

# QCD and hadron structure

## Lecture 2: properties and physics of GPDs

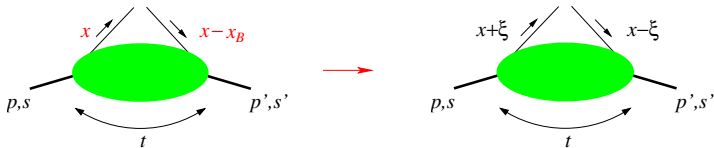
M. Diehl

Deutsches Elektronen-Synchrotron DESY

Jefferson Lab, June 2016



## GPDs: definition and properties



$$F^q = \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0}$$

- ▶ use now **symmetric** parameteriz'n of momentum fractions
- ▶ kinematic variables:

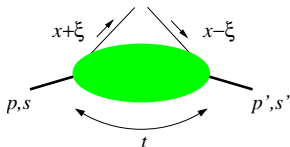
$x, \xi$  momentum fractions w.r.t.  $P = \frac{1}{2}(p + p')$

$\xi = (p - p')^+ / (p + p')^+$  plus-momentum transfer  
in DVCS:  $\xi = x_B / (2 - x_B)$ ,  $x$  integrated over

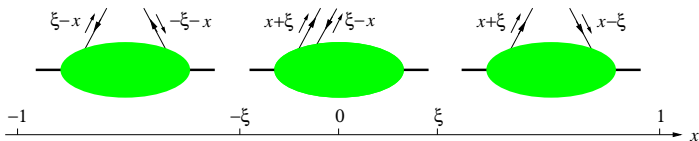
$t$  can trade for **transverse** momentum transfer  $\Delta = p' - p$

$$t = -\frac{4\xi^2 m^2 + \Delta^2}{1 - \xi^2}$$

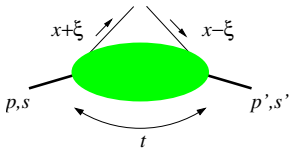
## GPDs: definition and properties



- ▶ nonzero for  $-1 \leq x \leq 1$
- ▶  $|x| > \xi$  similar to parton densities  
correlation  $\psi_{x-\xi}^* \psi_{x+\xi}$  instead of probability  $|\psi_x|^2$
- ▶  $|x| < \xi$  coherent emission of  $q\bar{q}$  pair
- ▶ regions related by Lorentz invariance  
spacelike partons incoming in some frames, outgoing in others



## GPDs: definition and properties



$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

- ▶ proton spin structure:

$H^q \leftrightarrow s = s'$  for  $p = p'$  recover usual densities:

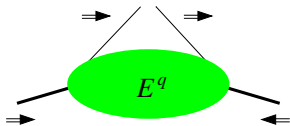
$$H^q(x, \xi = 0, t = 0) = \begin{cases} q(x) & x > 0 \\ -\bar{q}(-x) & x < 0 \end{cases}$$

$E^q \leftrightarrow s \neq s'$  decouples for  $p = p'$

- ▶ similar definitions for polarized quarks  $\tilde{H}^q, \tilde{E}^q$  and for gluons

$$H^g(x, \xi = 0, t = 0) = xg(x) \quad \text{for } x > 0$$

## GPDs: definition and properties



$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

- ▶ more precisely: for proton helicities  $(\lambda', \lambda)$

$$F_{\lambda'=\lambda}^q \propto H^q + \frac{\xi^2}{1-\xi^2} E^q$$

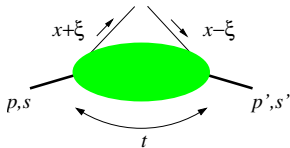
$$F_{\lambda' \neq \lambda}^q \propto e^{\pm i\varphi} \frac{|\Delta|}{2m_p} E^q \quad \varphi = \text{azimuthal angle of } \Delta$$

- ▶  $E^q \neq 0$  needs **orbital angular momentum** between partons

$$\Delta L^3 = \pm 1 \text{ from helicity imbalance}$$

M. Burkardt, G. Schnell '05

## GPDs: definition and properties



$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

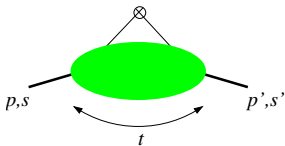
- ▶ time reversal invariance  $\rightarrow$

$$H^q(x, \xi, t) = H^q(x, -\xi, t)$$

same for other distrib's

easy to see with var's  $x, \xi$  ... more complicated with  $x, x_B$

## GPDs: definition and properties



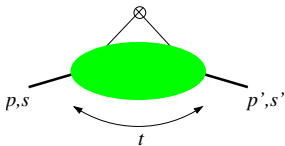
$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

- ▶ Mellin moments:  $\int dx x^n \rightarrow$  **local** operator  $\rightarrow$  form factors
- ▶ can be calculated in lattice QCD
- ▶  $\int dx \rightarrow$  vector current  $\bar{q}(0) \gamma^+ q(0)$

$$\sum_q e_q \int dx H^q(x, \xi, t) = F_1(t) \quad \text{Dirac f.f.}$$

$$\sum_q e_q \int dx E^q(x, \xi, t) = F_2(t) \quad \text{Pauli f.f.}$$

## GPDs: definition and properties



$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

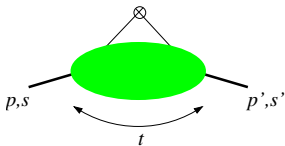
- ▶ Mellin moments:  $\int dx x^n \rightarrow$  **local** operator  $\rightarrow$  form factors
- ▶  $\int dx x^n e^{ixP^+z^-} \rightsquigarrow \delta^{(n)}(z^-) \rightsquigarrow$  operators with **derivatives**  $\partial^+$
- ▶ **Lorentz invariance**  $\rightarrow$  **polynomiality** property

$$\int dx x^{n-1} H^q(x, \xi, t) = \sum_{k=0}^n (2\xi)^k A_{n,k}^q(t)$$

$$\Delta^+ = -2\xi P^+$$



## GPDs: definition and properties



$$\begin{aligned}
 F^q &= \int \frac{dz^-}{4\pi} e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) W[-\frac{1}{2}z, \frac{1}{2}z] \gamma^+ q(\frac{1}{2}z) | p, s \rangle_{z^+=0, z=0} \\
 &= H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)
 \end{aligned}$$

►  $\int dx x \rightarrow$  energy-momentum tensor

Ji's sum rule  $\frac{1}{2} \int_{-1}^1 dx x (H^q + E^q) = J^q(t)$

$J^q(0) =$  total angular momentum carried

by quark flavor  $q$  (helicity and orbital part)

recall:  $E^q$  needs orbital angular momentum

for gluons:  $\int_{-1}^1 dx (H^g + E^g) = J^g(t)$

## Localizing partons: impact parameter

- ▶ states with definite light-cone momentum  $p^+$  and transverse position (impact parameter):

$$|p^+, \mathbf{b}\rangle = \frac{1}{(2\pi)^2} \int d^2\mathbf{p} e^{-i\mathbf{b}\cdot\mathbf{p}} |p^+, \mathbf{p}\rangle$$

formal: eigenstates of 2 dim. position operator

- ▶ can exactly localize proton in 2 dimensions  
no limitation by Compton wavelength
- ▶ and stay in frame where proton moves fast  
↔ parton interpretation
- ▶ different from localization in 3 spatial dimensions  
well-known for form factors; also for GPDs

Belitsky, Ji, Yuan '03; Brodsky et al. '06

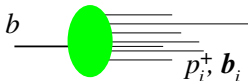
## Localizing partons: impact parameter

- ▶ states with definite light-cone momentum  $p^+$  and transverse position (impact parameter):

$$|p^+, \mathbf{b}\rangle = \frac{1}{(2\pi)^2} \int d^2\mathbf{p} e^{-i\mathbf{b}\mathbf{p}} |p^+, \mathbf{p}\rangle$$

formal: eigenstates of 2 dim. position operator

- ▶  $\mathbf{b}$  is center of momentum of the partons in proton



$$\mathbf{b} = \frac{\sum_i p_i^+ \mathbf{b}_i}{\sum_i p_i^+} \quad (i = q, \bar{q}, g)$$

consequence of Lorentz invariance: transverse boosts

$$k^+ \rightarrow k^+ \quad \mathbf{k} \rightarrow \mathbf{k} - k^+ \mathbf{v}$$

nonrelativistic analog: Galilei invariance  $\xrightarrow{\text{Noether}}$  center of mass

## Impact parameter GPDs

for simplicity take  $\xi = 0$

→ blackboard

( $\xi \neq 0$  and  $s \neq s'$  later)

## Impact parameter GPDs

for simplicity take  $\xi = 0$

( $\xi \neq 0$  and  $s \neq s'$  later)

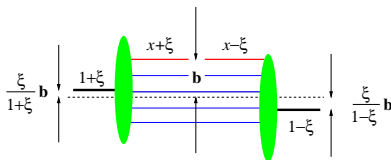
- ▶  $q(x, b^2) = (2\pi)^{-2} \int d^2\Delta e^{-i\mathbf{b}\Delta} H^q(x, \xi = 0, t = -\Delta^2)$   
gives distribution of quarks with
  - longitudinal momentum fraction  $x$
  - transverse distance  $b$  from proton center
- ▶ average impact parameter

$$\langle b^2 \rangle_x = \frac{\int d^2b b^2 q(x, b^2)}{\int d^2b q(x, b^2)} = 4 \frac{\partial}{\partial t} \log H(x, \xi = 0, t) \Big|_{t=0}$$

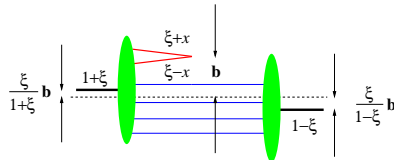
- ▶ integrated over  $x \rightsquigarrow$  form factor

$$\langle b^2 \rangle = \frac{\int dx \int d^2b b^2 q(x, b^2)}{\int dx \int d^2b q(x, b^2)} = 4 \frac{\partial}{\partial t} \log F_1(t) \Big|_{t=0}$$

## Impact parameter GPDs: $\xi \neq 0$



DGLAP region

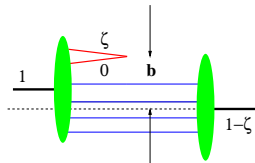
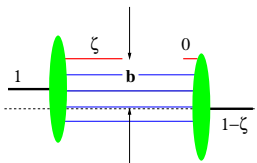


ERBL region

- ▶ Fourier transf. w.r.t.  $\Delta$
- ▶ hadron center of momentum **shifts** because of plus-momentum transfer
- ▶ key observable:  $t$  dependence of cross sections at given  $\xi$

$$t = -\frac{4\xi^2 m^2 + \Delta^2}{1-\xi^2}$$

## Impact parameter GPDs: $\xi \neq 0$



- ▶ especially simple for  $x = \xi$

change to asymmetric variables:

$$\xi = \frac{\zeta}{2-\zeta} \quad \text{and} \quad t = -\frac{\zeta^2 m_p^2 + \Delta^2}{1-\zeta}$$

- ▶ Fourier transf. w.r.t.  $\Delta$

$\rightsquigarrow$  distance of struck parton from **spectator system**

in following concentrate on  $\xi = 0$

## Apples, oranges, and other fruit

form factor	distribution	$\langle b^2 \rangle$
$F_1^p$	$\sum_q e_q (q - \bar{q})$	$(0.66 \text{ fm})^2$
$G_E^p$		$(0.71 \text{ fm})^2 = (0.66 \text{ fm})^2 + \frac{\kappa_p}{m_p^2}$
$G_A$	$\Delta u + \Delta \bar{u} - (\Delta d + \Delta \bar{d})$	$(0.52 \text{ to } 0.54 \text{ fm})^2$

- ▶ in form factor integral parton distributions have average  $x \sim 0.2$
- ▶ generalized gluon dist. at  $x = 10^{-3} \rightsquigarrow \langle b^2 \rangle = (0.57 \text{ to } 0.60 \text{ fm})^2$   
from  $J/\Psi$  photoproduction at HERA

note:

- $4 \frac{\partial}{\partial t} \log G(t) \Big|_{t=0} = \text{squared impact parameter}$
- $6 \frac{\partial}{\partial t} \log G(t) \Big|_{t=0} = \text{squared radius}$

numbers:  $G_E$  and  $F_1$  from Particle Data Group;  $G_A$  from Bernard et al. '01



## Interlude: lattice QCD in a tiny nutshell

- ▶ represent amplitudes as path integral:

$$\int \mathcal{D}[A] \mathcal{D}[\bar{\psi}\psi] \psi(x_1) \bar{\psi}(x_2) \dots \bar{\psi}(x_n) \exp(i \int d^4x \mathcal{L})$$

- ▶ action quadratic in  $\bar{\psi}, \psi \rightsquigarrow$  integrate over fermion fields analytically remaining  $\int \mathcal{D}[A]$  not suited for numerical evaluation

## Interlude: lattice QCD in a tiny nutshell

- ▶ represent amplitudes as path integral:

$$\int \mathcal{D}[A] \mathcal{D}[\bar{\psi}\psi] \psi(x_1) \bar{\psi}(x_2) \dots \bar{\psi}(x_n) \exp(i \int d^4x \mathcal{L})$$

- ▶ action quadratic in  $\bar{\psi}, \psi \rightsquigarrow$  integrate over fermion fields analytically remaining  $\int \mathcal{D}[A]$  not suited for numerical evaluation

- ▶ **discretize** space-time  $\rightsquigarrow$  integrate over fields on space-time **lattice**  
later take limit  $a \rightarrow 0$  for lattice spacing typical  $a \sim 0.1$  fm

- ▶ take lattice of finite size  $(Na)^4$   
later take limit  $Na \rightarrow \infty$  typical  $N \sim 16 \dots 64$

- ▶ go to **Euclidean time**  $\tau = it$ , find  $\mathcal{L}(x) \rightarrow -\mathcal{L}_E(x_E) < 0$   
 $\exp(i \int d^4x \mathcal{L}) \rightarrow \exp(- \int d^4x_E \mathcal{L}_E)$

$\rightsquigarrow$  evaluate highly multidimensional integral by **Monte-Carlo** methods

- ▶ computational effort bigger for smaller  $m_q$   
 $\rightsquigarrow$  mostly take unphysically large  $m_q$ , **later extrapolate to physical value**

## Interlude: lattice QCD in a tiny nutshell

- ▶ represent amplitudes as path integral:

$$\int \mathcal{D}[A] \mathcal{D}[\bar{\psi}\psi] \psi(x_1) \bar{\psi}(x_2) \dots \bar{\psi}(x_n) \exp(i \int d^4x \mathcal{L})$$

- ▶ action quadratic in  $\bar{\psi}, \psi \rightsquigarrow$  integrate over fermion fields analytically remaining  $\int \mathcal{D}[A]$  not suited for numerical evaluation

- ▶ discretize space-time  $\rightsquigarrow$  integrate over fields on space-time lattice  
later take limit  $a \rightarrow 0$  for lattice spacing typical  $a \sim 0.1$  fm

- ▶ take lattice of finite size  $(Na)^4$   
later take limit  $Na \rightarrow \infty$  typical  $N \sim 16 \dots 64$

- ▶ go to Euclidean time  $\tau = it$ , find  $\mathcal{L}(x) \rightarrow -\mathcal{L}_E(x_E) < 0$   
 $\exp(i \int d^4x \mathcal{L}) \rightarrow \exp(- \int d^4x_E \mathcal{L}_E)$

$\rightsquigarrow$  evaluate highly multidimensional integral by Monte-Carlo methods

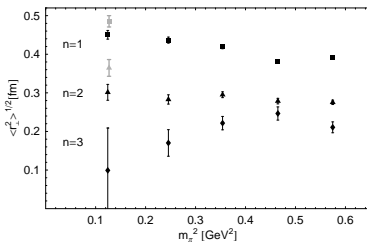
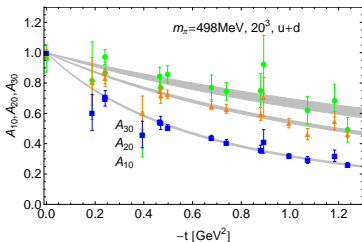
- ▶ computational effort bigger for smaller  $m_q$   
 $\rightsquigarrow$  mostly take unphysically large  $m_q$ , later extrapolate to physical value
- ▶ suited to calculate e.g. hadron masses and local matrix elements like

$$\langle h'(p') | \bar{\psi}(0) \Gamma \psi(0) | h(p) \rangle$$

## Lattice calculations

- ▶ results for GPD moments

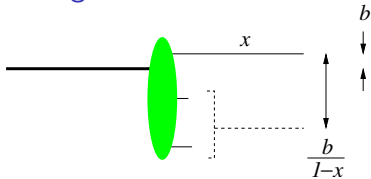
$$A_{n,0}(t) = \int dx x^{n-1} H(x, \xi = 0, t) = \int d^2\mathbf{b} e^{i\mathbf{b}\Delta} \int dx x^{n-1} q(x, b^2)$$



black:  $L^3 = 28^3$ , grey:  $L^3 = 20^3$

LHPC Collaboration, arXiv:0705.4295

- ▶ steeper  $t$  slope for larger  $n$   
 $\rightsquigarrow$  decrease of  $\langle b^2 \rangle_x$  with  $x$

Large  $x$ 

- ▶ for  $x \rightarrow 1$  get  $b \rightarrow 0$   
nonrel. analog:  
center of mass of atom
- ▶  $\Leftrightarrow$   $t$  dependence becomes flat

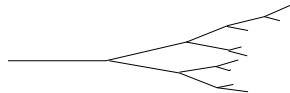
- ▶  $d = b/(1-x)$   
= distance of selected parton from spectator system  
gives lower bound on overall size of proton
- ▶ finite size of configurations with  $x \rightarrow 1$  implies

$$\langle b^2 \rangle_x \sim (1-x)^2$$

## Small $x$

- ▶ partons with smaller  $x \rightarrow$  broader in  $b$
- ▶ **Gribov diffusion**: parton branching as random walk in  $b$  space

$$\rightarrow \langle b^2 \rangle \propto \alpha' \log(1/x)$$



- ▶ Regge phenomenology: **simplest** ansatz

$$H(x, \xi = 0, t) \sim e^{tB} \left( \frac{1}{x} \right)^{\alpha_0 + \alpha' t} = x^{-\alpha_0} e^{t\alpha' \log(1/x) + tB}$$

- ▶ is **effective** power-law in limited range of  $x$  and  $t$  at given  $\mu^2$
- ▶ works well in fits of forward parton distributions
- ▶ used in GPD models **with further ansatz to generate  $\xi$  dep'ce**
- ▶ for gluons  $\alpha' \sim 0.15 \text{ GeV}^{-2}$  from HERA  $J/\Psi$  production  
barely known: value for **valence and sea quarks**, **interplay** with gluons

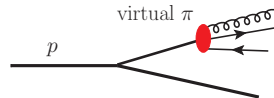
## Large $b$

- ▶ prediction from chiral dynamics

$$\langle b^2 \rangle \sim e^{-\kappa b_T} / b_T \text{ with } \kappa \sim 2m_\pi = (0.7 \text{ fm})^{-1}$$

sets in for  $x \lesssim m_\pi / m_p$

for larger  $x$  pion virtuality  $\gg m_\pi^2$



Ch. Weiss et al

## Now add spin

- ▶  $E \leftrightarrow$  nucleon helicity flip  $\langle \downarrow | \mathcal{O} | \uparrow \rangle$   
 $\leftrightarrow$  transverse pol. difference  $|X_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle \pm |\downarrow\rangle)$   
 $\langle X+ | \mathcal{O} | X+ \rangle - \langle X- | \mathcal{O} | X- \rangle = \langle \uparrow | \mathcal{O} | \downarrow \rangle + \langle \downarrow | \mathcal{O} | \uparrow \rangle$
- ▶ quark density in proton state  $|X+\rangle$  shifted in  $y$  direction:

$$q^{\uparrow}(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

$e^q(x, b)$  is Fourier transform of  $E^q(x, \xi = 0, t)$

derivative from Fourier trf. of

$$\frac{i\Delta^y}{2m} E^q(x, \xi = 0, t = -\Delta^2)$$



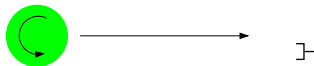
## Now add spin

- ▶  $E \leftrightarrow$  nucleon helicity flip  $\langle \downarrow | \mathcal{O} | \uparrow \rangle$   
 $\leftrightarrow$  transverse pol. difference  $|X_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle \pm |\downarrow\rangle)$   
 $\langle X+ | \mathcal{O} | X+ \rangle - \langle X- | \mathcal{O} | X- \rangle = \langle \uparrow | \mathcal{O} | \downarrow \rangle + \langle \downarrow | \mathcal{O} | \uparrow \rangle$
- ▶ quark density in proton state  $|X+\rangle$  shifted in  $y$  direction:

$$q^{\uparrow}(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

$e^q(x, b)$  is Fourier transform of  $E^q(x, \xi = 0, t)$

- ▶ semi-classical picture: rotating matter distribution



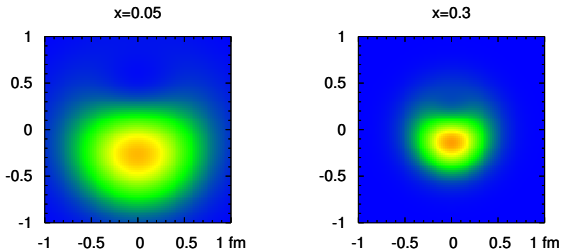
- ▶ gives alternative view on Ji's sum rule  $L^x = b^y p^z$  M. Burkardt '05

$(d - \bar{d})$  density in transverse plane

quark density in  
proton state  $|X+\rangle$

$$q^\uparrow(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

is shifted



GPD model from MD, Th Feldmann, R Jakob, P Kroll '04

- ▶ from  $p$  and  $n$  magnetic moments  $\kappa_p = \frac{2}{3}\kappa_u - \frac{1}{3}\kappa_d$ ,  $\kappa_n = \frac{2}{3}\kappa_d - \frac{1}{3}\kappa_u$

$$\int dx E^u(x, 0, 0) = F_2^u(0) = \kappa^u \approx 1.67$$

$$\int dx E^d(x, 0, 0) = F_2^d(0) = \kappa^d \approx -2.03$$

$\rightsquigarrow$  large spin-orbit correlations for  $q - \bar{q}$

- ▶ size of effect for sea quarks and gluons  $\rightsquigarrow$  wait for EIC

► density representation

$$q^\uparrow(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

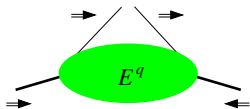
gives **positivity** bound

M. Burkardt '03

$$\left| E^q(x, \xi = 0, t = 0) \right| \leq q(x) m \sqrt{\langle \mathbf{b}^2 \rangle_x}$$

have more restrictive bounds involving polarized distributions

⇒  $E^q$  must fall faster than  $H^q$  at large  $x$



- $E \leftrightarrow$  orbital angular momentum
- ⇒ **not** carried by partons with **large**  $x$

## The proton spin budget

- ▶ Ji's sum rule:  $\frac{1}{2} = J^g + \sum_q J^q$  with

$$J^q = \frac{1}{2} \int dx x (H^q + E^q) \Big|_{\xi=0}^{t=0} \quad J^g = \frac{1}{2} \int dx (H^g + E^g) \Big|_{\xi=0}^{t=0}$$

- ▶ further decompose  $J^q = L^q + \frac{1}{2}\Sigma$  and  $J^g = L^g + \Delta g$   
with  $\Sigma$  and  $\Delta g$  from ordinary parton densities  
operator interpretation of  $L^g$  nontrivial

$$\Sigma_{\overline{MS}} \approx 25\%$$

- ▶ alternative decomp.  $\frac{1}{2} = \mathcal{J}^g + \sum_q \mathcal{J}^q$

Bashinski, Jaffe '98

with  $\mathcal{J}^g = \mathcal{L}^g + \Delta g$  and  $\mathcal{J}^q = \mathcal{L}^q + \frac{1}{2}\Sigma$

- ▶  $J^q \neq \mathcal{J}^q$ ,  $L^q \neq \mathcal{L}^q$  and  $J^g \neq \mathcal{J}^g$

## The proton spin budget

- ▶ Ji's sum rule:  $\frac{1}{2} = J^g + \sum_q J^q$  with

$$J^q = \frac{1}{2} \int dx x (H^q + E^q) \Big|_{\xi=0}^{t=0} \quad J^g = \frac{1}{2} \int dx (H^g + E^g) \Big|_{\xi=0}^{t=0}$$

- ▶ further decompose  $J^q = L^q + \frac{1}{2}\Sigma$  and  $J^g = L^g + \Delta g$   
with  $\Sigma$  and  $\Delta g$  from **ordinary** parton densities  
operator interpretation of  $L^g$  nontrivial

$$\Sigma_{\overline{MS}} \approx 25\%$$

- ▶ alternative decomp.  $\frac{1}{2} = \mathcal{J}^g + \sum_q \mathcal{J}^q$

Bashinski, Jaffe '98

- ▶ ambiguities in decomposition reflect difficulty to separate
- “quark” from “gluon” contrib's in presence of interactions  
gluon field contains physical and unphysical (gauge) d.o.f.
  - “intrinsic” from orbital angular momentum for spin-1 particles

similar issues already in QED

there need not be a **unique** choice

recent discussion: K-F Liu, C Lorcé 2015

## The proton spin budget

- ▶ Ji's sum rule:  $\frac{1}{2} = J^g + \sum_q J^q$  with

$$J^q = \frac{1}{2} \int dx x (H^q + E^q) \Big|_{\xi=0}^{t=0} \quad J^g = \frac{1}{2} \int dx (H^g + E^g) \Big|_{\xi=0}^{t=0}$$

- ▶ further decompose  $J^q = L^q + \frac{1}{2}\Sigma$  and  $J^g = L^g + \Delta g$   
with  $\Sigma$  and  $\Delta g$  from ordinary parton densities  $\Sigma_{\overline{MS}} \approx 25\%$   
operator interpretation of  $L^g$  nontrivial
- ▶ lattice  $\rightsquigarrow \Sigma$  and  $J^q$   $J^g$  difficult,  $\Delta g$  impossible
  - directly get integrals over  $x$  at  $\xi = 0$
- ▶ exclusive processes  $\rightsquigarrow$  GPDs  $\rightsquigarrow J^q$  and (more difficult)  $J^g$ 
  - exclusive (and inclusive) processes:  $\int dx$  difficult
  - measure at  $\xi \neq 0$
  - but direct access to  $x$  dependence of  $E^{q,g}(x, x, t)$

## Some lattice estimates at scale $\mu = 2 \text{ GeV}$

- ▶ QCDSF, M. Ohtani et al. '07

$$J^u = 0.230(8) \quad J^d = -0.004(8)$$

$$L^{u+d} = 0.025(27)$$

- ▶ LHPC, J.D. Bratt, Ph. Hägler et al. '10

$$J^u = 0.236(6) \quad J^d = 0.0018(37)$$

$$L^{u+d} = 0.056(11) \text{ or } 0.030(12)$$

- ▶ C. Alexandrou et al. '13

$$J^u = 0.214(27) \quad J^d = -0.003(17)$$

$$L^{u+d} = -0.092(41)$$

- ▶ still important **systematic uncertainties**

- ▶ trend:  $J^u > 0$ ,  $J^d \approx 0$

$$L^u < 0, L^d > 0 \text{ and } L^u + L^d \approx 0$$

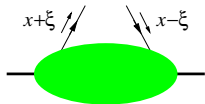
- ▶ small  $L^{u+d}$  does **not** mean absence of orbital angular momentum

## Evolution

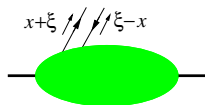
- ▶ for non-singlet combinations (e.g.  $q - \bar{q}$  or  $u - d$ )

$$\mu^2 \frac{d}{d\mu^2} H^{\text{NS}}(x, \xi, t) = \int dx' V^{\text{NS}}(x, x', \xi) H^{\text{NS}}(x', \xi, t)$$

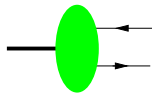
- ▶ for singlet  $\sum_q (q + \bar{q})$ : matrix equation for mixing with gluon GPD
- ▶ same evolution for  $E$  (independent of proton spin)



generalization of DGLAP  
evolution to  $\xi \neq 0$   
recover usual DGLAP for  $\xi = 0$



ERBL evolution as for  
meson distribution amplitudes





## Evolution

- ▶ for non-singlet combinations (e.g.  $q - \bar{q}$  or  $u - d$ )

$$\mu^2 \frac{d}{d\mu^2} H^{\text{NS}}(x, \xi, t) = \int dx' V^{\text{NS}}(x, x', \xi) H^{\text{NS}}(x', \xi, t)$$

- ▶ for singlet  $\sum_q (q + \bar{q})$ : matrix equation for mixing with gluon GPD
- ▶ same evolution for  $E$  (independent of proton spin)
- ▶ evolution local in  $t$  (take  $-t \ll \mu^2$  to be safe)  
Fourier trf  $\rightsquigarrow$  evolution local in  $b$  (take  $1/\mu \ll b$  to be safe)
- ▶ for  $\xi = 0$ :  $q(x, b^2)$  fulfills usual DGLAP evolution equation

$$\mu^2 \frac{d}{d\mu^2} q_{\text{NS}}(x, b^2) = \int_x^1 \frac{dz}{z} P_{\text{NS}}\left(\frac{x}{z}\right) q_{\text{NS}}(z, b^2)$$

## Evolution

- ▶ for non-singlet combinations (e.g.  $q - \bar{q}$  or  $u - d$ )

$$\mu^2 \frac{d}{d\mu^2} H^{\text{NS}}(x, \xi, t) = \int dx' V^{\text{NS}}(x, x', \xi) H^{\text{NS}}(x', \xi, t)$$

- ▶ for singlet  $\sum_q (q + \bar{q})$ : matrix equation for mixing with gluon GPD
- ▶ same evolution for  $E$  (independent of proton spin)
- ▶ evolution local in  $t$  (take  $-t \ll \mu^2$  to be safe)  
Fourier trf  $\rightsquigarrow$  evolution local in  $b$  (take  $1/\mu \ll b$  to be safe)
- ▶ average impact parameter

$$\langle b^2 \rangle_x = \frac{\int d^2b b^2 q(x, b^2)}{\int d^2b q(x, b^2)}$$

evolves as

$$\mu^2 \frac{d}{d\mu^2} \langle b^2 \rangle_x = - \frac{1}{q_{\text{NS}}(x)} \int_x^1 \frac{dz}{z} P_{\text{NS}}\left(\frac{x}{z}\right) q_{\text{NS}}(z) \left[ \langle b^2 \rangle_x - \langle b^2 \rangle_z \right]$$

## Model ansätze for GPDs (only a sketch)

### general strategy

- ▶ use standard parton densities at input (or boundary cond.)
- ▶ ansatz for  $t$  dependence  
 ensure that sum rules for  $H \leftrightarrow F_1$  and  $E \leftrightarrow F_2$  satisfied  
 factorized ansätze like  $H(x, \xi, t) = h(x, \xi) F_1(t)$   
 disfavored by theory and lattice results
- ▶ generate  $\xi$  dependence so as to satisfy polynomiality  
 requires special constructions, e.g. double distributions
- ▶ check that positivity bounds satisfied  
 not always done, often only possible numerically

## Double distributions and the $D$ term

$$H(x, \xi, t) = \int d\beta d\alpha \delta(x - \beta - \xi\alpha) f(\beta, \alpha, t)$$

- ▶  $f(\beta, \alpha, t)$  = double distribution
- ▶ forward limit:  $\int d\alpha f(\beta, \alpha, 0) = q(\beta)$
- ▶ ensures polyomiality:

$$\int dx x^{n-1} H(x, \xi, t) = \int d\beta d\alpha (\beta + \alpha\xi)^{n-1} f(\beta, \alpha, t) = \sum_{k=0}^{n-1} (2\xi)^k A_{n,k}(t)$$

- ▶ misses power  $\xi^n$  for  $H$  and  $E$   
for  $H + E$  and  $\tilde{H}$ ,  $\tilde{E}$  highest allowed power is  $\xi^{n-1}$
- ▶ add Polyakov-Weiss /  $D$  term

$$H_{DD}(x, \xi, t) + D(x/\xi, t) \qquad E_{DD}(x, \xi, t) - D(x/\xi, t)$$

$$\text{gives power } \int dx x^{n-1} D(x/\xi, t) = \xi^n \int d\alpha \alpha^{n-1} D(\alpha, t)$$

## Double distributions for modeling

I Musatov, V Radyushkin '99, ...

$$H(x, \xi, t) = \int d\beta d\alpha \delta(x - \beta - \xi\alpha) f(\beta, \alpha, t)$$

ansatz:

$$f(\beta, \alpha, t) = h^{(b)}(\beta, \alpha) H(\beta, 0, t)$$

with “profile function”

$$h^{(b)}(\beta, \alpha) \propto \frac{[(1 - |\beta|)^2 - \alpha^2]^b}{(1 - |\beta|)^{2b+1}}$$

- ▶ forward limit: satisfies  $\int d\alpha f(\beta, \alpha, 0) = H(\beta, 0, 0) = f_1(\beta)$
- ▶ **profile parameter**  $b$  free  
often separate  $b$  for valence  $(q - \bar{q})$  and sea  $(\bar{q})$
- ▶ add Polyakov-Weiss term  $D^q(x/\xi, t)$  for full polynomiality