

# QCD and hadron structure

## Lecture 4: TMDs

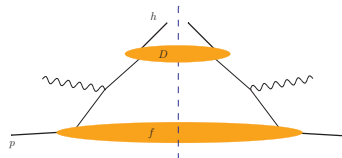
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Jefferson Lab, June 2016



## Measured transverse momentum



- ▶ consider
  - Drell-Yan with measured small  $q_T$  of  $\gamma^*$
  - SIDIS with measured small  $q_T$  of hadron
  - $e^+e^- \rightarrow h_1 h_2 + X$  with  $h_1, h_2$  approx. opposite momenta and small relative  $q_T$
  
- ▶  $k_T \sim m$  from collinear graphs matters in final state
  - can still neglect parton  $k_T$  in **hard scattering**
  - but **do not**  $\int d^2 k_T$  in parton densities and fragm. fcts.
    - $\rightsquigarrow k_T$  dependent/unintegrated PDFs
    - also called TMDs (transverse-momentum distributions)
  
- ▶ theoretical framework: **TMD factorization**
  - also called  $k_T$  factorization
  - different from (but related to)  $k_T$  factorization at small  $x$**

## $k_T$ dependent parton densities

$k_T$  integrated:

$$f_1(x) = \int \frac{dz^-}{4\pi} e^{iz^- p^+ x} \langle p, s | \bar{q}(0) \gamma^+ W(0, \infty) W(\infty, z^-) q(z^-) | p, s \rangle \Big|_{z^+=0, z_T=0}$$

$k_T$  dependent:

$$\int \frac{dz^-}{4\pi} \frac{d^2 z_T}{(2\pi)^2} e^{iz^- p^+ x} e^{-i\mathbf{k}_T \mathbf{z}_T} \langle p, s | \bar{q}(0) \gamma^+ W(0, \infty) W(\infty, z^-, \mathbf{z}_T) q(z^-, \mathbf{z}_T) | p, s \rangle \Big|_{z^+=0}$$

- fields at different transv. positions  
implications on Wilson lines → later

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$$= f_1(x, \mathbf{k}_T^2) - \frac{\epsilon^{ij} k_T^i s_T^j}{m} f_{1T}^\perp(x, \mathbf{k}_T^2)$$

$$\begin{aligned} \epsilon^{12} &= -\epsilon^{21} = 1 \\ \epsilon^{11} &= \epsilon^{22} = 0 \end{aligned}$$

- ▶ fields at different transv. positions  
implications on Wilson lines  $\rightarrow$  later
- ▶ correlations between spins and transv. momentum  
e.g. Sivers function  $f_{1T}^\perp$

## A zoo of distributions

- ▶ collinear twist 2 densities:

$f_1$  unpol. quark in unpol. proton

$g_1$  correlate  $s_L$  of quark with  $S_L$  of proton

$h_1$  correlate  $s_T$  of quark with  $S_T$  of proton

- ▶  $k_T$  dependent twist 2 densities:

$f_1, g_1, h_1$  as above

$f_{1T}^\perp$  correlate  $k_T$  of quark with  $S_T$  of proton (Sivers)

$h_1^\perp$  correlate  $k_T$  and  $s_T$  of quark (Boer-Mulders)

$g_{1T}, h_{1T}^\perp, h_{1L}^\perp$  three more densities

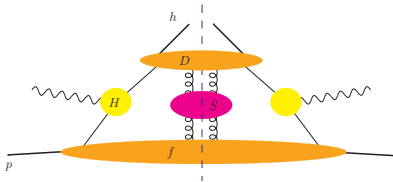
- ▶ analogous for fragmentation functions:

- ▶  $f_1 \leftrightarrow D_1$  unpolarized

- ▶  $h_1^\perp \leftrightarrow H_1^\perp$  Collins fragm. fct.

## TMD factorization (SIDIS as example)

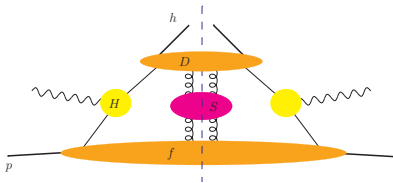
- ▶ take  $Q$  large and  $q_T$  small ( $\sim m$  for power counting purposes)



- ▶ transverse-momentum dep't distribution and fragmentation fcts.
- ▶ only virtual corrections to hard subgraph  
no radiation of high- $p_T$  partons allowed

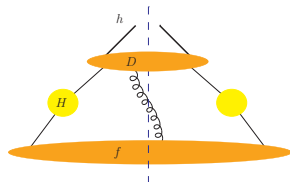
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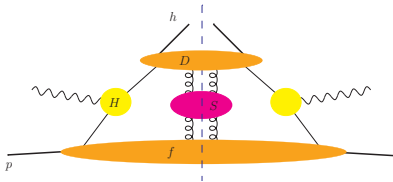
- ▶ soft gluon exchange does **not** cancel in sum over hadronic final state at leading-power accuracy gives **soft factor** in factorization formula

$S =$  universal non-perturbative fct  
 $\rightarrow 1$  when integrate over  $k_T$   
 cancellation between real



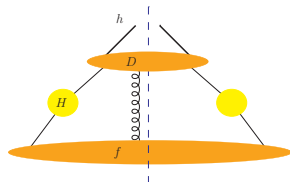
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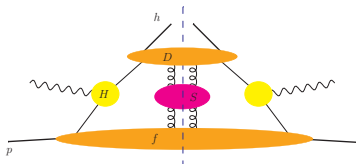


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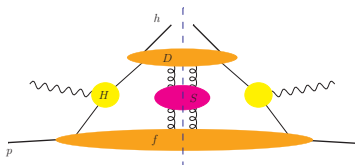


SIDIS at low  $q_T$ 

- ▶ factorization formula

$$\frac{d\sigma_{\gamma^* p}}{dz dq_T^2} = (\text{kin. fact.}) \times |H(\mu)|^2 \int d^2 p_T d^2 k_T d^2 l_T \delta^{(2)}(\mathbf{p}_T - \mathbf{k}_T + \mathbf{l}_T + \mathbf{q}_T) \\ \times \sum_{i=q, \bar{q}} e_i^2 f^i(x, p_T, \zeta, \mu) D^i(z, k_T, \zeta_h, \mu) S(l_T, \mu)$$

- ▶ no  $\int d^2 k_T$  in parton densities  $\rightsquigarrow$  **no** DGLAP type evolution !!
- ▶ evolution in **rapidity** parameters  $\zeta, \zeta_h$  with  $\zeta \zeta_h = Q^2$   
 $\rightsquigarrow$  Collins-Soper equation  $\rightsquigarrow$  **Sudakov factor**  $\rightsquigarrow$  **later slide**
- ▶ various azimuthal and spin asymmetries

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simplifies if Fourier transform

$$f(p_T) \rightarrow f(b), D(k_T) \rightarrow D(b), S(l_T) \rightarrow S(b):$$

$$\frac{d\sigma_{\gamma^* p}}{dz dq_T^2} = (\text{k.f.}) \times |H(\mu)|^2 \int d^2 b e^{-ib\mathbf{q}_T} \sum_{i=q, \bar{q}} e_i^2 f^i(x, b, \zeta, \mu) D^i(z, b, \zeta_h, \mu) S(b, \mu)$$

note:  $b$  here not the same as  $b$  in GPDs (will later call  $z$ )

- ▶ redefine  $f$  and  $D$  to each absorb factor  $\sqrt{S}$

SIDIS at low  $q_T$ 

- ▶ Collins-Soper equation and RGE for  $f$  (same for  $D$ ):

$$\frac{d}{d \ln \sqrt{\zeta}} f(x, b, \zeta, \mu) = K(b, \mu) f(x, b, \zeta, \mu)$$

$$\frac{d}{d \ln \mu} f(x, b, \zeta, \mu) = \gamma_F(\zeta, \mu) f(x, b, \zeta, \mu) \quad (\text{no } x \text{ integral as in DGLAP eq.})$$

- ▶ “cusp anomalous dimension”

$$\frac{dK(b, \mu)}{d \ln \mu} = \frac{d\gamma_F(\zeta, \mu)}{d \ln \sqrt{\zeta}} = -\gamma_K(\mu) = -\frac{8\alpha_s(\mu)}{3\pi} + \dots$$

## SIDIS at low $q_T$

- ▶ Collins-Soper equation and RGE for  $f$  (same for  $D$ ):

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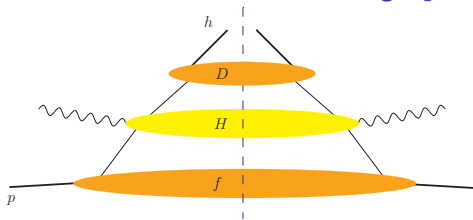
$$\frac{d}{d \ln \mu} f(x, b, \zeta, \mu) = \gamma_F(\zeta, \mu) f(x, b, \zeta, \mu) \quad (\text{no } x \text{ integral as in DGLAP eq.})$$

- ▶ solution:

$$\frac{f(x, b, \zeta, \mu)}{f(x, b, \zeta_0, \mu_0)} = \exp \left\{ - \int_{\mu_0}^{\mu} \frac{d\mu'}{\mu'} \left[ \gamma_K(\mu') \ln \frac{\sqrt{\zeta}}{\mu'} - \gamma_F(\mu'^2, \mu') \right] + K(b, \mu_0) \ln \frac{\sqrt{\zeta}}{\sqrt{\zeta_0}} \right\}$$

- ▶  $\exp\{\dots\}$  = Sudakov factor
- ▶ in exponent have “double logarithms”  $\ln^2(\mu/\mu_0)$  for  $\zeta \sim \mu^2$   
in cross section set  $\sqrt{\zeta} \sim \mu \sim Q$  and  $\sqrt{\zeta_0} \sim \mu_0 \sim q_T$
- ▶  $K(b, \mu)$  calculable in pert. theory **only if**  $b$  is small  
need to interpolate between small and large  $b$

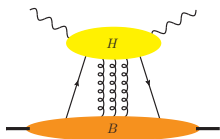
## Compare with collinear factorization for large $q_T$



$$\frac{d\sigma_{\gamma^*p}}{dz dq_T^2} = (\text{kin. fact.}) \times \int_x^1 \frac{d\hat{x}}{\hat{x}} \int_z^1 \frac{d\hat{z}}{\hat{z}} \delta\left(\frac{q_T^2}{Q^2} - \frac{(1-\hat{x})(1-\hat{z})}{\hat{x}\hat{z}}\right) \\ \times \sum_{i,j=q,\bar{q},g} f_i\left(\frac{x}{\hat{x}}, \mu^2\right) D_j\left(\frac{z}{\hat{z}}, \mu^2\right) C_{ij}\left(\hat{x}, \hat{z}, \ln \frac{\mu^2}{Q^2}\right)$$

- ▶  $C_{ij}$  start at  $\mathcal{O}(\alpha_s)$ , must emit partons recoiling against  $\mathbf{q}_T$
- ▶ convolution in momentum fractions

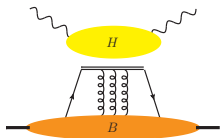
## Wilson lines in short-distance factorization



- ▶ exchange of  $> 2$  partons between  $H$  and  $B$  power suppressed
- ▶ **except for  $A^+$  gluon exchange**  
 $\rightsquigarrow$  resum to all orders

- ▶  $H^\mu(l)$  all components big  
 $B^\mu(l) \propto p^\mu$  only plus-component big (for right-moving hadron)  
 $\rightsquigarrow H_\mu B^\mu \approx H^- B^+$

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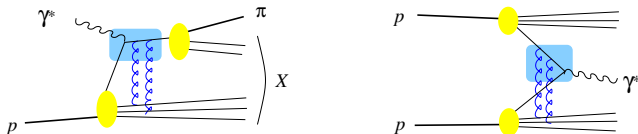
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- ▶ Ward identities  $\rightsquigarrow$  gluons removed from  $H$   
 in  $B$  obtain Wilson line  $W(a, b) = \text{P exp} \left[ ig \int_a^b dz^- A^+(z) \right]_{z^+=0, \mathbf{z}=0}$

$$q(x) \propto \int dz^- e^{ixp^+ z^-} \langle p | \bar{q}(0) W(0, z) \gamma^+ q(z) | p \rangle \Big|_{z^+=0, \mathbf{z}=0}$$

$\rightsquigarrow$  blackboard

## Wilson lines in TMDs

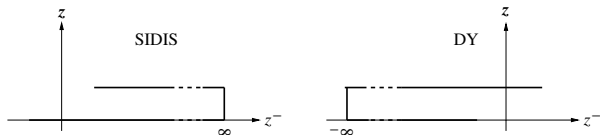


$$q(x, \mathbf{k}) \propto \int dz^- d^2 \mathbf{z} e^{ixp^+ z^-} e^{-i\mathbf{k} \cdot \mathbf{z}} \langle p | \bar{q}(0) W_P(0, z) \gamma^+ q(z) | p \rangle \Big|_{z^+=0}$$

- ▶ space-time structure of process  $\rightsquigarrow$  path  $P$  in Wilson line
- ▶ SIDIS: interactions **after** quark struck by photon  
DY: interactions **before** quark annihilates



## Wilson lines in TMDs



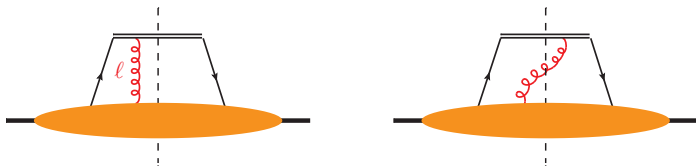
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- ▶ space-time structure of process  $\rightsquigarrow$  path  $P$  in Wilson line
- ▶ SIDIS: interactions **after** quark struck by photon  
DY: interactions **before** quark annihilates
- ▶ obtain “staple like” paths
  - Feynman gauge: pieces at  $z^- \rightarrow \pm\infty$  not important
  - light-cone gauge  $A^+ = 0$ : straight sections  $\rightarrow 1$   
all effects from  $z^- \rightarrow \pm\infty$

## Rapidity divergences revisited

- ▶ arise as  $\int_0^{\infty} dl^+ / l^+$  from region  $l^+ \rightarrow 0$  at nonzero  $l^-$   
 $\rightsquigarrow$  negative rapidity, should not be inside TMD
- ▶ need to regulate  $\rightsquigarrow$  rapidity “cutoff” parameter  $\zeta$

$$\frac{d}{d \ln \sqrt{\zeta}} = \frac{d}{d(\text{rapidity})}$$



- ▶  $\int d^2 k_T$  divergences cancel between real and virtual graphs  
 $\rightsquigarrow$  not present in usual PDFs (or GPDs)

Wilson line has **physical** consequences

- ▶ **transverse** proton polarization  $\rightsquigarrow$  anisotropic  $\mathbf{k}$  distribution

$$f_{q/p\uparrow}(x, \mathbf{k}) = f_1(x, \mathbf{k}^2) + \frac{(\mathbf{S} \times \mathbf{k}) \cdot \mathbf{p}}{m|\mathbf{p}|} f_{T1\perp}(x, \mathbf{k}^2)$$

- ▶ induces anisotropic  $p_T$  distribution in SIDIS (Sivers effect) **observed** experimentally
- ▶ time reversal changes sign of  $(\mathbf{S} \times \mathbf{k}) \cdot \mathbf{p}$   
 $\rightsquigarrow$  Sivers function = 0 ??

## Wilson line has physical consequences

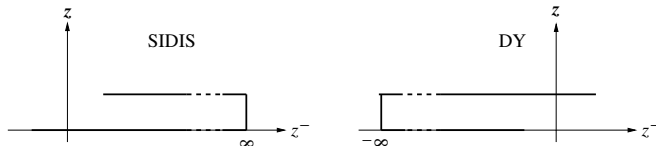
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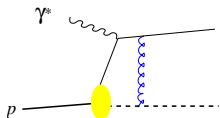
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- ▶ time reversal changes sign of  $(\mathbf{S} \times \mathbf{k}) \cdot \mathbf{p}$   
 $\rightsquigarrow$  Sivers function = 0 ??
- ▶ **no**: time reversal interchanges Wilson lines for SIDIS (**future pointing**) and DY (**past pointing**)

$$\rightsquigarrow f_{1T}^{\perp, \text{SIDIS}}(x, \mathbf{k}^2) = -f_{1T}^{\perp, \text{DY}}(x, \mathbf{k}^2)$$

J. Collins '02



## Chromodynamic lensing



Sivers effect found in explicit model calculations

S. Brodsky, D.-S. Hwang, I. Schmidt '02

- ▶ same model relates anisotropies in transv. momentum distribution  $f_{q/p\uparrow}(x, \mathbf{k})$  and impact parameter distribution  $q_{q/p\uparrow}(x, \mathbf{b})$

Burkardt, Hwang '03; Lu, Schmidt '06; Meissner, Metz, Goeke '07

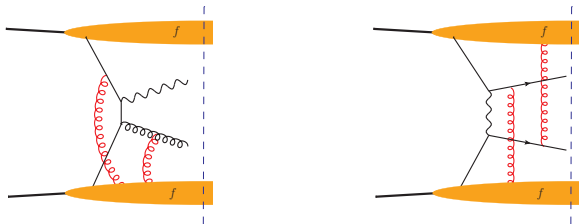
$$q_{q/p\uparrow}(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{(\mathbf{S} \times \mathbf{b}) \cdot \mathbf{p}}{m_p |\mathbf{p}|} \frac{\partial}{\partial \mathbf{b}^2} e_q(x, \mathbf{b}^2)$$

- ▶ anomalous magnetic moments  $\kappa_p, \kappa_n$ 
  - ↪ signs of  $\kappa_q = \int dx \int d^2\mathbf{b} e_q(x, \mathbf{b}^2)$
  - ↪ signs of Sivers functions for  $u$  and  $d$  quarks consistent with measurement

## More complicated processes

- ▶ examples:  $pp \rightarrow \gamma + \text{jet} + X$ ,  $pp \rightarrow \pi + \text{jet} + X$
- ▶ more partons in initial and final state
  - ↪ more complicated Wilson lines
  - ↪ more parton densities and fragm. functions

Bomhof, Mulders, Pijlman, Buffing '04-'15



- ▶ two-loop analysis ↪ **breakdown** of TMD factorization

Mulders, Rogers '10

## Relation between high- $q_T$ and low- $q_T$ descriptions

- ▶ for  $q_T \gg m$  calc.  $k_T$  dependent densities from coll. ones:



$$f_1^i(x, k_T^2; \zeta, \mu) = \frac{1}{k_T^2} \sum_j \int_x^1 \frac{dx'}{x'} K^{ij} \left( \frac{x}{x'}, \ln \frac{k_T^2}{\zeta} \right) f_1^j(x'; \mu)$$

$K$  closely related with DGLAP splitting functions  $P$

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$\tilde{K}$  closely related with DGLAP splitting functions  $P$

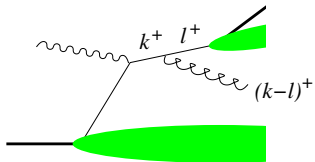


## Comparison between high- $q_T$ and low- $q_T$ descriptions

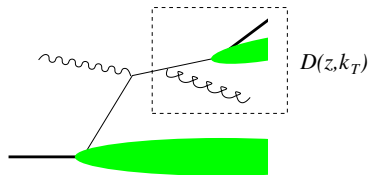
- ▶ collinear fact. requires  $q_T \gg m$   
 $k_T$  fact. requires  $q_T \ll Q$   
 $\rightsquigarrow$  in region  $m \ll q_T \ll Q$  both approaches are valid
- ▶ compare  $q_T \gg m$  limit of  $k_T$  fact. result  
with  $q_T \ll Q$  limit of coll. fact. result  
 $\rightsquigarrow$  full agreement for unpol. cross section  
Collins, Soper, Sterman '85; Bacchetta et al. '08
- ▶ detailed comparison also for various spin asymmetries  
e.g. Sivers asy. in SIDIS or Drell-Yan at low  $q_T$  (Sivers fct.) and  
high  $q_T$  (Qiu-Sterman fct.)  
Ji, Qiu, Vogelsang, Yuan '06; Koike, Vogelsang, Yuan '07

## Correspondence at level of graphs

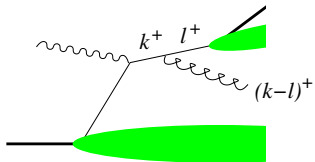
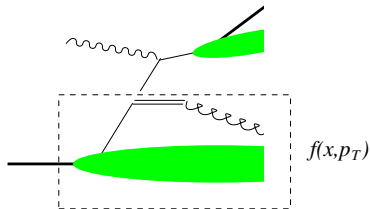
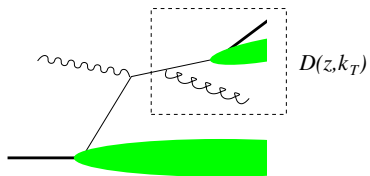
high- $q_T$  calculation



low- $q_T$  calculation with  $q_T \gg m$



## Correspondence at level of graphs

high- $q_T$  calculationlow- $q_T$  calculation with  $q_T \gg m$ 

## Transverse momentum vs. position

- ▶ variables related by 2d Fourier transforms, e.g.

- quark fields  $\tilde{q}(\mathbf{k}, z^-) = \int d^2\mathbf{z} e^{i\mathbf{z}\mathbf{k}} q(\mathbf{z}, z^-)$
- proton states  $|p^+, \mathbf{b}\rangle = \int d^2\mathbf{p} e^{-i\mathbf{b}\mathbf{p}} |p^+, \mathbf{p}\rangle$

- ▶ in bilinear operators

$$\bar{q}(\mathbf{k}) \tilde{q}(\mathbf{l}) = \int d^2\mathbf{y} d^2\mathbf{z} e^{-i(\mathbf{y}\mathbf{k} - \mathbf{z}\mathbf{l})} \bar{q}(\mathbf{y}) q(\mathbf{z})$$

$$\mathbf{y}\mathbf{k} - \mathbf{z}\mathbf{l} = \frac{1}{2}(\mathbf{y} + \mathbf{z})(\mathbf{k} - \mathbf{l}) + \frac{1}{2}(\mathbf{y} - \mathbf{z})(\mathbf{k} + \mathbf{l})$$

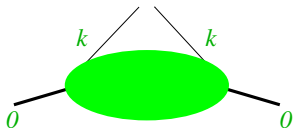
'average' transv. momentum  $\leftrightarrow$  position **difference**

transv. momentum **transfer**  $\leftrightarrow$  'average' position

- ▶ 'average' transv. mom. and position **not** Fourier conjugate

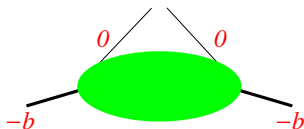
## Mind the difference

TMDs



$$\int d^2 \mathbf{z} e^{-i \mathbf{z} \mathbf{k}} \langle \mathbf{0} | \bar{q}(-\frac{1}{2} \mathbf{z}) \dots q(\frac{1}{2} \mathbf{z}) | \mathbf{0} \rangle$$

impact parameter distributions



$$\int d^2 \Delta e^{-i \mathbf{b} \Delta} \langle -\frac{1}{2} \Delta | \bar{q}(\mathbf{0}) \dots q(\mathbf{0}) | \frac{1}{2} \Delta \rangle$$

(longitudinal variables not shown for simplicity)

Fourier conjugates:

average transv. **momentum**

$$q(x, \mathbf{k})$$

↔

difference of transv. **positions**

Wilson lines, Sudakov resummation, ...

difference of transv. **momenta**

$$H(x, \Delta)_{\xi=0}$$

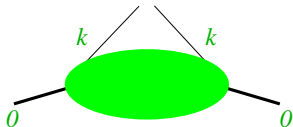
↔

average transv. **position**

$$q(x, \mathbf{b})$$

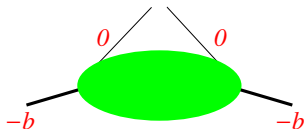
## Mind the difference

TMDs



$$\int d^2 \mathbf{z} e^{-i\mathbf{z}\mathbf{k}} \langle \mathbf{0} | \bar{q}(-\frac{1}{2}\mathbf{z}) \dots q(\frac{1}{2}\mathbf{z}) | \mathbf{0} \rangle$$

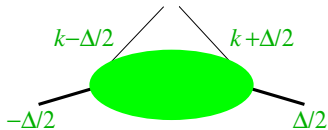
impact parameter distributions



$$\int d^2 \Delta e^{-i\mathbf{b}\Delta} \langle -\frac{1}{2}\Delta | \bar{q}(\mathbf{0}) \dots q(\mathbf{0}) | \frac{1}{2}\Delta \rangle$$

more general:

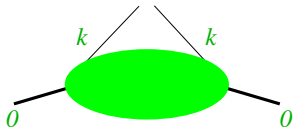
GTMDs



$$\int d^2 \mathbf{z} e^{-i\mathbf{z}\mathbf{k}} \langle -\frac{1}{2}\Delta | \bar{q}(-\frac{1}{2}\mathbf{z}) \dots q(\frac{1}{2}\mathbf{z}) | \frac{1}{2}\Delta \rangle$$

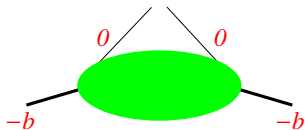
## Mind the difference

TMDs



$$\int d^2 \mathbf{z} e^{-i\mathbf{z}\mathbf{k}} \langle 0 | \bar{q}(-\frac{1}{2}\mathbf{z}) \dots q(\frac{1}{2}\mathbf{z}) | 0 \rangle$$

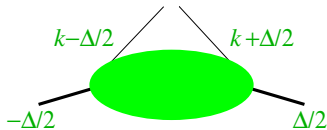
impact parameter distributions



$$\int d^2 \Delta e^{-i\mathbf{b}\Delta} \langle -\frac{1}{2}\Delta | \bar{q}(0) \dots q(0) | \frac{1}{2}\Delta \rangle$$

more general:

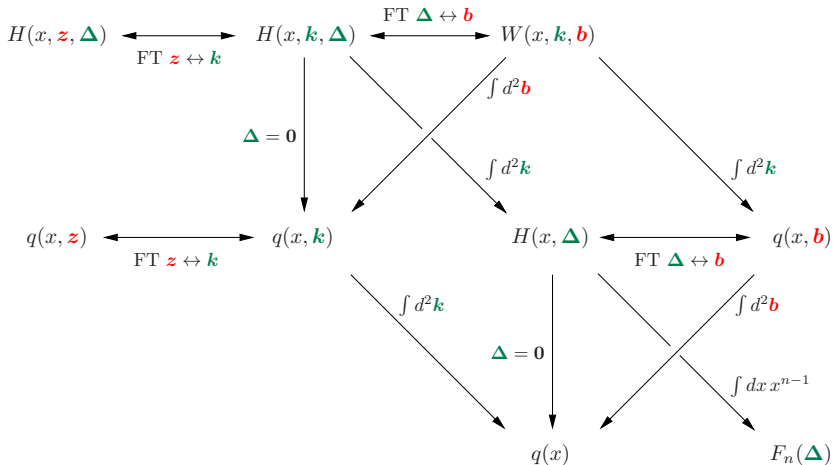
GTMDs



$$\int d^2 \mathbf{z} e^{-i\mathbf{z}\mathbf{k}} \langle -\frac{1}{2}\Delta | \bar{q}(-\frac{1}{2}\mathbf{z}) \dots q(\frac{1}{2}\mathbf{z}) | \frac{1}{2}\Delta \rangle$$

Fourier transf. from  $\Delta$  to  $\mathbf{b}$  $\rightsquigarrow$  Wigner functionsparton momentum and position  
within limits of uncertainty rel'n

## Relations



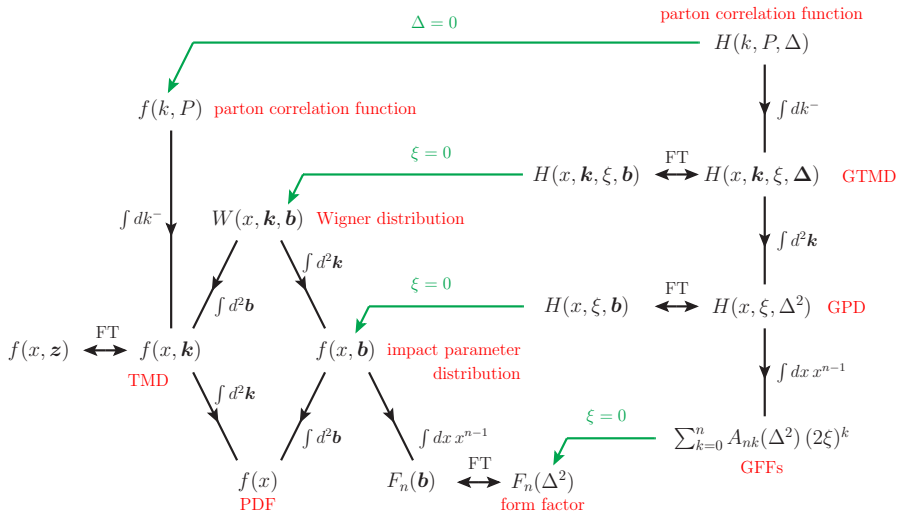
$\int d^2\mathbf{k}$  needs UV regularization

GPDs taken at zero skewness  $\xi = 0$

$\mathbf{k}$  dep't functions have  $\zeta$  dep'ce



# More relations



$\int d^2\mathbf{k}$  needs UV regularization

$\mathbf{k}$  dep't functions have  $\zeta$  dep'ce

- ▶ naive:

$$\int d^2\mathbf{k} q(x, \mathbf{k}) = q(x)$$

cannot be true because  $q(x, \mathbf{k}) \sim 1/k^2$  at large  $\mathbf{k}$

- ▶ correct:

$$\int_{\mathbf{k}^2 < \mu^2} d^2\mathbf{k} q(x, \mathbf{k}; \zeta, \mu) = q(x; \mu) + \text{calculable terms of } \mathcal{O}(\alpha_s)$$

- ▶ Fourier trf. from  $\mathbf{k}$  to  $\mathbf{z}$ :

instead of  $\int_{\mathbf{k}^2 < \mu^2} d^2\mathbf{k}$  have  $\int d^2\mathbf{k} e^{i\mathbf{k}\mathbf{z}}$  with  $|\mathbf{z}| = 1/\mu$

oscillations suppress region  $|\mathbf{k}| \gg 1/|\mathbf{z}|$

$$q(x, \mathbf{z}; \zeta, \mu) = q(x; \mu) + \text{calculable terms of } \mathcal{O}(\alpha_s)$$

see earlier slide

## Relation with orbital angular momentum

- ▶ in GPD lecture have seen two different definitions of orbital angular quark momentum
- ▶ construction using Wigner functions:

$$L^z = \int dx \int d^2\mathbf{k} d^2\mathbf{b} (\mathbf{b} \times \mathbf{k})^z W(x, \mathbf{k}, \mathbf{b})$$

- ▶ corresponds to classical intuition

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- ▶ corresponds to classical intuition
- ▶ but: need Wilson line between fields  $\bar{q}(-\frac{1}{2}z)$  and  $q(\frac{1}{2}z)$  in  $W$ 
  - staple-like path  $\rightsquigarrow \mathcal{L}_{\text{Bashinski, Jaffe}}^q$
  - straight-line path  $\rightsquigarrow L_{\text{Ji}}^q$

dynamical interpretation of difference:

$\mathcal{L}$  includes effects of initial/final state interactions M Burkardt '13