



HUGS 2014

29th Annual Hampton University Graduate Studies Program

Jefferson Lab
EXPLORING THE NATURE OF MATTER

Spin Sum Rules and 3D Nucleon Structure (6/6)

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Outline

Lecture 1

- Introduction
- Tour in phase space
- Galileo *vs* Lorentz

Lecture 2

- Photon point of view
- Galileo *vs* Lorentz : round 2
- Nucleon 1D picture

Lecture 3

- Nucleon 2D picture
- 1D+2D=3D
- Galileo *vs* Lorentz : round 3

Lecture 4

- Another nucleon 3D picture
- Tour in Fock space
- 3D+3D=... 5D !

Lecture 5

- Canonical *vs* kinetic
- Free fall in gauge space
- Physical interpretation

Lecture 6

- OAM : a matter of path
- Phase space *vs* energy-momentum
- Summary

Canonical formalism

Textbook

Starting point

$$\begin{array}{lll} \mathcal{L} = \mathcal{L}[\phi, \partial_\mu \phi] & \phi = \psi, A_\nu & \psi(x) \mapsto U(x)\psi(x) \\ \text{Lagrangian} & \text{Dynamical variables} & A_\mu(x) \mapsto U(x) \left[A_\mu(x) + \frac{i}{g} \partial_\mu \right] U^{-1}(x) \end{array}$$

Conserved tensors

Energy-momentum $T^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial^\nu \phi - g^{\mu\nu} \mathcal{L}$	Translation invariance
Generalized angular momentum $M^{\mu\nu\rho} = -i \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} S^{\nu\rho} \phi + (x^\nu T^{\mu\rho} - x^\rho T^{\mu\nu})$	Lorentz invariance

Gauge non-invariant !

Gauge covariant

Starting point

$$\begin{array}{ccc} \mathcal{L} = \mathcal{L}[\phi, D_\mu^{\text{pure}} \phi] & \phi = \psi, A_\nu^{\text{phys}} & \psi(x) \mapsto U(x)\psi(x) \\ & & A_\mu^{\text{phys}}(x) \mapsto U(x)A_\mu^{\text{phys}}(x)U^{-1}(x) \\ \text{Lagrangian} & \text{Dynamical variables} & \end{array}$$

Conserved tensors

$$\begin{array}{ccc} \text{Energy-momentum} & T^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(D_\mu^{\text{pure}} \phi)} D_\mu^{\text{pure}} \phi - g^{\mu\nu} \mathcal{L} & \text{Translation invariance} \\ \text{Generalized angular momentum} & M^{\mu\nu\rho} = -i \frac{\partial \mathcal{L}}{\partial(D_\mu^{\text{pure}} \phi)} S^{\nu\rho} \phi + (x^\nu T^{\mu\rho} - x^\rho T^{\mu\nu}) & \text{Lorentz invariance} \end{array}$$

Gauge invariant !

Gauge invariant

Starting point

$$\mathcal{L} = \mathcal{L}[\hat{\phi}, \partial_\mu \hat{\phi}] \quad \hat{\phi} = \hat{\psi}, \hat{A}_\nu^{\text{phys}}$$

Lagrangian

Dynamical variables

Dirac variables

Dressing field

$$\hat{\psi}(x) \equiv U_{\text{pure}}^{-1}(x) \psi(x)$$

$$\hat{A}_\mu^{\text{phys}}(x) \equiv U_{\text{pure}}^{-1}(x) \left[A_\mu(x) + \frac{i}{g} \partial_\mu \right] U_{\text{pure}}(x)$$

$$U_{\text{pure}}(x) \mapsto U(x) U_{\text{pure}}(x)$$

[Dirac (1955)]

[Mandelstam (1962)]

Conserved tensors

Energy-momentum

$$T^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \hat{\phi})} \partial^\nu \hat{\phi} - g^{\mu\nu} \mathcal{L}$$

Translation invariance

Generalized angular momentum

$$M^{\mu\nu\rho} = -i \frac{\partial \mathcal{L}}{\partial(\partial_\mu \hat{\phi})} S^{\nu\rho} \hat{\phi} + (x^\nu T^{\mu\rho} - x^\rho T^{\mu\nu})$$

Lorentz invariance

Gauge invariant !

[Chen (2012)]
[C.L. (2013)]

[Ji, Xiong, Yuan (2012)]

[Hatta (2012)]

[C.L. (2013)]

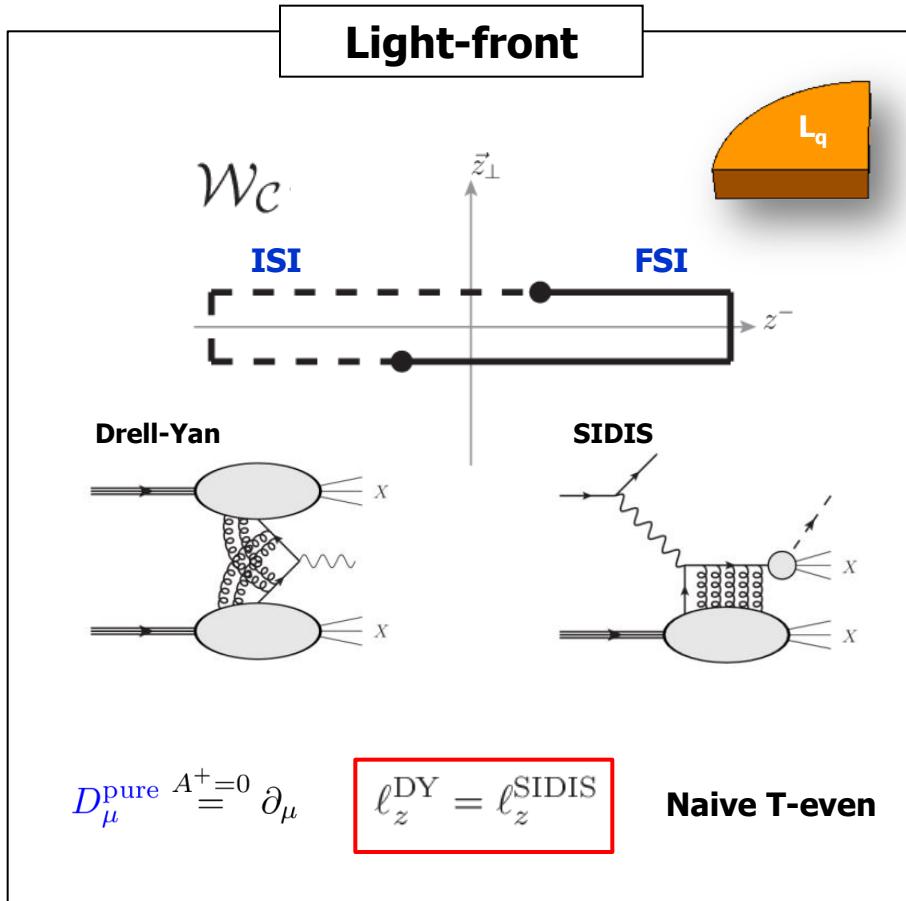
Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(x) = \bar{\psi}(x)\gamma^\mu(x^\nu \frac{i}{2}\overset{\leftrightarrow}{D}_{\text{pure}}^\rho - x^\rho \frac{i}{2}\overset{\leftrightarrow}{D}_{\text{pure}}^\nu)\psi(x)$$

[Ji, Xiong, Yuan (2012)]
 [Hatta (2012)]
 [C.L. (2013)]

Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(x) = \bar{\psi}(x)\gamma^\mu(x^\nu \frac{i}{2}\overset{\leftrightarrow}{D}_{\text{pure}}^\rho - x^\rho \frac{i}{2}\overset{\leftrightarrow}{D}_{\text{pure}}^\nu)\psi(x)$$



OAM and path dependence

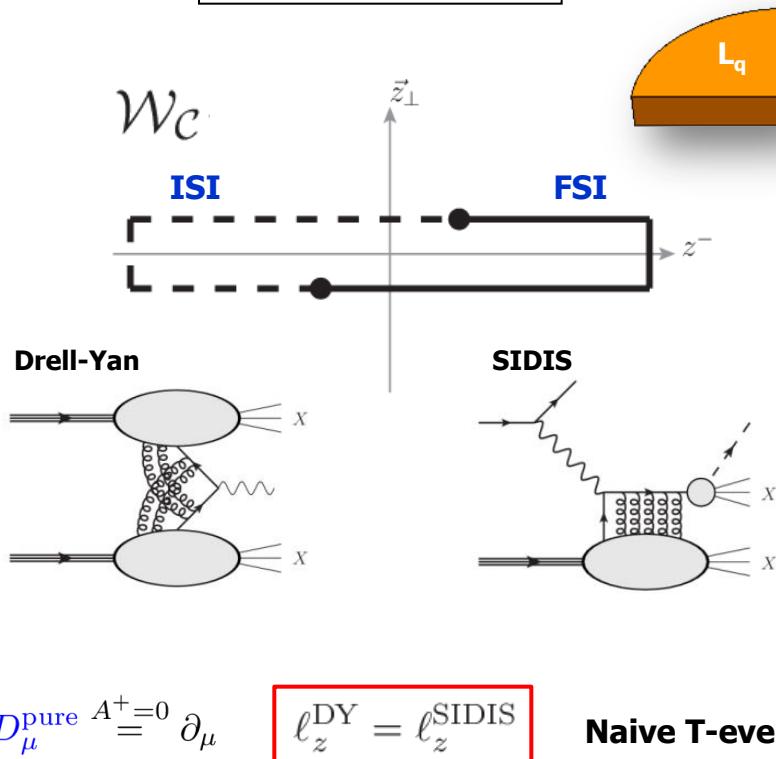
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[Ji, Xiong, Yuan (2012)]
 [Hatta (2012)]
 [C.L. (2013)]

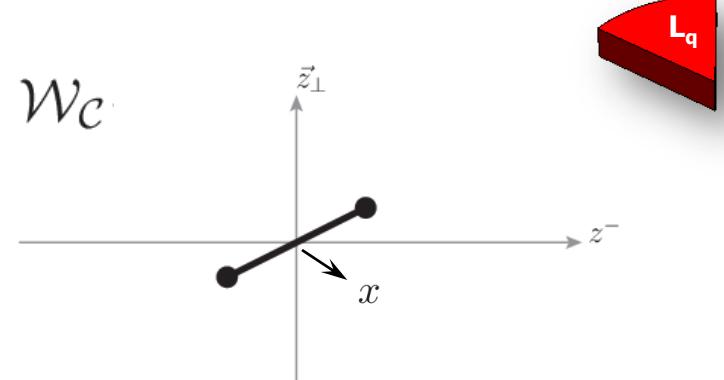
Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(x) = \bar{\psi}(x)\gamma^\mu(x^\nu \frac{i}{2} \overset{\leftrightarrow}{D}_{\text{pure}}^\rho - x^\rho \frac{i}{2} \overset{\leftrightarrow}{D}_{\text{pure}}^\nu)\psi(x)$$

Light-front



x -based Fock-Schwinger



Coincides *locally* with kinetic quark OAM

$$A_\mu(x) = A_\mu^{\text{pure}}(x)$$

$$A_\mu(y) \neq A_\mu^{\text{pure}}(y) \quad y \neq x$$

$$L_q^{\mu\nu\rho}(x) = \bar{\psi}(x)\gamma^\mu(x^\nu iD^\rho - x^\rho iD^\nu)\psi(x)$$

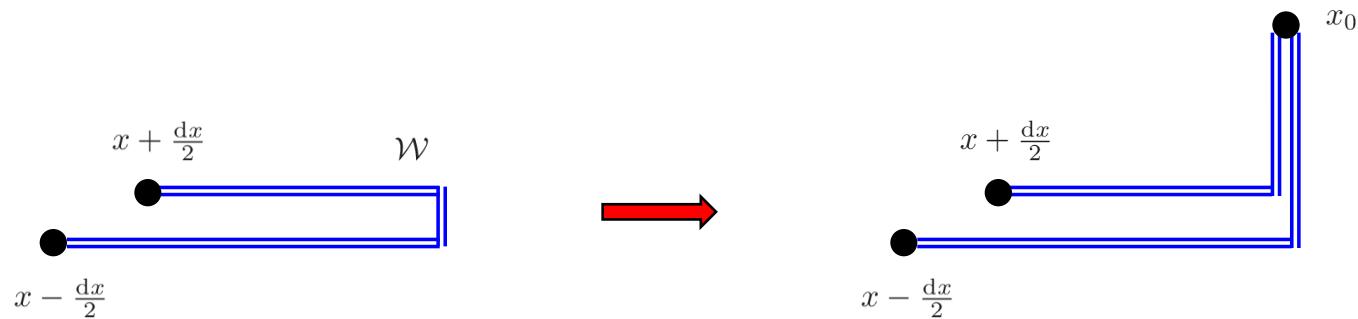
Phase-space approach

Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(x) = x^\nu T_{q,C}^{\mu\rho}(x) - x^\rho T_{q,C}^{\mu\nu}(x)$$

Quark energy-momentum tensor operator

$$\begin{aligned} T_{q,C}^{\mu\nu}(x) &= \bar{\psi}(x) \gamma^\mu \frac{i}{2} \overleftrightarrow{D}_{\text{pure}}^\nu \psi(x) \\ &= \bar{\psi}(x) \mathcal{W}_{xx_0} \gamma^\mu \frac{i}{2} \overleftrightarrow{\partial}^\nu \mathcal{W}_{x_0 x} \psi(x) \end{aligned}$$



Phase-space approach

Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(k, x) = x^\nu T_{q,C}^{\mu\rho}(k, x) - x^\rho T_{q,C}^{\mu\nu}(k, x)$$

Quark energy-momentum tensor operator

$$\begin{aligned}
 T_{q,C}^{\mu\nu}(k, x) &= \int \frac{d^4 z}{(2\pi)^4} e^{ik \cdot z} \bar{\psi}(x_f) \gamma^\mu (\mathcal{W}_{x_f x_i} \frac{i}{2} \vec{D}_\text{pure}^\nu - \frac{i}{2} \overleftarrow{D}_\text{pure}^\nu \mathcal{W}_{x_f x_i}) \psi(x_i) \\
 &= \int \frac{d^4 z}{(2\pi)^4} e^{ik \cdot z} \bar{\psi}(x_f) \mathcal{W}_{x_f x_0} \gamma^\mu \frac{i}{2} \overleftrightarrow{\partial}^\nu \mathcal{W}_{x_0 x_i} \psi(x_i) & x_i &= x + \frac{z}{2} \\
 &= \int \frac{d^4 z}{(2\pi)^4} e^{ik \cdot z} i \partial_z^\nu [\bar{\psi}(x_f) \gamma^\mu \mathcal{W}_{x_f x_i} \psi(x_i)] & x_f &= x - \frac{z}{2} \\
 &= k^\nu \underbrace{\int \frac{d^4 z}{(2\pi)^4} e^{ik \cdot z} \bar{\psi}(x_f) \gamma^\mu \mathcal{W}_{x_f x_i} \psi(x_i)}_{\widehat{W}_C^{[\gamma^\mu]}(k, x)}
 \end{aligned}$$

Phase-space approach

Quark generalized OAM operator

$$L_{q,C}^{\mu\nu\rho}(k, x) = (x^\nu k^\rho - x^\rho k^\nu) \widehat{W}_C^{[\gamma^\mu]}(k, x)$$

Quark average OAM

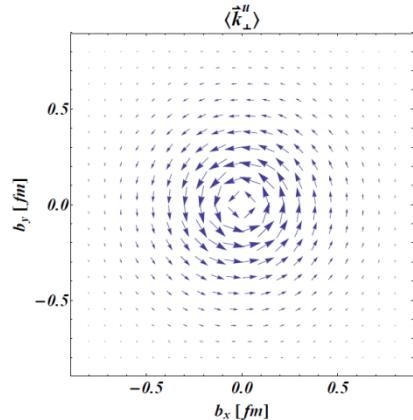
$$\ell_z^q = \int dx d^2k_\perp d^2b_\perp \ell_z^q(x, \vec{k}_\perp, \vec{b}_\perp)$$

Quark phase-space density of OAM

$$\begin{aligned} \ell_z^q(x, \vec{k}_\perp, \vec{b}_\perp) &= \frac{1}{2} \int \frac{d^2\Delta_\perp}{(2\pi)^2} \langle P^+, \frac{\vec{\Delta}_\perp}{2} | L_{q,C}^{+12}(xP^+, \vec{k}_\perp, 0, \vec{b}_\perp, 0) | P^+, -\frac{\vec{\Delta}_\perp}{2} \rangle \\ &= (\vec{b}_\perp \times \vec{k}_\perp)_z \rho_W^{[\gamma^+]}(x, \vec{k}_\perp, \vec{b}_\perp) \end{aligned}$$

Phase-space approach

Quark momentum-space density of OAM



$$\begin{aligned}
 \ell_z^q(x, \vec{k}_\perp) &= \int d^2 b_\perp \ell_z^q(x, \vec{k}_\perp, \vec{b}_\perp) \\
 &= \int d^2 b_\perp (\vec{b}_\perp \times \vec{k}_\perp)_z \rho_W^{[\gamma^+]}(x, \vec{k}_\perp, \vec{b}_\perp) \\
 &= (\vec{k}_\perp \times i \vec{\nabla}_{\Delta_\perp})_z W_{++}^{[\gamma^+]}(x, 0, \vec{k}_\perp, \vec{\Delta}_\perp) \Big|_{\vec{\Delta}_\perp = \vec{0}_\perp} \\
 &= -\frac{\vec{k}_\perp^2}{M^2} F_{14}(x, 0, \vec{k}_\perp, \vec{0}_\perp)
 \end{aligned}$$

Parametrization

$$W_{\Lambda' \Lambda}^{[\gamma^+]} = \frac{1}{2M} \bar{u}(p', \Lambda') \left[F_{11} + \frac{i\sigma^{k_\perp +}}{P^+} F_{12} + \frac{i\sigma^{\Delta_\perp +}}{P^+} F_{13} + \frac{i\sigma^{k_\perp \Delta_\perp}}{M^2} F_{14} \right] u(p, \Lambda)$$

[Meißner, Metz, Schlegel (2009)]

$$\ell_z^q = - \int dx d^2 k_\perp \frac{\vec{k}_\perp^2}{M^2} F_{14}(x, 0, \vec{k}_\perp, \vec{0}_\perp)$$



- No known physical process !
- Can (and will) be calculated on the lattice

[C.L., Pasquini, Xiong, Yuan (2012)]

[C.L. (2013)]

Let's focus on the energy-momentum tensor !

$$T^{\mu\nu} = \begin{bmatrix} \text{Energy density} & \text{Momentum density} \\ T^{00} & T^{01} \quad T^{02} \quad T^{03} \\ T^{10} & T^{11} \quad T^{12} \quad T^{13} \\ T^{20} & T^{21} \quad T^{22} \quad T^{23} \\ T^{30} & T^{31} \quad T^{32} \quad T^{33} \end{bmatrix}$$

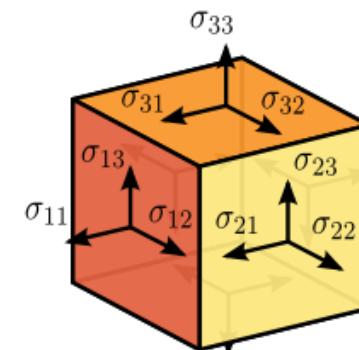
Energy flux Momentum flux

Shear stress
Normal stress (pressure)

In rest frame

$$M = \int d^3r T^{00}(\vec{r})$$

$$L^i = \int d^3r \epsilon^{ijk} r^j T^{0k}(\vec{r})$$

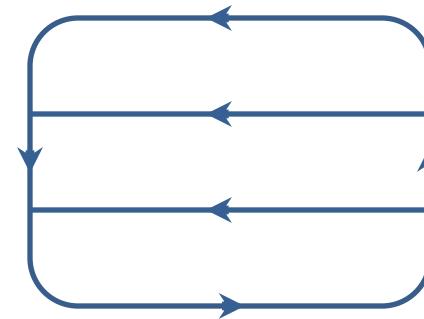
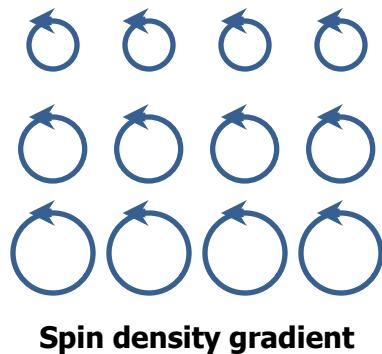


In presence of spin density

$$T^{0i} \neq T^{i0}$$

Belinfante
« improvement »

$$\begin{aligned} T_B^{\mu\nu} &\equiv T^{\mu\nu} + \frac{1}{2}\partial_\lambda[S^{\lambda\mu\nu} + S^{\mu\nu\lambda} + S^{\nu\mu\lambda}] \\ &= T_B^{\nu\mu} \end{aligned}$$



In rest frame

$$M = \int d^3r T_B^{00}(\vec{r})$$

$$J^i = \int d^3r \epsilon^{ijk} r^j T_B^{0k}(\vec{r})$$

No explicit « spin » contribution !

Energy-momentum operator

$$T_B^{\mu\nu} = \frac{1}{2} \bar{\psi} \gamma^{\{\mu} i D^{\nu\}} \psi - 2 \text{Tr}[F^{\mu\alpha} F^\nu{}_\alpha] + \frac{1}{2} g^{\mu\nu} \text{Tr}[F^{\alpha\beta} F_{\alpha\beta}]$$

$$a^{\{\mu} b^{\nu\}} = a^\mu b^\nu + a^\nu b^\mu$$

Matrix elements

$$\begin{aligned} \langle \langle \int d^3r O(\vec{r}) \rangle \rangle &\equiv \frac{\langle P, \vec{s} | \int d^3r O(\vec{r}) | P, \vec{s} \rangle}{\langle P, \vec{s} | P, \vec{s} \rangle} \\ &= \frac{1}{2P^0} \langle P, \vec{s} | O(\vec{0}) | P, \vec{s} \rangle \end{aligned}$$

Normalization

$$\langle p', \vec{s} | p, \vec{s} \rangle = 2P^0 (2\pi)^2 \delta^{(3)}(\vec{p}' - \vec{p})$$

$$\begin{aligned} \langle \langle \int d^3r \vec{r} O(\vec{r}) \rangle \rangle &\equiv \lim_{\vec{\Delta} \rightarrow \vec{0}} \frac{\langle p', \vec{s} | \int d^3r \vec{r} O(\vec{r}) | p, \vec{s} \rangle}{\langle p', \vec{s} | p, \vec{s} \rangle} \\ &= \frac{1}{2P^0} \left[-i \vec{\nabla}_\Delta \langle p', \vec{s} | O(\vec{0}) | p, \vec{s} \rangle \right]_{\vec{\Delta}=\vec{0}} \end{aligned}$$

Ji's approach

Energy-momentum FFs

$$\langle p' | T_B^{\mu\nu}(0) | p \rangle = \bar{u}(p') \left[\frac{P^{\{\mu} \gamma^{\nu\}}}{2} A(t) + \frac{P^{\{\mu} i\sigma^{\nu\}\alpha} \Delta_\alpha}{4M} B(t) + \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{M} C(t) \right] u(p)$$

Momentum sum rule

$$\langle \langle \int d^3r T_B^{0\mu}(\vec{r}) \rangle \rangle = A(0) P^\mu \quad \longrightarrow \quad A(0) = 1$$

Angular momentum sum rule

$$\langle \langle \int d^3r \vec{J}_B(\vec{r}) \rangle \rangle = \frac{\vec{s}}{2} \left[A(0) + \frac{P^0}{M} B(0) \right] - \frac{(\vec{P} \cdot \vec{s}) \vec{P}}{2M(P^0 + M)} B(0)$$

$$\begin{aligned} P^\mu &= (P^0, 0, 0, P_z) \\ \vec{s} &= (0, 0, 1) \end{aligned}$$

$$\langle \langle \int d^3r J_B^z(\vec{r}) \rangle \rangle = \frac{1}{2} [A(0) + B(0)] \quad \longrightarrow \quad A(0) + B(0) = 1$$



$$B(0) = 0$$

Vanishing gravitomagnetic moment !

Energy-momentum FFs

$$\langle p' | T_{Bq,G}^{\mu\nu}(0) | p \rangle = \bar{u}(p') \left[\frac{P^{\{\mu}\gamma^{\nu\}}}{2} A_{q,G}(t) + \frac{P^{\{\mu} i\sigma^{\nu\}}\alpha \Delta_\alpha}{4M} B_{q,G}(t) \right.$$

$$+ \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{M} C_{q,G}(t) + \underbrace{M g^{\mu\nu} \bar{C}_{q,G}(t)}_{\text{Non-conserved current}} \Big] u(p)$$

$$\sum_{q,G} \bar{C}_{q,G}(t) = 0$$

Non-conserved current

Momentum sum rule

$$\langle \langle \int d^3r T_{Bq,G}^{0\mu}(\vec{r}) \rangle \rangle = A_{q,G}(0) P^\mu$$



$$\sum_{q,G} A_{q,G}(0) = 1$$

Angular momentum sum rule

$$\langle \langle \int d^3r \vec{J}_{Bq,G}(\vec{r}) \rangle \rangle = \frac{\vec{s}}{2} \left[A_{q,G}(0) + \frac{P^0}{M} B_{q,G}(0) \right] - \frac{(\vec{P} \cdot \vec{s}) \vec{P}}{2M(P^0 + M)} B_{q,G}(0)$$

$$\begin{matrix} P^\mu = (P^0, 0, 0, P_z) \\ \vec{s} = (0, 0, 1) \end{matrix}$$

$$\langle \langle \int d^3r J_{Bq,G}^z(\vec{r}) \rangle \rangle = \frac{1}{2} [A_{q,G}(0) + B_{q,G}(0)]$$



$$\sum_{q,G} [A_{q,G}(0) + B_{q,G}(0)] = 1$$



$$\sum_{q,G} B_{q,G}(0) = 0$$

Vanishing gravitomagnetic moment !

Leading-twist component

$$\begin{aligned}\langle p' | T_{Bq}^{++}(0) | p \rangle &= \bar{u}(p') P^+ \gamma^+ u(p) [A_q(t) + 4\xi^2 C_q(t)] \\ &\quad + \bar{u}(p') P^+ \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} u(p) [B_q(t) - 4\xi^2 C_q(t)]\end{aligned}$$

Link with GPDs

$$\begin{aligned}\langle p' | \bar{\psi}(0) \gamma^+ i D^+ \psi(0) | p \rangle &= 2(P^+)^2 \int dx x \left[\frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{\psi}(-\frac{z^-}{2}) \gamma^+ \psi(\frac{z^-}{2}) | p, \rangle \right] \\ &= \bar{u}(p') P^+ \gamma^+ u(p) \int dx x H_q(x, \xi, t) \\ &\quad + \bar{u}(p') P^+ \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} u(p) \int dx x E_q(x, \xi, t)\end{aligned}$$

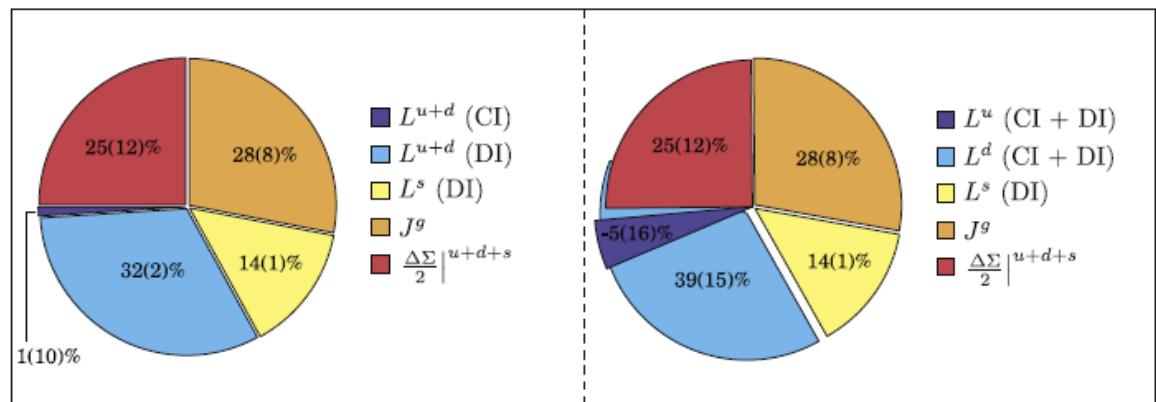
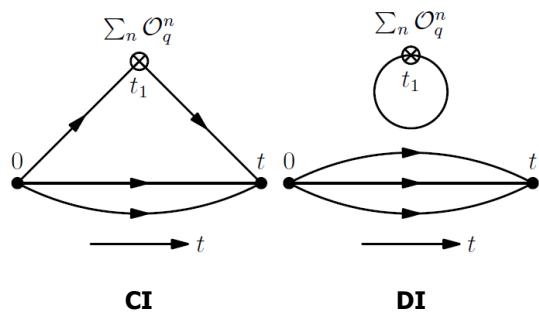
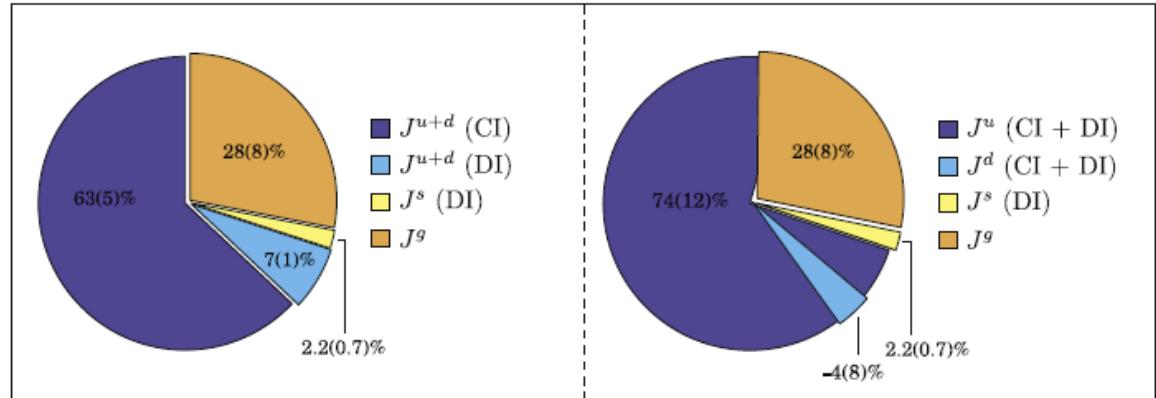
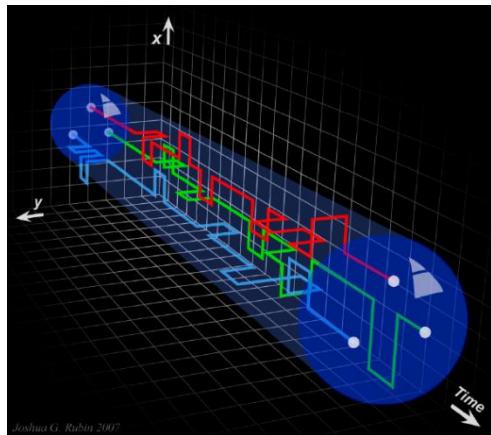


$$\langle \langle \int d^3r J_{Bq}^z(\vec{r}) \rangle \rangle = \frac{1}{2} \int dx x [H_q(x, 0, 0) + E_q(x, 0, 0)]$$

Measurable *e.g.* in DVCS !

Lattice results

17/19



Kinetic OAM (Ji)

$$\begin{aligned}
 L_z &= \underbrace{\frac{1}{2} \int dx x [H(x, 0, 0) + E(x, 0, 0)]}_{J_z} - \underbrace{\frac{1}{2} \int dx \tilde{H}(x, 0, 0)}_{S_z} \\
 &= - \int dx x G_2(x, 0, 0) = \int dx x [H(x, 0, 0) + E(x, 0, 0) + \tilde{E}_{2T}(x, 0, 0)]
 \end{aligned}$$

Pure twist-3

[Ji (1997)]

[Penttinen *et al.* (2000)]
 [Kiptily, Polyakov (2004)]
 [Hatta (2012)]

Quark *naive* canonical OAM (Jaffe-Manohar)

$$\mathcal{L}_z = - \int dx d^2 k_\perp \frac{\vec{k}_\perp^2}{2M^2} h_{1T}^\perp(x, \vec{k}_\perp)$$

⚠ Model-dependent !

[Burkardt (2007)]
 [Efremov *et al.* (2008, 2010)]
 [She, Zhu, Ma (2009)]
 [Avakian *et al.* (2010)]
 [C.L., Pasquini (2011)]

Canonical OAM (Jaffe-Manohar)

$$\ell_z = - \int dx d^2 k_\perp \frac{\vec{k}_\perp^2}{M^2} F_{14}(x, 0, \vec{k}_\perp, \vec{0}_\perp)$$

[C.L., Pasquini (2011)]
 [C.L., Pasquini, Xiong, Yuan (2012)]
 [Hatta (2012)]
 [Kanazawa *et al.* (2014)]

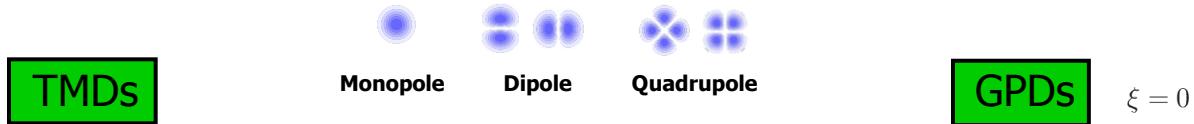
Model q	LCCQM			χ QSM		
	u	d	Total	u	d	Total
ℓ_z^q	0.131	-0.005	0.126	0.073	-0.004	0.069
L_z^q	0.071	0.055	0.126	-0.008	0.077	0.069
\mathcal{L}_z^q	0.169	-0.042	0.126	0.093	-0.023	0.069

⚠ No gluons and not QCD EOM !

$$\ell_z = L_z \quad \text{but} \quad \ell_z^q \neq L_z^q$$

[C.L., Pasquini (2011)]

Multipole structure of the amplitudes

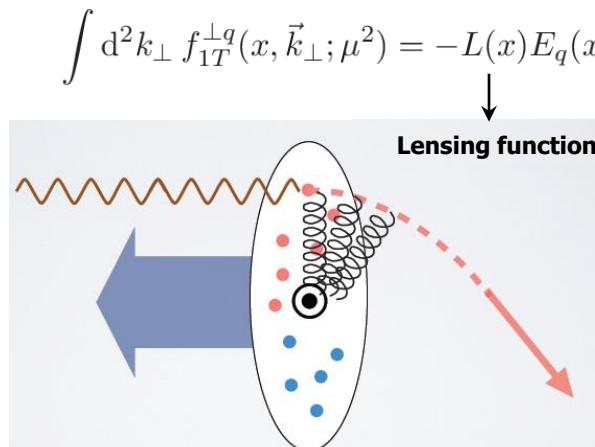


	U	T_x	T_y	L
U	f_1	$\frac{k_y}{M} h_{1T}^\perp$	$-\frac{k_x}{M} h_{1T}^\perp$	
T_x	$\frac{k_y}{M} f_{1T}^\perp$	$h_1 + \frac{k_x^2 - k_y^2}{2M^2} h_{1T}^\perp$	$\frac{k_x k_y}{M^2} h_{1T}^\perp$	$\frac{k_x}{M} g_{1T}$
T_y	$-\frac{k_x}{M} f_{1T}^\perp$	$\frac{k_x k_y}{M^2} h_{1T}^\perp$	$h_1 - \frac{k_x^2 - k_y^2}{2M^2} h_{1T}^\perp$	$\frac{k_y}{M} g_{1T}$
L		$\frac{k_x}{M} h_{1L}^\perp$	$\frac{k_y}{M} h_{1L}^\perp$	g_{1L}

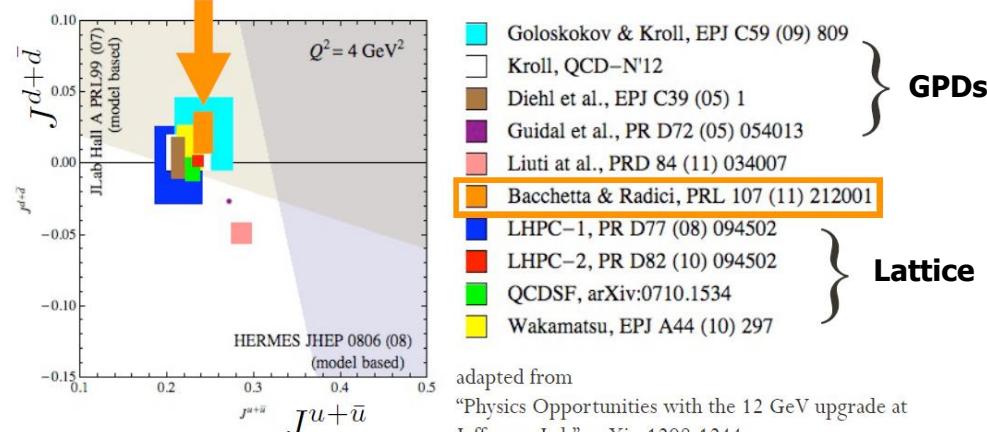
	U	T_x	T_y	L
U	H	$i \frac{\Delta_y}{2M} (2\tilde{H}_T + E_T)$	$-i \frac{\Delta_x}{2M} (2\tilde{H}_T + E_T)$	
T_x	$i \frac{\Delta_y}{2M} E$	$H_T - \frac{\Delta_x^2 - \Delta_y^2}{4M^2} \tilde{H}_T$	$-\frac{\Delta_x \Delta_y}{2M^2} \tilde{H}_T$	
T_y	$-i \frac{\Delta_x}{2M} E$	$-\frac{\Delta_x \Delta_y}{2M^2} \tilde{H}_T$	$H_T + \frac{\Delta_x^2 - \Delta_y^2}{4M^2} \tilde{H}_T$	
L				\tilde{H}

$$\vec{k}_\perp \leftrightarrow i\vec{\Delta}_\perp$$

Phenomenological relation



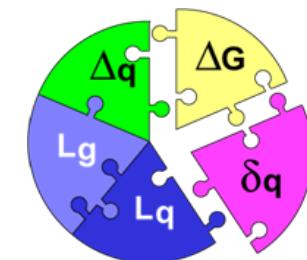
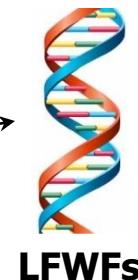
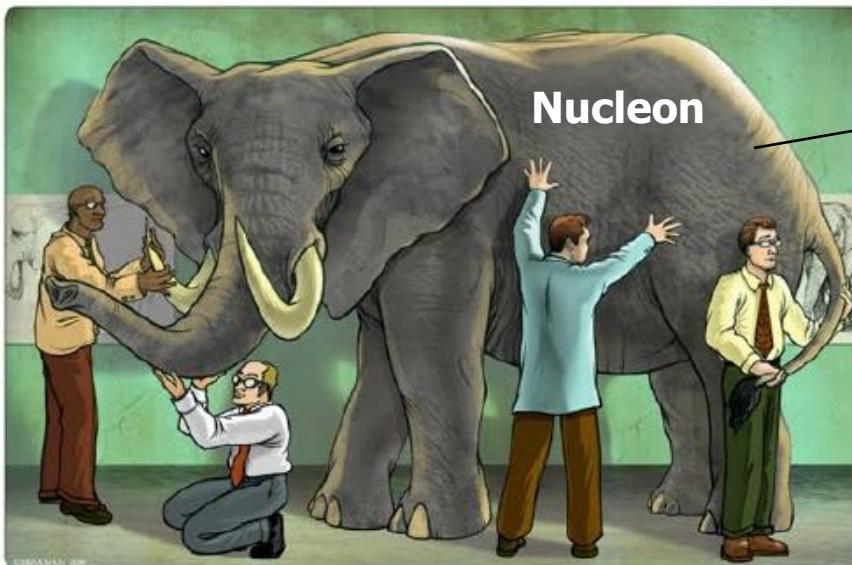
[Burkardt (2002)]
 [Bacchetta, Radici (2011)]



Summary

Lectures 1-6

- Phase-space/Wigner distributions can be defined for quark & gluons
- Only projections of these distributions are experimentally accessible
- Nucleon spin decomposition is not unique (so be consistent !)
- Quark and gluon OAM account for $\sim 50\%$ of nucleon spin
- Spin and OAM are at the origin of observed asymmetries



GPDs

TMDs

FFs

PDFs