

Hadron Physics & QCD's Dyson-Schwinger Equations

Lecture 3: The pion and dynamical chiral symmetry breaking



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The logo for Argonne National Laboratory, consisting of a stylized triangle made of three overlapping shapes in green, red, and blue.

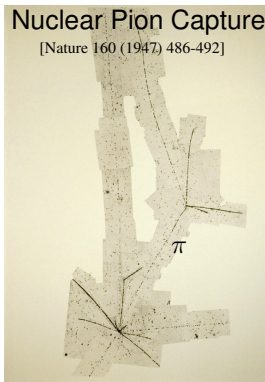
The Pion – Nature's strong messenger

- Hideki Yukawa in 1935 postulated a strongly interacting particle of mass ~ 100 MeV
 - Yukawa called this particle a “meson”
- Cecil Powell in 1947 discovered the π -meson from cosmic ray tracks in a photographic emulsion – a technique Cecil developed



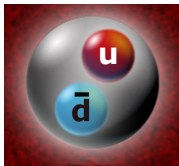
Nuclear Pion Capture

[Nature 160 (1947) 486-492]



- Cavendish Lab had said method is incapable of “reliable and reproducible precision measurements”
- The measured *pion* mass was: 130 – 150 MeV
- Both Yukawa & Powell received Nobel Prize – in 1949 and 1950 respectively
- Discovery of pion was beginning of particle physics; before long there was the particle zoo

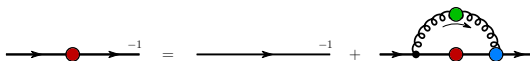
- Today the pion is understood as both a bound state of a *dressed-quark* and a *dressed-antiquark* in QFT and the Goldstone mode associated with DCSB in QCD
- This dichotomous nature has numerous ramifications, e.g.:



$$m_\rho/2 \sim M_N/3 \sim 350 \text{ MeV} \quad \text{however} \quad m_\pi/2 \simeq 0.2 \times 350 \text{ MeV}$$

- The pion is unusually light, the key is dynamical chiral symmetry breaking
- The pion – π^+ , π^0 , π^- – is stable under the strong interaction, however does decay via the weak interaction: $\tau_{\pi^\pm} \simeq 10^{-8} \text{ s}$
- Pion properties are therefore difficult to measure but there have been numerous successes:
 - masses; decay constants and rates; electromagnetic form factor and parton distribution function
- Critical need for modern theory to examine the structure of the pion
 - *the Dyson-Schwinger equations are the ideal tool*

- The equations of motion of QCD \iff QCD's Dyson-Schwinger equations
 - an infinite tower of coupled integral equations
 - tractability \implies must implement a symmetry preserving truncation
- The most important DSE is QCD's gap equation \implies quark propagator

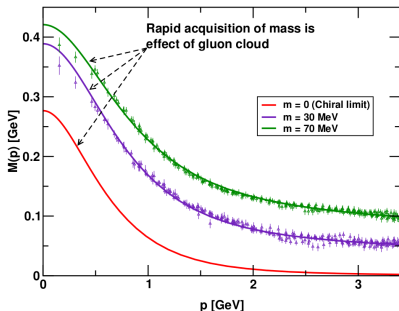


- ingredients – dressed gluon propagator & dressed quark-gluon vertex

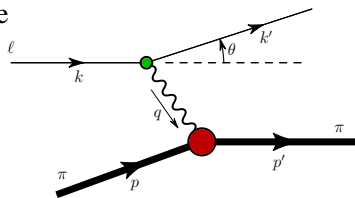
$$S(p) = \frac{Z(p^2)}{i\not{p} + M(p^2)}$$

- $S(p)$ has correct perturbative limit
- mass function, $M(p^2)$, exhibits dynamical mass generation
- complex conjugate poles
 - no real mass shell \implies confinement

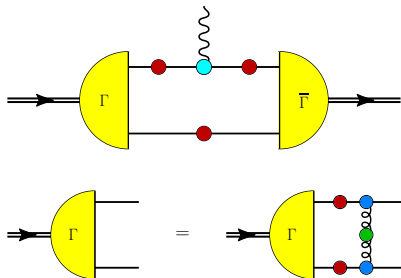
[M. S. Bhagwat *et al.*, Phys. Rev. C **68**, 015203 (2003)]



- Form factors parametrize the interaction of the electromagnetic current with a hadron
- the pion form factor furnishes information on the distribution of charge inside the pion
- The interaction of the EM current with a pion has the form: $\langle J_{\pi}^{\mu} \rangle = (p'^{\mu} + p^{\mu}) F_{\pi}(Q^2)$



- In the impulse approximation the pion form factor is given by the (triangle) diagram:



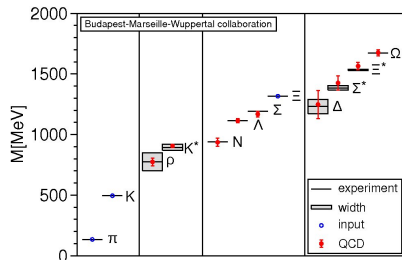
- Ingredients:

- dressed quark propagators
- homogeneous Bethe-Salpeter vertices
- dressed quark-photon vertex

- Measurement and computation of the pion form factor will shed light on DCSB in QCD

Bethe-Salpeter Equation

- In QFT physical states appear as poles in n -point Green Functions
- That is, the full $\bar{q}q$ scattering matrix or t -matrix, contains poles for all $\bar{q}q$ bound states, that is, the physical mesons
- The $\bar{q}q$ t -matrix is given by the **Bethe-Salpeter equation (BSE)**:



$$T = K + T \cdot K$$

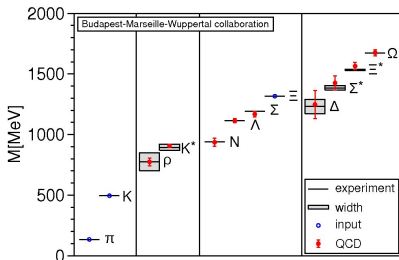
- In principle the kernel, K , contains all possible 2PI diagrams

$$K = \text{[diagram 1]} + \text{[diagram 2]} + \dots \xrightarrow{NJL} \text{[diagram 3]}$$

- The BSE is compact and correct – its solution in a particular channel gives the two-body (meson) propagator

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$$T = \text{[single gluon]} + \text{[two gluons]} + \text{[three gluons]} + \dots$$

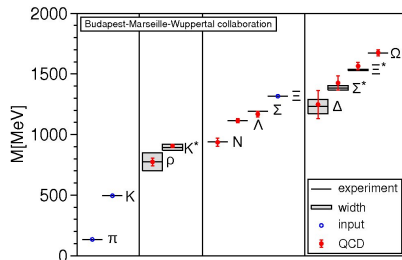
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$$K = \text{[single gluon]} + \text{[two gluons]} + \dots \xrightarrow{NJL} \text{[vertex diagram]}$$

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$$T = \text{Diagram 1} + \text{Diagram 2} \left[\text{Diagram 3} + \text{Diagram 4} + \text{Diagram 5} + \dots \right]$$

The diagram shows the Bethe-Salpeter equation for the t -matrix T . On the left is a yellow circle labeled T with two external lines. On the right is a sum of diagrams: a self-energy loop, a kernel K (represented by a vertical wavy line), and a series of diagrams representing higher-order corrections in powers of K .

- In principle the kernel, K , contains all possible 2PI diagrams

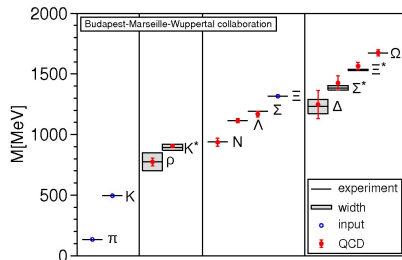
$$K = \text{Diagram 1} + \text{Diagram 2} + \dots \xrightarrow{NJL} \text{Diagram 3}$$

The diagram shows the kernel K (a cyan oval) as a sum of diagrams. The first diagram is a self-energy loop. The second diagram is a crossed self-energy loop. The third diagram is a four-point vertex with four external lines meeting at a central green dot, representing the NJL interaction.

- The BSE is compact and correct – its solution in a particular channel gives the two-body (meson) propagator

Bethe-Salpeter Equation

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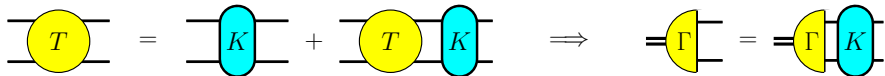


$$T = K + T \cdot K$$

- In principle the kernel, K , contains all possible 2PI diagrams

$$K = \text{[diagram 1]} + \text{[diagram 2]} + \dots \xrightarrow{NJL} \text{[diagram 3]}$$

- The BSE is compact and correct – its solution in a particular channel gives the two-body (meson) propagator



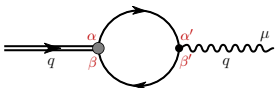
- Near a bound state pole of mass m a two-body T -matrix behaves as

$$\mathcal{T}(p, k) \rightarrow \frac{i \Gamma(p, k) \bar{\Gamma}(p, k)}{p^2 - m^2} \quad \text{where} \quad p = p_1 + p_2, \quad k = p_1 - p_2$$

- $\Gamma(p, k)$ is the homogeneous Bethe-Salpeter vertex & describes relative motion of the quark and anti-quark while they form the bound state
- Pion BSE vertex has the general form

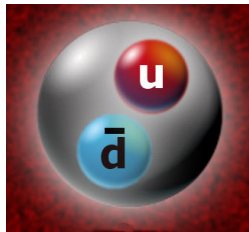
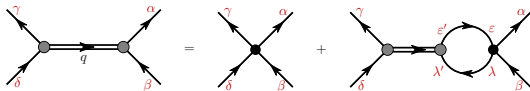
$$\Gamma_\pi(p, k) = \gamma_5 \left[E_\pi(p, k) + \not{p} F_\pi(p, k) + \not{k} k \cdot p \mathcal{G}(p, k) + \sigma^{\mu\nu} k_\mu p_\nu \mathcal{H}(p, k) \right]$$

- the dominant amplitude is $E_\pi(p, k)$, $F_\pi(p, k)$ becomes important for large Q^2
- Bethe-Salpeter vertex needed for calculations e.g. f_π or $F_\pi(Q^2)$

$$i f_\pi p^\mu \delta_{ij} = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\frac{1}{2} \gamma^\mu \gamma_5 \tau_j S(k) \Gamma_\pi^i(p, k) S(k-p) \right]$$


- NJL BSE for the pion: $\mathcal{K}_\pi = -2i G_\pi (\gamma_5 \boldsymbol{\tau})_{\alpha\beta} (\gamma_5 \boldsymbol{\tau})_{\lambda\epsilon}$

$$\mathcal{T}(q) = \mathcal{K} + \int \frac{d^4 k}{(2\pi)^4} \mathcal{K} S(q+k) S(k) \mathcal{T}(q)$$



- Solving for the t -matrix and expanding about the pole:

$$\mathcal{T} = \gamma_5 \tau_i \frac{-2i G_\pi}{1 + 2 G_\pi \Pi_\pi(q^2)} \gamma_5 \tau_i \rightarrow \frac{i Z_\pi}{q^2 - m_\pi^2} (\gamma_5 \tau_i) (\gamma_5 \tau_i) \implies \Gamma_\pi = \sqrt{Z_\pi} \gamma_5 \tau_i$$

- Z_π is effective pion-quark coupling constant & Γ_π the pion BS vertex
- The pion mass is then given by $-1 + 2 G_\pi \Pi_\pi(q^2 = m_\pi^2) = 0$ – where

$$\Pi_\pi(q^2) \delta_{ij} = 3i \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [\gamma_5 \tau_i S(k) \gamma_5 \tau_j S(k+q)]$$

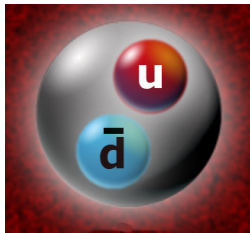
- this result is straightforward to obtain

- Is the pion a Goldstone boson? NJL gap equation gives:

$$\Pi_\pi(q^2) = \frac{m}{2 G_\pi M} - \frac{1}{2 G_\pi} - q^2 I(q^2)$$

- Pole condition $-1 + 2 G_\pi \Pi_\pi(q^2 = m_\pi^2) = 0$ – implies

$$m_\pi^2 = \frac{m}{2 G_\pi M I(m_\pi^2)}$$



- Therefore as demanded by chiral symmetry we have: $m_\pi^2 \propto m$ (GMOR)

- also in the chiral limit – $m \rightarrow 0$ ($M \neq 0$) – pion is massless

- The NJL model also satisfies all another relations associated with chiral symmetry; for example

- $f_\pi g_{\pi qq} = M g_{Aqq}$

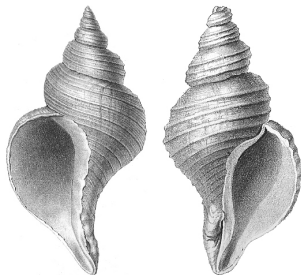
Goldberger–Treiman (GT) relation

- $f_\pi^2 m_\pi^2 = \frac{1}{2} (m_u + m_d) \langle \bar{u}u + \bar{d}d \rangle$

Gell-Mann–Oakes–Renner (GMOR)

- **IF** chiral symmetry was **NOT** dynamically broken in nature expect mass degenerate chiral partners; e.g. $m_\sigma \simeq m_\pi$ & $m_{a_1} \simeq m_\rho$

- The ρ and a_1 are the lowest lying vector ($J^P = 1^-$) and axial-vector ($J^P = 1^+$) $\bar{q}q$ bound states:
 $m_\rho^{\text{exp't}} \simeq 770 \text{ MeV}$ & $m_{a_1}^{\text{exp't}} \simeq 1260 \text{ MeV}$



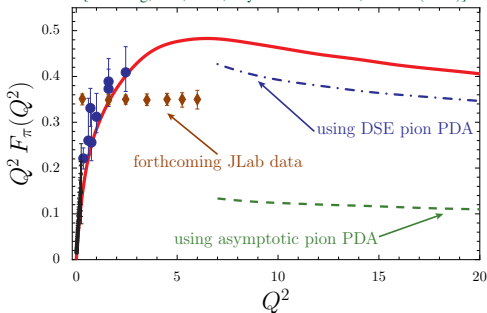
- The associated NJL BSE pole conditions read:

$$1 + 2 G_\rho \Pi_\rho(q^2 = m_\rho^2) = 0 \quad \& \quad 1 + 2 G_\rho \Pi_{a_1}(q^2 = m_{a_1}^2) = 0$$

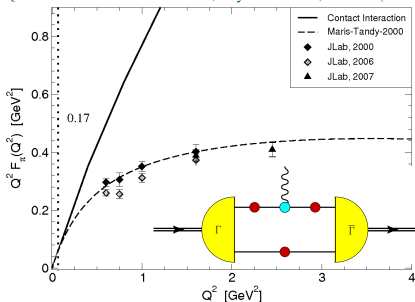
- where $\Pi_{a_1}(q^2) = M^2 I(q^2) + \Pi_\rho(q^2)$
- If $m = 0$ and there is **NO** DCSB ($M = 0$) would have: $m_\rho = m_{a_1}$
- In nature and NJL, DCSB splits chiral partner masses
 - NJL gives: $m_\rho \equiv 770 \text{ MeV}$ & $m_{a_1} \simeq 1098 \text{ MeV}$
 - agrees with the Weinberg relation: $m_{a_1} \simeq \sqrt{2} m_\rho$; $[m_\sigma^2 \simeq m_\pi^2 + 4 M^2]$

Consequences of Running Quark Mass

[L. Chang, ICC, *et al.*, Phys. Rev. Lett. **111**, 141802 (2013)]



[L. X. Gutierrez-Guerrero *et al.*, Phys. Rev. **C81**, 065202 (2010)]



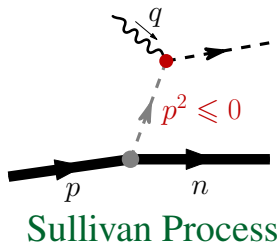
- Full DSE results uses the pion BSE vertex:

$$\Gamma_{\pi}(p, k) = \gamma_5 \left[E_{\pi}(p, k) + \not{p} F_{\pi}(p, k) + \not{k} k \cdot p \mathcal{G}(p, k) + \sigma^{\mu\nu} k_{\mu} p_{\nu} \mathcal{H}(p, k) \right]$$

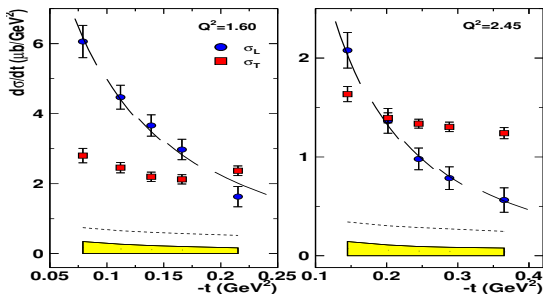
- In gap equation use simple kernel \iff NJL model with $\pi - a_1$ mixing

$$g^2 D_{\mu\nu}(p - k) \Gamma^{\nu}(p, k) \rightarrow \frac{1}{m_G^2} g_{\mu\nu} \gamma^{\nu} \implies \Gamma_{\pi}(p, k) = \gamma_5 [E_{\pi} + \not{p} F_{\pi}]$$

- quark no longer has a running mass
- *Nature of interaction can have observable consequences for $Q^2 > 0$*

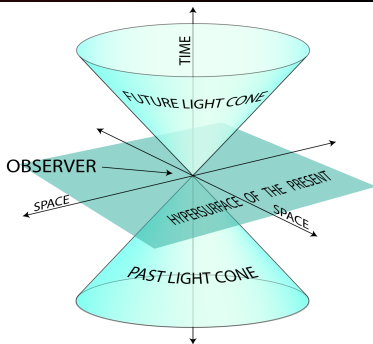


[G. M. Huber *et al.* [Jefferson Lab Collaboration], Phys. Rev. C **78**, 045203 (2008)]



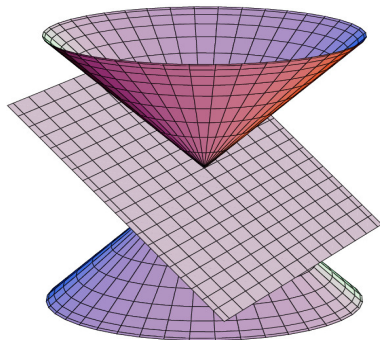
- At low Q^2 pion form factor is measured by scattering a pion from the electron cloud of an atom [$t \equiv p^2$]
 - small mass of electron limits this to $Q^2 < 0.5 \text{ GeV}^2$
- Higher Q^2 experiments have been performed at Jefferson Lab where a virtual photon scatters from a virtual pion that is part of the nucleon
- Initial pion is off its mass shell – $p^2 \leq 0$ – on mass shell $p^2 = m_\pi^2$
 - need to extrapolate to the pion pole $p^2 = m_\pi^2$

- In equal-time quantization a hadron wave function is a frame dependent concept
 - boost operators are dynamical, that is, they are interaction dependent
- In high energy scattering experiments particles move at near speed of light
 - natural to quantize a theory at equal light-front time: $\tau = (t + z)/\sqrt{2}$
- Light-front quantization \implies light-front WFs; many remarkable properties:
 - frame-independent; probability interpretation – as close as QFT gets to QM
 - boosts are kinematical – *not dynamical*
- Parton distribution amplitudes (PDAs) are (almost) observables & are related to light-front wave functions



$$\varphi(x) = \int d^2\vec{k}_\perp \psi(x, \vec{k}_\perp)$$

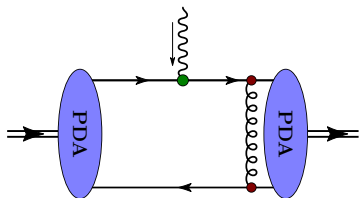
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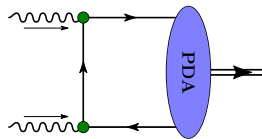
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Pion's Parton Distribution Amplitude

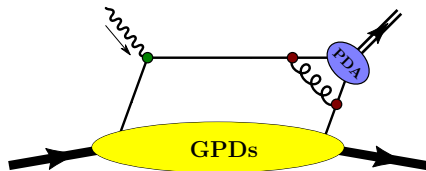
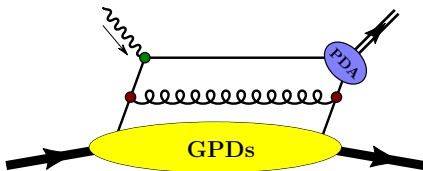
- pion's PDA – $\varphi_\pi(x)$: is a probability amplitude that describes the momentum distribution of a quark and antiquark in the bound-state's valence Fock state
- it's a function of the light-cone momentum fraction $x = \frac{k^+}{p^+}$ and the scale Q^2



$$Q^2 F_\pi(Q^2) \rightarrow 16\pi f_\pi^2 \alpha_s(Q^2)$$



$$Q^2 F_{\gamma^* \gamma \pi}(Q^2) \rightarrow 2 f_\pi$$



- PDAs enter numerous hard exclusive scattering processes

- pion's PDA – $\varphi_\pi(x)$: *is a probability amplitude that describes the momentum distribution of a quark and antiquark in the bound-state's valence Fock state*
- it's a function of the light-cone momentum fraction $x = \frac{k^+}{p^+}$ and the scale Q^2
- The pion's PDA is defined by

$$f_\pi \varphi_\pi(x) = Z_2 \int \frac{d^4 k}{(2\pi)^2} \delta(k^+ - x p^+) \text{Tr} [\gamma^+ \gamma_5 S(k) \Gamma_\pi(k, p) S(k - p)]$$

- $S(k) \Gamma_\pi(k, p) S(k - p)$ is the pion's Bethe-Salpeter wave function
 - in the non-relativistic limit it corresponds to the Schrodinger wave function
- $\varphi_\pi(x)$: is the axial-vector projection of the pion's Bethe-Salpeter wave function onto the light-front [at twist-2 also pseudoscalar projection]
- Pion PDA is an essentially nonperturbative quantity whose asymptotic form is known; in this regime governs, e.g., Q^2 dependence of pion form factor

$$Q^2 F_\pi(Q^2) \xrightarrow{Q^2 \rightarrow \infty} 16 \pi f_\pi^2 \alpha_s(Q^2) \iff \varphi_\pi^{\text{asy}}(x) = 6 x (1 - x)$$

- ERBL (Q^2) evolution for pion PDA [c.f. DGLAP equations for PDFs]

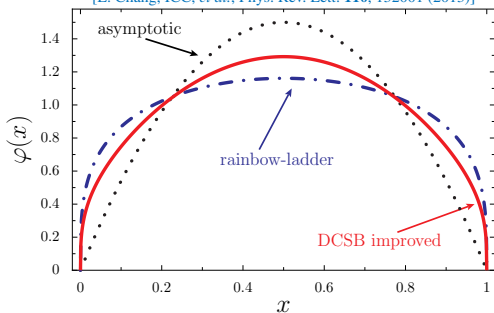
$$\mu \frac{d}{d\mu} \varphi(x, \mu) = \int_0^1 dy V(x, y) \varphi(y, \mu)$$

- This evolution equation has a solution of the form

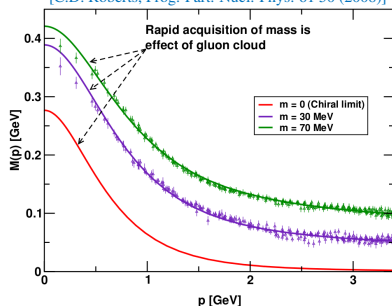
$$\varphi_\pi(x, Q^2) = 6x(1-x) \left[1 + \sum_{n=2, 4, \dots} a_n^{3/2}(Q^2) C_n^{3/2}(2x-1) \right]$$

- $\alpha = 3/2$ because in $Q^2 \rightarrow \infty$ limit QCD is invariant under the collinear conformal group $SL(2; \mathbb{R})$
- Gegenbauer- $\alpha = 3/2$ polynomials are irreducible representations $SL(2; \mathbb{R})$
- The coefficients of the Gegenbauer polynomials, $a_n^{3/2}(Q^2)$, evolve logarithmically to zero as $Q^2 \rightarrow \infty$: $\varphi_\pi(x) \rightarrow \varphi_\pi^{\text{asy}}(x) = 6x(1-x)$
- At what scales is this a good approximation to the pion PDA?
- E.g., AdS/QCD find $\varphi_\pi(x) \sim x^{1/2}(1-x)^{1/2}$ at $Q^2 = 1 \text{ GeV}^2$; expansion in terms of $C_n^{3/2}(2x-1)$ convergences slowly: $a_{32}^{3/2} / a_2^{3/2} \sim 10\%$

[L. Chang, ICC, *et al.*, Phys. Rev. Lett. **110**, 132001 (2013)]



[C.D. Roberts, Prog. Part. Nucl. Phys. **61** 50 (2008)]



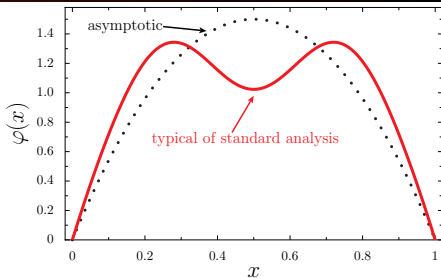
- Both DSE results, each using a different Bethe-Salpeter kernel, exhibit a pronounced broadening compared with the asymptotic pion PDA
 - scale of calculation is given by renormalization point $\zeta = 2$ GeV
- A realization of DCSB on the light-front
- As we shall see the dilation of pion's PDA will influence the Q^2 evolution of the pion's electromagnetic form factor

- Lattice QCD can only determine one non-trivial moment

$$\int_0^1 dx (2x - 1)^2 \varphi_\pi(x) = 0.27 \pm 0.04$$

[V. Braun *et al.*, Phys. Rev. D **74**, 074501 (2006)]

- scale is $Q^2 = 4 \text{ GeV}^2$
- Standard practice to fit first coefficient of “*asymptotic expansion*” to moment



$$\varphi_\pi(x, Q^2) = 6x(1-x) \left[1 + \sum_{n=2,4,\dots} a_n^{3/2}(Q^2) C_n^{3/2}(2x-1) \right]$$

- however this expansion is guaranteed to converge rapidly only when $Q^2 \rightarrow \infty$
- this procedure results in a *double-humped* pion PDA
- Advocate using a *generalized expansion*

$$\varphi_\pi(x, Q^2) = N_\alpha x^\alpha (1-x)^\alpha \left[1 + \sum_{n=2,4,\dots} a_n^{\alpha+1/2}(Q^2) C_n^{\alpha+1/2}(2x-1) \right]$$

- Find $\varphi_\pi \simeq x^\alpha (1-x)^\alpha$, $\alpha = 0.35_{-0.24}^{+0.32}$; good agreement with DSE: $\alpha \sim 0.52$

- Lattice QCD can only determine one non-trivial moment

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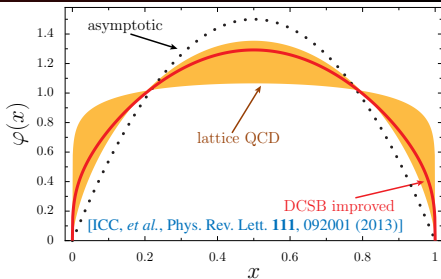
- scale is $Q^2 = 4 \text{ GeV}^2$
- Standard practice to fit first coefficient of “*asymptotic expansion*” to moment

$$\varphi_\pi(x, Q^2) = 6x(1-x) \left[1 + \sum_{n=2,4,\dots} a_n^{3/2}(Q^2) C_n^{3/2}(2x-1) \right]$$

- however this expansion is guaranteed to converge rapidly only when $Q^2 \rightarrow \infty$
- this procedure results in a *double-humped* pion PDA
- Advocate using a *generalized expansion*

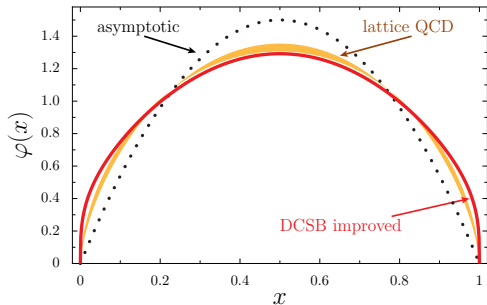
$$\varphi_\pi(x, Q^2) = N_\alpha x^\alpha (1-x)^\alpha \left[1 + \sum_{n=2,4,\dots} a_n^{\alpha+1/2}(Q^2) C_n^{\alpha+1/2}(2x-1) \right]$$

- Find $\varphi_\pi \simeq x^\alpha (1-x)^\alpha$, $\alpha = 0.35_{-0.24}^{+0.32}$; good agreement with DSE: $\alpha \sim 0.52$



Generalized expansion

$$\varphi_\pi(x) = N_\alpha x^\alpha (1-x)^\alpha \left[1 + \sum a_n^{\alpha+}(Q^2) C_n^{\alpha+}(2x-1) \right]$$

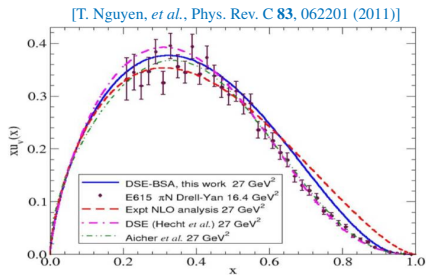
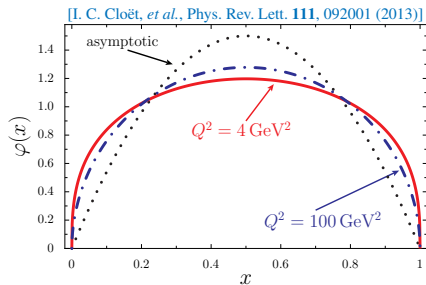


Updated lattice QCD moment: [V. Braun *et al.*, arXiv:1503.03656 [hep-lat]]

$$\int_0^1 dx (2x-1)^2 \varphi_\pi(x) = 0.2361 \quad (41) \quad (39) \quad (?)$$

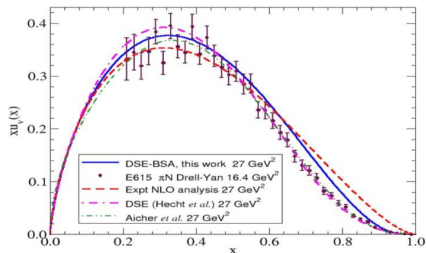
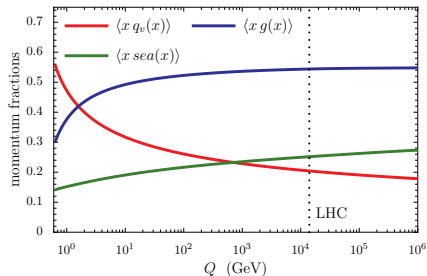
DSE prediction:

$$\int_0^1 dx (2x-1)^2 \varphi_\pi(x) = 0.251$$



- Under leading order Q^2 evolution the pion PDA remains broad to well above $Q^2 > 100 \text{ GeV}^2$, compared with $\varphi_{\pi}^{\text{asy}}(x) = 6x(1-x)$
- *Consequently, the asymptotic form of the pion PDA is a poor approximation at all energy scales that are either currently accessible or foreseeable in experiments on pion elastic and transition form factors*
- Importantly, $\varphi_{\pi}^{\text{asy}}(x)$ is only guaranteed to be an accurate approximation to $\varphi_{\pi}(x)$ when pion valence quark PDF satisfies: $q_v^{\pi}(x) \sim \delta(x)$
- This is far from valid at foreseeable energy scales

When is the Pion's Valence PDF Asymptotic



- LO QCD evolution of momentum fraction carried by valence quarks

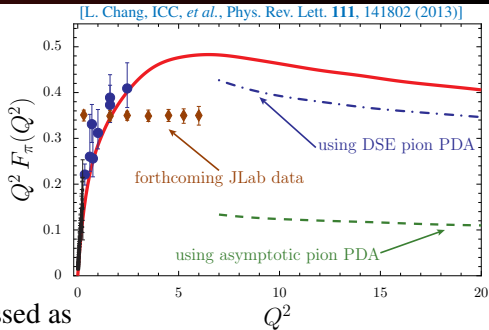
$$\langle x q_v(x) \rangle (Q^2) = \left(\frac{\alpha_s(Q^2)}{\alpha_s(Q_0^2)} \right)^{\gamma_{qq}^{(0)2}/(2\beta_0)} \langle x q_v(x) \rangle (Q_0^2) \quad \text{where} \quad \frac{\gamma_{qq}^{(0)2}}{2\beta_0} > 0$$

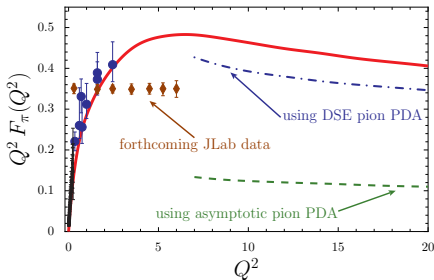
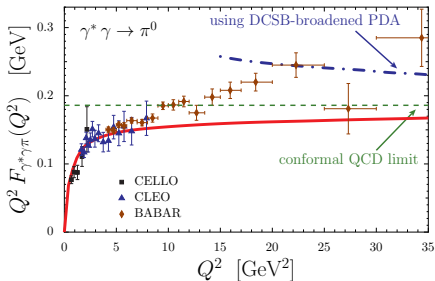
- therefore, as $Q^2 \rightarrow \infty$ we have $\langle x q_v(x) \rangle \rightarrow 0$ implies $q_v(x) \propto \delta(x)$
- At LHC energies valence quarks still carry 20% of pion momentum
 - the gluon distribution saturates at $\langle x g(x) \rangle \sim 55\%$
- *Asymptotia is a long way away!*

- Direct, symmetry-preserving computation of pion form factor predicts maximum in $Q^2 F_\pi(Q^2)$ at $Q^2 \approx 6 \text{ GeV}^2$
- magnitude of this product is determined by strength of DCSB at all accessible scales
- The QCD prediction can be expressed as

$$Q^2 F_\pi(Q^2) \stackrel{Q^2 \gg \Lambda_{\text{QCD}}^2}{\sim} 16 \pi f_\pi^2 \alpha_s(Q^2) w_\pi^2; \quad w_\pi = \frac{1}{3} \int_0^1 dx \frac{1}{x} \varphi_\pi(x)$$

- Within DSEs there is consistency between the direct pion form factor calculation and that obtained using the DSE pion PDA
- 15% disagreement explained by higher order/higher-twist corrections
- *We predict that QCD power law behaviour – with QCD's scaling law violations – sets in at $Q^2 \sim 8 \text{ GeV}^2$*

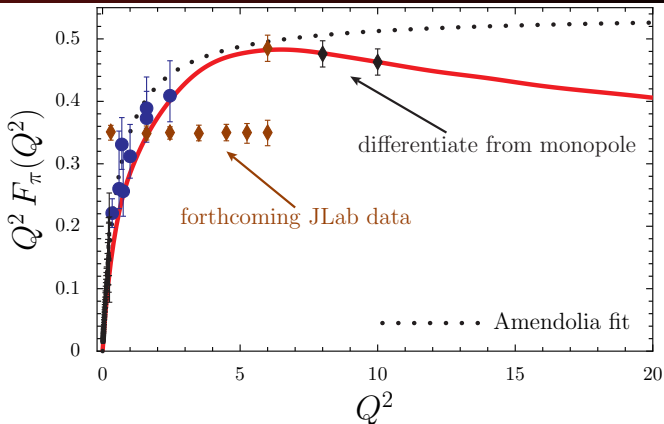




- At large Q^2 the hard gluon exchange in the $\gamma^* + \pi \rightarrow \pi$ form factor – needed to keep the pion intact – results in distinctly different behaviour to the pion transition form factor $\gamma^* + \pi \rightarrow \gamma$

$$Q^2 F_{\gamma^* \pi \gamma}(Q^2) \rightarrow 2 f_{\pi} w_{\pi}^2 \quad \text{c.f.} \quad Q^2 F_{\pi}(Q^2) \rightarrow 16 \pi f_{\pi}^2 \alpha_s(Q^2) w_{\pi}^2$$

- Therefore approach to asymptotic limit gives *inter alia* a unique window into quark-gluon dynamics in QCD
- In full DSE calculation of $\gamma^* \pi \rightarrow \gamma$ conformal limit approached from below



- To observe onset of perturbative power law behaviour – *to differentiate from a monopole* – optimistically need data at 8 GeV^2 but likely also at 10 GeV^2
 - this is a very challenging task experimentally
- Scaling predictions are valid for both spacelike and timelike momenta
 - timelike data show promise as the means of verifying modern predictions