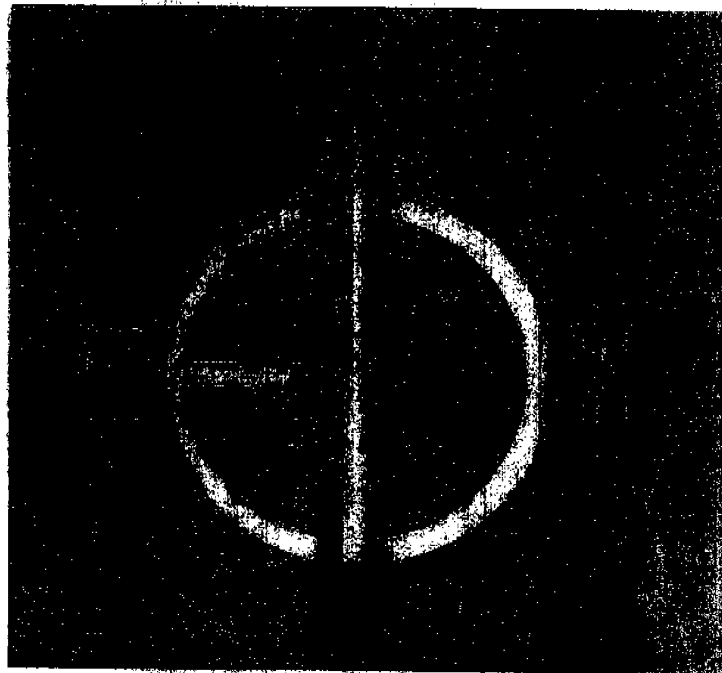


# Transversity and Friends: Where We'll Be a Decade from Now

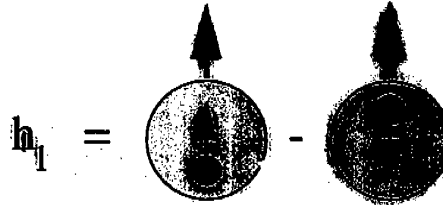
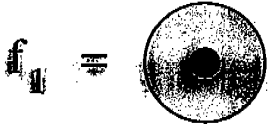
Naomi C.R. Makins  
University of Illinois at Urbana-Champaign  
EIC Workshop at JLAB, March 15, 2004



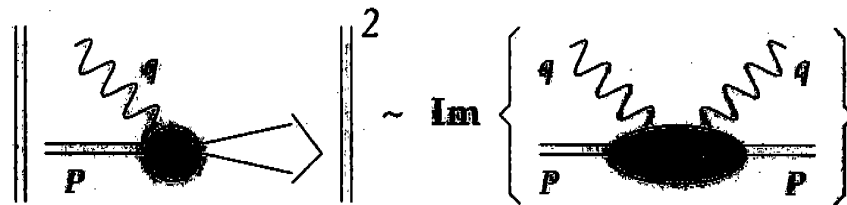
- *Transversity and Friends: the Other Leading-Twist Parton Distribution Functions*
- *Latest results from DIS*
- *Universality and  $e^+e^-$  / Drell-Yan Prospects*
- *Conclusions and Outlook: We Need the EIC!*

# Transversity

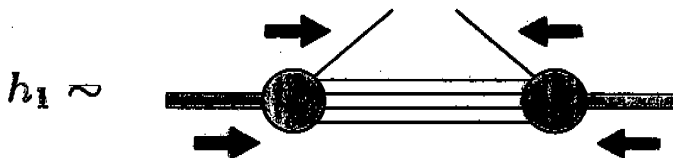
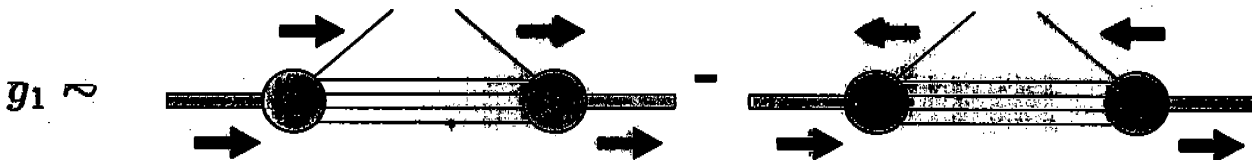
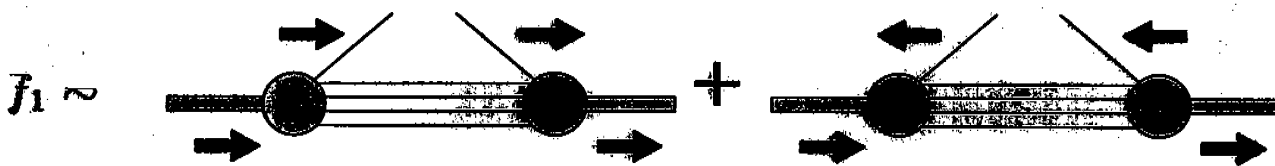
A complete description of the momentum and spin structure of the nucleon at leading twist requires the third parton distribution  $\delta_T(x)$ .



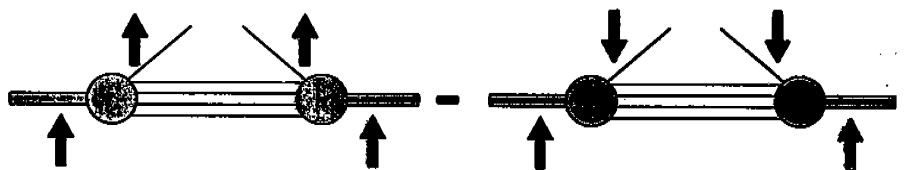
**Forward  
Helicity  
Amplitudes**



*(optical theorem applied to DIS)*



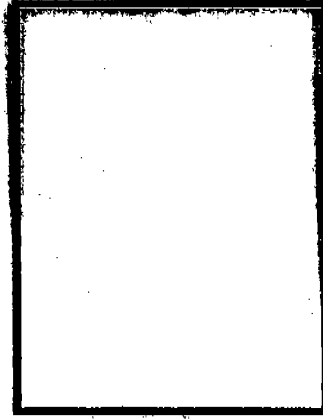
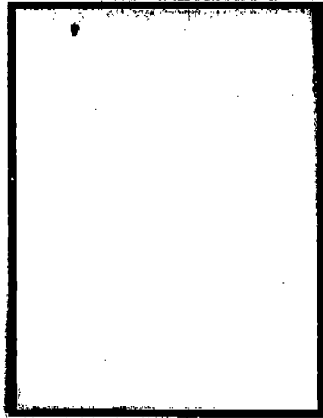
Target not in  
helicity eigenstate  
 $\Rightarrow$  transversity basis





**Functions Surviving  
on Integration over  
Transverse Momenta**

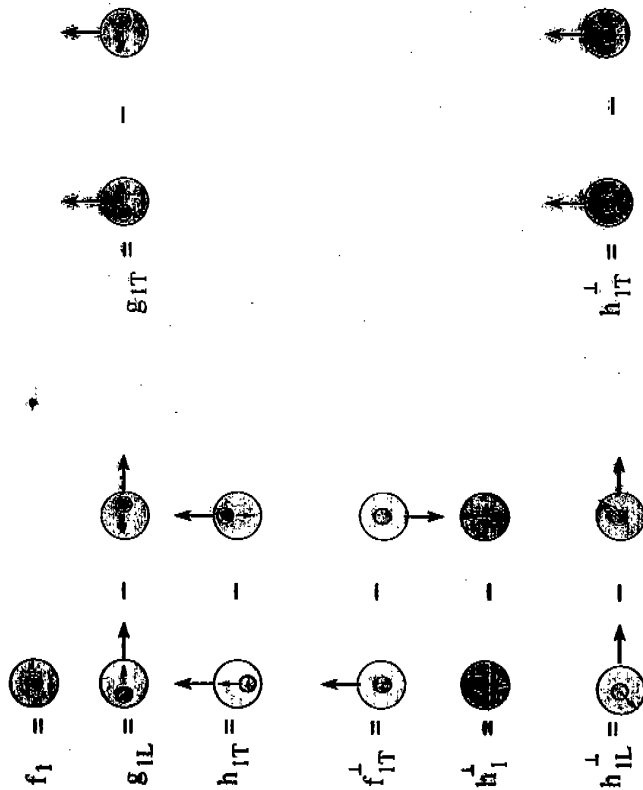
- The others are sensitive to intrinsic  $\langle k_t \rangle$  in the nucleon & in the fragmentation process



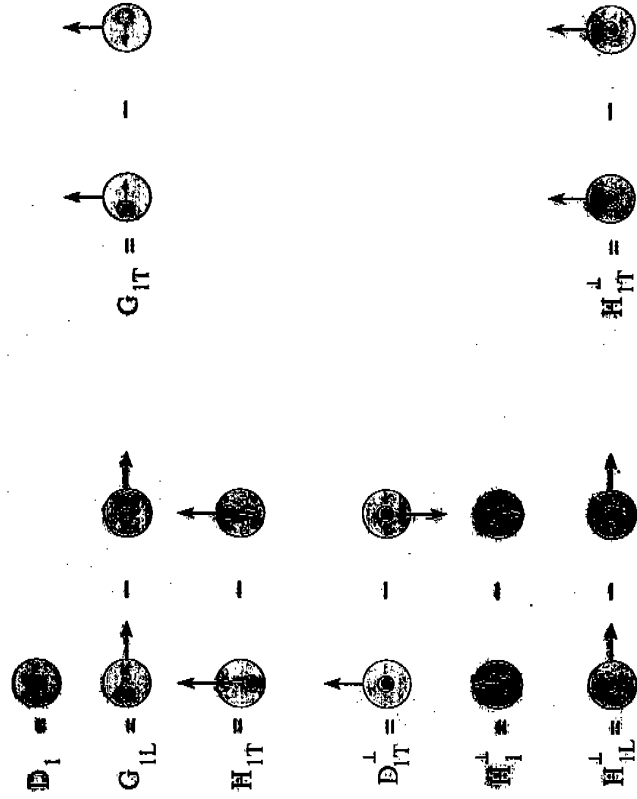
**Higher Twist  
Functions  
(no pictures)**

- Sensitive to parton-parton correlations
- Have a twist-2 part ... e.g.  $h_L(x) = 2x \int_x^1 dy \frac{h_1(y)}{y^2}$

# Distribution Functions

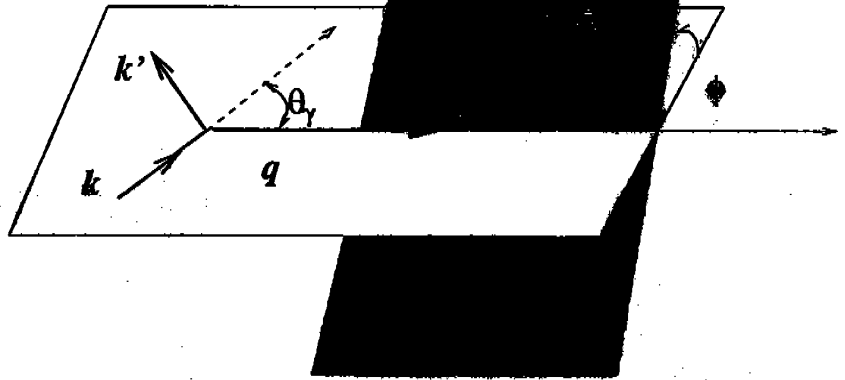


# Fragmentation Functions



# Azimuthal Moments

"The Bible":  
Madders & Tangeman,  
PLB 461 (1996) 197



Polarized SIDIS xsec  
at leading order in  $1/Q$ :

UU	1	$\otimes f_1 =$	$\otimes D_1 =$
	$\cos(2\phi_h^l)$	$\otimes h_1^\perp =$ -	$\otimes H_1^\perp =$ -

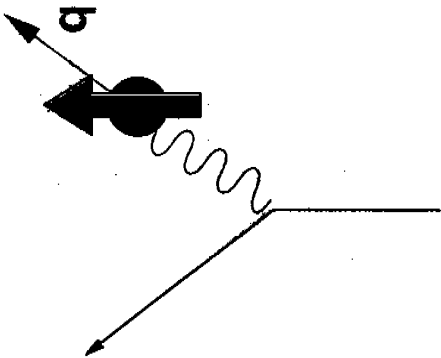
UL	$\sin(2\phi_h^l)$	$\otimes h_{1L}^\perp =$ $\rightarrow$	$\otimes H_1^\perp =$ -
----	-------------------	--	-------------------------

UT	$\sin(\phi_h^l + \phi_S^l)$	$\otimes h_1 =$ $\uparrow$	$\otimes H_1^\perp =$ -
	$\sin(\phi_h^l - \phi_S^l)$	$\otimes f_{1T}^\perp =$ $\uparrow$	$\otimes D_1 =$
	$\sin(3\phi_h^l - \phi_S^l)$	$\otimes h_{1T}^\perp =$ $\uparrow$	$\otimes H_1^\perp =$ -

LL	1	$\otimes g_1 =$ $\rightarrow$	$\otimes D_1 =$
----	---	-------------------------------	-----------------

LT	$\cos(\phi_h^l - \phi_S^l)$	$\otimes g_{1T} =$ $\uparrow$	$\otimes D_1 =$
----	-----------------------------	-------------------------------	-----------------

# Spin-Azimuthal Asymmetry $A_{UL}$



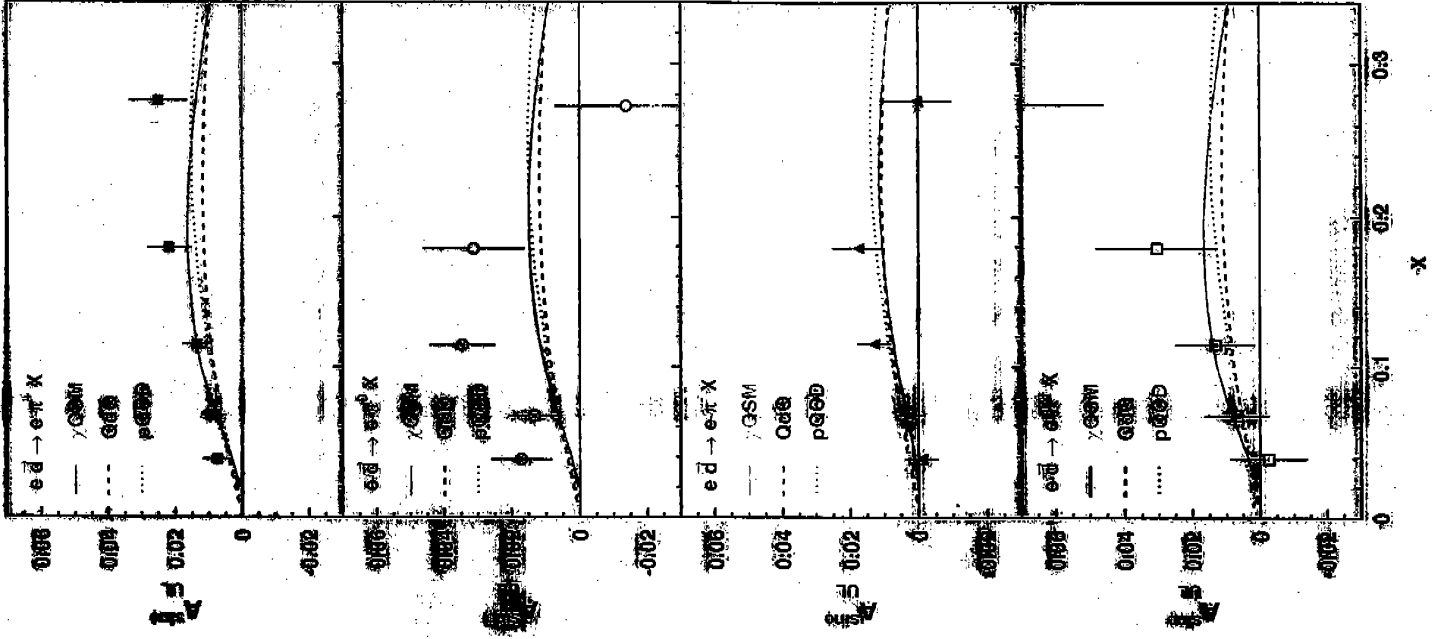
$$A(\phi) = \frac{1}{P_{\text{target}}} \frac{N_{p \rightarrow}(\phi) - N_{p \leftarrow}(\phi)}{N_{p \rightarrow}(\phi) + N_{p \leftarrow}(\phi)}$$

$$A_{UL}^{\text{spin } \phi} = \frac{S_L \langle \sin \phi \rangle_{UL} + S_T \langle \sin \phi \rangle_{UT}}{S(1)_{UL}}$$

with  $S_L/S \approx 1, S_T/S \approx 1/Q$

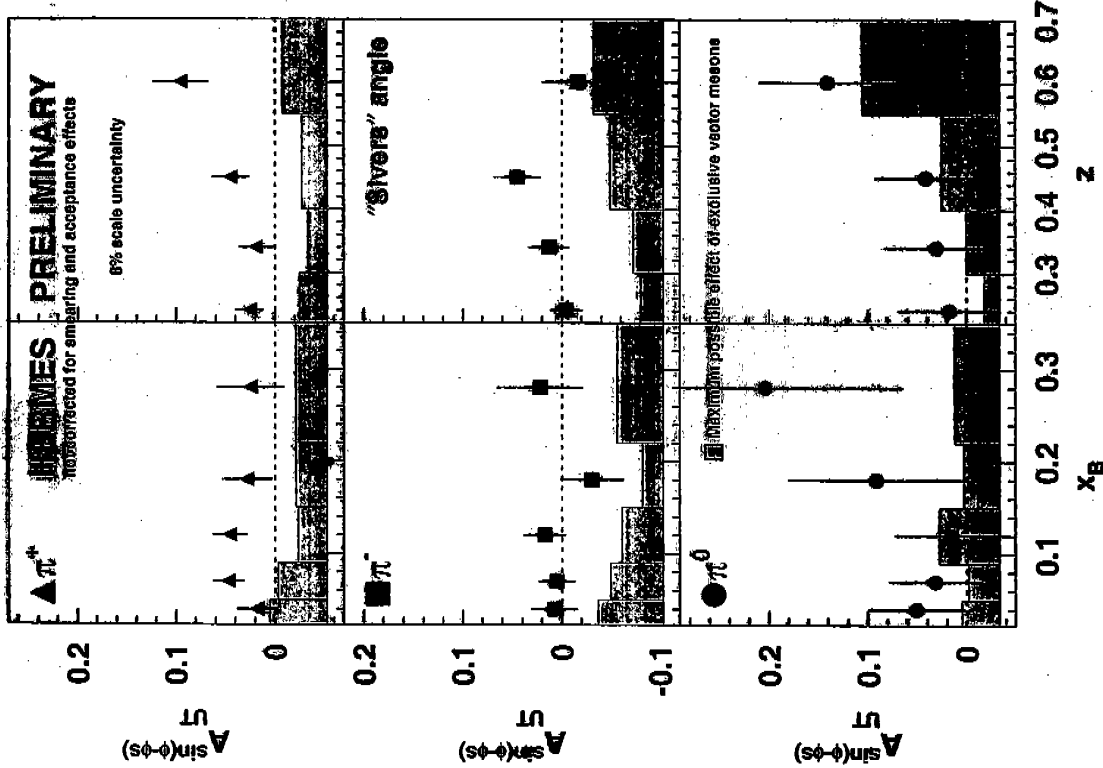
Theory predictions see to explain the data well ...  
but contain a lot of assumptions

- $\langle \sin \phi \rangle_{UT} \sim h_1 H_T$  accounts for only 80% of the asymmetry (best estimate)
- $\langle \sin \phi \rangle_{UL}$  contains twist-3 functions
- magnitude of  $H_1^{\perp}/D_1 \approx 4 - 12\%$
- unknown Sivers contribution not considered



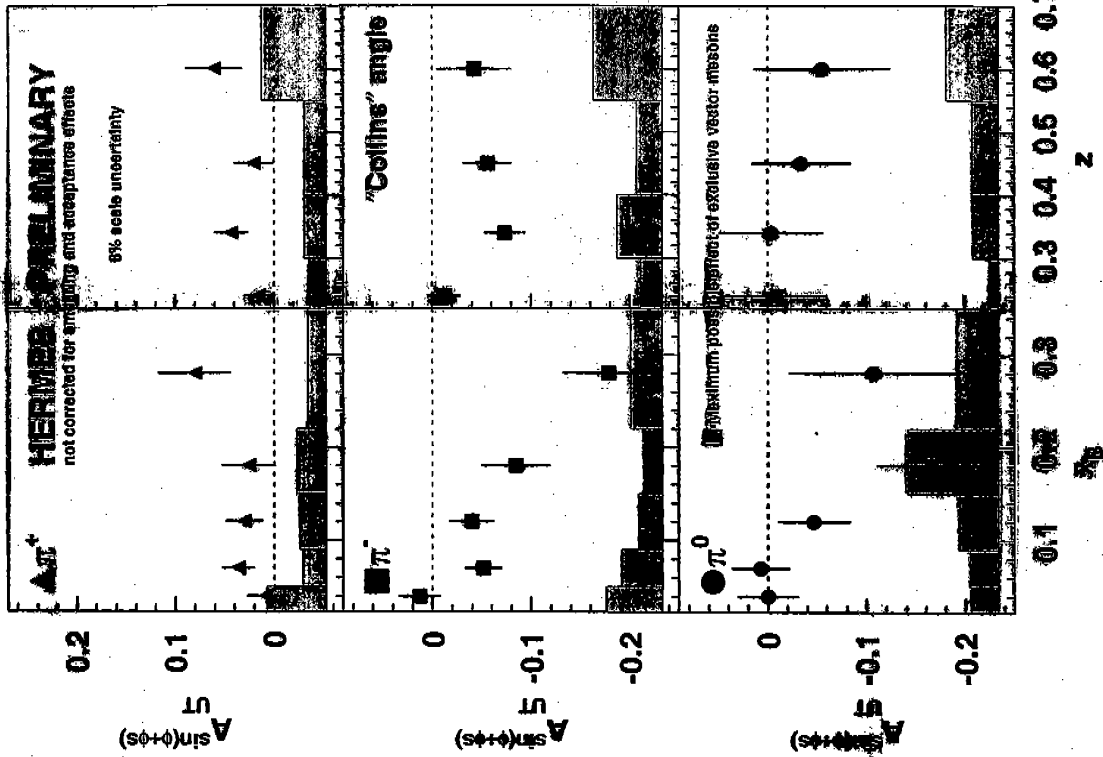
# Results I: Unweighted Moments

## "Sivers" Moments



Sivers  $\langle A^{\pi^+} \rangle$   $3\sigma$  away from zero ...

## "Collins" Moments



Collins  $\langle A^{\pi^+} \rangle$  large for  $\pi^0$  and  $\pi^-$





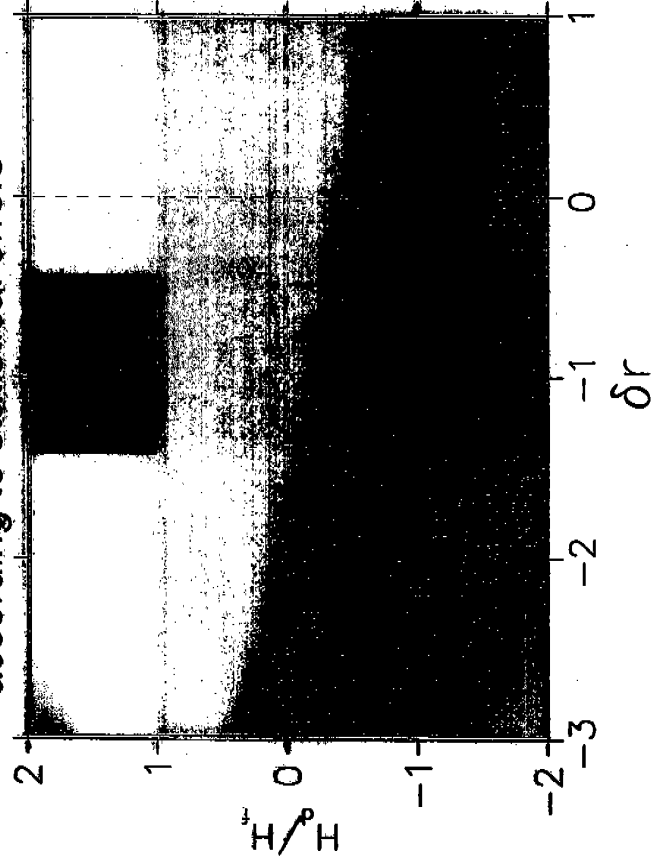


# Interpretation of Collins Results

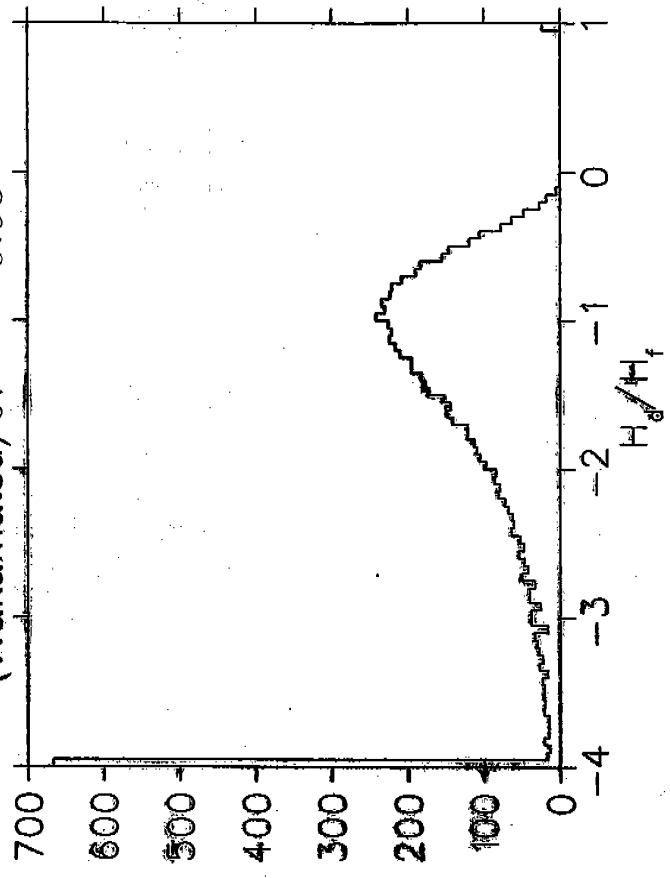
① **Constraint equation:** well satisfied by both weighted and unweighted asymmetries (within 1σ statistical)  $\rightarrow$  no problem with internal consistency

② **Solution space for  $\delta r \approx \delta d / \delta u$  vs  $\eta_H = H_{dir} / H_{int}$**

solution space populated according to statistical errors



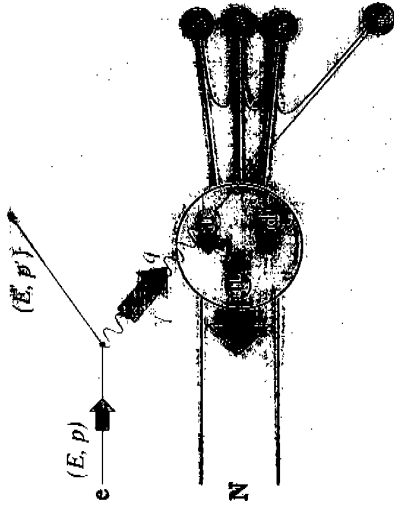
$\eta_H$  solutions at XQSM value (Watameteu)  $\delta r = -0.93$



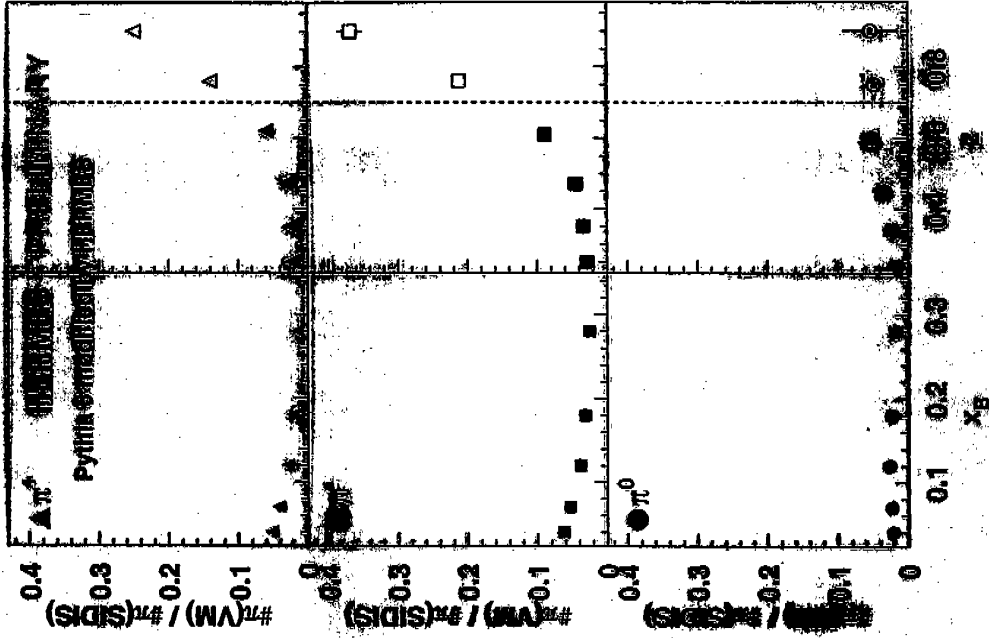
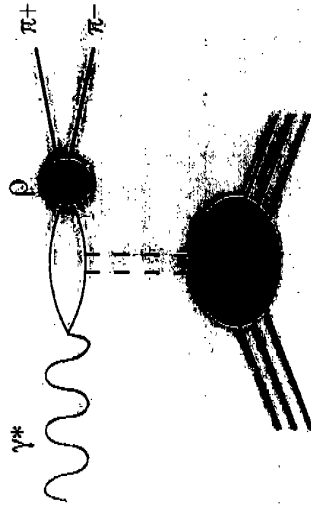
**Neglecting possible diffractive contamination, there seems to be a pronounced indication that**

# Interpretive Uncertainty from Diffractive VM Contribution

Desired process: SIDIS  $ep \rightarrow \pi^+ X$



Diffractive  $\rho^0$  production:  
different physics ... ?



Conservative approach: calculate systematic error for full range of possible diffractive  $\rho$  asymmetries:  $A_{UT}^{Collins, \rho}$  and  $A_{UT}^{Collins, \rho} \equiv \pm 1$

# Other Ways to Measure $h_1(x)$

## ① Interference Fragmentation Function to two pions

Good possibilities at PHENIX

## ② Higher Twist Fragmentation Function $\tilde{E}$

$$d\sigma_{LT} \sim \cos(\phi_S^l) \left[ \frac{M_T}{Q} \cdot g_T(x) D_1(z) + \frac{M_T}{zQ} \cdot h_1(x) \tilde{E}(z) \right]$$

... calculation of Ji & Zhu suggests  $\tilde{E}(z) = \frac{m_q}{M} z D_1(z) \approx \frac{1}{3} z D_1(z)$

## ③ Final State Polarization (spin transfer to $\Lambda$ )

$$d\sigma_{UTT} \sim \cos(\phi_S^l + \phi_{S_h}^l) \cdot h_1(x) H_1(z)$$

Method requires significant spin transfer from quark to  $\Lambda$  in fragmentation process ...

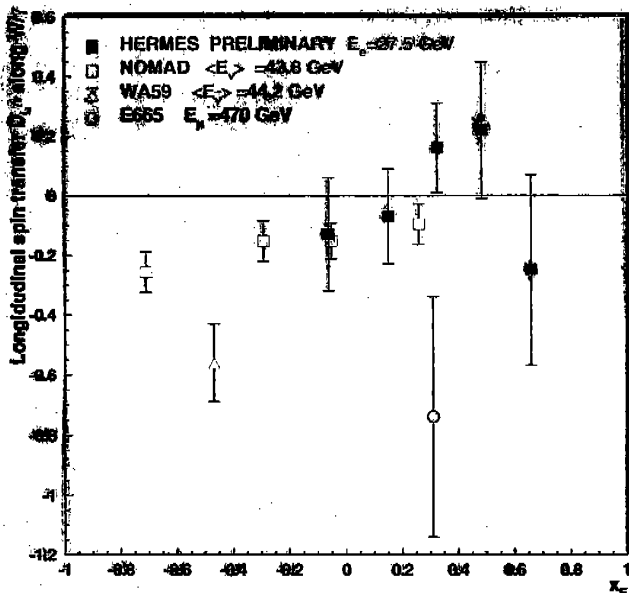
longitudinal spin transfer:  $G_1(z)$

transverse spin transfer:  $H_1(z)$



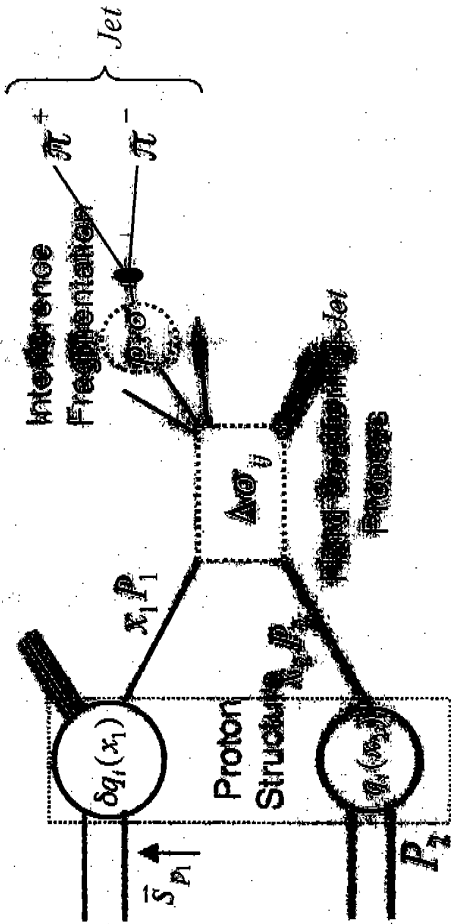
**← small  $G_1(z)$  at HERMES kinematics ☹**

**→ But situation could be quite different at EIC! ☺**



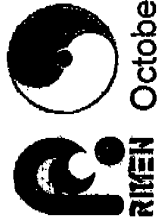
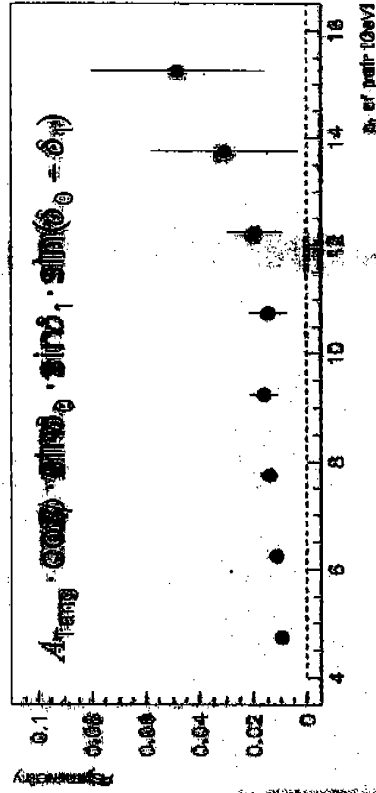
# PHENIX: Interference Frag Function

- Transversity measurement at PHENIX
  - near future
  - $\pi^+\pi^-$  interference fragmentation function
  - for  $32\text{ GeV}$ , small asymmetry below 5% but good rate



$$A_1 = \frac{1}{P_{beam}} \frac{N^+ - N^-}{N^+ + N^-} = -\frac{\sqrt{6}\pi}{4} \sin \delta_0 \sin \delta_1 \sin(\delta_0 - \delta_1) \cdot \cos(\phi) \cdot [G(x_1) \cdot G(x_2)] \cdot \delta g_1(z) \cdot \delta g_2(z) + \dots$$

- Collins effect in jets
- inclusive jet production
- luminosity upgrade:  $\sqrt{s} = 1.6 \text{ TeV}$
- direct photon
- Drell-Yan



October 7, 2003

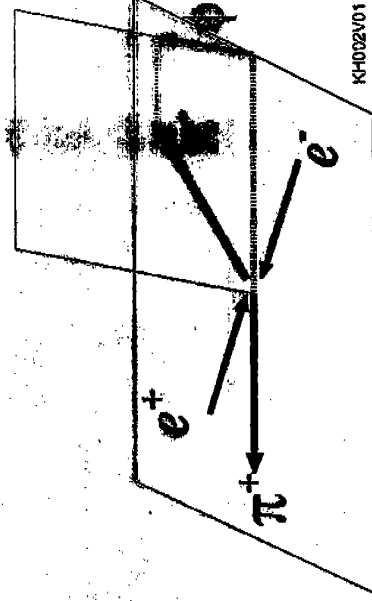
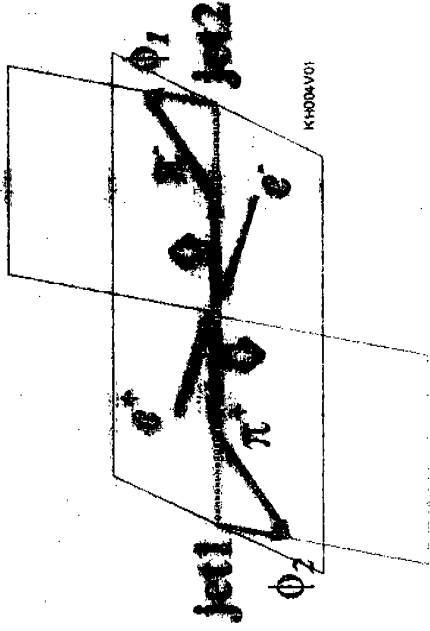
Transversity Workshop in Athens  
Yuji Goto (RIKEN/RBRC)

Ph. at pair 10eV1



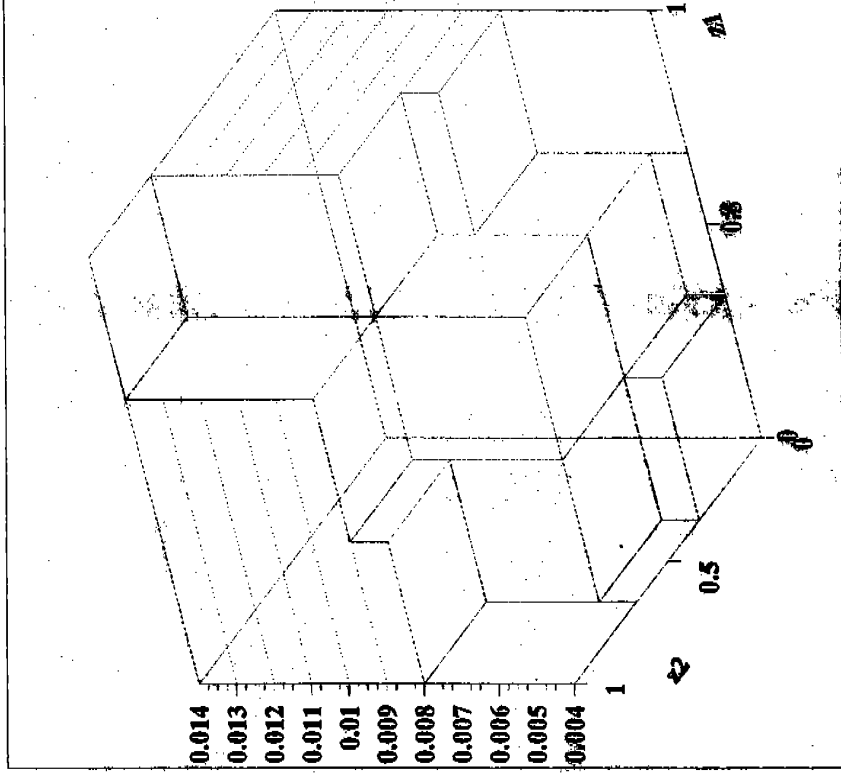
# Collins Fragmentation Function at BELLE

- $e^+e^- \rightarrow \pi^+_{\text{jet1}} \pi^-_{\text{jet2}} X$
- Reaction plane defined with beam (z-axis) and jet axis
- Product ( $\pi$ ) plane defined with  $\pi$  and jet axis
- $\phi$ : angle between the planes
- $A \propto H_1^\perp(z_1) H_1^\perp(z_2) \cos(\phi_1 + \phi_2)$
- ~~Can analyze with/without~~ using jet axis
- $A \propto H_1^\perp(z_1) H_1^\perp(z_2) \cos(2\phi)$



# Available Statistics at BELLE (off-resonance)

- #events  $\sim 27M$  (off-resonance data)
- Event selection
  - Thrust  $> 0.86$
  - $\pi^+\pi^-$  in different hemispheres  
(for Collins function)
  - 8 bins in  $2\phi$  ( $\phi_1 + \phi_2$ )
- $\delta A = \delta(H_1^+ H_1^-) \cos(\phi_1 + \phi_2)$   
 $= 1.4\%$  of statistical error  
 for high  $z$ -bin ( $z > 0.5$ )
- More data available on-resonance



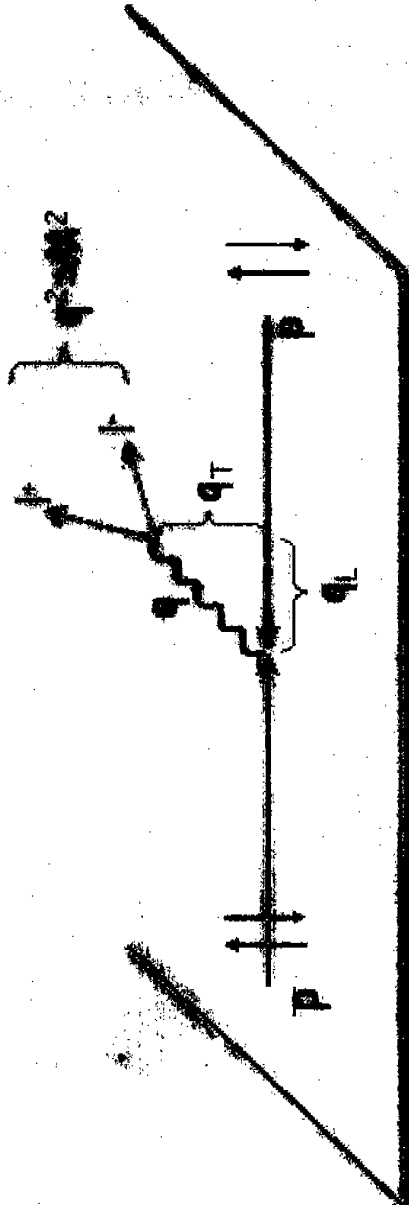
## Background Sources

- The following sources can cause azimuthal asymmetries
  - Limitation of detector acceptance  
(and to some extent momentum resolution)
  - Gluon emission
  - Weak decays
  - Beam background



# Transversity in Drell-Yan processes

... (unreadable text) ...



$q = u, \bar{u}, d, \bar{d}, \dots$   
 all invariant masses  
 of lepton pair

$$\sum_q q_f^2 \mathcal{J}_q^{\mu\nu}(s, M^2) \mathcal{J}_q^{\mu\nu}(s, M^2)$$

$$A_{TT} = \frac{d\sigma_{TT} - d\sigma_{TT}^{\perp}}{d\sigma_{TT} + d\sigma_{TT}^{\perp}}$$

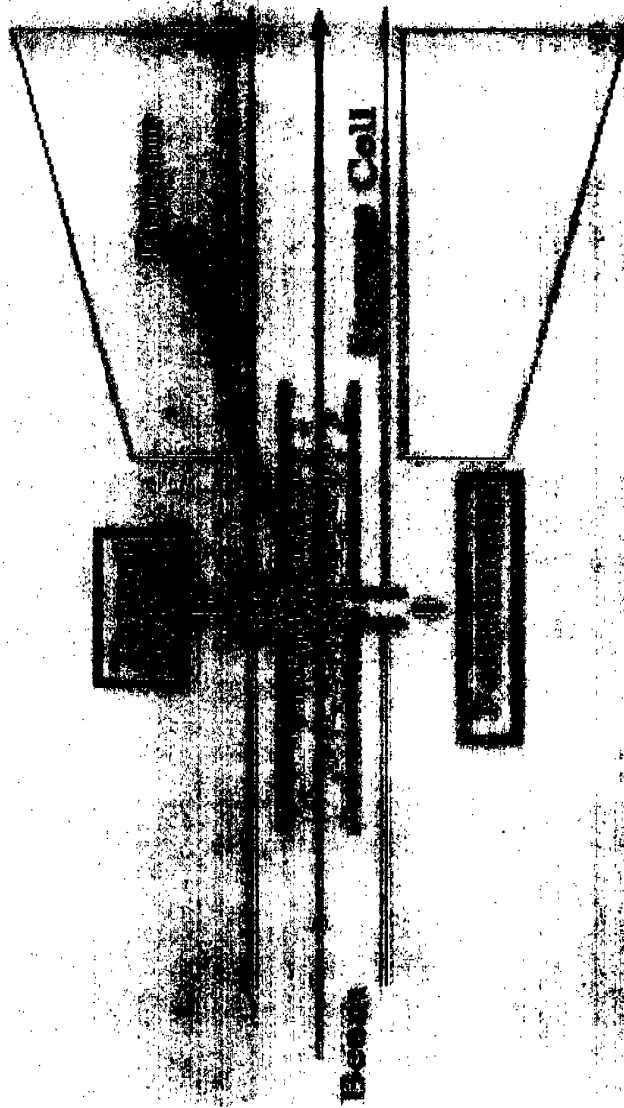
Elementary QED process



$\theta$ : polar angle of lepton  
 in  $l^+l^-$  rest frame  
 $\phi$ : azimuthal angle  
 w.r.t. proton polarization

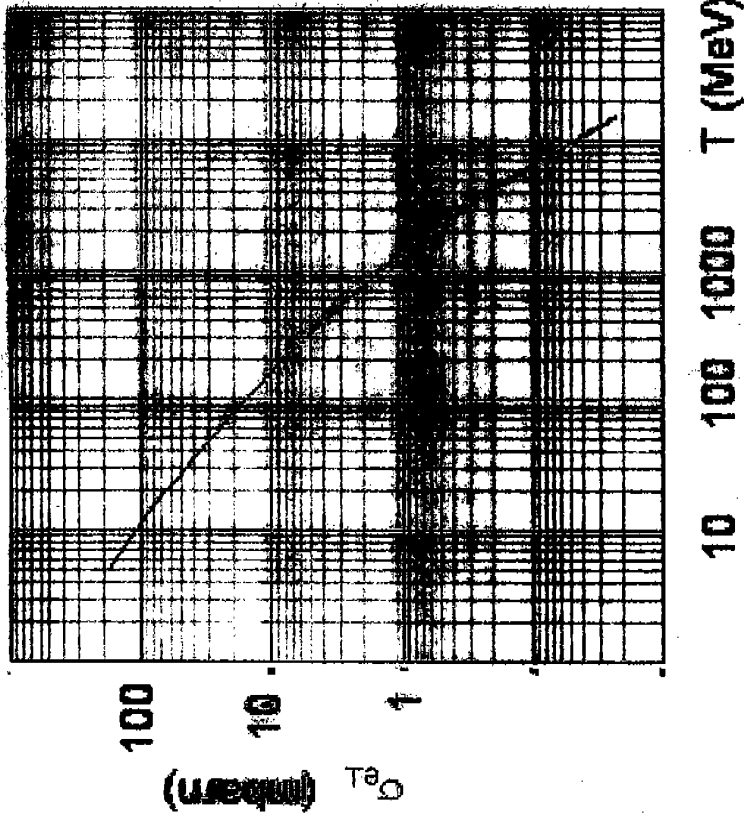
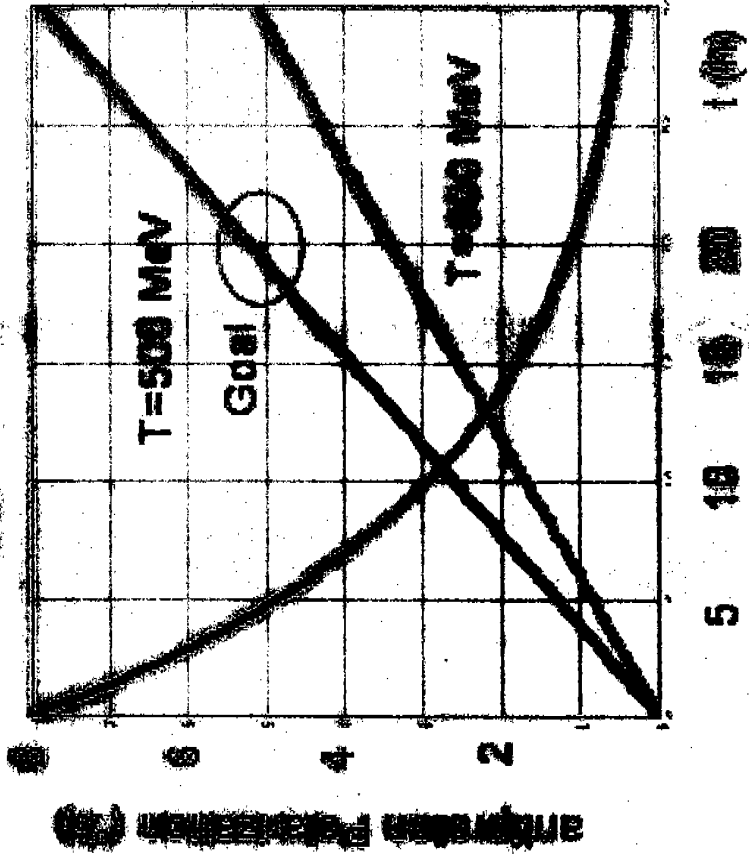
$$\hat{a}_{TT} = \frac{\sin^2 \theta}{1 + \cos^2 \theta} \cos 2\phi$$

# Principle of a polarized internal target



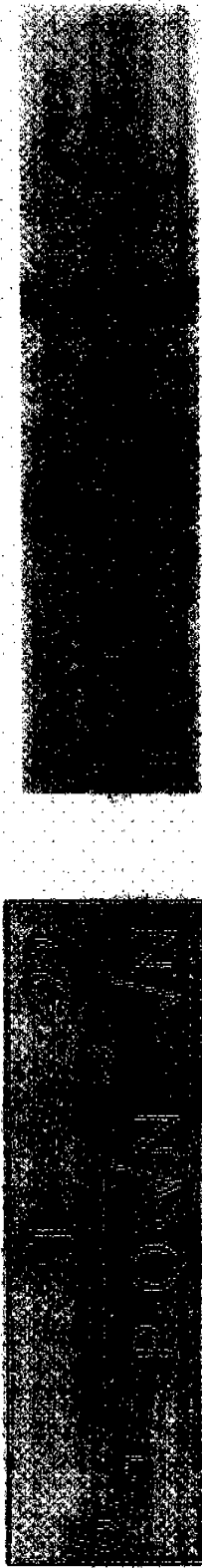
Injection Gun Region				
point-like	5-10 mm	free jet	low density	$10^{12}$ cm <sup>-2</sup>
extended	200-500 mm	storage cell	high density	$10^{14}$ cm <sup>-2</sup>

# Antiproton Polarizer



# Count rate estimate

Uncertainty of Double-spin asymmetry  $A_{TT}$  depends on polarization of beam and target ( $|P| > 0.05$ ,  $|Q| \sim 0.9$ )

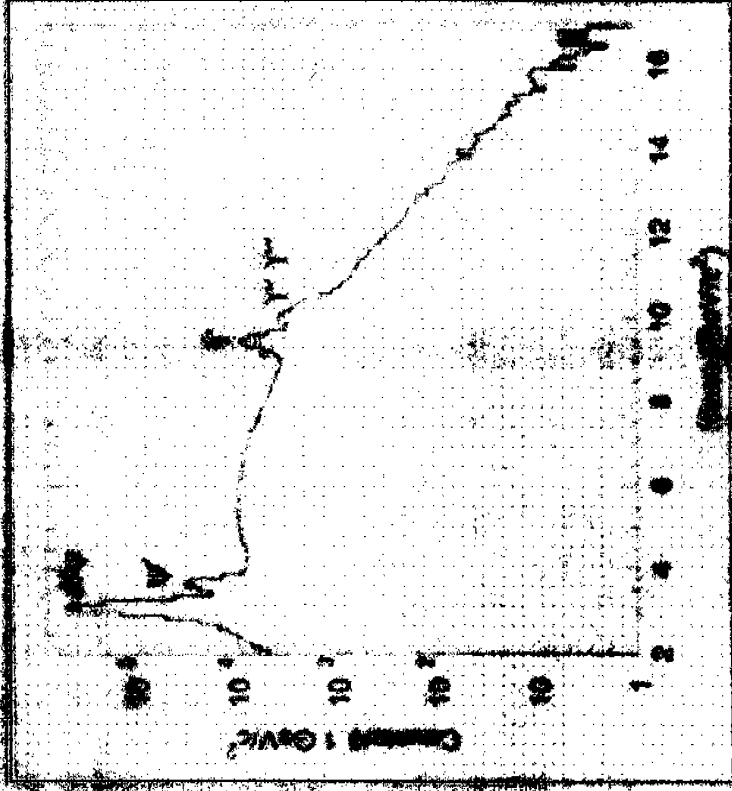


200 days	$\int_A^B (d\sigma/dM^2) dM^2$	$\int_0^{10} (d\sigma/dM^2) dM^2$	$\int_0^{10} (d\sigma/dM^2) dM^2$
$\sigma$	$1.1 \times 10^{-6} \text{ GeV}^{-2}$	$3.8 \times 10^{-7} \text{ GeV}^{-2}$	
$N$	186 events/day	65 events/day	
$T = 10 \text{ GeV}$	$\boxed{10.4 \%}$	$\boxed{17.6 \%}$	
$\bar{\sigma}$	$\approx 0.40$	$\approx 0.49$	
$\sigma$	$1.9 \times 10^{-6} \text{ GeV}^{-2}$	$9.6 \times 10^{-7} \text{ GeV}^{-2}$	
$N$	323 events/day	161 events/day	
$T = 22 \text{ GeV}$	$\boxed{7.9 \%}$	$\boxed{11.2 \%}$	
$\bar{\sigma}$	$\approx 0.33$	$\approx 0.41$	



# Extension of the "safe" region

The determination of  $h_{cr}(x, Q^2)$  is not confined to the "safe" region  $M > M_{crit}$

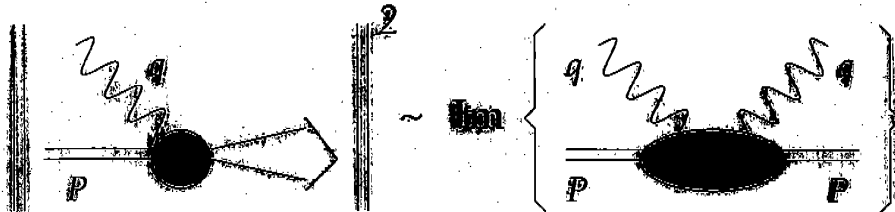


One realization of the critical mass  $M_{crit}$  as a function of  $x$  for  $Q^2 = 100$  GeV<sup>2</sup>. The critical mass  $M_{crit}$  is defined as the mass at which the system becomes unstable.

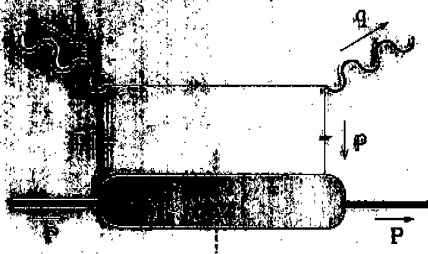
# The Leading-Twist Sivers Function

*Can it exist in DIS?*

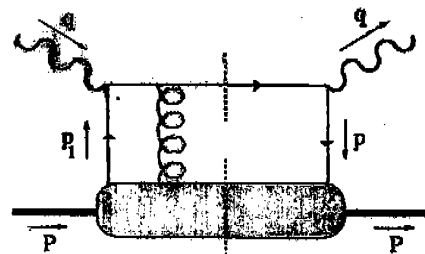
A T-odd function like  $f_{1T}$  must arise from interference ...  
 but a distribution function is just a forward scattering amplitude,  
 how can it contain an interference?



**Evans, Hwang, & Schmidt 2002**



can interfere  
with



and produce a T-odd effect!

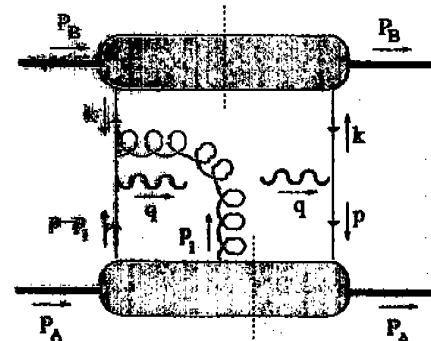
(also requires  $L_z \neq 0$  ... so does  $F_2(q)$  form factor)

*It looks higher-twist, but it's not ...*

**⇒ these are soft gluons**

Such soft-gluon reinteractions  
 with the soft wavefunction are  
final (or initial) state interactions ...  
 and may be process dependent!

**⇒ new universality issues ...**



e.g. Drell-Yan

# Universality of Weighted Moments

Process-dependence of  $k_T$ -dependent distribution functions can be controlled by working with weighted moments

$$\Phi_{\partial}^{[\pm]\alpha}(x) \equiv \int d^2p_T d^2p_L \Phi^{[\pm]\alpha}(x, p_T) \propto g_{1T}^{(1)} S_T \oplus h_{1L}^{\perp(1)} S_L \oplus f_{1T}^{\perp(1)} S_T \oplus h_1^{\perp(1)}$$

Get off process-dependent term due to extra gauge link:

$$\Phi_{\partial}^{[\pm]\alpha}(x) = \Phi_{\partial}^{\alpha}(x) \pm \pi \Phi_{\partial}^{\alpha}(x, x)$$

process-independent  
T-even

process-dependent  
T-odd gluonic pole ME

**T-even:**  $g_{1T}^{(1)[\pm]}(x) = g_{1T}^{(1)}(x) \pm \tilde{g}_{1T}^{(1)}(x)$

**T-even:**  $h_{1L}^{(1)[\pm]}(x) = h_{1L}^{(1)}(x) \pm \tilde{h}_{1L}^{(1)}(x)$

**T-odd:**  $f_{1T}^{\perp(1)[\pm]}(x) = f_{1T}^{\perp(1)}(x) \pm \tilde{f}_{1T}^{\perp(1)}(x)$

**T-odd:**  $h_1^{\perp(1)[\pm]}(x) = h_1^{\perp(1)}(x) \pm \tilde{h}_1^{\perp(1)}(x)$

And some terms are forbidden by time-reversal!

Sivers function  $f_{1T}^{\perp(1)}(x)$  is universal to within a sign :  
see  $+f_{1T}^{\perp(1)}$  in SIDIS ...  $-f_{1T}^{\perp(1)}$  in DY

# Universality II

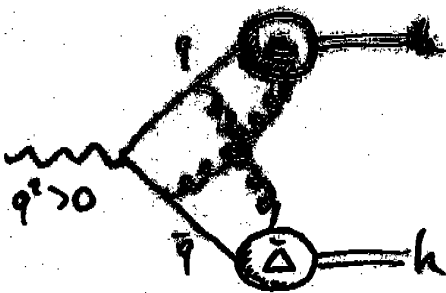
And for the fragmentation functions?

Time-reversal arguments ~~don't hold~~, since initial / final states are not the same!  $\rightarrow p_T$ -dependent fragmentation functions may not be universal ...

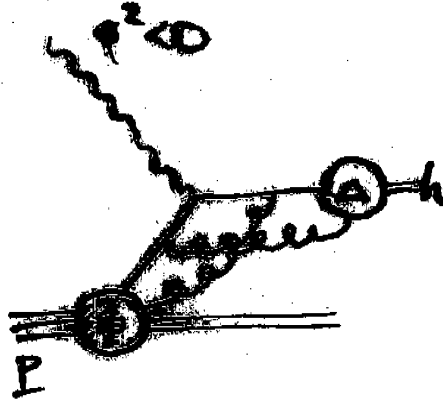
$\Rightarrow$  see plenary talk of Daniel Boer for latest news ...

## The "Big Three" Processes

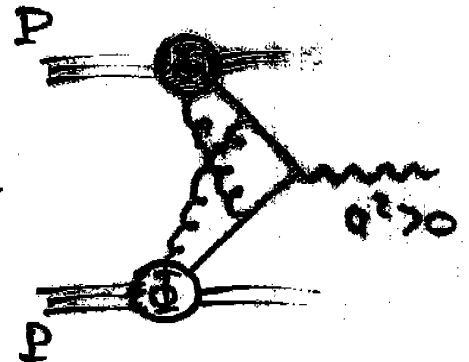
$e^+e^-$  Annihilation



SIDIS



Drell-Yan



$$\xi^- \rightarrow +\infty \quad \xi^+ \rightarrow +\infty$$

$$\bar{\Delta}_\theta^{[+]} = \bar{\Delta}_\theta + \pi \bar{\Delta}_G$$

$$\Delta_\theta^{[+]} = \Delta_\theta + \pi \Delta_G$$

$$\xi^- \rightarrow +\infty \quad \xi^+ \rightarrow -\infty$$

$$\Phi_\theta^{[+]} = \Phi_\theta + \pi \Phi_G$$

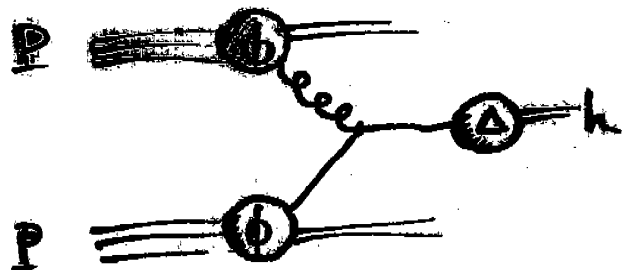
$$\Delta_\theta^{[-]} = \Delta_\theta - \pi \Delta_G$$

$$\xi^- \rightarrow -\infty \quad \xi^+ \rightarrow -\infty$$

$$\bar{\Phi}_\theta^{[-]} = \bar{\Phi}_\theta - \pi \bar{\Phi}_G$$

$$\bar{\Phi}_\theta^{[-]} = \bar{\Phi}_\theta - \pi \bar{\Phi}_G$$

Similar topology of  $\xi^\pm \rightarrow \pm\infty$   
gauge links in all three ...

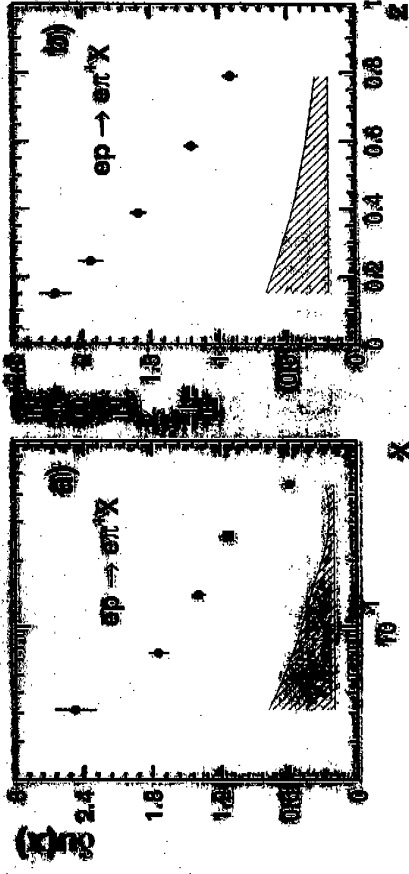


By comparison, E794 effect  $\Rightarrow$   
universality for  $\Delta_N$  from  $p^\dagger p \rightarrow \pi X$   
so far not clear at all



## Where We'll Be in 10 Years: Data on Transversity

- **HERMES**  $A_{UT}$  from  $p \rightarrow$  info on  $h_1^u(x)$   
(projection must be redone to reflect new results)
- **COMPASS**  $A_{UT}$  from  $d \rightarrow$  info on  $h_1^d(x)$
- **BELLE**  $\rightarrow$  independent  $A_{UT}$  measurement
- **PAX**  $\rightarrow h_1$  normalized point (hopefully!)



## The Need for EIC

- Anticipated measurements will only scratch the surface!
- Kinematic range to measure tensor charge  $\int_0^1 dx h_1^q(x)$
- 4 $\pi$  Acceptance: different fragmentation channels (eg.  $\pi$ -from- $\rho$  vs  $\pi$ -from- $\rho$  decay) likely have different Collins analyzing powers  
 $\rightarrow$  experiments with limited acceptance will see different mixtures of these
- Statistics to measure  $(a, z)$  dependence simultaneously, and explore full set of leading-twist distribution & fragmentation functions