

# Neutron Skins in Nuclei

31st Annual HUGS Program  
(Jorge Piekarewicz - FSU)



## HUGS 2016

**MAY 30 – JUNE 18, 2016**

The HUGS at Jefferson Lab summer school is designed for graduate students with at least one year of research experience, and focuses primarily on experimental and theoretical topics of current interest in strong interaction physics. The program is simultaneously intensive, friendly and casual, providing students many opportunities to interact with internationally renowned lecturers and Jefferson Lab staff, as well as with other graduate students and visitors.

**PROGRAM TOPICS WILL INCLUDE:**

- Introduction to QCD – Andrey Tarasov (Jefferson Lab, USA)
- Parton Distribution Functions – Amanda Cooper-Sarkar (U. of Oxford, UK)
- TMDs and Quantum Entanglement – Christine Aidala (U. of Michigan, USA)
- Nucleon Spatial Imaging – Julie Roche (Ohio U, USA)
- QCD and Hadron Structure – Marcus Diehl (DESY, Germany)
- Effective Field Theories – Emilie Passemar (Indiana U., USA)
- Neutron Skins in Nuclei – Jorge Piekarewicz (Florida State U., USA)

**APPLICATION DEADLINE:**  
**MARCH 15, 2016**

[www.jlab.org/HUGS](http://www.jlab.org/HUGS)



## The 208 $Pb$ Radius Experiment X

and Neutron Rich Matter  
in the Heavens and on Earth

August 17-19 2008

Jefferson Lab  
Newport News, Virginia

PREX IS A FASCINATING EXPERIMENT THAT USES PARITY VIOLATION TO ACCURATELY DETERMINE THE NEUTRON RADIUS IN  $^{208}Pb$ . THIS HAS BROAD APPLICATIONS TO ASTROPHYSICS, NUCLEAR STRUCTURE, ATOMIC PARITY NON-CONSERVATION AND TESTS OF THE STANDARD MODEL. THE CONFERENCE WILL BEGIN WITH INTRODUCTORY LECTURES AND WE ENCOURAGE NEW COMERS TO ATTEND.

FOR MORE INFORMATION CONTACT [horowitz@indiana.edu](mailto:horowitz@indiana.edu)

### TOPICS

PARITY VIOLATION

THEORETICAL DESCRIPTIONS OF NEUTRON-RICH NUCLEI AND BULK MATTER

LABORATORY MEASUREMENTS OF NEUTRON-RICH NUCLEI AND BULK MATTER

NEUTRON-RICH MATTER IN COMPACT STARS / ASTROPHYSICS

WEBSITE: <http://conferences.jlab.org/PREX>

### ORGANIZING COMMITTEE

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# The Liquid Drop Model

Bethe-Weizsäcker Mass Formula (*circa 1935-36*)

$$R = r_0 A^{1/3}$$

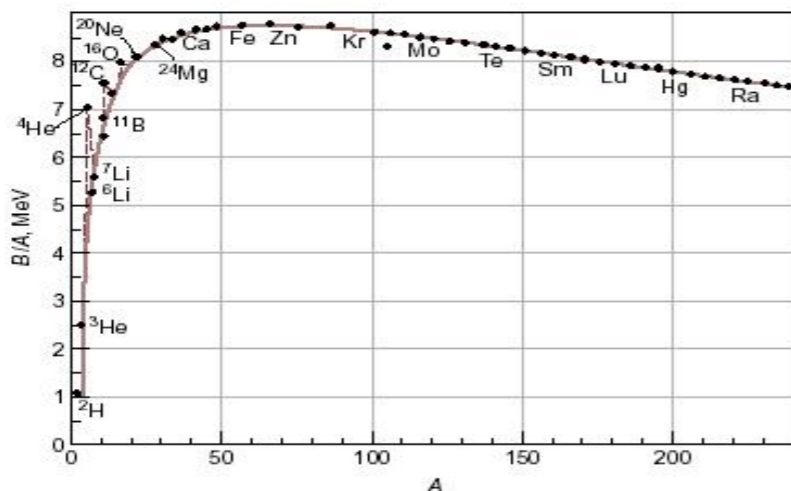
- Nuclear forces saturate → equilibrium density
- Nuclei penalized for developing a surface
- Nuclei penalized by Coulomb repulsion
- Nuclei penalized for isospin imbalance ( $N \neq Z$ )

$$B(Z, N) = -a_v A + a_s A^{2/3} + a_c Z^2 / A^{1/3} + a_a (N - Z)^2 / A + \dots$$

+ shell corrections (2, 8, 20, 28, 50, 82, 126, ...)

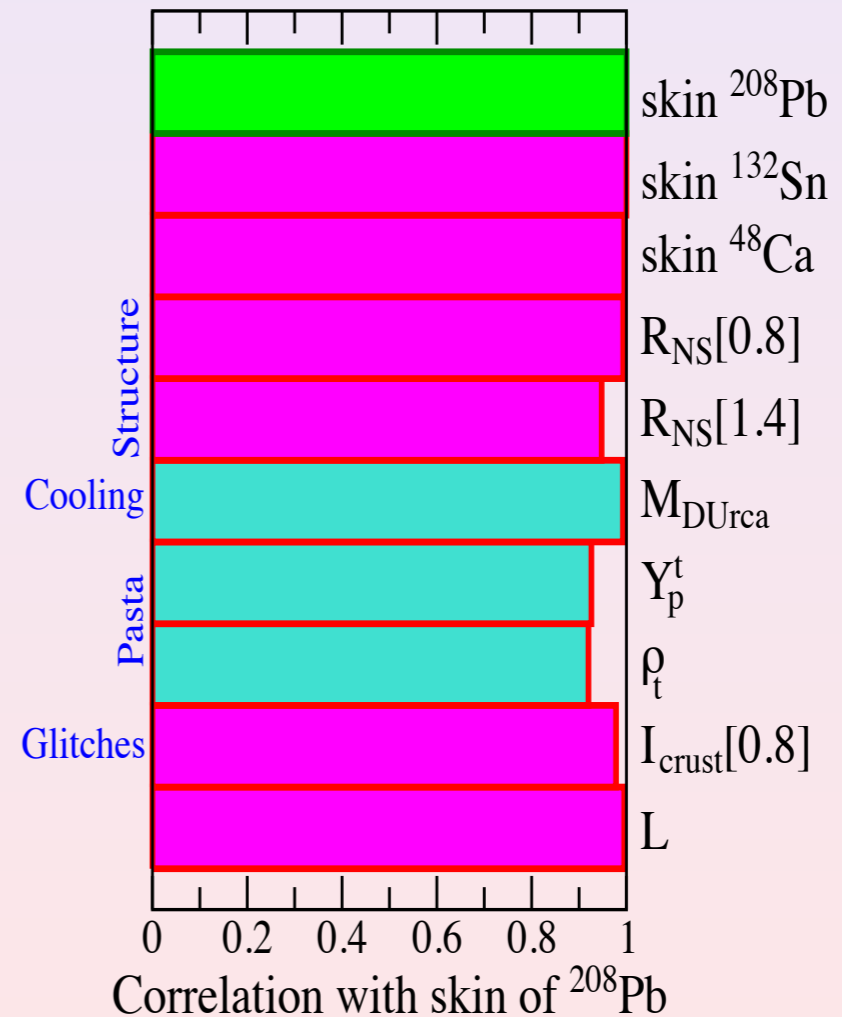
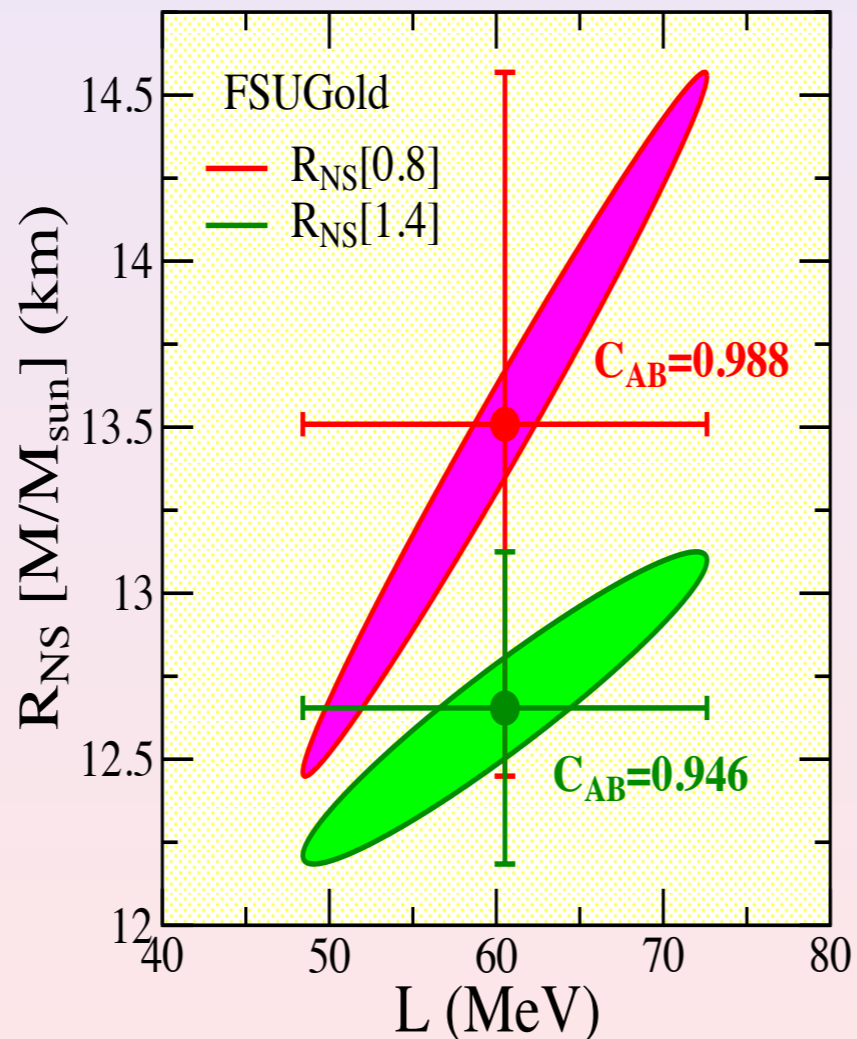
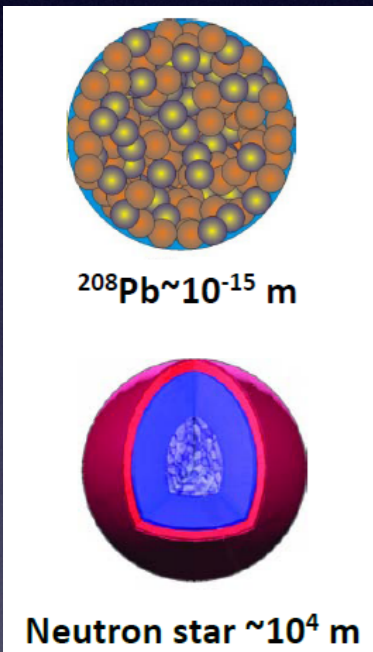
$$a_v \simeq 16.0, a_s \simeq 17.2, a_c \simeq 0.7, a_a \simeq 23.3 \text{ (in MeV)}$$

Neutron stars are gravitationally bound!



# Heaven on Earth!

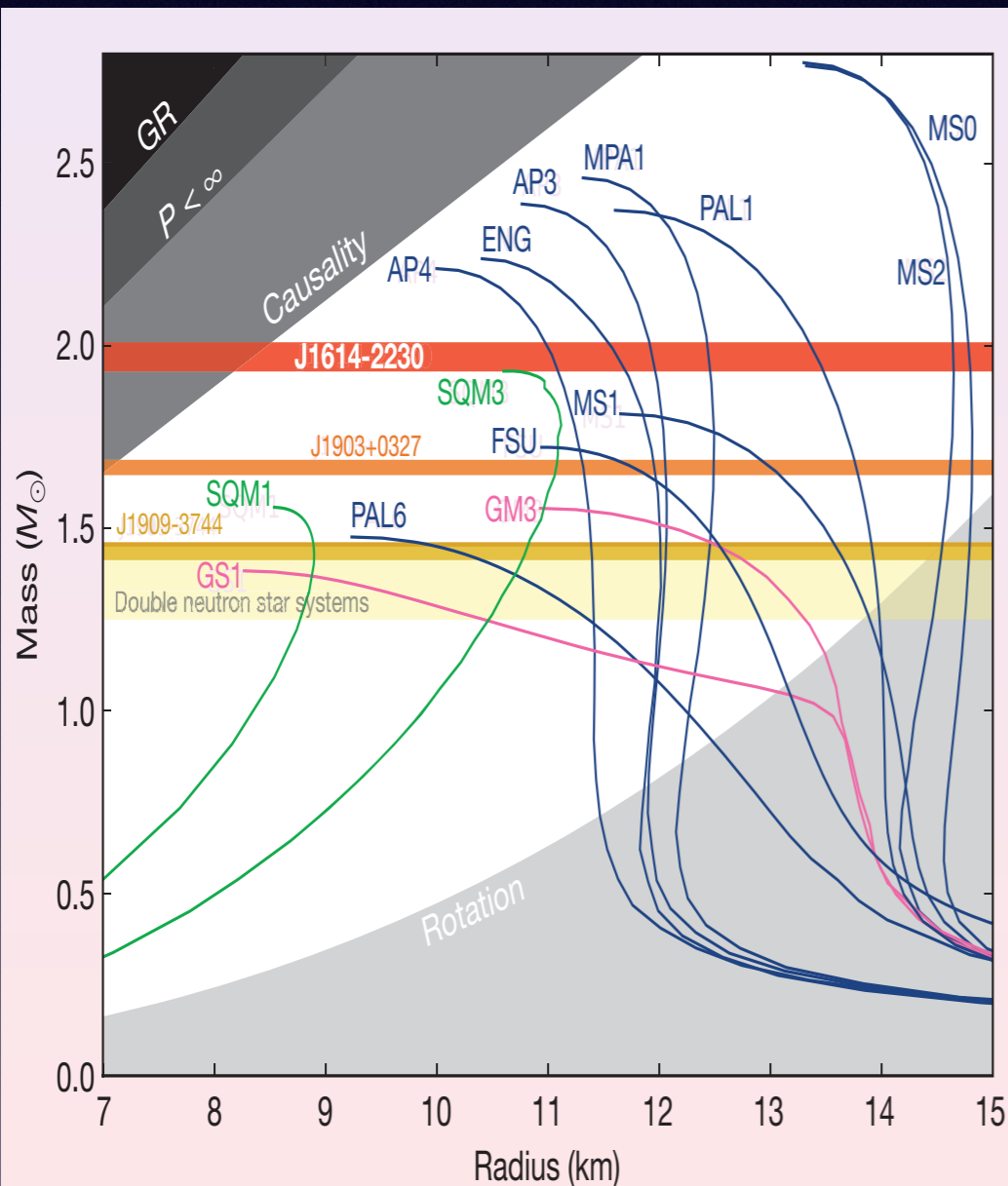
- Neutron skin as proxy for neutron-star radii ... and more!
- Calibration of nuclear functional from optimization of a quality measure
- New era: predictability typical – uncertainty quantification demanded
- Neutron skin strongly correlated to a myriad of neutron star properties:  
Radii, Enhanced Cooling, Moment of Inertia, ...



# Neutron Stars: Unique Cosmic Laboratories

Neutron stars are the remnants of massive stellar explosions (CCSN)

- Bound by gravity — NOT by the strong force
- Satisfy the Tolman-Oppenheimer-Volkoff equation ( $v_{\text{esc}}/c \sim 1/2$ )
- Only Physics that the TOV equation is sensitive to: Equation of State
- EOS must span about 11 orders of magnitude in baryon density



$$\frac{dM}{dr} = 4\pi r^2 \mathcal{E}(r)$$

$$\frac{dP}{dr} = -G \frac{\mathcal{E}(r)M(r)}{r^2} \left[ 1 + \frac{P(r)}{\mathcal{E}(r)} \right]$$

$$\left[ 1 + \frac{4\pi r^3 P(r)}{M(r)} \right] \left[ 1 - \frac{2GM(r)}{r} \right]^{-1}$$

Need an EOS:  $P = P(\mathcal{E})$  relation

**Nuclear Physics Critical**



NEVER UNDERESTIMATE THE JOY PEOPLE  
DERIVE FROM HEARING SOMETHING THEY  
ALREADY KNOW. ... ENRICO FERMI

## "SIMPLE MODELS OF NUCLEAR MATTER AND NEUTRON MATTER"

- THE NEED FOR AN EQUATION OF STATE

THE STRUCTURE, COMPOSITION, AND MANY OTHER  
PROPERTIES OF NEUTRON STARS ARE DESCRIBED  
BY THE "TOLMAN-OPPENHEIMER-VOLKOFF" (TOV)  
EQUATIONS:

$$(1) \quad \frac{dP(r)}{dr} = - \frac{G [\rho(r) + P(r)] [M(r) + 4\pi r^3 P(r)]}{r^2 \left[ 1 - \frac{2GM(r)}{r} \right]}$$

$$(2) \quad \frac{dM(r)}{dr} = 4\pi r^2 \rho(r)$$

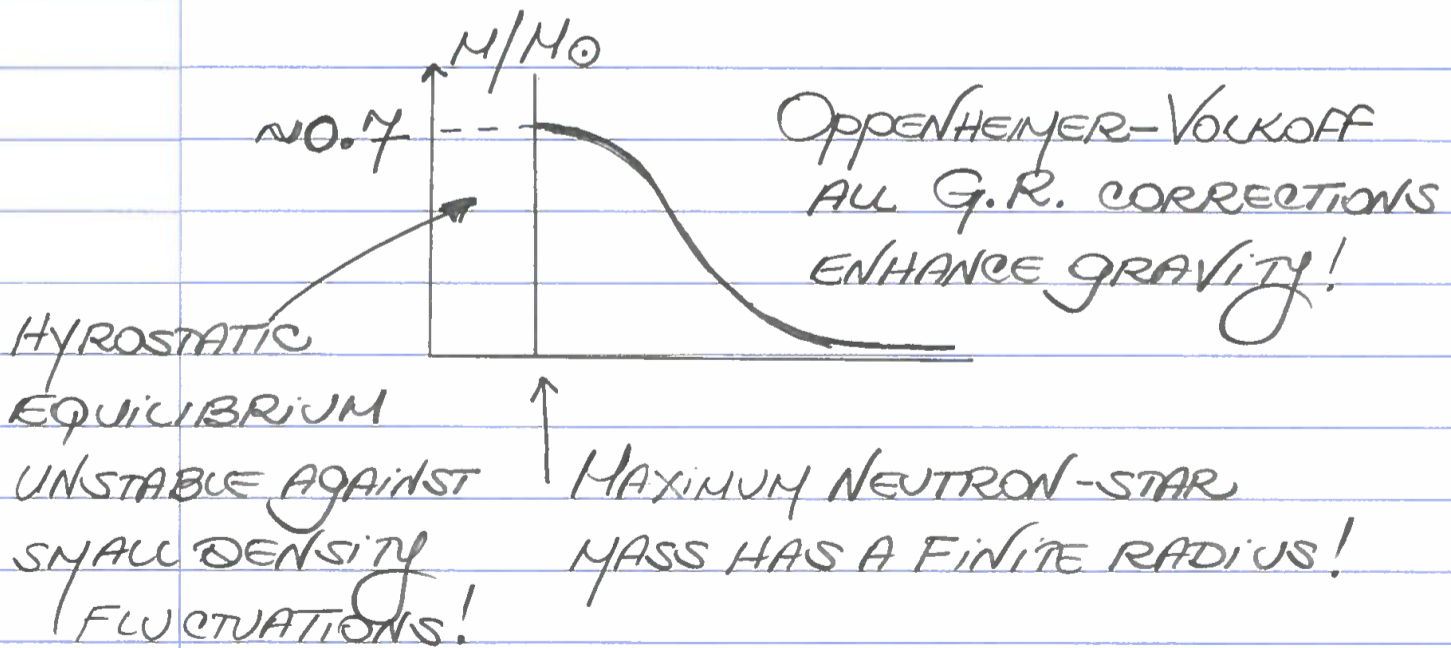
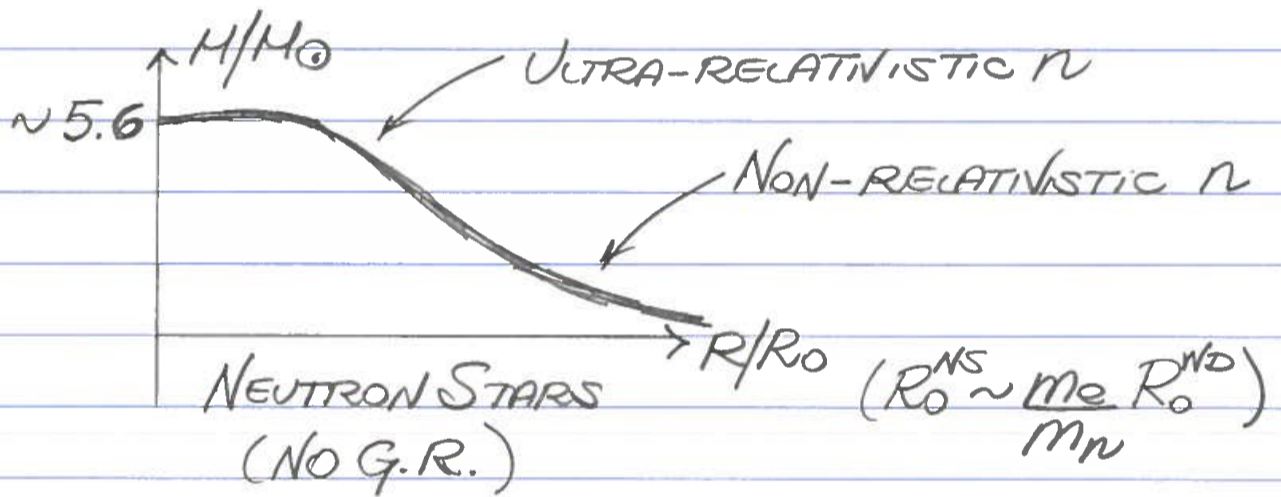
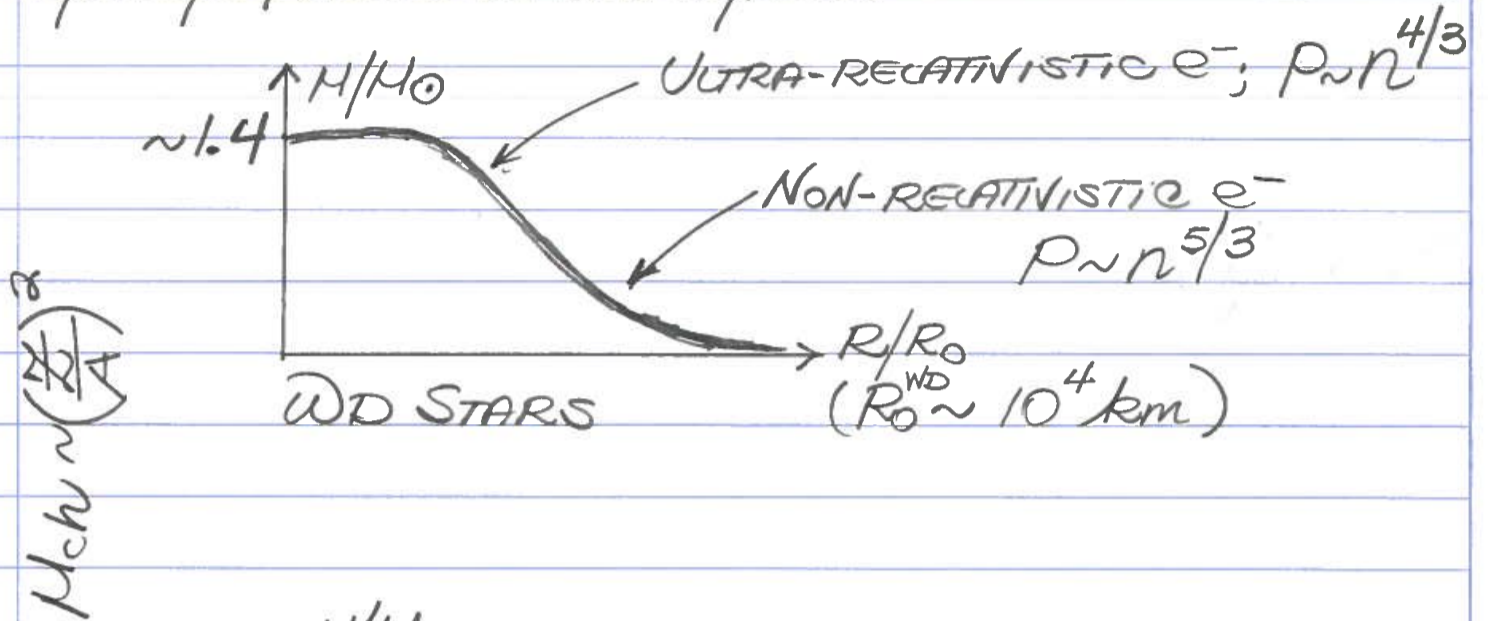
THE TOV EQUATIONS ARE THE GENERALIZATION OF  
NEWTONIAN GRAVITY (FOR SPHERICAL STARS IN  
HYDROSTATIC EQUILIBRIUM) TO THE DOMAIN OF  
GENERAL RELATIVITY. IN THE NEWTONIAN LIMIT—  
APPROPRIATE FOR WHITE-DWARF STARS—ONE  
OBTAINS:

$$\frac{dP(r)}{dr} = - \frac{GM(r)\rho(r)}{r^2}; \quad \frac{dM}{dr} = 4\pi r^2 \rho(r)$$

HERE  $P(r)$ ,  $M(r)$ ,  $\rho(r)$  ARE PRESSURE, MASS,  
AND ENERGY DENSITY PROFILES.

"COLLAPSED STARS"

THERE IS SOMETHING FUNDAMENTALLY DIFFERENT ABOUT THE CHANDRASEKHAR MASS AND THE MAXIMUM NEUTRON STAR MASS.



IN ORDER TO SOLVE THE TOV EQUATIONS ONE MUST SPECIFY:

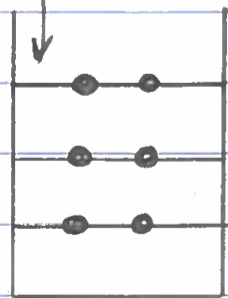
$P(r=0) \equiv P_c$ ;  $M(r=0) = 0$  ... AND AN EQUATION OF STATE RELATING THE PRESSURE TO THE ENERGY DENSITY, i.e.,  $P = P(\epsilon)$

THE CRITICAL ROLE OF NUCLEAR PHYSICS:

- WITH AN EQUATION OF STATE ASSUMING A DEGENERATE FERMI-GAS OF NEUTRONS, OPPENHEIMER OBTAINED A MAXIMUM NEUTRON-STAR MASS OF ONLY  $0.72 M_{\odot}$ . RECENT OBSERVATIONS HAVE DETERMINED NEUTRON-STAR MASSES OF  $\sim 2 M_{\odot}$ ; THE EXTRA PRESSURE SUPPORT MUST COME FROM NUCLEAR INTERACTIONS.

- A ONE-COMPONENT FREE FERMI GAS;  $T \equiv 0$ .

FERMI LEVEL



FERMI  
BUCKET

$$N = \sum_{\vec{k} \in S} \theta(k_F - |\vec{k}|) = 2 \int \frac{V d^3k}{(2\pi)^3} \theta(k_F - |\vec{k}|)$$

$$= \frac{V}{\pi^2} \int_0^{k_F} k^2 dk = \frac{V k_F^3}{3\pi^2}$$

OR

$$\frac{N}{V} = n = \frac{k_F^3}{3\pi^2}$$

INDEPENDENT OF THE  
DISPERSION RELATION

FOR A FULLY RELATIVISTIC DISPERSION RELATION

$$E(k) = \sqrt{k^2 + m^2} \rightarrow \begin{cases} m + \frac{k^2}{2m}; & \text{NR} \\ k; & \text{UR} \end{cases}$$

$$E = \sum_{\vec{k}s} E(k) \theta(k_F - |\vec{k}|) = \frac{V}{\pi^2} \int_0^{k_F} k^2 E(k) dk$$

NICE TRICK

DEFINE,

$$k = m \sinh t = \frac{m}{2} (e^t - e^{-t}) = \frac{m}{2} \left(x - \frac{1}{x}\right)$$

$$E = m \cosh t = \frac{m}{2} (e^t + e^{-t}) = \frac{m}{2} \left(x + \frac{1}{x}\right)$$

THEN,

$$\frac{E}{V} = \frac{1}{\pi^2} \left(\frac{m}{2}\right)^4 \int_1^{x_F} \frac{(x^4 - 1)^2}{x^5} dx; \quad x_F \equiv \frac{k_F + E_F}{m}$$

OR

$$\boxed{\frac{E}{N} = \frac{3}{8} \left[ \frac{E_F (k_F^2 + E_F^2)}{k_F^2} - \frac{m^4}{k_F^3} \ln \left( \frac{k_F + E_F}{m} \right) \right]}$$

ENERGY PER PARTICLE OF A RELATIVISTIC FERMI GAS.

- NON-RELATIVISTIC LIMIT:  $k_F \ll m$

$$\begin{aligned} \left(\frac{E}{N}\right)_{\text{NR}} &= m \left[ 1 + \frac{3k_F^2}{10m^2} - \frac{3}{50} \frac{k_F^4}{m^4} + \dots \right] \\ &= m + \frac{3}{5} E_F + \dots \end{aligned}$$

- ULTRA-RELATIVISTIC LIMIT:  $k_F \gg m$

$$\left(\frac{E}{N}\right)_{\text{UR}} = \frac{3}{4} k_F \left[ 1 + \frac{m^2}{k_F^2} + \dots \right] \approx \frac{3}{4} k_F$$



FOR A SYSTEM AT  $T=0$  THE PRESSURE MAY BE WRITTEN AS

$$P = - \left( \frac{\partial E}{\partial V} \right)_N = - \frac{\partial}{\partial V} [V \bar{\epsilon}] = - \bar{\epsilon} - V \left( \frac{\partial \bar{\epsilon}}{\partial V} \right)_N$$

OR

$$P = n \left( \frac{\partial \bar{\epsilon}}{\partial n} \right) - \bar{\epsilon} \quad \text{EXACT EXPRESSION AT } T=0.$$

$$\bar{\epsilon} \equiv E/V$$

IN THE CASE OF A FREE FERMI GAS

$$P = \frac{1}{3} k_F \left( \frac{\partial \bar{\epsilon}}{\partial k_F} \right) - \bar{\epsilon} = \frac{k_F^3 \epsilon_F}{3\pi^2} - \bar{\epsilon}$$

OR

$$P = \frac{1}{24\pi^2} \left[ 2k_F^3 \epsilon_F - 3m^2 k_F \epsilon_F + 3m^4 \ln \left( \frac{k_F + \epsilon_F}{m} \right) \right]$$

AGAIN, TAKE LIMITS:

- NON-RELATIVISTIC UNIT:  $k_F \ll m$

$$P_{NR} = \frac{k_F^5}{15m\pi^2} = \left( \frac{k_F^3}{3\pi^2} \right) \left( \frac{k_F^2}{5m} \right) = \frac{2}{5} n \epsilon_F$$

$$\text{COMPARE WITH } \epsilon_{NR} = \frac{3}{5} n \epsilon_F = \frac{3}{2} P_{NR}$$

- ULTRA-RELATIVISTIC UNIT:  $k_F \gg m$

$$P_{UR} = \frac{k_F^4}{12\pi^2} = \left( \frac{k_F^3}{3\pi^2} \right) \left( \frac{k_F}{4} \right) = \frac{1}{4} n k_F$$

$$\text{COMPARE WITH } \epsilon_{UR} = \frac{3}{4} n k_F = 3 P_{UR}$$

THIS IS THE ORIGIN OF THE CHANDRASEKHAR MASS UNIT FOR WHITE-DWARF STARS!

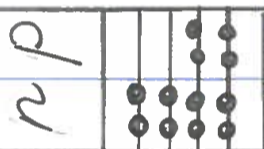
LIQUID-DROP FORMULA

$$B(N, Z) = a_v - \dots - a_a \frac{(N-Z)^2}{A^2}$$

↑  
SYMMETRY ENERGY

• A TWO COMPONENT FREE FERMI GAS:  
"THE NUCLEAR SYMMETRY ENERGY"

WE HAVE JUST COMPUTED THE ENERGY AND PRESSURE OF A RELATIVISTIC FREE FERMI GAS. WE ARE NOW INTERESTED IN COMPUTING THE ENERGY OF A TWO-COMPONENT SYSTEM; FOR EXAMPLE PROTONS AND NEUTRONS. GIVEN THAT NEUTRONS CAN CHANGE INTO PROTONS AND PROTONS INTO NEUTRONS, IT IS BEST TO COMPUTE THE ENERGY OF THE SYSTEM AS A FUNCTION OF THE TOTAL DENSITY AND ISOSPIN ASYMMETRY. THAT IS,



$$\rho = \rho_n + \rho_p \quad \text{AND} \quad \alpha = (\rho_n - \rho_p) / (\rho_n + \rho_p)$$

HENCE,

$$\rho_n = \frac{(k_F^{(n)})^3}{3\pi^2} = \left(\frac{1+\alpha}{2}\right) \rho = \left(\frac{1+\alpha}{2}\right) \cdot \left(\frac{2k_F^3}{3\pi^2}\right)$$

$$\rho_p = \frac{(k_F^{(p)})^3}{3\pi^2} = \left(\frac{1-\alpha}{2}\right) \rho = \left(\frac{1-\alpha}{2}\right) \cdot \left(\frac{2k_F^3}{3\pi^2}\right)$$

(WITH THESE DEFINITIONS WE HAVE

$$k_F^{(n)} = (1+\alpha)^{1/3} k_F; \quad k_F^{(p)} = (1-\alpha)^{1/3} k_F$$

NOW THE TOTAL ENERGY PER NUCLEON IS GIVEN BY

$$\frac{E(\rho, \alpha)}{A} = \left(\frac{N}{A}\right) \frac{E(k_F^{(n)})}{N} + \left(\frac{Z}{A}\right) \frac{E(k_F^{(p)})}{Z}$$

$$\frac{E(\rho, \alpha)}{A} = \left(\frac{1+\alpha}{2}\right) \frac{E(k_F^{(n)})}{N} + \left(\frac{1-\alpha}{2}\right) \frac{E(k_F^{(p)})}{Z}$$

## THE NUCLEAR SYMMETRY ENERGY: LIMITS

### • NON-RELATIVISTIC LIMIT

$$\begin{aligned} \frac{E(\rho, \alpha)}{A} &= \left(\frac{1+\alpha}{2}\right) \left[ m + \frac{3}{5} \epsilon_F^{(n)} \right] + \left(\frac{1-\alpha}{2}\right) \left[ m + \frac{3}{5} \epsilon_F^{(p)} \right] \\ &= \left(\frac{1+\alpha}{2}\right) \left[ m + \frac{3}{10} (1+\alpha)^{2/3} \frac{k_F^2}{m} \right] \\ &\quad + \left(\frac{1-\alpha}{2}\right) \left[ m + \frac{3}{10} (1-\alpha)^{2/3} \frac{k_F^2}{m} \right] \\ &= m + \left(\frac{3k_F^2}{10m}\right) \left[ \frac{(1+\alpha)^{5/3} + (1-\alpha)^{5/3}}{2} \right] \end{aligned}$$

$$\begin{aligned} f_{NR}(\alpha) &\equiv \frac{1}{2} \left[ (1+\alpha)^{5/3} + (1-\alpha)^{5/3} \right] \\ &= 1 + \frac{5}{9} \alpha^2 + \frac{5}{243} \alpha^4 + \dots \end{aligned}$$

HENCE

$$\frac{E(\rho, \alpha)}{A} = \left( m + \frac{3k_F^2}{10m} \right) + \left( \frac{k_F^2}{6m} \right) \alpha^2 + \dots$$

↑  
SYMMETRIC  
NUCLEAR  
MATTER

↑  
SYMMETRY ENERGY

### • RELATIVISTIC LIMIT

$$\begin{aligned} \frac{E(\rho, \alpha)}{A} &= \left(\frac{1+\alpha}{2}\right) \left[ \frac{3}{4} (1+\alpha)^{1/3} k_F + \frac{3}{4} (1-\alpha)^{1/3} k_F \right] \\ &= \frac{3}{4} k_F \left[ \frac{(1+\alpha)^{4/3} + (1-\alpha)^{4/3}}{2} \right] \end{aligned}$$

$$f_{UR}(\alpha) \equiv \frac{1}{2} \left[ (1+\alpha)^{4/3} + (1-\alpha)^{4/3} \right] = 1 + \frac{2}{9} \alpha^2 + \frac{5}{243} \alpha^4 + \dots$$

HENCE

$$\frac{E(\rho, \alpha)}{A} = \frac{3}{4} k_F + \left( \frac{k_F}{6} \right) \alpha^2 + \dots$$

↑  
SYMMETRIC N.M.

↑  
SYMMETRY ENERGY

GIVEN THAT  $|\alpha| \leq 1$  IT IS OFTEN CONVENIENT TO EXPAND THE ENERGY PER NUCLEON IN POWERS OF  $\alpha$ . SINCE NO DISTINCTION HAS BEEN MADE BETWEEN NEUTRONS AND PROTONS, ONLY EVEN POWERS OF  $\alpha$  APPEAR IN THE EXPANSION. THAT IS,

$$E(\rho, \alpha) = E_{\text{SNM}}(\rho) + \alpha^2 S(\rho) + \alpha^4 S_4(\rho) + \dots$$

WHERE  $E_{\text{SNM}}(\rho)$  WAS ALREADY COMPUTED AND

$$S(\rho) = \frac{k_F^2}{6E_F}; \text{ IS THE SYMMETRY ENERGY}$$

$$S_4(\rho) = \frac{k_F^2}{648E_F^5} [3k_F^4 + 3k_F^2 E_F^2 + 4E_F^4]$$

THE SYMMETRY ENERGY IS THE "PENALTY" IMPOSED ON THE SYSTEM FOR TURNING PROTONS INTO NEUTRONS; THIS IS A PURE QUANTUM MECHANICAL EFFECT ("PAULI EXCLUSION PRINCIPLE"). IN FACT, TO A VERY GOOD APPROXIMATION THE SYMMETRY ENERGY REPRESENTS THE ENERGY COST OF TURNING ALL PROTONS INTO NEUTRONS. THAT IS,

$$S(\rho) \equiv \frac{1}{2} \left( \frac{\partial^2 E(\rho, \alpha)}{\partial \alpha^2} \right)_{\alpha=0} \approx E_{\text{PNM}}(\rho) - E_{\text{SNM}}(\rho)$$

$\uparrow$   $\alpha=1$                        $\uparrow$   $\alpha=0$

THE DENSITY DEPENDENCE OF THE NUCLEAR SYMMETRY ENERGY IS POORLY KNOWN AND CRITICAL TO MANY INTERESTING PHENOMENA IN NUCLEAR AND NEUTRON-STAR STRUCTURE.

### Nuclear Symmetry Energy: Relativistic Free Fermi Gas

restart :

$$\epsilon_F := \sqrt{k_F^2 + m^2} :$$

$$E := \frac{3}{8} \cdot \left( \frac{\epsilon_F}{k_F^2} \cdot (k_F^2 + \epsilon_F^2) - \frac{m^4}{k_F^3} \cdot \ln \left( \frac{k_F + \epsilon_F}{m} \right) \right) - m : \# \text{ Energy per particle}$$

$$k_p := (1 - \alpha)^{\frac{1}{3}} \cdot k_F : k_n := (1 + \alpha)^{\frac{1}{3}} \cdot k_F :$$

$$E_p := \frac{(1 - \alpha)}{2} \cdot \text{subs}(k_F = k_p, E) : \# \text{ proton energy per nucleon}$$

$$E_n := \frac{(1 + \alpha)}{2} \cdot \text{subs}(k_F = k_n, E) : \# \text{ neutron energy per nucleon}$$

$$E_0 := E_p + E_n : \# \text{ total energy per nucleon}$$

$$E_{pnm} := \text{subs}(\alpha = 1, E_n) : \# \text{ pure nuclear matter}$$

$$E_{snm} := \text{simplify}(\text{coeftayl}(E_0, \alpha = 0, 0)) : \# \text{ symmetric nuclear matter}$$

$$\text{Symm} := \text{simplify}(\text{coeftayl}(E_0, \alpha = 0, 2)) : \# \text{ symmetry energy}$$

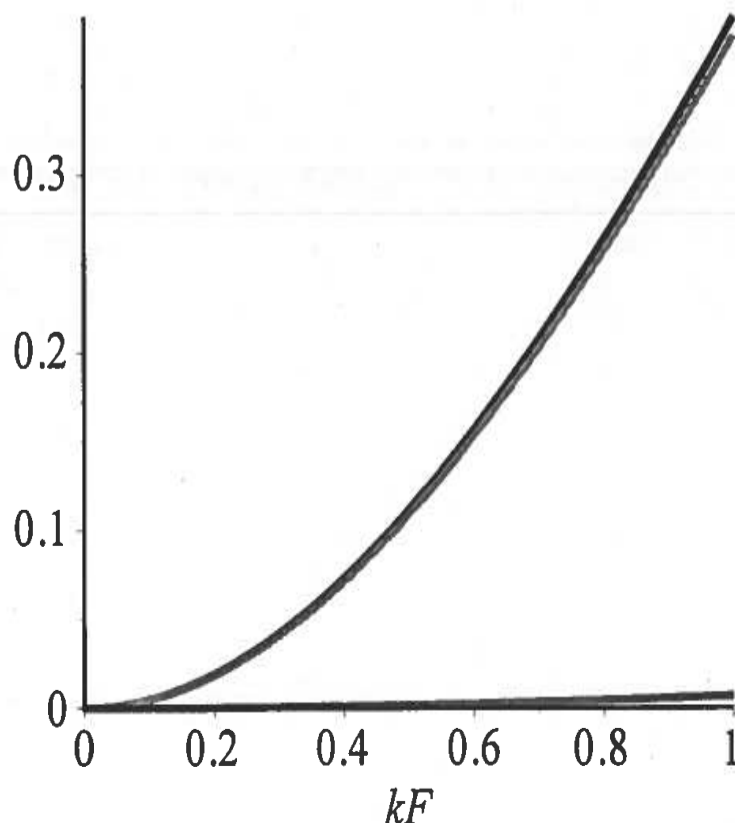
$$S_4 := \text{simplify}(\text{coeftayl}(E_0, \alpha = 0, 4)) : \# \text{ correction to symmetry energy}$$

Symm, S4;

$$\frac{1}{6} \frac{k_F^2}{\sqrt{k_F^2 + m^2}}, \frac{1}{648} \frac{(10 k_F^4 + 11 k_F^2 m^2 + 4 m^4) k_F^2}{(k_F^2 + m^2)^{5/2}} \quad (1)$$

m := 1 :

plot([E\_pnm, E\_snm + Symm, S4], k\_F = 0..1, color = [black, red, blue], thickness = 2);



- A THREE COMPONENT FREE FERMI GAS:  
"CHEMICAL (OR BETA) EQUILIBRIUM"

IN NEUTRON STARS TIME IS ON YOUR SIDE; THAT IS THE SYSTEM EVOLVES INTO ITS ABSOLUTE GROUND STATE FOR EVERY GIVEN DENSITY. THAT IS, YOU DON'T GET TO SELECT THE NEUTRON-PROTON ASYMMETRY.

BECAUSE THE LONG-RANGE NATURE OF THE COULOMB FORCE, BESIDES CHEMICAL EQUILIBRIUM ONE MUST ALSO ENFORCE CHARGE NEUTRALITY; THAT IS, THE NUMBER OF ELECTRONS MUST EQUAL THE NUMBER OF PROTONS.

THAT IS,  $\alpha$  IS OBTAINED BY MINIMIZING THE TOTAL ENERGY PER BARYON (INCLUDING) ELECTRONS. THAT IS,

$$\frac{E(\rho, \alpha)}{A} = \left(\frac{1+\alpha}{2}\right) \frac{E(k_F^{(n)}, m)}{N} + \left(\frac{1-\alpha}{2}\right) \left[ \frac{E(k_F^{(p)}, m)}{Z} + \frac{E(k_F^{(p)}, m_e)}{Z} \right]$$

NOW, CHEMICAL EQUILIBRIUM, I.E.  $\partial E/A = 0$  YIELDS THE EQUATION OF THE CHEMICAL POTENTIALS. THAT IS,

$$\mu_n = \mu_p + \mu_e$$

$$\text{OR } \sqrt{k_F^{(n)2} + m^2} = \sqrt{(k_F^{(p)})^2 + m^2} + \sqrt{(k_F^{(p)})^2 + m_e^2}$$

THIS YIELDS  $\alpha$  AS A FUNCTION OF DENSITY... AND OF THE INDIVIDUAL MASSES.

IN GENERAL, THIS TRANSCENDENTAL EQUATION MUST BE SOLVED NUMERICALLY. HOWEVER, IN THE (LIKELY UNREALISTIC) CASE THAT  $k_F^{(n)} \gg m$  AND  $k_F^{(p)} \gg m \gg m_e$  ONE OBTAINS:

$$k_n = 2k_p \quad (\text{WITH } k_n \equiv k_F^{(n)} \text{ AND } k_p \equiv k_F^{(p)})$$

AND

$$\rho_n = 8\rho_p \rightarrow \alpha = \frac{\rho_n - \rho_p}{\rho_n + \rho_p} = \frac{7}{9} \quad (\text{OR } Y_p = \frac{1}{9})$$

A FAIRLY REALISTIC CASE IS  $k_p \gg m_e$  (ELECTRONS ARE ULTRARELATIVISTIC)  
THEN,

$$\mu_n = \mu_p + k_p \rightarrow k_n^2 = 2k_p^2 + 2k_p \mu_p$$

OR

$$k_n^4 - 4k_n^2 k_p^2 - 4k_p^2 \mu^2 = 0$$

OR

$$(1+\alpha)^{4/3} X_F^2 - 4(1-\alpha^2)^{2/3} X_F^2 - 4(1-\alpha)^{2/3} = 0$$

WHERE  $X_F \equiv k_F/m$ . WE "SOLVE" THIS TRANSCENDENTAL EQUATION BY WRITING  $X_F = X_F(\alpha)$  RATHER THAN  $\alpha = \alpha(X_F)$ . THAT IS,

$$X_F^2 = \frac{4(1-\alpha)^{2/3}}{(1+\alpha)^{4/3} - 4(1-\alpha^2)^{2/3}}$$

CLEARLY, ONE REQUIRES THAT  $(1+\alpha)^{4/3} - 4(1-\alpha^2)^{2/3} \geq 0$ . THIS IMPLIES THAT

$$9\alpha^2 + 8\alpha - 7 = (3\alpha+3)(3\alpha-7/3) \geq 0$$

OR IN THIS LIMIT OF ULTRARELATIVISTIC ELECTRONS:

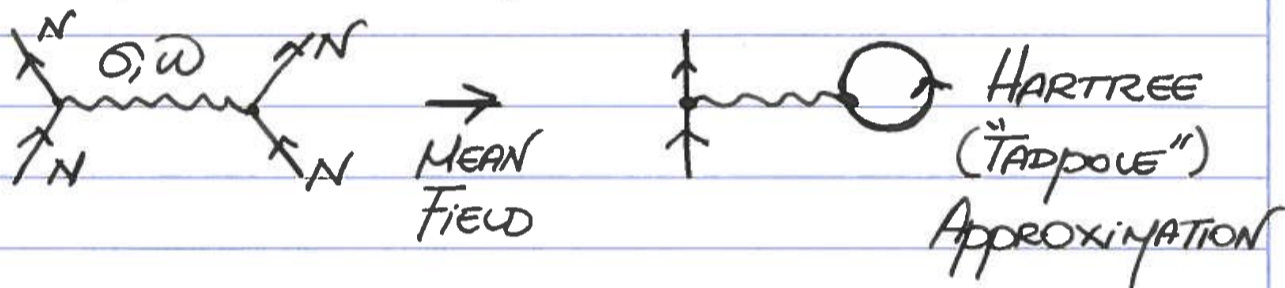
$$\alpha \geq 7/9 \leftrightarrow Y_p \leq 1/9 \quad \text{NEUTRON-STAR MATTER IS NEUTRON RICH.}$$

## SIMPLE MODELS OF INFINITE NUCLEAR MATTER

- THE WALECKA MODEL (J.D. WALECKA, ANN. PHYS. 83, 491 (1974))  
NUCLEONS INTERACT BY THE EXCHANGE OF TWO MASSIVE MESONS

$$\begin{array}{ll} \text{SCALAR-ISO SCALAR } \phi & (J^{\pi} = 0^{+}; T=0) \\ \text{VECTOR-ISO SCALAR } V^{\mu} & (J^{\pi} = 1^{-}; T=0) \end{array}$$

$$\mathcal{L}_{int} = \bar{\psi} (g_s \phi - g_v \gamma_{\mu} \gamma^{\mu}) \psi$$



ASSUMING TRANSLATIONALLY INVARIANT UNIFORM NUCLEAR MATTER, THE SELF-CONSISTENT SET OF MEAN-FIELD EQUATION READS:

$$m_s^2 \phi = g_s \rho_s \leftarrow \begin{array}{l} \text{LORENTZ-SCALAR} \\ \text{NUCLEON DENSITY} \end{array}$$

$$m_v^2 V_0 = g_v \rho_v \leftarrow \begin{array}{l} \text{LORENTZ-VECTOR} \\ \text{NUCLEON DENSITY} \end{array}$$

NUCLEONS ARE STILL FERMION GASES - BUT NO LONGER FREE.  
DISPERSION RELATION:

$$E(k) = \sqrt{k^2 + M^2} \rightarrow \boxed{E(k) = \sqrt{k^2 + M^{*2}} + g_v V_0}$$

WHERE  $M^* = M - g_s \phi$

NOTE THAT BOTH THE NUCLEON EFFECTIVE MASS  $M^*$  AND ENERGY SHIFT DEPEND ON THE DENSITY.



## "NUCLEAR SATURATION"

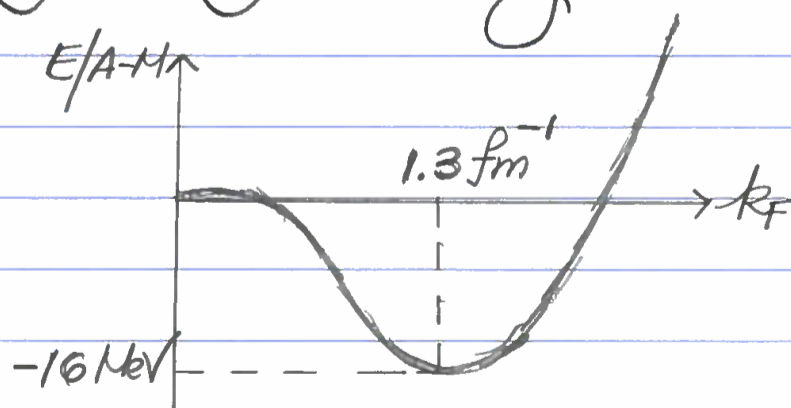
THE EXISTENCE OF AN EQUILIBRIUM DENSITY - NEAR THAT DISPLAYED BY HEAVY NUCLEI AT THE CENTER ( $\sim 0.15 \text{ fm}^{-3}$ ) - IS A HALLMARK OF THE NUCLEAR DYNAMICS. IN THE WAJECKA MODEL SATURATION EMERGES EXCLUSIVELY FROM THE LORENTZ CHARACTER OF THE MESON FIELDS. RECALL THAT THE SCALAR AND VECTOR FIELDS SATISFY:

$$\Phi = \frac{g_s^2}{m_s^2} \rho_s = \frac{g_s^2}{m_s^2} \sum_{\vec{k} \in \mathcal{R}_S} \frac{M^*}{\sqrt{k^2 + M^{*2}}} \theta(k_F - |\vec{k}|)$$

$$W_0 = \frac{g_v^2}{m_v^2} \rho_0 = \frac{g_v^2}{m_v^2} \sum_{\vec{k} \in \mathcal{R}_S} 1 \cdot \theta(k_F - |\vec{k}|)$$

SO IF  $\frac{g_s^2}{m_s^2} > \frac{g_v^2}{m_v^2}$  THEN AT VERY LOW DENSITIES THE ATTRACTION "WINS".

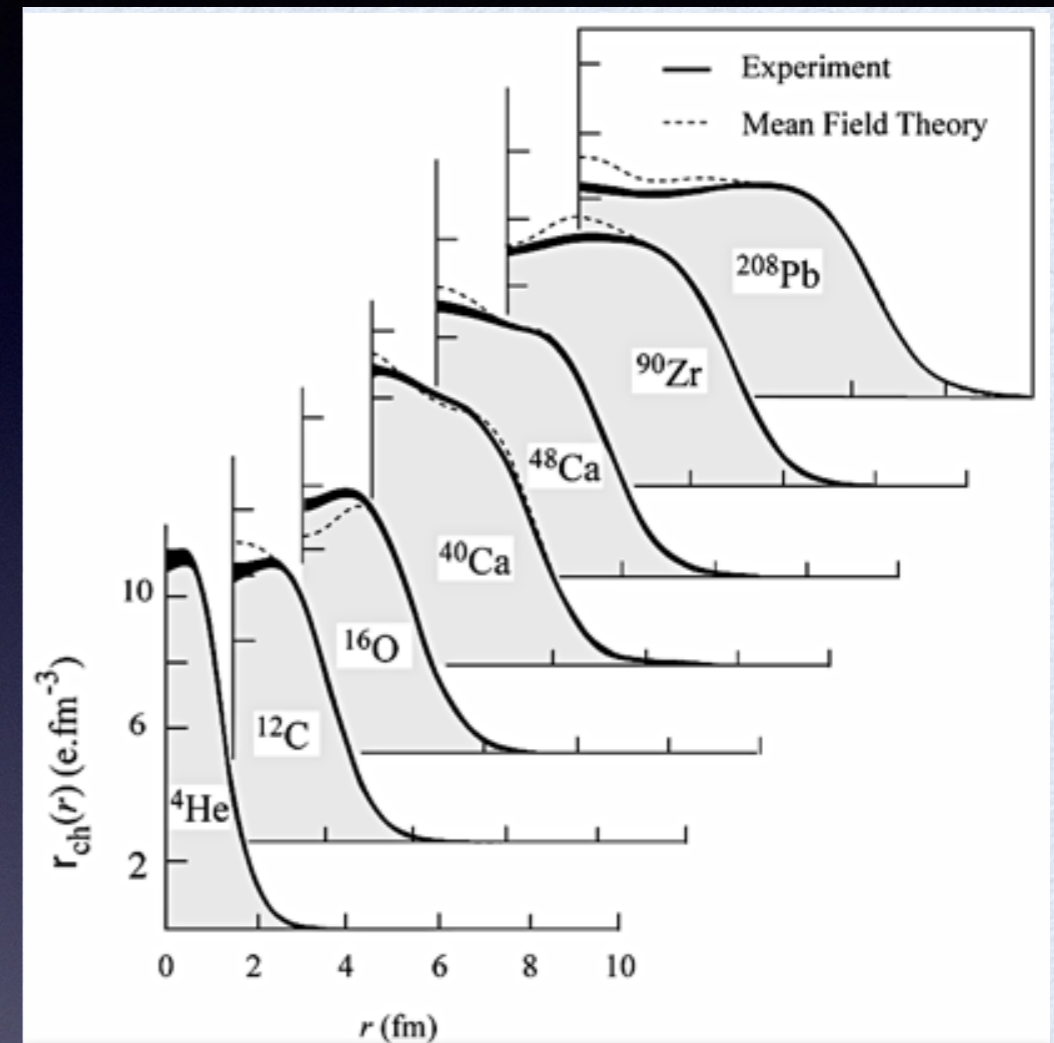
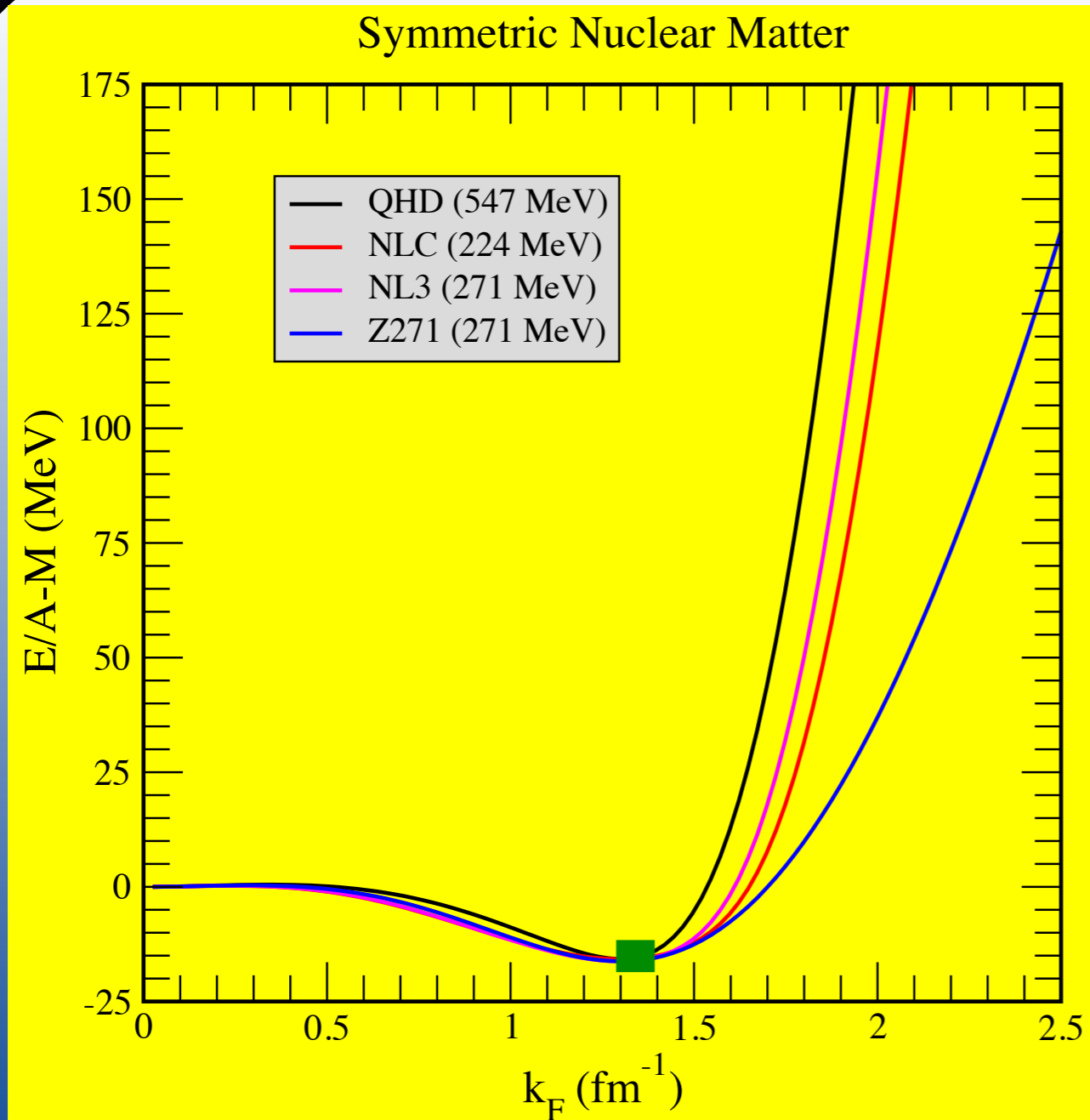
HOWEVER, AS THE DENSITY INCREASES, THE CONTRIBUTION TO THE SCALAR DENSITY FROM NUCLEONS WITH LARGE MOMENTA IS DILUTED BY THE  $M^*/E^*$  FACTOR. SO AT HIGH ENOUGH DENSITY THE VECTOR REPULSION WINS!



NUCLEAR-MATTER SATURATION  
IN THE WAJECKA MODEL: A  
SIMPLE 2-PARAMETER MODEL  
CONSISTENT WITH SATURATION AND THAT ALLOWS  
FOR A COVARIANT AND CAUSAL EXTRAPOLATION.

# Nuclear Saturation

## A Hallmark of the Nuclear Dynamics



*Uniform interior is a clear manifestation of nuclear saturation, namely the existence of an equilibrium density*

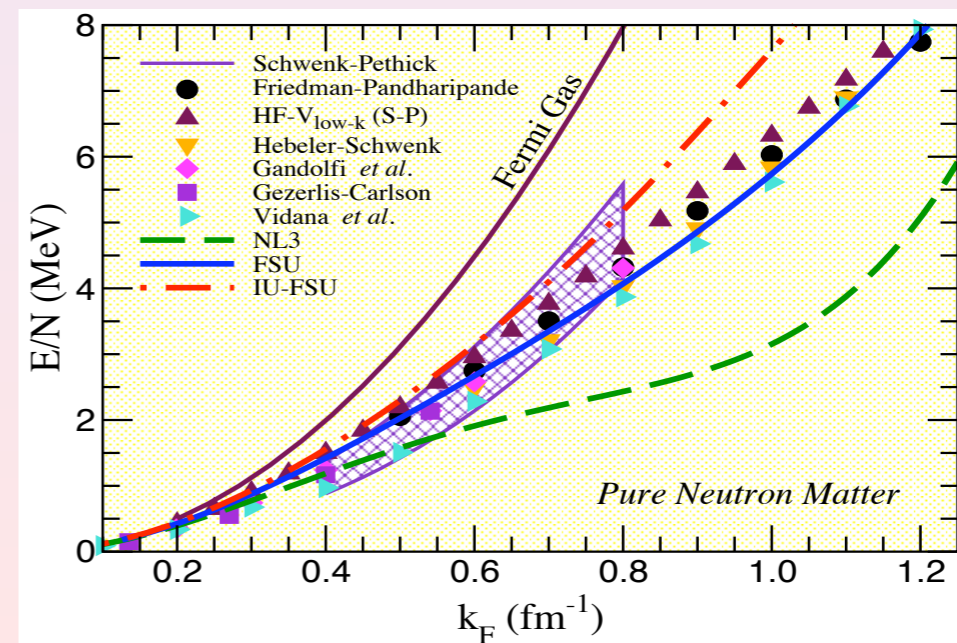
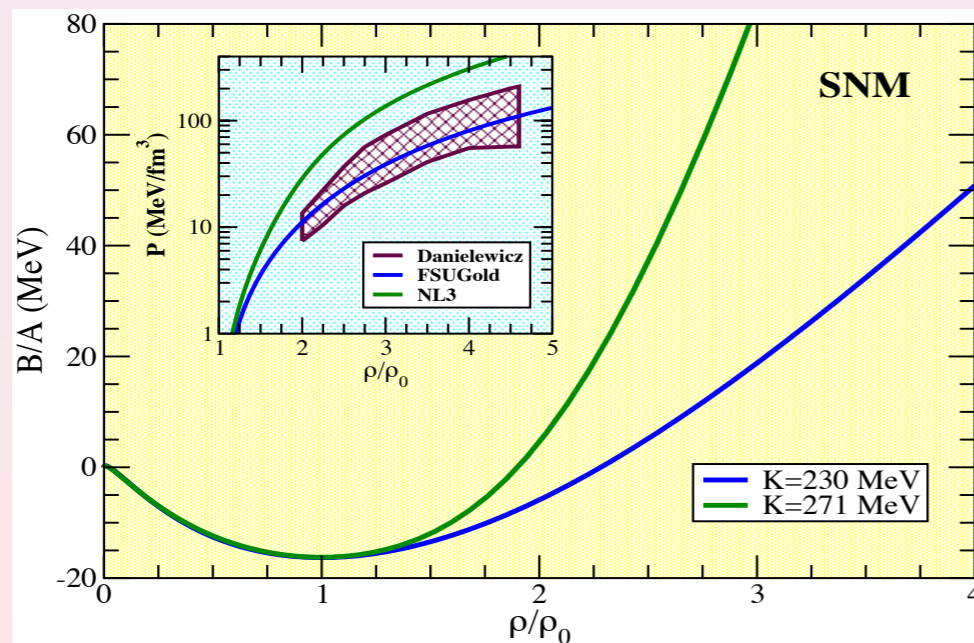
# Relativistic Density Functional Theory: From Finite Nuclei to Neutron Stars

## Relativistic Density Functional: The Effective Lagrangian Density

$$\mathcal{L}_{\text{int}} = g_s \bar{\psi} \psi \phi - g_v \bar{\psi} \gamma^\mu \psi V_\mu - \frac{g_\rho}{2} \bar{\psi} \gamma^\mu \boldsymbol{\tau} \cdot \mathbf{b}_\mu \psi - e \bar{\psi} \gamma^\mu \tau_p \psi A_\mu - \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \Lambda_v (g_v^2 V^\mu V_\mu) (g_\rho^2 b^\mu b_\mu) + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2$$

### The Encoding:

- $g_s$  and  $g_v$ : saturation properties ( $\rho_0, \varepsilon_0 \rightarrow$  masses, charge radii)
- $g_\rho$ : symmetry energy ( $J \equiv a_4 \rightarrow$  masses, charge radii)
- $\kappa$  and  $\lambda$ : nuclear compressibility ( $K_0 \rightarrow$  ISGMR)
- $\Lambda_v$ : slope symmetry energy ( $L \rightarrow$  neutron skins, neutron-star radii)
- $\zeta$ : high-density component of EOS (limiting neutron-star mass)



IDEALLY, ONE WOULD LIKE TO DETERMINE THE MODEL PARAMETERS FROM FIRST PRINCIPLES. IN PRACTICE, AND IN THE SPIRIT OF EFFECTIVE FIELD THEORIES, ONE FITS TO DATA. INDEED, IF

$$C_S^2 \equiv \frac{g_S^2}{m_S^2} M^2 = 357.4; \quad C_V \equiv \frac{g_V^2}{m_V^2} M^2 = 273.8$$

SYMMETRIC NUCLEAR MATTER SATURATES AT AN EQUILIBRIUM DENSITY OF  $k_F^0 = 1.30 \text{ fm}^{-1}$  (OR  $\rho_0 \approx 0.15 \text{ fm}^{-3}$ ) AND A BINDING ENERGY PER NUCLEON OF  $\epsilon_0 = 15.75 \text{ MeV}$ . HOWEVER, EVERY OTHER PROPERTY OF THE EOS BECOMES A PREDICTION; E.G., THE INCOMPRESSIBILITY (OR CURVATURE) AT SATURATION  $K_0 \approx 550 \text{ MeV}$  (MORE ON THIS LATER).

HOW ABOUT THE SYMMETRY ENERGY IN THE WALECKA MODEL? GIVEN THAT BOTH MESONS ARE ISOSCALAR, I.E., THEY COUPLE IDENTICALLY TO NEUTRONS AND PROTONS, THE SIMPLE RESULT IN PAGE 6 REMAINS VALID. THAT IS,

$$S(\rho) = \frac{k_F^2}{6E_F^*} = \frac{k_F^2}{6(k_F^2 + M^{*2})^{1/2}}$$

AT NUCLEAR MATTER SATURATION DENSITY

$$k_F^0 = 1.30 \text{ fm}^{-1} \text{ AND } \frac{M^*}{M} = 0.541 \text{ WE OBTAIN}$$

$$J \equiv S(\rho_0) = 19.27 \text{ MeV.}$$

VERY SMALL TO REPRODUCE PHENOMENOLOGY OF NUCLEI WITH A SIGNIFICANT NEUTRON EXCESS.

WHERE ARE WE TODAY?

## RELATIVISTIC DENSITY FUNCTIONAL THEORY

ENORMOUS PROGRESS SINCE THE EARLY DAYS...

$$\begin{aligned} \cdot \mathcal{L}_{\text{int}} = & \bar{\psi} \left[ g_s \phi - \left( g_v \gamma_\mu + \frac{g_\rho}{2} \vec{\tau} \cdot \vec{b}_\mu + \frac{g}{2} (1 + \tau_3) A_\mu \right) \gamma^M \right] \psi \\ & - \frac{\kappa}{3!} \Phi^3 - \frac{\lambda}{4!} \Phi^4 + \frac{1}{4!} \sum (W_\mu W^\mu)^2 + \Lambda_V (B^M B_\mu) (W^\mu W_\mu) \end{aligned}$$

- PARAMETERS OF THE MODEL CONSTRAINED BY PHYSICAL OBSERVABLES ONLY, SUCH AS NUCLEAR MASSES, CHARGE RADII, NUCLEAR EXCITATIONS, AND MAXIMUM NEUTRON-STAR MASSES. NO LONGER RELIANCE ON BULK PARAMETERS OF INFINITE NUCLEAR MATTER; THESE BECOME PREDICTIONS OF THE MODEL.
- ALL PREDICTIONS ACCOMPANIED BY THEORETICAL ERRORS AND CORRELATION COEFFICIENTS; TOWARDS A NEW ERA OF "UNCERTAINTY QUANTIFICATION".
- ONE-TO-ONE CORRESPONDENCE BETWEEN MODEL PARAMETERS AND FUNDAMENTAL PROPERTIES OF THE EQUATION OF STATE

$$E_{\text{SNM}}(\rho) = E_0 + \frac{1}{2} K_0 X^2 + \dots \quad X \equiv (\rho - \rho_0) / 3\rho_0$$

$$S(\rho) = J + LX + \dots$$

$$(\rho_0, E_0, K_0, M_0^*, J, L) \leftrightarrow (g_s, g_v, g_\rho, \kappa, \lambda, \Lambda_V)$$