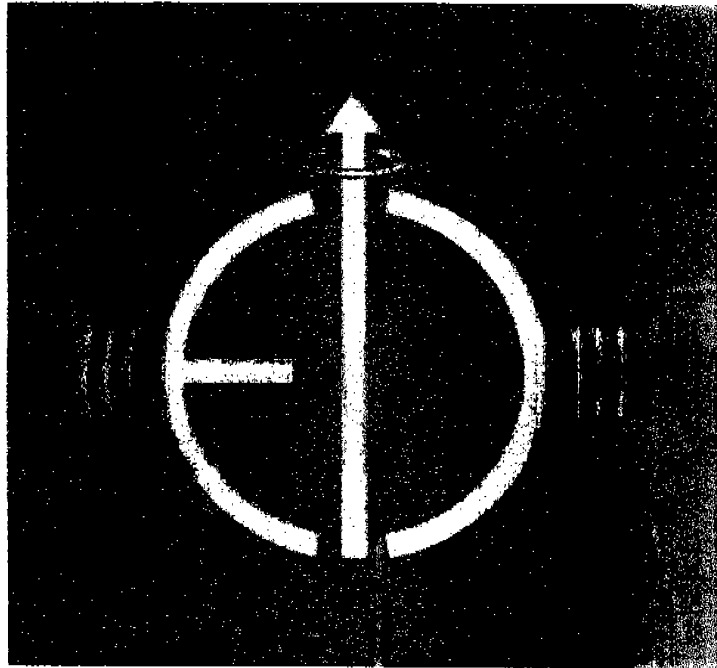


Lambda and Hyperon Physics

Naomi C.R. Makins

University of Illinois at Urbana-Champaign
EIC Workshop at JLAB, March 16, 2004

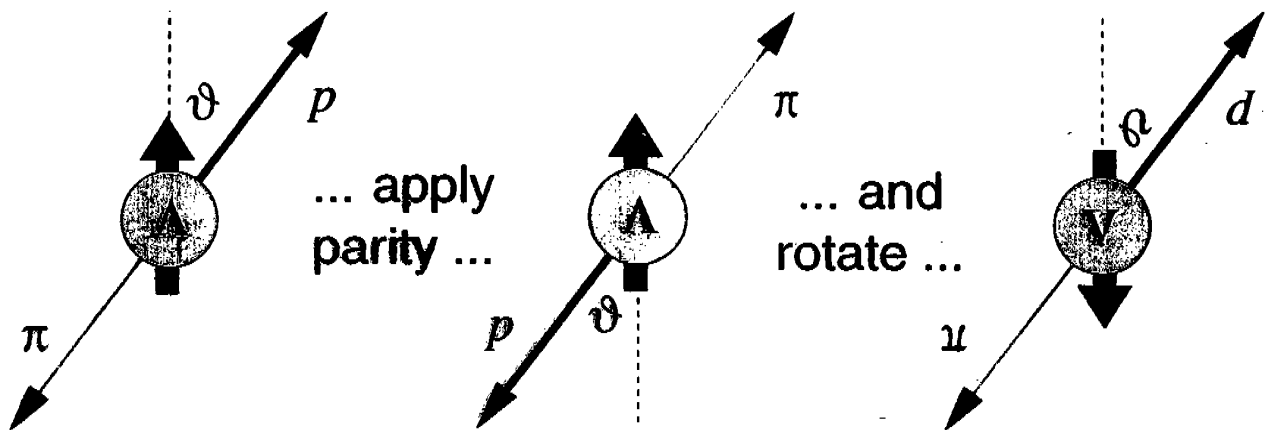


- The Λ Hyperon: a unique particle in spin physics
- Example: the spin-transfer coefficient $D_{LL'}$
- Monte Carlo model of $D_{LL'}$
- Outlook for EIC

*This talk was requested at the last minute ...
my apologies to NOMAD, COMPASS, and E665 for any
under-representation of their fine results!*

Λ: The Final State Polarimeter

In Λ rest frame, **proton** prefers to be emitted along Λ **spin direction** → **parity-violating** weak decay



$$\frac{dN}{d\Omega} \sim (1 + \alpha \cos\theta) = (1 + \alpha \cos\theta)$$

$\alpha = 0.642 \dots \theta_p$ is relative to true Λ polarization direction

Λ spin structure

	Constituent q Model			SU(3) from nucleon*		
	Δu	Δd	Δs	Δu	Δd	Δs
<i>p</i>	+4/3	-1/3	0	+0.83	-0.43	-0.10
<i>n</i>	-1/3	+4/3	0	-0.43	+0.83	-0.10

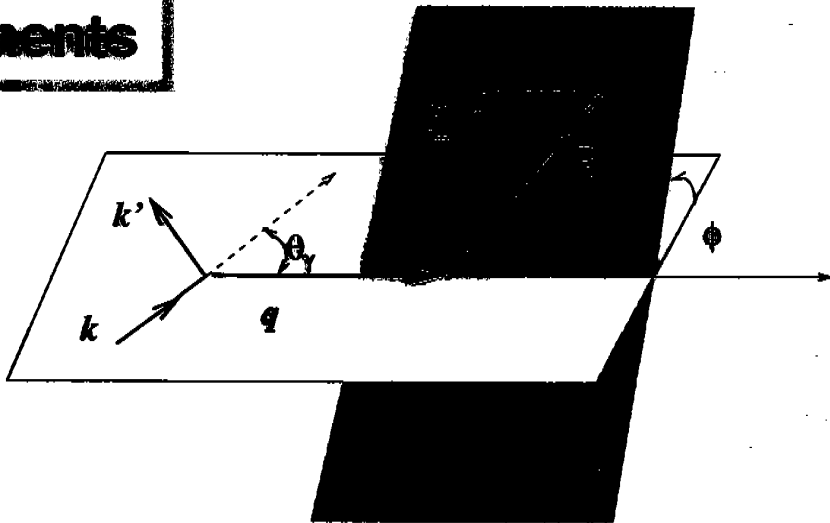
* **Burkardt & Jaffe** : use measured *p, n* values + SU(3)-symmetric flavour rotation to obtain hyperon values

Λ	0	0	1	-0.17	-0.17	+0.63
Σ ⁰	+2/3	+2/3	-1/3	+0.37	+0.37	-0.43
Ξ ⁰	-1/3	0	+4/3	-0.43	-0.10	0.83

Azimuthal Moments

“The Bible”:

Mulders & Tangerman,
PLB 461 (1996) 197



**Polarized SIDIS xsec
at leading order in $1/Q$:**

UU	1	$\otimes f_1 = \text{circle with dot}$	$\otimes D_1 = \text{circle with dot}$
	$\cos(2\phi_h^l)$	$\otimes h_1^\perp = \text{circle with dot} - \text{circle with dot}$	$\otimes H_1^\perp = \text{circle with dot} - \text{circle with dot}$

UL	$\sin(2\phi_h^l)$	$\otimes h_{1L}^\perp = \text{circle with dot} \rightarrow - \text{circle with dot} \rightarrow$	$\otimes H_1^\perp = \text{circle with dot} - \text{circle with dot}$
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UT	$\sin(\phi_h^l + \phi_S^l)$	$\otimes h_1 = \text{circle with dot} \uparrow - \text{circle with dot} \uparrow$	$\otimes H_1^\perp = \text{circle with dot} - \text{circle with dot}$
	$\sin(\phi_h^l - \phi_S^l)$	$\otimes f_{1T}^\perp = \text{circle with dot} \uparrow - \text{circle with dot} \downarrow$	$\otimes D_1 = \text{circle with dot}$
	$\sin(3\phi_h^l - \phi_S^l)$	$\otimes h_{1T}^\perp = \text{circle with dot} \uparrow - \text{circle with dot} \uparrow$	$\otimes H_1^\perp = \text{circle with dot} - \text{circle with dot}$

LL	1	$\otimes g_1 = \text{circle with dot} \rightarrow - \text{circle with dot} \rightarrow$	$\otimes D_1 = \text{circle with dot}$
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LT	$\cos(\phi_h^l - \phi_S^l)$	$\otimes g_{1T} = \text{circle with dot} \uparrow - \text{circle with dot} \uparrow$	$\otimes D_1 = \text{circle with dot}$
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Moments with Final State Polarization

Main topic of this talk – polarizing frag func D_{1T}^\perp :

UUT $\sin(\phi_h^l - \phi_{S_h}^l) \otimes f_1 = \text{circle with dot} \otimes D_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$

Spin Transfer in Fragmentation:

LUL 1 $\otimes f_1 = \text{circle with dot} \otimes G_1 = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$

ULL 1 $\otimes g_1 = \text{circle with dot and right arrow} - \text{circle with dot and left arrow} \otimes G_1 = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$

UTT $\cos(\phi_S^l + \phi_{S_h}^l) \otimes h_1 = \text{circle with dot and up arrow} - \text{circle with dot and up arrow} \otimes H_1 = \text{circle with dot and up arrow} - \text{circle with dot and up arrow}$

The rest involve T-odd distribution functions:

UUT $\sin(\phi_h^l + \phi_{S_h}^l) \otimes h_1^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \otimes H_1 = \text{circle with dot and up arrow} - \text{circle with dot and up arrow}$

$\sin(3\phi_h^l - \phi_{S_h}^l) \otimes h_1^\perp = \text{circle with dot and down arrow} - \text{circle with dot and down arrow} \otimes H_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and up arrow}$

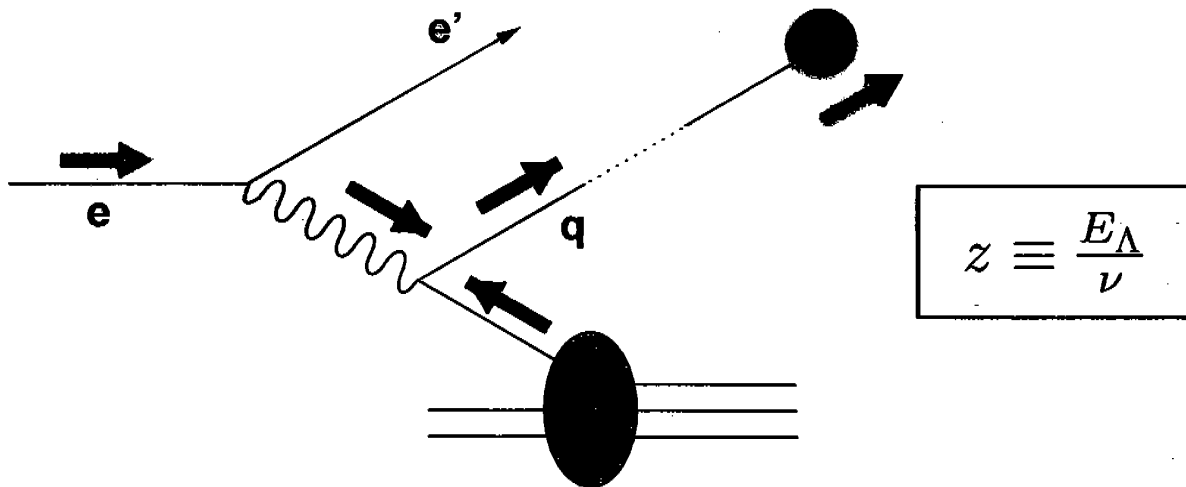
UUL $\sin(2\phi_h^l) \otimes h_1^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \otimes H_{1L}^\perp = \text{circle with dot}$

UTT $\cos(\phi_S^l - \phi_{S_h}^l) \otimes f_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \otimes D_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$

LTL $\sin(\phi_h^l + \phi_S^l) \otimes f_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \otimes G_1 = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$

LTT $\sin(\phi_S^l - \phi_{S_h}^l) \otimes f_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \otimes G_{1T} = \text{circle with dot and up arrow} - \text{circle with dot and up arrow}$

Longitudinal Λ Polarization



Using **polarized beam** and **unpolarized target**, measure **longitudinal spin transfer** in fragment Λ from **struck** $q \rightarrow \Lambda$

$$P_{\Lambda} = P_{\text{beam}} \cdot D(y) \mathbf{D}_{LL'}$$

final state
 Λ polarization

struck quark
polarization

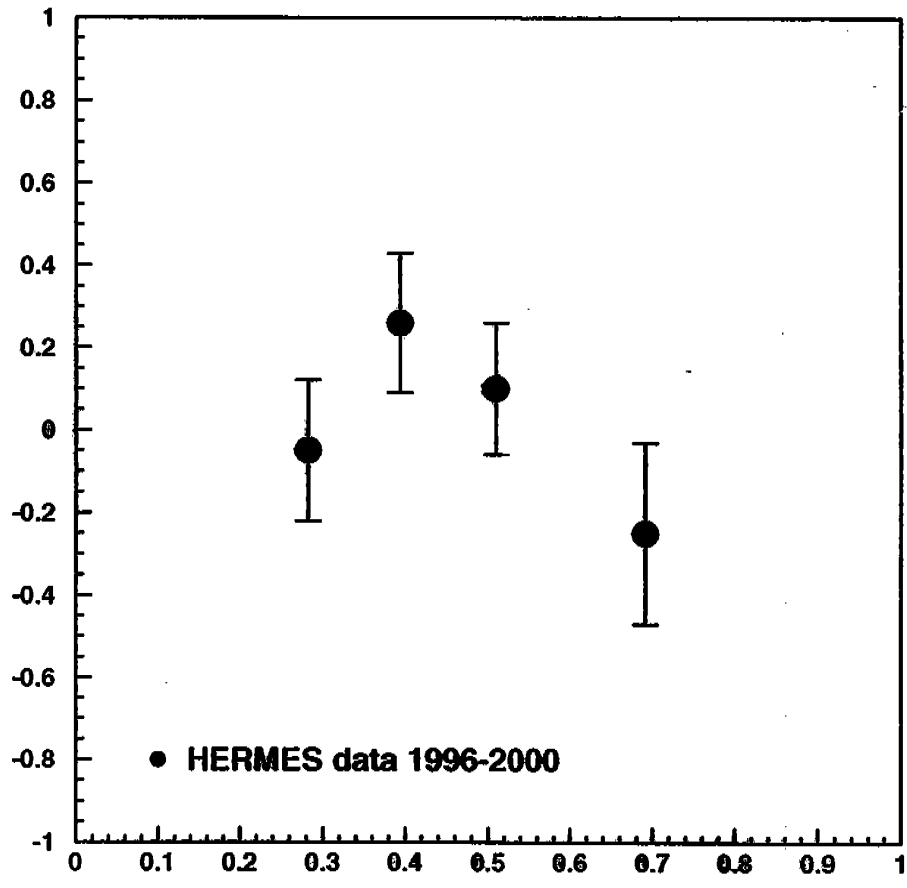
spin transfer

$$\mathbf{D}_{LL'} = \frac{\sum e_q^2 q(x) \Delta D_q^{\Lambda}(z)}{\sum e_q^2 q(x) D_q^{\Lambda}(z)} = \sum \frac{\Delta D_q^{\Lambda}(z)}{D_q^{\Lambda}(z)} \cdot \omega_q^{\Lambda}(x)$$

"purity"

Spin transfer $\mathbf{D}_{LL'}$ sensitive to

- **helicity conservation** of quarks in fragmentation
- **spin structure** Δq^{Λ} of Λ itself



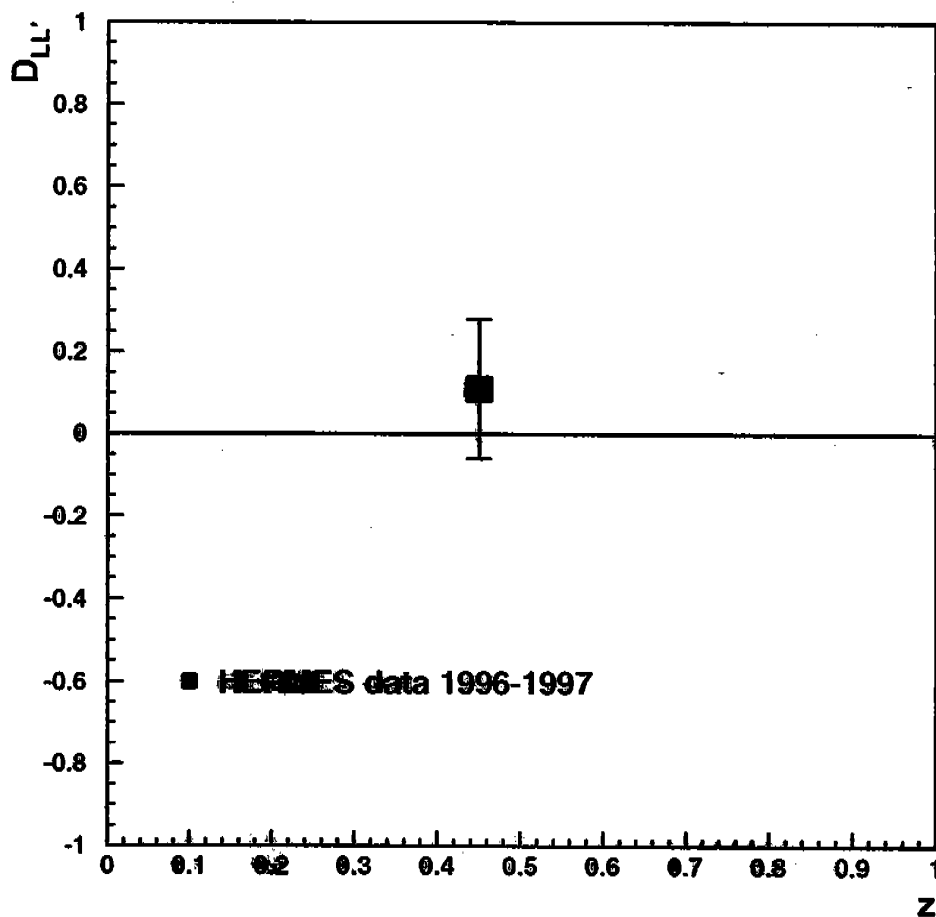
$$D_{LL'}^{\Lambda} = 0.04 \pm 0.09$$

- Spin transfer is small and appears to decrease at high z

Experimental results



Spin-transfer data



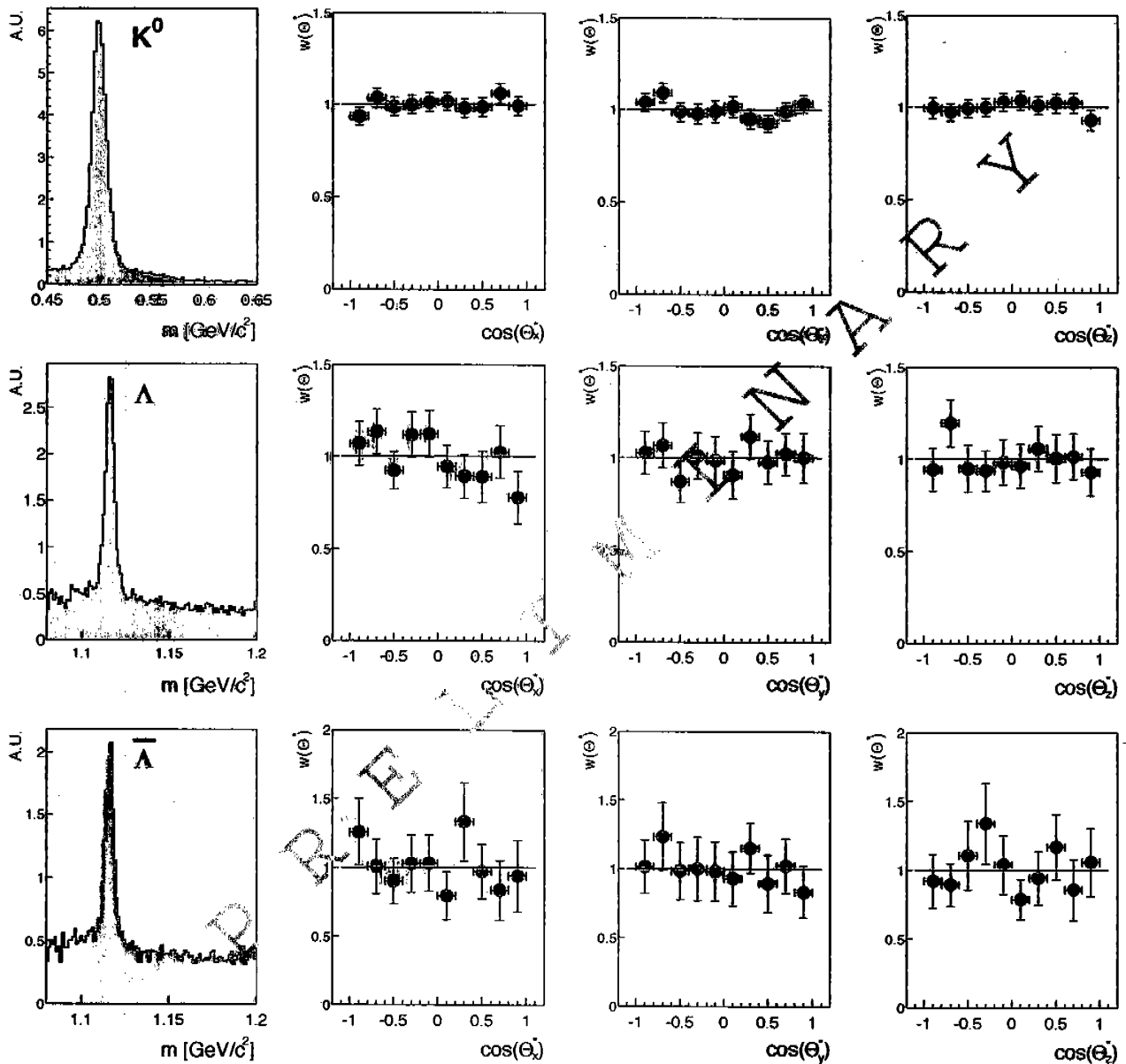
$\cos(\theta_p^\Lambda)$ Moments from COMPASS



COMPASS: polarized 160 GeV μ^+ beam on ${}^6\text{LiD}$ target

$$D_{LL'} \sim P_L^\Lambda \sim \langle \cos \theta_p \rangle$$

M.G. Sapozhnikov, SPIN 2003



COMPASS also sees a longitudinal Λ polarization consistent with zero

Model Predictions for $D_{LL'}$

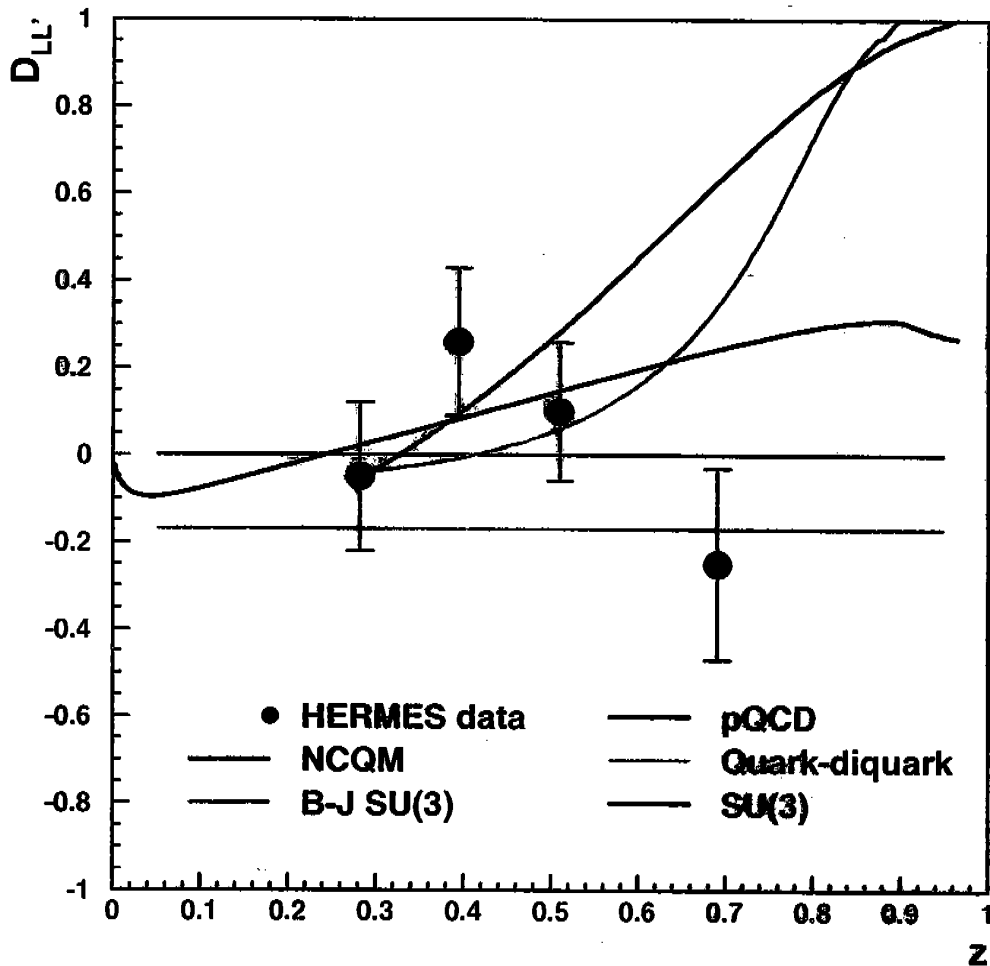


Λ production in DIS dominated by scattering from u quarks

z -independent estimates

$$D_{LL'} \approx \Delta u^\Lambda / u^\Lambda$$

- CQM : $D_{LL'} \approx 0$
- B-J SU(3) : $D_{LL'} \approx -0.17$



z -dependent estimates

Ma et al, EPJC 16 (2000) 657

- pQCD-based and ● quark-diquark models predict $\frac{\Delta q^\Lambda}{q^\Lambda} \rightarrow 1$ for all flavours \Rightarrow spin transfer should rise with z

Λ Spin Structure as $x \rightarrow 1$

• **Gribov-Lipatov relation**

$$q_h(x) \propto D_q^h(z)$$

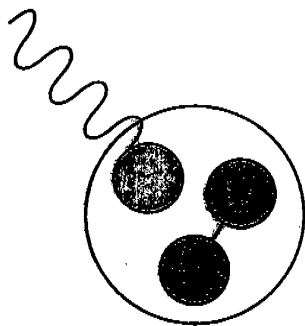
endpoint
easy to see:

$z_\Lambda \rightarrow 1$
 Λ carries all energy
 ν of struck quark



$x_\Lambda \rightarrow 1$
struck q carries all
energy of Λ

① **Quark-Diquark Model**



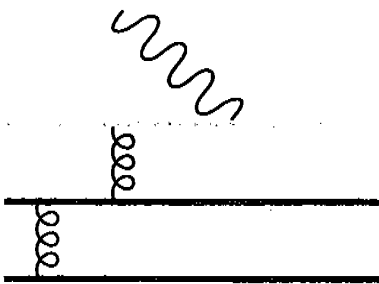
spectator diquark D in
scalar or vector state

$$\psi_D(x, k_\perp) \sim \exp - \left[\frac{1}{8\beta_D^2} \left(\frac{m_q^2 + k_\perp^2}{x} + \frac{m_D^2 + k_\perp^2}{1-x} \right) \right]$$

... as $x \rightarrow 1$, VECTOR diq configⁿ suppressed

$$\begin{array}{l} \frac{d}{u} \rightarrow 0 \quad \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4} \\ \frac{\Delta u}{u} \rightarrow 1 \quad \frac{\Delta d}{d} \rightarrow -\frac{1}{3} \end{array}$$

② **pQCD Model**



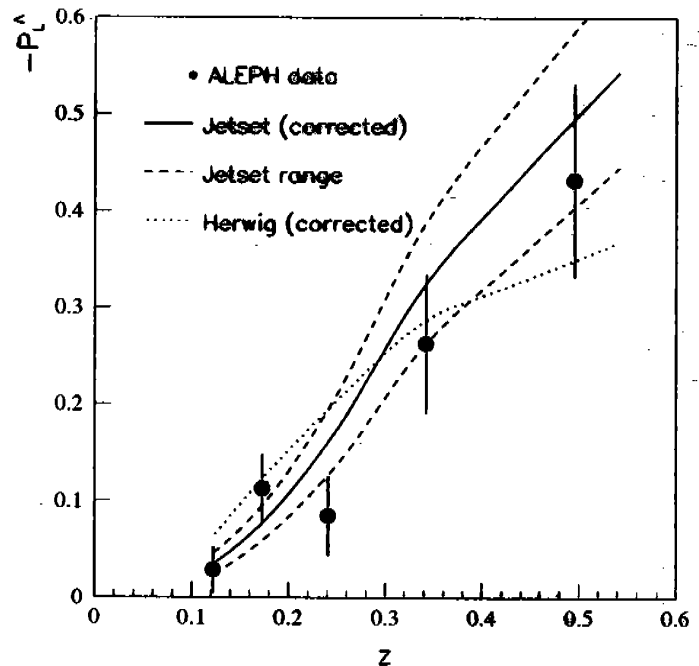
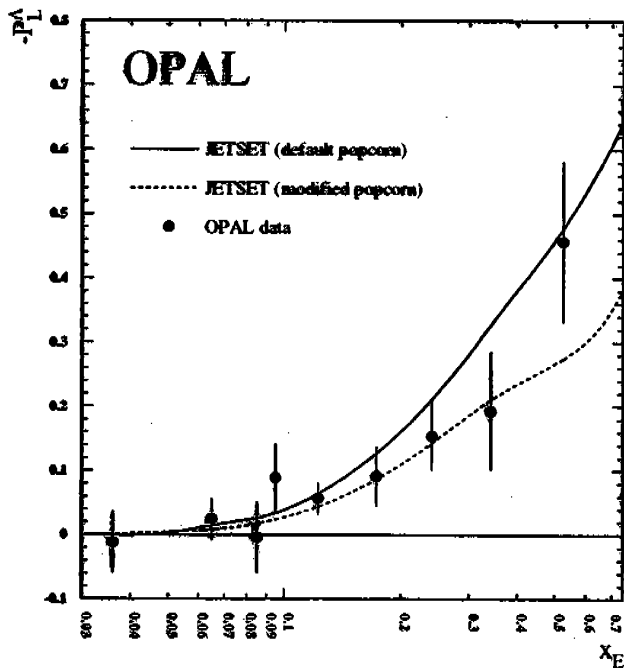
$x \rightarrow 1$ wavefn obtained from "normal" wavefn by
exchange of large invariant mass gluons from
spectator q 's ... propagators $\sim \frac{1}{p^2}$ small
 \rightarrow small couplings, perturbative methods possible

$$\frac{d}{u} \rightarrow \frac{1}{5} \text{ thus } \frac{F_2^n}{F_2^p} \rightarrow \frac{3}{7}, \frac{\Delta q}{q} \rightarrow 1 \text{ for } u \text{ and } c$$

For Λ : Both models predict $\frac{\Delta q^\Lambda}{q^\Lambda} \rightarrow 1$ for all flavours!

ALEPH and OPAL: $e^+e^- \rightarrow Z \rightarrow q\bar{q} \rightarrow \vec{\Lambda}$

Large Λ polarization observed



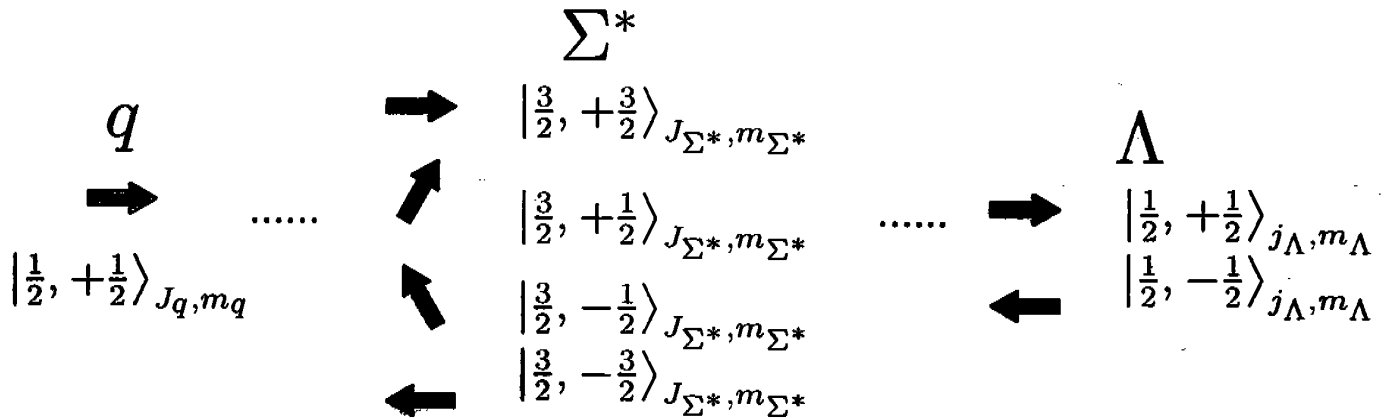
Monte Carlo Model explains data well:

- Hyperon Spin Structure: simple CQM model
- Fragmentation Process:
 - (1) Perfect **helicity conservation** of primary quark
 - (2) Quarks from string breaks **unpolarized**
- Λ 's originating from decay of Heavier Hyperons taken into account
 - ➔ only hyperons **containing** the primary, polarized quark are polarized

Modelling $D_{LL'}$

Calculate spin-ster from $q^\uparrow \rightarrow \Lambda^\uparrow$,
through decay of Σ^* , Σ^0 , Ξ

following Ashery, Lipkin, PLB 469 (1999) 263



$$\begin{aligned}
 & \text{production probability } R_{m_{\Sigma^*}}^{q^\uparrow} \quad \otimes \quad \text{decay probability } D_{m_{\Sigma^*} \rightarrow m_{\Lambda}}(m_x) \\
 &= \frac{\langle \Sigma^*; m_{\Sigma^*} | \hat{n}_q^\uparrow | \Sigma^*; m_{\Sigma^*} \rangle}{\sum_{m_{\Sigma^*}} \langle \Sigma^*; m_{\Sigma^*} | \hat{n}_q^\uparrow | \Sigma^*; m_{\Sigma^*} \rangle} \quad = |\langle J_{\Sigma^*}, m_{\Sigma^*} | m_{\Lambda} m_x \rangle|^2
 \end{aligned}$$

e.g. Polarization of Λ formed from quark q^\uparrow via Σ^* decay:

$$P_q^\Lambda = \sum_{m_{\Sigma^*}} R_{m_{\Sigma^*}}^{q^\uparrow} \sum_{m_{\Lambda}} D_{m_{\Sigma^*} \rightarrow m_{\Lambda}}(m_x) \cdot \left(\frac{m_{\Lambda}}{j_{\Lambda}} \right)$$

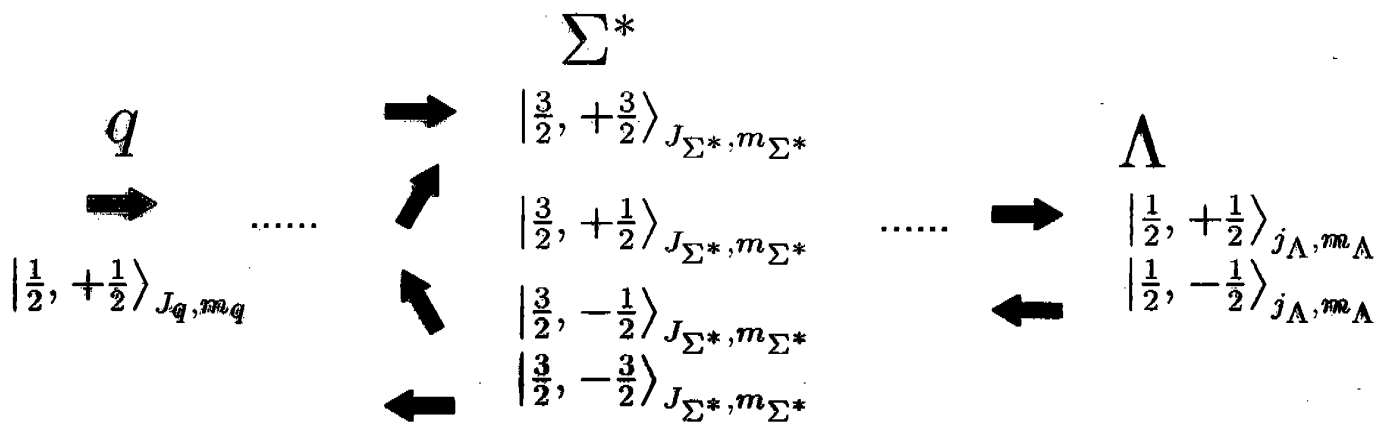
① Decay part

C-G coefficients, model-independent

$$\Sigma^* \text{ (spin-3/2)} \rightarrow \Lambda \pi \text{ (p-wave): } P^\Lambda = P^{\Sigma^*}$$

$$\Sigma^0 \rightarrow \Lambda \gamma: P^\Lambda = -\frac{1}{3} P^{\Sigma^0}$$

$$\Xi \rightarrow \Lambda \pi: P^\Lambda = P^\Xi$$



② Production part

Expectation values of number operator \hat{n}_q^{\uparrow} related to first moments of parton distributions. In hyperon Y ,

$$\langle \hat{n}_q^{\uparrow} \rangle_{m_Y = +J_Y} = \frac{q_Y + \Delta q_Y}{2}$$

$$\langle \hat{n}_q^{\uparrow} \rangle_{m_Y = -J_Y} = \langle \hat{n}_q^{\downarrow} \rangle_{m_Y = +J_Y} = \frac{q_Y - \Delta q_Y}{2}$$

... for other m_Y states, interpolate betw these limiting values

➔ Polarization of hyperon Y formed from quark q^{\uparrow} :

$$\langle P_q^Y \rangle = \sum_{m_Y} \langle \hat{n}_q^{\uparrow} \rangle_{m_Y} \cdot \left(\frac{m_Y}{J_Y} \right) = \frac{\Delta q_Y}{q_Y} \cdot \frac{1}{2J_Y + 1} \sum_{m_Y} \left(\frac{m_Y}{J_Y} \right)^2$$

for spin-1/2 baryons (Λ, Σ, Ξ)

for spin-3/2 baryons (Σ^*)

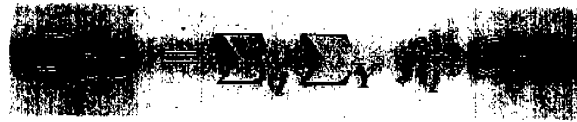
$$\langle P_q^Y \rangle = \frac{\Delta q^Y}{q^Y}$$

$$\langle P_q^Y \rangle = \frac{5}{9} \frac{\Delta q^Y}{q^Y}$$

⇒ **Polarization of a hyperon produced from a polarized quark \sim polarization of the quark in that hyperon**

Spin-Transfer Coefficients C_q^Y

Spin transfer $D_{LL'} = \Lambda$ polarization given 100% polarized struck quarks
(i.e. after γ -depolarization correction).



f_q^Y = subprocess fraction for producing Λ containing struck quark q , through parent hyperon Y

\rightarrow $q = u, d, s$ (struck quark present in top-level hyperon Y)
 $= n$ (struck quark NOT present in Y)

C_q^Y = spin-transfer coefficient for same process:

$$C_q^Y = \langle P_q^Y \rangle \cdot (\text{decay part for } Y \rightarrow \Lambda)$$

Δq^Y in Constituent Quark Model

$Y \backslash q$	Δu	Δd	Δs
Λ	0	0	+1
Σ^{*0}	+1	+1	+1
Σ^0	+2/3	+2/3	-1/3
Ξ^0	-1/3	0	+4/3

C_q^Y in Constituent Quark Model

$Y \backslash q$	u	d	s	n
Λ	0	0	+1	0
Σ^{*0}	+5/9	+5/9	+5/9	0
Σ^0	-2/9	-2/9	+1/9	0
Ξ^0	-1/3	0	+2/3	0

C_q^Y in SU(3) Symmetric Model
(Burkardt & Jaffe)

$Y \backslash q$	u	d	s	n
Λ	-0.17	-0.17	+0.63	0
Σ^{*0}	+0.13	+0.13	+0.18	0
Σ^0	-0.12	-0.12	+0.14	0
Ξ^0	-0.43	-0.10	+0.42	0

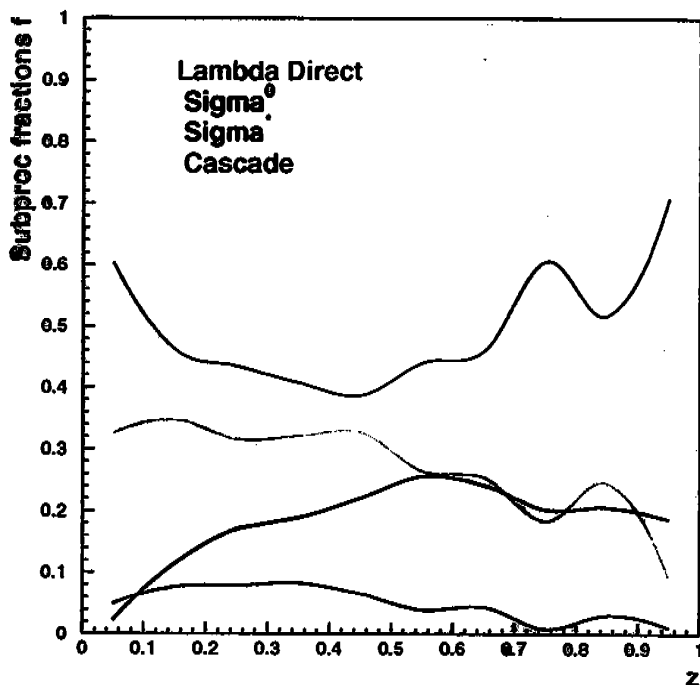
C_q^Y in SU(3) Valence Model
(Ashery & Liptán)

$Y \backslash q$	u	d	s	n
Λ	-0.07	-0.07	+0.73	0
Σ^{*0}	+0.13	+0.13	+0.18	0
Σ^0	-0.16	-0.16	+0.11	0
Ξ^0	-0.33	0.00	+0.47	0

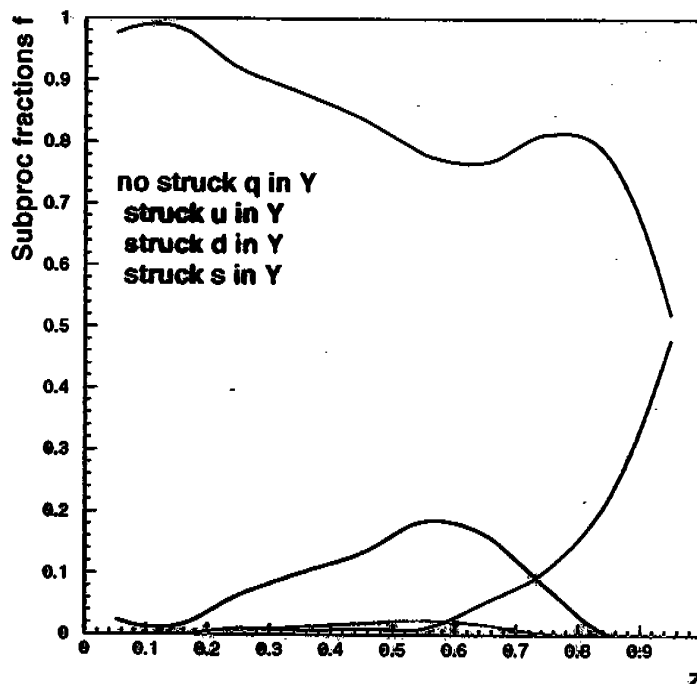
Monte carlo results



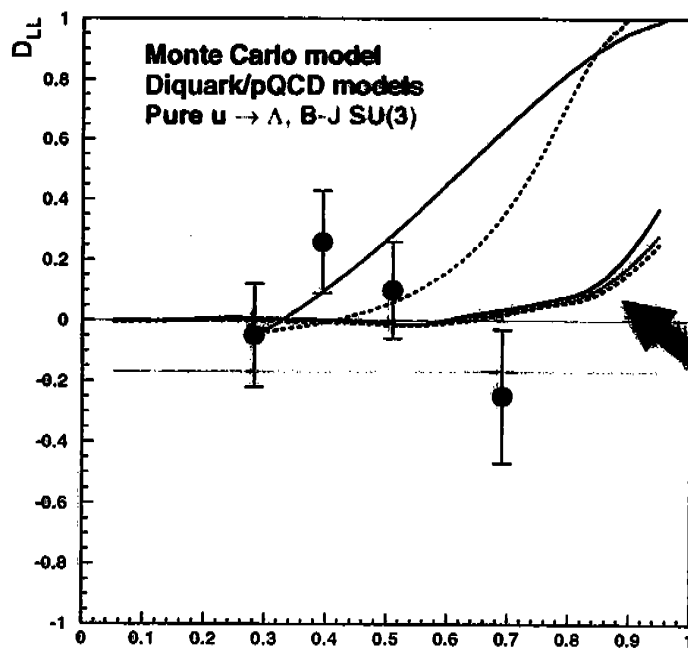
Direct sources of Λ



Struck parents of Λ



Final spin-transfer models

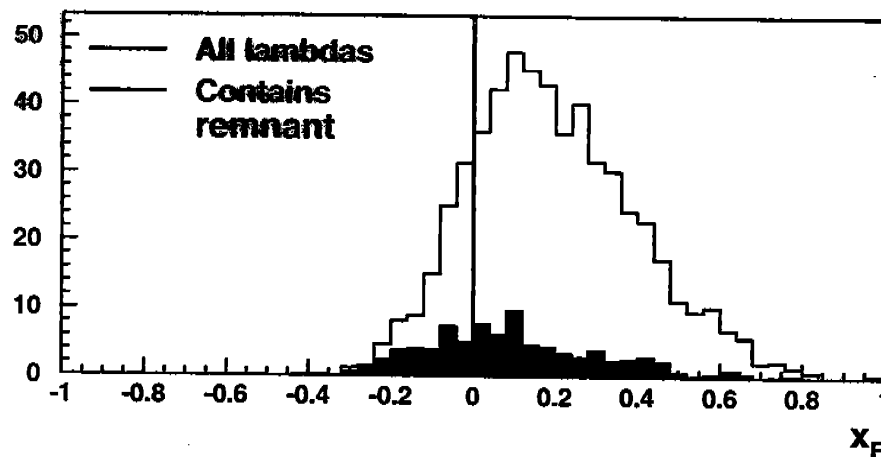
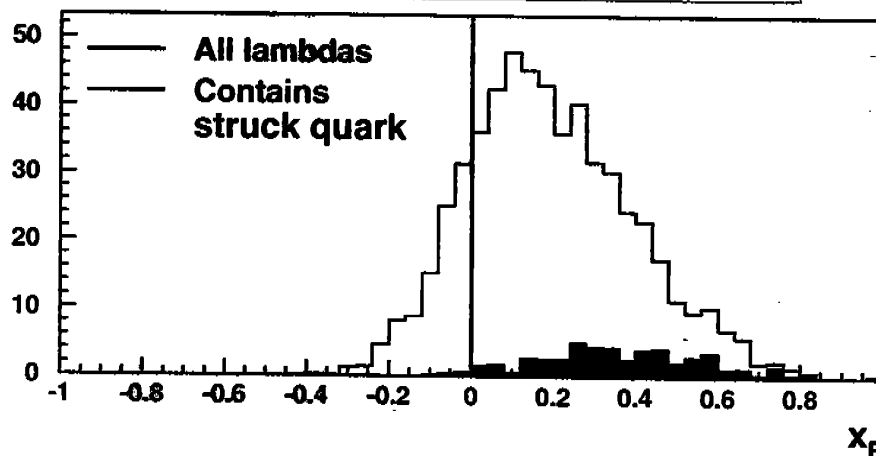


- Significant contribution from heavy hyperon decays: 40% – 60% of all lambdas
- Very few lambdas contain struck quark: about 10% on average!
- Spin-structure models are relatively unimportant in comparison with monte carlo subprocess fractions

Λ 's from target remnant



Lambda x_F distributions

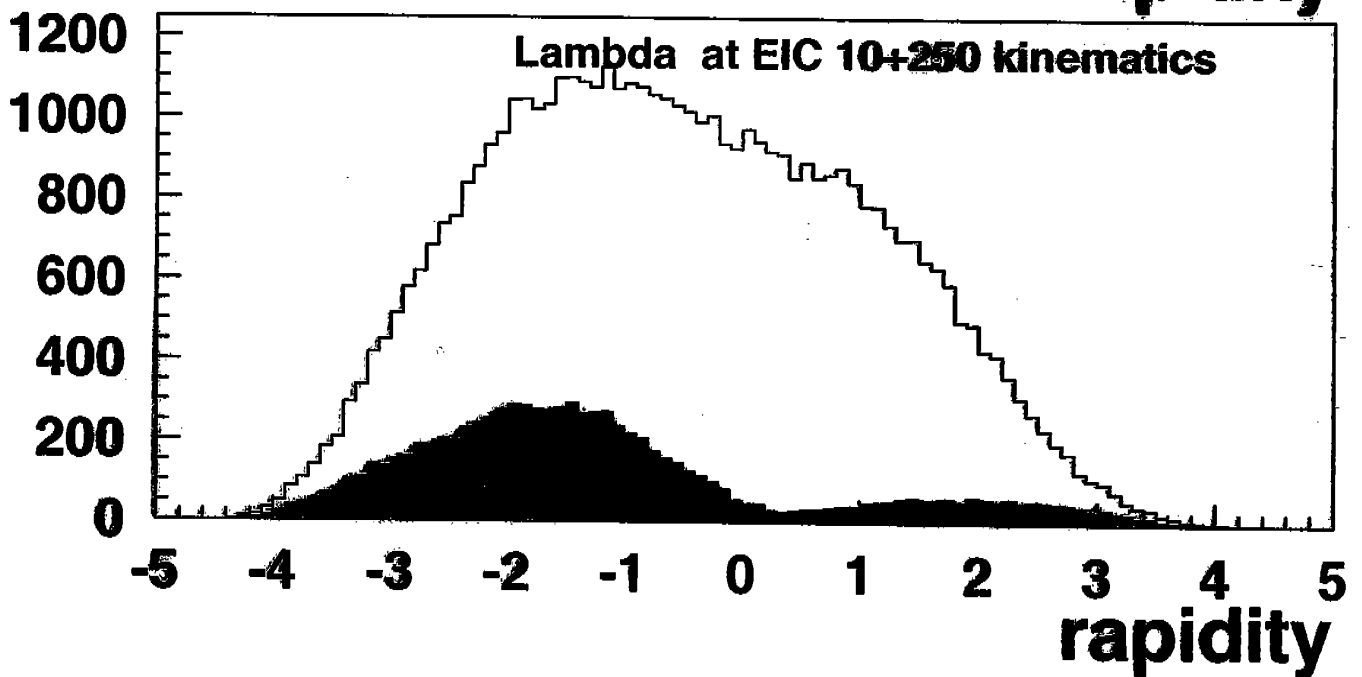
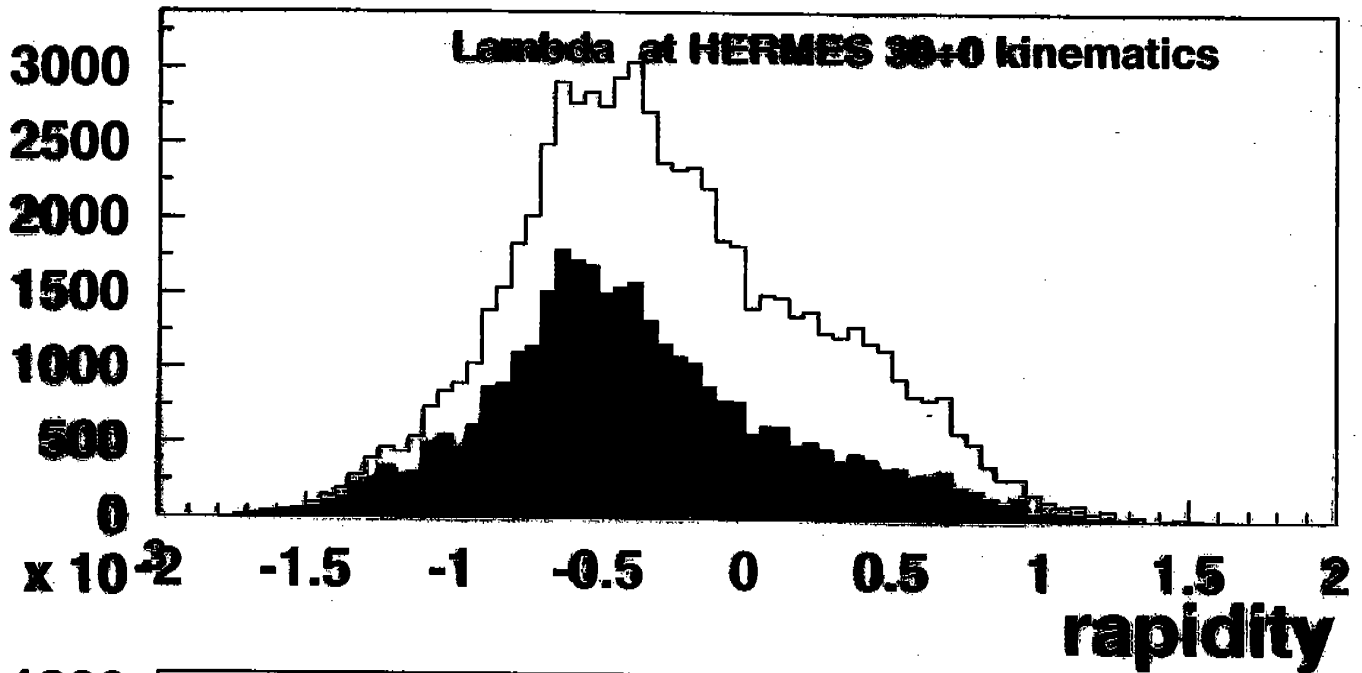


- Most Λ 's from struck quark are produced in forward region ($x_F > 0$)
- Significant number of Λ 's from target remnant also have $x_F > 0$!!
- Lambda production mechanisms are complicated by influence of target remnant

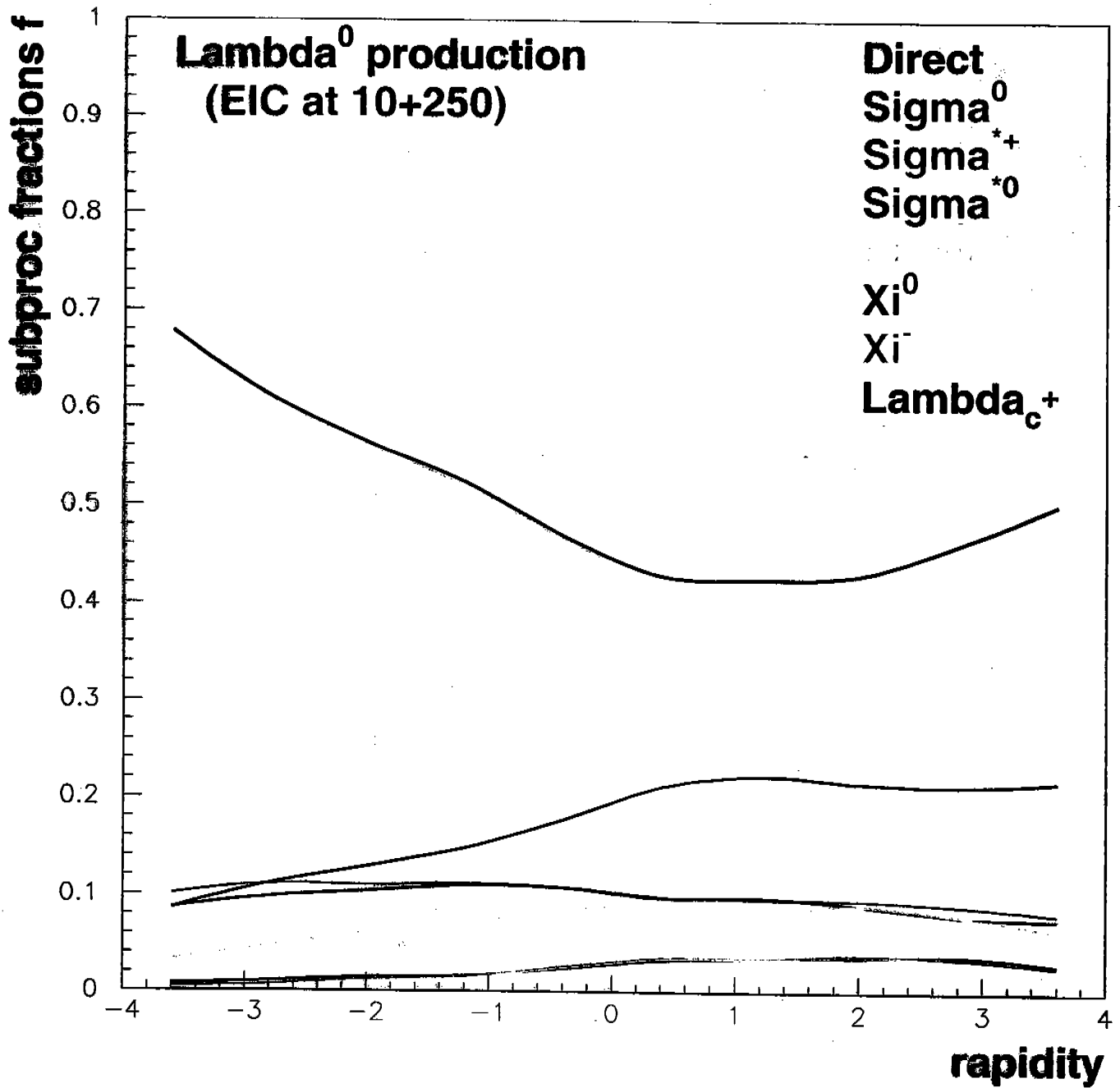
Current vs Target Region at EIC

Red = contains struck quark ... Blue = contains remnant

$\times 10^3$

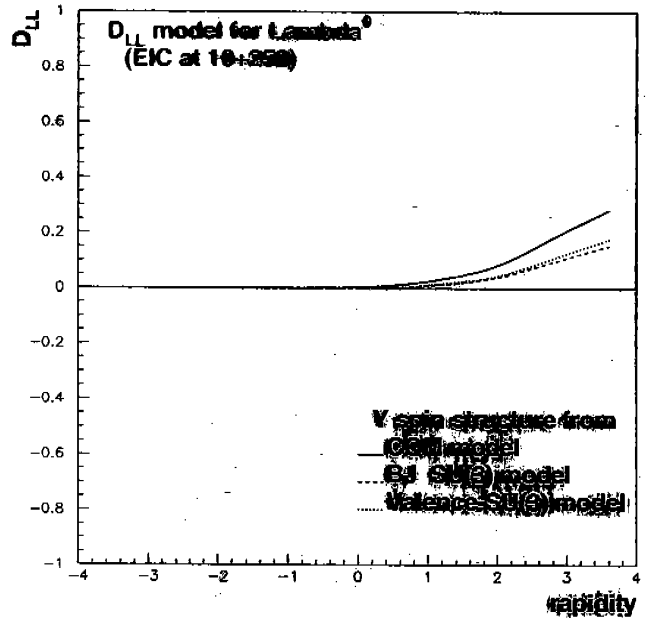
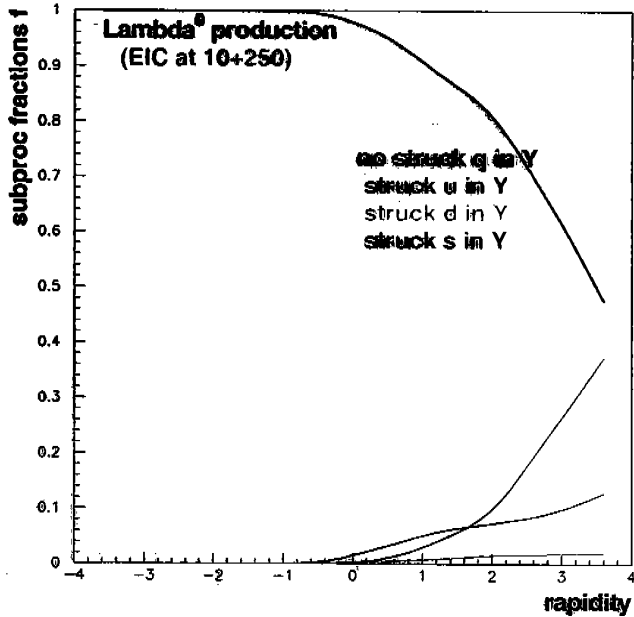


Origin of Δ 's at EIC Kinematics

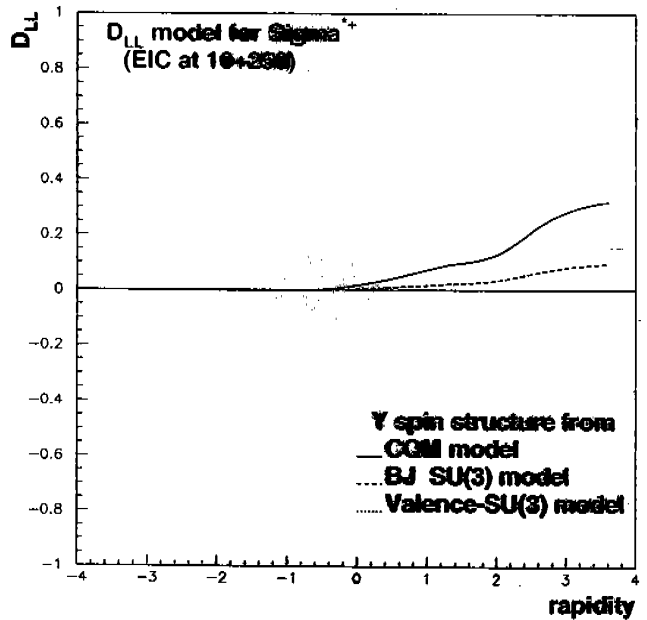
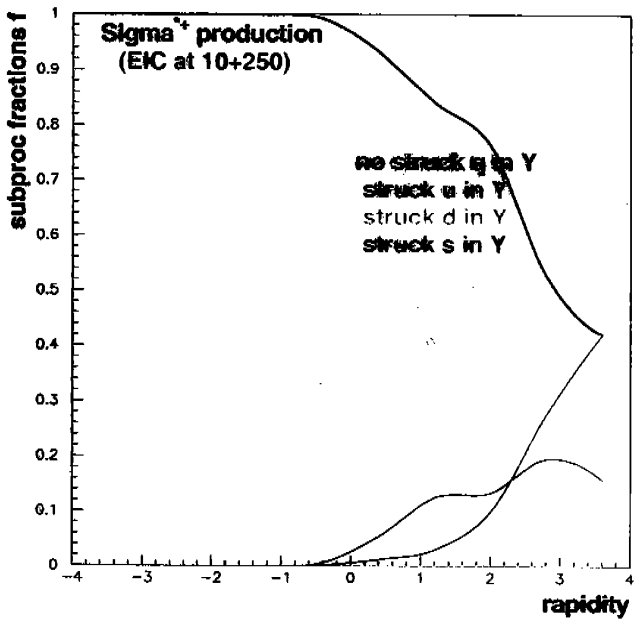


D_{LL} Model at EIC Kinematics

Λ Production

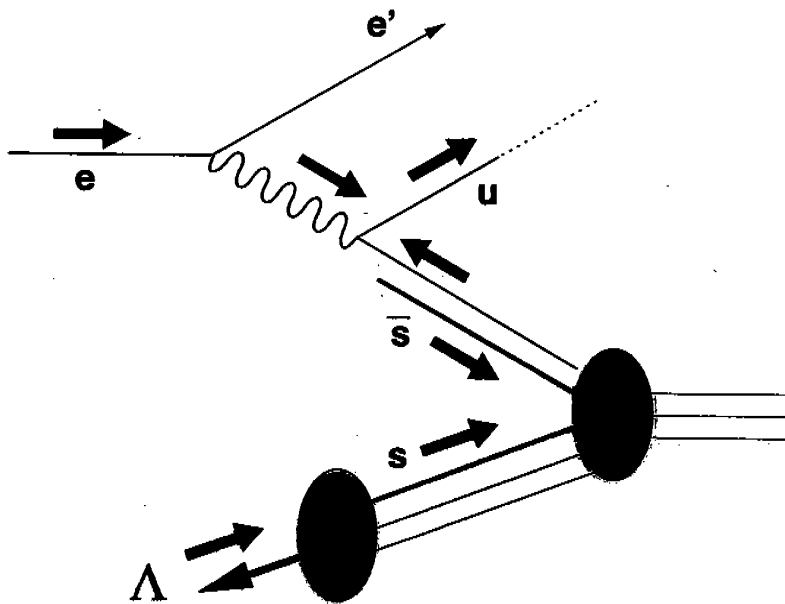


Σ^{*+} Production

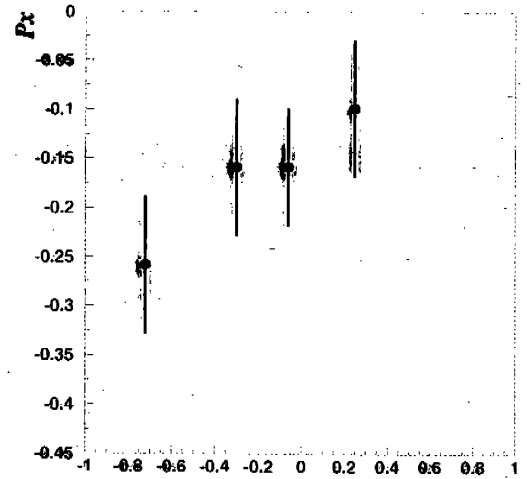


Must see if there's enough /underlinerate at high η

Λ Polarization at $x_F < 0$: Δs in proton



NOMAD, $E_\nu = 43$ GeV



$\Rightarrow D_{LL'} < 0$ at $x_F < 0$

Model of Ellis, Kharzeev, Kotzinian

ZPC(69)(11996):467

- polarized \bar{e} beam fixes struck u spin
- struck u and remnant \bar{s} are in spin-singlet state
(attractive channel in QCD)
- $s\bar{s}$ pairs from proton sea are in 3P_0 state
(to get vacuum quantum numbers $J^{PC} = 0^{++}$)
- Λ spin is carried by s quark

... but with polarized beam and target ...

P_Λ directly sensitive to s -quark spin, and \therefore to Δs in proton

$$P_\Lambda = \frac{\sum_i e_i^2 [P_T \Delta q_i(x) - P_B D(y) q_i(x)] c_{sq}}{\sum_i e_i^2 [q_i(x) - P_B P_T D(y) \Delta q_i(x)]}$$

Conclusions

- Spin transfer ≈ 0 observed at fixed-target DIS expts:

$$D_{\Lambda}^{\Lambda} = 0.01 \pm 0.01 \quad \text{at } x_F > 0 \text{ from HERMES}$$

➡ Consistent with no z -dependence from $z = 0.2 \rightarrow 0.7$

➡ Similar results from COMPASS

- Significant contribution from heavier hyperon decays (about 40 - 60%)

- Very few Λ 's contain struck quark (about 10%); explains small spin transfer, in one model

- Even at $x_F > 0$, many Λ 's contain target remnant

➡ can't use Λ as "polarimeter" for initial-state quark spin in intermediate-energy DIS

➡ CAN use Λ to explore target fragmentation region ... possible sensitivity to Δ_s ?

- Very different situation at EIC : high statistics, energy, and acceptance will make hyperon polarization useful as a final-state polarimeter in DIS!