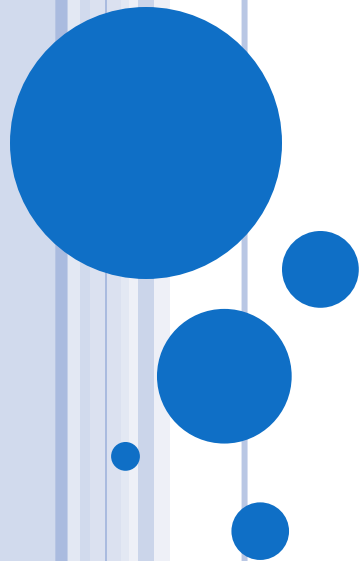


# The Proton in the Nuclear Medium: JLab Experimental Constraints on the Modeling of ${}^4\text{He}(e, e'p){}^3\text{H}$ Reaction

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# Overview

➤ E93-049 and E03-104 in Hall A: search for medium modifications of the proton structure in  $^4\text{He}(e,e'p)^3\text{H}$

▪  $\left(\frac{P'_x}{P'_z}\right)_{^4\text{He}} / \left(\frac{P'_x}{P'_z}\right)_H$  Mike Paolone (Ph.D. in Dec. 2008):  
M. Paolone, S. Malace, S. Strauch *et al.*, submitted to Phys. Rev. Lett.

▪  $P_y$  focus of this talk

➤  $\text{H}(e,e'p)$  vs  $A(e,e'p)B$  reactions

➤  $A(e,e'p)B$  reactions: nuclear medium effects

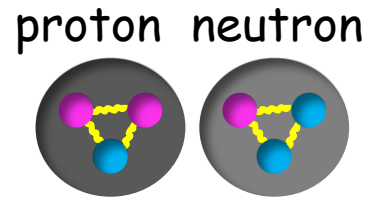
➤ E93-049: data and interpretation

➤ E03-104: a precise extraction of polarization transfer and induced polarization in  $^4\text{He}(e,e'p)^3\text{H}$

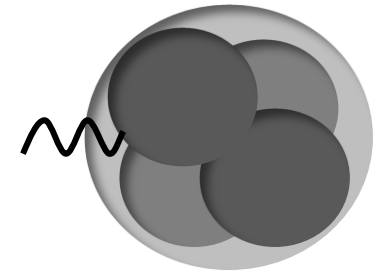
➤ Do theory calculations describe the most recent, precise data?

# Nucleons in the Nuclear Medium

➤ Quarks and gluons are the building blocks of nucleons

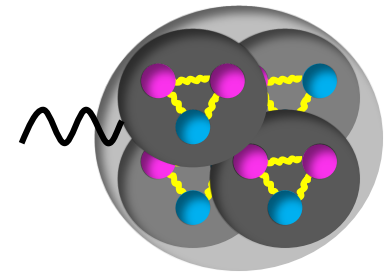


➤ Conventional Nuclear Physics: free nucleons and mesons as degrees of freedom; the internal structure of hadrons ignored



➤ Are the subnucleonic degrees of freedom relevant for description of nuclei?

▪ Nucleon structure function: modified in the nuclear medium (EMC effect)



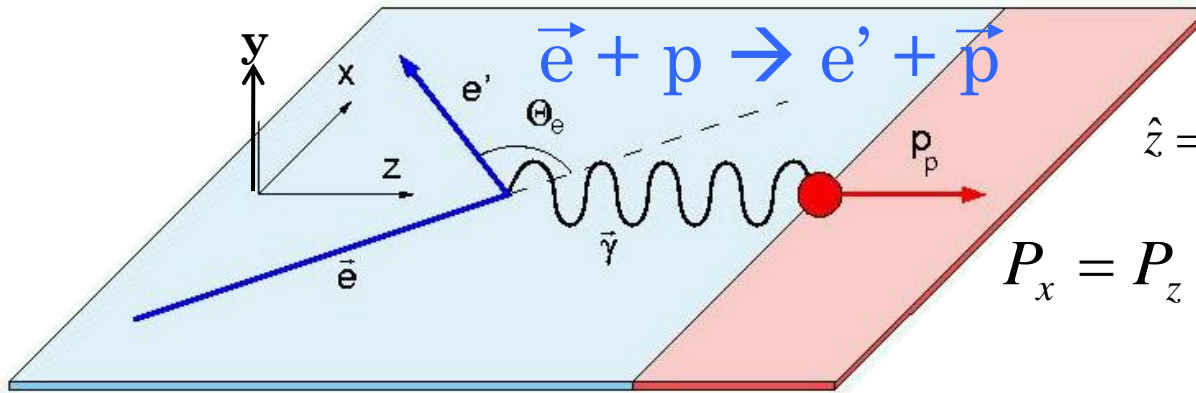
▪ Nucleon form factor: modified in the nuclear medium?

- Coulomb Sum rule
- $\gamma$  scaling
- Polarization transfer ratio



# Reaction: $H(e, e'p)$

- Longitudinally polarized electron elastic scattering off a **free proton**: one-photon-exchange approximation (OPE)



$$\hat{z} = \frac{\vec{q}}{|\vec{q}|}, \quad \hat{y} = \frac{\vec{k} \times \vec{k}'}{|\vec{k} \times \vec{k}'|}, \quad \hat{x} = \hat{y} \times \hat{z}$$

$$P_x = P_z = P_y' = 0 \quad P_y = 0 \text{ (OPE)}$$

$\sigma_o$  = unpolarized cross section

$h$  = beam helicity

$A$  = analyzing power

$P$  ( $P'$ ) = induced polarization  
(polarization transfer)

$$\frac{d\sigma}{dE_e d\Omega_e d\Omega_p} = \frac{\sigma_0}{2} [1 + h \cdot (A + P'_x \cdot \hat{S}_x + P'_z \cdot \hat{S}_z)] \quad \rightarrow$$

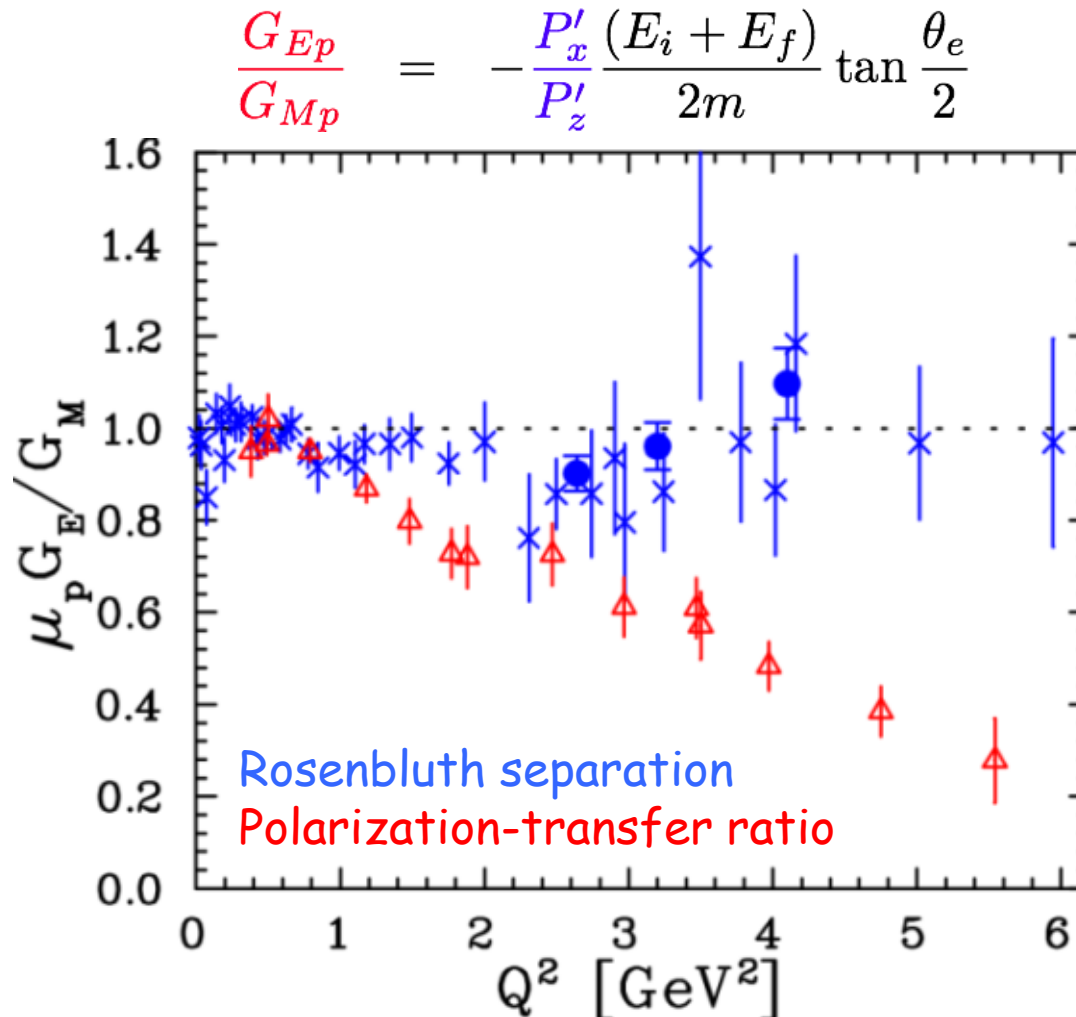
$$P'_x = -2\sqrt{\tau(1+\tau)} \frac{\frac{G_{Ep}}{G_{Mp}}}{\left(\frac{G_{Ep}}{G_{Mp}}\right)^2 + \frac{\tau}{\epsilon}} \tan \frac{\theta_e}{2}$$

$$P'_z = \frac{1}{m} (E_i + E_f) \sqrt{\tau(1+\tau)} \frac{1}{\left(\frac{G_{Ep}}{G_{Mp}}\right)^2 + \frac{\tau}{\epsilon}} \tan^2 \frac{\theta_e}{2}$$

$$\frac{G_{Ep}}{G_{Mp}} = -\frac{P'_x}{P'_z} \frac{(E_i + E_f)}{2m} \tan \frac{\theta_e}{2}$$

# Polarization Transfer Ratio: $H(e, e'p)$

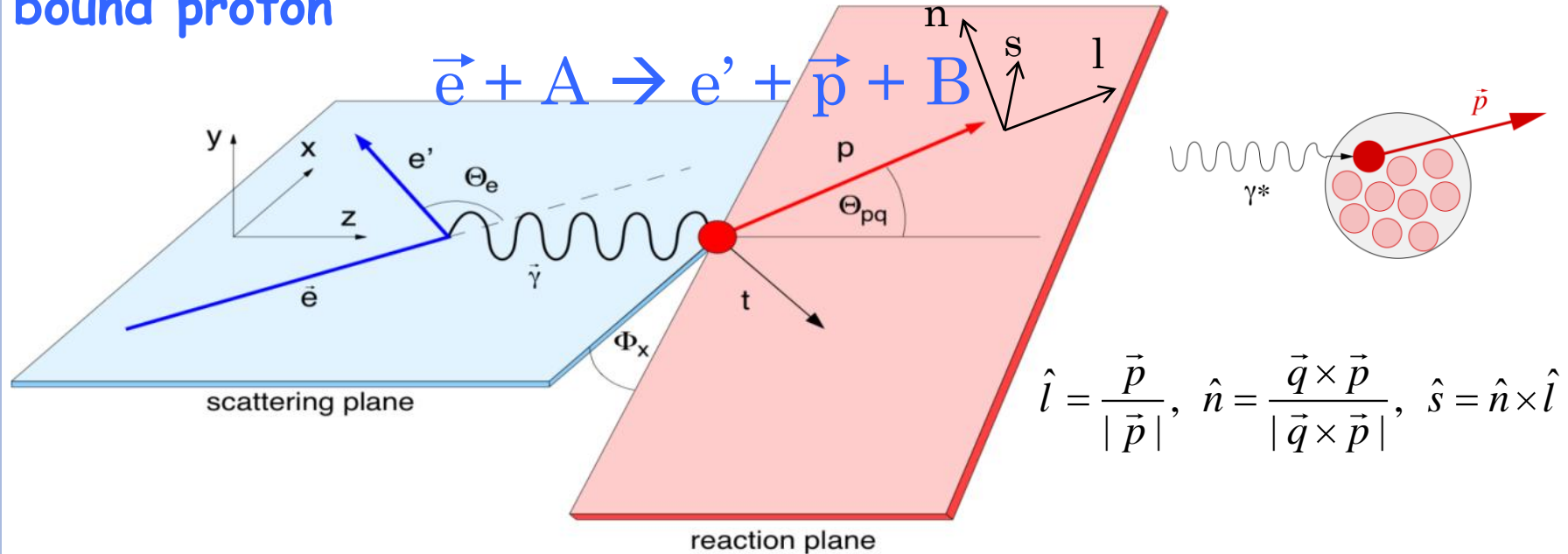
- Very precise technique: systematics cancel in the ratio



for illustration only (not up-to-date)

# Reaction: $A(e, e'p)B$

➤ Longitudinally polarized electron quasielastic scattering off a **bound proton**



$$\hat{l} = \frac{\vec{p}}{|\vec{p}|}, \quad \hat{n} = \frac{\vec{q} \times \vec{p}}{|\vec{q} \times \vec{p}|}, \quad \hat{s} = \hat{n} \times \hat{l}$$

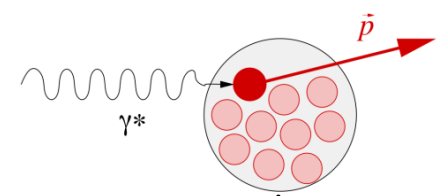
$$\frac{d\sigma}{dE_e d\Omega_e d\Omega_p} = \frac{\sigma_0}{2} [1 + P_l \cdot \hat{S}_l + P_n \cdot \hat{S}_n + P_s \cdot \hat{S}_s + h \cdot (A + P'_l \cdot \hat{S}_l + P'_n \cdot \hat{S}_n + P'_s \cdot \hat{S}_s)]$$

▪ From reaction to scattering plane:

$$\begin{pmatrix} P_x(P'_x) \\ P_y(P'_y) \\ P_z(P'_z) \end{pmatrix} = \begin{pmatrix} \sin \theta_{pq} \cos \phi_x & -\sin \phi_x & \cos \theta_{pq} \cos \phi_x \\ \sin \theta_{pq} \sin \phi_x & \cos \phi_x & \cos \theta_{pq} \sin \phi_x \\ \cos \theta_{pq} & 0 & -\sin \theta_{pq} \end{pmatrix} \begin{pmatrix} P_l(P'_l) \\ P_n(P'_n) \\ P_s(P'_s) \end{pmatrix}$$



# Reaction: $A(e, e'p)B$



➤ No simple relationship between polarization-transfer ratio and form-factor ratio

➤ **Cross sections, polarizations:** expressed in terms of 18 **nuclear response functions** ( $R^L, R^T, \dots$ ) constructed by taking the appropriate components of the hadronic tensor  $W^{\mu\nu} \propto J_N^{\mu*}(q)J_N^\nu(q)$

$$\frac{1}{2}(R^L + R_n^L \hat{S}_n) = W^{00} \quad \frac{1}{2}(R^T + R_n^T \hat{S}_n) = W^{11} + W^{22} \dots$$

$$\frac{d\sigma}{dE_e d\Omega_e d\Omega_p} \propto V_L (R^L + R_n^L \hat{S}_n) + V_T (R^T + R_n^T \hat{S}_n) +$$

$$V_{LT} [(R^{TL} + R_n^{TL} \hat{S}_n) \cos \phi_x + (R_l^{TL} \hat{S}_l + R_s^{TL} \hat{S}_s) \sin \phi_x] +$$

$$V_{TT} [(R^{TT} + R_n^{TT} \hat{S}_n) \cos 2\phi_x + (R_l^{TT} \hat{S}_l + R_s^{TT} \hat{S}_s) \sin 2\phi_x] +$$

$$h\{V_{TL'} [(R_l^{TL'} \hat{S}_l + R_s^{TL'} \hat{S}_s) \cos \phi_x + (R^{TL'} + R_n^{TL'} \hat{S}_n) \sin \phi_x] +$$

$$V_{T'} [R_l^{T'} \hat{S}_l + R_s^{T'} \hat{S}_s]\}$$

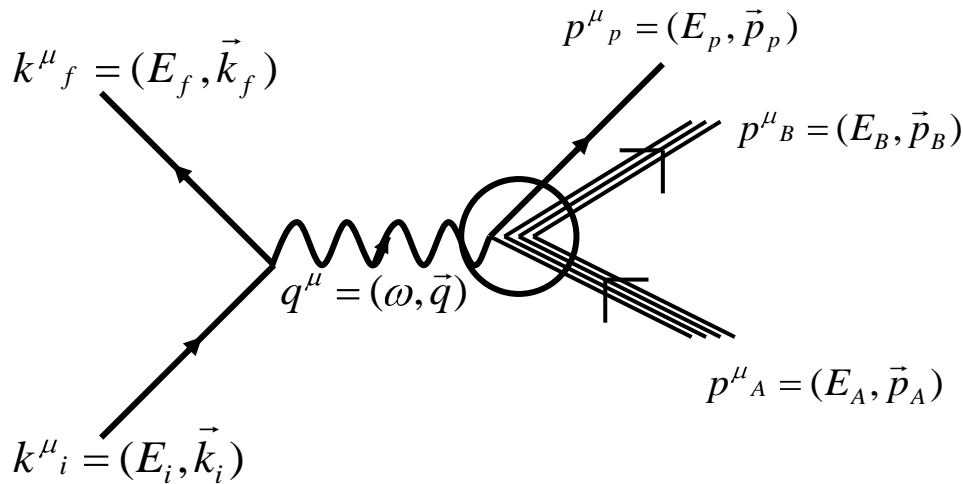
➤ Calculation of **nuclear current**  $\Leftrightarrow$  make choices regarding the inclusion/treatment of various **reaction mechanisms**



# Proton in the Nuclear Medium: $A(e, e'p)B$

➤ Example:  $A(e, e'p)B$  in Born + Impulse Approximation

J. Udias *et al.*, Phys. Rev. C 48, 2731 (1993)



$$\frac{d\sigma}{dE_f dE_p d\Omega_f d\Omega_p} \propto |M_{if}|^2$$

$$M_{if} = \int dx \int dy \int \frac{dq}{(2\pi)^2} \underbrace{j_\mu^e(x)}_{\text{electron current}} e^{-iq(x-y)} \frac{(-1)}{q_\mu^2} \underbrace{J_N^\mu(y)}_{\text{nuclear current}}$$

➤ Nuclear effects have to be taken into account when calculating the currents for  $e\text{-}p_{\text{bound}}$  as opposed to  $e\text{-}p_{\text{free}}$  scattering



# $A(e, e'p)B$ : Nuclear Medium Effects

Photon-nucleon vertex current:  $J_N^\mu(r) = \bar{\psi}_F^N(r) \hat{J}_N^\mu \psi_B^N(r)$

➤ Off-shell effects (no unambiguous treatment): various prescriptions to impose current conservation T. De Forest, Jr. Nucl. Phys. A392, 232 (1983)

$$\hat{J}_{cc1}^\mu = G_M(Q^2) \gamma^\mu - \frac{\kappa}{2M} F_2(Q^2) (P_i^\mu + P_f^\mu),$$

$$\hat{J}_{cc2}^\mu = F_1(Q^2) \gamma^\mu + i \frac{\kappa}{2M} F_2(Q^2) \sigma_{\mu\nu} q_\nu$$

$$\hat{J}_{cc3}^\mu = F_1(Q^2) \frac{\bar{P}^\mu}{2M} + \frac{i}{2M} G_M(Q^2) \sigma_{\mu\nu} q_\nu$$

$\hat{J}_{cc1}, \hat{J}_{cc2}, \hat{J}_{cc3}$  equivalent for free nucleon but not guaranteed to produce the same result for bound nucleons

- Vary prescriptions seem to converge with increasing  $Q^2$ , especially at low missing momentum

# $A(e, e'p)B$ : Nuclear Medium Effects

**Photon-nucleon vertex current:**  $J_N^\mu(r) = \bar{\psi}_F^N(r) \hat{J}_N^\mu \psi_B^N(r)$

➤ Many-body currents: IA = “zero order approximation” but realistically we need higher-order corrections to IA

$$\begin{array}{cc} \text{1-body current} & \text{2-body current} \\ \langle \psi_f | \hat{J}^\mu | \psi_i \rangle = \langle \chi(1) | \hat{J}^\mu(1b) | \psi_\beta(1) \rangle + \sum_{\alpha=1}^A \langle \chi(1) \psi_\alpha(2) | \hat{J}^\mu(2b) | \psi_\beta(1) \psi_\alpha(2) - \psi_\alpha(1) \psi_\beta(2) \rangle \end{array}$$

A. Meucci *et al.*, Phys. Rev. C 66, 034610 (2002)

R. Schiavilla *et al.*, Phys. Rev. Lett. 94, 072303 (2005)

➤ Final-State Interactions: the nucleon can interact with its neighbors after being struck by the photon

- Most calculations account for FSI via **optical potentials (OPT)**

$(e, e'p)(p, p)$

J. Udias *et al.*, Phys. Rev. Lett. 83, 5451 (1999)

$(e, e'p)(p, p) + (e, e'n)(n, p)$

R. Schiavilla *et al.*, Phys. Rev. Lett. 94, 072303 (2005)

- Some calculations use **Glauber framework** to incorporate FSI

P. Lava, J. Ryckebush, B. Van Overmeire, Phys. Rev. C 71, 014605 (2005)



# $A(e, e'p)B$ : Nuclear Medium Effects

**Photon-nucleon vertex current:**  $J_N^\mu(r) = \bar{\psi}_F^N(r) \hat{J}_N^\mu \psi_B^N(r)$

➤ **Form-factors:** free or medium modified (density dependent) form-factors in the electromagnetic current operator?

**e.g.**  $\hat{J}_{cc1}^\mu = \boxed{G_M(Q^2)} \gamma^\mu - \frac{\kappa}{2M} \boxed{F_2(Q^2)} (P_i^\mu + P_f^\mu) \rightarrow$  free or medium-modified nucleon form-factor ?

For example:

## Quark Meson Coupling Model (QMC)

**Structure of the nucleon:** valence quarks in a bag (Cloudy-bag model)

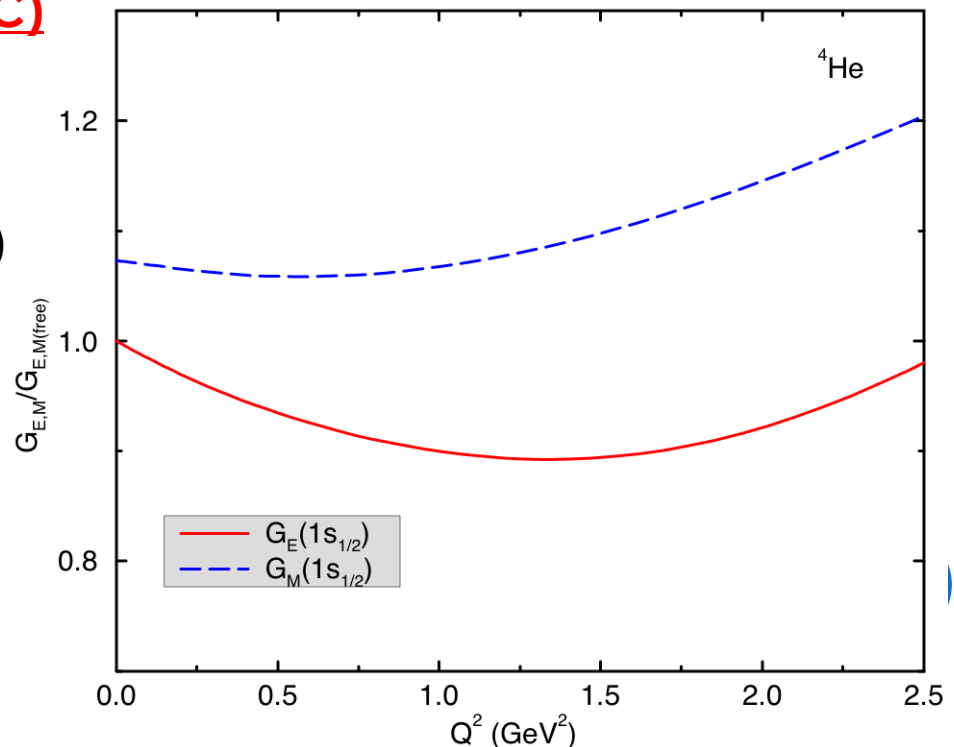
**Nuclear system:** effective scalar ( $\sigma$ ) and vector ( $\omega$ ) meson fields

$\omega$  and  $\sigma$  couple directly to confined quarks



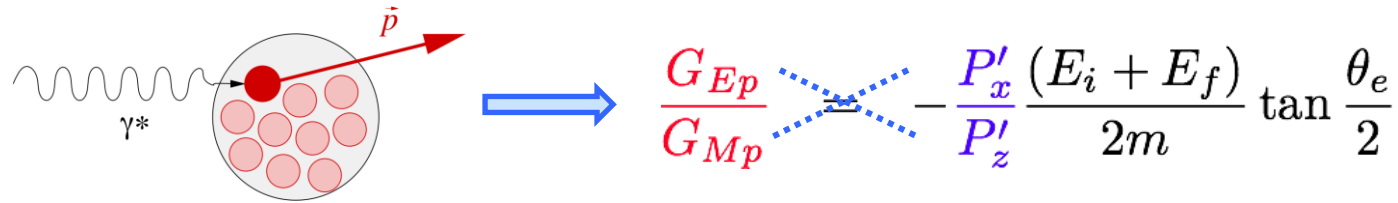
**Modification of internal structure** of bound nucleon

D.H. Lu *et al.*, Phys. Rev. C 60, 068201 (1999)



# Polarization Transfer Technique: $A(e, e'p)B$

- No simple relationship between polarization-transfer ratio and form-factor ratio



$$\frac{G_{Ep}}{G_{Mp}} \neq -\frac{P'_x}{P'_z} \frac{(E_i + E_f)}{2m} \tan \frac{\theta_e}{2}$$

*But we can take advantage of this very precise experimental technique...*

- Compare  $e\text{-}p_{\text{bound}}$  to  $e\text{-}p_{\text{free}}$  by measuring:

- Polarization transfer double ratio:  $R = \left( \frac{P'_x}{P'_z} \right)_A / \left( \frac{P'_x}{P'_z} \right)_H$
- Other polarization observables sensitive to medium effects:  $P_y$

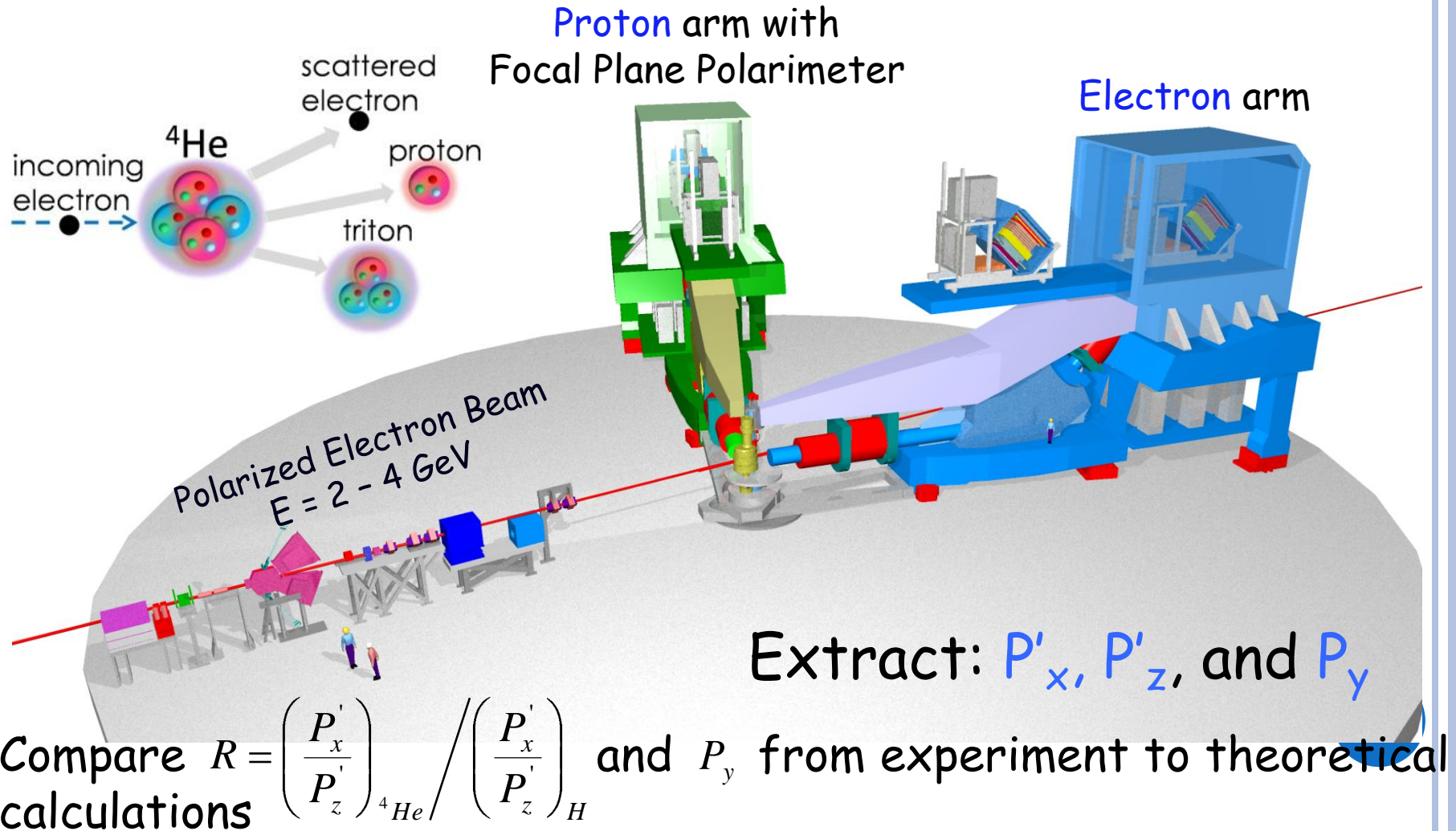
→ Put to test the modeling of nuclear medium effects in state-of-the-art nuclear physics calculations



# E93-049 in Hall A at JLab

$4\text{He}(e,e'p)3\text{H}$  in quasi-elastic kinematics  $Q^2 = 0.5 - 2.6 \text{ GeV}^2$

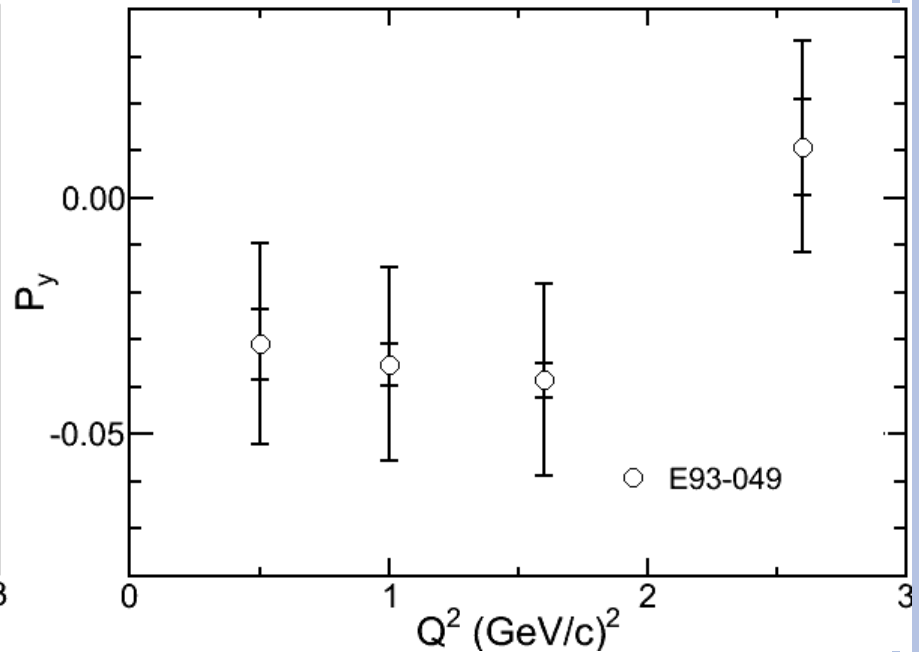
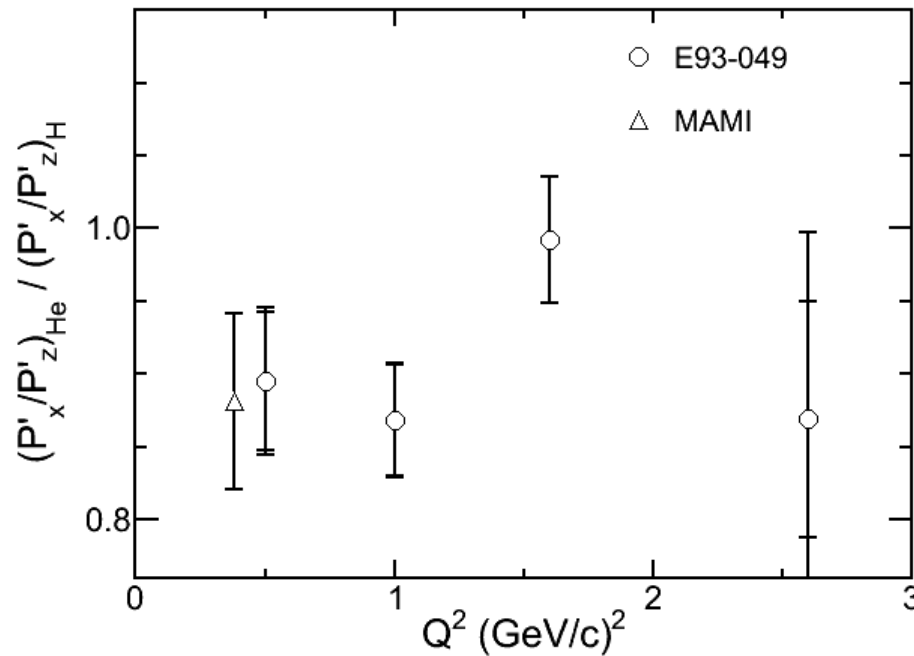
$\text{H}(e,e'p)$  in elastic kinematics...



# E93-049 Results

## Polarization transfer

➤  $^4\text{He}$  differs significantly from  $^1\text{H}$ : 10% reduction from 1 of  $\left(\frac{P'_x}{P'_z}\right)_{^4\text{He}} / \left(\frac{P'_x}{P'_z}\right)_H$



## Induced polarization

➤  $P_y$  in  $^4\text{He}(e,e'p)^3\text{H}$  is small:  $\sim -0.035$  for  $Q^2 \rightarrow (0.5 - 1.6) \text{ GeV}^2$  (rather large systematic uncertainties)

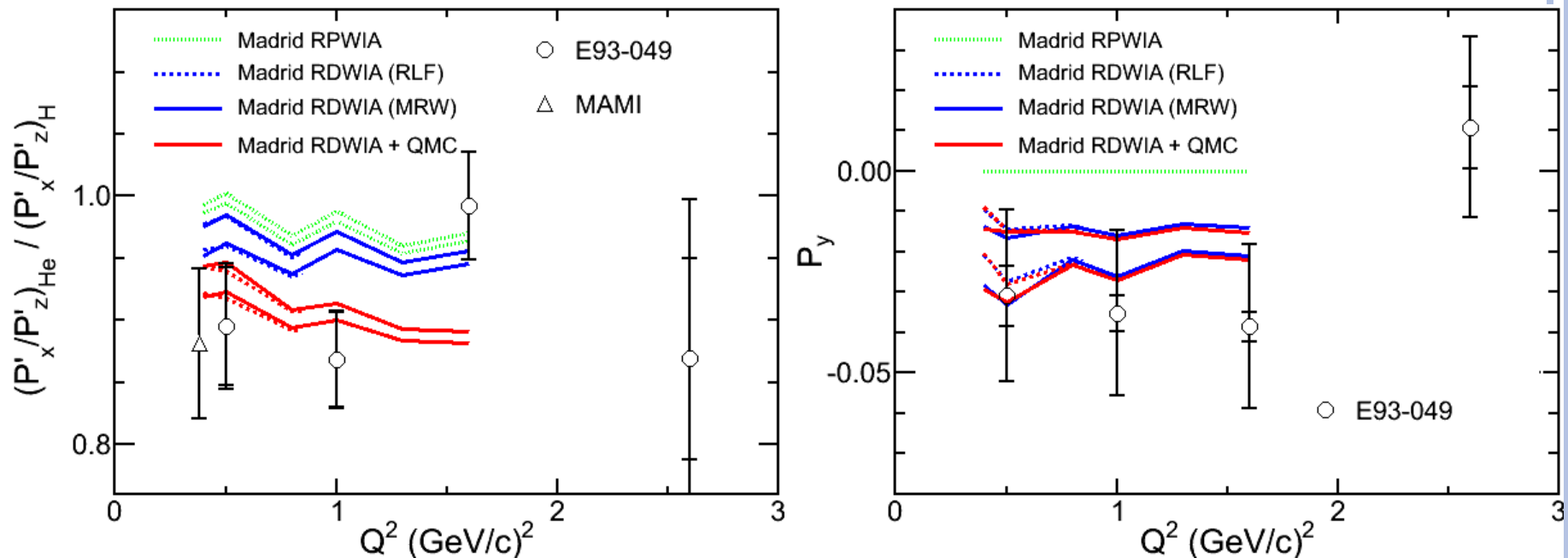
S. Strauch *et al.*, Phys. Rev. Lett. 91, 052301 (2003)



# E93-049: Interpretation (Madrid)

## Polarization transfer

- **RDWIA** calculation from Madrid fails to describe  $\left(\frac{P'_x}{P'_z}\right)_{^4\text{He}} / \left(\frac{P'_x}{P'_z}\right)_H$  from data
- **RDWIA** + **QMC** (density-dependent form factors) in agreement with data



## Induced polarization

- Data reasonably well described by **RDWIA** (within the large systematic uncertainties of data)
- $P_y$  **insensitive** to inclusion of **density-dependent form factors** but **sensitive** to the **cc** and **FSI** used



# The Madrid Calculation

## Relativistic Distorted Wave Impulse Approximation (RDWIA)

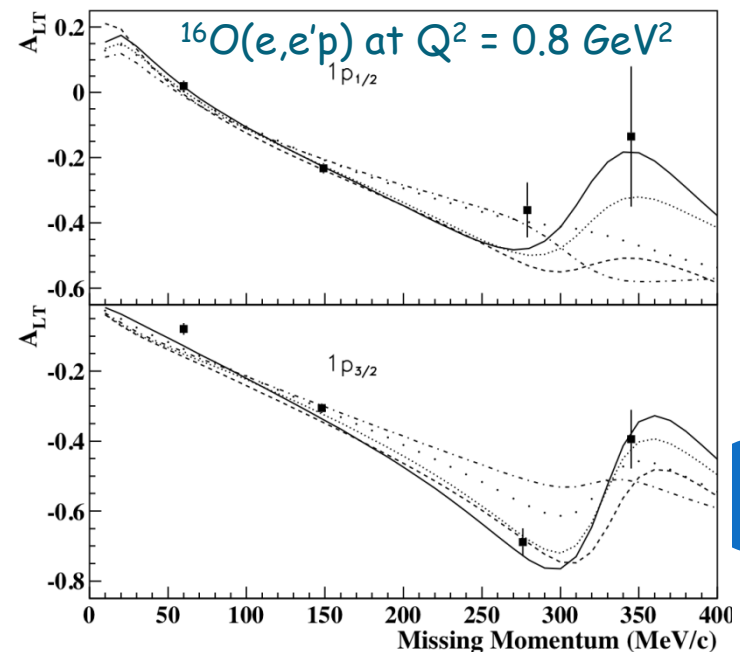
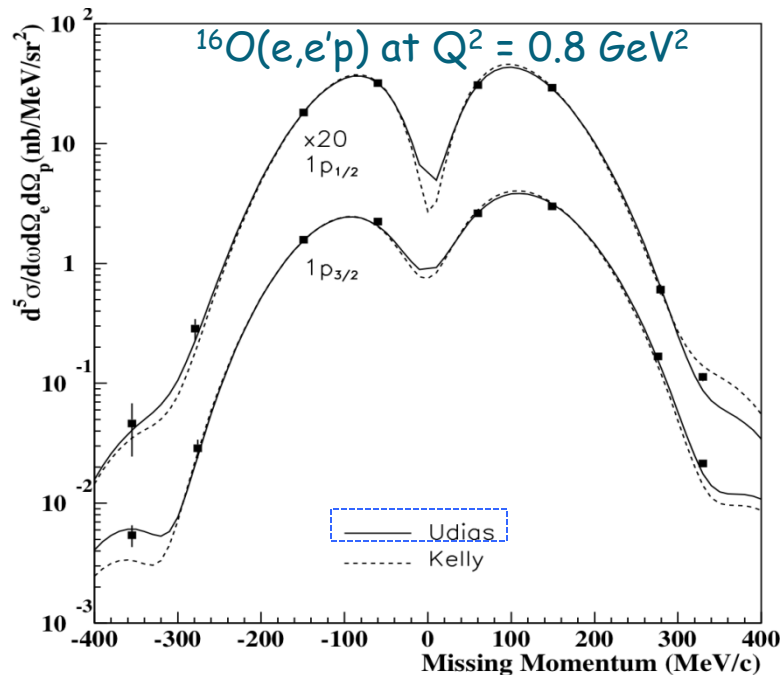
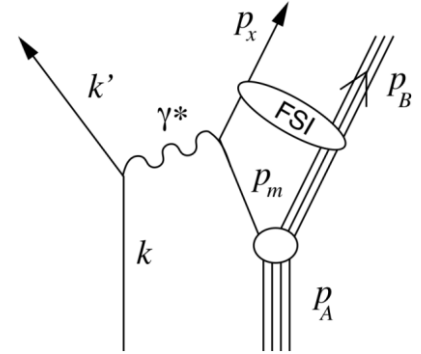
J.M. Udias *et al.*, Phys. Rev. Lett. 83, 5451 (1999)

$$J_N^\mu(\omega, \vec{q}) = \int d\vec{p} \bar{\psi}_F(\vec{p} + \vec{q}) \hat{J}_N^\mu(\omega, \vec{q}) \psi_B(\vec{p})$$

$\psi_B(\vec{p})$  relativistic wave function for initial bound proton

$\hat{J}_N^\mu(\omega, \vec{q})$  relativistic one-body proton current operator

$\psi_F(\vec{p} + \vec{q})$  relativistic wave function for final outgoing proton: solution of Dirac eq. with global optical potentials (central + spin dependent)





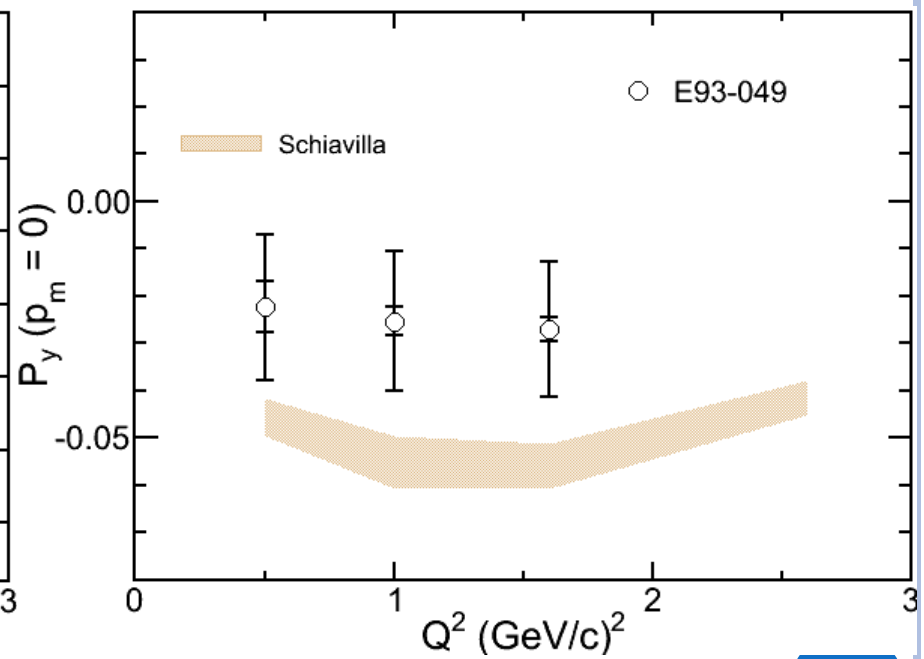
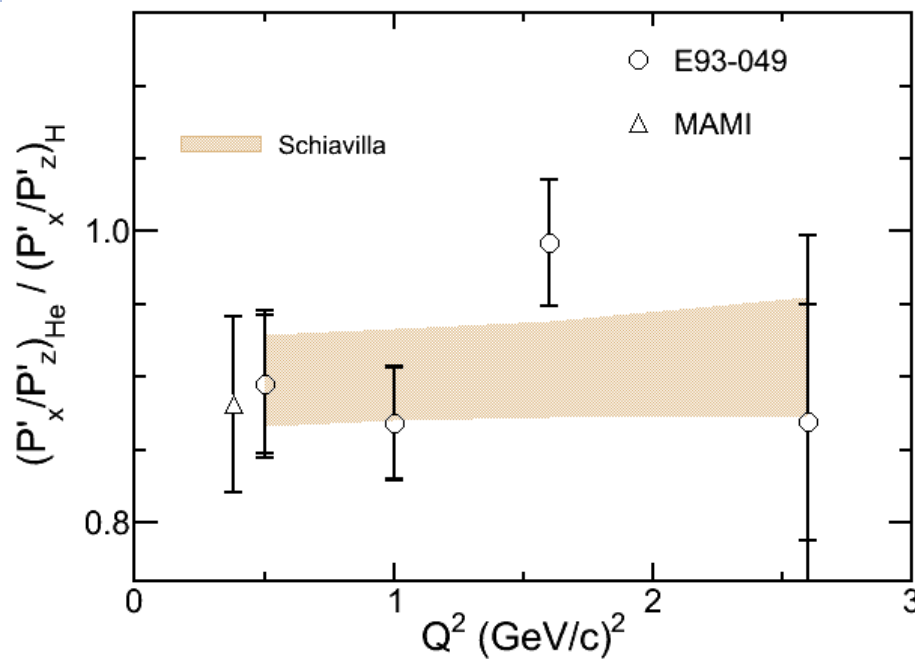
# E93-049: Different Interpretation

(R. Schiavilla *et al.*)

## Polarization transfer

➤  $\left(\frac{P'_x}{P'_z}\right)_{^4\text{He}} / \left(\frac{P'_x}{P'_z}\right)_H$  from data described by a calculation from Schiavilla *et al.*

(free nucleon form factors but different modeling of FSI and wave function + 2-body current)



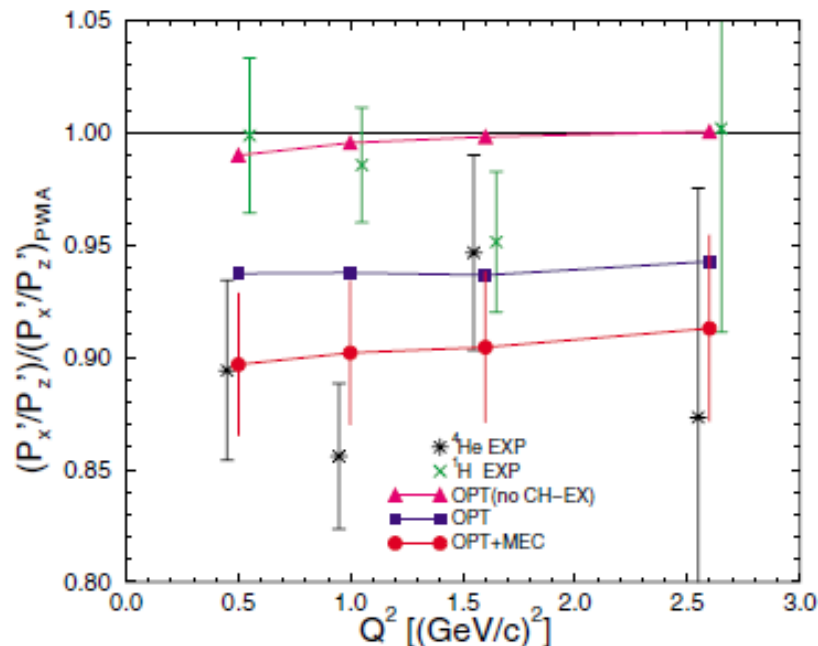
## Induced polarization

➤  $P_y$ : calculation slightly overestimates the data (absolute value)

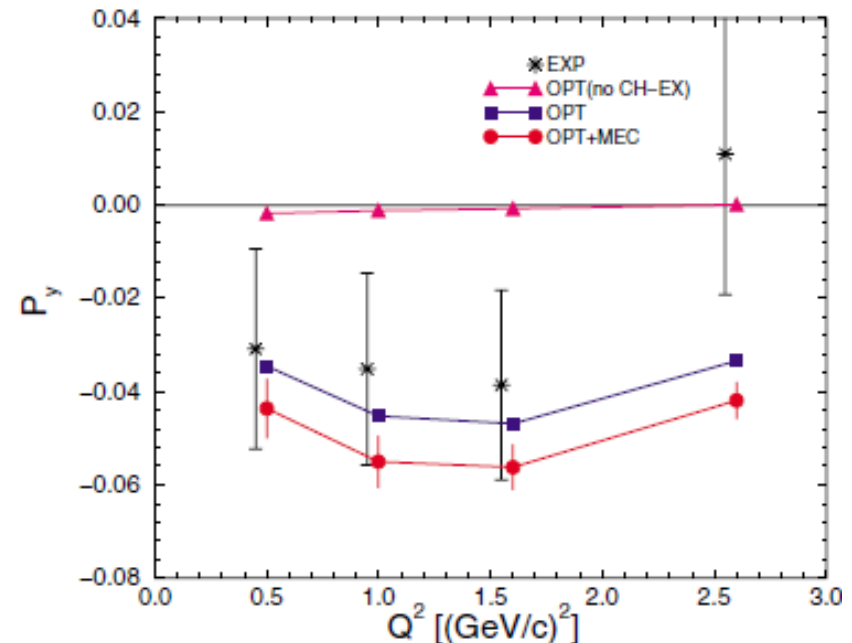
# Calculation from R. Schiavilla

- Variational wave functions for the bound three- and four-nucleon systems
- 2-body current: nonrelativistic MEC
- FSI: optical potentials with an additional charge-exchange term, largely unconstrained
- Free proton form factors

R. Schiavilla *et al.*, Phys. Rev. Lett. 94, 072303 (2005)



- FSI, no CH-EX: reduction by ~ 0.5 %
- FSI + CH-EX: reduction by ~ 5.5 %
- MEC: reduction by ~ 4%

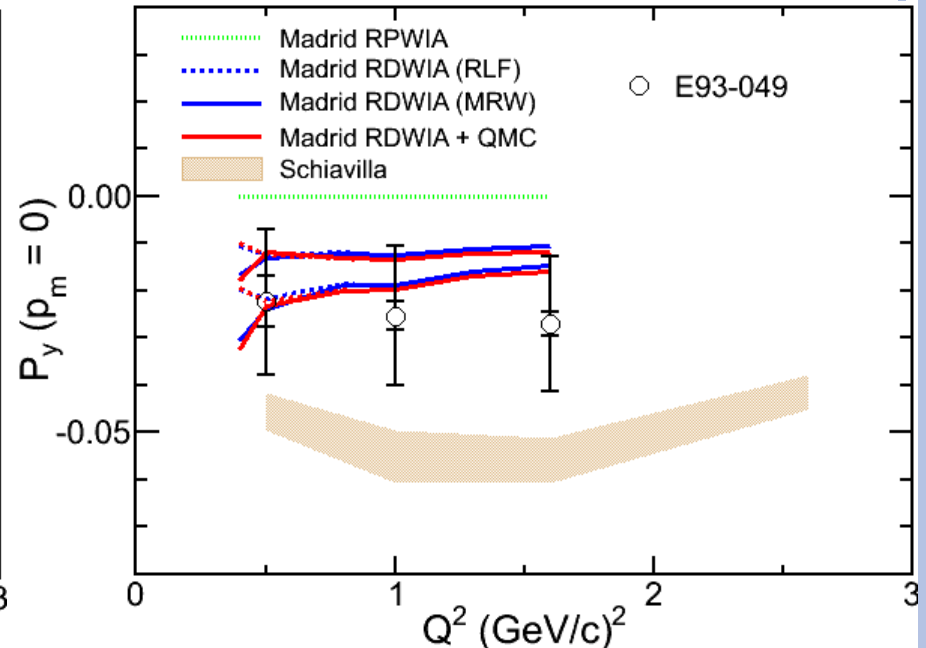
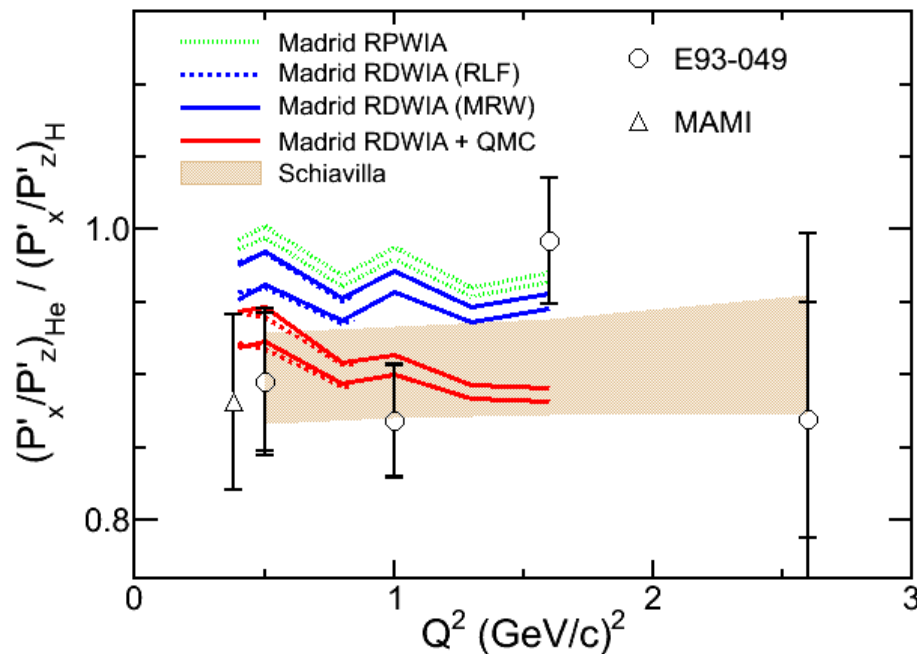


- FSI, no CH-EX: ~ 0
- FSI + CH-EX: ~ -0.045
- MEC: ~ -0.055

# Recap

## Polarization transfer

- Data consistent with: **RDWIA** + **QMC** (medium-modified form-factors) or FSI with **charge-exchange** + **MEC** + **free form-factors**



## Induced polarization

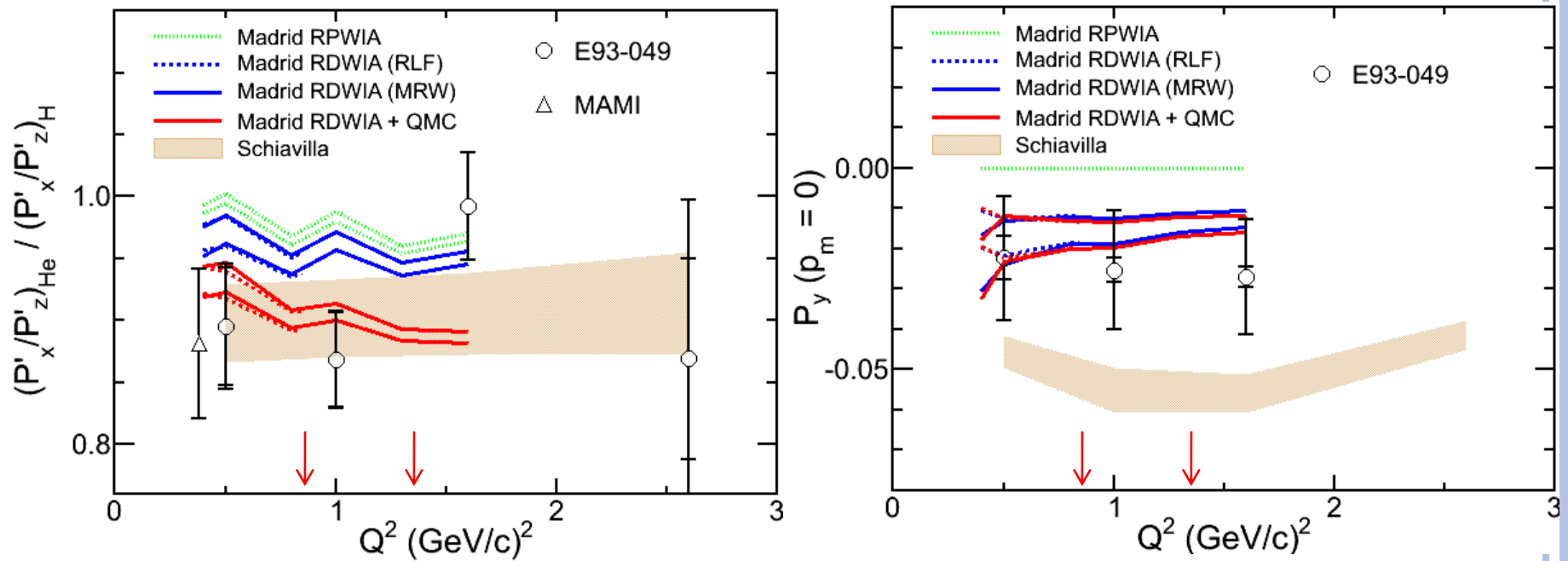
- The two calculations differ in their description of  $P_y$
- Systematic uncertainties on data too large to make a definite claim
- $P_y$  becomes the key in the interpretation of the polarization-transfer ratio

# E03-104 in Hall A at JLab

$4\text{He}(e,e'p)3\text{H}$  in quasi-elastic kinematics  $Q^2 = 0.8$  and  $1.3 \text{ GeV}^2$

$\text{H}(e,e'p)$  in elastic kinematics...

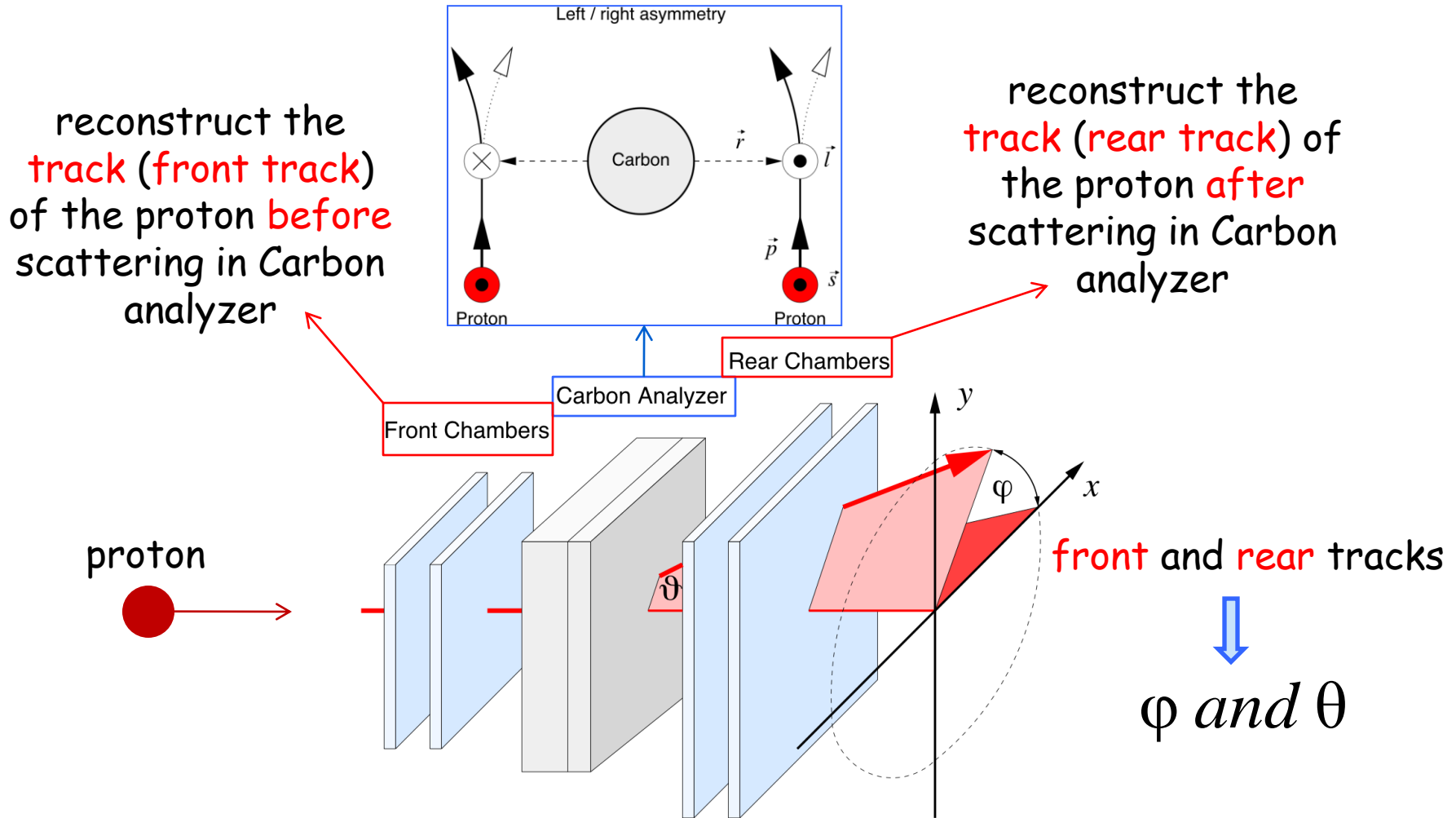
Small missing momenta ( $< 120 \text{ MeV}$ )



- Extract with greater accuracy  $(P'_x/P'_z)_{\text{He}} / (P'_x/P'_z)_H$  and  $P_y$
- Set tight constraints on the modeling of nuclear medium effects



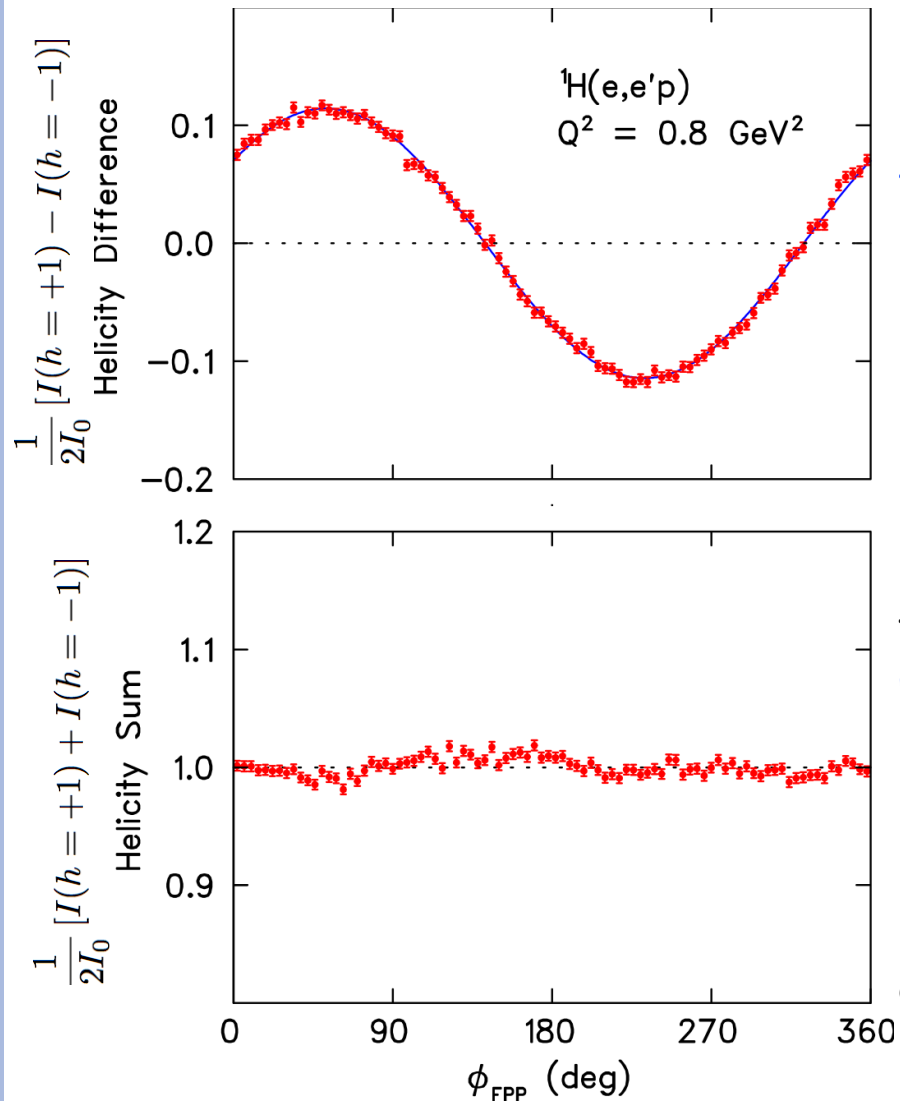
# Polarization Measurements



➤ Observed angular distribution:

$$\begin{aligned}
 I(\vartheta, \varphi) &= I_0(\vartheta) (1 + \epsilon_y \cos \varphi + \epsilon_x \sin \varphi) \\
 &= I_0(\vartheta) [1 + A_C (P_y \cos \varphi - P_x \sin \varphi)]
 \end{aligned}$$

# Observed Angular Distribution for $H(e,e'p)$



➤ Very good control of systematic uncertainties for **polarization transfer**

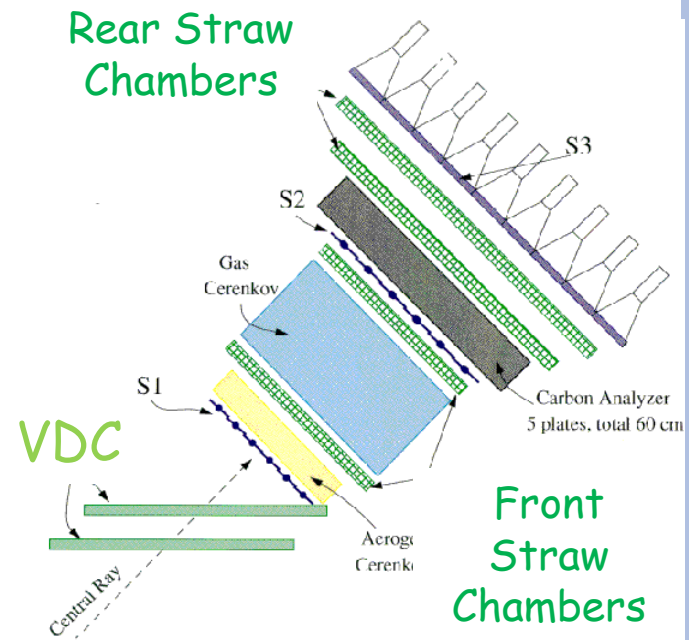
➤ Extract  $G_E/G_M$  and the analyzing power  $A_c$

➤ Instrumental asymmetries complicate the extraction of the **induced polarization**

➤  $P_y$  in  $H(e,e'p)$  (zero in OPE) can be roughly used as a check for instrumental asymmetries (similar coverage for H as for  $^4\text{He}$ )

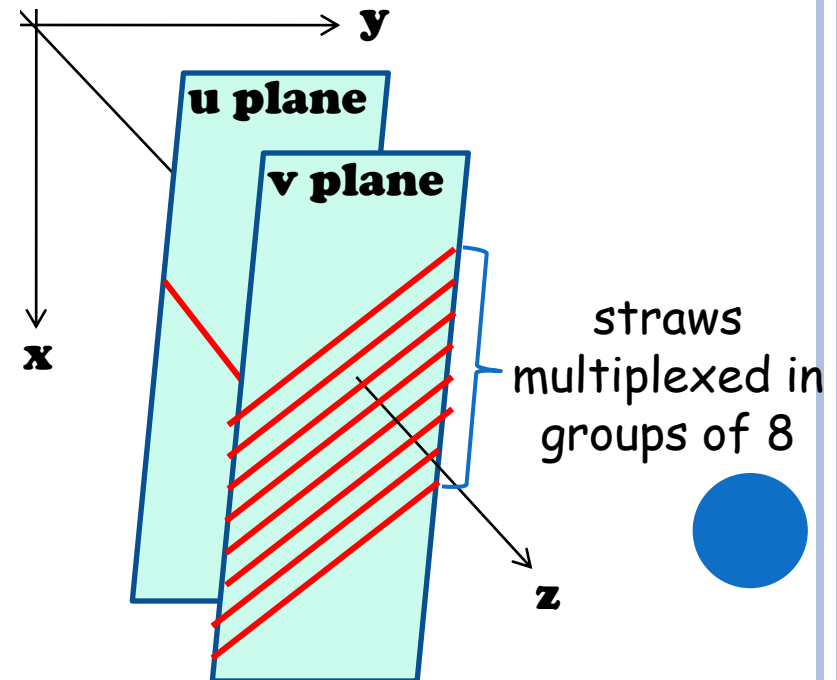
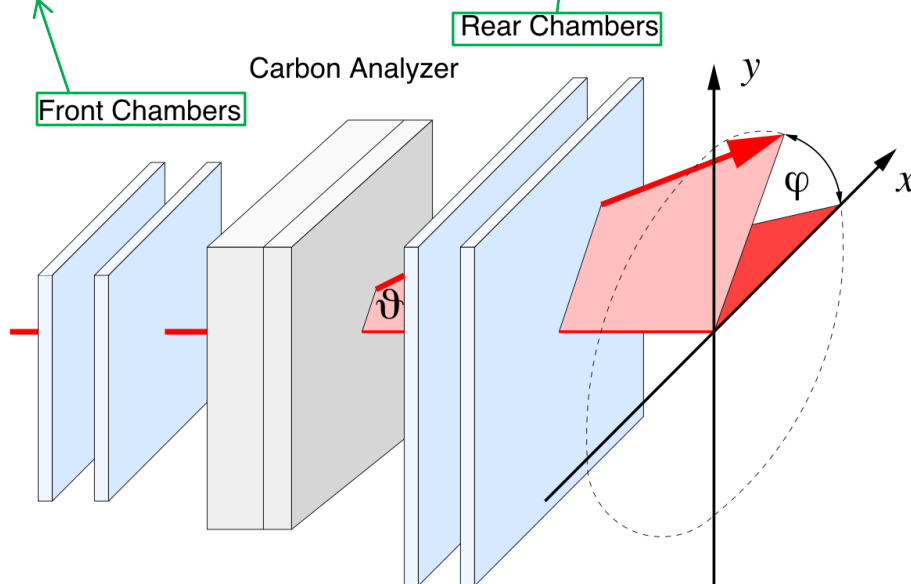
# Chambers Info

- **VDC** (wire chambers): proton track before entering the FPP
- **Front & Rear FPP chambers** (straw chambers): proton track before and after scattering in the Carbon analyzer  $\Rightarrow$  the angular distribution



3 u and 3 v planes each

Rear 1: 2 u, 2 v  
Rear 2: 3 u, 3 v



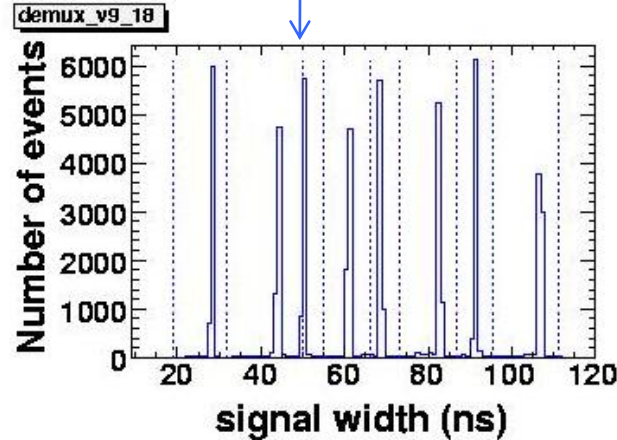
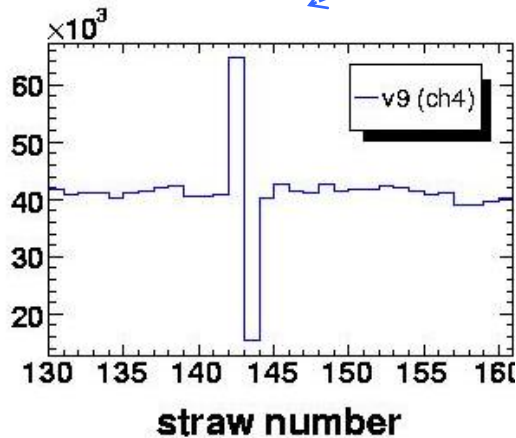


# FPP Chambers: Demultiplexing Cuts

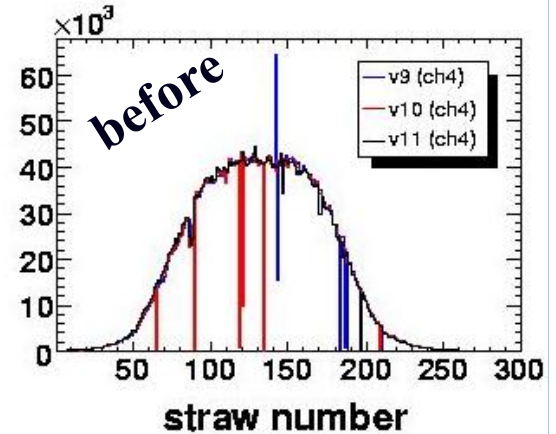
wrong  
demultiplexing  
cut



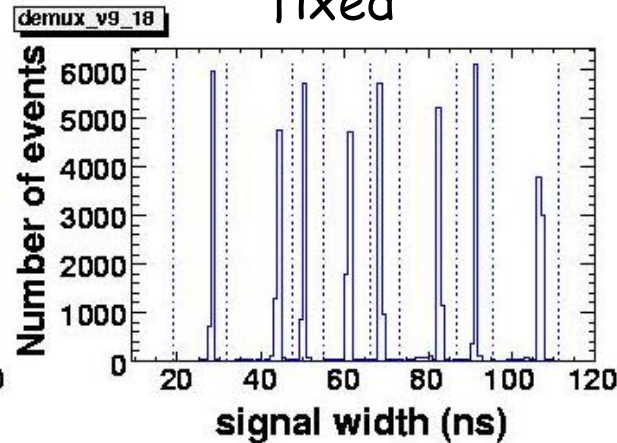
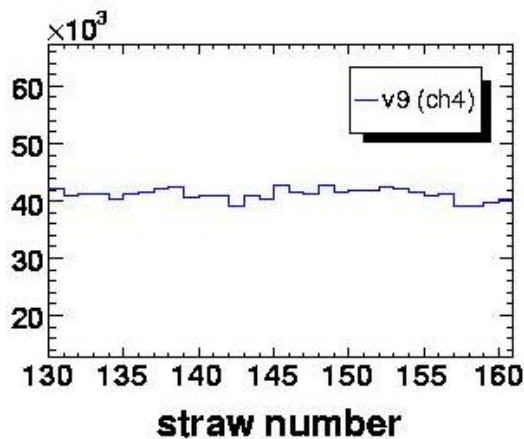
Number of Events



Number of events

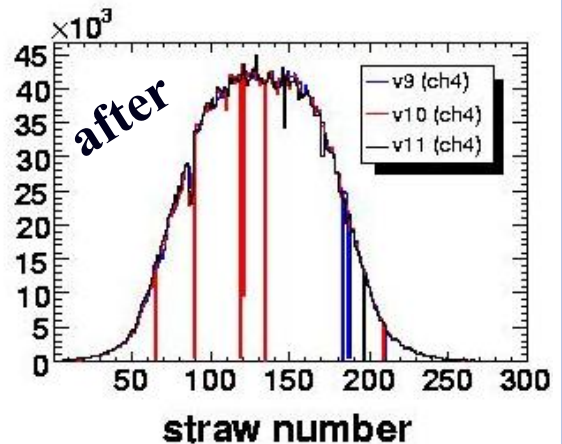


Number of Events



Number of events

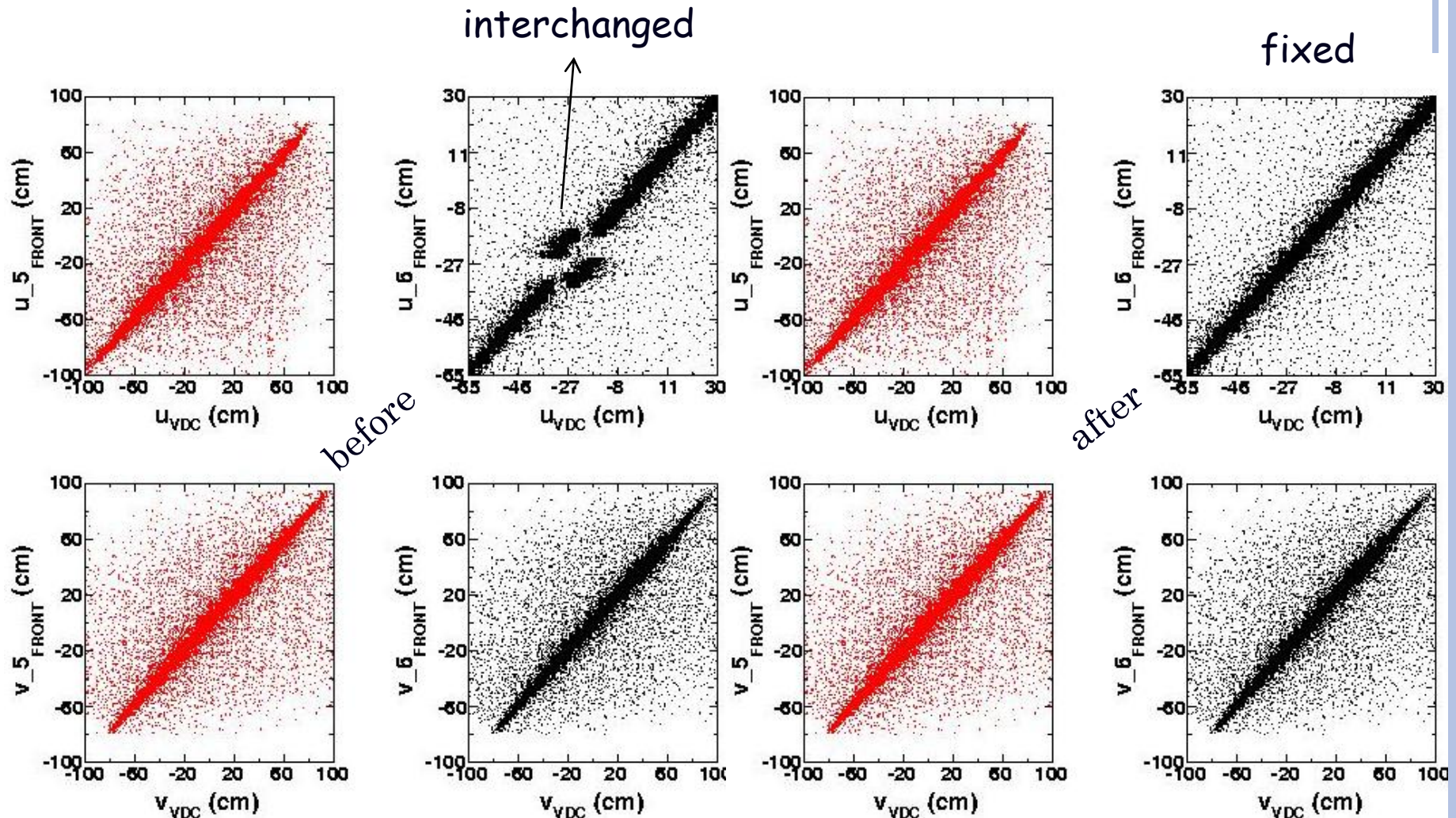
fixed





# Wire Groups

- Check for interchanged wire groups: in the last plane u of second Front chamber: wire groups 10 and 11 interchanged



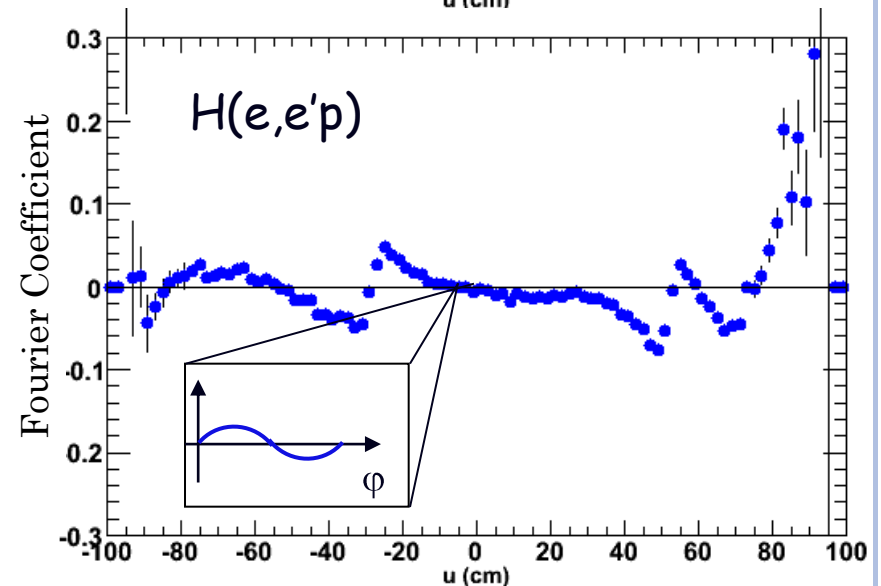
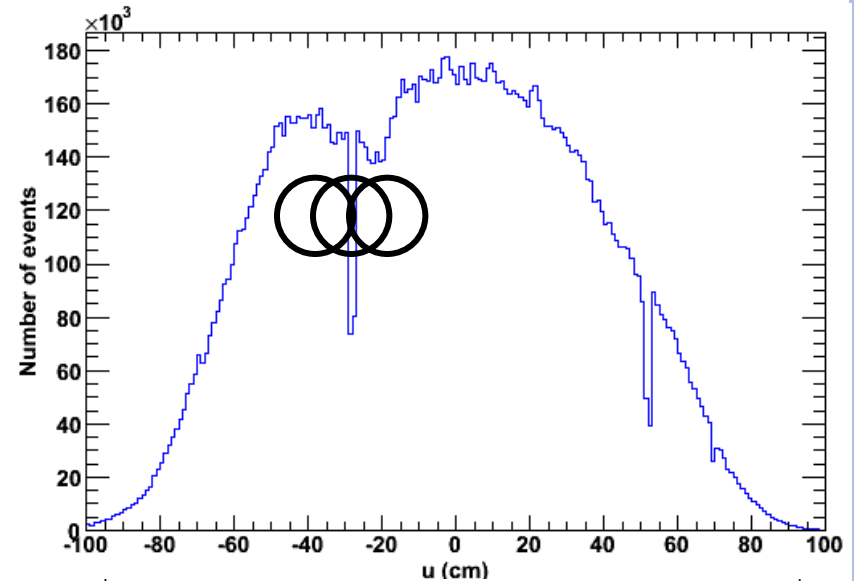
# Tracking

- **Dead wires** in FPP chambers: strict requirements of the **standard tracking algorithm** cannot be met => **"holes"** in the event distribution
- **Inefficient regions** in chambers cause **instrumental asymmetries**

First order Fourier Coefficients (asymmetries):

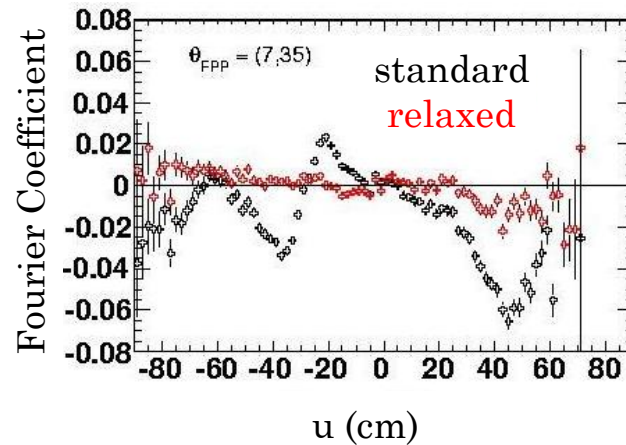
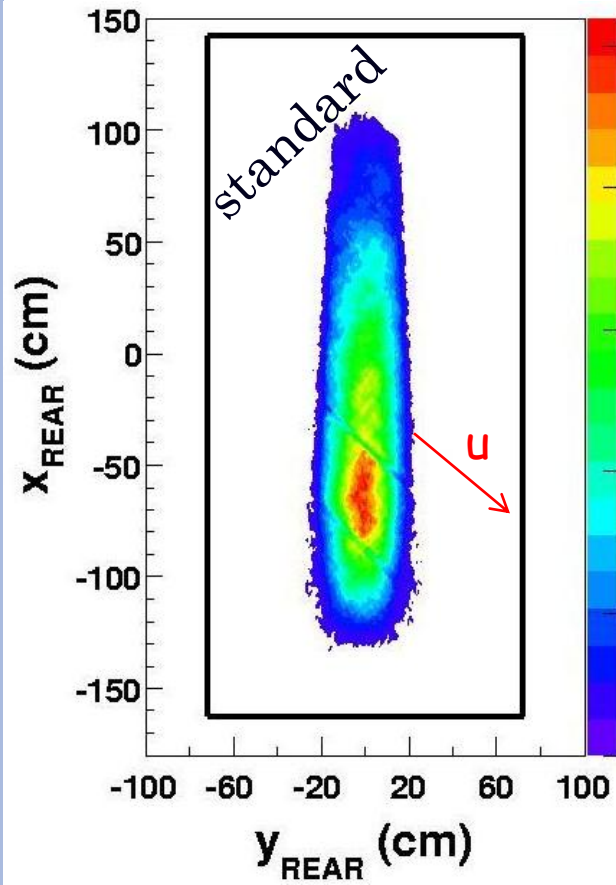
$$\varepsilon_y = \frac{\sum \sin \phi}{\sum \sin^2 \phi} \quad \varepsilon_x = \frac{\sum \cos \phi}{\sum \cos^2 \phi}$$

- **Plan of attack:** accept poorer tracking resolution in order to fill the holes => **relaxed tracking algorithm**

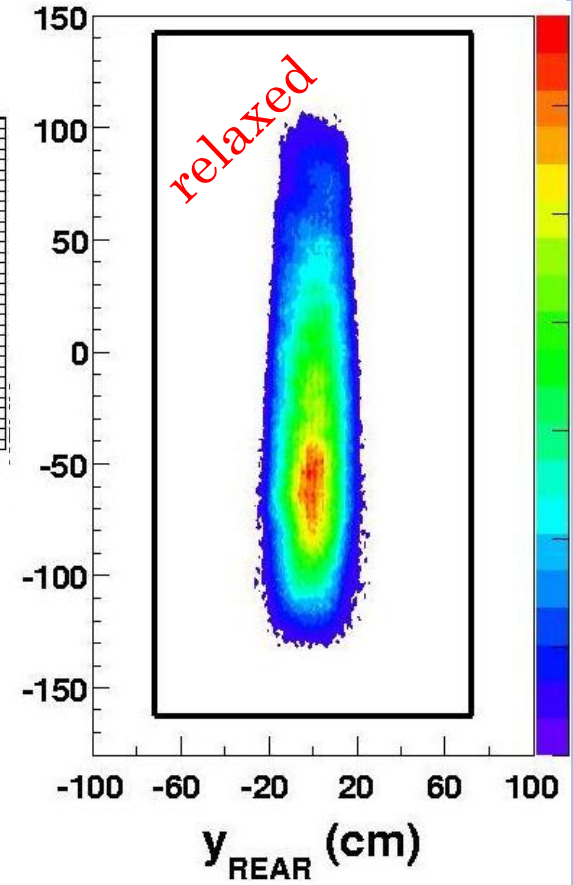


# Relaxed Tracking

- Standard tracking:
  - at least 1 hit in each chamber & at least 3 hits in total (left-right ambiguities)
  - Standard tracking algorithm *too restrictive* if planes have dead wires
- Relaxed tracking: at least 1 hit in each of the rear chambers
  - if 1 hit in each chamber  $\Rightarrow$  track
  - if 1 hit just in one of the chambers  $\Rightarrow$  hit + p-Carbon vertex = track



Relaxed tracking: local false asymmetries gone





# Alignment

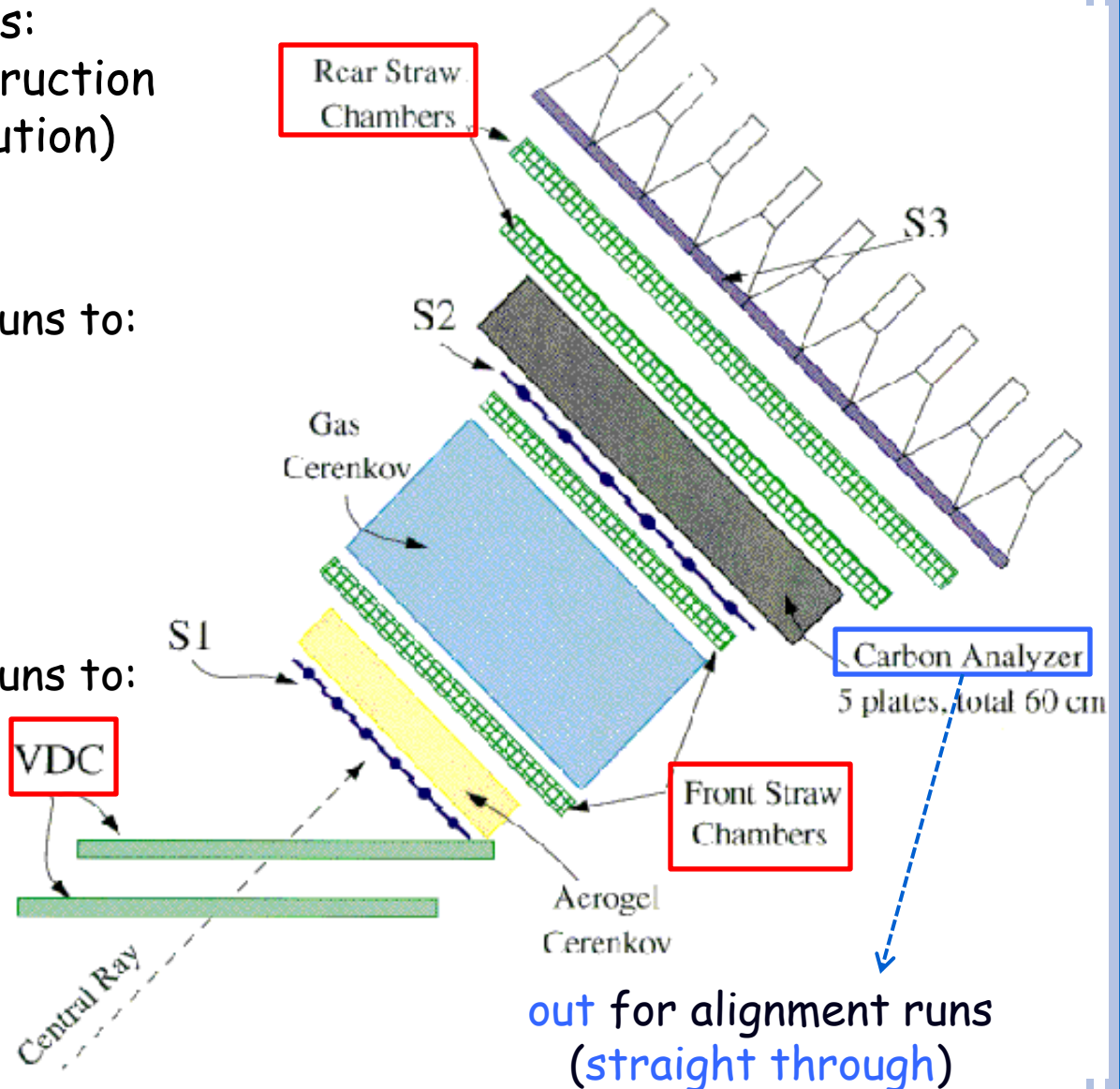
- **Front** and **Rear** chambers:  
**aligned** for proper reconstruction  
of  $\theta$  and  $\phi$  (angular distribution)

## Standard procedure

- Use "straight-through" runs to:
  - align **VDC-Front** tracks
  - align **Front-Rear** tracks

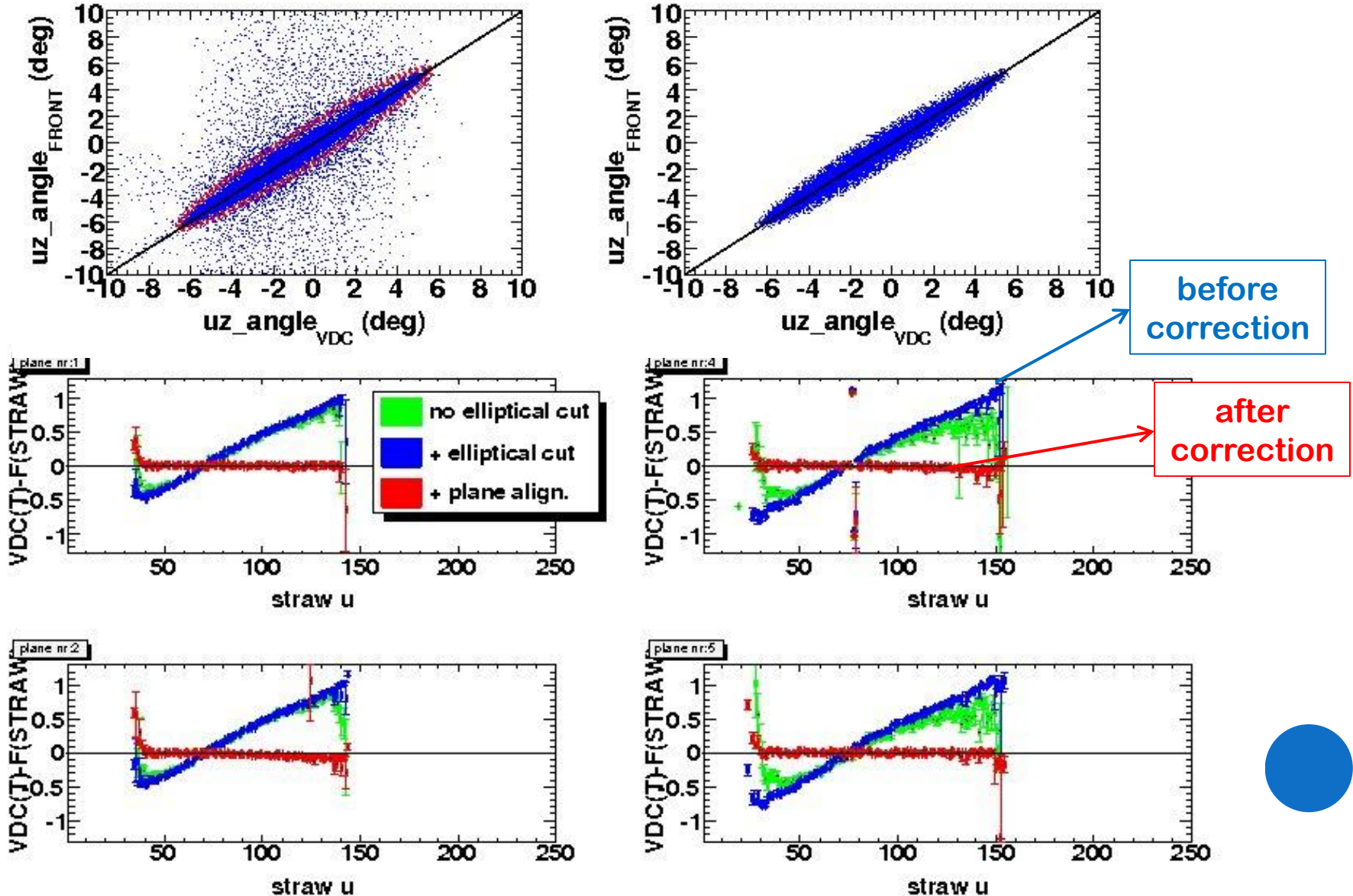
## Our procedure

- Use "straight-through" runs to:
  - align **VDC-Front** planes
  - align **Front-Rear** planes
- align tracks if necessary



# Plane Alignment

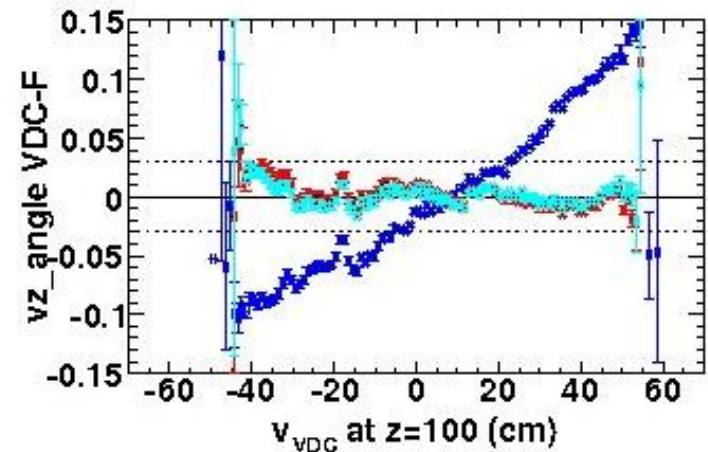
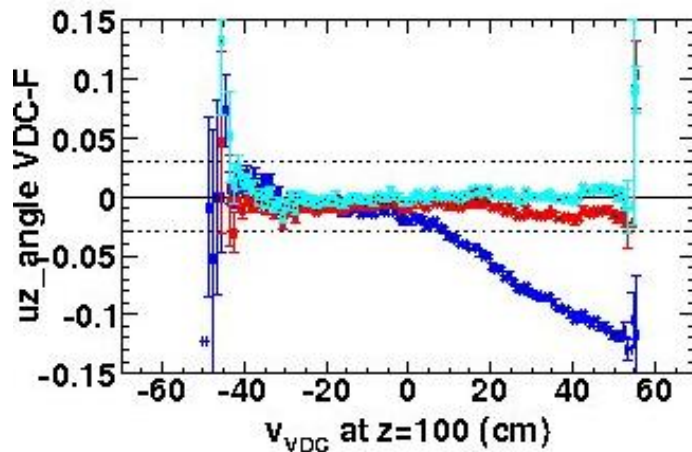
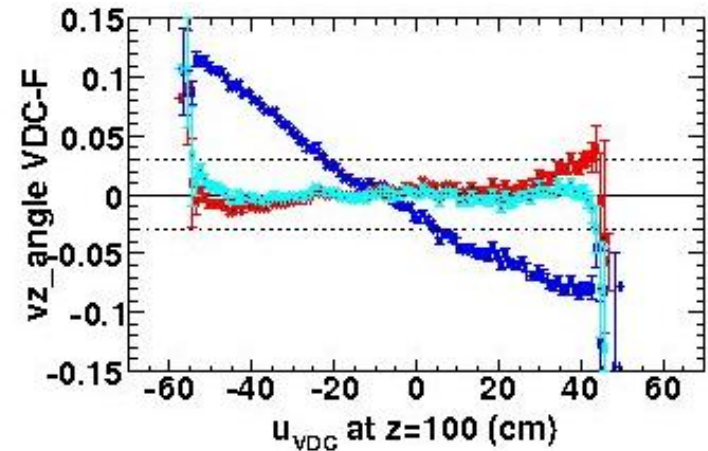
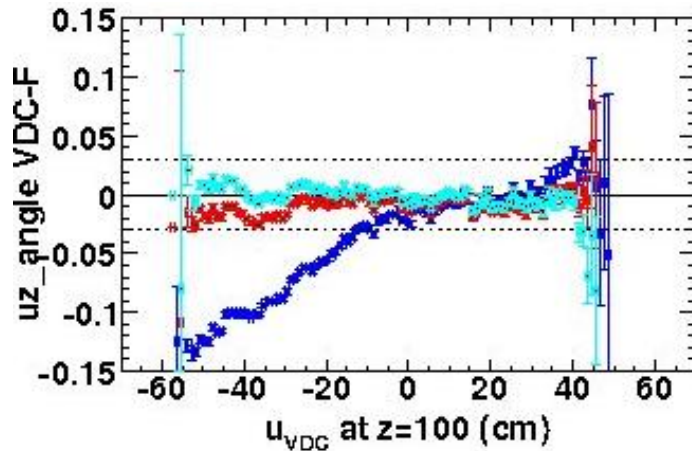
- Select a "clean" sample of events and determine  $\Delta u, v(\text{wire})$



# Track Alignment

- Align tracks in **u** and **v**: VDC-Front

No alignment  
Plane alignment  
Plane + track alignment

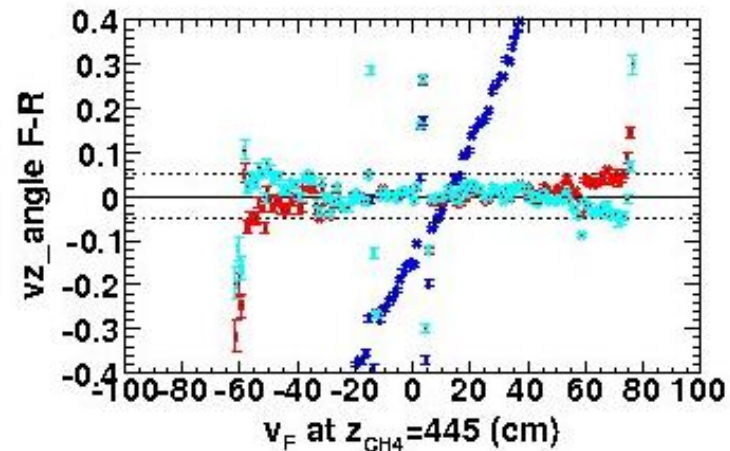
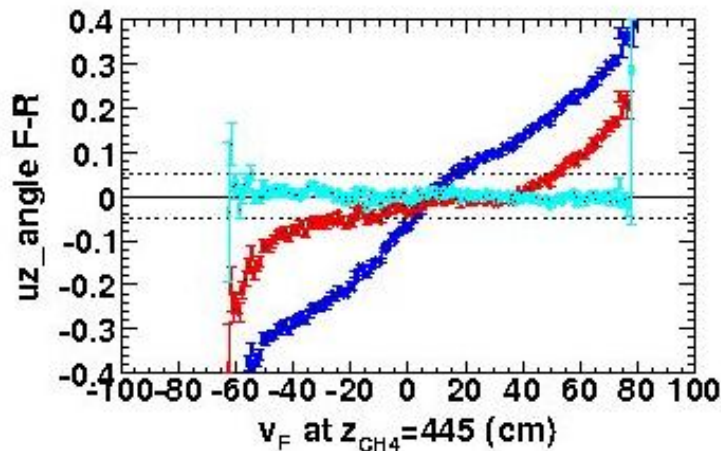
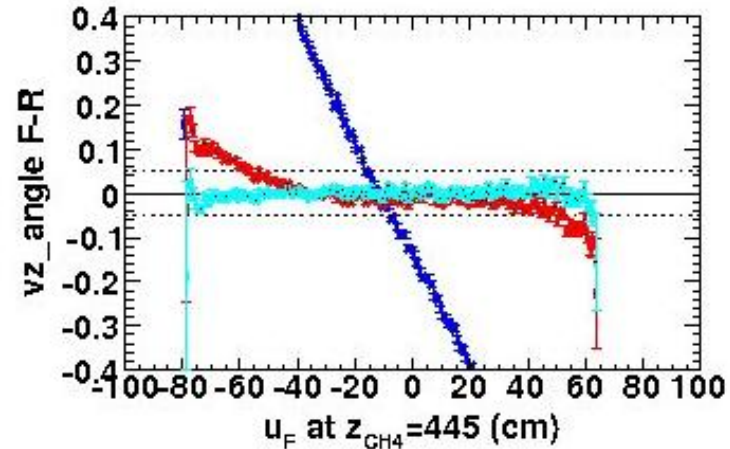
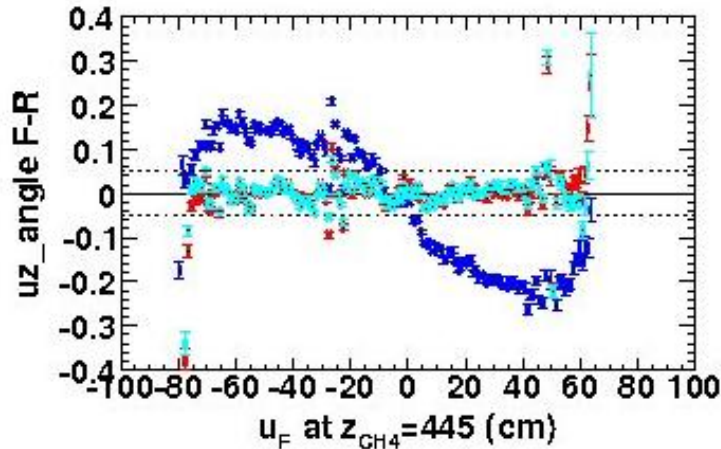




# Track Alignment

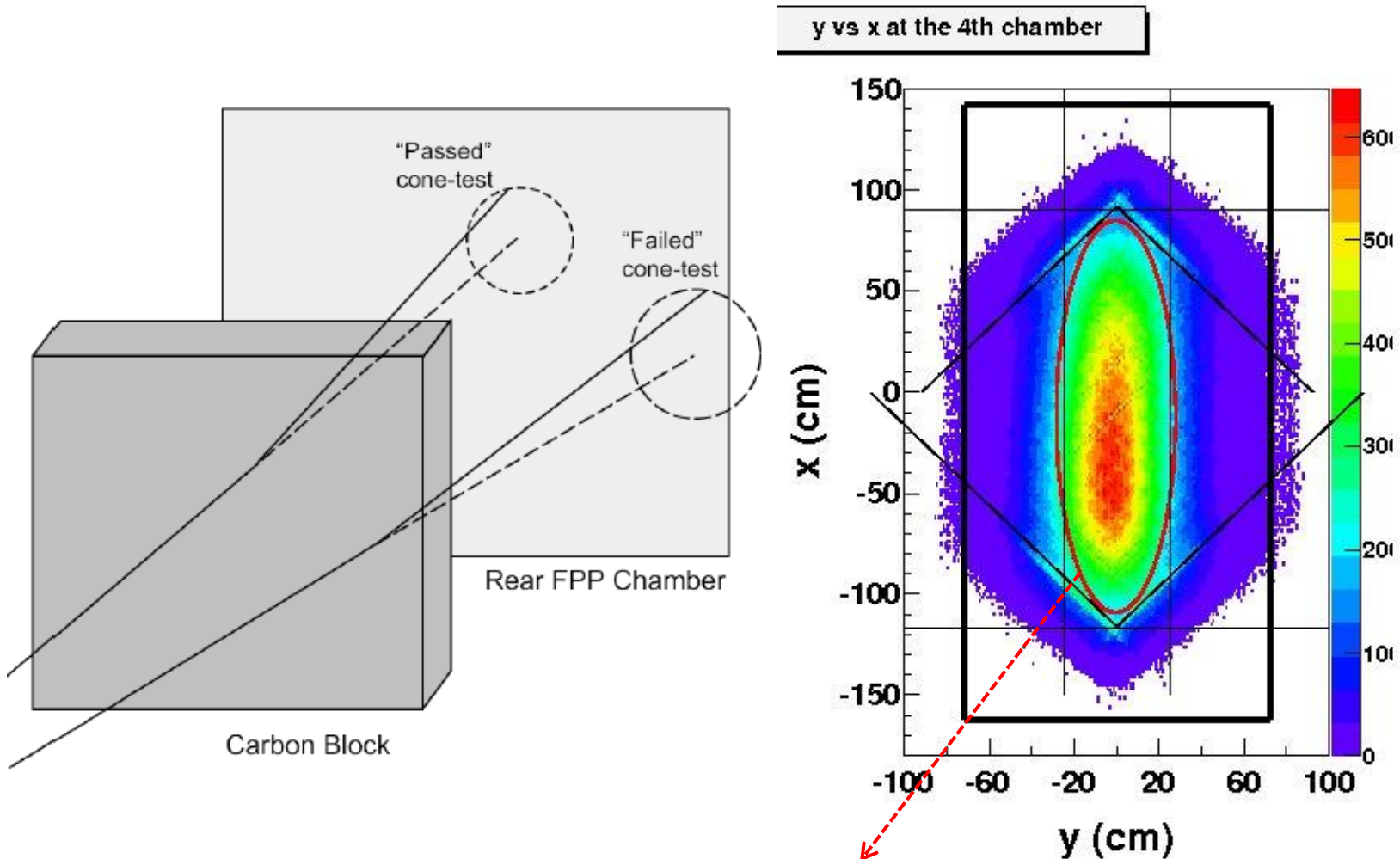
- Align tracks in **u** and **v**: Front-Rear

No alignment  
Plane alignment  
Plane + track alignment



# Cone Test & Cone Test Cuts

- Cuts to delimit the region where alignment coefficients are constrained

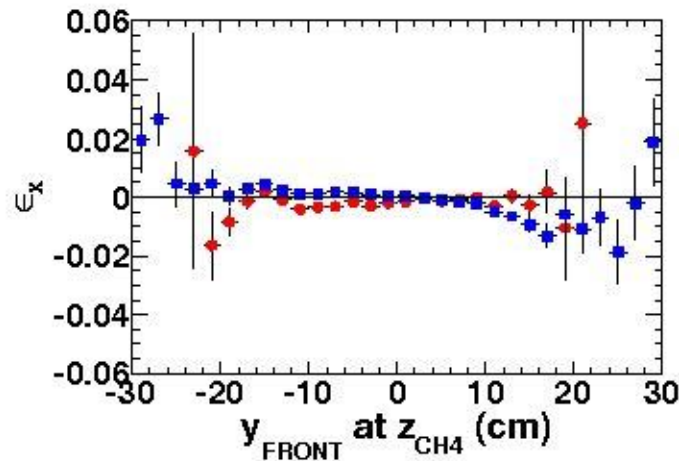
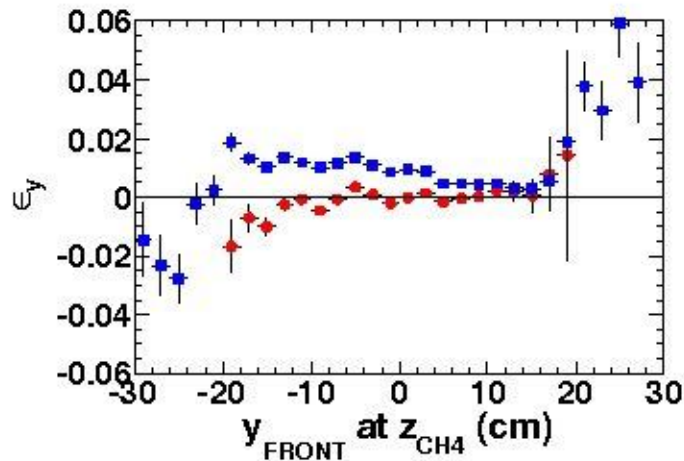
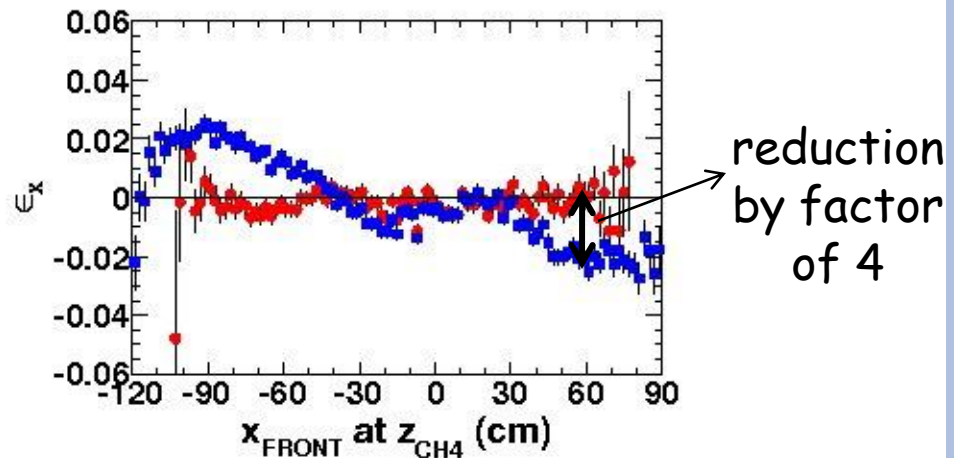
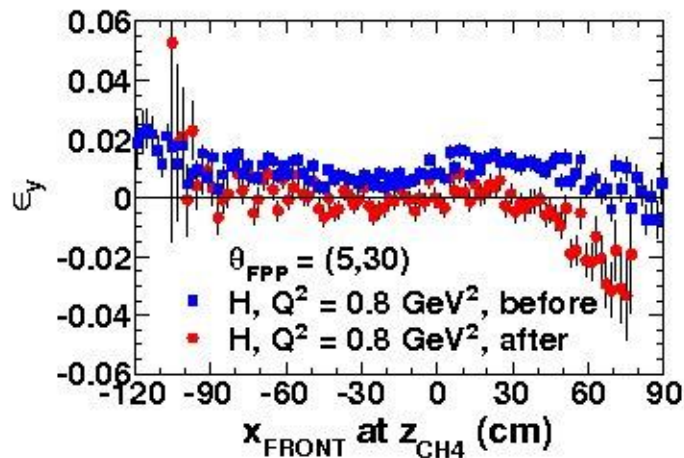


- Elliptical cut to select the safe active region to be used



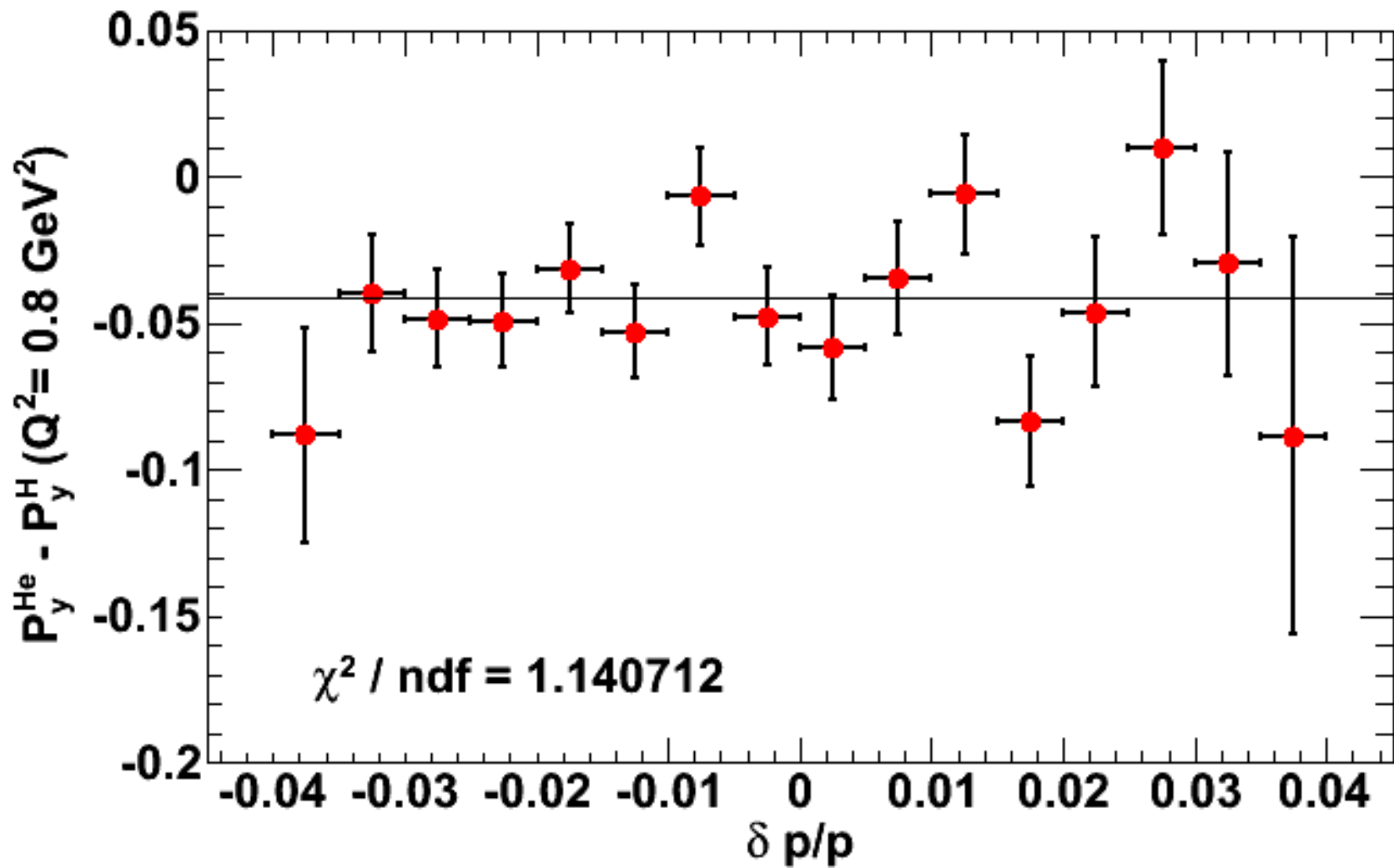
# Fourier Coefficients

- After new tracking, alignment and news cone test cuts: **reduction of false asymmetries**

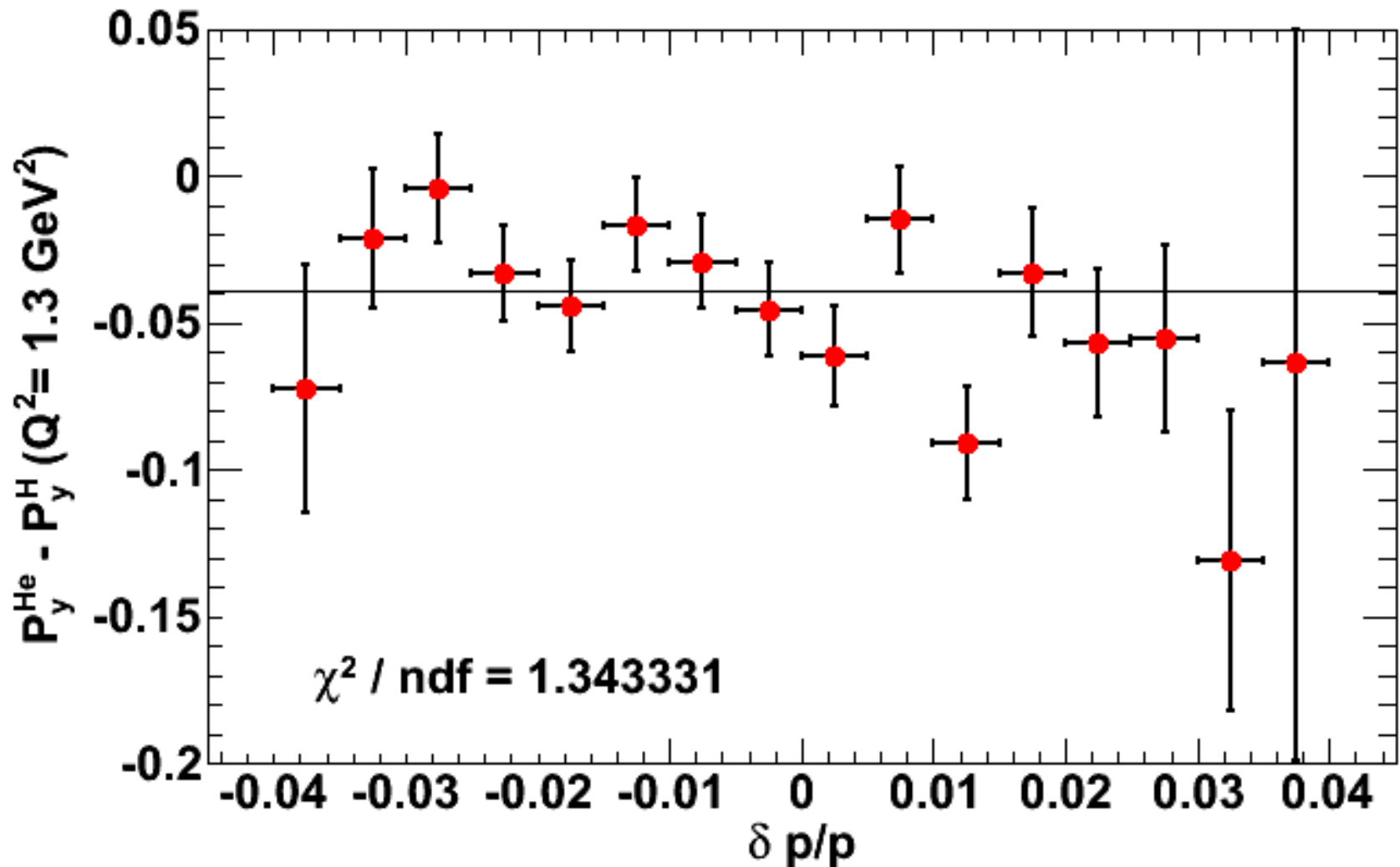


- Not perfect so we still rely on **subtraction of  $P_y(H)$  from  $P_y(He)$**  to cancel some of the remaining false asymmetries

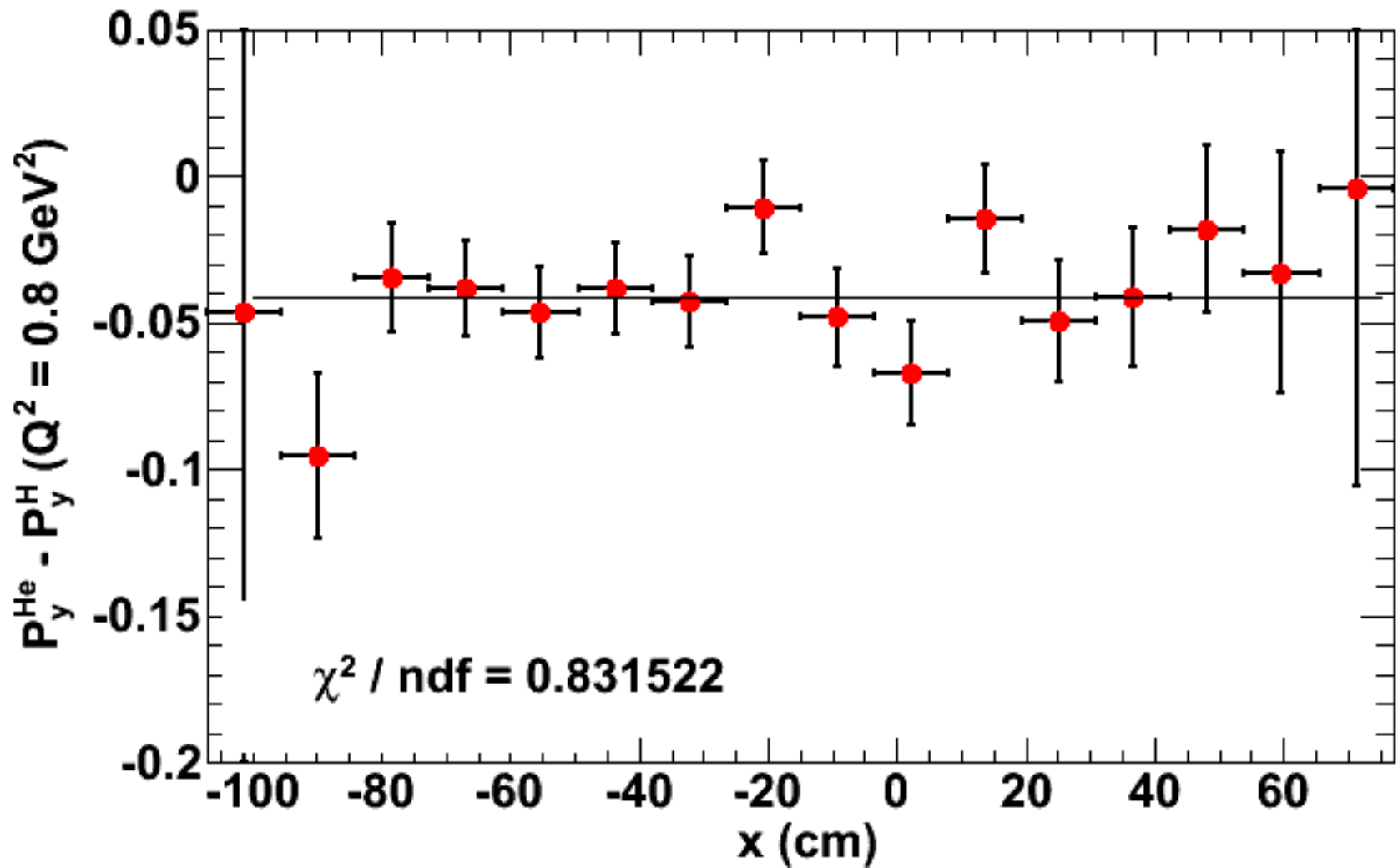
# $P_y$ : Systematic Checks



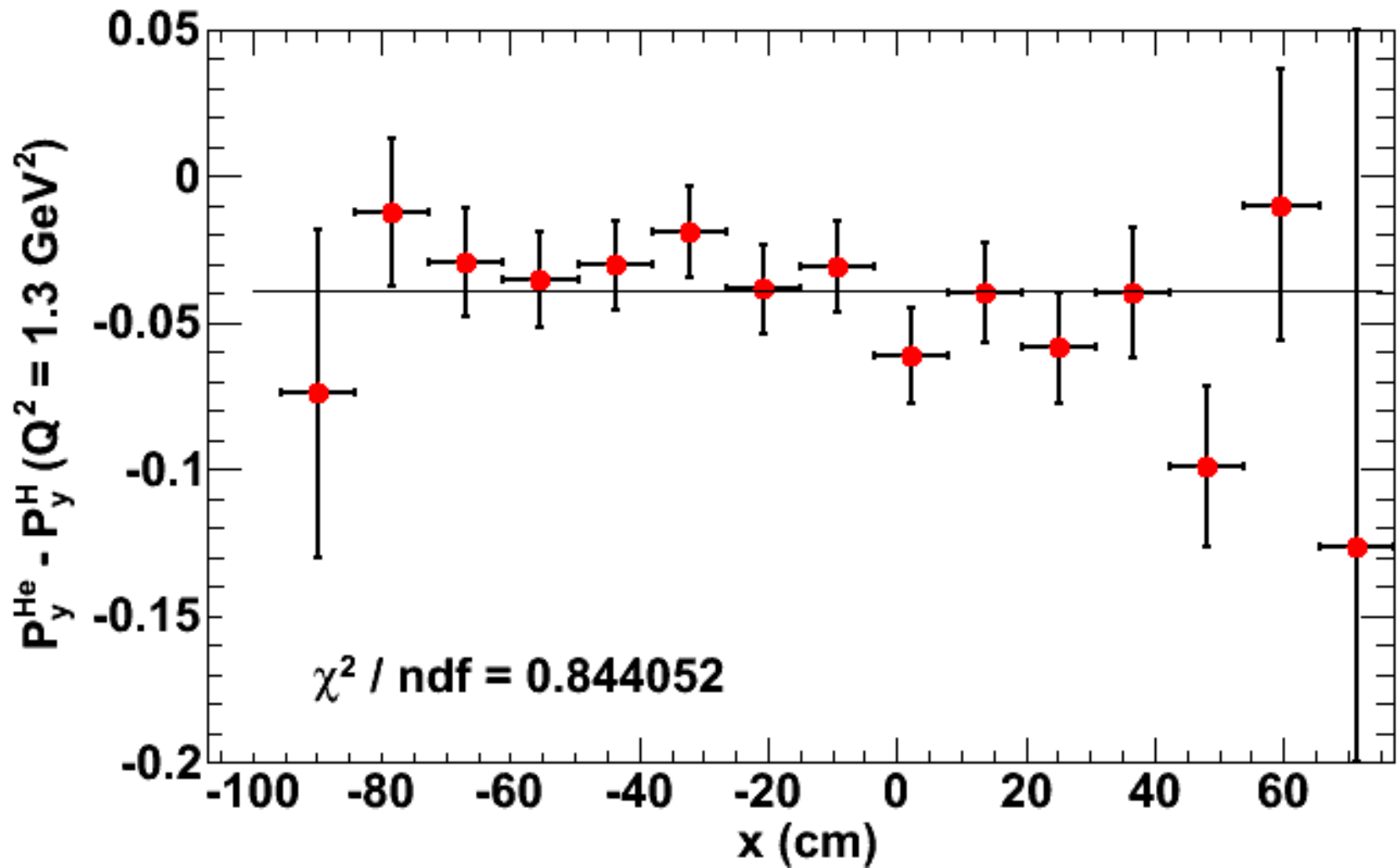
# $P_y$ : Systematic Checks



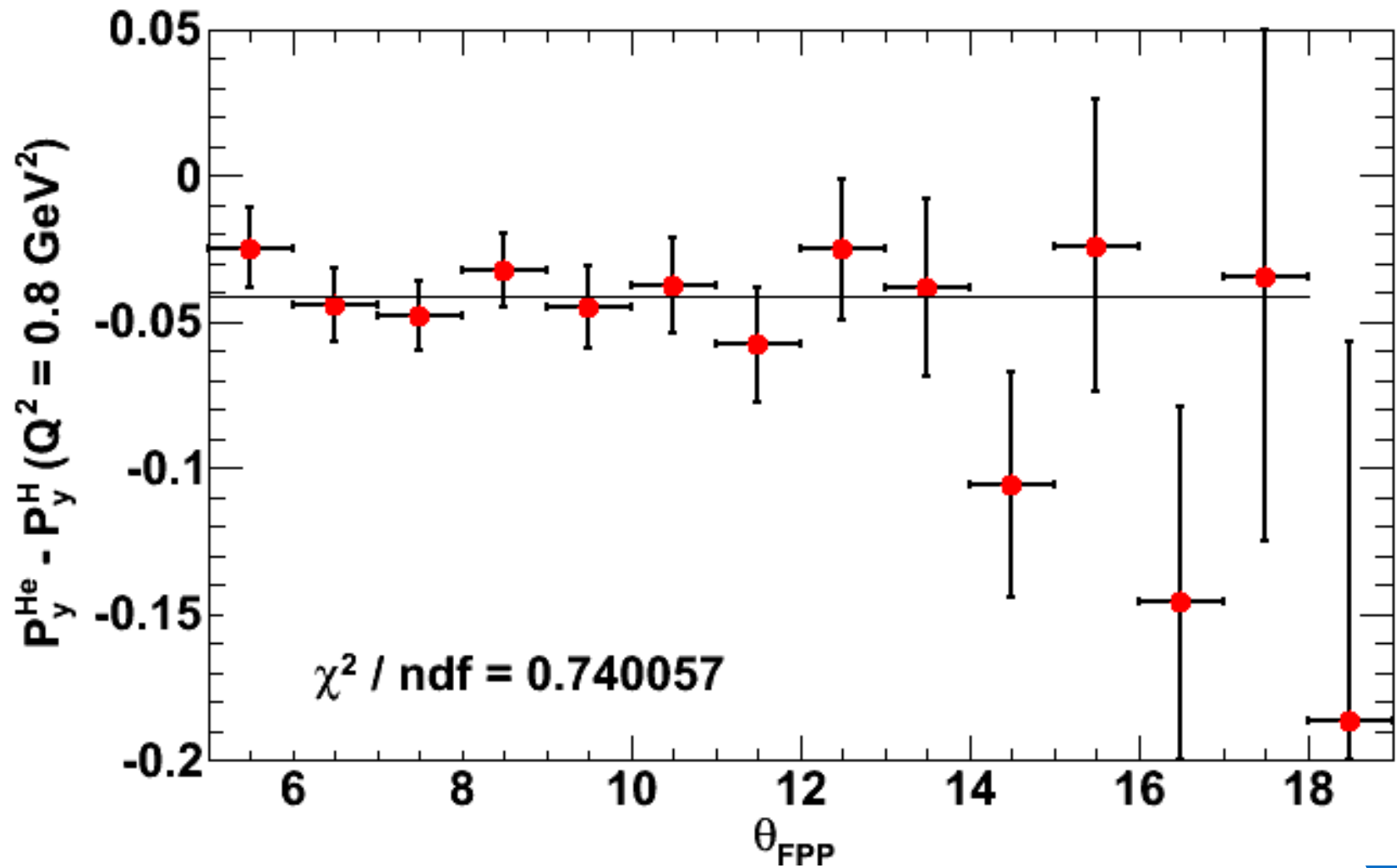
# $P_y$ : Systematic Checks



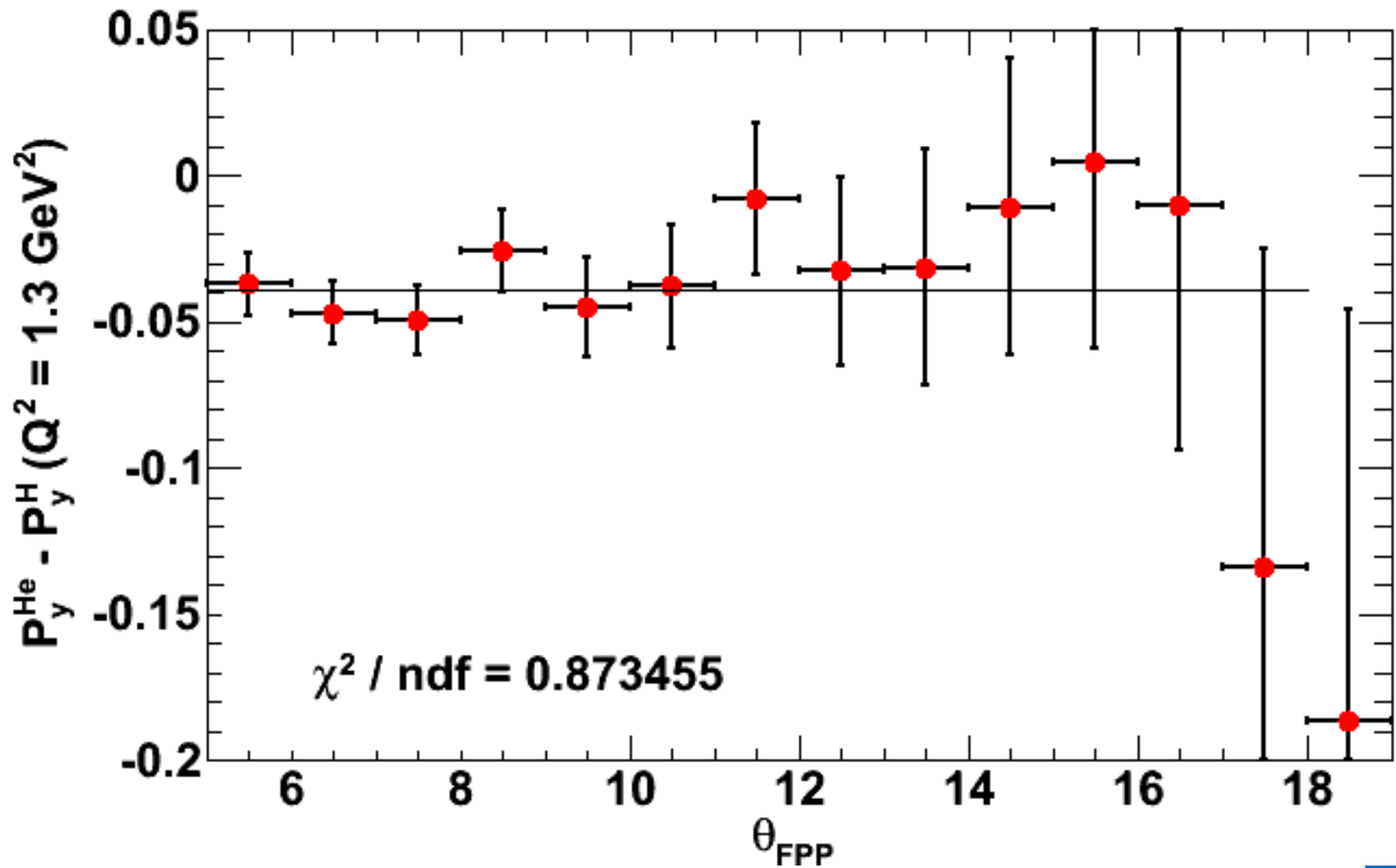
# $P_y$ : Systematic Checks



# $P_y$ : Systematic Checks

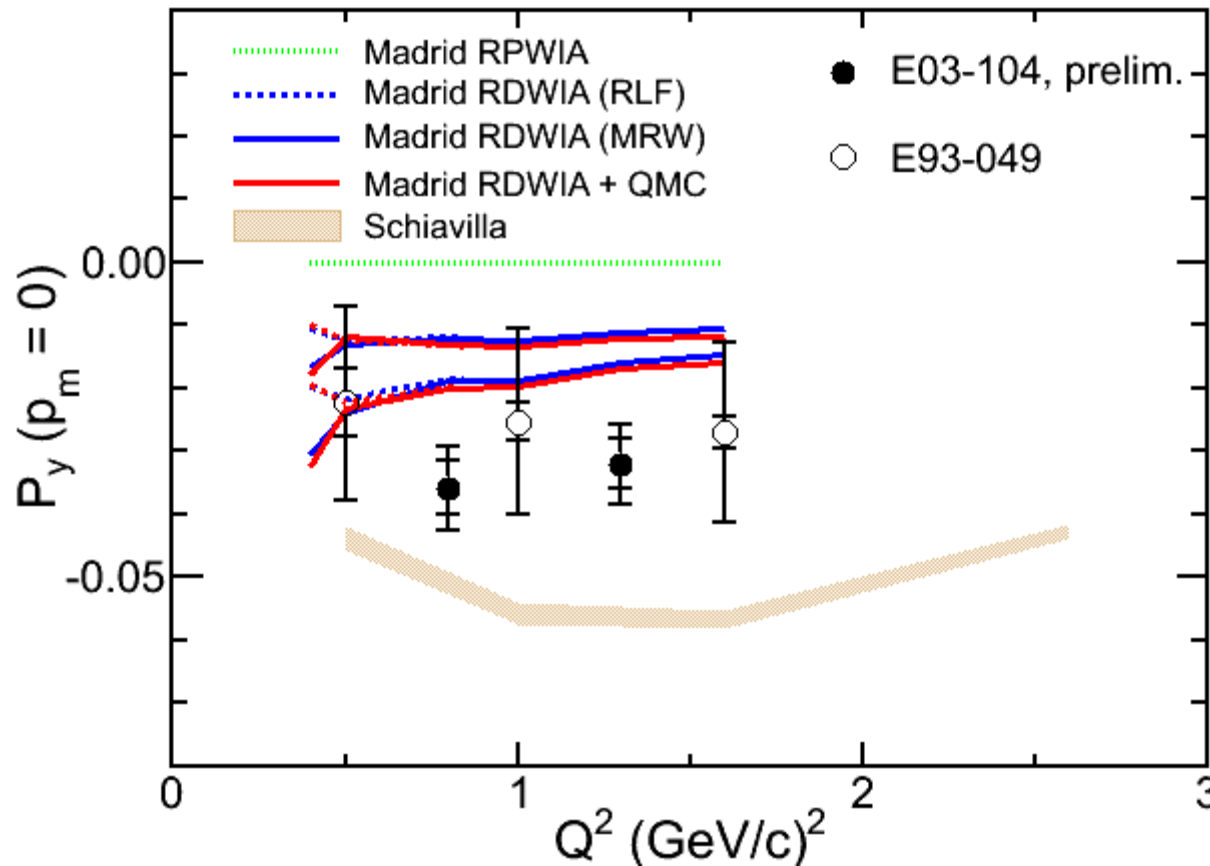


# $P_y$ : Systematic Checks



# $P_y$ vs $Q^2$

- Greatly reduced systematic uncertainties for E03-104: preliminary upper limit for systematic 0.006, i.e.  $\sim 3$  times smaller than E93-049



- Not **Madrid** nor **Schiavilla:2005** offer a satisfactory description of latest data

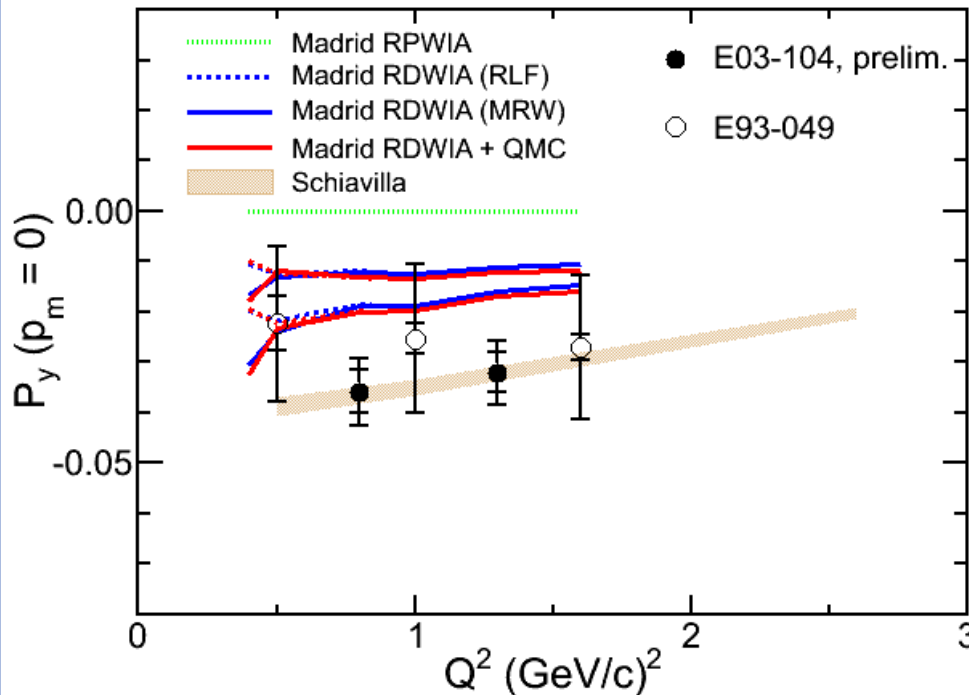


# New Calculation from R. Schiavilla (2010)

## ➤ Optical potential:

$$v_{opt} = [v^c + (4T - 3)v^{c\tau}] + [v^b + (4T - 3)v^{b\tau}] \cdot l \cdot s$$

charge-exchange terms



➤ Constrain the spin-independent charge-exchange term ( $v^{c\tau}$ ) to the combined set of data from E93-049 and E03-104



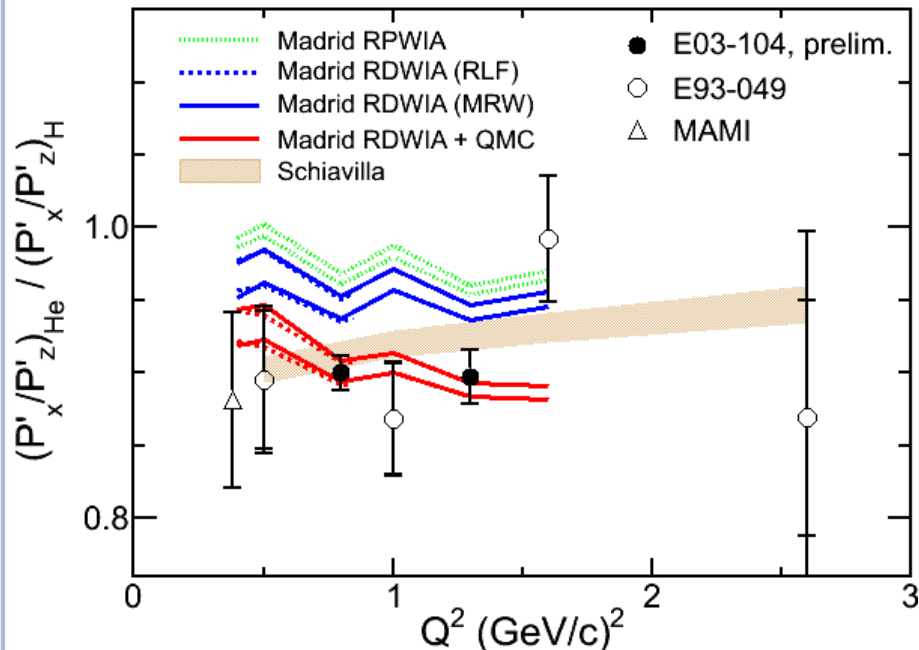
➤ Reduction in  $P_y$  from Schiavilla:2005 to Schiavilla:2010 at higher  $Q^2$

➤ Does the new calculation describe the polarization transfer?

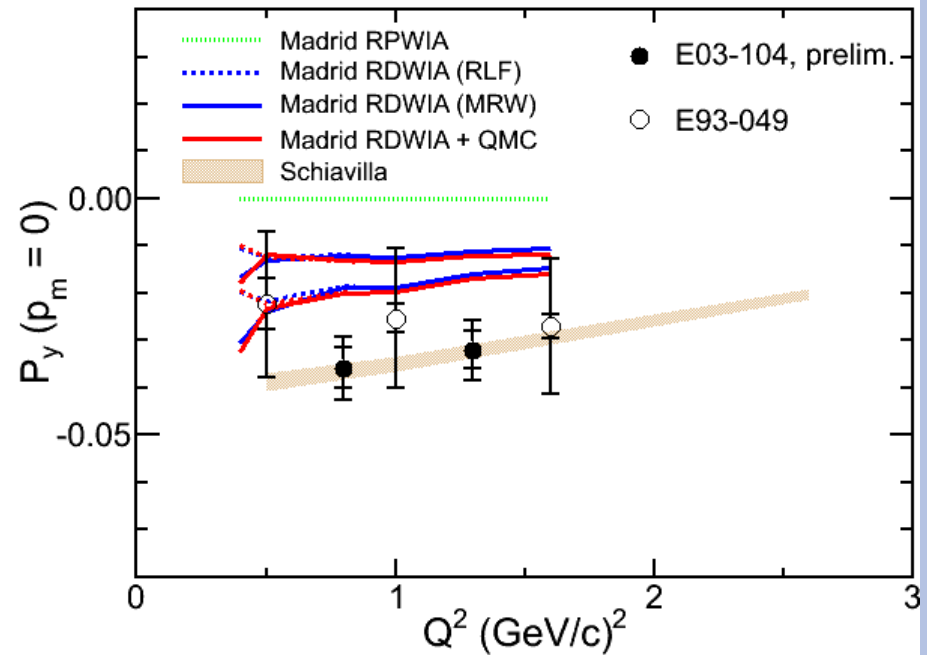


# New Calculation from R. Schiavilla (2010)

## Polarization transfer



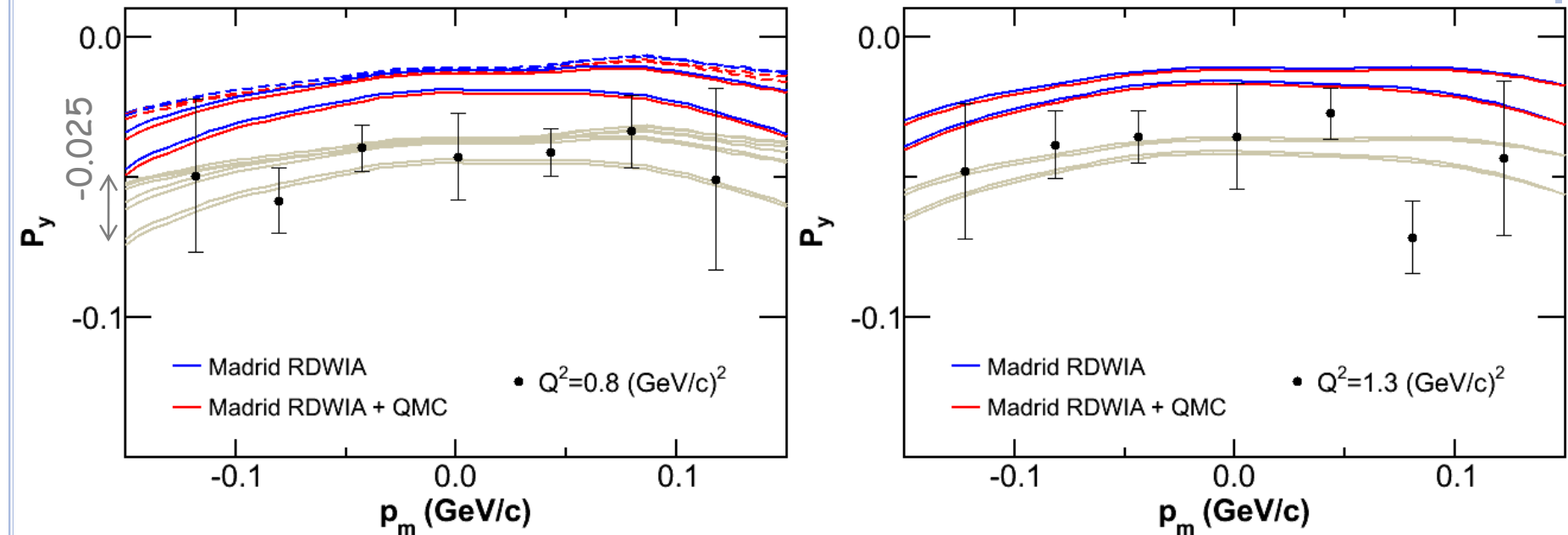
## Induced polarization



- "It seems possible to obtain a good fit of both the polarization ratio and  $P_y$  by **reducing the strength of the charge-exchange independent spin-orbit component** of the optical potential. This should not change significantly the fits to the  $p$ - $^3\text{H}$  elastic scattering data"
- Charge-exchange dependent spin-orbit term remains unconstrained

# $P_y$ vs Missing Momentum $p_m$

- Madrid describes the “shape” of  $P_y$  with  $p_m$  but underpredicts the magnitude in absolute value ( $\sim 0.025$ )



- Coming soon: new calculation from Madrid...



# Summary

- The induced polarization  $P_y$  is crucial to clarify the role of **conventional** nuclear medium effects when searching for signatures of **medium-modified form factors** in  ${}^4\text{He}(e,e'p){}^3\text{H}$
- E03-104 extracted the induced polarization  $P_y$  in  ${}^4\text{He}(e,e'p){}^3\text{H}$  with great accuracy ( $\sim 3$  times better systematic than previously achieved)
- Our data put to stringent test nuclear physics calculations
  - Presently, the **Madrid** calculation underestimated E03-104 data on  $P_y$ ; new calculation from **Madrid** expected soon
  - **Schiavilla:2005** overestimates E03-104 data; our data offer constraints for **Schiavilla:2010** calculation

