

# Few-Body Physics with Relation to Neutrinos

Saori Pastore  
HUGS Summer School  
Jefferson Lab - Newport News VA, June 2018



Thanks to the Organizers

# Neutrinos (Fundamental Symmetries) and Nuclei

## Topics (5 hours)

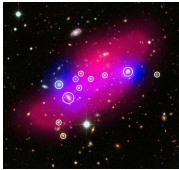
- \* Nuclear Theory for the Neutrino Experimental Program
- \* Microscopic (or *ab initio*) Description of Nuclei
- \* “Realistic” Models of Two- and Three-Nucleon Interactions
- \* “Realistic” Models of Many-Body Nuclear Electroweak Currents
- \* Short-range Structure of Nuclei and Nuclear Correlations
- \* Quasi-Elastic Electron and Neutrino Scattering off Nuclei
- \* Validation of the theory against available data



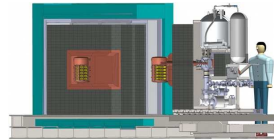
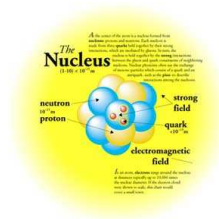
## Nuclear Physics for the Experimental Neutrino Program

# Understand Nuclei to Understand the Cosmos

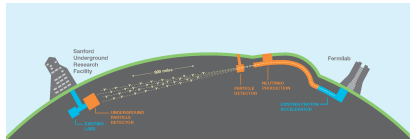
## Jefferson Lab



ESA, XMM-Newton, Gastaldello, CFHTL



Majorana Demonstrator



LBNF

## (Some) Neutrino's Facts

1930 Pauli postulates the existence of an undetected particle to preserve energy/momentum conservation in  $\beta$ -decay



Wolfgang Pauli



Enrico Fermi

1934 Fermi develops the theory for beta-decay and names the new particle “neutrino”

1956 Neutrinos are detected by Reines and Cowan at Savannah River!



Fred Reines

Clyde Cowan

# The Standard Model

	mass $\approx 2.4 \text{ MeV}/c^2$ charge $2/3$ spin $1/2$	$\approx 1.275 \text{ GeV}/c^2$ $2/3$ $1/2$	$\approx 172.44 \text{ GeV}/c^2$ $2/3$ $1/2$	$0$ $0$ $1$	$\approx 125.09 \text{ GeV}/c^2$ $0$ $0$
	u up	c charm	t top	g gluon	H Higgs
QUARKS	$\approx 4.8 \text{ MeV}/c^2$ $-1/3$ $1/2$	$\approx 95 \text{ MeV}/c^2$ $-1/3$ $1/2$	$\approx 4.18 \text{ GeV}/c^2$ $-1/3$ $1/2$	$0$ $0$ $1$	
	d down	s strange	b bottom	$\gamma$ photon	
	$\approx 0.511 \text{ MeV}/c^2$ $-1$ $1/2$	$\approx 105.67 \text{ MeV}/c^2$ $-1$ $1/2$	$\approx 1.7768 \text{ GeV}/c^2$ $-1$ $1/2$	$0$ $0$ $1$	
	e electron	$\mu$ muon	$\tau$ tau	Z Z boson	
LEPTONS	$\approx 2.2 \text{ eV}/c^2$ $0$ $1/2$	$\approx 1.7 \text{ MeV}/c^2$ $0$ $1/2$	$\approx 13.5 \text{ MeV}/c^2$ $0$ $1/2$	$\approx 80.39 \text{ GeV}/c^2$ $\pm 1$ $1$	
	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	W W boson	
					GAUGE BOSONS
					SCALAR BOSONS

Wikipedia

Neutrinos *i*) are chargeless elementary particles; *ii*) come in 3 flavors  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ ; *iii*) only interact via the weak interaction ( $10^{-4}$  EM and  $10^{-9}$  Strong)

the Sun is a huge source of  $\nu$ 's on Earth, every sec  $\sim 10^{11}$  solar  $\nu$ 's cross 1  $\text{cm}^2$

The Standard Model says neutrinos are massless... to be continued

## A Happy Ending Neutrino Tale

1968 Solar Neutrino Problem:

only 1/3 of the solar  $\nu_e$  neutrinos predicted by the Standard Solar Model of Bahcall is observed by Davis



Ray Davis and John Bahcall, 1964



Bruno Pontecorvo

1968 Pontecorvo's idea:

neutrinos oscillate between flavors, *e.g.*, electron neutrinos change into muon neutrinos

since the '80 Underground atmospheric neutrino experiments demonstrated that neutrinos oscillate. Measurements of solar neutrinos of **all** flavors are in excellent agreement with the Standard Solar Model prediction! Go Bahcall!

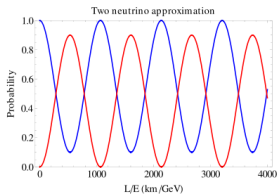


Takaaki Kajita and Art McDonald

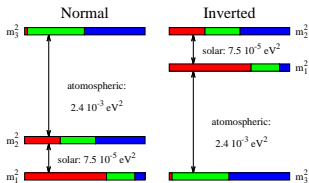
\* 2016 APS April meeting talks by Kajita and McDonald <https://meetings.aps.org/Meeting/APR16/Session/Q1> plus a book on neutrino's history "Neutrino" by Frank Close 2010 Oxford University Press

# Fundamental Physics Quests I: Neutrino Oscillation

neutrinos oscillate  
 →  
 they have tiny masses  
 =  
 BSM physics  
 Beyond the Standard Model



Wikipedia



■  $\nu_e$     ■  $\nu_\mu$     ■  $\nu_\tau$   
 JUNO coll. - J.Phys.G43(2016)030401

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta \sin^2 \left( \frac{(m_2^2 - m_1^2)L}{2E_\nu} \right)$$

Simplified 2 flavors picture:

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \end{pmatrix}$$

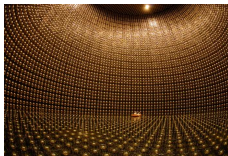
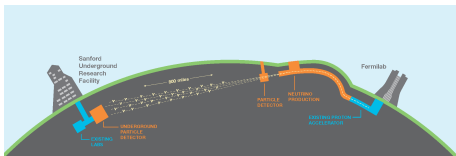
with  $|\nu_1\rangle$  and  $|\nu_2\rangle$  mass-eigenstates

\* Unknown \*

$\nu$ -mass hierarchy, CP-violation, accurate mixing angles, Majorana vs Dirac  $\nu$



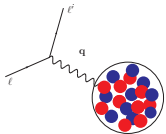
# Nuclei for Accelerator Neutrinos' Experiments



LBNF

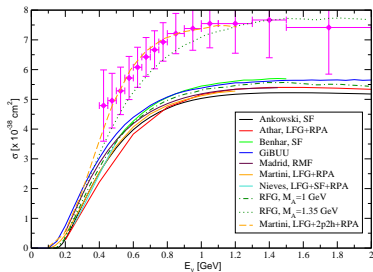
T2K

## Neutrino-Nucleus scattering



$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m_{21}^2 L}{2E_\nu} \right)$$

CCQE on  $^{12}\text{C}$



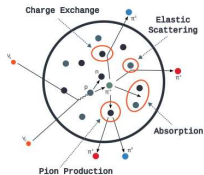
Alvarez-Ruso [arXiv:1012.3871](https://arxiv.org/abs/1012.3871)

\* Nuclei of  $^{12}\text{C}$ ,  $^{40}\text{Ar}$ ,  $^{16}\text{O}$ ,  $^{56}\text{Fe}$ , ... \*

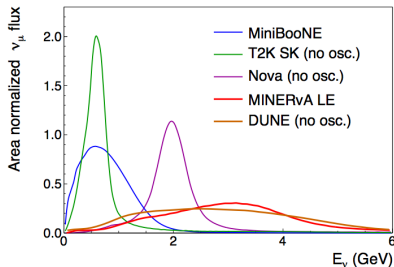
are the DUNE, MiniBoone, T2K, Minerva ... detectors' active material

# Nuclei for Accelerator Neutrinos' Experiments: More in Detail

## Neutrino Flux



Tomasz Golan



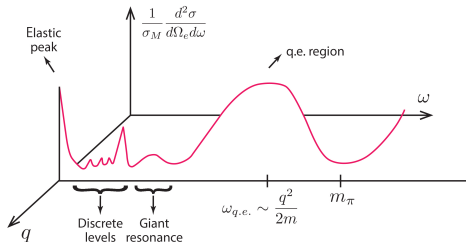
Phil Rodrigues

- \* Oscillation Probabilities depend on the initial neutrino energy  $E_{\nu}$
- \* Neutrinos are produced via decay-processes,  $E_{\nu}$  is unknown!

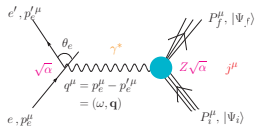
$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m_{21}^2 L}{2E_{\nu}} \right)$$

- \*  $E_{\nu}$  is reconstructed from the final state observed in the detector
- \* !! Accurate theoretical neutrino-nucleus cross sections are vital !!  
to  $E_{\nu}$  reconstruction

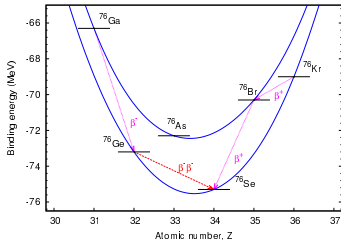
## Nuclei for Accelerator Neutrinos' Experiments: Kinematics



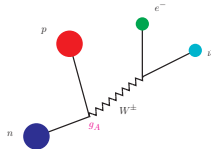
- \* probe's spatial resolution  $\propto 1/|\mathbf{q}|$
- \*  $\omega \sim \text{few MeV}, q \sim 0$ : EM decay,  $\beta$ -decay,  $\beta\beta$ -decays
- \*  $\omega \lesssim \text{tens MeV}$ : Nuclear Rates for Astrophysics
- $\Rightarrow \omega \sim 10^2 \text{ MeV}$ : Accelerator neutrinos,  $\nu$ -nucleus scattering  $\Leftarrow$



# Standard Single and Double Beta Decays



J. Menéndez - arXiv:1703.08921v1

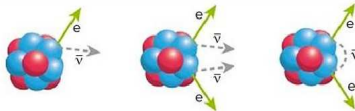


Maria Geopert-Mayer

single beta decay:  $(Z, N) \rightarrow (Z + 1, N - 1) + e + \bar{\nu}_e$

double beta decay:  $(Z, N) \rightarrow (Z + 2, N - 2) + 2e + 2\bar{\nu}_e$

lepton #  $L = l - \bar{l}$  is conserved

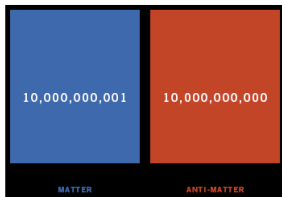


Standard  $\beta$  Decay

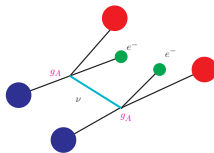
Double  $\beta$  Decay

Neutrinoless Double  $\beta$  Decay

# Fundamental Physics Quests II: Neutrinoless Double Beta Decay



H. Murayama

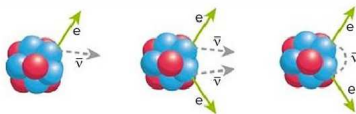


Ettore Majorana

$0\nu\beta\beta$  neutrinoless double beta decay

$$(Z, N) \rightarrow (Z+2, N-2) + 2e$$

lepton #  $L = l - \bar{l}$  is not conserved

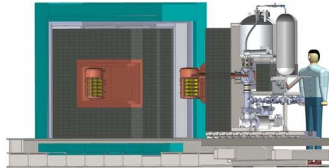
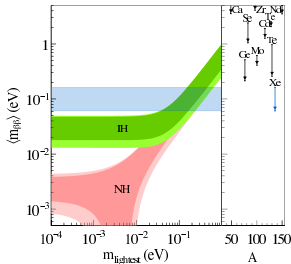


Standard  $\beta$  Decay

Double  $\beta$  Decay

Neutrinoless Double  $\beta$  Decay

# Nuclear Physics for Neutrinoless Double Beta Decay Searches

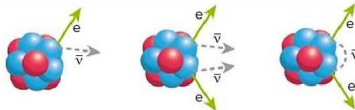


Majorana Demonstrator

J. Engel and J. Menéndez - arXiv:1610.06548

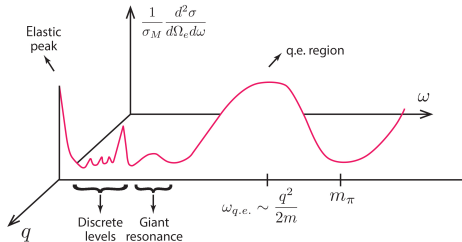
$0\nu\beta\beta$ -decay  $\tau_{1/2} \gtrsim 10^{25}$  years (age of the universe  $1.4 \times 10^{10}$  years)  
 need 1 ton of material to see (if any)  $\sim 5$  decays per year

\* Decay Rate  $\propto$  (nuclear matrix elements) $^2 \times \langle m_{\beta\beta} \rangle^2$  \*

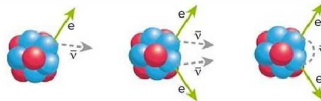


Standard  $\beta$  Decay    Double  $\beta$  Decay    Neutrinoless Double  $\beta$  Decay

# Nuclear Physics for Neutrinoless Double Beta Decay: Kinematics

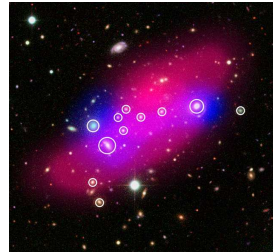
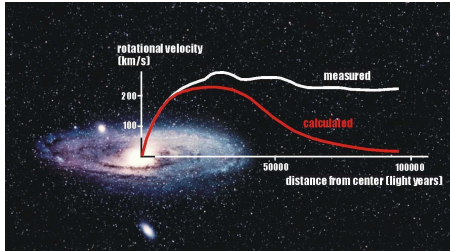


- ⇒  $\omega \sim \text{few MeV}, q \sim 0$ : EM decay,  $\beta$ -decay,  $\beta\beta$ -decays ⇐
- ⇒  $\omega \sim \text{few MeV}, q \sim \text{hundreds of MeVs}$ :  $0\nu\beta\beta$ -decays ⇐
- \*  $\omega \sim 10^2 \text{ MeV}$ : Accelerator neutrinos,  $\nu$ -nucleus scattering



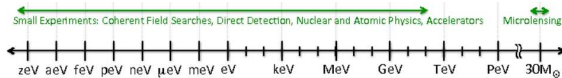
Standard  $\beta$  Decay      Double  $\beta$  Decay      Neutrinoless Double  $\beta$  Decay

# Fundamental Physics Quests III: Dark Matter



ESA, XMM-Newton, Galdello, CFHTL

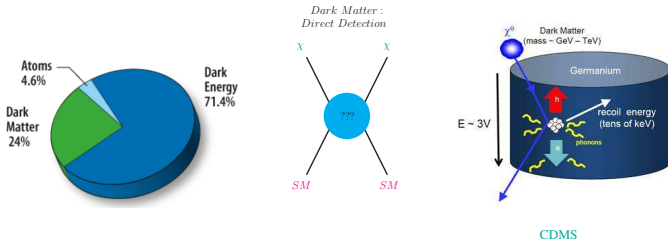
## Dark Matter Candidates



US Cosmic Vision 2017 arXiv:1707.04591



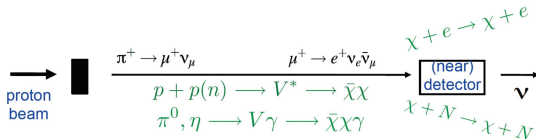
# Dark Matter Direct Detection with Nuclei



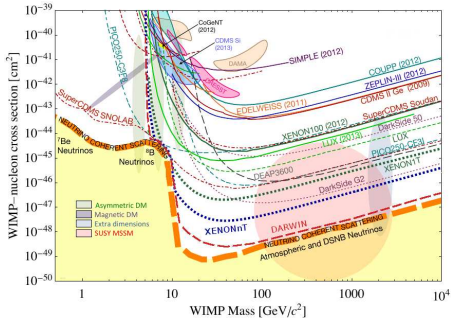
Dark Matter Beam Production and Direct detection:

$$\chi + A \rightarrow \chi + A$$

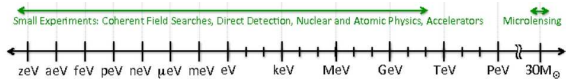
Dark Matter is detected via scattering on nuclei in the detector  
 Couplings of Sub-GeV Dark Matter requires knowledge of **nuclear responses**



# Dark Matter Direct Detection with Nuclei

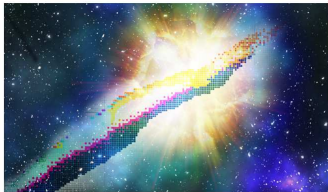


L. Baudis Phys.Dark Univ. 4 (2014) 50 adapted from P. Cushman *et al.* FERMILAB-CONF13688AE (2013)



US Cosmic Vision 2017 arXiv:1707.04591

## Impact on Astrophysics



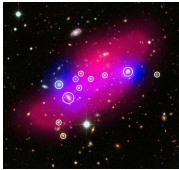
NASA

- \* Neutrinos and nuclei in dense environments \*
- \* Weak reactions and astrophysical modeling \*

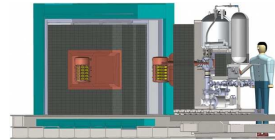
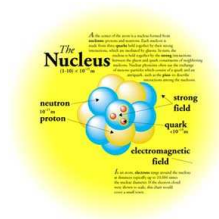


# Understand Nuclei to Understand the Cosmos

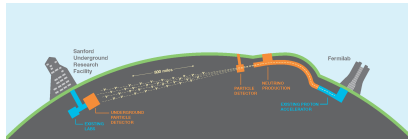
## Jefferson Lab



ESA, XMM-Newton, Gastaldello, CFHTL



Majorana Demonstrator

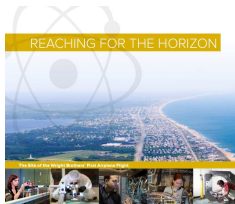


LBNF

## The Science Questions

... overarching questions “that are central to the field as a whole, that reach out to other areas of science, and that together animate nuclear physics today:

1. How did visible matter come into being and how does it evolve?
2. How does subatomic matter organize itself and what phenomena emerge?
3. Are the fundamental interactions that are basic to the structure of matter fully understood?
4. How can the knowledge and technical progress provided by nuclear physics best be used to benefit society? ”



The 2015  
LONG RANGE PLAN  
for NUCLEAR SCIENCE

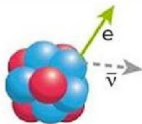


# Fundamental Physics Quests rely on Nuclear Physics

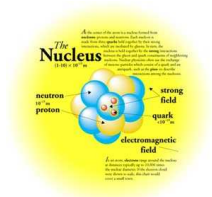
- \* An **accurate** understanding of **nuclear structure and dynamics** is required to extract new physics from nuclear effects \*

## Outline

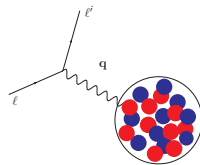
### Decays



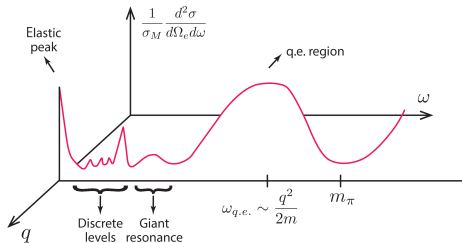
### Energies and Structure



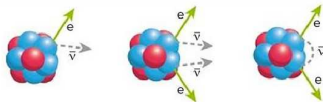
### Scattering



# Nuclear Structure and Dynamics



- \*  $\omega \sim \text{few MeV}, q \sim 0$ : EM decay,  $\beta$ -decay,  $\beta\beta$ -decays
- \*  $\omega \lesssim \text{tens MeV}$ : Nuclear Rates for Astrophysics
- \*  $\omega \sim 10^2 \text{ MeV}$ : Accelerator neutrinos,  $\nu$ -nucleus scattering

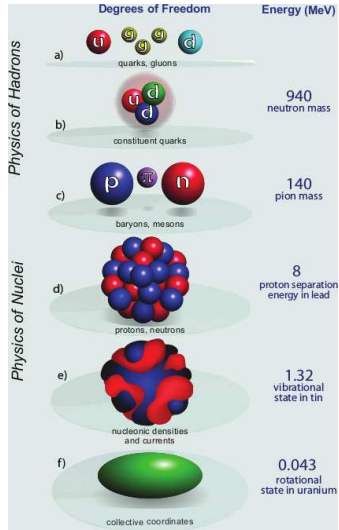


Standard  $\beta$  Decay

Double  $\beta$  Decay

Neutrinoless Double  $\beta$  Decay

# Scales and Models



2007 Long Range Plane for Nuclear Physics



## Reading Material

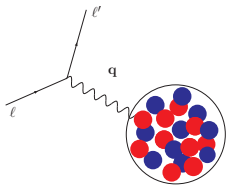
### \* On line material \*

- \* Notes from Prof Rocco Schiavilla (for personal use only)  
<https://indico.fnal.gov/event/8047/material/0/0>
- \* Notes from Prof Luca Girlanda (for personal use only)  
[http://chimera.roma1.infn.it/OMAR/ECTSTAR\\_DTP/girlanda/lez1.pdf](http://chimera.roma1.infn.it/OMAR/ECTSTAR_DTP/girlanda/lez1.pdf)  
[http://chimera.roma1.infn.it/OMAR/ECTSTAR\\_DTP/girlanda/lez2.pdf](http://chimera.roma1.infn.it/OMAR/ECTSTAR_DTP/girlanda/lez2.pdf)  
[http://chimera.roma1.infn.it/OMAR/ECTSTAR\\_DTP/girlanda/lez3.pdf](http://chimera.roma1.infn.it/OMAR/ECTSTAR_DTP/girlanda/lez3.pdf)
- \* Review Articles on *Ab initio* calculations of electromagnetic properties of light nuclei
  - \* Carlson & Schiavilla - Rev.Mod.Phys. 70 (1998) 743-842: <http://inspirehep.net/record/40882>
  - \* Bacca & Pastore - J.Phys. G41 (2014) no.12, 123002: <http://inspirehep.net/record/1306337>
  - \* Marcucci & F. Gross & M.T. Pena & M. Piarulli & R. Schiavilla & I. Sick & A. Stadler & J.W. Van Orden & M. Viviani - J.Phys. G43 (2016) 023002: <https://inspirehep.net/record/1362209>

### \* Textbooks \*

- \* *Pions and Nuclei* by Torleif Ericson and Wolfram Weise, Oxford University Press (October 6, 1988)
- \* *Theoretical Nuclear and Subnuclear Physics* by John Dirk Walecka, Oxford University Press (March 23, 1995)
- \* *Foundations of Nuclear and Particle Physics* by T. William Donnelly, Joseph A. Formaggio, Barry R. Holstein, Richard G. Milner, Bernd Surrow, Cambridge University Press; 1st edition (February 1, 2017) **new item!**
- \* *A Primer for Chiral Perturbation Theory* by Stefan Scherer and Matthias R. Schindler, Springer; 2012 edition (September 30, 2011) **(somewhat) new item!**

## The Microscopic (or *ab initio*) Description of Nuclei



Develop a **comprehensive theory** that describes **quantitatively** and **predictably** **all** nuclear structure and reactions

- \* Accurate understanding of **interactions between nucleons**, *p*'s and *n*'s
- \* and between *e*'s, *v*'s, **DM**, ..., with nucleons, nucleons-pairs, ...

$$H\Psi = E\Psi$$

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A, s_1, s_2, \dots, s_A, t_1, t_2, \dots, t_A)$$



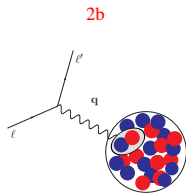
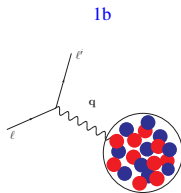
Erwin Schrödinger

## The *ab initio* Approach

The nucleus is made of  $A$  interacting nucleons and its energy is

$$H = T + V = \sum_{i=1}^A t_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

where  $v_{ij}$  and  $V_{ijk}$  are two- and three-nucleon operators based on EXPT data fitting and fitted parameters subsume underlying QCD



$$\rho = \sum_{i=1}^A \rho_i + \sum_{i<j} \rho_{ij} + \dots,$$

$$\mathbf{j} = \sum_{i=1}^A \mathbf{j}_i + \sum_{i<j} \mathbf{j}_{ij} + \dots$$

Two-body **2b** currents essential to satisfy current conservation

$$\mathbf{q} \cdot \mathbf{j} = [H, \rho] = [t_i + v_{ij} + V_{ijk}, \rho]$$

\* “Longitudinal” component fixed by current conservation

\* “Transverse” component “model dependent”

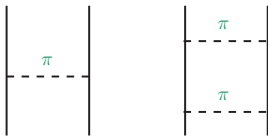
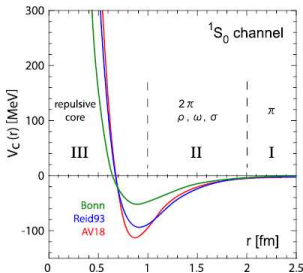
# The Basic Model

## Requirement 1: Nuclear Interactions

$$H = T + V = \sum_{i=1}^A t_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

Step 1. Construct two- and three-body interactions

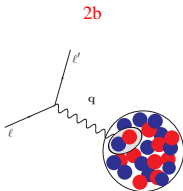
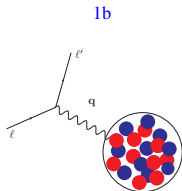
- \* Chiral Effective Field Theory Interactions
- \* “Conventional” or “Phenomenological” Interactions



- \* One-pion-exchange: range  $\sim \frac{1}{m_\pi} \sim 1.4$  fm
- \* Two-pion-exchange: range  $\sim \frac{1}{2m_\pi} \sim 0.7$  fm

# The Basic Model

## Requirement 2: Nuclear Many-Body Currents



$$\rho = \sum_{i=1}^A \rho_i + \sum_{i<j} \rho_{ij} + \dots,$$
$$\mathbf{j} = \sum_{i=1}^A \mathbf{j}_i + \sum_{i<j} \mathbf{j}_{ij} + \dots$$

**Step 2.** Understand how external probes ( $e$ ,  $\nu$ ,  $\mathbf{DM}$  ...) interact with nucleons, nucleon pairs, nucleon triplets...

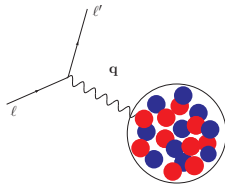
- \* Chiral Effective Field Theory Electroweak Many-Body Currents
- \* “Conventional” or “Phenomenological” Electroweak Many-Body Currents

**Step 2.a** First validate and then use the model

- \* Validate the theory against EM data in a wide range of energies
- \* Neutrino-Nucleus Observables from low to high energies and momenta

## The Basic Model

### Requirement 3: Solve the Many-Body Nuclear Problem



**Step 3.** Develop Computational Methods to solve (numerically) exactly or within approximations that are under control

$$H\Psi = E\Psi$$

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A, s_1, s_2, \dots, s_A, t_1, t_2, \dots, t_A)$$

$\Psi$  are **spin-isospin** vectors in **3A** dimensions with  $2^A \times \frac{A!}{Z!(A-Z)!}$  components

${}^4\text{He}$  : 96

${}^6\text{Li}$  : 1280

${}^8\text{Li}$  : 14336

${}^{12}\text{C}$  : 540572

## Requirement 1: Nuclear Interactions

## (Some) Nuclear Force Facts

- \* Binding Energy per Nucleon  $\sim 8.5$  MeV in all nuclei
- \* Nucleon-nucleon interaction is short-ranged w.r.t. nuclear radius

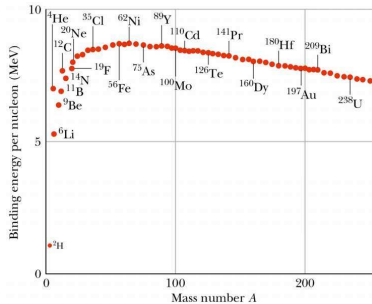


figure from [ohio.edu](http://ohio.edu)

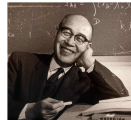
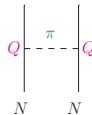
### \* 1930s Yukawa Potential

NN force is mediated by massive particle

### \* 1947 The pion is observed

$m \sim 140$  MeV implying a range  $\propto 1.4$  fm

$$V_Y \sim -\frac{e^{-mr}}{r} \quad \text{range} \propto \frac{1}{m}$$



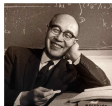
Hideki Yukawa



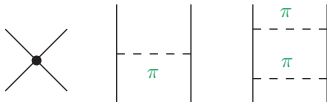


## Nuclear Force These Days

- \* 1930s Yukawa Potential
- \* 1960–1990 Highly sophisticated meson exchange potentials
- \* 1990s– Highly sophisticated Chiral Effective Field Theory based potentials



Hideki Yukawa



Steven Weinberg

- \* Contact terms: short-range
- \* One-pion-exchange: range  $\sim \frac{1}{m_\pi}$
- \* Two-pion-exchange: range  $\sim \frac{1}{2m_\pi}$

## Constructing the Nuclear Many-Body Hamiltonian (The Chiral Effective Field Theory Perspective)

The nucleus is made of  $A$  interacting nucleons and its energy is

$$H = T + V = \sum_{i=1}^A t_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

- \*  $v_{ij}$  correlates nucleons in pairs; and \*  $V_{ijk}$  correlates nucleons in triples
- \* ... indicate that the expansion in many-body operators “is” convergent
- \*  $v_{ij}$  and  $V_{ijk}$  involve parameters that subsume underlying QCD, fitted to large number (order of thousands) of NN-scattering data

Three-body force: an example

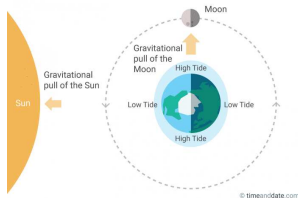


figure from [www.timeanddate.com](http://www.timeanddate.com)

## Time-Ordered-Perturbation Theory

The relevant degrees of freedom of nuclear physics are bound states of QCD

- \* non relativistic nucleons  $N^*$
- \* pions  $\pi$  as mediators of the nucleon-nucleon interaction
  - \* non relativistic Delta's  $\Delta$  with  $m_\Delta \sim m_N + 2m_\pi$

Transition amplitude in time-ordered perturbation theory

$$T_{fi} = \langle N'N' | H_1 \sum_{n=1}^{\infty} \left( \frac{1}{E_i - H_0 + i\eta} H_1 \right)^{n-1} | NN \rangle^*$$

$H_0$  = free  $\pi$ ,  $N$ ,  $\Delta$  Hamiltonians

$H_1$  = interacting  $\pi$ ,  $N$ ,  $\Delta$ , and external electroweak fields Hamiltonians

$$T_{fi} = \langle N'N' | T | NN \rangle \propto v_{ij}, \quad T_{fi} = \langle N'N' | T | NN; \gamma \rangle \propto (A^0 \rho_{ij}, \mathbf{A} \cdot \mathbf{j}_{ij})$$

\* Based on the fact that  $v_{nucleon} \sim 0.2c$ ; relativity included perturbatively

\* Note no pions in the initial or final states, *i.e.*, pion-production not accounted in the theory

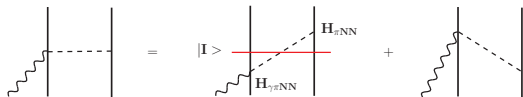
## Transition amplitude in time-ordered perturbation theory

Insert complete sets of eigenstates of  $H_0$  between successive terms of  $H_1$

$$T_{fi} = \langle N'N' | H_1 | NN; \gamma \rangle + \sum_{|I\rangle} \langle N'N' | H_1 | I \rangle \frac{1}{E_i - E_I} \langle I | H_1 | NN; \gamma \rangle + \dots$$

The contributions to the  $T_{fi}$  are represented by time ordered diagrams

Example: seagull pion exchange current



$N$  number of  $H_1$ 's (vertices)  $\rightarrow N!$  time-ordered diagrams

\*  $H_1$  by construction satisfies the symmetries exhibited by QCD (in the low-energy regime), *i.e.*, Parity, Charge Conjugation, Isospin,  $\dots$ , and Chiral

## Conceptual Perturbation Theory

$$\frac{1}{1-x} = \sum_{n=0}^{\infty} x^n = 1 + x + x^2 + x^3 \dots$$

- \*  $x$  is small expansion parameter
- \* one only needs to evaluate few terms in the expansion (if lucky)
- \* the error is given by the truncation in the expansion

### \* Examples \*

- \* Chiral Effective Field Theory:  $x = Q$
- \* Large  $N_c$ :  $x = \frac{1}{N_c}$
- \* ...

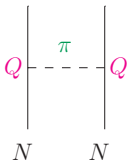
# Nuclear Chiral Effective Field Theory ( $\chi$ EFT) approach



S. Weinberg, Phys. Lett. **B251**, 288 (1990); Nucl. Phys. **B363**, 3 (1991); Phys. Lett. **B295**, 114 (1992)

- \*  $\chi$ EFT is a low-energy ( $Q \ll \Lambda_\chi \sim 1 \text{ GeV}$ ) approximation of QCD
- \* It provides effective Lagrangians describing  $\pi$ 's,  $N$ 's,  $\Delta$ 's, ... interactions that are expanded in powers  $n$  of a perturbative (small) parameter  $Q/\Lambda_\chi$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}^{(0)} + \mathcal{L}^{(1)} + \mathcal{L}^{(2)} + \dots + \mathcal{L}^{(n)} + \dots$$



- \* The coefficients of the expansion, **Low Energy Constants (LECs)**, are unknown and need to be fixed by comparison with exp data, or take them from **LQCD**
- \* The systematic expansion in  $Q$  naturally has the feature

$$\langle \mathcal{O} \rangle_{1\text{-body}} > \langle \mathcal{O} \rangle_{2\text{-body}} > \langle \mathcal{O} \rangle_{3\text{-body}}$$

- \* A theoretical error due to the truncation of the expansion can be assigned

## π, N and Δ Strong Vertices



$$H_{\pi NN} = \frac{g_A}{F_\pi} \int d\mathbf{x} N^\dagger(\mathbf{x}) [\boldsymbol{\sigma} \cdot \nabla \pi_a(\mathbf{x})] \tau_a N(\mathbf{x}) \quad \rightarrow \quad V_{\pi NN} = -i \frac{g_A}{F_\pi} \frac{\boldsymbol{\sigma} \cdot \mathbf{k}}{\sqrt{2\omega_k}} \tau_a \sim Q^1 \times Q^{-1/2}$$

$$H_{\pi N\Delta} = \frac{h_A}{F_\pi} \int d\mathbf{x} \Delta^\dagger(\mathbf{x}) [\mathbf{S} \cdot \nabla \pi_a(\mathbf{x})] T_a N(\mathbf{x}) \quad \rightarrow \quad V_{\pi N\Delta} = -i \frac{h_A}{F_\pi} \frac{\mathbf{S} \cdot \mathbf{k}}{\sqrt{2\omega_k}} T_a \sim Q^1 \times Q^{-1/2}$$

$g_A \simeq 1.27$ ;  $F_\pi \simeq 186$  MeV;  $h_A \sim 2.77$  (fixed to the width of the  $\Delta$ )  
are ‘known’ LECs

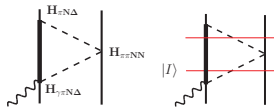
$$\begin{aligned} \pi_a(\mathbf{x}) &= \sum_{\mathbf{k}} \frac{1}{\sqrt{2\omega_k}} [c_{\mathbf{k},a} e^{i\mathbf{k}\cdot\mathbf{x}} + \text{h.c.}] , \\ N(\mathbf{x}) &= \sum_{\mathbf{p},\sigma\tau} b_{\mathbf{p},\sigma\tau} e^{i\mathbf{p}\cdot\mathbf{x}} \chi_{\sigma\tau} , \end{aligned}$$



## (Naïve) Power Counting

Each contribution to the  $T_{fi}$  scales as

$$\underbrace{\left( \prod_{i=1}^N Q^{\alpha_i - \beta_i} \right)}_{H_1 \text{ scaling}} \times \underbrace{Q^{-(N-1)}}_{\text{denominators}} \times \underbrace{Q^{3L}}_{\text{loop integration}}$$



$\alpha_i = \#$  of derivatives (momenta) in  $H_1$ ;

$\beta_i = \#$  of  $\pi$ 's;

$N = \#$  of vertices;  $N - 1 = \#$  of intermediate states;

$L = \#$  of loops

$$H_1 \text{ scaling} \sim \underbrace{Q^1}_{H_{\pi N \Delta}} \times \underbrace{Q^1}_{H_{\pi \pi NN}} \times \underbrace{Q^0}_{H_{\pi \gamma N \Delta}} \times Q^{-2} \sim Q^0$$

$$\text{denominators} \sim \frac{1}{E_i - H_0} |I\rangle \sim \frac{1}{2m_N - (m_\Delta + m_N + \omega_\pi)} |I\rangle = -\frac{1}{m_\Delta - m_N + \omega_\pi} |I\rangle \sim \frac{1}{Q} |I\rangle$$

$$Q^1 = Q^0 \times Q^{-2} \times Q^3$$

\* This power counting also follows from considering Feynman diagrams, where loop integrations are in 4D

## χEFT nucleon-nucleon potential at LO

$$v_{NN}^{\text{LO}} = \underbrace{\text{Diagram 1}}_{v_{\text{CT}}} + \underbrace{\text{Diagram 2} + \text{Diagram 3}}_{\text{OPE } v^\pi} \sim Q^0$$

$$T_{fi}^{\text{LO}} = \langle N'N' | H_{\text{CT},1} | NN \rangle + \sum_{|I\rangle} \langle N'N' | H_{\pi NN} | I \rangle \frac{1}{E_i - E_I} \langle I | H_{\pi NN} | NN \rangle$$

### Leading order nucleon-nucleon potential in χEFT

$$v_{NN}^{\text{LO}} = v_{\text{CT}} + v_\pi = C_S + C_T \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 - \frac{g_A^2}{F_\pi^2} \frac{\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}}{\omega_k^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2$$

\* Configuration space \*

$$v_{12} = \sum_P v_{12}^P(r) O_{12}^P; \quad O_{12} = 1, \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2, \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2, S_{12} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2$$

$$S_{12} = 3 \boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}} \boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}} - \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \quad \Leftarrow \text{Tensor Operator}$$

## One Pion Exchange in Configuration Space

$$v_{NN}^{\text{LO}} = \underbrace{\text{Diagram 1}}_{v_{\text{CT}}} + \underbrace{\text{Diagram 2} + \text{Diagram 3}}_{\text{OPE } v^\pi} \sim Q^0$$

### One-Pion-Exchange Potential (OPEP)

$$v_\pi(\mathbf{k}) = -\frac{g_A^2}{F_\pi^2} \frac{\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}}{\omega_k^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2$$

$$v_\pi(\mathbf{r}) = \frac{f_{\pi NN}^2}{4\pi} \frac{m_\pi}{3} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left[ T_\pi(r) S_{12} + \left[ Y_\pi(r) - \frac{4\pi}{m_\pi^3} \delta(\mathbf{r}) \right] \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \right]$$

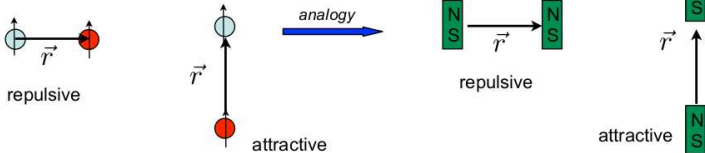
$$Y_\pi(r) = \frac{e^{-m_\pi r}}{m_\pi r} \quad \leftarrow \text{Yukawa Function}$$

$$T_\pi(r) = \left( 1 + \frac{3}{m_\pi r} + \frac{3}{m_\pi^2 r^2} \right) Y_\pi(r)$$

$$S_{12} = 3 \boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}} \boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}} - \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \quad \leftarrow \text{Tensor Operator}$$

## Tensor Operator: An Analogy

- Tensor force: depends on the angle between relative distance vector and the spins of the nucleons, in analogy to the force between two magnetic dipoles



$$S_{12} \sim [3(\vec{\sigma}_1 \cdot \hat{r})(\vec{\sigma}_2 \cdot \hat{r}) - \vec{\sigma}_1 \cdot \vec{\sigma}_2]$$

$$U \sim [3(\vec{\mu}_1 \cdot \hat{r})(\vec{\mu}_2 \cdot \hat{r}) - \vec{\mu}_1 \cdot \vec{\mu}_2]$$

figure from Sonia Bacca

- \* Tensor Force is **non-spherical** and **spin dependent**
- \* Tensor Force correlates spatial and spin orientations

## $\chi$ EFT nucleon-nucleon potential at NLO (without $\Delta$ 's)

$$v_{NN}^{\text{NLO}} = \text{[diagrams]} \sim Q^2$$

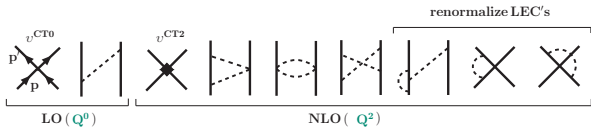
renormalize  $C_S$ ,  $C_T$ , and  $\underline{g}_A$

- \* At NLO there are 7 LEC's,  $C_i$ , fixed so as to reproduce nucleon-nucleon scattering data (order of  $k$  data)
- \*  $C_i$ 's multiply contact terms with 2 derivatives acting on the nucleon fields ( $\nabla N$ )
- \* Loop-integrals contain ultraviolet divergences reabsorbed into  $g_A$ ,  $C_S$ ,  $C_T$ , and  $C_i$ 's (for example, use dimensional regularization)

\* Configuration space \*

$$v_{12} = \sum_p v_{12}^p(r) O_{12}^p; \quad O_{12} = [1, \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2, S_{12}, \mathbf{L} \cdot \mathbf{S}] \otimes [1, \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2]$$

## Fitting the NN interaction

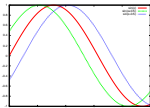
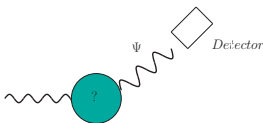


\* At NLO there are 9 free parameters to be determined

$C_S, C_T$ , and 7  $C_i$

\* Solve for the scattering waves of the Schrödinger Equation

\* Fit the LECs to the phase shifts



plane wave

shift by attractive potential

shift by repulsive potential

$$\Psi = A \sin(kr + \delta) \sim (e^{i2\delta} e^{ikr} - e^{-ikr})$$

\* Curiosity: Indirect evidence of one-pion-exchange potential comes from the 1993 Nijmegen phase-shift analysis with  $m_\pi$  left as free-parameter; best fit obtained with actual pion mass

## Technicalities: The Cutoff

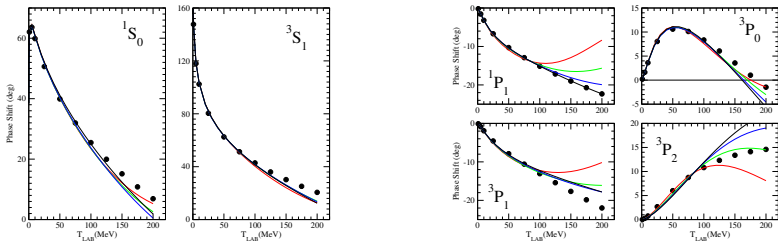
\*  $\chi$ EFT operators have a power law behavior in  $Q$

1. introduce a regulator to kill divergencies at large  $Q$ , e.g.,  $C_\Lambda = e^{-(Q/\Lambda)^n}$
2. pick  $n$  large enough so as to not generate spurious contributions

$$C_\Lambda \sim 1 - \left(\frac{Q}{\Lambda}\right)^n + \dots$$

3. for each cutoff  $\Lambda$  re-fit the LECs
  4. ideally, your results should be cutoff-independent
- \* In  $r_{ij}$ -space this corresponds to cutting off the short-range part of the operators that make the matrix elements diverge at  $r_{ij} = 0$

# Determining LEC's: fits to $np$ phases \* up to $T_{\text{LAB}} = 100\text{MeV}$ NLO Chiral Potential



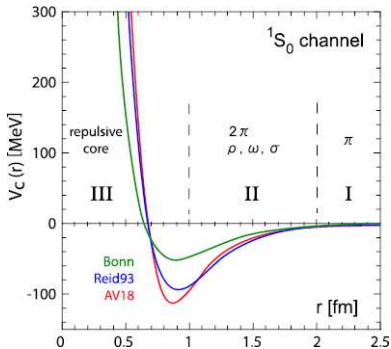
LS-equation regulator  $\sim \exp(-2Q^4/\Lambda^4)$ , (cutting off momenta  $Q \gtrsim 3-4 m_\pi$ ),  
 $\Lambda=500, 600, \text{ and } 700$  MeV

\* F.Gross and A.Stadler PRC78(2008)104405

Pastore *et al.* PRC80(2009)034004



## Nucleon-nucleon potential



Aoki *et al.* [Comput.Sci.Disc.1\(2008\)015009](#)

CT = Contact Term\* - short-range;

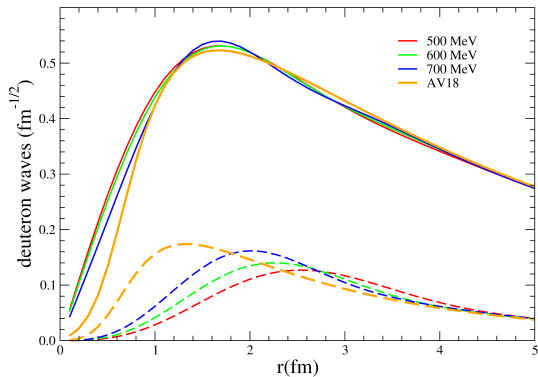
OPE = One Pion Exchange - range  $\sim \frac{1}{m_\pi}$ ;

TPE = Two Pion Exchange - range  $\sim \frac{1}{2m_\pi}$

\* in practice CT's in  $r$ -space are coded with representations of a  $\delta$ -function (e.g., a Gaussian function), or special functions such as Wood-Saxon functions

# Nucleon-Nucleon Potential and the Deuteron

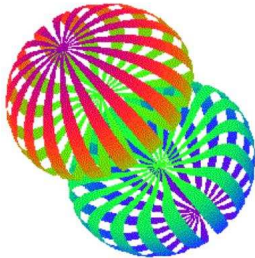
## Deuteron Waves



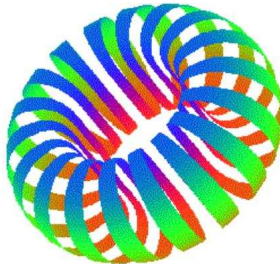
Pastore *et al.* PRC80(2009)034004

## Nucleon-Nucleon Potential and the Deuteron

$M = \pm 1$



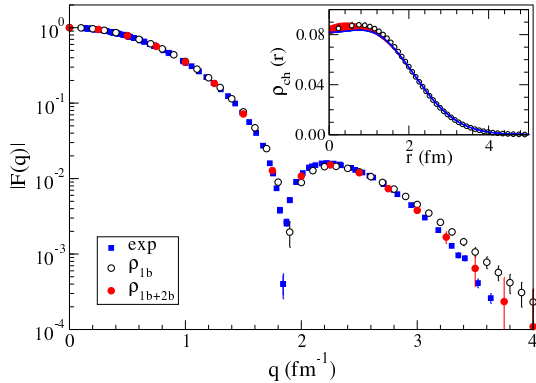
$M = 0$



Constant density surfaces for a polarized deuteron in the  $M = \pm 1$  (left) and  $M = 0$  (right) states

Carlson and Schiavilla [Rev.Mod.Phys.70\(1998\)743](#)

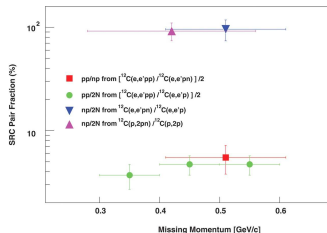
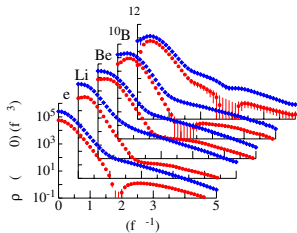
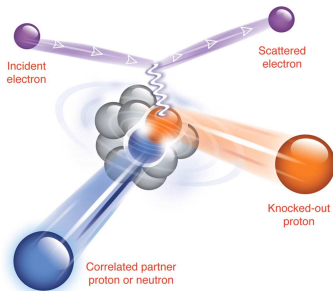
# Shape of Nuclei



Lovato *et al.*

PRL111(2013)092501

## Back-to-back $np$ and $pp$ Momentum Distributions

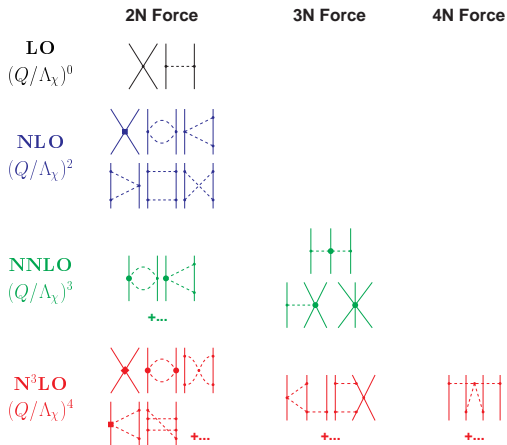


Wiringa *et al.* [PRC89\(2014\)024305](#)

JLab, Subedi *et al.* [Science320\(2008\)1475](#)

Nuclear properties are strongly affected by **two-nucleon** interactions!

## $\chi$ EFT many-body potential: Hierarchy

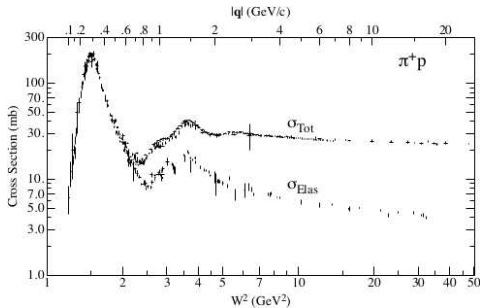


Machleidt & Sammarruca - PhysicaScripta91(2016)083007

- \* NN potential at N3LO: 15 additional LECs allow to get fits with  $\chi^2/\text{datum} \sim 1$
- \* Additional operatorial structures emerges (same as Argonne  $v_{14}$ )

$$O_{12} = [1, \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2, S_{12}, \mathbf{L} \cdot \mathbf{S}, \mathbf{L}^2, \mathbf{L}^2 \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2, (\mathbf{L} \cdot \mathbf{S})^2] \otimes [1, \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2]$$

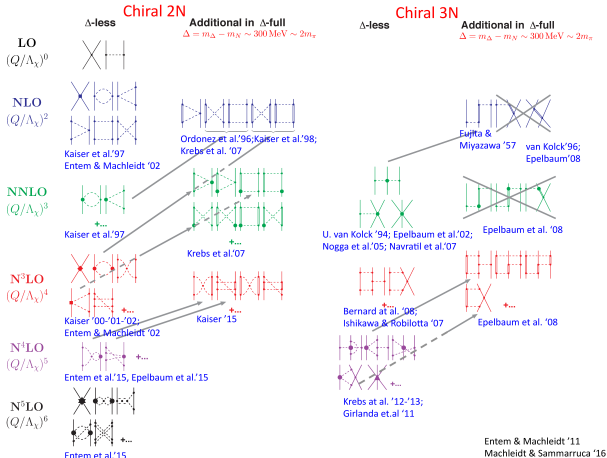
## Nucleon's excitations



$\pi^+ p$  scattering

- \*  $\Delta$  resonance has large strength and low-energy ( $m_\Delta - m_N \sim 2m_\pi$ )
- \*  $\Delta$ 's play important role in  $\pi$ -exchange interactions between nucleons
- \* LECs in chiral potentials are making up for d.o.f. not included in the theory
- \* Explicit inclusion of  $\Delta$ 's improves on chiral's formulation and convergence

# Nuclear Interactions and the role of the $\Delta$



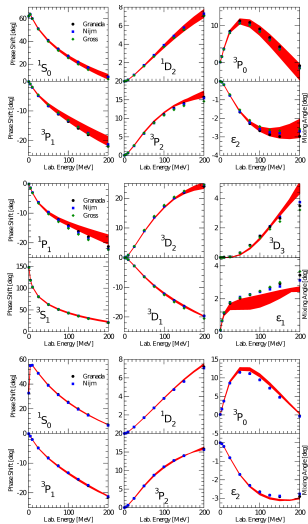
Courtesy of Maria Piarulli

\* N3LO with  $\Delta$  nucleon-nucleon interaction constructed by Piarulli *et al.* in [PRC91\(2015\)024003-PRC94\(2016\)054007-arXiv:1707.02883](https://arxiv.org/abs/1707.02883) with  $\Delta$ 's fits  $\sim 2000$  ( $\sim 3000$ ) data up 125 (200) MeV with  $\chi^2/\text{datum} \sim 1$ ;

\* N2LO with  $\Delta$  3-nucleon force fits  $^3\text{H}$  binding energy and the  $nd$  scattering length



# Phase Shifts from Chiral NN with $\Delta$ 's



Piarulli *et al.* PRC 94(2016)054007

# Phenomenological aka Conventional aka Traditional aka Realistic Two- and Three- Nucleon Potentials

## NUCLEAR HAMILTONIAN

$$H = \sum_i K_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk}$$

$K_i$ : Non-relativistic kinetic energy,  $m_n$ - $m_p$  effects included

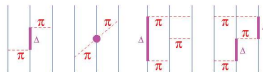
Argonne  $v_{18}$ :  $v_{ij} = v_{ij}^T + v_{ij}^\pi + v_{ij}^I + v_{ij}^S = \sum_p v_p(r_{ij}) O_{ij}^p$

- 18 spin, tensor, spin-orbit, isospin, etc., operators
- full EM and strong CD and CSB terms included
- predominantly local operator structure
- fits Nijmegen PWA93 data with  $\chi^2/\text{d.o.f.}=1.1$

Wiringa, Stoks, & Schiavilla, PRC 51, (1995)

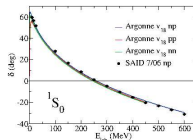
Urbana & Illinois:  $V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{3\pi} + V_{ijk}^R$

- Urbana has standard  $2\pi$   $P$ -wave + short-range repulsion for matter saturation
- Illinois adds  $2\pi$   $S$ -wave +  $3\pi$  rings to provide extra  $T=3/2$  interaction
- Illinois-7 has four parameters fit to 23 levels in  $A \leq 10$  nuclei



Pieper, Pandharipande, Wiringa, & Carlson, PRC 64, 014001 (2001)

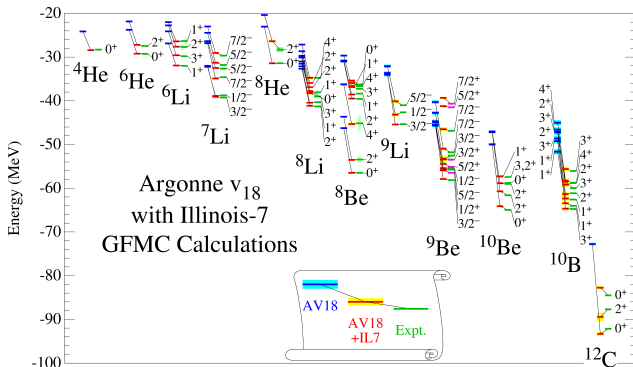
Pieper, AIP CP 1011, 143 (2008)



Courtesy of Bob Wiringa

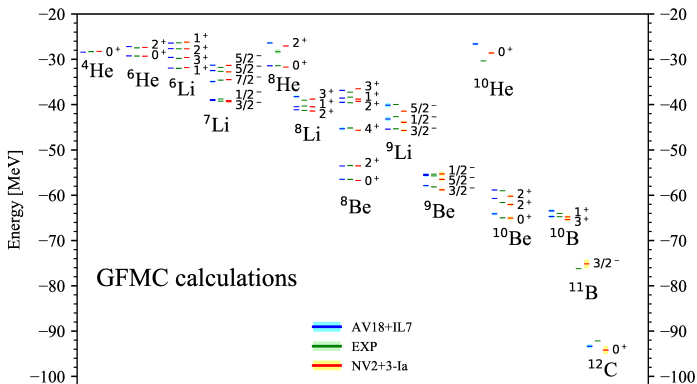
- \* AV18 fitted up to 350 MeV, reproduces phase shifts up to  $\sim 1$  GeV \*
- \* IL7 fitted to 23 energy levels, predicts hundreds of levels \*

# Spectra of Light Nuclei



Carlson *et al.* Rev.Mod.Phys.87(2015)1067

# Spectra of Light Nuclei



M. Piarulli *et al.* - arXiv:1707.02883

- \* one-pion-exchange physics dominates \*
- \* it is included in both chiral and “conventional” potentials \*

## Three-body forces

$$H = T + V = \sum_{i=1}^A t_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

$$V_{ijk} \sim (0.2 - 0.9) v_{ij} \sim (0.15 - 0.6) H$$

$$v_{\pi} \sim 0.83 v_{ij}$$

<sup>10</sup>B VMC code output

$$T_i + V_{ij} = -38.2131 (0.1433) \quad + V_{ijk} = -46.7975 (0.1150)$$

$$T_i = 290.3220 (1.2932) \quad V_{ij} = -328.5351 (1.1983) \quad V_{ijk} = -8.5844 (0.0892)$$

## (Very) Incomplete List of Credits and Reading Material

- \* Pieper and Wiringa; [Ann.Rev.Nucl.Part.Sci.51\(2001\)53](#)
- \* Carlson *et al.*; [Rev.Mod.Phys.87\(2015\)1067](#)
- \* van Kolck *et al.*; [PRL72\(1994\)1982-PRC53\(1996\)2086](#)
- \* Kaiser, Weise *et al.*; [NPA625\(1997\)758-NPA637\(1998\)395](#)
- \* Epelbaum, Glöckle, Meissner\*; [RevModPhys81\(2009\)1773](#) and references therein
- \* Entem and Machleidt\*; [PhysRept503\(2011\)1](#) and references therein

\* NN Potentials suited for Quantum Monte Carlo calculations \*

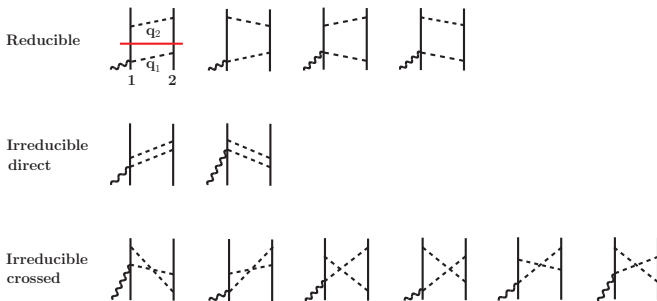
- \* Pieper and Wiringa; [Ann.Rev.Nucl.Part.Sci.51\(2001\)53](#)
- \* Gezerlis *et al.* and Lynn *et al.*;  
[PRL111\(2013\)032501,PRC90\(2014\)054323,PRL113\(2014\)192501](#);
- \* Piarulli *et al.*; [PRC91\(2015\)024003-PRC94\(2016\)054007-arXiv:1707.02883](#)

## Summary: Nuclear Interactions

- \* The Microscopic description of Nuclei is very successful
- \* Nuclear two-body forces contain a number of parameters (up to  $\sim 40$ ) fitted to a large  $\sim 4k$  ( $\sim 3k$ ) data base up to 350 ( $\sim 200$ ) MeV in the case of AV18 (Chiral) model
- \* Intermediate and long components are described in terms of one- and two-pion exchange potentials
- \* Short-range parts are described by contact terms or special functions
- \* Due to a cancellation between kinetic and two-body contribution, three-body potentials are (small but) necessary to reach agreement with the data
- \* Calculated spectra of light nuclei are reproduced within 1 – 2% of expt data
- \* Two-body one-pion-exchange contributions dominate and are crucial to explain the data
- \* AV18 potential is hard to be systematically improved but has a range of applicability up to  $\sim 1$  GeV

## Technicalities I: Reducible Contributions

4 interaction Hamiltonians  $\rightarrow$  4! time ordered diagrams



$$|\Psi\rangle \simeq |\phi\rangle + \frac{1}{E_i - H_0} v^\pi |\phi\rangle + \dots$$

$$\langle \Psi_f | \mathbf{j} | \Psi_i \rangle \simeq \langle \phi_f | \mathbf{j} | \phi_i \rangle + \langle \phi_f | v^\pi \frac{1}{E_i - H_0} \mathbf{j} + \text{h.c.} | \phi_i \rangle + \dots$$

\* Need to carefully subtract contributions generated by the iterated solution of the Schrödinger equation