

HUGS 2012

# Dualities and QCD

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# Outline

- The meaning of "duality" in physics (Example: The Ising model)
- Quark-Hadron duality (experimental and theoretical evidence)
- Electric-Magnetic Duality (monopole condensation and confinement)
- The AdS/CFT correspondence (gauge/gravity duality, holographic QCD)

# What is duality?

Dualities exist where there are multiple descriptions of the same physical situation.

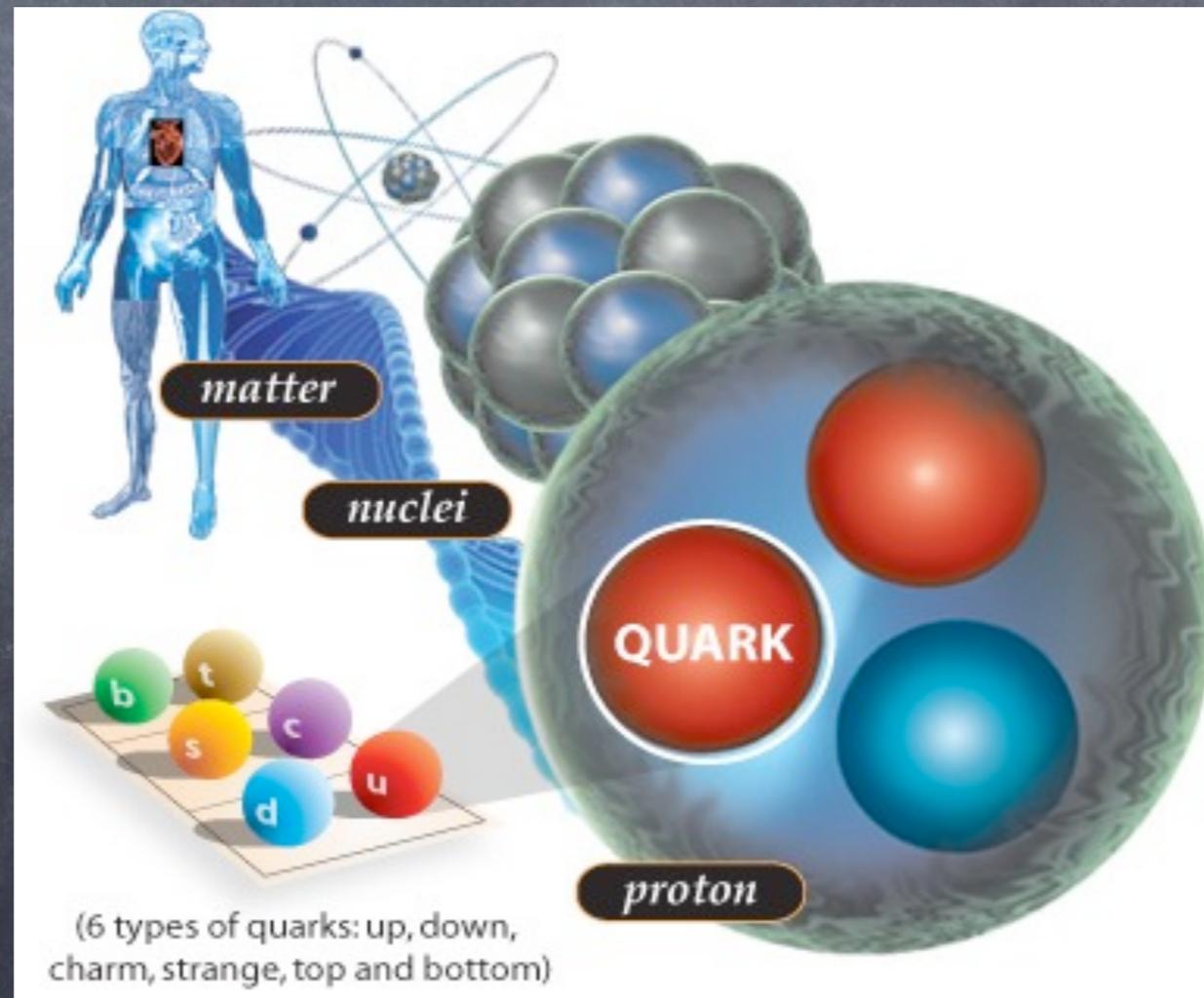


image from JLab website

Challenge:

Analyze this using QCD and QED:

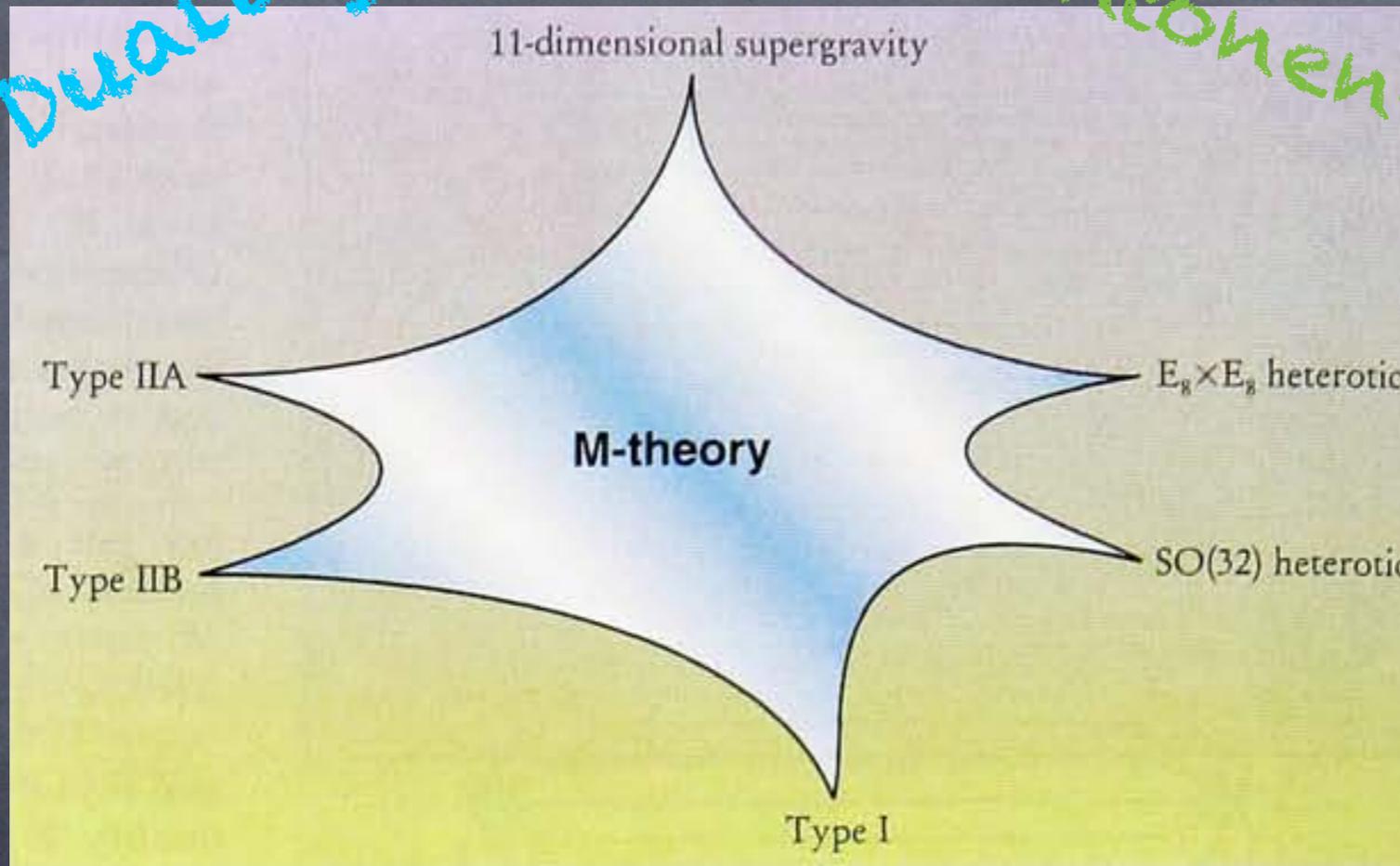


image from belowbeltway@Flickr

# Dualities Abound in SUSY and String Theory

Seiberg Duality

Montonen-Olive Duality



AdS/CFT

image from Witten, Phys Today, May 97

Orbifold Projection

Mirror Symmetry

Seiberg-Witten Theory

# An Example of Duality: The 2D Ising Model

(from Joel Moore's Phys 212 course notes at Berkeley)

$$-\beta E = K \sum_{\langle ij \rangle} s_i s_j$$

$$K = \beta J$$

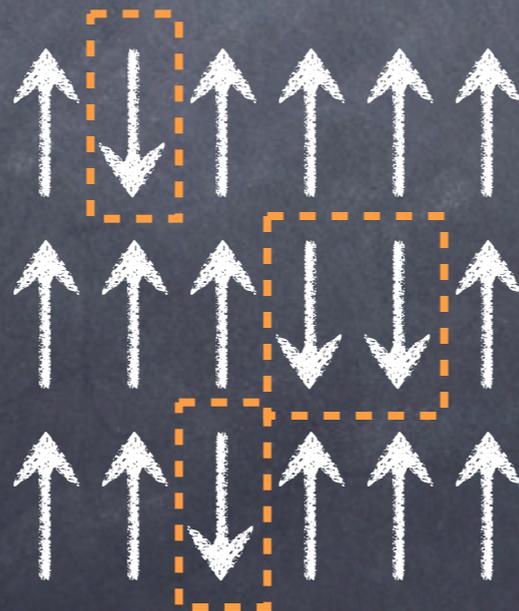
$s_i = \pm 1$

sum over bonds

$T=0$



Low T



Energy increase =  $2JL(P)$ .  
 $L(P)$  = #broken bonds  
 along closed path  $P$

$$Z = 2e^{N_b K} \sum_P e^{-2Kl(P)}$$

#bonds

small @ Low T

# The 2D Ising Model

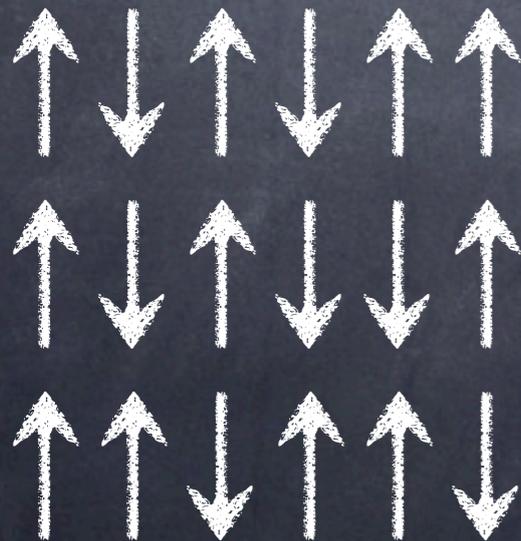
$$-\beta E = K \sum_{\langle ij \rangle} s_i s_j$$

$$K = \beta J$$

$$Z = \sum_s e^{\sum_{\langle ij \rangle} K s_i s_j} = \sum_s \prod_{\langle ij \rangle} e^{K s_i s_j} = \sum_s \prod_{\langle ij \rangle} (\cosh K + s_i s_j \sinh K)$$

small @  
high T

High T



$$Z = (\cosh K)^{N_b} \sum_s \prod_{\langle ij \rangle} (1 + s_i s_j \tanh K)$$

Expand in  $\tanh K$ . Only terms where  $s_i$  appears an even number of times survive.

# The 2D Ising Model

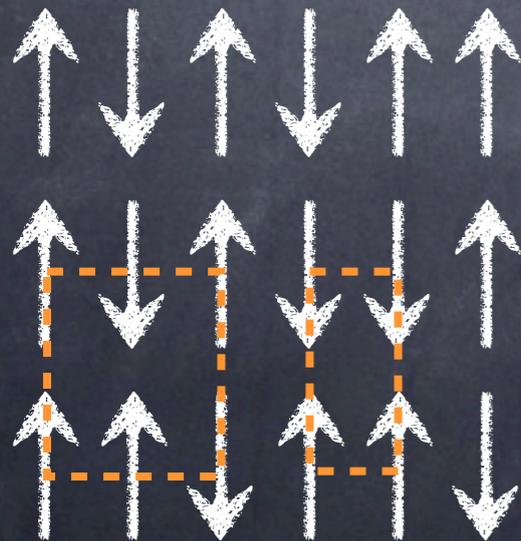
$$-\beta E = K \sum_{\langle ij \rangle} s_i s_j$$

$$K = \beta J$$

#bonds

$$Z = (\cosh K)^{N_b} \sum_s \prod_{\langle ij \rangle} (1 + s_i s_j \tanh K)$$

High T



$$Z = 2^{N_s} (\cosh K)^{N_b} \sum_P (\tanh K)^{\ell(P)}$$

#sites

# The 2D Ising Model

Simpler at low T:

$$Z = 2e^{N_b K} \sum_P e^{-2K\ell(P)}$$

Simpler at high T:

$$Z = 2^{N_s} (\cosh K)^{N_b} \sum_P (\tanh K)^{\ell(P)}$$

The partition function at low T and high T are the same up to an overall rescaling if we identify

$$e^{-2K^*} = \tanh K \Rightarrow K^* = -\frac{1}{2} \log \tanh K$$

# The 2D Ising Model

$$e^{-2K^*} = \tanh K \Rightarrow K^* = -\frac{1}{2} \log \tanh K$$

This is called **Kramers-Wannier duality**.  
It is a strong-weak coupling duality:

When  $K$  is large (small),  $K^*$  is small (large). One description is simpler at high  $T$ , and the other at low  $T$ .

**Question: What is the critical temperature?**

What does this have to do  
with particle physics?

There's an analogy:  
QCD is adequately described at high  
energies by quarks and gluons.

However, at low energies a hadronic  
description is "better."

Definition: Better = Simpler/More weakly  
coupled

# QCD Refresher

QCD is defined as a theory of fermions (quarks) and SU(3) gauge fields (gluons).

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F^{\mu\nu a} + \sum_{q=u,d,\dots} \bar{q} [\gamma^\mu (i\partial_\mu - gA_\mu) - m_q] q$$



$$q = \begin{pmatrix} q_r \\ q_g \\ q_b \end{pmatrix},$$

$$A_\mu = A_\mu^a T^a$$

$$a \in \{1, \dots, 8\}$$

SU(3) Generators

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + ig f^{abc} A_\mu^b A_\nu^c$$

SU(3) Structure Const.

# The Running Coupling

A theory may be better described by varying the couplings as the scale of interest changes, by integrating out short-distance fluctuations. (Wilson)

Renormalization of couplings can be thought of as a type of duality.



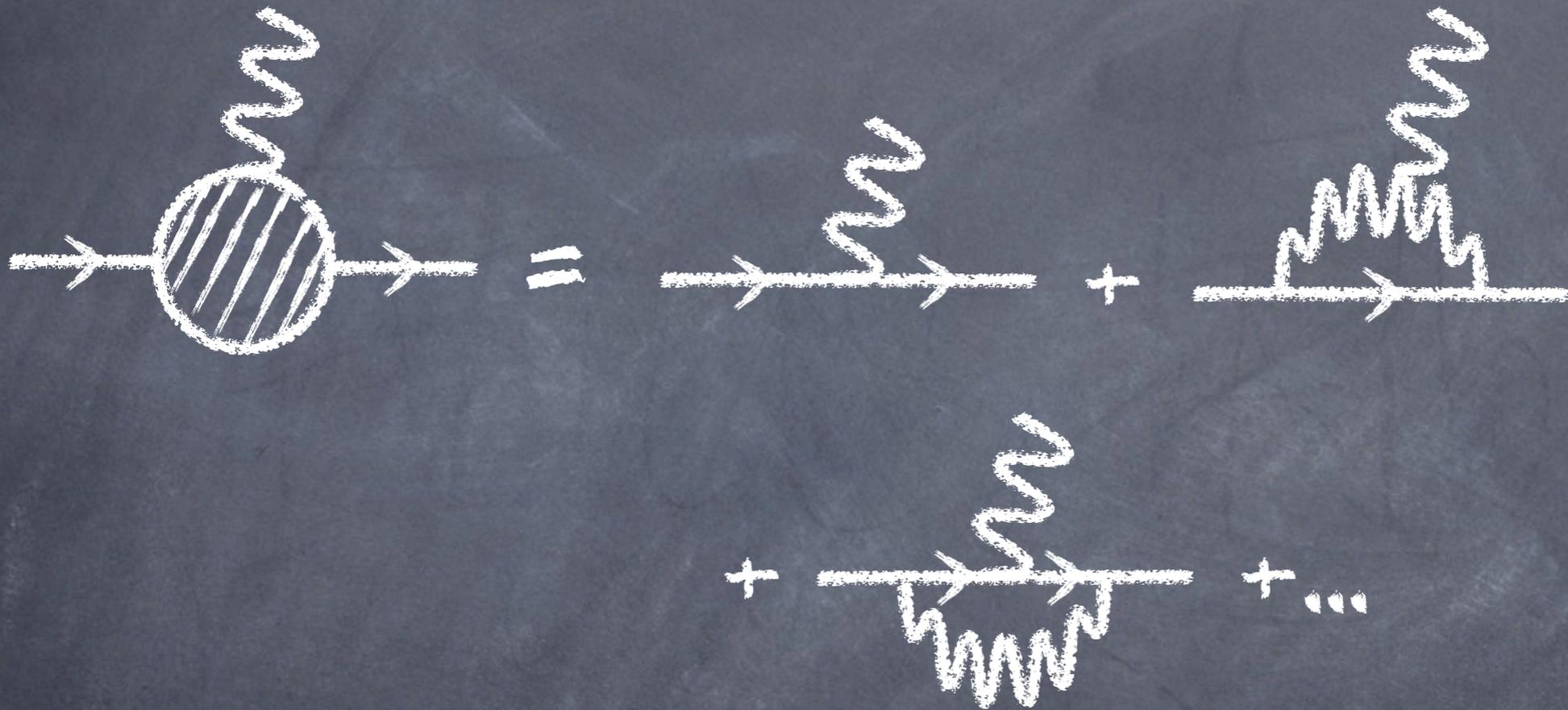
# The gluon propagator

$$\begin{aligned} \text{Wavy line} \circlearrowleft \text{Wavy line} &= \text{Wavy line} + \text{Wavy line} \circlearrowleft \text{Wavy line} + \text{Wavy line} \begin{array}{c} \text{Wavy line} \\ \text{Wavy line} \end{array} \text{Wavy line} \\ &+ \text{Wavy line} \begin{array}{c} \text{Wavy line} \\ \text{Wavy line} \end{array} \text{Wavy line} + \dots \end{aligned}$$

# The quark propagator



# The quark-gluon vertex



# Asymptotic Freedom

Running of the QCD coupling takes into account the renormalization of the gluon propagator, the vertex, and the quark lines.

The result is an effective description valid around a specified renormalization scale  $M$ .

$$\beta(g) = M \frac{\partial}{\partial M} g(M)$$
$$\approx -\frac{g^3}{(4\pi)^2} \left( \frac{11}{3} C_2(G) - \frac{4}{3} \sum_{\text{fermions}} \mu_f - \frac{1}{3} \sum_{\text{scalars}} \mu_s \right).$$

$T_{adj}^a T_{adj}^a = C_2(G) 1$        $\text{Tr} T_{rep}^a T_{rep}^b = \mu_{rep} \delta^{ab}$

# Asymptotic Freedom

$$\beta(g) = M \frac{\partial}{\partial M} g(M)$$
$$\approx -\frac{g^3}{(4\pi)^2} \left( \frac{11}{3} C_2(G) - \frac{4}{3} \sum_{\text{fermions}} \mu_f - \frac{1}{3} \sum_{\text{scalars}} \mu_s \right).$$

$C_2(SU(3)) = 3$

$\mu_{\square} = \frac{1}{2}$

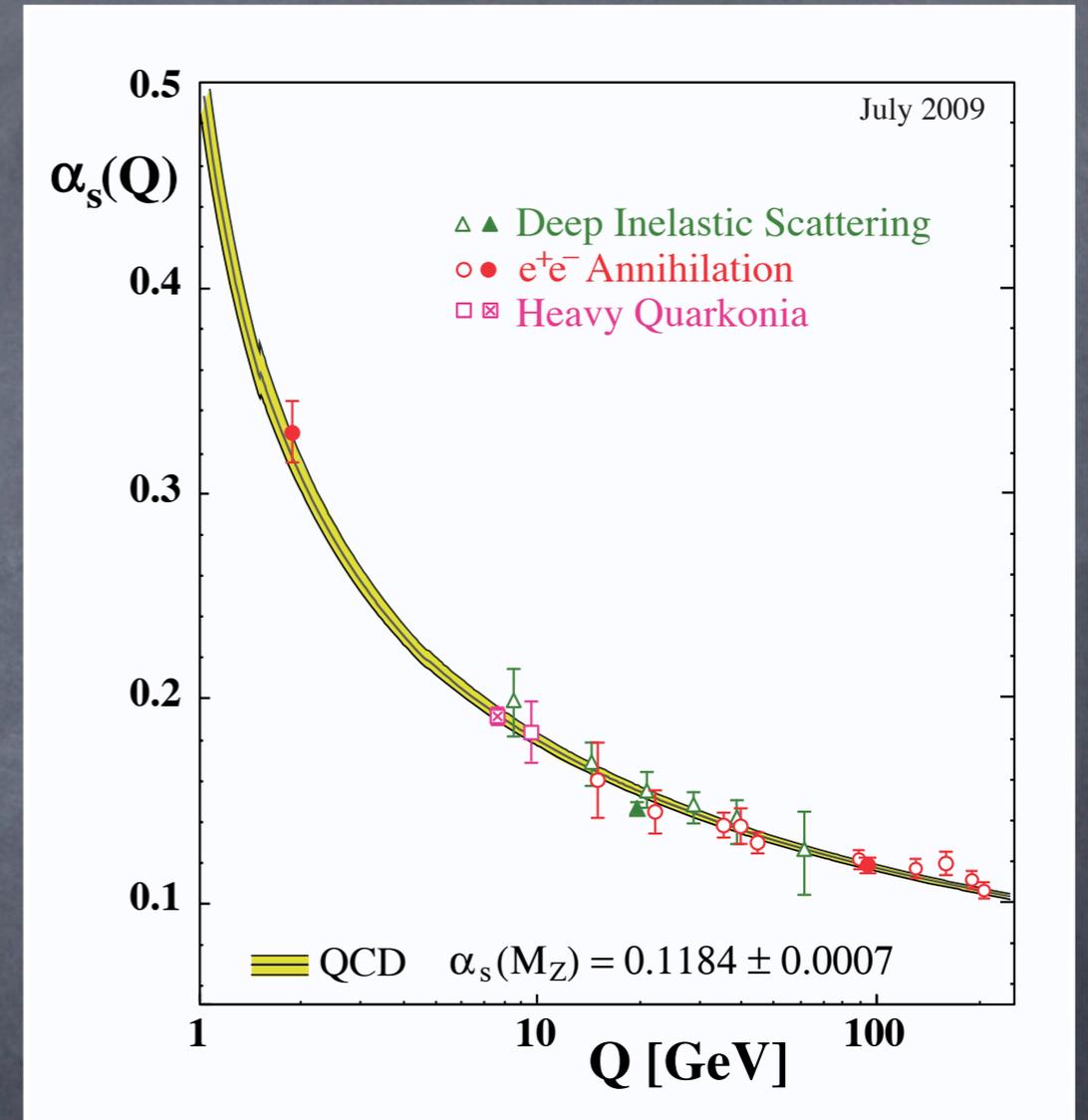
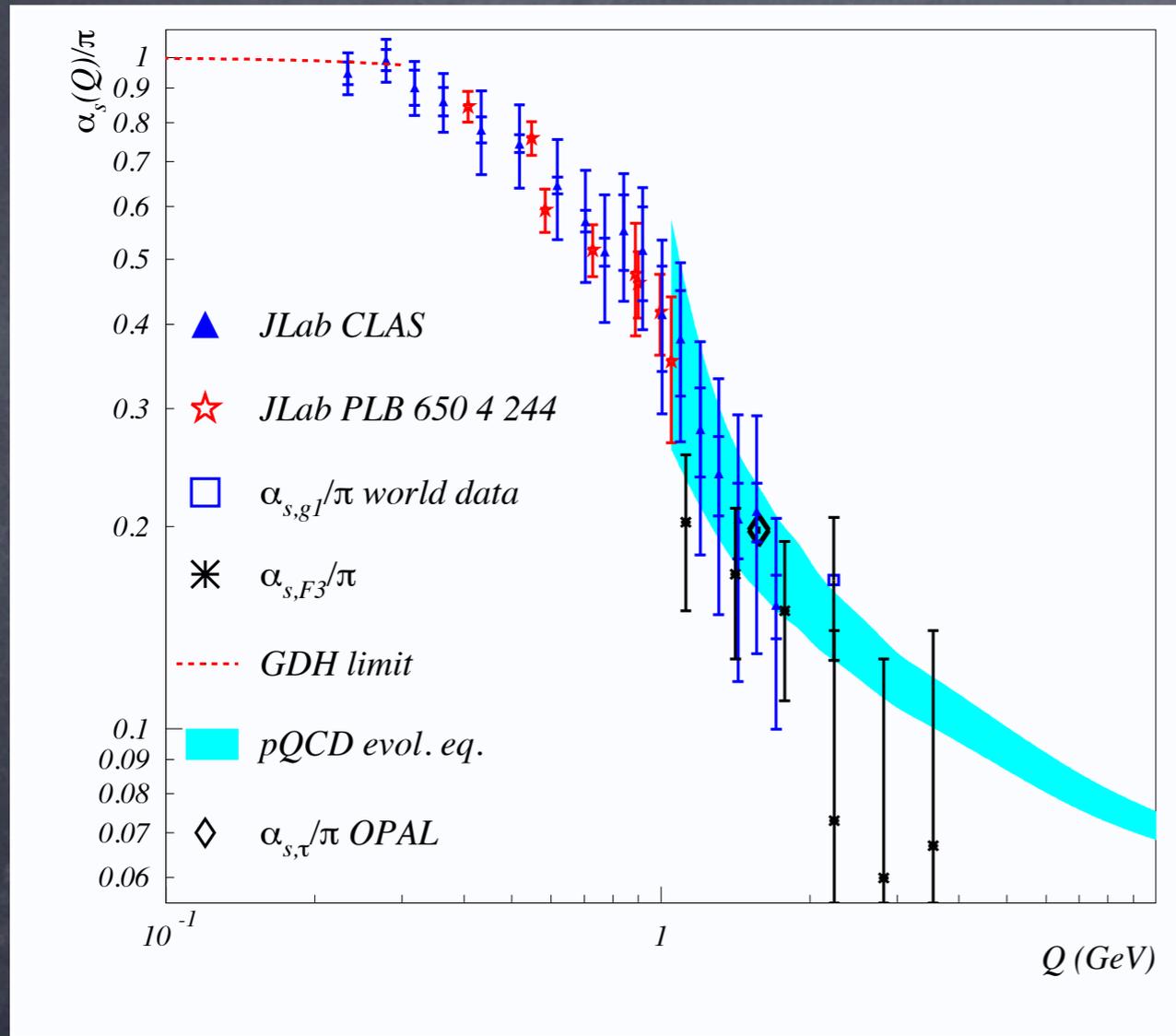
**Exercise:** The one-loop beta function is negative in QCD.

Hence, the QCD coupling decreases at high energies. This is asymptotic freedom.

(Politzer; Gross, Wilczek - 1973)

**Question:** What about  $SU(2)_W$ ?

# Asymptotic Freedom



from CLAS spin structure function  
 data-Deur, Burkert, Chen, Korsch  
 arxiv:0803.4119

2011 PDG

# Where are the resonances?

Perturbative QCD predicts smoothly-varying cross sections down to some scale  $\Lambda_{QCD}$ . It does not (easily) predict the resonances observed in scattering experiments. Confinement in hadronic states is a nonperturbative phenomenon.

# Confinement

There are no asymptotic colored states in QCD. Color charge is confined.



Proton



$SU(3)_C$  singlet:  
completely  
antisymmetric

# Confinement

Interpolating op for proton:  
Only keeping track of color  
(Ignoring spinor structure)

Proton 

SU(3)  
singlet

$$P \equiv \epsilon_{ijk} u_i u_j d_k$$

$U = 3 \times 3$  unitary  
matrix

$$\xrightarrow{\text{SU}(3)} \epsilon_{ijk} (U_{il} u_l) (U_{jm} u_m) (U_{kn} d_n)$$

$$= (\det U) \epsilon_{lmn} u_l u_m d_n$$

$$= P$$

Exercise

Hint:  $\det U = \epsilon_{ijk} \epsilon_{lmn} U_{il} U_{jm} U_{kn} = 1$

# Confinement

Meson interpolating operators can be made from a quark and an antiquark field

$SU(3)_C$

$u \square$

$\bar{d} \bar{\square} = \bar{\square}$

Pion

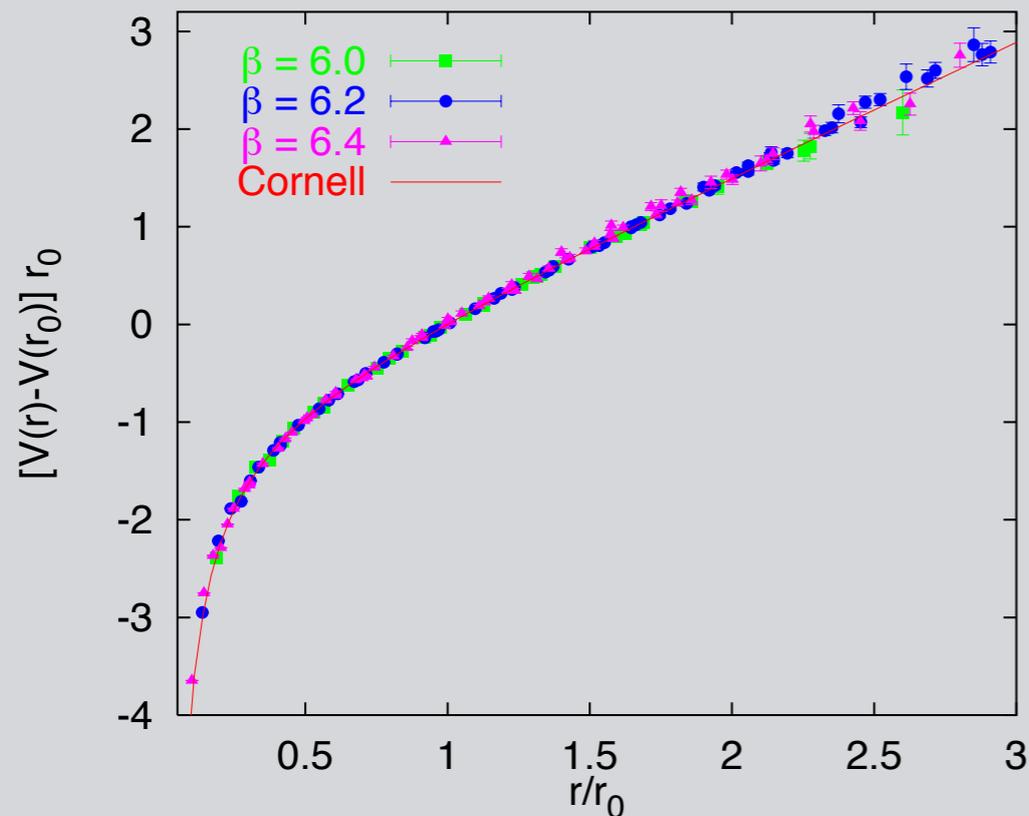


$$\square \times \bar{\square} = \bar{\square} + \square$$

$$3 \times \bar{3} = \textcircled{1} + 8$$

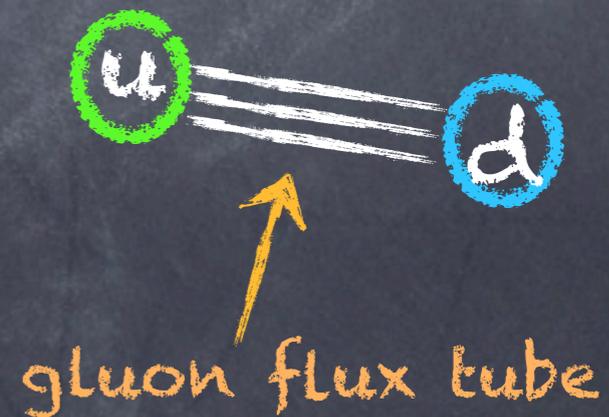
# Confinement

## Static quark potential



The quenched Wilson action  $SU(3)$  potential, normalised to  $V(r_0) = 0$ .

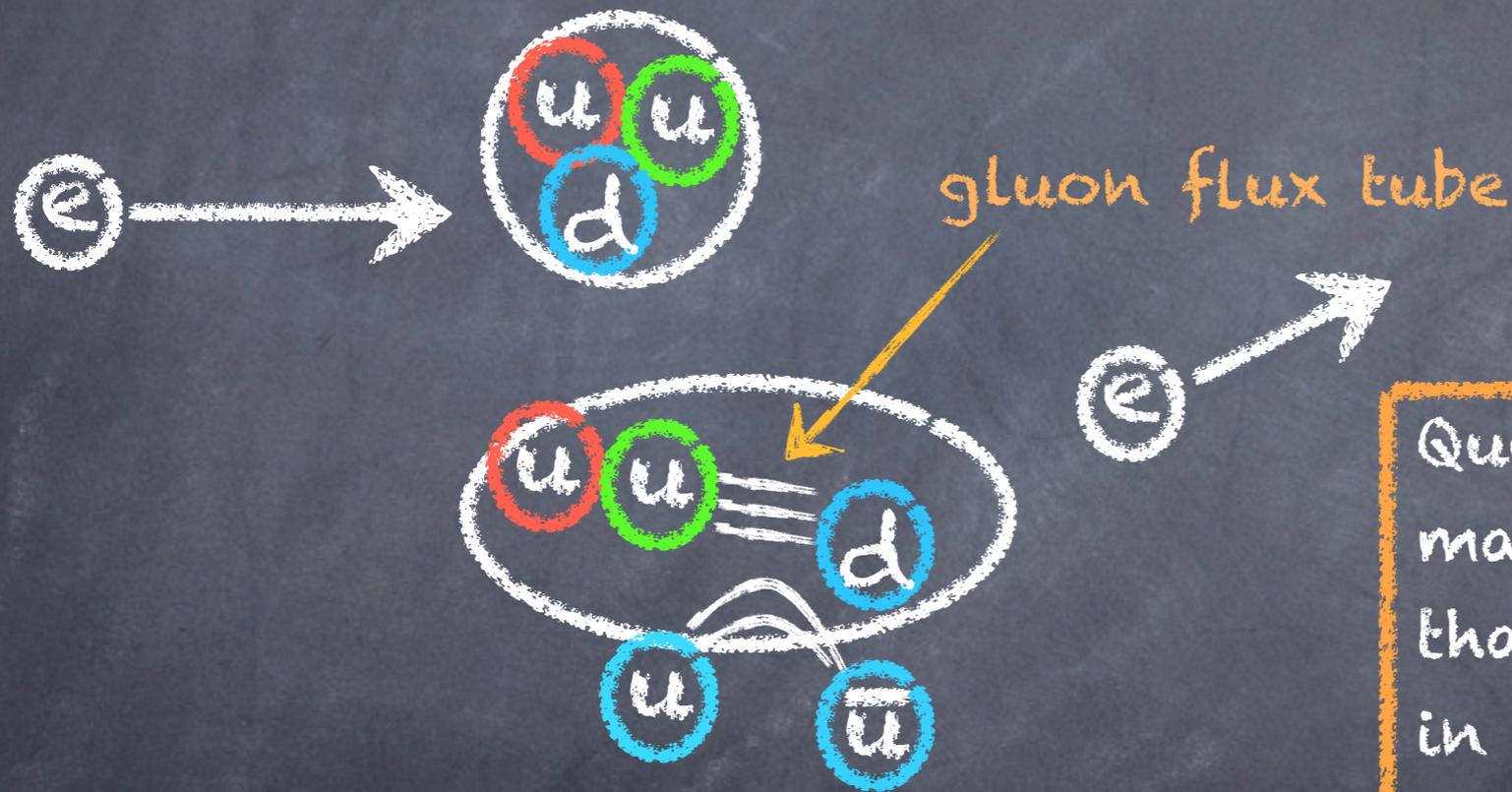
Linear potential  
→ constant force



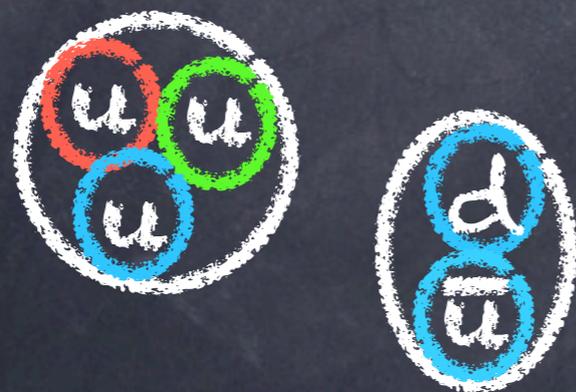
Bali, hep-ph/0001312

# Confinement

So, are quarks confined?

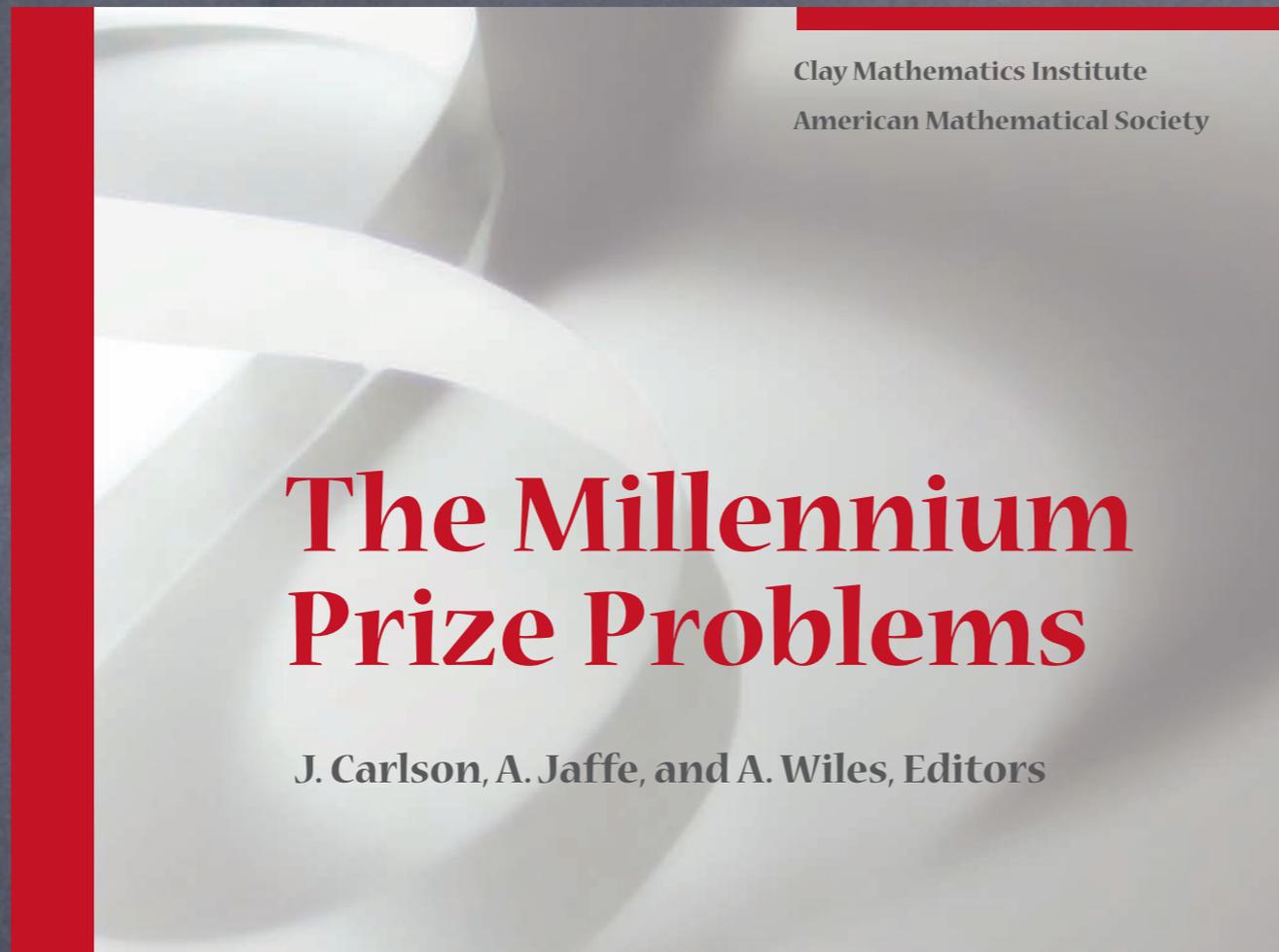


Question: What if the quark masses were all much larger than the  $(\text{energy density})^{1/4}$  in the gluon flux tube?



The down quark has been liberated from the proton!

# Confinement



What physical evidence is there for the mass gap in QCD?

Question

**Yang–Mills Existence and Mass Gap.** *Prove that for any compact simple gauge group  $G$ , a non-trivial quantum Yang–Mills theory exists on  $\mathbb{R}^4$  and has a mass gap  $\Delta > 0$ . Existence includes establishing axiomatic properties at least as strong as those cited in [45, 35].*

# Quark-Hadron Duality

Poggio-Quinn-Weinberg (1976):

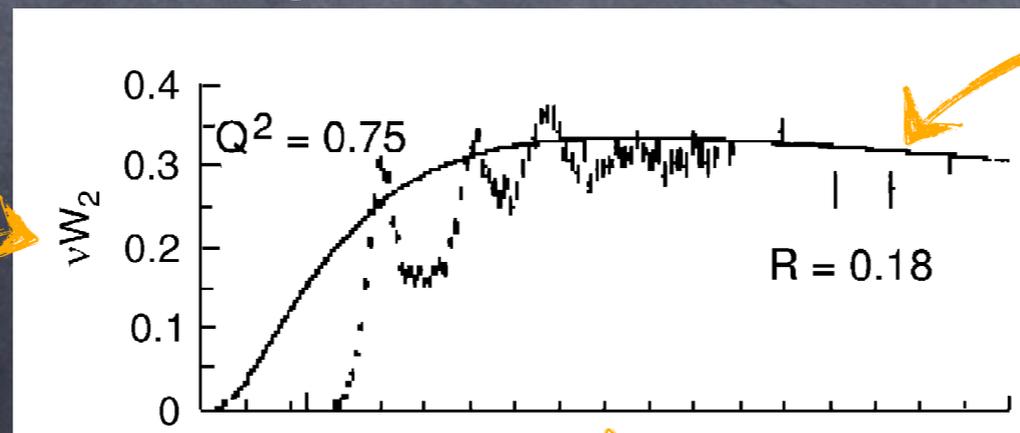
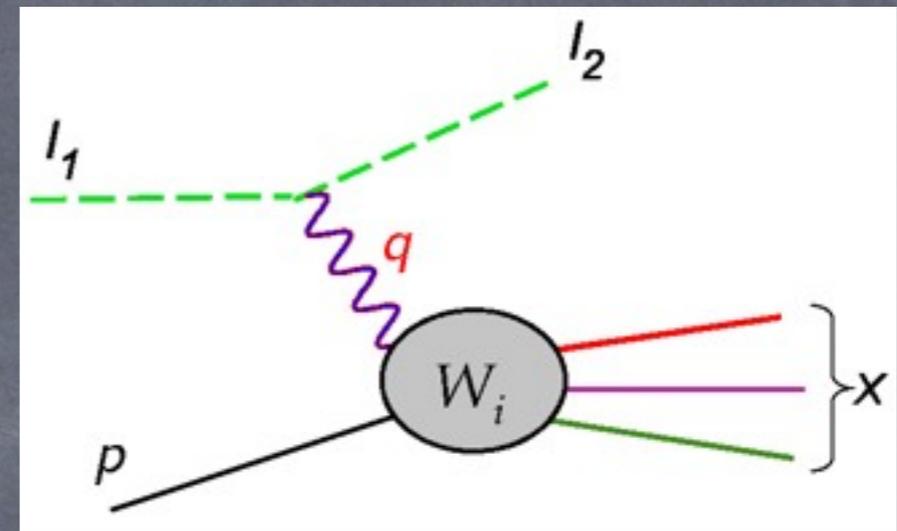
Argued that certain inclusive hadronic cross sections, averaged with appropriate weighting factors over appropriately high energy ranges, could be calculated perturbatively in terms of quarks and gluons.

This is called **global quark-hadron duality**.

# Quark-Hadron Duality

Bloom-Gilman Duality - 1970

Inclusive cross sections in inelastic electron-proton scattering follow scaling relations (on average), even in resonance region.



scaling curve

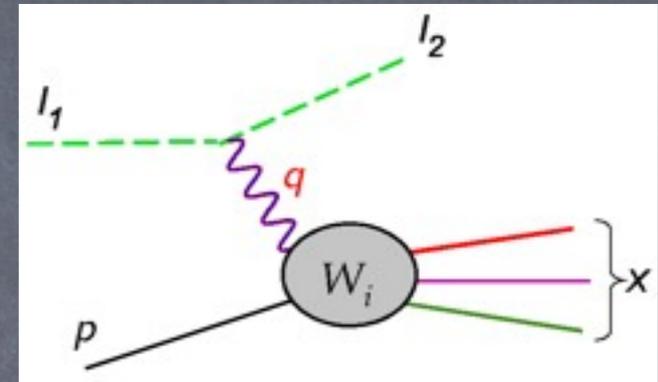
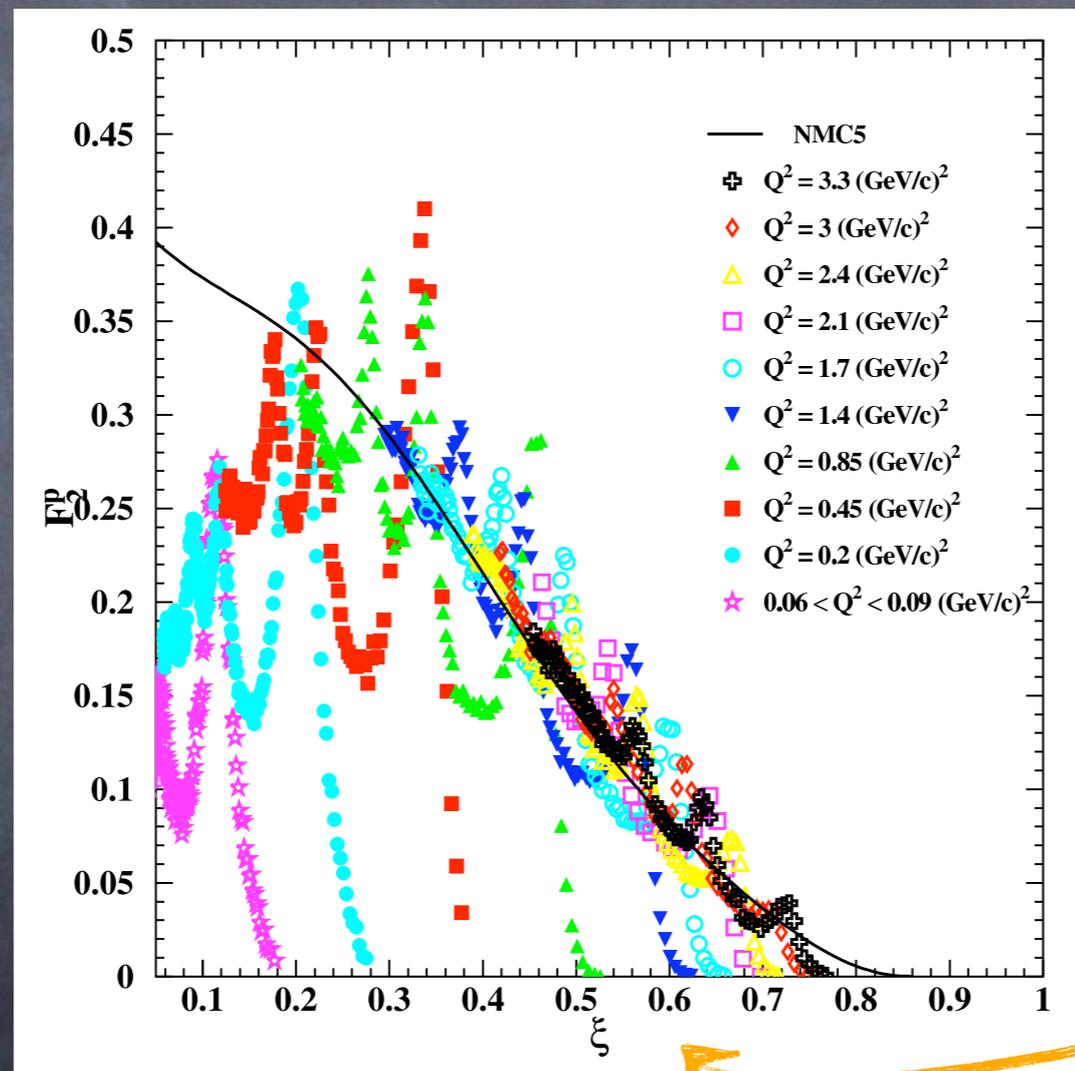
$\sim F_2$  structure function

kinematic variable  $\omega'$

# Quark-Hadron Duality

Bloom-Gilman Duality - 1970

Modern  
Duality Data



"Nachtmann  
scaling  
variable"

$$\xi \sim x = q^2 / 2q \cdot p$$

at large  $q^2$

JLab Hall C  
Niculescu et al. - 2000

# Quark-Hadron Duality

Consider  $e^+e^- \rightarrow q\bar{q}$



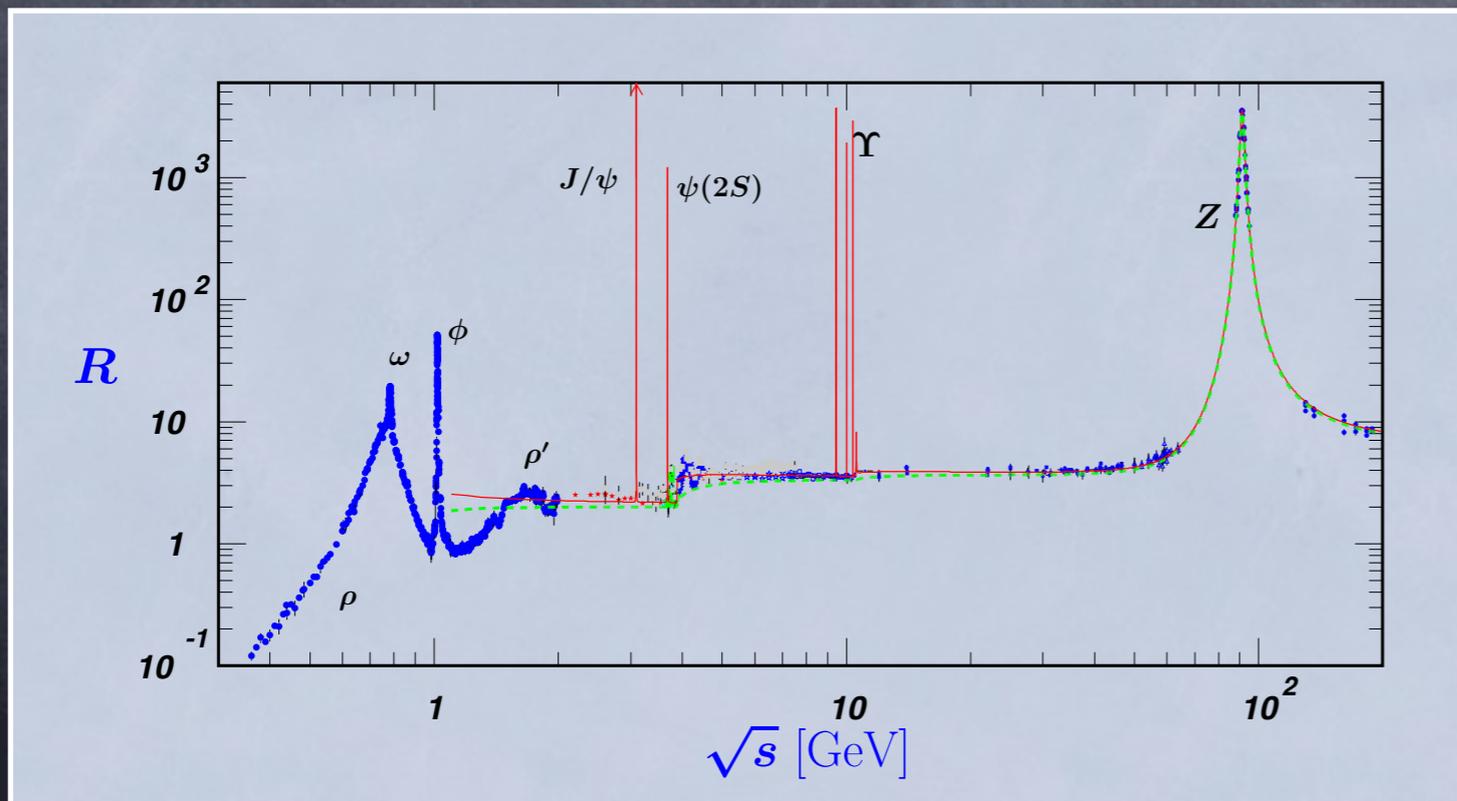
$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} \approx N_c \sum_q e_q^2$$

**Exercise:**

$$R(u, d, s) \approx 2$$

$$R(u, d, s, c) \approx 10/3$$

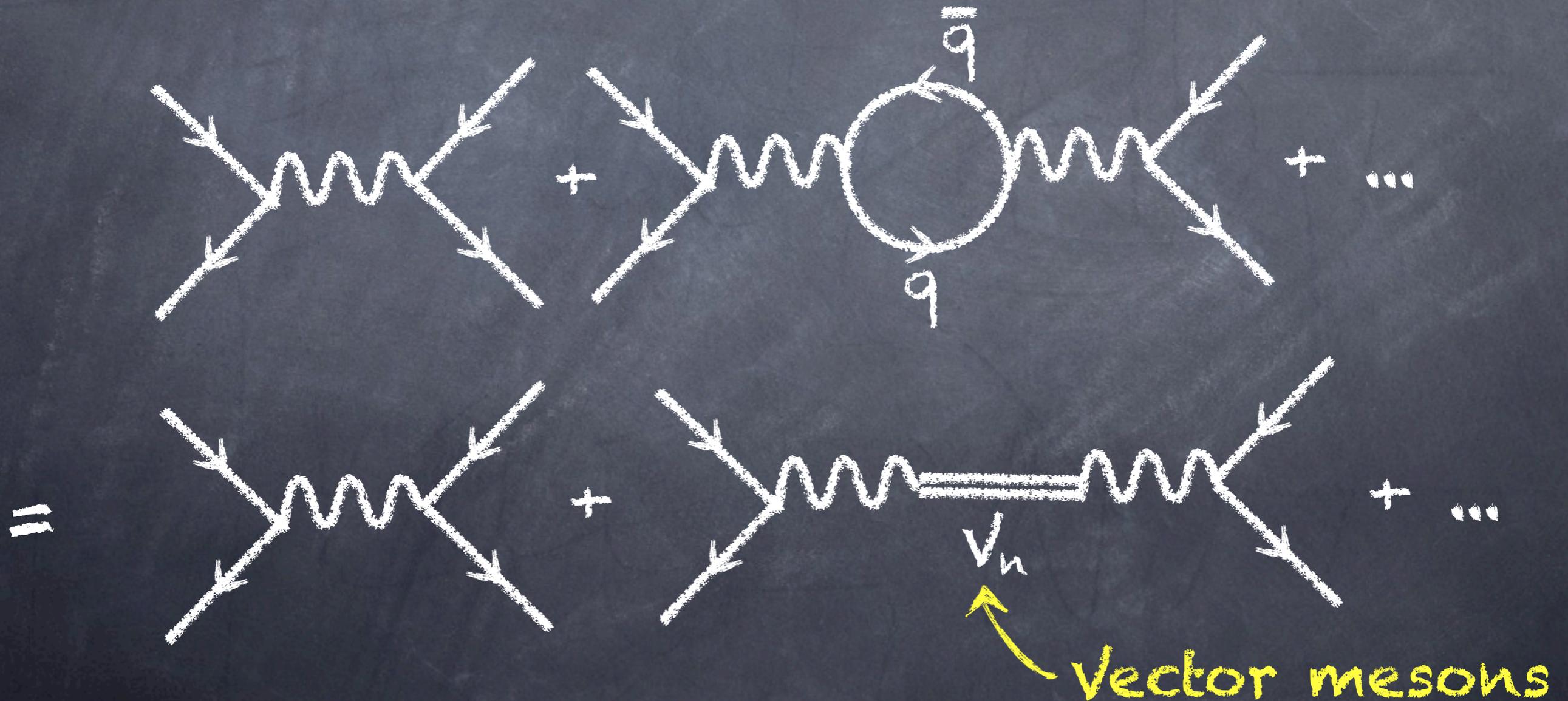
$$R(u, d, s, c, b) \approx 11/3$$



2008 PDG

# Quark-Hadron Duality

Consider elastic electron-positron scattering:



# Quark-Hadron Duality

Peskin & Schroeder, Ch 18

Optical Theorem:

$$\sigma(e^+e^- \rightarrow \text{anything}) = \frac{1}{2s} \text{Im} \mathcal{M}(e^+e^- \rightarrow e^+e^-)$$

(Final momenta, spins = Initial momenta, spins)



$$s = q^2$$

$$iM = (-ie)^2 \bar{v}(k') \gamma_\mu u(k) \frac{-i}{s} (i\Pi^{\mu\nu}(q)) \frac{-i}{s} \bar{u}(k) \gamma_\nu v(k')$$

$$i\Pi^{\mu\nu}(q) = \int d^4x e^{iq \cdot x} \langle 0 | T \{ J^\mu(x) J^\nu(0) \} | 0 \rangle$$

$$= (q^2 g^{\mu\nu} - q^\mu q^\nu) \Pi(q^2)$$

# Quark-Hadron Duality and the Operator Product Expansion

Shifman, "The Quark-Hadron Duality" - 2003

$$\begin{aligned} i\Pi^{\mu\nu}(q) &= \int d^4x e^{iq\cdot x} \langle 0|T\{J^\mu(x)J^\nu(0)\}|0\rangle \\ &= (q^2 g^{\mu\nu} - q^\mu q^\nu) \Pi(q^2) \end{aligned}$$

At short distances we can try to expand perturbatively in local operators.

Operator Product Expansion:

$$J^\mu(x)J^\nu(0) \sim C_1^{\mu\nu}(x) \cdot 1 + C_{\bar{q}q}^{\mu\nu}(x)\bar{q}q(0) + C_{F^2}^{\mu\nu}(x)(F_{\alpha\beta}^a)^2(0) + \dots$$

Fourier transform, expand  $\Pi$  in powers of  $1/q^2$

# Quark-Hadron Duality

$$\begin{aligned} i\Pi^{\mu\nu}(q) &= \int d^4x e^{iq\cdot x} \langle 0|T \{J^\mu(x)J^\nu(0)\} |0\rangle \\ &= (q^2 g^{\mu\nu} - q^\mu q^\nu) \Pi(q^2) \end{aligned}$$

Perturbative QCD:



$$\Pi(s) = -\frac{N_c}{12\pi^2} \ln(-s/M^2) + \dots$$

Resonance model:



$$\Pi(s) = \sum_{V_n} \frac{F_{V_n}^2}{s - m_{V_n}^2 + i\Gamma_{V_n} m_{V_n}} + \dots$$

$V_n$   
vector mesons

# Quark-Hadron Duality



$$I_n = -4\pi\alpha \oint \frac{ds}{2\pi i} \frac{1}{(s + Q_0^2)^{n+1}} \Pi(s)$$

by Cauchy's  
theorem



$$= \frac{1}{n!} \left. \frac{d^n}{ds^n} \Pi(s) \right|_{s=-Q_0^2}$$



Expand in OPE coeffs

from discontinuity  
across cut



$$= -4\pi\alpha \int \frac{ds}{2\pi} \frac{1}{(s + Q_0^2)^{n+1}} 2\text{Im} \Pi(s)$$

by Optical Theorem



$$= \frac{1}{\pi} \int_0^\infty ds \frac{s}{(s + Q_0^2)^{n+1}} \sigma(s)$$



$\sigma(e^+e^- \rightarrow \text{hadrons})$

# Quark-Hadron Duality

The resulting relations between  $\sigma(e^+e^- \rightarrow \text{hadrons})$  and perturbative **OPE coefficients** are called ITEP Sum Rules

(Novikov, Shifman, Vainshtein, Voloshin, Zakharov)

At sufficiently high  $s$ , the OPE is relatively accurate.

At smaller  $s$ , resonances dominate but **averages** over resonances still agree roughly with the perturbative results.

# Dualities Lecture 1 Summary

Dualities exist when there are multiple descriptions of the same physics.

The high-energy ( $> 2 \text{ GeV}$ ) quark/gluon regime and low-energy ( $< 2 \text{ GeV}$ ) resonance regime can sometimes be connected by quark-hadron duality.

One can understand aspects of quark-hadron duality by way of the Operator Product Expansion, which also helps to identify sources of duality violations.