

# Theoretical challenges in semi-inclusive DIS

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Nobuo Sato

University of Connecticut

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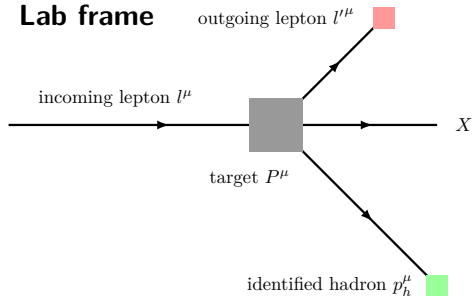


# Outline

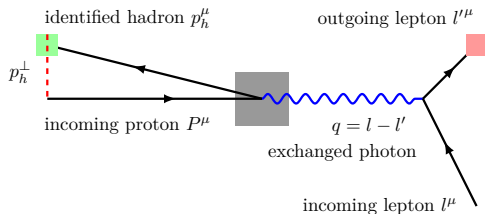
- Basic theory aspects of SIDIS
- Highlight existing issues of the framework
- Highlights recent progress

# Semi inclusive deep inelastic scattering (SIDIS)

## Lab frame



## Breit frame



- Process is dominated by one photon exchange with large virtuality  $Q^2 \gg \lambda_{\text{QCD}}$
- In the Breit frame the proton and the photon has zero transverse momentum.
- The detected hadron has  $p_h^\perp$  relative to proton-photon axis
- **Key question :** How is  $p_h^\perp$  generated at short distances?

# Kinematic regions

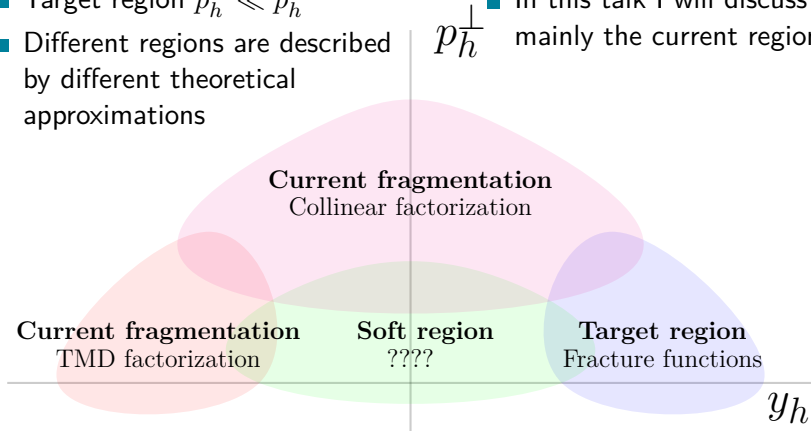
- Detected hadron's rapidity

$$y_h = \frac{1}{2} \ln \left( \frac{p_h^+}{p_h^-} \right)$$

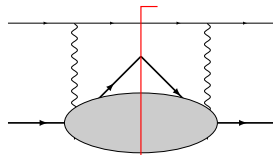
- Current region  $p_h^- \gg p_h^+$
- Target region  $p_h^- \ll p_h^+$
- Different regions are described by different theoretical approximations

- The higher the c.o.m energy, the larger the separation among the regions

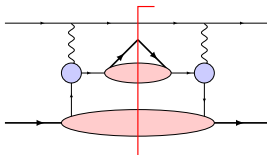
- In this talk I will discuss mainly the current regions



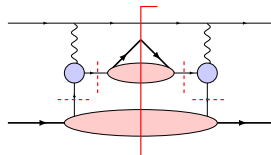
# Factorization in the current region



Full contribution

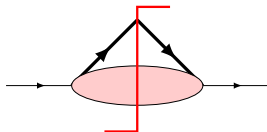


Leading regions: Hard and soft blobs

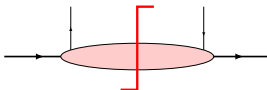


Kinematic approximations to separate the soft blobs from hard blob

- Each blob represents all possible Feynman graphs with restricted power counting for their internal propagators
- The soft parts can have a physical interpretation

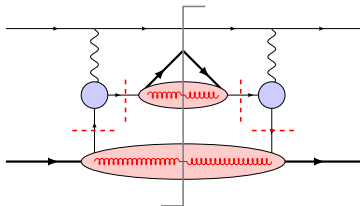
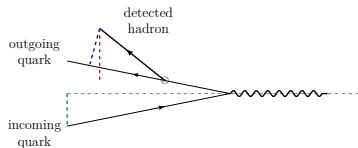
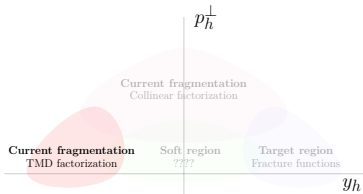


→ parton to hadron fragmentation functions (FFs)

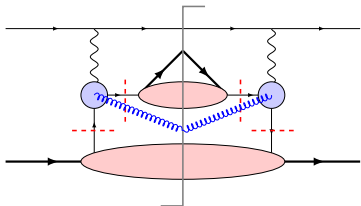
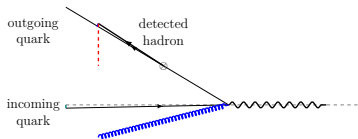
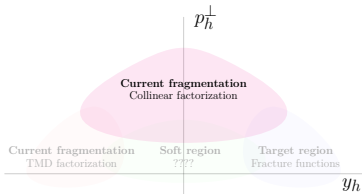


→ parton in hadron distribution functions (PDFs)

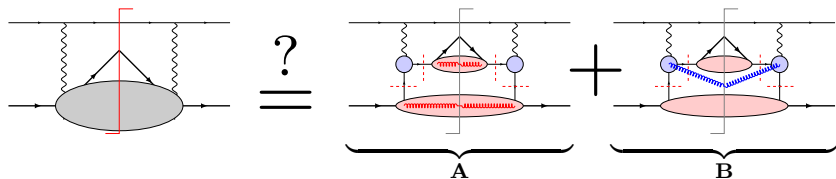
## Small transverse momentum



## Large transverse momentum



# Combining large and small $p_h^\perp$ approximation



- **A** is only optimal for **small**  $p_h^\perp$  and its accuracy degrades for **large**  $p_h^\perp$
- **B** is only optimal for **large**  $p_h^\perp$  and its accuracy degrades for **small**  $p_h^\perp$
- The  $p_h^\perp$  regions where the accuracy of **A** and **B** degrade, their contributions need to be suppressed
- The two approximations necessarily overlap in regions where  $p_h^\perp$  is neither small or large. One needs to avoid the double counting
- The latter two issues are resolved by using a **subtraction** method known as  $W + Y$

# The subtraction method $W + Y$

## ■ SIDIS reaction

$$l + P \rightarrow l' + p_h + X$$

## ■ SIDIS invariants

$$q = l - l' \quad Q^2 = -q^2$$

$$x = \frac{Q^2}{2P \cdot q} \quad z = \frac{P \cdot p_h}{P \cdot q}$$

$$q_T = p_h^\perp / z$$

## ■ SIDIS cross section

$$\Gamma \equiv \frac{d\sigma}{dx dQ^2 dz dq_T}$$

## ■ The $W+Y$ construction

$$\begin{aligned} \Gamma &= \Gamma \\ &= \mathbf{T}_{\text{TMD}} \Gamma + [\Gamma - \mathbf{T}_{\text{TMD}} \Gamma] \\ &= \underbrace{\mathbf{T}_{\text{TMD}} \Gamma}_{\mathbf{W}} + \underbrace{\mathbf{T}_{\text{coll}} [\Gamma - \mathbf{T}_{\text{TMD}} \Gamma]}_{\mathbf{Y}} \\ &\quad + \mathcal{O}(m^2/Q^2) \Gamma \end{aligned}$$

## ■ Nomenclature

$$\mathbf{W} \equiv \mathbf{T}_{\text{TMD}} \Gamma$$

$$\mathbf{FO} \equiv \mathbf{T}_{\text{coll}} \Gamma$$

$$\mathbf{ASY} \equiv \mathbf{T}_{\text{coll}} \mathbf{T}_{\text{TMD}} \Gamma$$

$$\mathbf{Y} \equiv \mathbf{FO} - \mathbf{ASY}$$

# Example of the subtraction method

Moffat, Melnitchouk, Rogers, NS 2017 (PRD.95.096008)

- Consider inclusive DIS ( $l + P \rightarrow l' + X$ ) in scalar diquark model with

$$\mathcal{L}_{\text{int}} = -\lambda \bar{\Psi}_N \psi_q \phi + \text{H.C.}$$

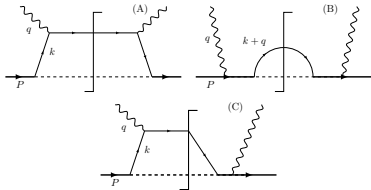
$$E' \frac{d\sigma}{d^3l'} = \frac{\alpha^2 L_{\mu\nu} W^{\mu\nu}}{2\pi(s - M^2)Q^4}$$

- $L_{\mu\nu}$  is the leptonic tensor and  $W^{\mu\nu}$  is the hadronic tensor

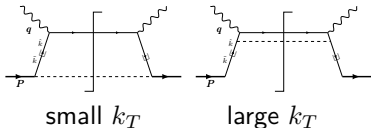
$$W^{\mu\nu} = \sum_i C_i^{\mu\nu} F_i(x, Q^2)$$

$$F_i(x, Q^2) = \int \frac{d^2\mathbf{k}_T}{(2\pi)^2} \mathcal{F}_i(x, Q^2, k_T^2)$$

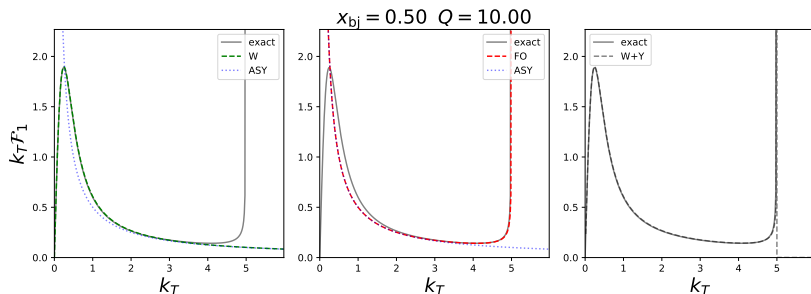
- Contributions at lowest order in  $\lambda$



- Approximations



# Example of the subtraction method



- Exact and “FO” has an upper  $k_T$  limit and its singular behavior is due to the jacobian peak
- In contrast “W” and “ASY” has no upper  $k_T$  limit.
- The  $k_T$  integration of “W” and “ASY” have logarithmic divergence. They need to be renormalized individually.
- “FO” diverges as  $k_T \rightarrow 0$ . Its singularity is canceled by “ASY”
- A finite  $F_1$  is given by  $F_1 = \int \frac{d^2 k_T}{(2\pi)^2} [W - ASY + FO]$

# Connection with collinear SIDIS

Collins, Gamberg,  
Prokudin, Rogers,  
NS, Wang 2016  
(PRD94,034014)

- **TMD factorization:** designed to be an optimal calculation point-by-point in  $q_T$

$$\frac{d\sigma^{\text{TMD}}}{dx dQ^2 dz dq_T} \equiv \Gamma = \underbrace{\mathbf{T}_{\text{TMD}} \Gamma}_{\mathbf{W}} + \underbrace{\mathbf{T}_{\text{coll}} [\Gamma - \mathbf{T}_{\text{TMD}} \Gamma]}_{\mathbf{Y}} + \mathcal{O}(m^2/Q^2) \Gamma$$

- **Collinear factorization:** designed to describe the  $q_T$  integrated cross section

$$\frac{d\sigma^{\text{coll}}}{dx dQ^2 dz} = \frac{d\sigma^{\text{Born}}}{dx dQ^2 dz} + \frac{d\sigma^{\text{Virtual}}}{dx dQ^2 dz} + \frac{d\sigma^{\text{Real}}}{dx dQ^2 dz} - \frac{d\sigma^{\text{CC}}}{dx dQ^2 dz}$$

- Can we relate both formalism? e.g.

$$\frac{d\sigma^{\text{coll}}}{dx dQ^2 dz} \stackrel{?}{=} \int dq_T \frac{d\sigma^{\text{TMD}}}{dx dQ^2 dz dq_T}$$

$$\sum_q e_q^2 f_1^q(x, Q^2) D_{h/q}(z, Q^2) \stackrel{?}{=} \int dq_T W(q_T)$$

# Connection with collinear SIDIS

Collins, Gamberg,  
Prokudin, Rogers,  
NS, Wang 2016  
(PRD94,034014)

$$\frac{d\sigma^{\text{TMD}}}{dx dQ^2 dz dq_T} \equiv \Gamma = \frac{H}{z^2} \int_0^\infty \frac{d^2 \mathbf{b}}{(2\pi)^2} e^{i \mathbf{q}_T \cdot \mathbf{b}} \widetilde{W}(b_*) + Y$$

$$\widetilde{W}(b_*) \equiv e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{f_1}(Q, b) - S_{\text{NP}}^{\text{D}_1}(Q, b)} \widetilde{F}(b_*)$$

$$\widetilde{F}(b_*) = \sum_q e_q^2 \left( \hat{C}_{q \leftarrow i}^{f_1} \otimes f_1^i(x, \mu_b) \right) \left( \hat{C}_{j \leftarrow q}^{\text{D}_1} \otimes D_{h/j}(z, \mu_b) \right)$$

$$b_*(b) = \sqrt{\frac{b^2}{1 + b^2/b_{\text{max}}^2}}$$

## ■ Limiting behavior

$$\lim_{b \rightarrow 0} \widetilde{b}_*(b) = 0$$

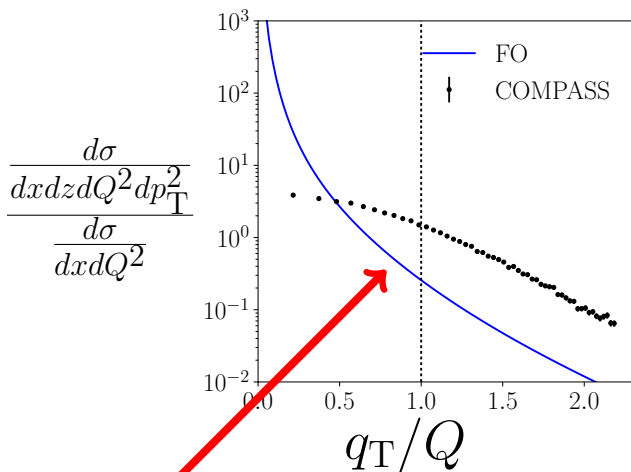
$$\lim_{b \rightarrow 0} \widetilde{W}(b_*) = 0$$

$$\begin{aligned} \int d^2 \mathbf{q}_T W(q_T) &= \int d^2 \mathbf{q}_T \int \frac{d^2 \mathbf{b}}{(2\pi)^2} e^{i \mathbf{q}_T \cdot \mathbf{b}} \widetilde{W}(b_*) \\ &= \int d^2 \mathbf{b} \delta^{(2)}(\mathbf{b}) \widetilde{W}(b_*) \\ &= 0 \end{aligned}$$

**solution:**

$$b_c(b) = \sqrt{b^2 + b_0^2/(C_5 Q)^2} \quad \widetilde{W}(b_*) \rightarrow \widetilde{W}(b_c(b_*))$$

# Does it work?



## Kinematics

$$Q^2 = 1.92 \text{ GeV}^2$$

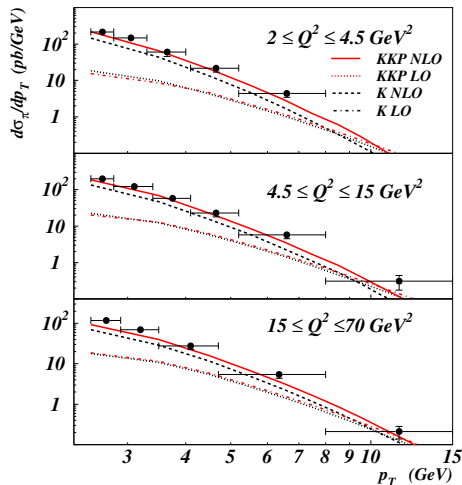
$$x = 0.0318$$

$$z = 0.375$$

- Need order  $\alpha_S^2$  or beyond?
- Soft gluon resummation?
- Subleading power corrections?

**The unpolarized SIDIS cross sections needs to be ready to interpret upcoming TMD data from JLab 12**

# order $\alpha_S^2$ corrections to FO

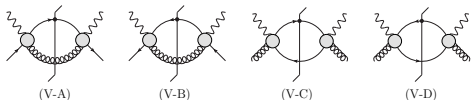
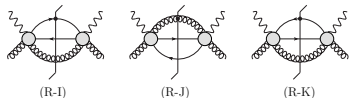
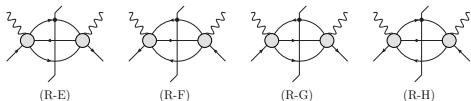
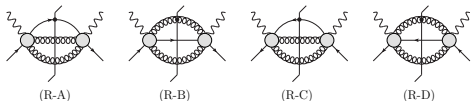


Daleo, et al. (2005)  
PRD.71.034013

- There are strong indications that order  $\alpha_S^2$  corrections are very important
- The seems to give an order of magnitude of corrections at small  $p_T$
- It is possible that the Y term improves significantly
- Unfortunately, codes are not publicly available

# order $\alpha_S^2$ corrections to FO

Gonzalez, Rogers, NS, Wang (2018)

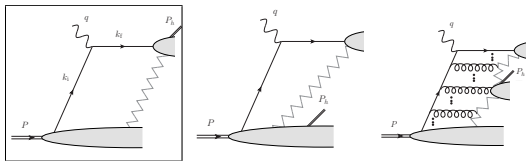


- We are calculating order  $\alpha_S^2$  corrections to the Y term ourselves
- Preliminary calculation of all the contributions are available which shows cancellation of all IR singularities
- At present we are checking carefully the results

# Analysis of SIDIS kinematics

Boglione, Collins, Gamberg, Gonzalez, Rogers, NS  
(2017) PLB 766

- Can we apply factorization theorems in SIDIS measurements?
- Factorization demands that

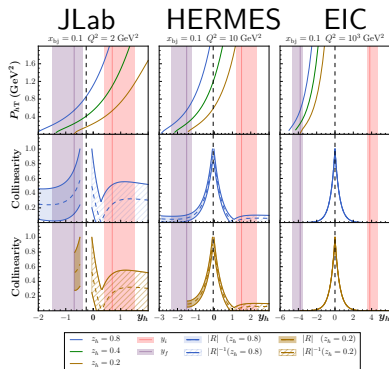


$$p_h \cdot k_f = \mathcal{O}(m^2)$$

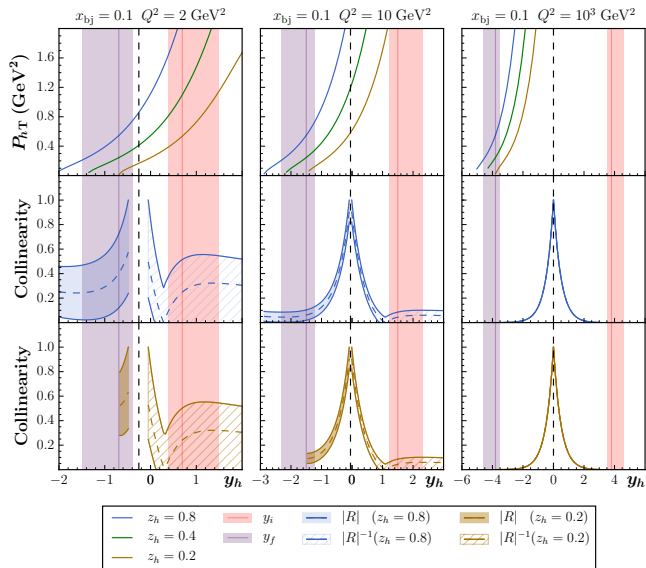
$$p_h \cdot k_i = \mathcal{O}(Q^2)$$

- Define a *collinearity* parameter

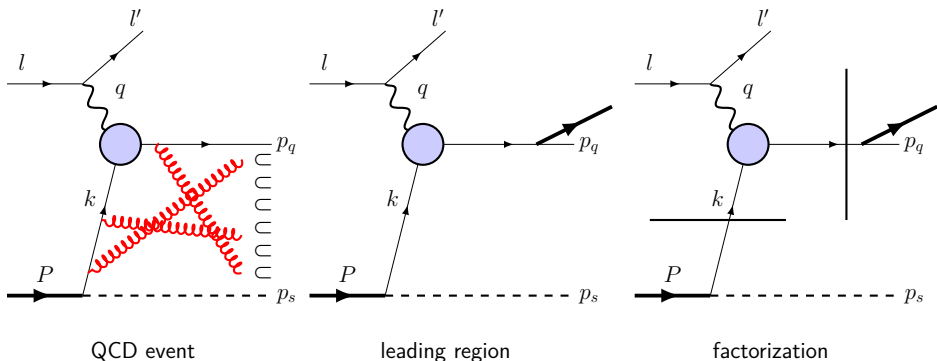
$$R = \frac{(p_h \cdot k_f)}{(p_h \cdot k_i)} = \mathcal{O}(m^2/Q^2)$$



# Analysis of SIDIS kinematics

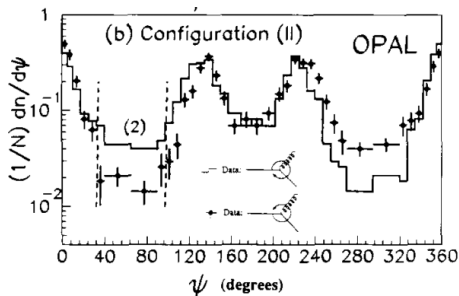
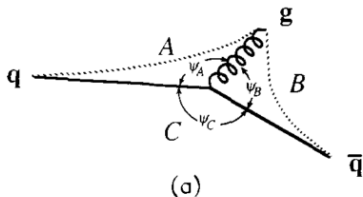


## Beyond $W+Y$



- Factorization theorem (current fragmentation):  
 $d\sigma/d\phi = H \otimes f \otimes D$
- Hadrons can also be produced in the mid rapidity region → see discussion by J. Collins arXiv:1610.09994
- String type effects are potentially important

# String effects: PLB261 (1991) (OPAL Collaboration)



- 3 Jets events:  $Q\bar{Q}$  and gluon jets. Jets are projected into a plane
- $\psi$ : angle of a given particle relative to the quark jet with the highest energy
- $\psi_A$ : angle between highest energetic jet and gluon jet
- $\psi_C$ : angle between quark jets
- Only events with  $\psi_A = \psi_C$  are kept
- **Particle flow asymmetry is observed  $\rightarrow$  evidence of string effects**

# Summary and outlook

$$\frac{d\sigma}{dx dy d\Psi dz d\phi_h dP_{hT}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \sum_{i=1}^{18} F_i(x, z, Q^2, P_{hT}^2) \beta_i$$

$F_i$	Standard label	$\beta_i$
$F_1$	$F_{UU,T}$	1
$F_2$	$F_{UU,L}$	$\varepsilon$
$F_3$	$F_{LL}$	$S_{  }\lambda_e\sqrt{1-\varepsilon^2}$
$F_4$	$F_{UT}^{\sin(\phi_h+\phi_S)}$	$ \vec{S}_{\perp} \varepsilon\sin(\phi_h+\phi_S)$
$F_5$	$F_{UT,T}^{\sin(\phi_h-\phi_S)}$	$ \vec{S}_{\perp} \sin(\phi_h-\phi_S)$
$F_6$	$F_{UT,L}^{\sin(\phi_h-\phi_S)}$	$ \vec{S}_{\perp} \varepsilon\sin(\phi_h-\phi_S)$
$F_7$	$F_{UU}^{\cos 2\phi_h}$	$\varepsilon\cos(2\phi_h)$
$F_8$	$F_{UT}^{\sin(3\phi_h-\psi_S)}$	$ \vec{S}_{\perp} \varepsilon\sin(3\phi_h-\phi_S)$
$F_9$	$F_{LT}^{\cos(\phi_h-\phi_S)}$	$ \vec{S}_{\perp} \lambda_e\sqrt{1-\varepsilon^2}\cos(\phi_h-\phi_S)$
$F_{10}$	$F_{UL}^{\sin 2\phi_h}$	$S_{  }\varepsilon\sin(2\phi_h)$
$F_{11}$	$F_{LT}^{\cos \phi_S}$	$ \vec{S}_{\perp} \lambda_e\sqrt{2\varepsilon(1-\varepsilon)}\cos\phi_S$
$F_{12}$	$F_{LL}^{\cos \phi_h}$	$S_{  }\lambda_e\sqrt{2\varepsilon(1-\varepsilon)}\cos\phi_h$
$F_{13}$	$F_{LT}^{\cos(2\phi_h-\phi_S)}$	$ \vec{S}_{\perp} \lambda_e\sqrt{2\varepsilon(1-\varepsilon)}\cos(2\phi_h-\phi_S)$
$F_{14}$	$F_{UL}^{\sin \phi_h}$	$S_{  }\sqrt{2\varepsilon(1+\varepsilon)}\sin\phi_h$
$F_{15}$	$F_{LU}^{\sin \phi_h}$	$\lambda_e\sqrt{2\varepsilon(1-\varepsilon)}\sin\phi_h$
$F_{16}$	$F_{UU}^{\cos \phi_h}$	$\sqrt{2\varepsilon(1+\varepsilon)}\cos\phi_h$
$F_{17}$	$F_{UT}^{\sin \phi_S}$	$ \vec{S}_{\perp} \sqrt{2\varepsilon(1+\varepsilon)}\sin\phi_S$
$F_{18}$	$F_{UT}^{\sin(2\phi_h-\phi_S)}$	$ \vec{S}_{\perp} \sqrt{2\varepsilon(1+\varepsilon)}\sin(2\phi_h-\phi_S)$

- I discussed the current status of  $F_{UU}$
- At present, there is no successful description of data using W+Y
- There are many results where the success is shown by restricting the  $q_T$  range and avoiding the inclusion of Y
- To make use of all the data from the upcoming JLab 12, we need to make more progress in theory to describe all the 18 structure functions