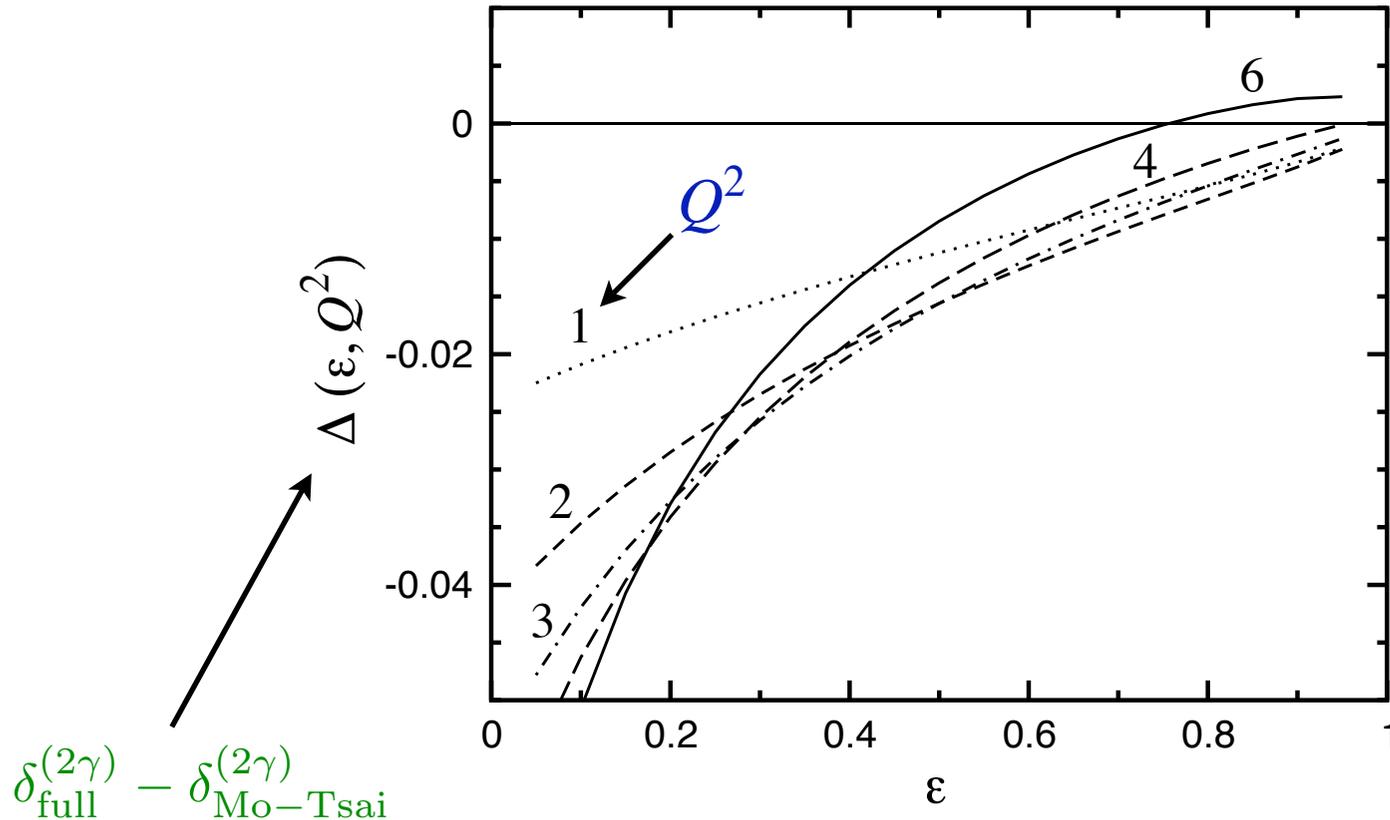
with  $\lambda$  an IR regulator, and e.m. current is

$$\Gamma^\mu(q) = \gamma^\mu F_1(q^2) + \frac{i\sigma^{\mu\nu} q_\nu}{2M} F_2(q^2)$$

## Various approximations to $\mathcal{M}_{\gamma\gamma}$ used

- Mo-Tsai: soft  $\gamma$  approximation
  - integrand most singular when  $k = 0$  and  $k = q$
  - replace  $\gamma$  propagator which is not at pole by  $1/q^2$
  - approximate numerator  $N(k) \approx N(0)$
  - neglect all structure effects
- Maximon-Tjon: improved loop calculation
  - exact treatment of propagators
  - still evaluate  $N(k)$  at  $k = 0$
  - first study of form factor effects
  - additional  $\varepsilon$  dependence
- Blunden-WM-Tjon: exact loop calculation
  - no approximation in  $N(k)$  or  $D(k)$
  - include form factors

# Two-photon correction



$$\delta^{(2\gamma)} \rightarrow \frac{2\text{Re}\{\mathcal{M}_0^\dagger \mathcal{M}_{\gamma\gamma}\}}{|\mathcal{M}_0|^2}$$

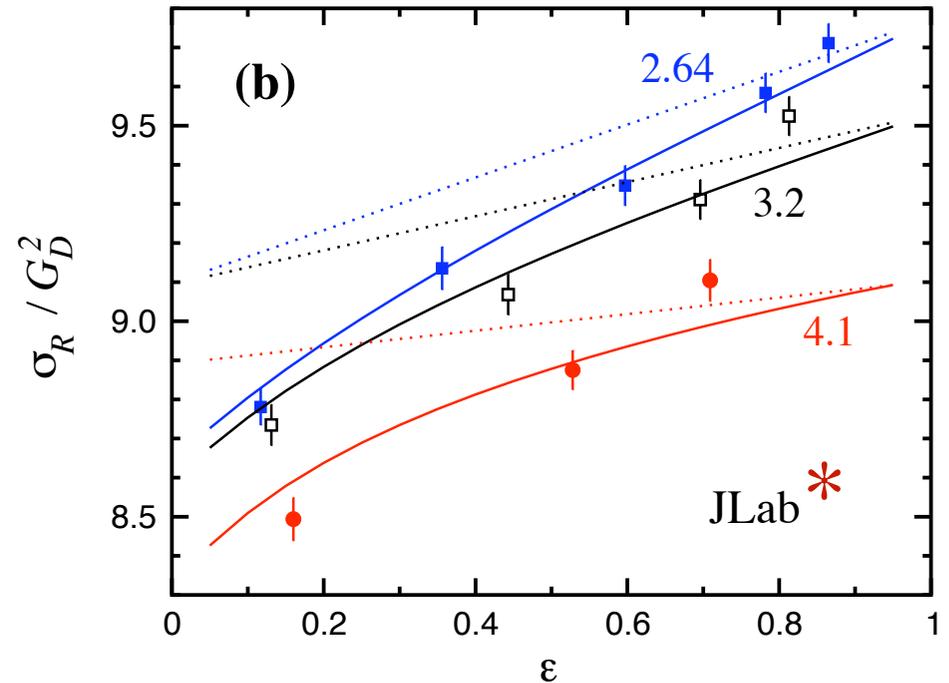
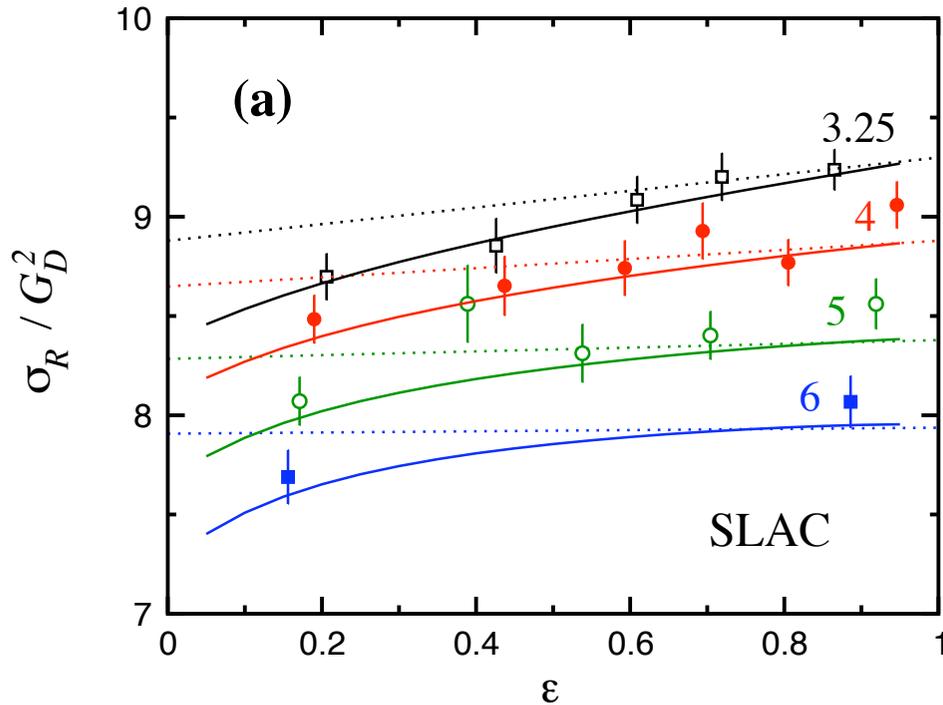
*Blunden, WM, Tjon*  
*PRL 91 (2003) 142304;*  
*PRC72 (2005) 034612*

➡ few % magnitude

➡ positive slope

➡ non-linearity in  $\epsilon$

# Effect on cross section

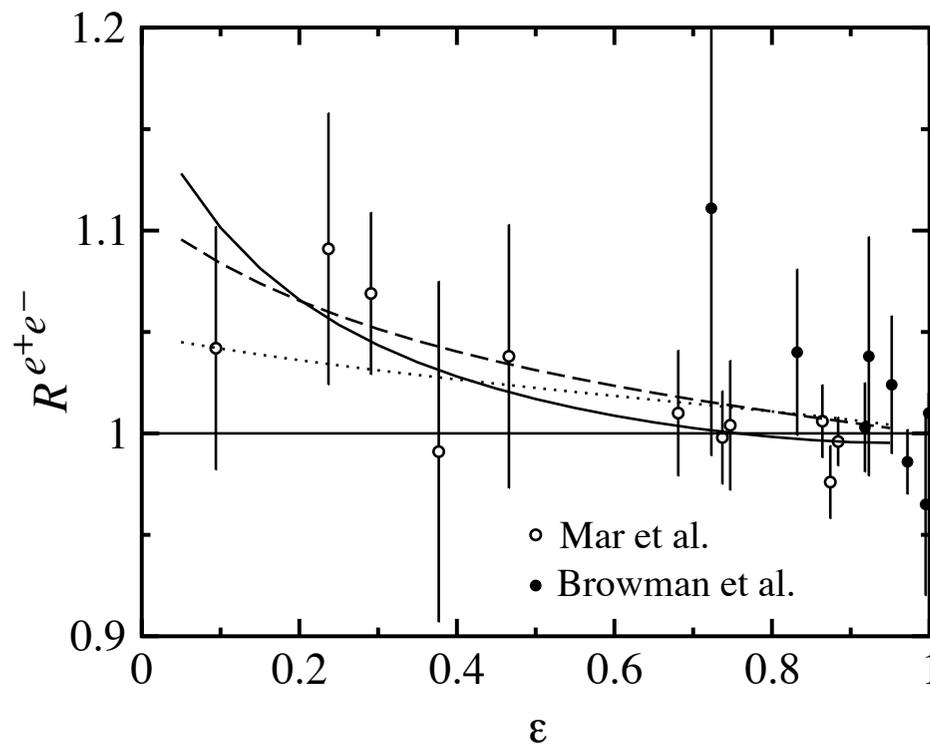


- ..... Born cross section with PT form factors
- including TPE effects

\* Super-Rosenbluth  
*Qattan et al.,  
PRL 94, 142301 (2005)*

# $e^+ / e^-$ comparison

- $1\gamma$  exchange changes sign under  $e^+ \leftrightarrow e^-$
- $2\gamma$  exchange invariant under  $e^+ \leftrightarrow e^-$
- ratio of  $e^+p / e^-p$  elastic cross sections sensitive to  $\Delta(\varepsilon, Q^2)$



$$R^{e^+e^-} = \frac{d\sigma^{e^+}}{d\sigma^{e^-}}$$

$$\approx 1 - 2\Delta$$

.....  $Q^2 = 1 \text{ GeV}^2$

- - -  $Q^2 = 3 \text{ GeV}^2$

—  $Q^2 = 6 \text{ GeV}^2$

➔ simultaneous  $e^-p/e^+p$  measurement using tertiary  $e^+/e^-$  beam planned in Hall B (to  $Q^2 \sim 1 \text{ GeV}^2$ )

## $G_E^p / G_M^p$ ratio

- estimate effect of TPE on  $\varepsilon$  dependence
- approximate correction by linear function of  $\varepsilon$

$$1 + \Delta \approx a + b\varepsilon$$

→ reduced cross section is then

$$\sigma_R \approx a G_M^2 \left[ 1 + \frac{\varepsilon}{\mu^2 \tau} (R^2(1 + \varepsilon b/a) + \mu^2 \tau b/a) \right]$$

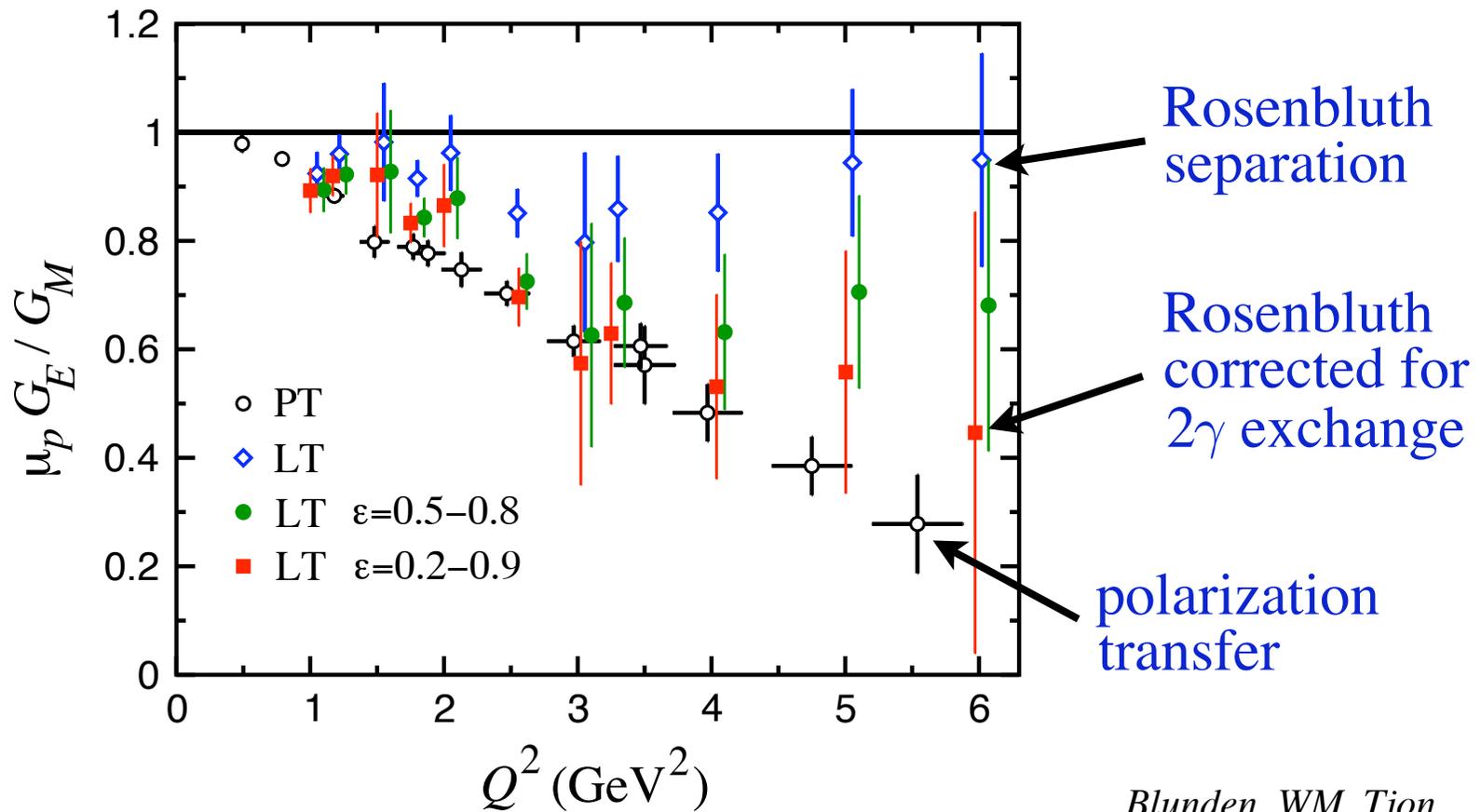
where “true” ratio is

$$R^2 = \frac{\tilde{R}^2 - \mu^2 \tau b/a}{1 + \bar{\varepsilon} b/a}$$

“effective” ratio  
contaminated by TPE

average value of  $\varepsilon$   
over range fitted

# $G_E^p / G_M^p$ ratio



Blunden, WM, Tjon  
*Phys. Rev. C* 72 (2005) 034612

➡ resolves much of the form factor discrepancy

- how does TPE affect polarization transfer ratio?

$$\rightarrow \tilde{R} = R \left( \frac{1 + \Delta_T}{1 + \Delta_L} \right)$$

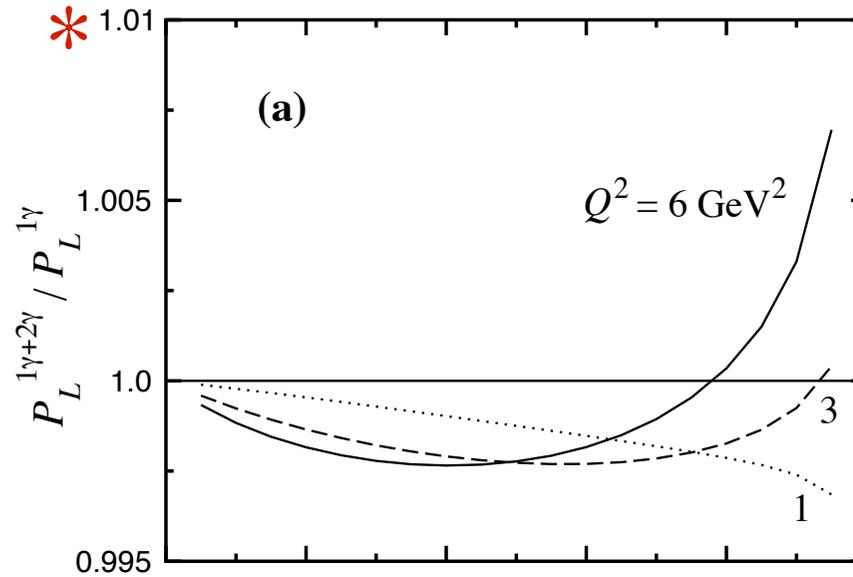
where  $\Delta_{L,T} = \delta_{L,T}^{\text{full}} - \delta_{\text{IR}}^{\text{Mo-Tsai}}$  is finite part of  $2\gamma$  contribution relative to IR part of Mo-Tsai

- experimentally measure ratio of polarized to unpolarized cross sections

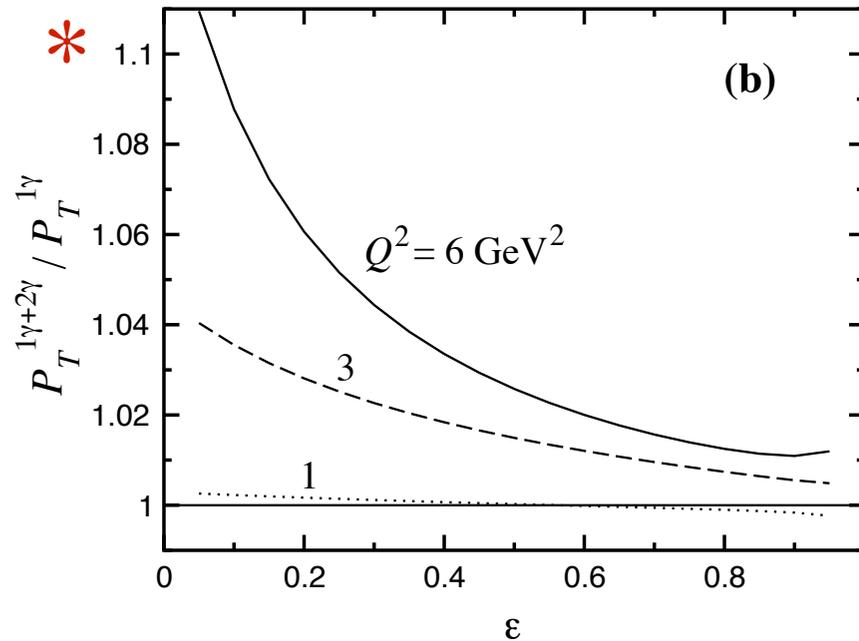
$$\rightarrow \frac{P_{L,T}^{1\gamma+2\gamma}}{P_{L,T}^{1\gamma}} = \frac{1 + \Delta_{L,T}}{1 + \Delta}$$

# Longitudinal & transverse polarizations

\* Note scales!

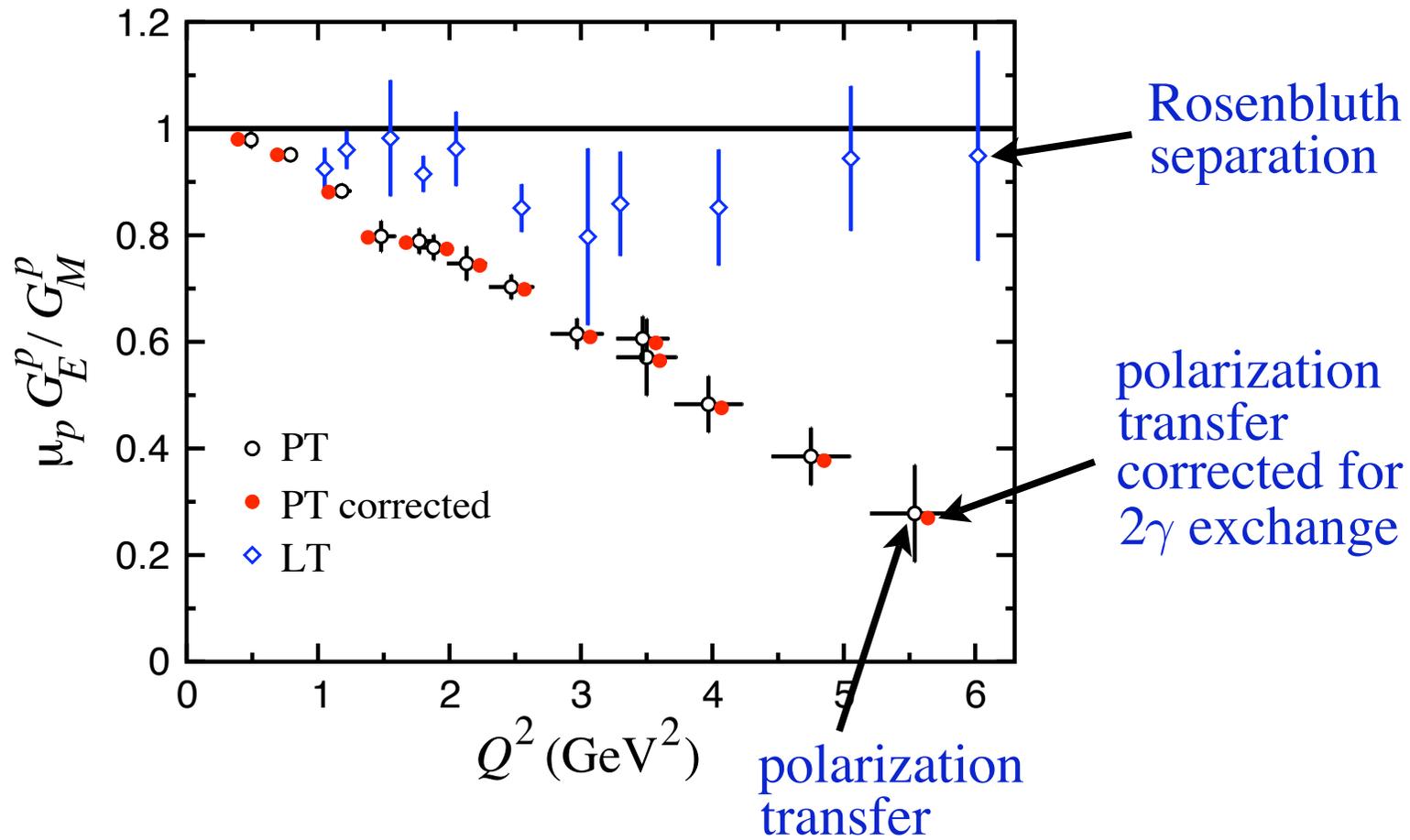


→ small effect on  $P_L$



→ large effect on  $P_T$

# $G_E^p / G_M^p$ ratio



➔ large  $Q^2$  data typically at large  $\varepsilon$

➔  $< 3\%$  suppression at large  $Q^2$

4.

## Form factors

- *excited intermediate states*

■ Lowest mass excitation is  $P_{33}$   $\Delta$  resonance

→ relativistic  $\gamma^* N \Delta$  vertex

$$\Gamma_{\gamma \Delta \rightarrow N}^{\nu\alpha}(p, q) \equiv iV_{\Delta in}^{\nu\alpha}(p, q) = i \frac{eF_{\Delta}(q^2)}{2M_{\Delta}^2} \left\{ g_1 [g^{\nu\alpha} \not{p} \not{q} - p^{\nu} \gamma^{\alpha} \not{q} - \gamma^{\nu} \gamma^{\alpha} p \cdot q + \gamma^{\nu} \not{p} q^{\alpha}] \right. \\ \left. + g_2 [p^{\nu} q^{\alpha} - g^{\nu\alpha} p \cdot q] + (g_3/M_{\Delta}) [q^2 (p^{\nu} \gamma^{\alpha} - g^{\nu\alpha} \not{p}) + q^{\nu} (q^{\alpha} \not{p} - \gamma^{\alpha} p \cdot q)] \right\} \gamma_5 T_3$$

form factor  $\frac{\Lambda_{\Delta}^4}{(\Lambda_{\Delta}^2 - q^2)^2}$

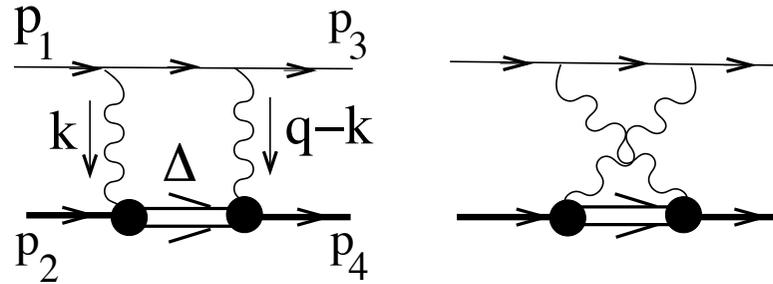
→ coupling constants

$g_1$  magnetic → 7

$g_2 - g_1$  electric → 9

$g_3$  Coulomb → -2 ... 0

## ■ Two-photon exchange amplitude with $\Delta$ intermediate state



$$\mathcal{M}_{\Delta}^{\gamma\gamma} = -e^4 \int \frac{d^4 k}{(2\pi)^4} \frac{N_{box}^{\Delta}(k)}{D_{box}^{\Delta}(k)} - e^4 \int \frac{d^4 k}{(2\pi)^4} \frac{N_{x-box}^{\Delta}(k)}{D_{x-box}^{\Delta}(k)}$$

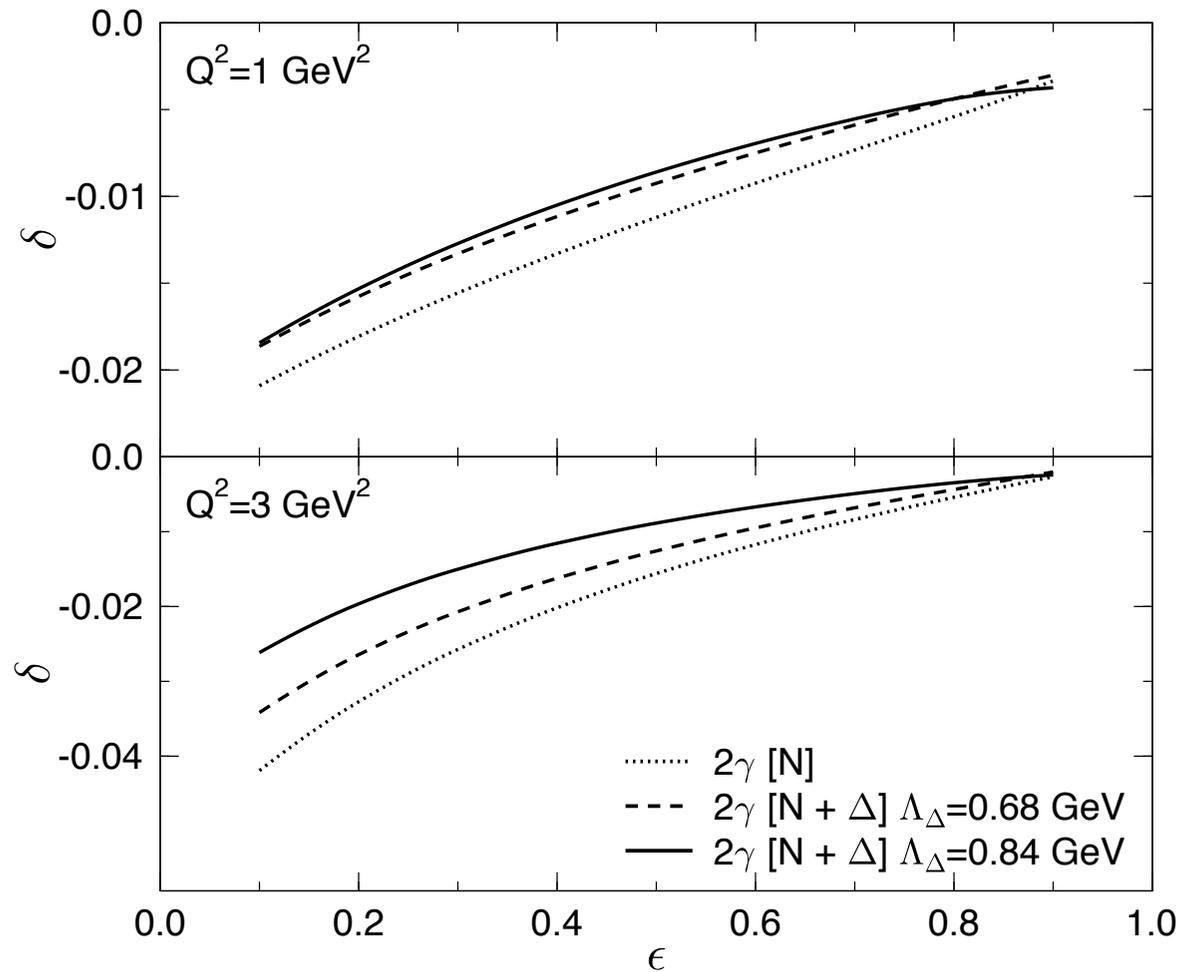
### numerators

$$N_{box}^{\Delta}(k) = \bar{U}(p_4) V_{\Delta in}^{\mu\alpha}(p_2 + k, q - k) [\not{p}_2 + \not{k} + M_{\Delta}] \mathcal{P}_{\alpha\beta}^{3/2}(p_2 + k) V_{\Delta out}^{\beta\nu}(p_2 + k, k) U(p_2) \\ \times \bar{u}(p_3) \gamma_{\mu} [\not{p}_1 - \not{k} + m_e] \gamma_{\nu} u(p_1)$$

$$N_{x-box}^{\Delta}(k) = \bar{U}(p_4) V_{\Delta in}^{\mu\alpha}(p_2 + k, q - k) [\not{p}_2 + \not{k} + M_{\Delta}] \mathcal{P}_{\alpha\beta}^{3/2}(p_2 + k) V_{\Delta out}^{\beta\nu}(p_2 + k, k) U(p_2) \\ \times \bar{u}(p_3) \gamma_{\nu} [\not{p}_3 + \not{k} + m_e] \gamma_{\mu} u(p_1)$$

spin-3/2 projection operator

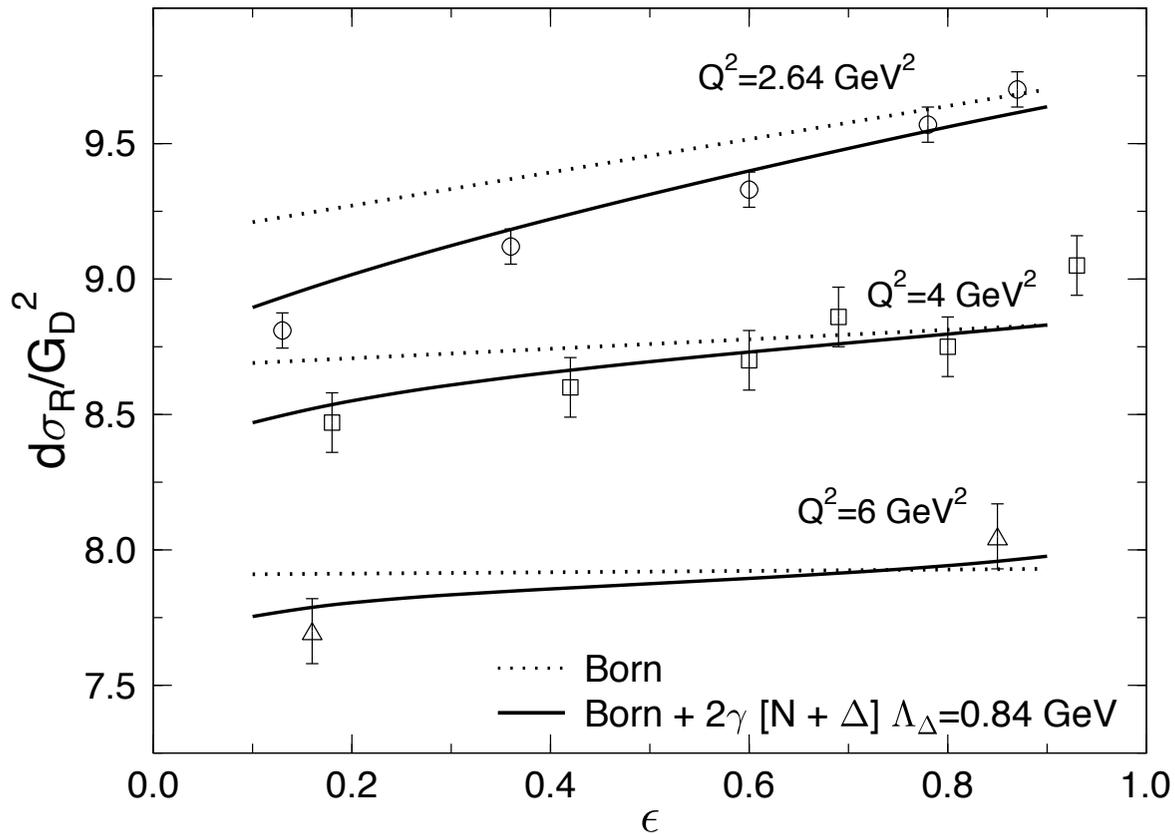
$$\mathcal{P}_{\alpha\beta}^{3/2}(p) = g_{\alpha\beta} - \frac{1}{3} \gamma_{\alpha} \gamma_{\beta} - \frac{1}{3p^2} (\not{p} \gamma_{\alpha} p_{\beta} + p_{\alpha} \gamma_{\beta} \not{p})$$



*Kondratyuk, Blunden, WM, Tjon*  
*Phys. Rev. Lett. 2006*

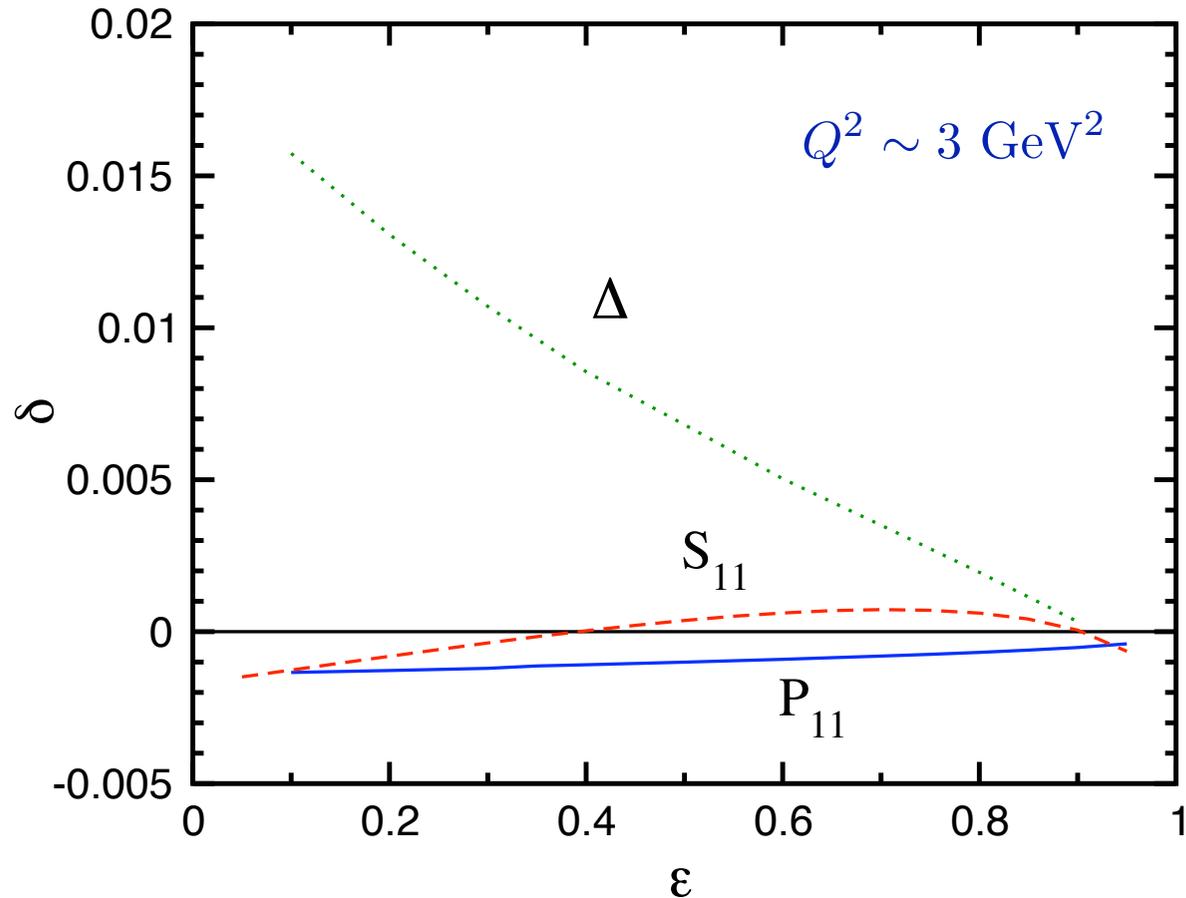
→  $\Delta$  has opposite slope to  $N$

→ cancels some of TPE correction from  $N$



- ➔ weaker  $\epsilon$  dependence than with  $N$  alone
- ➔ better fit to JLab data!

$$J^P = \frac{1}{2}^+, \frac{1}{2}^- \quad \text{excited } N^* \text{ states}$$



*Tjon, WM, et al. (2005)*

- ➔ higher mass resonance contributions small
- ➔ enhance nucleon elastic contribution

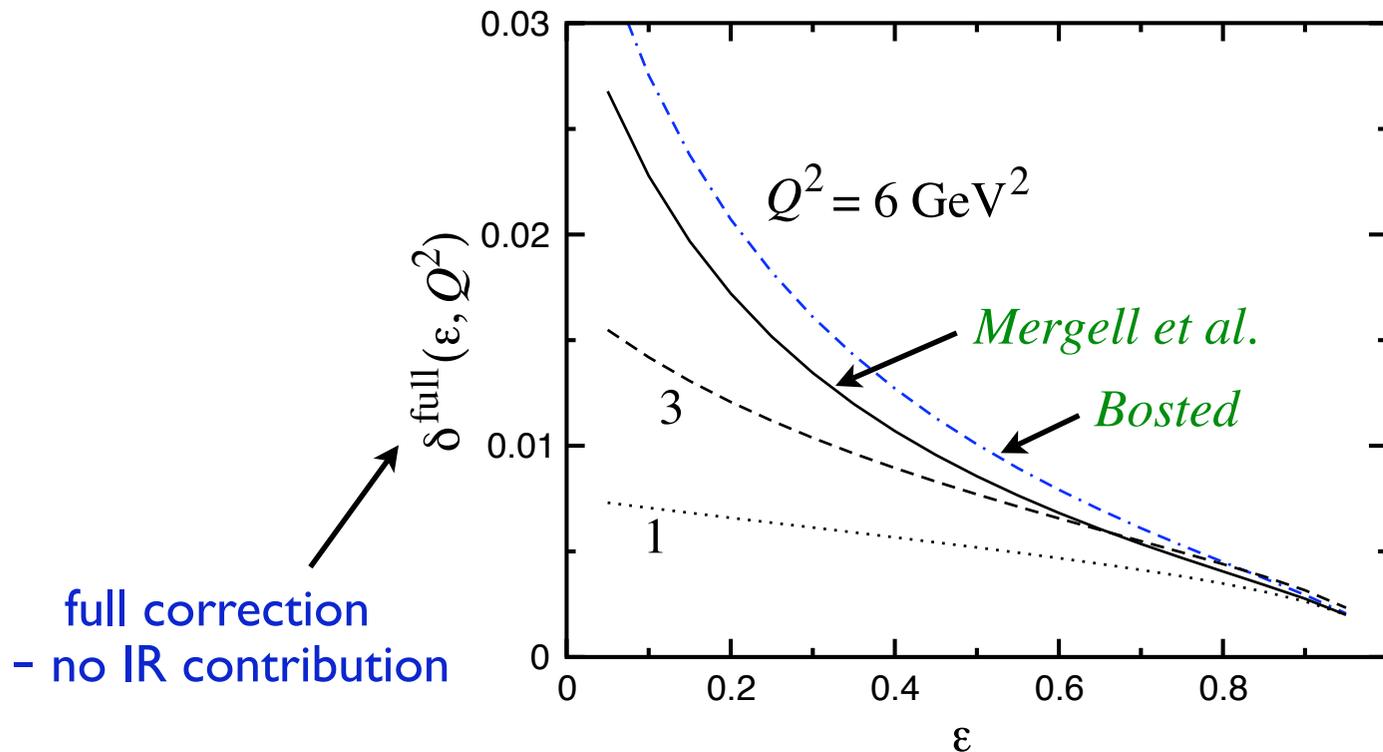
4.

**Form factors**

*- effect on neutron*

# Neutron correction

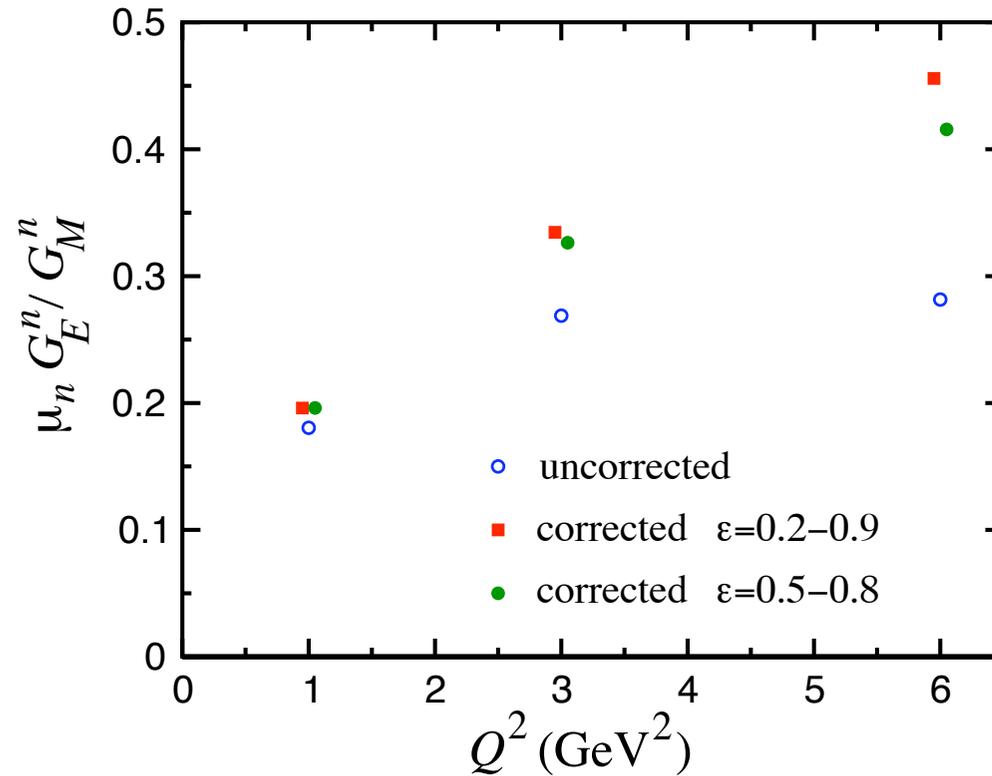
→ since  $G_E^n$  is small, effect may be relatively large



Blunden, WM, Tjon  
*Phys. Rev. C*72 (2005) 034612

→ sign opposite to proton (since  $\kappa_n < 0$ )

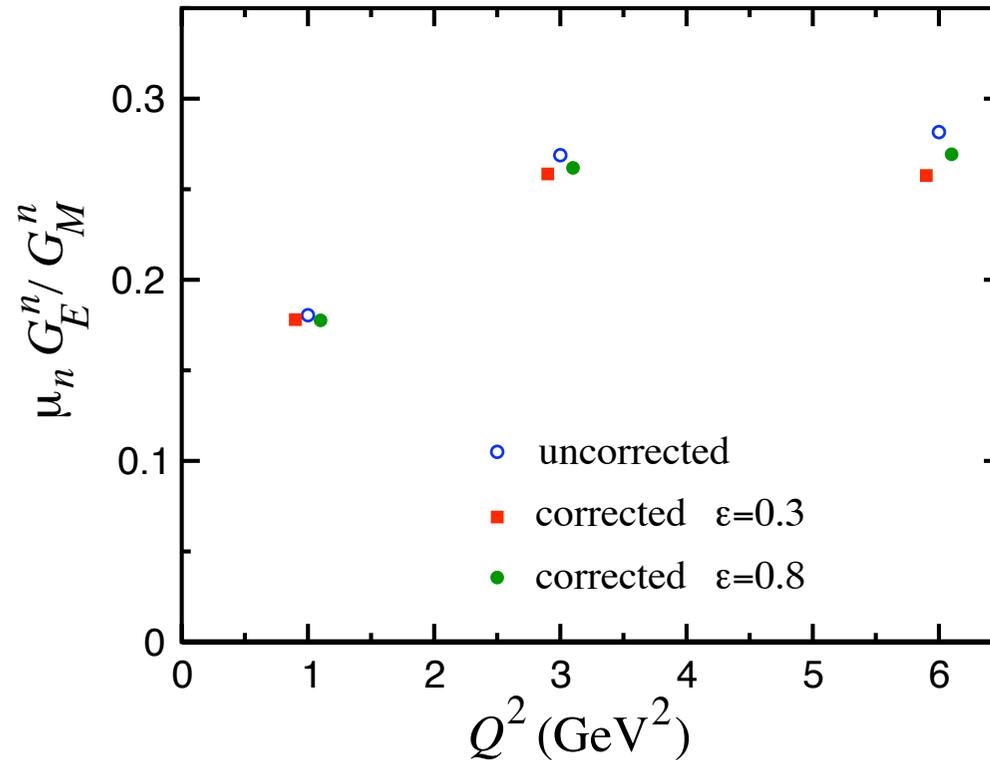
# Effect on neutron LT form factors



➔ large effect at high  $Q^2$  for LT-separation method

➔ LT method unreliable for neutron

# Effect on neutron PT form factors



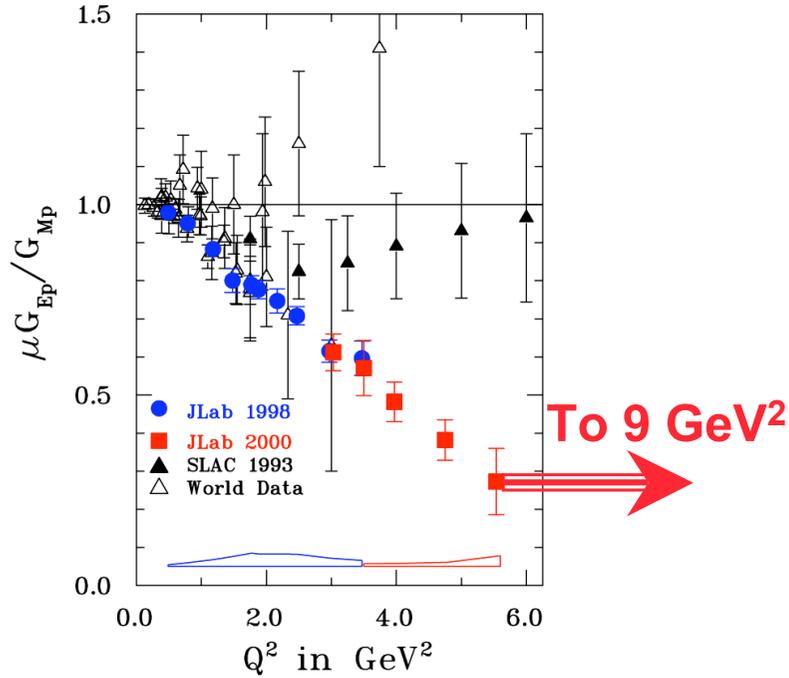
→ small correction for PT

→ 4% (3%) suppression at  $\epsilon = 0.3$  (0.8) for  $Q^2 = 3$  GeV<sup>2</sup>  
10% (5%) suppression at  $\epsilon = 0.3$  (0.8) for  $Q^2 = 6$  GeV<sup>2</sup>

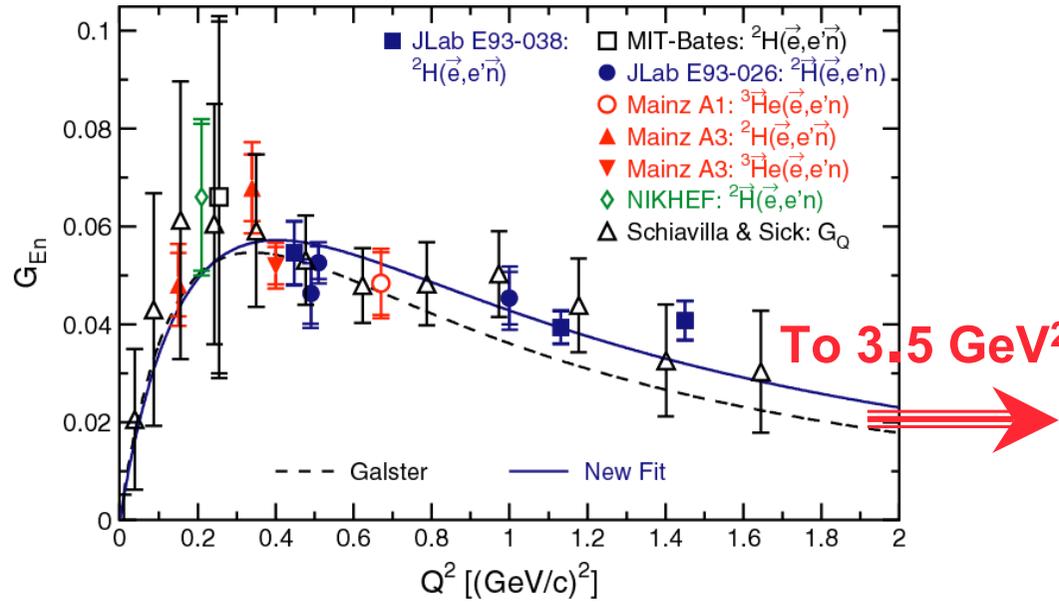
# Next 5 years

Electric

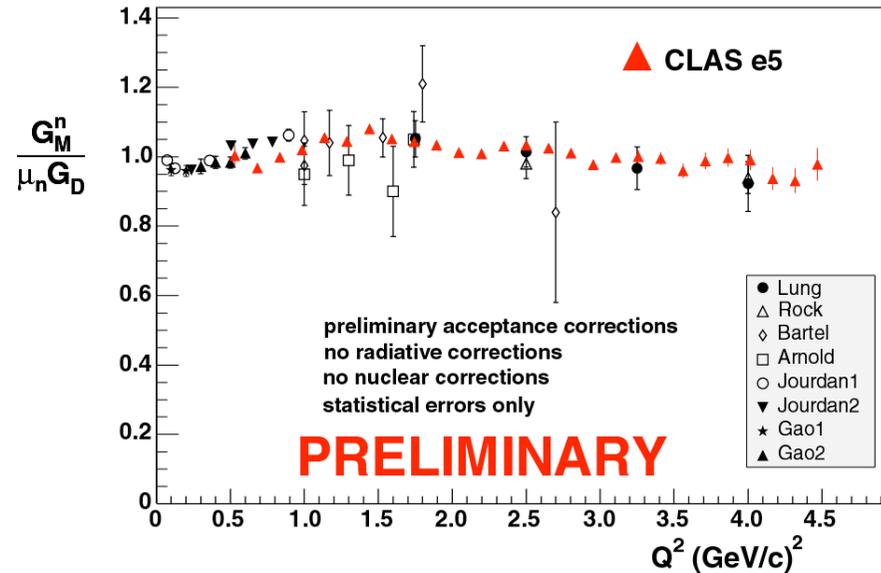
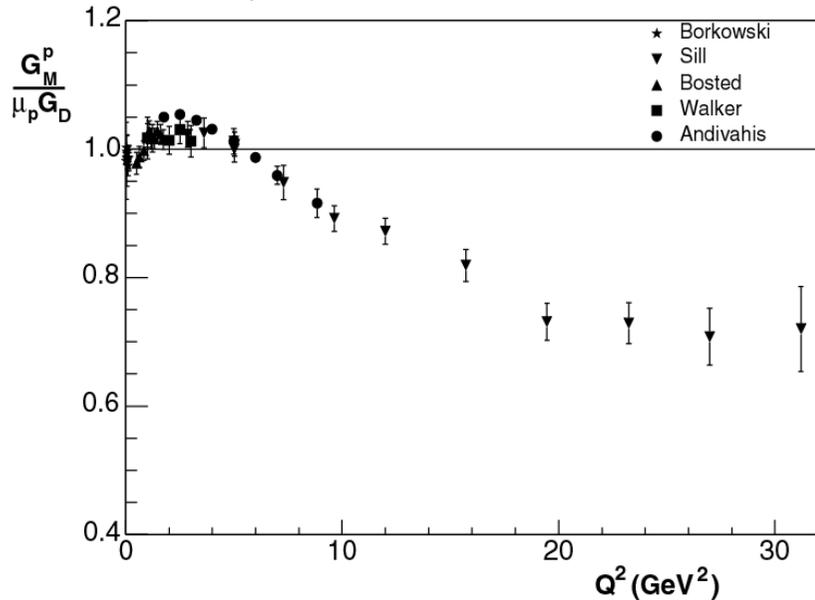
proton



neutron



Magnetic



4.

## Form factors

- *strangeness in the nucleon*

# Strangeness Widely Believed to Play a Major Role – Does It?

- As much as 100 to 300 MeV of proton mass:

$$M_N = \langle N(P) | -\frac{9\alpha_s}{4\pi} \text{Tr}(G_{\mu\nu}G^{\mu\nu}) + m_u \bar{\psi}_u \psi_u + m_d \bar{\psi}_d \psi_d + m_s \bar{\psi}_s \psi_s | N(P) \rangle$$

$$\Delta M_N^{\text{strange}} = \frac{y m_s}{m_u + m_d} \sigma_N$$

$y = 0.2 \pm 0.2$        $\sigma_N = 45 \pm 8 \text{ MeV}$

→  $\Delta M_N^{\text{strange}} \sim 110 \pm 110 \text{ MeV}$

- Through proton spin crisis:  
As much as 10% of the spin of the proton
- HOW MUCH OF THE MAGNETIC FORM FACTOR?

# Strangeness in the Nucleon

Proton and neutron electromagnetic form factors give two combinations of 3 unknowns

$$G_{E,M}^p = \frac{2}{3}G_{E,M}^u - \frac{1}{3}G_{E,M}^d - \frac{1}{3}G_{E,M}^s$$

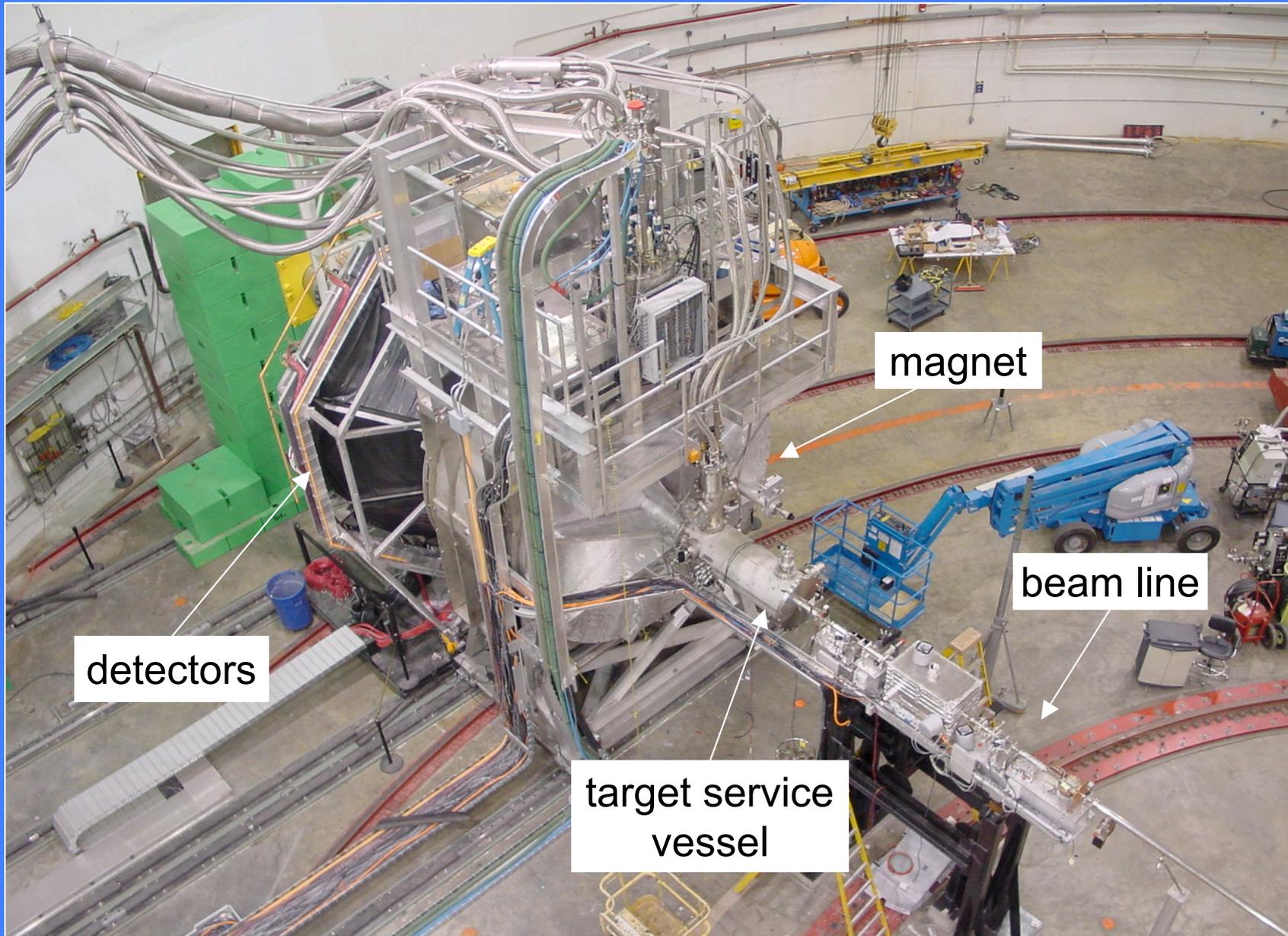
$$G_{E,M}^n = \frac{2}{3}G_{E,M}^d - \frac{1}{3}G_{E,M}^u - \frac{1}{3}G_{E,M}^s$$

→ need 3rd observable to extract  $G_{E,M}^s$

→ parity-violating  $e$  scattering (interference of  $\gamma$  and  $Z^0$  exchange)

# Strangeness in the Nucleon

## G0 Experiment at Jefferson Lab

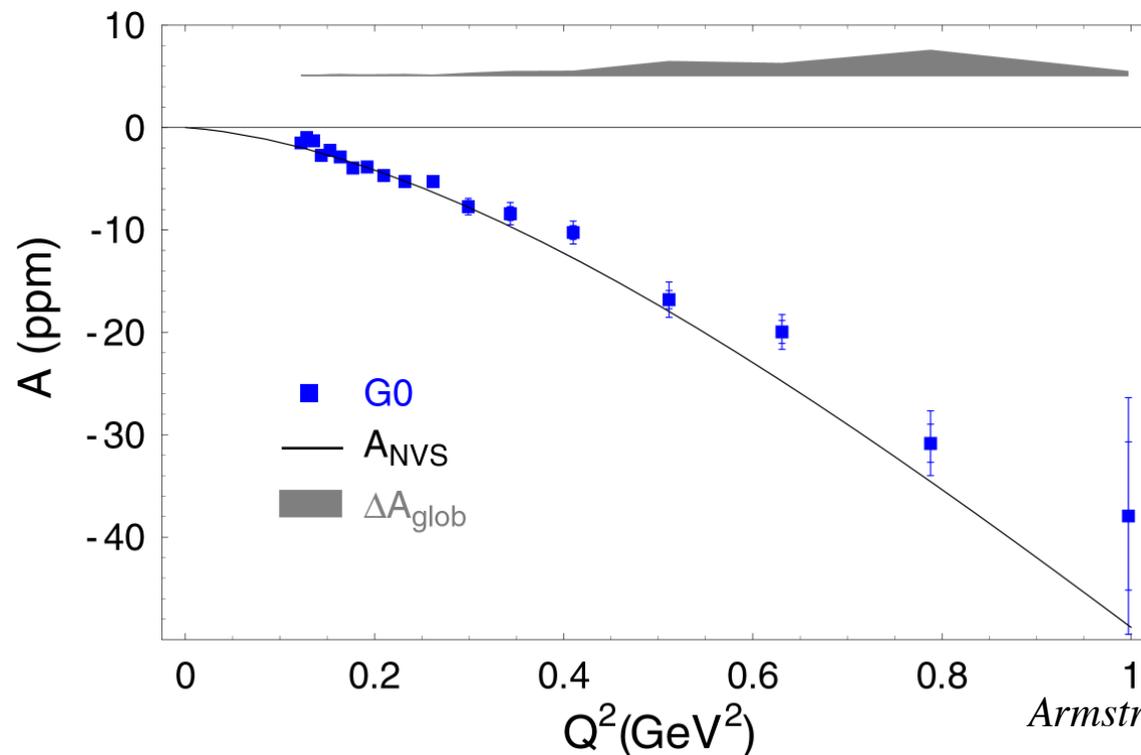


# Strangeness in the Nucleon

## Parity-violating $e$ scattering

$$A^{PV} = \frac{\sigma_R - \sigma_L}{\sigma_R + \sigma_L} = \left[ \frac{-G_F Q^2}{\pi\alpha\sqrt{2}} \right] \frac{\varepsilon G_E^{p\gamma} G_E^{pZ} + \tau G_M^{p\gamma} G_M^{pZ} - \frac{1}{2}(1 - 4\sin^2\theta_W)\varepsilon' G_M^{p\gamma} G_A^{pZ}}{\varepsilon(G_E^{p\gamma})^2 + \tau(G_M^{p\gamma})^2}$$

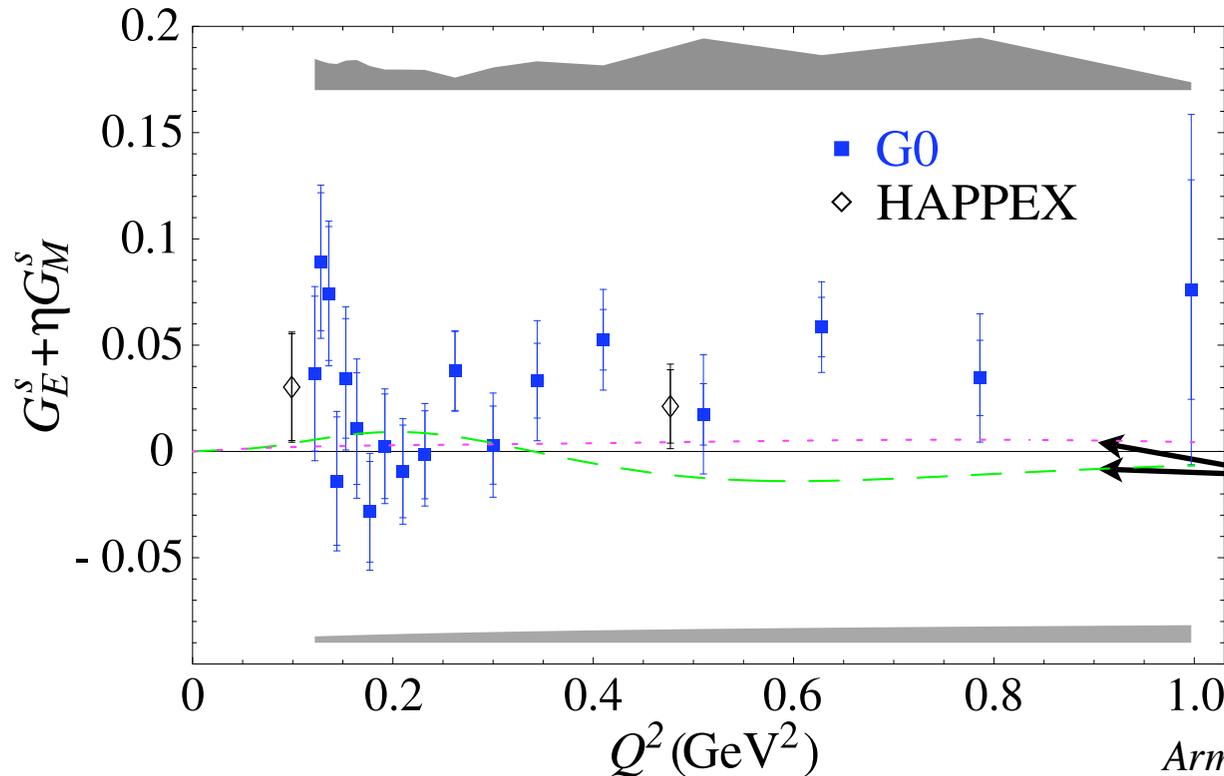
$$G_{E,M}^{pZ} = \frac{1}{4}(G_{E,M}^{p\gamma} - G_{E,M}^{n\gamma}) - \sin^2\theta_W G_{E,M}^{p\gamma} - \frac{1}{4}G_{E,M}^S$$



Armstrong et al. [G0 Collaboration]  
nucl-ex/0506021

# Strangeness in the Nucleon

## Parity-violating $e$ scattering



$$\eta = \tau G_M / \varepsilon G_E$$
$$\sim 0.94 Q^2$$

dependence of  
“zero-point” on  
e.m. form factors

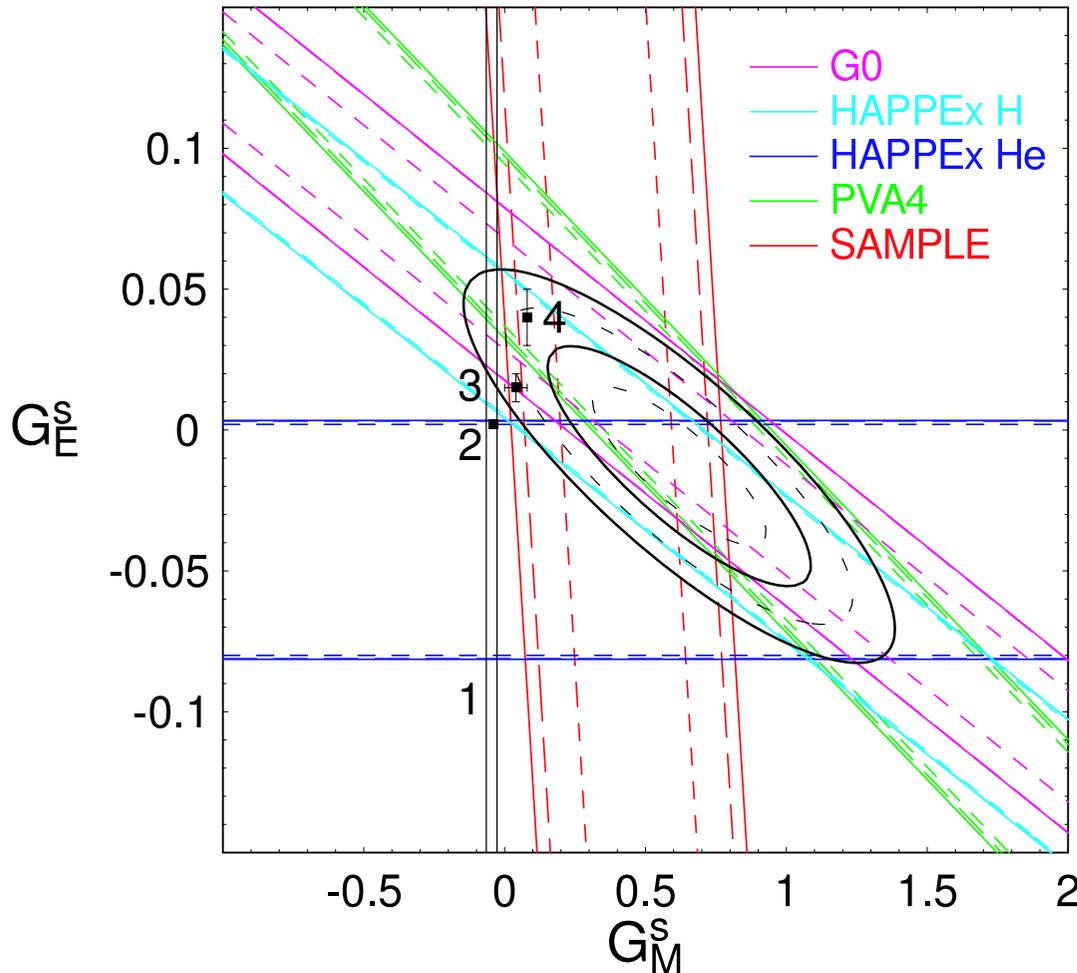
Armstrong et al. [G0 Collaboration]  
nucl-ex/0506021

➡ intriguing  $Q^2$  dependence !

➡ trend to positive values at larger  $Q^2$

# Strangeness in the Nucleon

combined world data at  $Q^2 = 0.1 \text{ GeV}^2$



$$G_E^s = -0.013 \pm 0.028$$

$$G_M^s = +0.62 \pm 0.31$$

( $\pm 0.62 \text{ } 2\sigma$ )

## Theories

1. Leinweber, et al. *lattice*  
PRL **94** (05) 212001
2. Lyubovitskij, et al. *chiral quark model*  
PRC **66** (02) 055204
3. Lewis, et al. *chiral EFT*  
PRD **67** (03) 013003
4. Silva, et al. *quark soliton model*  
PRD **65** (01) 014016

➡ huge effect!

➡ can theory explain result?

# Lattice Results

Dong *et al.* PRD(1998)  $G_M^S = -0.36 \pm 0.20$

Mathur & Dong NPB(2001)  $G_M^S = -0.27 \pm 0.10$

Lewis *et al.* PRD(2003)  $G_M^S(0.1 \text{ GeV}^2) = +0.05 \pm 0.06$

---

Leinweber *et al.* PRL(2005)  $G_M^S = -0.046 \pm 0.019$

# Charge Symmetry Constraint

$$p = \frac{2}{3}u^p - \frac{1}{3}u^n + O_N$$

$$n = -\frac{1}{3}u^p + \frac{2}{3}u^n + O_N$$



$$3O_N = 2p + n - u^p$$

$$3O_N = p + 2n - u^n$$

*Lattice QCD*



$$\Sigma^+ = \frac{2}{3}u^\Sigma - \frac{1}{3}s^\Sigma + O_\Sigma$$

$$\Sigma^- = -\frac{1}{3}u^\Sigma - \frac{1}{3}s^\Sigma + O_\Sigma$$



$$\Sigma^+ - \Sigma^- = u^\Sigma$$

Ross Young et al.  
(JLab/CSSM)

$$3O_N = 2p + n - \frac{u^p}{u^\Sigma} (\Sigma^+ - \Sigma^-)$$

$$3O_N = p + 2n - \frac{u^n}{u^\Xi} (\Xi^0 - \Xi^-)$$

# Disconnected Loops

$$O_N = \text{[Diagram: a circle with an arrow and 'x' on top, above three horizontal lines]} \begin{matrix} u, d, s \\ \text{“}l\text{” loop contribution} \end{matrix} = \frac{2}{3} {}^l G_M^u - \frac{1}{3} {}^l G_M^d - \frac{1}{3} {}^l G_M^s$$

$$O_N = -\frac{1}{3} ({}^l G_M^d + {}^l G_M^s)$$

$$= \frac{{}^l G_M^s}{3} \left( \frac{1 - {}^l R_d^s}{{}^l R_d^s} \right)$$

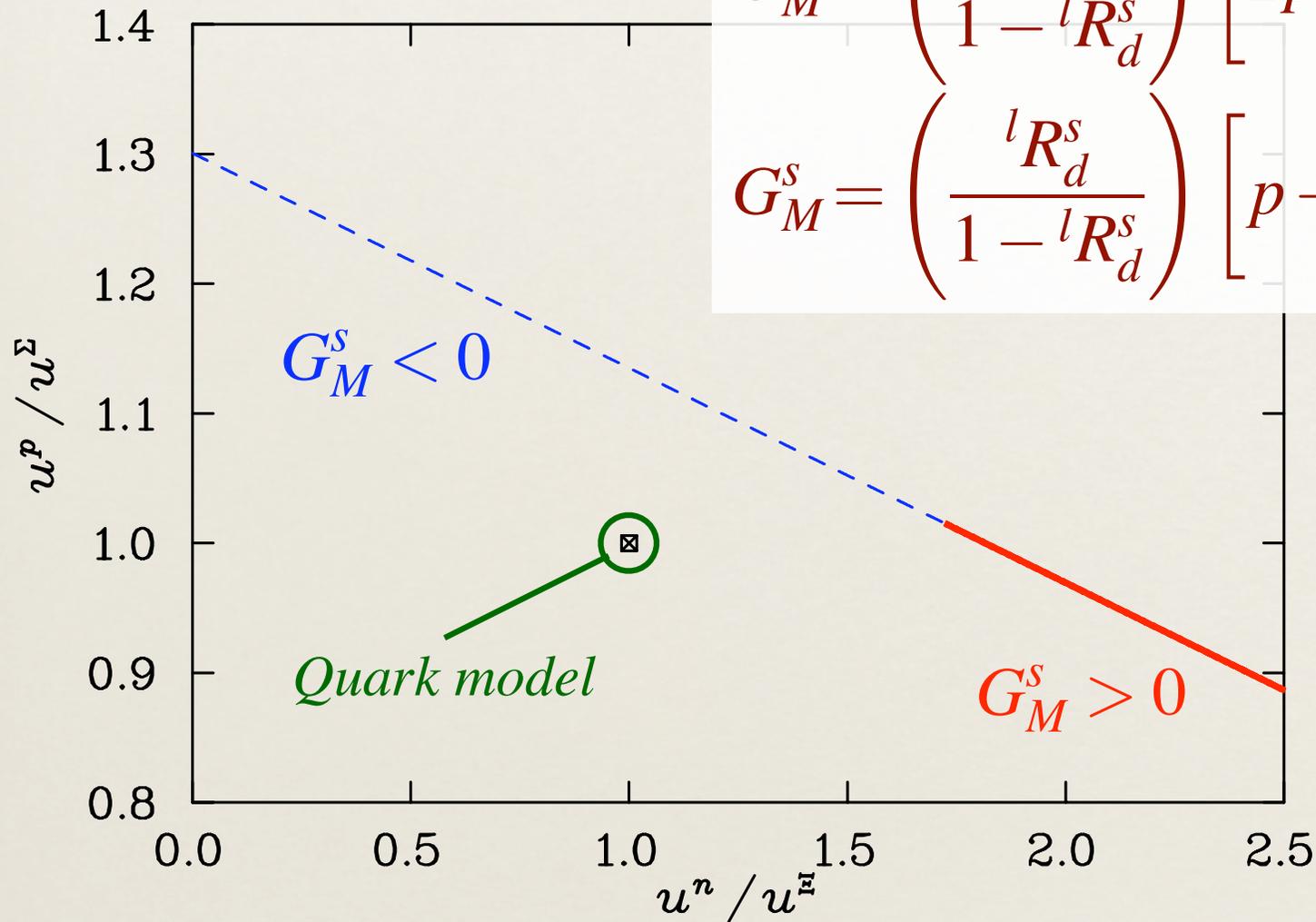
$${}^l G_M^u = {}^l G_M^d$$

*QCD equality for  $m_u = m_d$*

$${}^l R_d^s = {}^l G_M^s / {}^l G_M^d = 0.139 \pm 0.042$$

*chiral phenomenology*

# Constraint on GMs

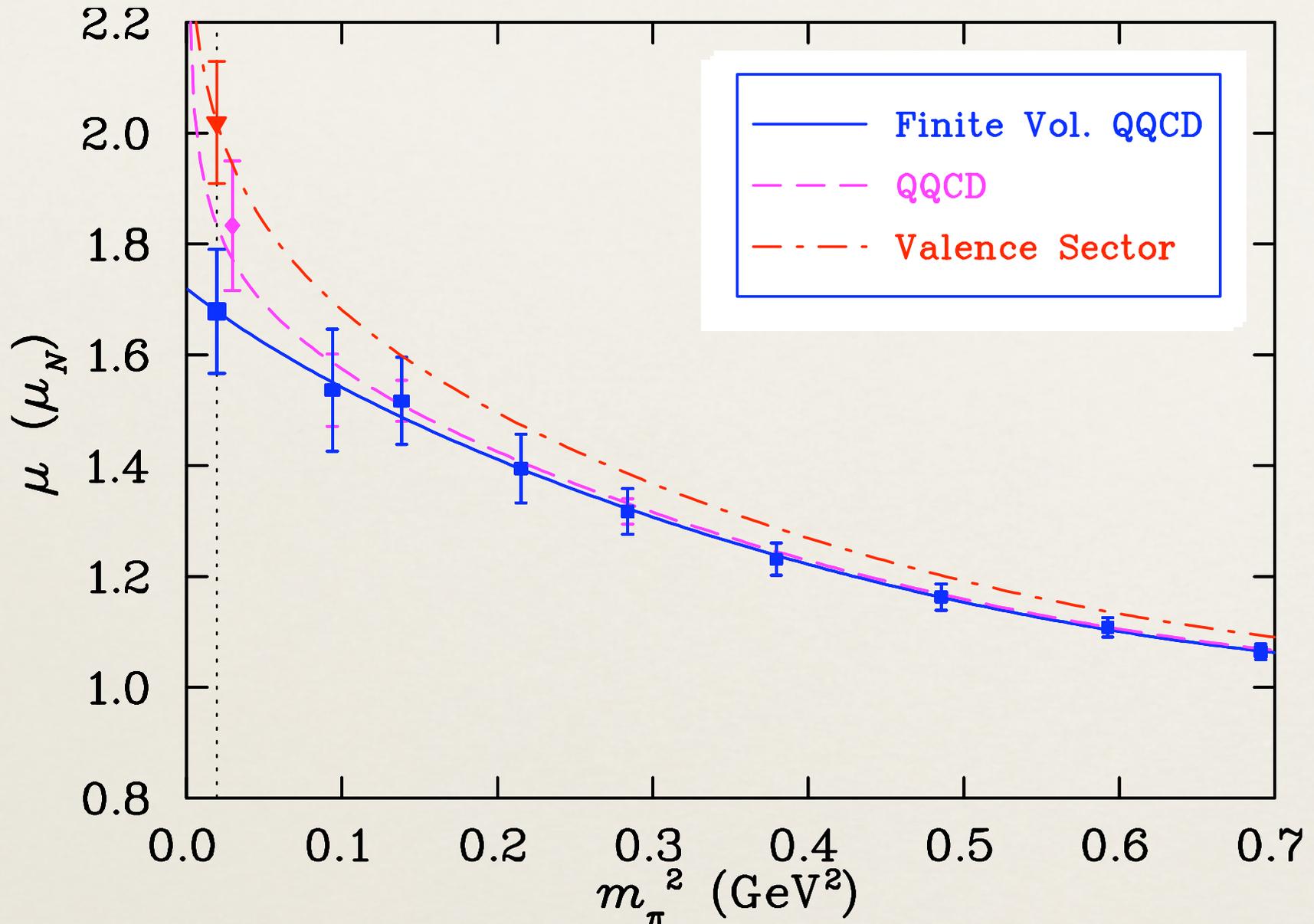


$$G_M^s = \left( \frac{{}^l R_d^s}{1 - {}^l R_d^s} \right) \left[ 2p + n - \frac{u^p}{u^\Sigma} (\Sigma^+ - \Sigma^-) \right]$$

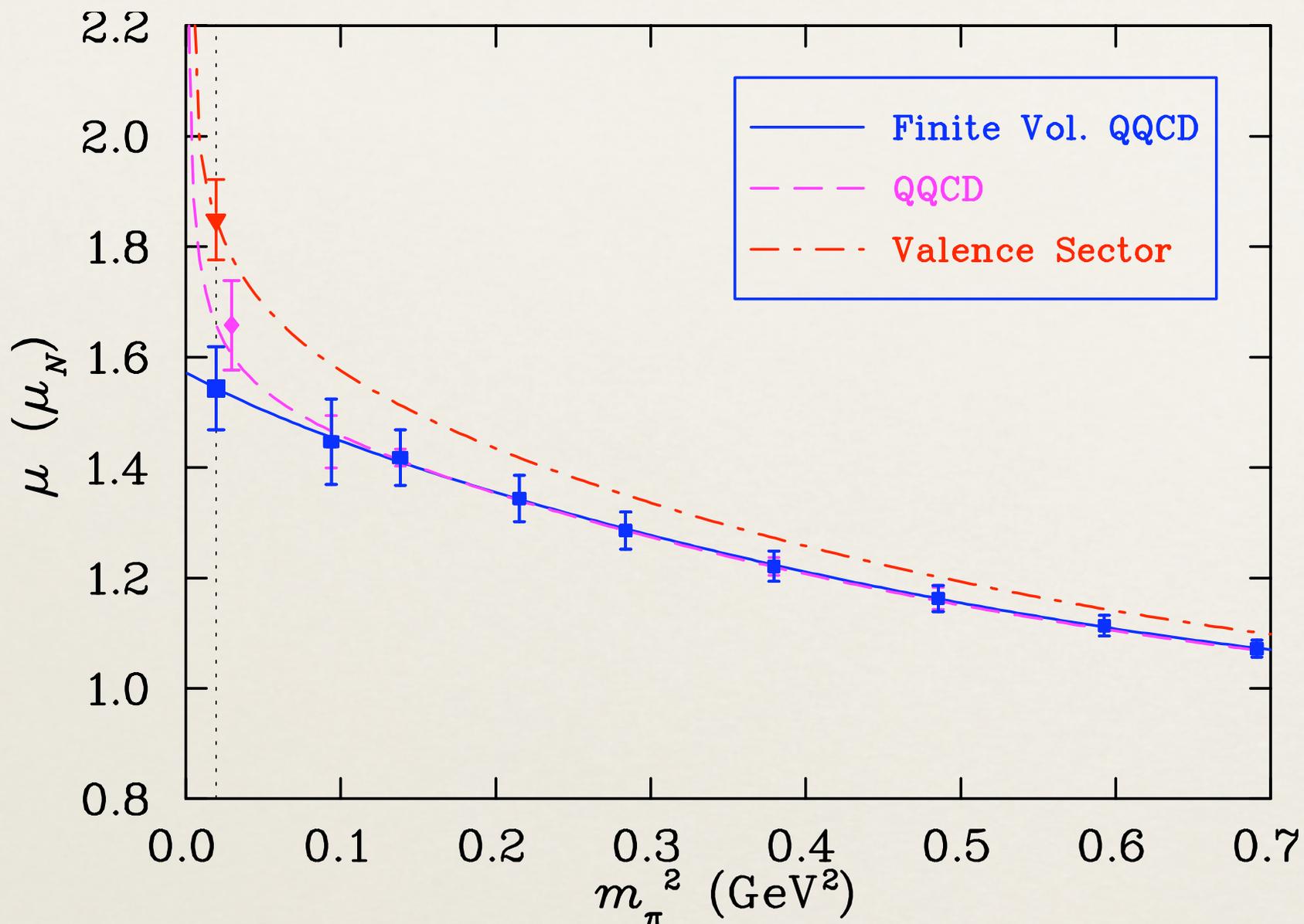
$$G_M^s = \left( \frac{{}^l R_d^s}{1 - {}^l R_d^s} \right) \left[ p + 2n - \frac{u^n}{u^\Xi} (\Xi^0 - \Xi^-) \right]$$

*Lattice QCD*

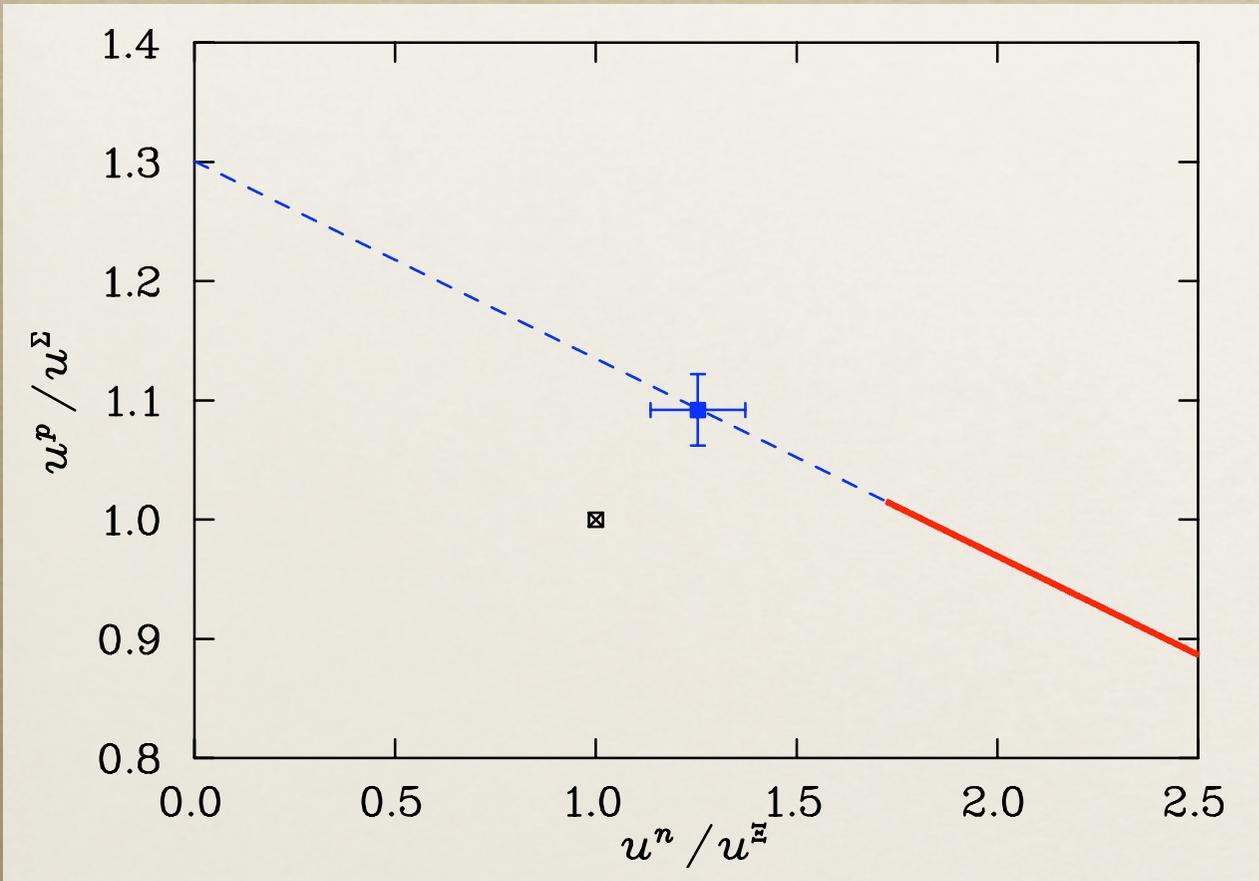
# $u$ -quark in the proton



# $u$ -quark in the Sigma



# Final Result

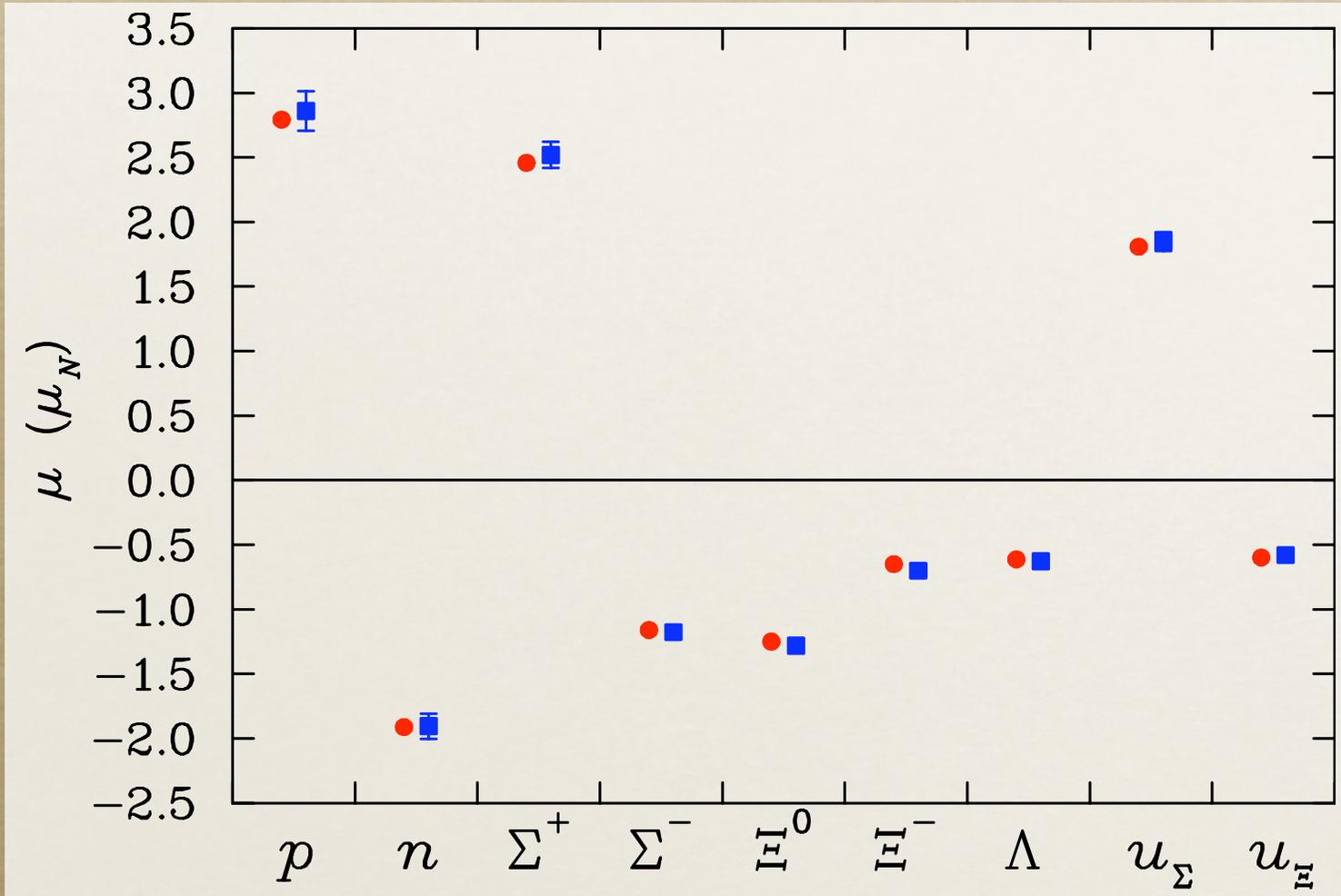


$$\frac{u^p}{u^\Sigma} = 1.092 \pm 0.030$$

$$\frac{u^n}{u^\Xi} = 1.254 \pm 0.124$$

$$G_M^S = -0.046 \pm 0.019 \mu_N$$

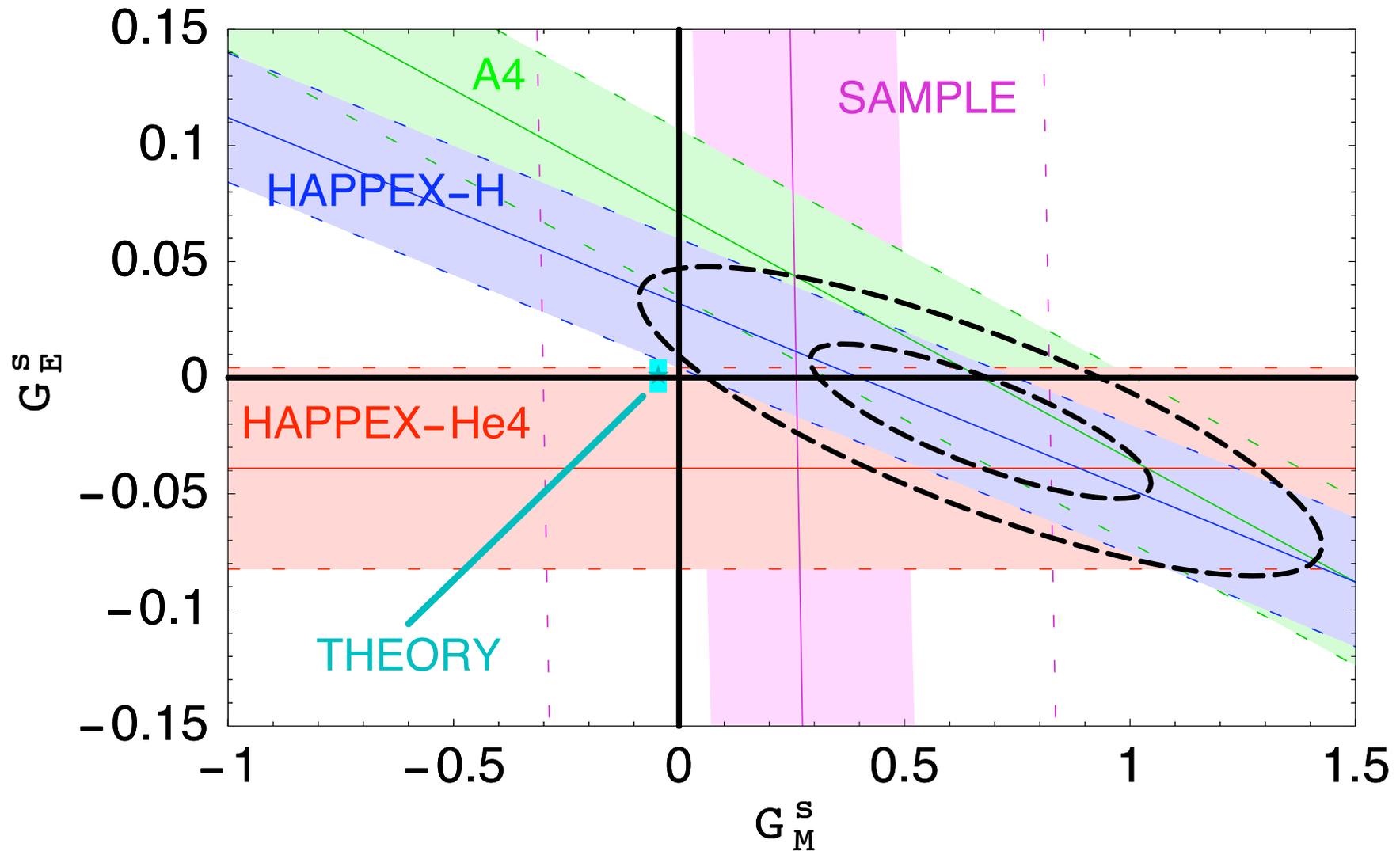
# Magnetic Moments



Leinweber *et al.* PRL(2005)

# Repeat analysis for strange electric form factor

→  $G_E^s(Q^2 = 0.1) = +0.001 \pm 0.004 \pm 0.003$



# Summary - Form Factors

- Surprisingly different behavior for  $G_E^p$  and  $G_M^p$ 
  - different charge and magnetization distributions
- $2\gamma$  exchange needed to resolve discrepancy between LT and PT measurements of  $G_E^p/G_M^p$ 
  - reached limit of applicability of  $1\gamma$  exchange in elastic  $eN$  scattering
- Strange magnetic moment large and positive
  - *cf.* lattice QCD/phenomenology, which gives very small and negative value
  - G0 backward angle run in 2006-2007 will determine  $G_E^s$  and  $G_M^s$  separately

slides at [www.jlab.org/div\\_dept/theory/talks/index.html](http://www.jlab.org/div_dept/theory/talks/index.html)

Thank you students - good luck!

Thank you Bruce!