

Few-Nucleon Forces and Systems in Chiral Effective Field Theory

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Lecture 1: Chiral Perturbation Theory: the basics

Lecture 2: Inclusion of nucleon(s)

Lecture 3: Chiral EFT & nuclear forces

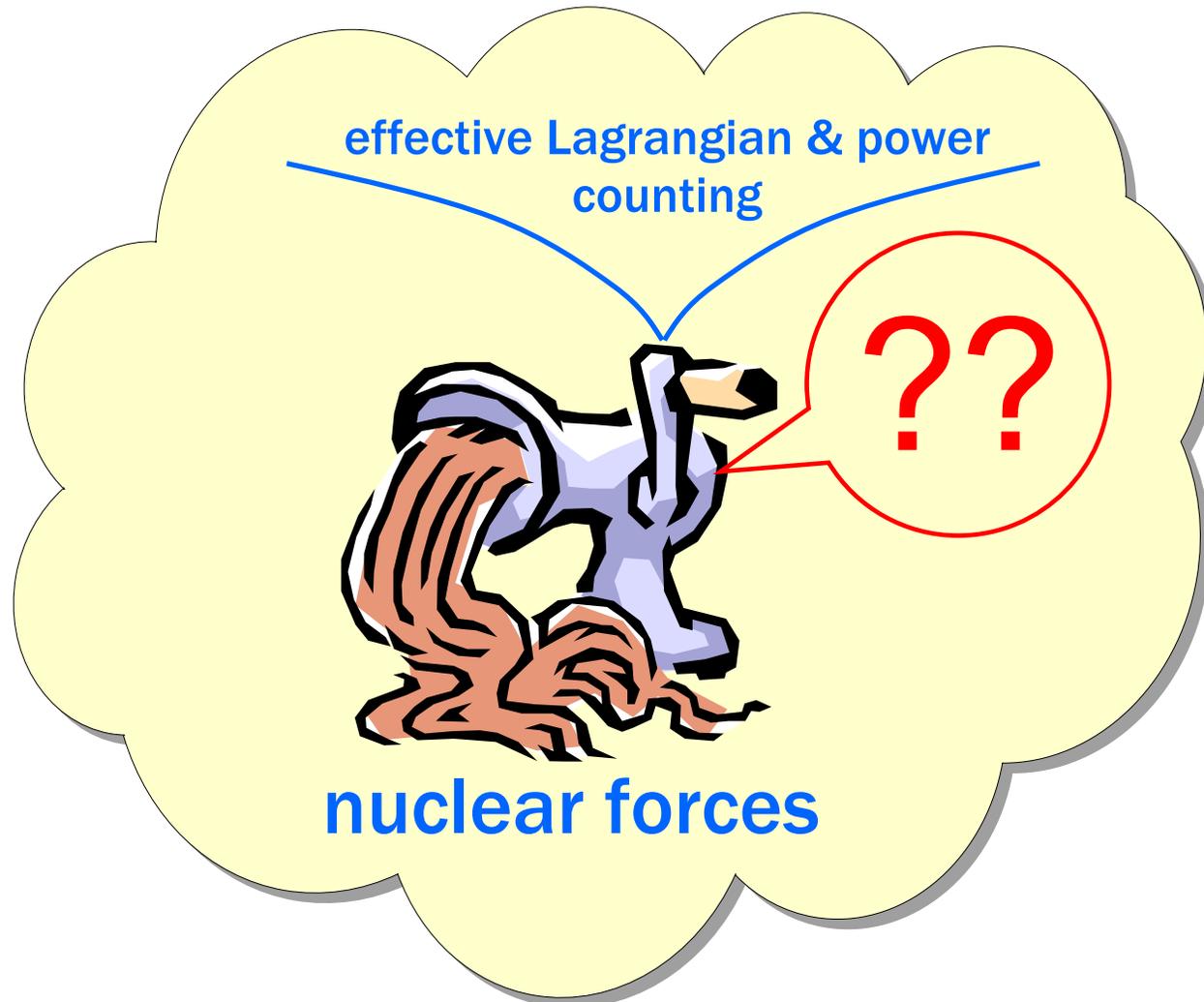
- How to derive nuclear forces from \mathcal{L}_{eff}
- The structure of nuclear forces upto N³LO
- Summary

Lecture 4: Applications

Derivation of the nuclear force

We are interested in nuclear forces rather than in the scattering amplitude

⇒ can, in general, not use Feynman diagrams. **How to derive nuclear forces??**



Nuclear potentials from chiral EFT

Energy-dependent potentials

(using old-fashioned pert. theory)

Weinberg '90,'91;

van Kolck et al. '92,'94,'96

Friar & Coon '94

Energy-independent potentials

S-matrix based

Robilotta, da Rocha '97;

Kaiser et al. '97 - '01;

Higa et al. '03,'04

Unitary transformation

E.E. et al. '98,'00,'05

Notice:

- nuclear potentials are, generally, not unique
- all schemes rely on perturb. theory (expansion in low momenta & about the χ -limit)
- most methods developed in 1950s: *Brueckner & Watson '53; Taketani et al. '52; Okubo '54, ...*

Nuclear potentials from field theory: general remarks

Consider mesons interacting with non-relativistic nucleons:

$$H = H_0 + H_I, \quad H_I = \text{---}\overset{|}{\bullet}\text{---} + \text{---}\overset{\\diagup}{\bullet}\overset{\\diagdown}{\bullet}\text{---} + \dots$$

It is convenient to decompose the full Fock space as $|\Psi\rangle = |\phi\rangle + |\psi\rangle$, where

$$|\phi\rangle \equiv |N\rangle + |NN\rangle + |NNN\rangle + \dots \quad \longleftarrow \text{no mesons}$$

$$|\psi\rangle \equiv |N\pi\rangle + |N\pi\pi\rangle + \dots + |NN\pi\rangle + \dots \quad \longleftarrow \text{at least one meson}$$

Schrödinger equation:

$$\begin{pmatrix} \eta H \eta & \eta H \lambda \\ \lambda H \eta & \lambda H \lambda \end{pmatrix} \begin{pmatrix} |\phi\rangle \\ |\psi\rangle \end{pmatrix} = E \begin{pmatrix} |\phi\rangle \\ |\psi\rangle \end{pmatrix}$$

↙ ↘ projection operators

infinite-dimensional equation due to πN -coupling \Rightarrow **can not solve...**

How to reduce this equation to an effective one for $|\phi\rangle$, which can be solved by standard methods?

Method 1:

Tamm '45, Dancoff '50

Idea: use the Schrödinger equation to project out the unwanted Fock-space component $|\psi\rangle$.

$$\begin{pmatrix} \eta H \eta & \eta H \lambda \\ \lambda H \eta & \lambda H \lambda \end{pmatrix} \begin{pmatrix} |\phi\rangle \\ |\psi\rangle \end{pmatrix} = E \begin{pmatrix} |\phi\rangle \\ |\psi\rangle \end{pmatrix} \implies |\psi\rangle = \frac{1}{E - \lambda H \lambda} H |\phi\rangle$$
$$\implies (H_0 + V_{\text{eff}}^{\text{TD}}(E)) |\phi\rangle = E |\phi\rangle$$

where the effective potential is given by $V_{\text{eff}}^{\text{TD}}(E) = \eta H_I \eta + \eta H_I \lambda \frac{1}{E - \lambda H \lambda} \lambda H_I \eta$

Notice:

- $V_{\text{eff}}^{\text{TD}}$ depends on E

- $|\phi\rangle$ not orthonormal: $\langle \phi_i | \phi_j \rangle = \langle \Psi_i | \Psi_j \rangle - \langle \psi_i | \psi_j \rangle = \delta_{ij} - \langle \phi_i | H_I \left(\frac{1}{E - \lambda H \lambda} \right)^2 H_I | \phi_j \rangle$

- reduces to old-fashioned time-ordered perturbation theory:

$$V_{\text{eff}}^{\text{TD}} = \eta H_I \eta + \eta H_I \frac{\lambda}{E - H_0} H_I \eta + \eta H_I \frac{\lambda}{E - H_0} H_I \frac{\lambda}{E - H_0} H_I \eta + \dots$$

Method 2: unitary transformation

Okubo '54, Fukuda et al. '54

Idea: use unitary transformation U in order to decouple the $|\phi\rangle$ and $|\psi\rangle$ components!

$$H = \begin{pmatrix} \eta H \eta & \eta H \lambda \\ \lambda H \eta & \lambda H \lambda \end{pmatrix} \Rightarrow \tilde{H} \equiv U^\dagger H U = \begin{pmatrix} \eta \tilde{H} \eta & 0 \\ 0 & \lambda \tilde{H} \lambda \end{pmatrix}$$

Advantages:

- no dependence on energy (per construction),
- unitary transformation preserves the norm of $|\phi\rangle$

How to compute U ?

It is convenient to parameterize U in terms of the operator $A = \lambda A \eta$ (Okubo '54):

$$U = \begin{pmatrix} \eta(1 + A^\dagger A)^{-1/2} & -A^\dagger(1 + AA^\dagger)^{-1/2} \\ A(1 + A^\dagger A)^{-1/2} & \lambda(1 + AA^\dagger)^{-1/2} \end{pmatrix}$$

Require that $\eta \tilde{H} \lambda = \lambda \tilde{H} \eta = 0 \Rightarrow \boxed{\lambda(H - [A, H] - AHA)\eta = 0}$
(decoupling equation)

The major problem is to solve the nonlinear decoupling equation.

Example: expansion in powers of the coupling constant

$$H_I = \text{---}\bullet\text{---} \propto g \implies \text{ansatz: } A = A^{(1)} + A^{(2)} + A^{(3)} + \dots$$

Recursive solution of the decoupling equation $\lambda(H - [A, H] - AHA)\eta = 0$

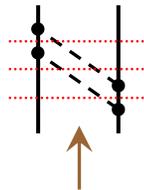
$$g^1: \quad \lambda(H_I - [A^{(1)}, H_0])\eta = 0 \quad \implies \quad A^{(1)} = -\lambda \frac{H_I}{E_\eta - E_\lambda} \eta$$

$$g^2: \quad \lambda(H_I A^{(1)} - [A^{(2)}, H_0])\eta = 0 \quad \implies \quad A^{(2)} = -\lambda \frac{H_I A^{(1)}}{E_\eta - E_\lambda} \eta$$

...

In the static approximation, i.e. in the limit $m \rightarrow \infty$, one has: $E_\eta - E_\lambda \sim E_\pi$. One obtains:

$$V_{\text{eff}} = -\eta H_I \frac{\lambda}{E_\pi} H_I \eta - \eta H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \eta + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi} H_I \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta H_I \frac{\lambda}{E_\pi} H_I \eta + \dots$$

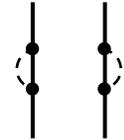


same as in old-fashioned perturbation theory



wave-function renormalization (missing in old-fashioned perturbation theory)

Consider contributions due to one self-energy insertion at each nucleon:



Nucleon self-energies lead to wave-function and mass renormalization (i.e. contribute to the 1N Hamilton operator). **Expect no contributions to the 2N Hamilton operator!**

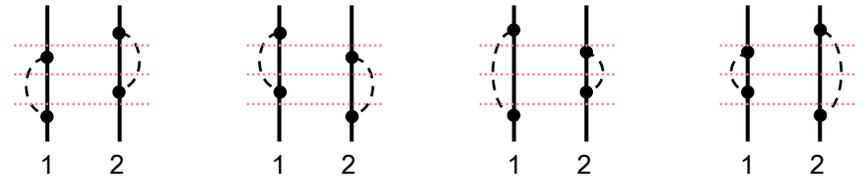
● **old-fashioned perturbation theory**

$$V_{\text{eff}}^{\text{TD}} = -\eta H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \eta$$

$$= \mathcal{M} \left(-\frac{2}{\omega_1 \omega_2 (\omega_1 + \omega_2)} - \frac{1}{\omega_1^2 (\omega_1 + \omega_2)} - \frac{1}{\omega_2^2 (\omega_1 + \omega_2)} \right)$$

$$= \mathcal{M} \left(-\frac{1}{\omega_1^2 \omega_2} - \frac{1}{\omega_1 \omega_2^2} \right) \quad \text{where} \quad \omega_i \equiv \sqrt{\vec{p}_i^2 + M_\pi^2}$$

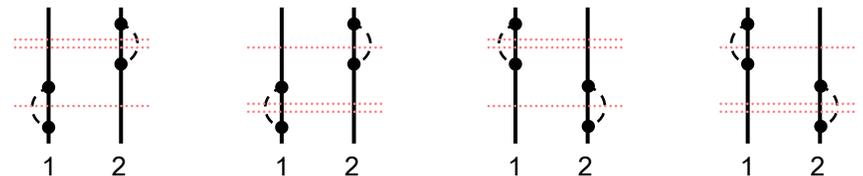
↑ *common isospin, spin & momentum structure (depends on the form of H_I)*



What is wrong ??

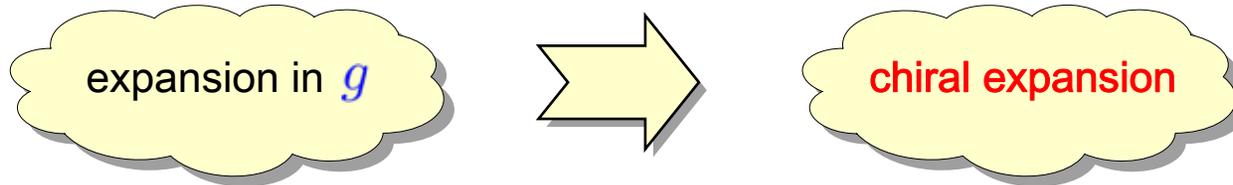
● **method of unitary transformation**

Additional contributions
(wave-function renormalization)

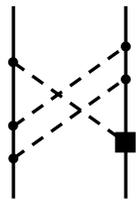


$$V_{\text{eff}} = V_{\text{eff}}^{\text{TD}} + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi} H_I \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta H_I \frac{\lambda}{E_\pi} H_I \eta = V_{\text{eff}}^{\text{TD}} + \mathcal{M} \left(\frac{1}{\omega_1^2 \omega_2} + \frac{1}{\omega_1 \omega_2^2} \right) = 0$$

Application to chiral Lagrangians (E.E. et al., '98)



Power counting



$$\sim \left(\frac{Q}{\Lambda}\right)^\nu$$

count powers of Q using dim. analysis
 an alternative: count powers of Λ !

The scale Λ may only arise through coupling constants



$$\nu = -2 + \sum_i V_i \kappa_i$$

$$\mathcal{L}_i = c_i (N^\dagger \dots N)^{\frac{n_i}{2}} \pi^{p_i} (\partial_\mu, M_\pi)^{d_i} \implies [c_i] = (mass)^{-\kappa_i} \text{ with } \kappa_i = d_i + \frac{3}{2}n_i + p_i - 4$$

Remember:

- $\kappa_i < 0$ – relevant (superrenorm.)
- $\kappa_i = 0$ – marginal (renorm.)
- $\kappa_i > 0$ – irrelevant (nonrenorm.)

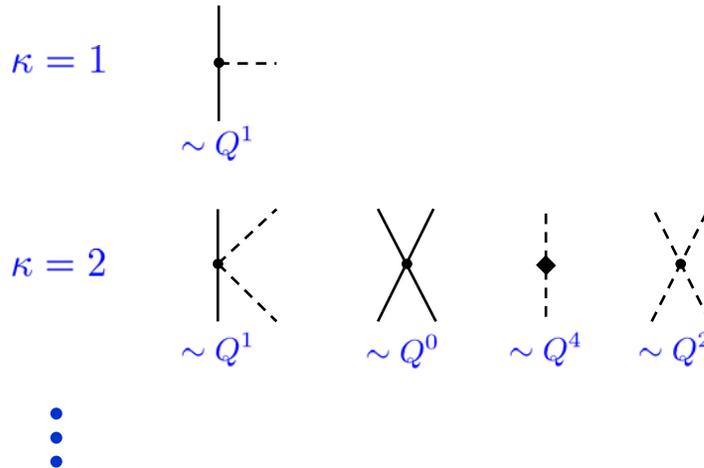
Examples:

$$N^\dagger \tau \vec{\sigma} N \cdot \vec{\nabla} \pi \longrightarrow \kappa_i = 1$$

$$(N^\dagger N) (N^\dagger N) \longrightarrow \kappa_i = 2$$

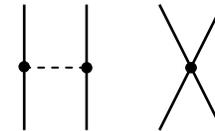
- expansion in coupling constant ($H_i \sim g^{n_i}$) \longleftrightarrow chiral expansion ($H_i \sim (Q/\Lambda)^{\kappa_i}$)
- perturbation theory works since all $\kappa_i > 0$ (as a consequence of χ -symmetry)

Vertices in \mathcal{L}_{eff} :



Power counting: $\nu = -2 + \sum_i V_i \kappa_i$

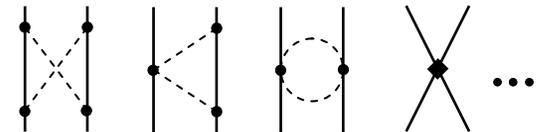
- the leading $2N$ potential arises at order $\nu = 0$ from graphs with two $\kappa = 1$ -vertices or with one $\kappa = 2$ -vertex



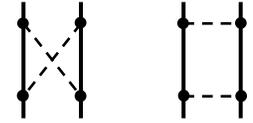
- no contributions at order $\nu = 1$

- corrections at order $\nu = 2$ from graphs with

- four $\kappa = 1$ -vertices,
- two $\kappa = 1$ -vertices and one $\kappa = 2$ -vertex,
- two $\kappa = 2$ -vertices,
- one $\kappa = 4$ -vertex



Example: chiral 2π -exchange potential proportional to g_A^4 :



$$V_{2\pi}^{(2)}(q) = -\eta H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \frac{\lambda}{E_\pi} H_I \eta + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi} H_I \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta + \frac{1}{2} \eta H_I \frac{\lambda}{E_\pi^2} H_I \eta H_I \frac{\lambda}{E_\pi} H_I \eta$$

$$= -\frac{g_A^4}{2(2F_\pi)^4} \int \frac{d^3l}{(2\pi)^3} \frac{\omega_+^2 + \omega_+ \omega_- + \omega_-^2}{\omega_+^3 \omega_-^3 (\omega_+ + \omega_-)} \left\{ \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left(\vec{l}^2 - \vec{q}^2 \right)^2 + 6(\vec{\sigma}_2 \cdot [\vec{q} \times \vec{l}]) (\vec{\sigma}_1 \cdot [\vec{q} \times \vec{l}]) \right\}$$

where $\omega_\pm = \sqrt{(\vec{q} \pm \vec{l})^2 + 4M_\pi^2}$

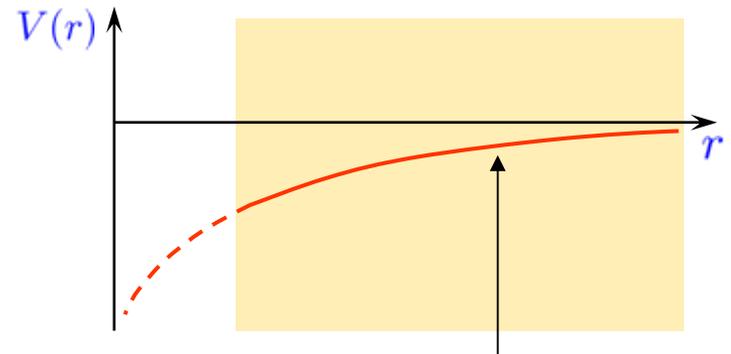
The integral has logarithmic and quadratic divergences, which can be removed by the short-range counter terms:

$$V_{\text{cont}} = (\alpha_1 + \alpha_2 q^2) \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 + \alpha_3 (\vec{\sigma}_1 \cdot \vec{q})(\vec{\sigma}_2 \cdot \vec{q}) + \alpha_4 (\vec{\sigma}_1 \cdot \vec{\sigma}_2) q^2$$

Coordinate space representation:

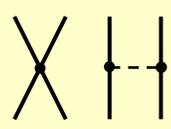
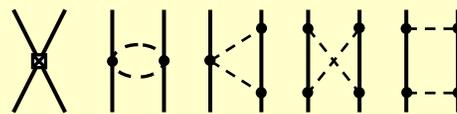
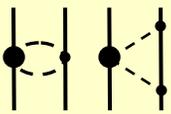
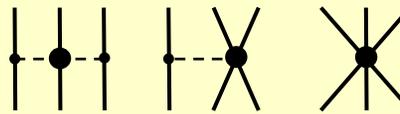
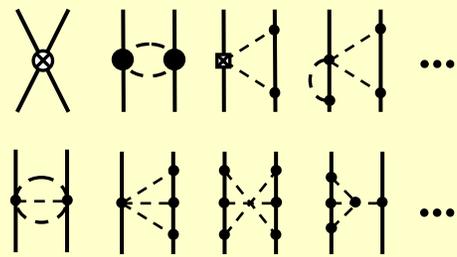
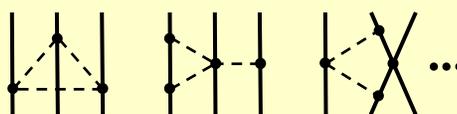
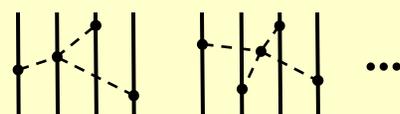
$$V_{2\pi}^{(2)}(q) \longrightarrow V_{2\pi}^{(2)}(r)$$

The large- r behavior (i.e. the long-range part) of the potential is uniquely determined and does not depend on regularization.



*model independent,
constraint by χ -symmetry*

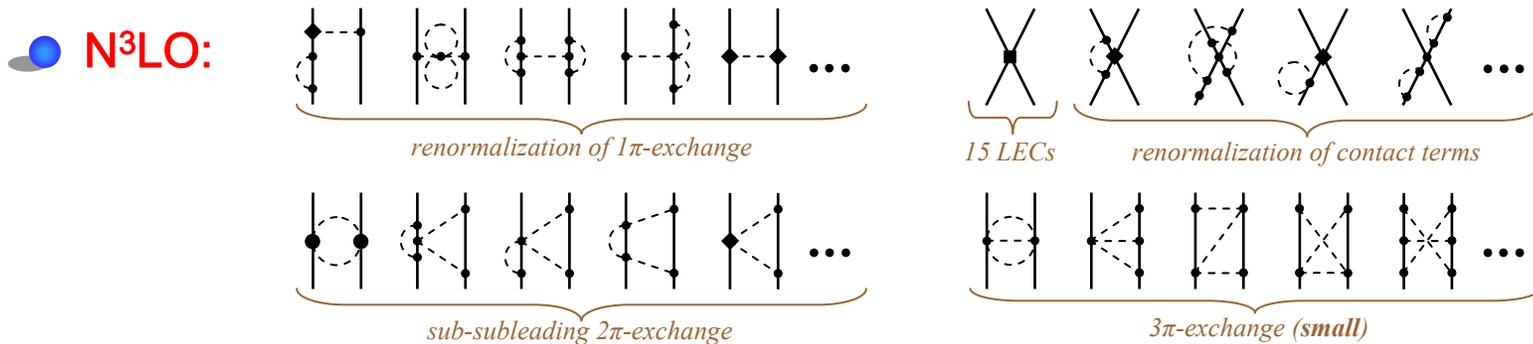
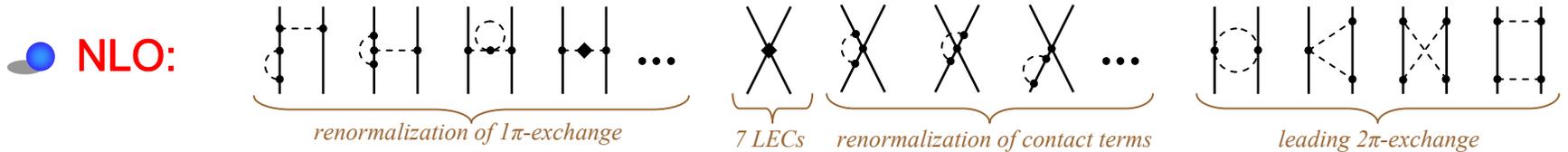
Few-nucleon forces in chiral EFT (Weinberg's counting)

	Two-nucleon force	Three-nucleon force	Four-nucleon force
Q^0			
Q^2			
Q^3			
Q^4		 work in progress...	

2 nucleon force \gg 3 nucleon force \gg 4 nucleon force ...

Two-nucleon force

*Ordenez et al. '94; Friar & Coon '94; Kaiser et al. '97;
E.E. et al. '98, '03; Kaiser '99, '00, '01; Higa et al. '03*



● **1/m - corrections** (Friar '99)

● **Isospin-breaking corrections**

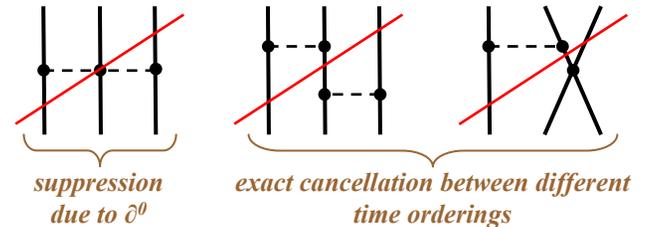
van Kolck '93, '95; van Kolck et al. '96; Friar et al. '99, '03, '04; Niskanen '02; E.E. & Meißner '05

Three-nucleon force

● LO: no 3NF

● NLO: 3NF vanishes
(if one uses energy-independent formulation)

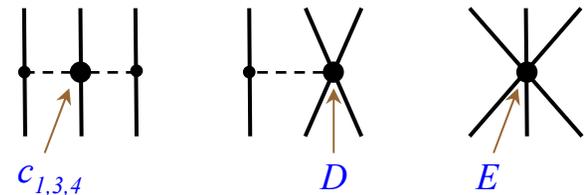
*Coon & Friar '94; Eden & Gari '96
Weinberg '91; van Kolck '94, ...*



● N²LO: first nonvanishing 3NF

van Kolck '94; E.E. et al. '02

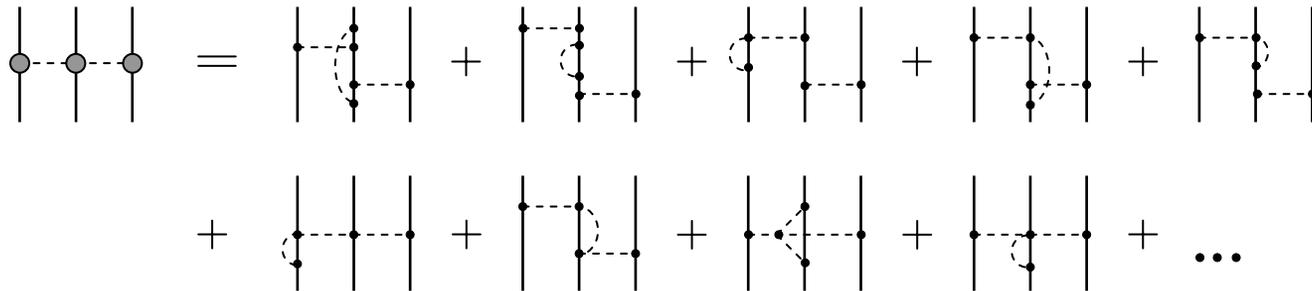
Notice: need two 3N data points to fix the new LECs D, E



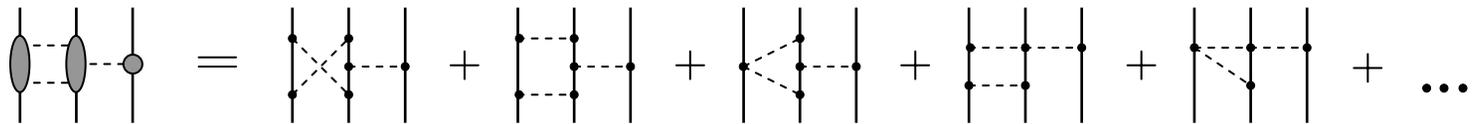
● **N³LO: numerous one-loop corrections**

(E.E., Veronique Bernard and Ulf-G. Meißner, work in progress)

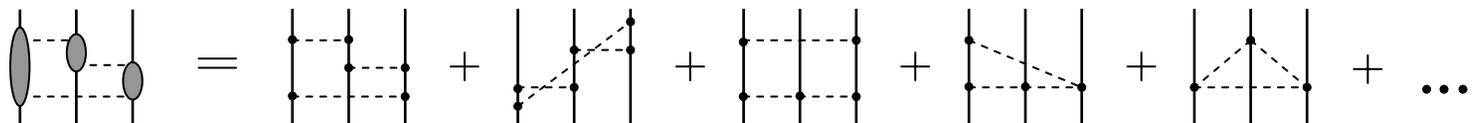
● **2π - exchange**



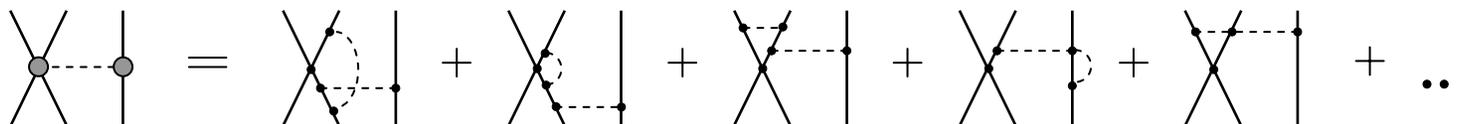
● **2π - 1π - exchange**



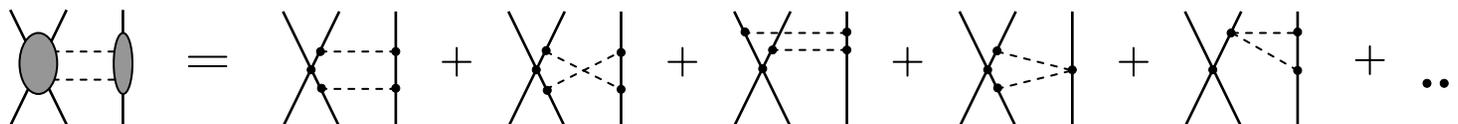
● **2π - exchange between all 3 nucleons**



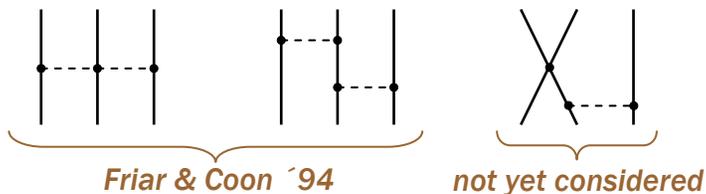
● contact - 1π - exchange



● contact - 2π - exchange



● one also has to take into account relativistic $1/m$ - corrections to:



Notice: 3NF at N³LO is parameter-free !!

Four-nucleon force (E.E. '05)

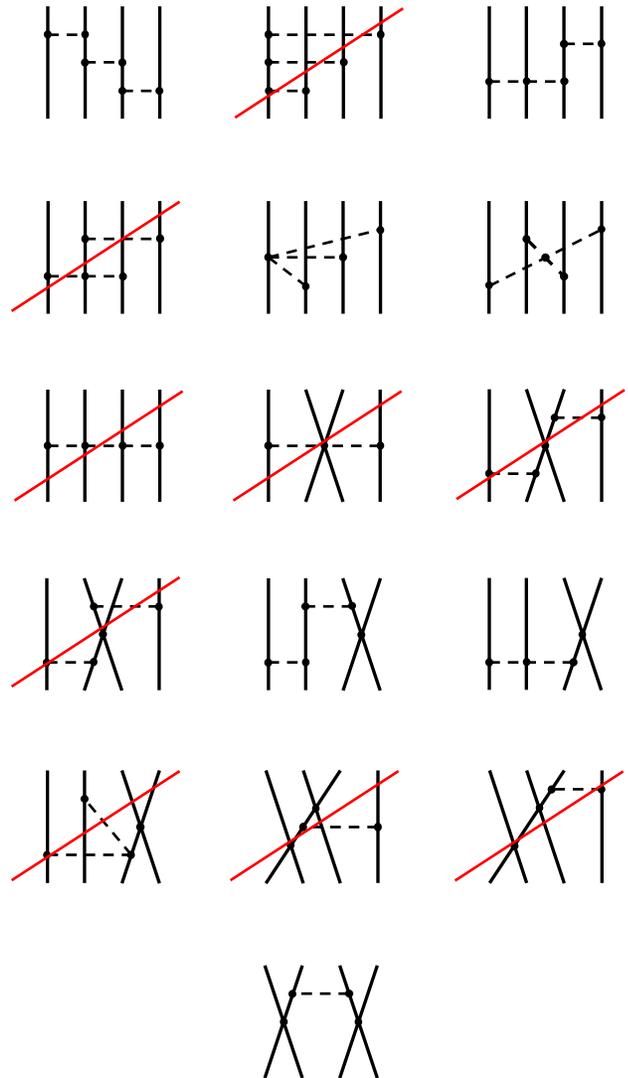
- first shows up at N³LO
- chiral symmetry plays a crucial role
- parameter-free

Notice:

- disconnected and many connected graphs lead to vanishing contributions
- reducible-like diagrams contribute even in the static limit, for example:

$$\begin{array}{c}
 \begin{array}{|c|c|c|} \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \end{array} = 0 \quad \text{but:} \quad \underbrace{\begin{array}{|c|c|c|c|} \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \end{array}}_F \neq 0 \\
 \propto \frac{F}{[\vec{q}_1^2 + M_\pi^2] [\vec{q}_{23}^2 + M_\pi^2]^2 [\vec{q}_4^2 + M_\pi^2]}
 \end{array}$$

- attractive contributions to the ⁴He BE of the order of few 100 keV (*preliminary results by Rozpedzik, Golak et al., work in progress*)



Summary

- Various methods to derive nuclear potentials from the effective chiral Lagrangian have been introduced.
- The structure of 2N, 3N and 4N forces upto N³LO in the chiral expansion has been outlined.
- Do these novel chiral forces really work ??

Applications of the chiral forces to few-nucleon systems will be considered in lecture 4 ...