

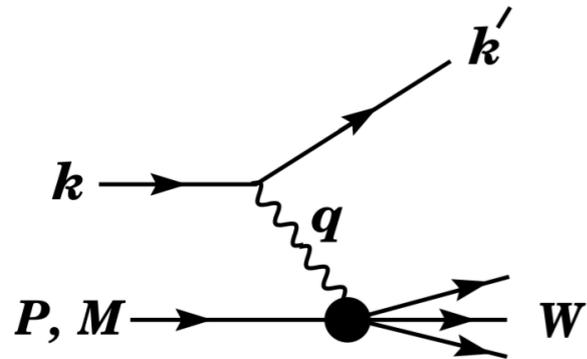
Calculating hadronic tensor on the lattice

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χ QCD collaboration

04/01/2019 theory seminar@JLAB

Hadronic tensor



deep ($Q^2 \gg M^2$) inelastic ($W^2 \gg M^2$) scattering (DIS)

to leading order perturbation
$$\frac{d^2\sigma}{dxdy} = \frac{2\pi y \alpha^2}{Q^4} \sum_j \eta_j L_j^{\mu\nu} W_{\mu\nu}^j$$

the hadronic tensor
$$W_{\mu\nu} = \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \left\langle p, s \left| \left[J_\mu^\dagger(z) J_\nu(0) \right] \right| p, s \right\rangle$$

for unpolarized cases
$$W_{\mu\nu} = \left(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \frac{\hat{P}_\mu \hat{P}_\nu}{P \cdot q} F_2(x, Q^2)$$

- ◆ for high energy scatterings (DIS), extract PDFs through factorization

$$F_i = \sum_a C_i^a \otimes f_a$$

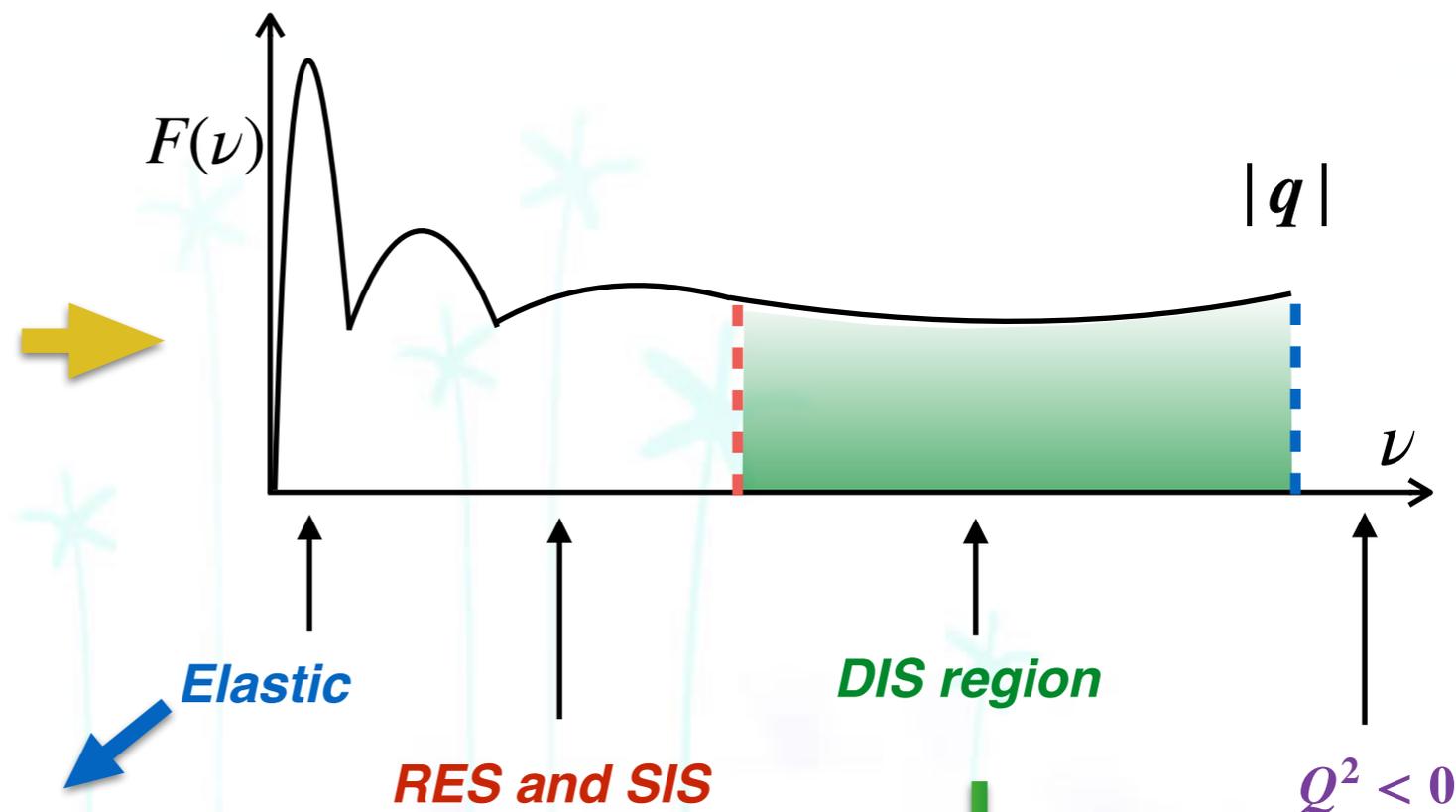
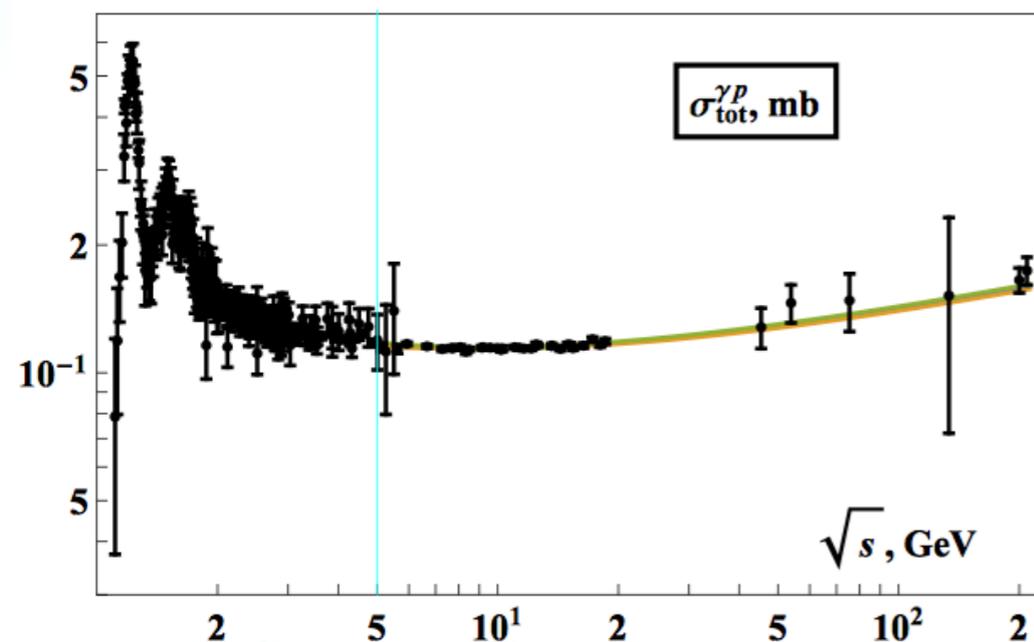
- ◆ for low energy cases (e.g., elastic scatterings), extract form factors

$$F_2^{\text{el}} = \delta(q^2 + 2m_N \nu) \frac{2m_N}{1 - q^2/4m_N^2} \left(G_E^2(q^2) - \frac{q^2}{4M_N^2} G_M^2(q^2) \right)$$

Sketch the structure function

$$W_{\mu\nu} = \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \left\langle p, s \left| \left[J_\mu^\dagger(z) J_\nu(0) \right] \right| s, s \right\rangle$$

$$= \frac{1}{4\pi} \sum_n \int \prod_i^n \left[\frac{d^3 \mathbf{p}_i}{(2\pi)^3 2E_i} \right] \langle p, s | J_\mu^\dagger(z) | n \rangle \langle n | J_\nu(0) | p, s \rangle (2\pi)^3 \delta^4(q - p_n + p)$$



nucleon form factors

N, π, Δ, \dots , continuous spectrum

away from the SIS region
 $\nu < |q|$ (physical x and Q^2)

Motivation 1: parton physics

Lattice efforts:

- ◆ Quasi-PDFs and LaMET
- ◆ Compton amplitude
- ◆ Pseudo-PDFs
- ◆ Lattice cross sections
- ◆ ...

X. Ji, *PRL*110, 262002 (2013)

H.W. Lin et. al., *PRL*121, 242003 (2018)

A. J. Chambers et. al., *PRL*118, 242001(2017)

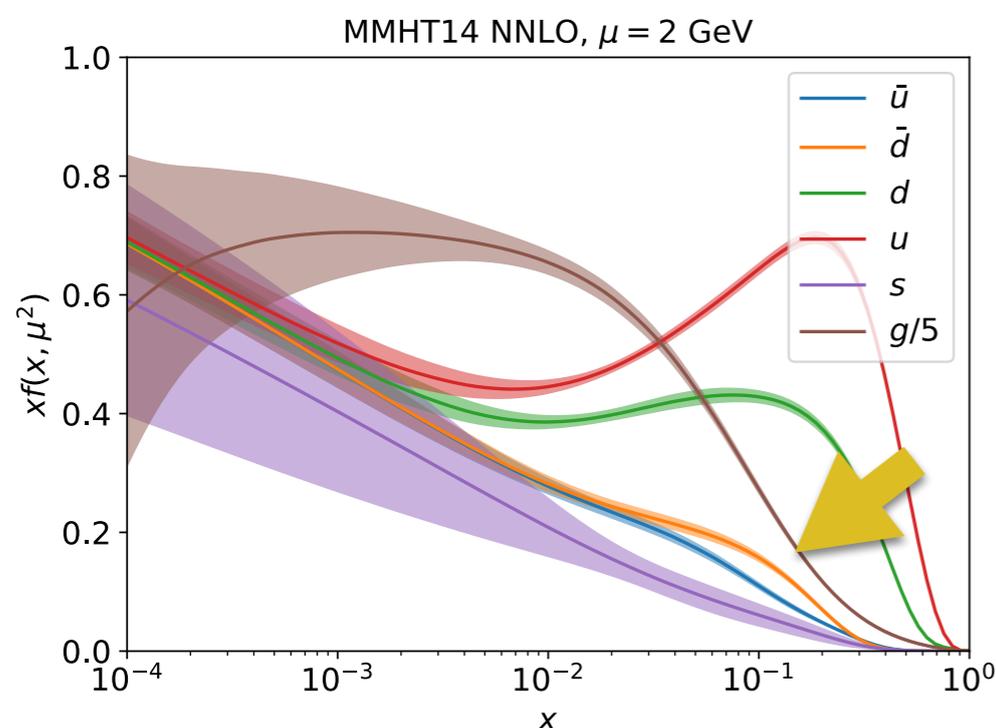
A. V. Radyushkin, *PRD*96, 034025 (2017)

K. Orginos et. al., *PRD*96, 094503 (2017)

Y.-Q. Ma and J.-W. Qiu, *PRL*120, 022003 (2018)

R. S. Sufian et. al., *arXiv:1901.03921*

- ◆ **Hadronic tensor is scale independent! No need to do renormalization.**
- ◆ **Structure functions are frame independent! No need of large external momentum.**



L. A. Harland-Lang et. al., *EPJ C*75, 204 (2015)

The u-bar and d-bar difference of PDFs (Gottfried sum rule violation) is related to the **connected-sea anti-partons**.

K.F. Liu and S. J. Dong, *PRL* 72, 1790 (1994)

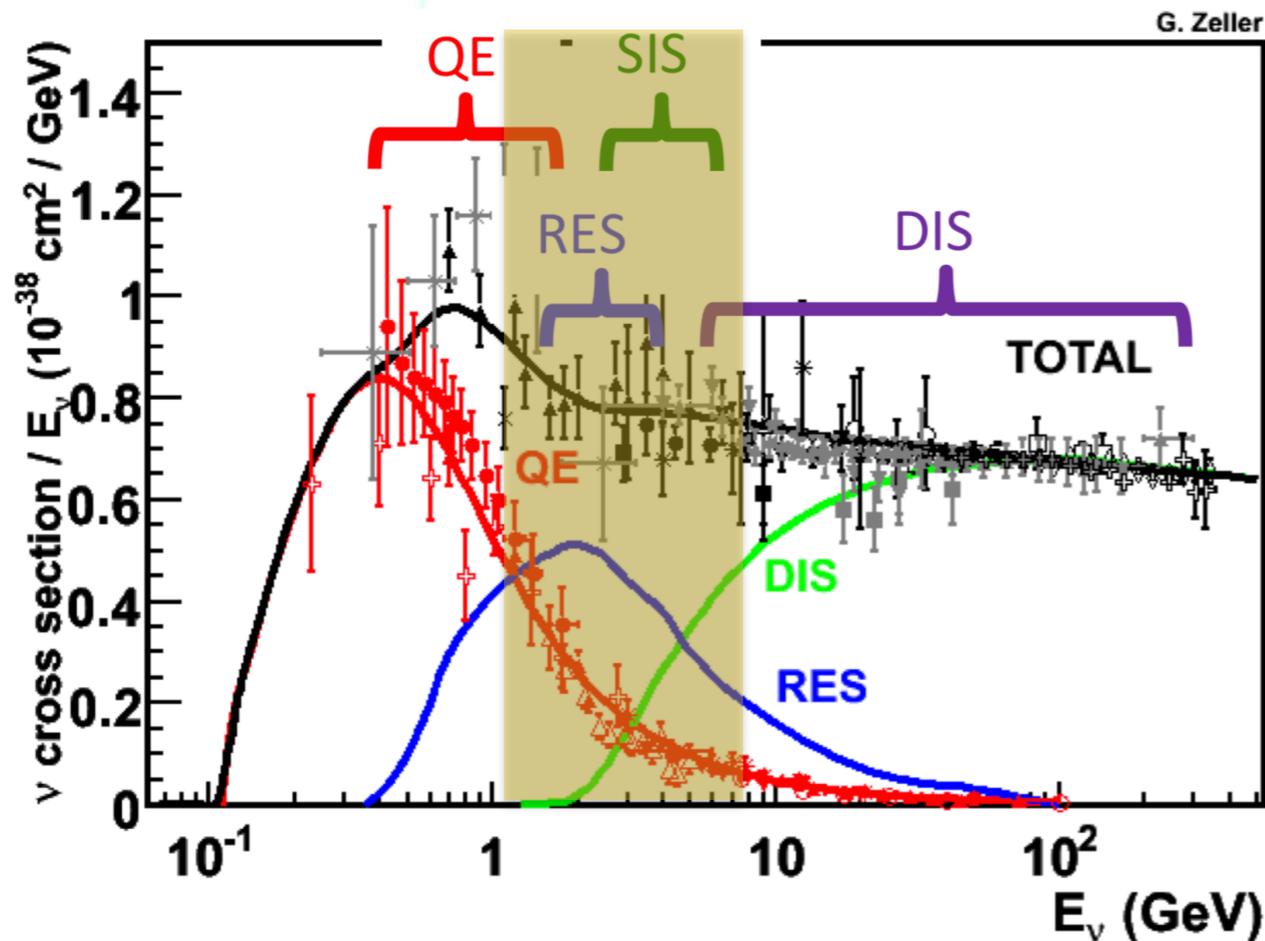
Hadronic tensor provides a direct way to reveal the **connected-sea anti-parton contribution**.

K.-F. Liu, *PRD*62, 074501 (2000)

K.-F. Liu, *PoS LATTICE2015*, 115 (2016)

J. Liang et. al., *EPJ Web Conf.* 175, 14014 (2018)

Motivation 2: neutrino-nucleus scattering



J.A. Formaggio and G.P. Zeller, RMP84, 1307 (2012); Teppei Katori's talk

- ◆ one of the most important tasks in High Energy Physics is to understand the properties of neutrinos.
- ◆ DUNE@LBNF FERMILAB with neutrino energy ~ 1 - ~ 7 GeV
- ◆ $\nu A \rightarrow \nu N$, theoretical input about nucleon structure is needed to help map out the original neutrino beam energy and flux.
- ◆ For elastic contribution, nucleon FFs can be calculated by lattice or models.
- ◆ But soon enough, one will not be able to tell one state from another and will need **INCLUSIVE hadron tensor** (the resonance and shallow inelastic scattering (SIS) region).
- ◆ The **only way** that lattice QCD can help as far as we know.

Lattice QCD

Euclidean field theory using the path-integral formalism,

$$t \rightarrow -i\tau \quad \langle O \rangle = \frac{1}{Z} \int \mathcal{D}A_\mu \mathcal{D}\psi \mathcal{D}\bar{\psi} O e^{-S}$$

Euclidean time correlation functions:

$$C_2(t) = \text{Tr} [\Gamma \langle O(t) \bar{O}(0) \rangle] = \sum_n |\langle 0 | O | n \rangle|^2 e^{-E_n t} \quad O(t) = e^{\hat{H}t} O(0) e^{-\hat{H}t}$$

$$C_3(t, \tau) = \text{Tr} [\Gamma \langle O(t) C(\tau) \bar{O}(0) \rangle] = \sum_{mn} \langle 0 | O | n \rangle \langle n | C | m \rangle \langle m | O | 0 \rangle e^{-E_n(t-\tau)} e^{-E_m \tau}$$

time dependent matrix element can be problematic (e.g., light-cone PDFs)

$$\begin{aligned} \text{Minkowski } W_{\mu\nu} &= \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \left\langle p, s \left| \left[J_\mu^\dagger(z) J_\nu(0) \right] \right| s, s \right\rangle \\ &= \frac{1}{2} \sum_n \int \prod_i^n \left[\frac{d^3 \mathbf{p}_i}{(2\pi)^3 2E_i} \right] \langle p, s | J_\mu^\dagger(0) | n \rangle \langle n | J_\nu(0) | p, s \rangle (2\pi)^3 \delta^4(q - p_n + p) \end{aligned}$$

$$\begin{aligned} \text{Euclidean } W'_{\mu\nu} &= \frac{1}{4\pi} \sum_n \int dt e^{(\nu - (E_n - E_p))t} \int d^3 \mathbf{z} e^{i\mathbf{q} \cdot \mathbf{z}} \langle p, s | J_\mu^\dagger(\mathbf{z}) | n \rangle \langle n | J_\nu(0) | p, s \rangle \\ &= \frac{1}{4\pi} \sum_n \frac{e^{(\nu - (E_n - E_p))T} - 1}{\nu - (E_n - E_p)} \int d^3 \mathbf{z} e^{i\mathbf{q} \cdot \mathbf{z}} \langle p, s | J_\mu^\dagger(\mathbf{z}) | n \rangle \langle n | J_\nu(0) | p, s \rangle \end{aligned}$$

Hadronic tensor on the lattice

four-point function with two currents

$$C_4 = \sum_{x_f} e^{-ip \cdot x_f} \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \left\langle \chi_N(\mathbf{x}_f, t_f) J_\mu^\dagger(\mathbf{x}_2, t_2) J_\nu(\mathbf{x}_1, t_1) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

nucleon two-point function

$$C_2 = \sum_{x_f} e^{-ip \cdot x_f} \left\langle \chi_N(\mathbf{x}_f, t_f) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

Euclidean hadronic tensor

$$\begin{aligned} \tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) &= \frac{E_p}{m_N} \frac{\text{Tr}[\Gamma_e C_4]}{\text{Tr}[\Gamma_e C_2]} \rightarrow \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \langle p, s | J_\mu(\mathbf{x}_2, t_2) J_\nu(\mathbf{x}_1, t_1) | p, s \rangle \\ &= \sum_n A_n e^{-(E_n - E_p)\tau}, \quad \tau \equiv t_2 - t_1 \end{aligned}$$

K.F. Liu and S. J. Dong, PRL 72, 1790 (1994)

K.-F. Liu, PRD62, 074501 (2000)

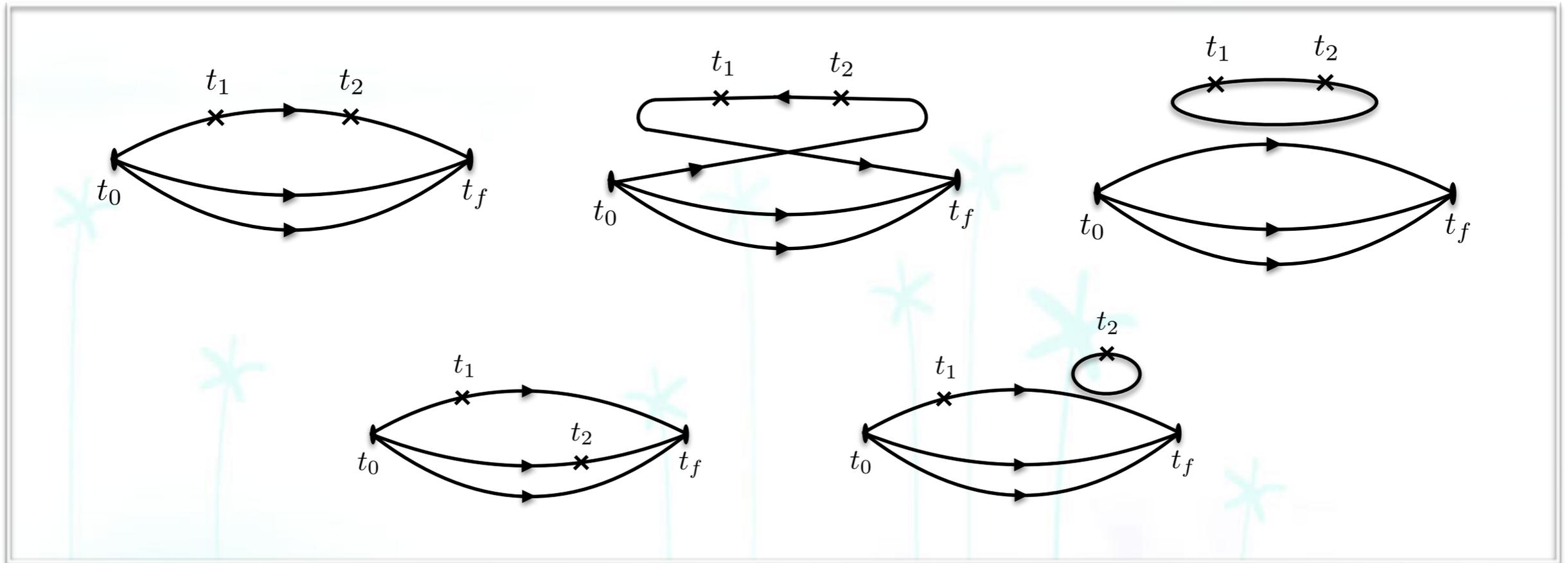
J. Liang et. al., EPJ Web Conf. 175, 14014 (2018)

exponential behavior w.r.t. the time difference between the two currents

Contractions

$$C_4 = \sum_{x_f} e^{-ip \cdot x_f} \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \left\langle \chi_N(x_f, t_f) J_\mu(x_2, t_2) J_\nu(x_1, t_1) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

$$\chi_N = [u_1^T C \gamma_5 d] u_2$$



More contractions if we consider different types of the two currents: **vector, axial vector, neutral or charged, various quark flavors** ...

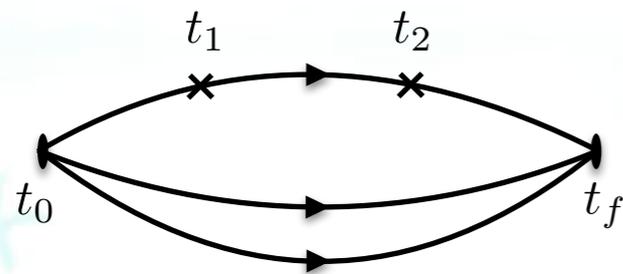
No disconnected insertions in the current plan

The latter two are suppressed when the momentum and energy transfers are large.

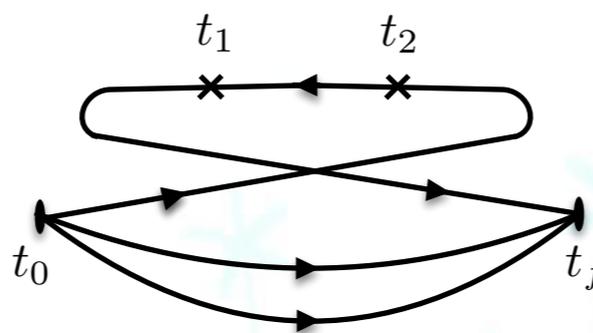
Contractions

$$C_4 = \sum_{x_f} e^{-ip \cdot x_f} \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \left\langle \chi_N(x_f, t_f) J_\mu(x_2, t_2) J_\nu(x_1, t_1) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

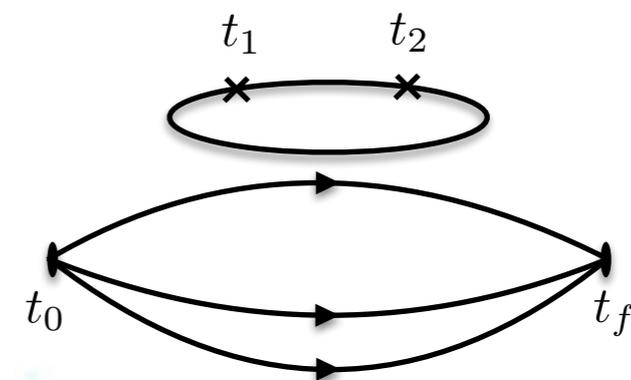
$$\chi_N = [u_1^T C \gamma_5 d] u_2$$



valence and
connected-sea parton



connected-sea anti-parton
(Gottfried sum rule violation)



disconnected-sea
parton and anti-parton

K.F. Liu and S. J. Dong, PRL 72, 1790 (1994)

For the moment, we will focus on the first one.

Back to the Minkowski space

Euclidean hadronic tensor

$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) \sim \sum_n A_n e^{-\nu_n \tau}, \nu_n \equiv E_n - E_p$$

Formally, an **inverse Laplace transform** will do

$$W_{\mu\nu}(\mathbf{p}, \mathbf{q}, \nu) = \frac{1}{i} \int_{c-i\infty}^{c+i\infty} d\tau e^{\nu\tau} \tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau)$$

Practically, need to solve the **inverse problem** of the Laplace transform

$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \int d\nu W_{\mu\nu}(\mathbf{p}, \mathbf{q}, \nu) e^{-\nu\tau}$$

several ($O(10)$) discrete data points

continuous function w.r.t. ν

lack of information, an ill-posed problem

More about the inverse problem

A general form

$$c(\tau) = \int k(\tau, \nu) \omega(\nu) d\nu$$

where it is hard to have the inverse function. In our case, the Laplace kernel

$$k(\tau, \nu) = e^{-\nu\tau}$$

$\omega(\nu)$ is a continuous function, but numerically we can discretize it.

$$c(\tau) = \sum_{\nu} k(\tau, \nu) \omega(\nu) \Delta\nu$$

If the number of nu is less than the number of tau, chi square fitting ●

If the number of nu is equal to the number of tau, linear equations ●

If the number of nu is larger than the number of tau, no unique solution ●

plug in Bayesian prior information?

Inverse problems are everywhere

- ◆ Extracting spectral functions from lattice data
- ◆ Global fittings of PDFs
- ◆ Lattice calculation of Quasi-PDFs

$$\tilde{q}_\Gamma(x, P_z, \tilde{\mu}) = \int_{-\infty}^{\infty} \frac{dz}{2\pi} e^{ixP_z z} \langle P | O_\Gamma(z) | P \rangle$$

$$\tilde{q}(x, P_z, p_z^R, \mu_R) = \int_{-1}^1 \frac{dy}{|y|} C\left(\frac{x}{y}, r, \frac{yP_z}{\mu}, \frac{yP_z}{p_z^R}\right) q(y, \mu)$$

H.-W. Lin et al., PRL 121, 242003(2018)

- ◆ Lattice calculation of Pseudo-PDFs

$$\mathfrak{M}_R(\nu, \mu^2) \equiv \int_0^1 dx \cos(\nu x) q_\nu(x, \mu^2)$$

K. Orginos et al., PRD96, 094503 (2017)

- ◆ Lattice cross sections

$$\sigma_n(\omega, \xi^2, P^2) = \sum_a \int_{-1}^1 \frac{dx}{x} f_a(x, \mu^2) \times K_n^a(x\omega, \xi^2, x^2 P^2, \mu^2) + O(\xi^2 \Lambda_{\text{QCD}}^2)$$

Y.-Q. Ma and J.-W. Qiu, PRL 120, 022003 (2018)

Solving the inverse problem

$$c(\tau) = \sum_{\nu} k(\tau, \nu) \omega(\nu) \Delta \nu$$

◆ Backus-Gilbert (BG)

G. Backus and F. Gilbert, Geophysical Journal International 16, 169 (1968)

If the kernels can span a complete function basis

$$\sum_{\tau} c(\tau, \nu_0) k(\tau, \nu) \sim \delta(\nu - \nu_0)$$
$$\sum_{\tau} c(\tau, \nu_0) c(\tau) \sim \int \delta(\nu - \nu_0) \omega(\nu) d\nu = \omega(\nu_0)$$

The actual incompleteness of the kernels leads to bad resolution.

◆ Maximum Entropy (ME)

E Rietsch et. al., JOURNAL OF GEOPHYSICS, 42:489 (1977)

M. Asakawa et. al., Prog. Part. Nucl. Phys. 46, 459 (2001)

$$P[\omega | D, \alpha, m] \propto \frac{1}{Z_S Z_L} e^{Q(\omega)} \quad Q = \alpha S - L$$
$$S = \sum_{\nu} \left[\omega(\nu) - m(\nu) - \omega(\nu) \log \left(\frac{\omega(\nu)}{m(\nu)} \right) \right] \Delta \nu$$

Maximum search is using SVD in a reduced parameter space ($O(10^1)$).

Hyper parameter alpha is averaged over based on assumptions.

Solving the inverse problem

$$c(\tau) = \sum_{\nu} k(\tau, \nu) \omega(\nu) \Delta \nu$$

◆ Bayesian Reconstruction (BR)

Y. Burnier and A. Rothkopf, PRL 111, 182003 (2013)

$$P[\omega | D, \alpha, m] \propto e^{Q'(\omega)} \quad Q' = \alpha S - L - \underline{\gamma(L - N_{\tau})^2} \quad \text{No over fitting}$$

$$S = \sum_{\nu} \left[1 - \frac{\omega(\nu)}{m(\nu)} + \log \left(\frac{\omega(\nu)}{m(\nu)} \right) \right] \Delta \nu$$

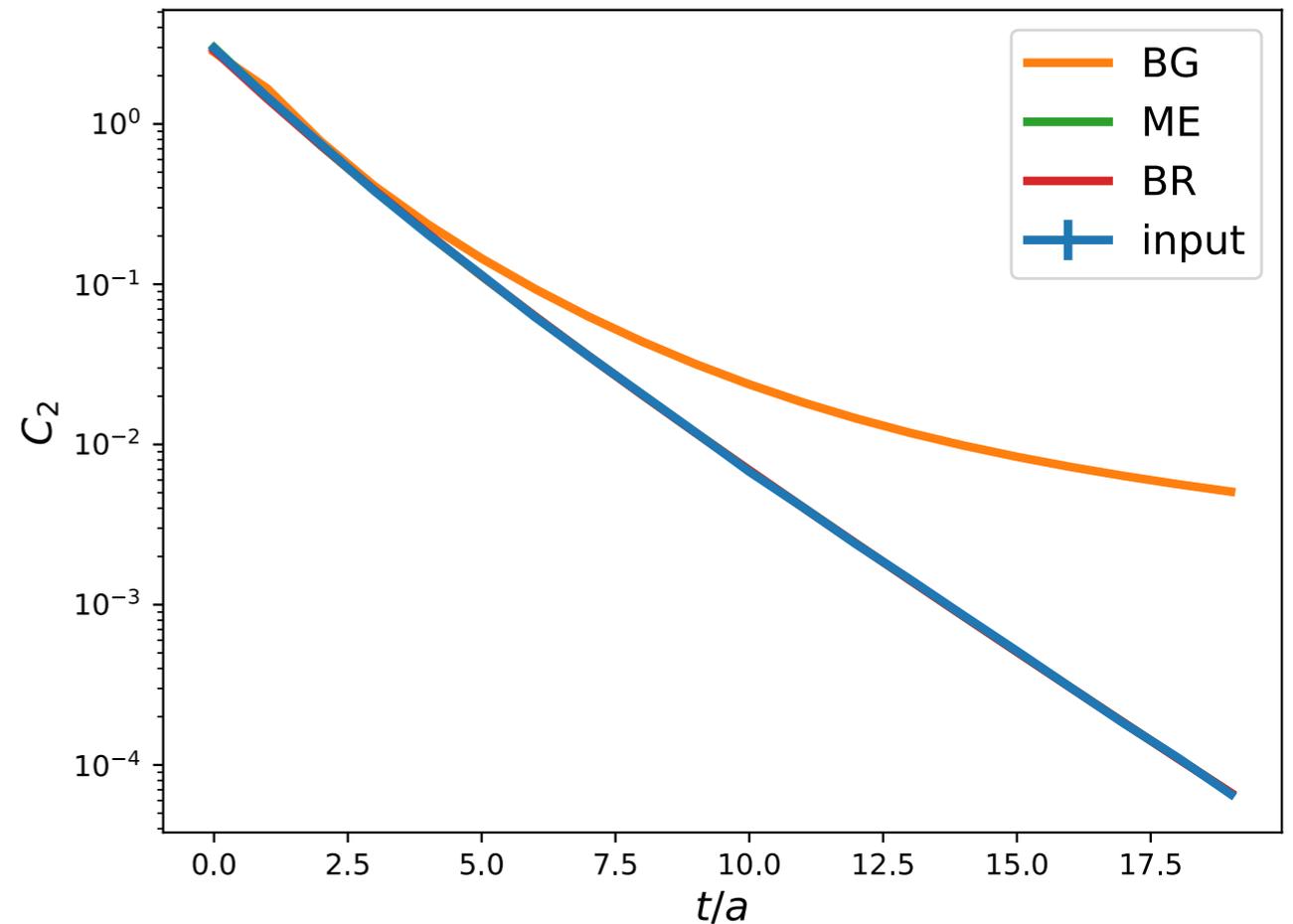
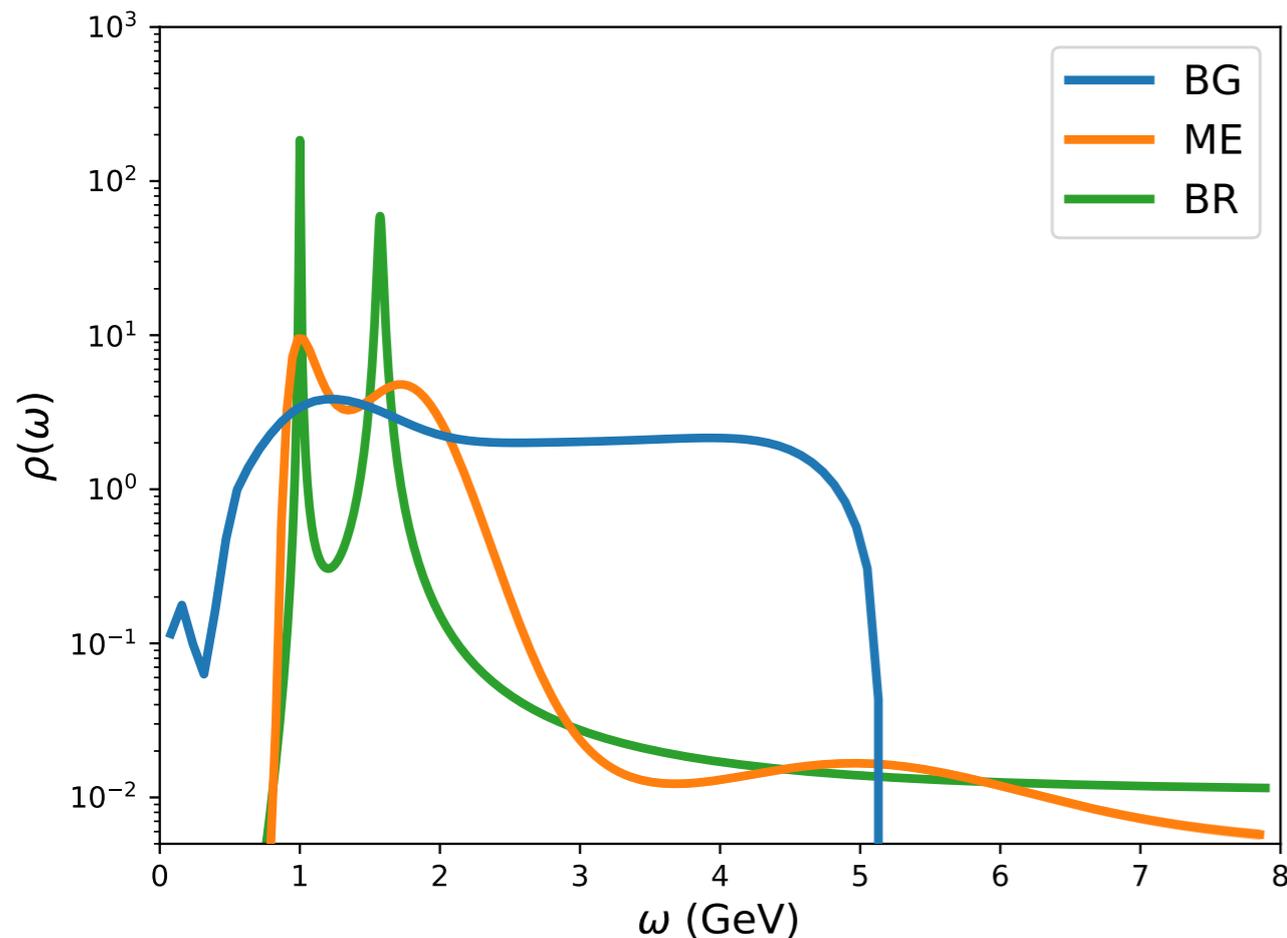
$$P[\omega | D, m] = \frac{P[D | \omega, I]}{P[D | m]} \int d\alpha P[\alpha | D, m]$$

Hyper parameter alpha is integrated over.

Maximum search is in the entire parameter space ($O(10^3)$).

High precision architecture is needed (e.g., 512-bit floating point number).

Tests on nucleon two-point functions

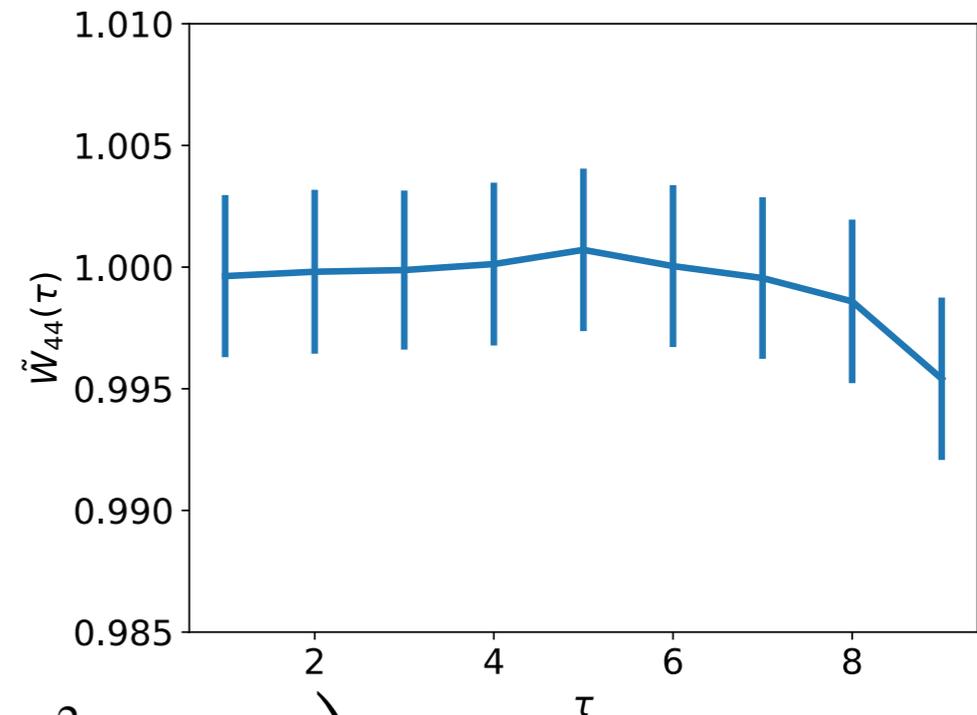


- ◆ **mock two-point function data: three single exponentials with mass 1.0, 1.5 and 1.8 GeV respectively, $a \sim 0.1$ fm, $Nt=20$, $S/N=100$**
- ◆ **expecting peaks at ~ 1 GeV and ~ 1.6 GeV**
- ◆ **bad resolution of BG** ●
- ◆ **BR is shaper and more stable than ME** ●

The elastic case

normalized vector current $J_4 = \bar{\psi}\gamma_4\psi$

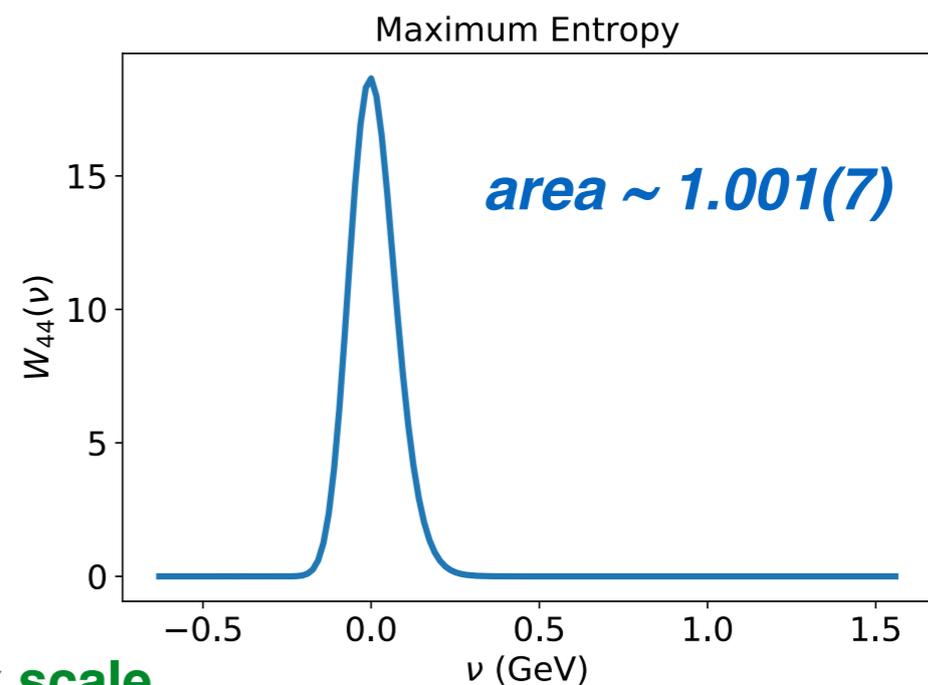
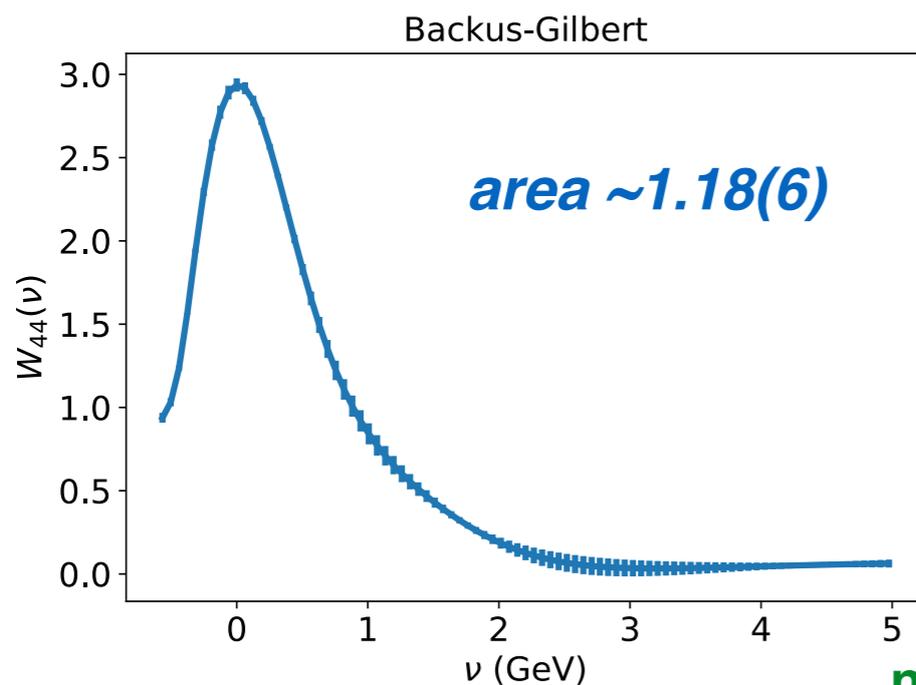
$$\begin{aligned} \tilde{W}_{44}(\mathbf{p} = 0, \mathbf{q} = 0, \tau) &\stackrel{\tau \rightarrow \infty}{=} |\langle N | J_4 | N \rangle|^2 e^{-(M_p - M_p)\tau} \\ &= F_1^2(q^2 = 0) = g_V^2 = 1 \end{aligned}$$



inverse $\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \int d\nu W_{\mu\nu}(\mathbf{p}, \mathbf{q}, \nu) e^{-\nu\tau}$

$$W_{44}(q^2, \nu) = \delta(q^2 + 2m_N\nu) \frac{2m_N}{1 - q^2/4m_N^2} \left(G_E^2(q^2) - \frac{q^2}{4M_N^2} G_M^2(q^2) \right)$$

$$\stackrel{q^2=0}{=} \delta\nu G_E^2(q^2 = 0) = \delta\nu g_V^2 = \delta\nu \quad \text{delta function at zero}$$



note, different x scale

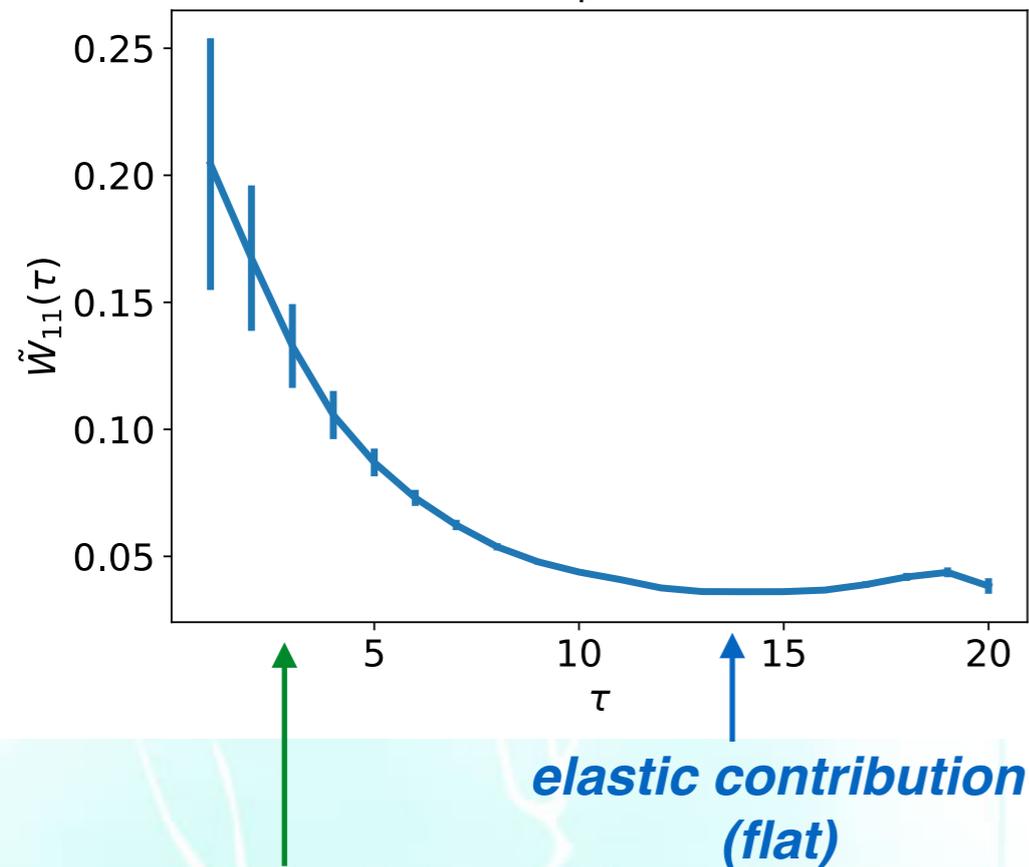
Large momentum transfer

\mathbf{p}	\mathbf{q}	E_p	$E_{n=0}$	$ \mathbf{q} $	ν	Q^2	x
(0,3,3)	(0,-6,-6)	2.15	2.15	3.57	[2.96, 3.68]	[4, 2]	[0.16, 0.07]

$$\mu = \nu = 1 \text{ and } p_1 = q_1 = 0 \quad W_{11}(\nu) = F_1(x, Q^2)$$

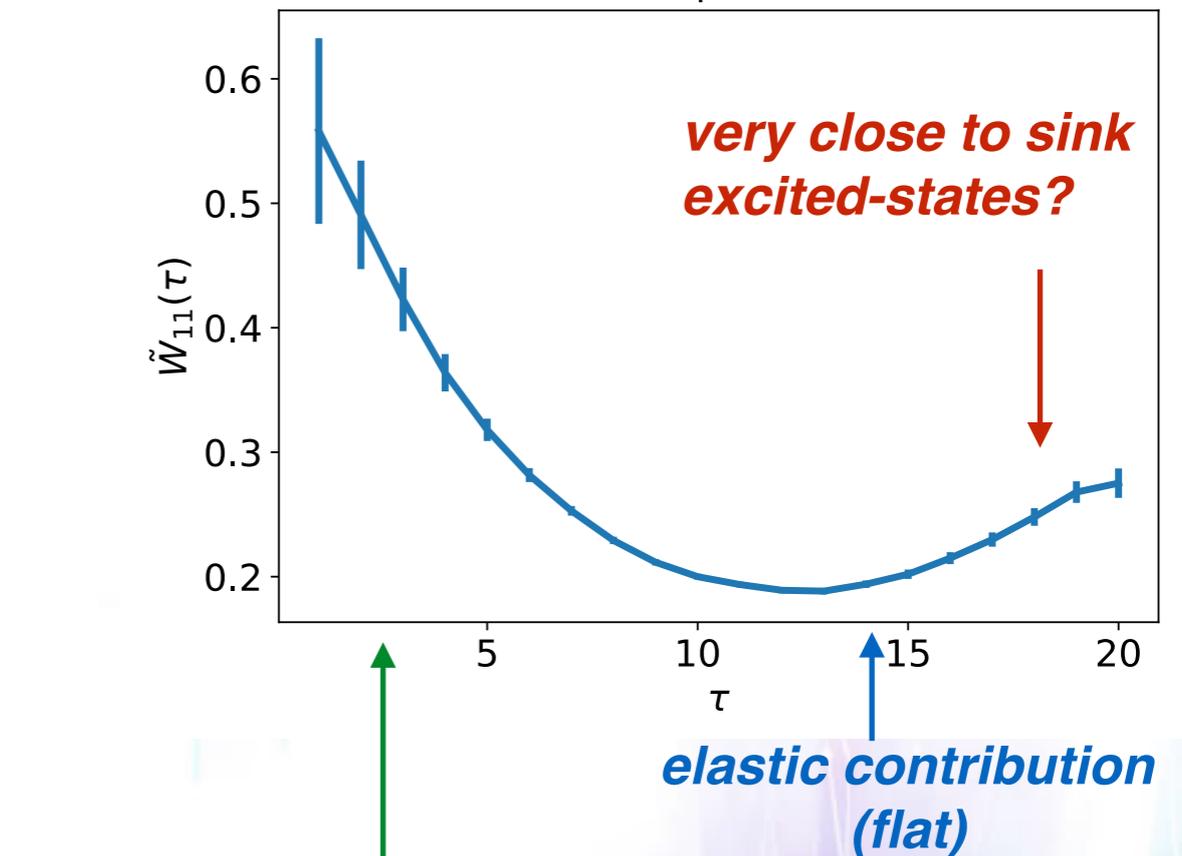
$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \sum_n A_n e^{-(E_n - E_p)\tau} \quad \mathbf{p} + \mathbf{q} = -\mathbf{p} \quad E_0 = (m_N^2 + |\mathbf{p} + \mathbf{q}|^2) = E_p$$

d quark



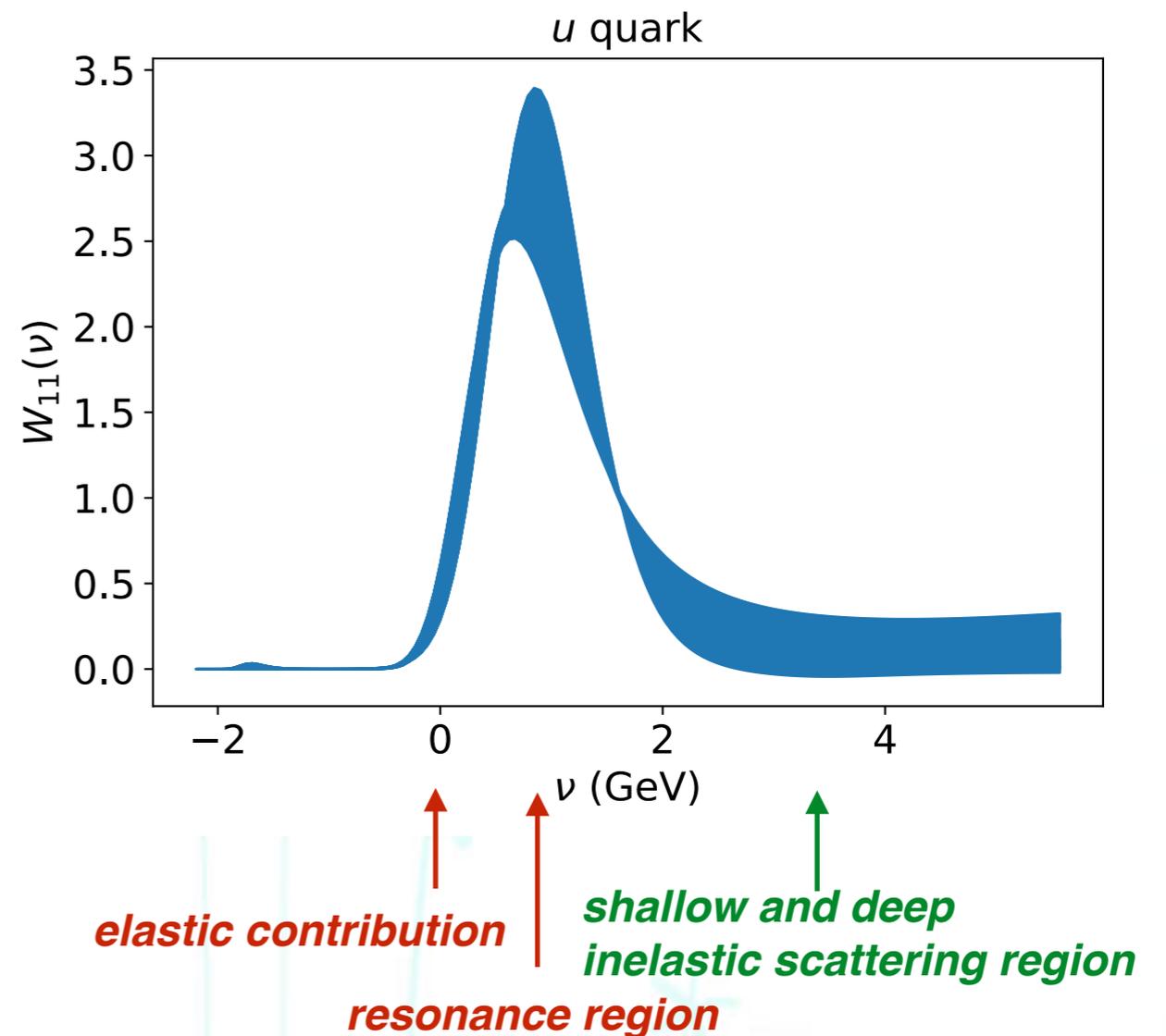
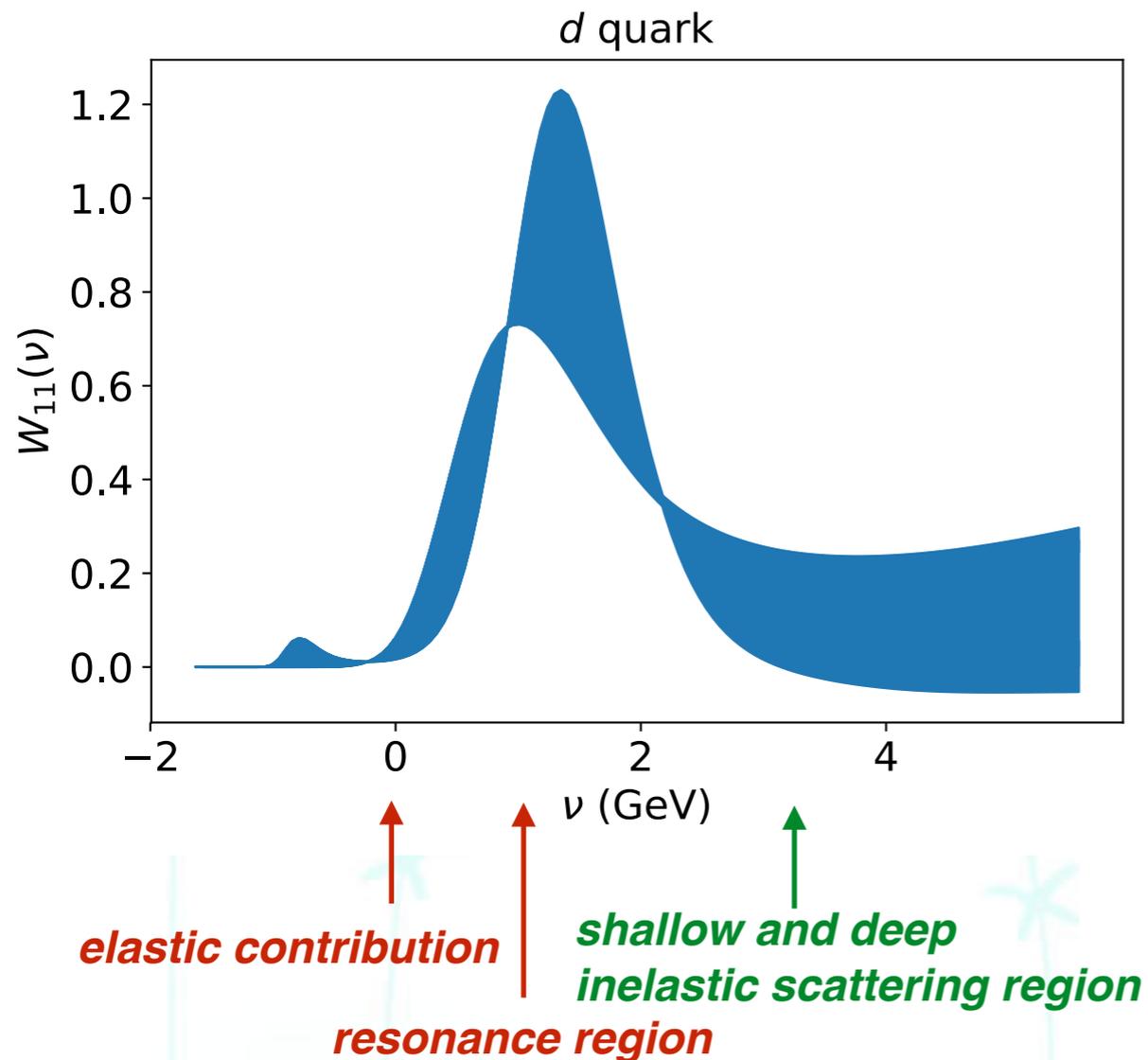
higher intermediate-states contribution (exponentially decay)

u quark



higher intermediate-states contribution (exponentially decay)

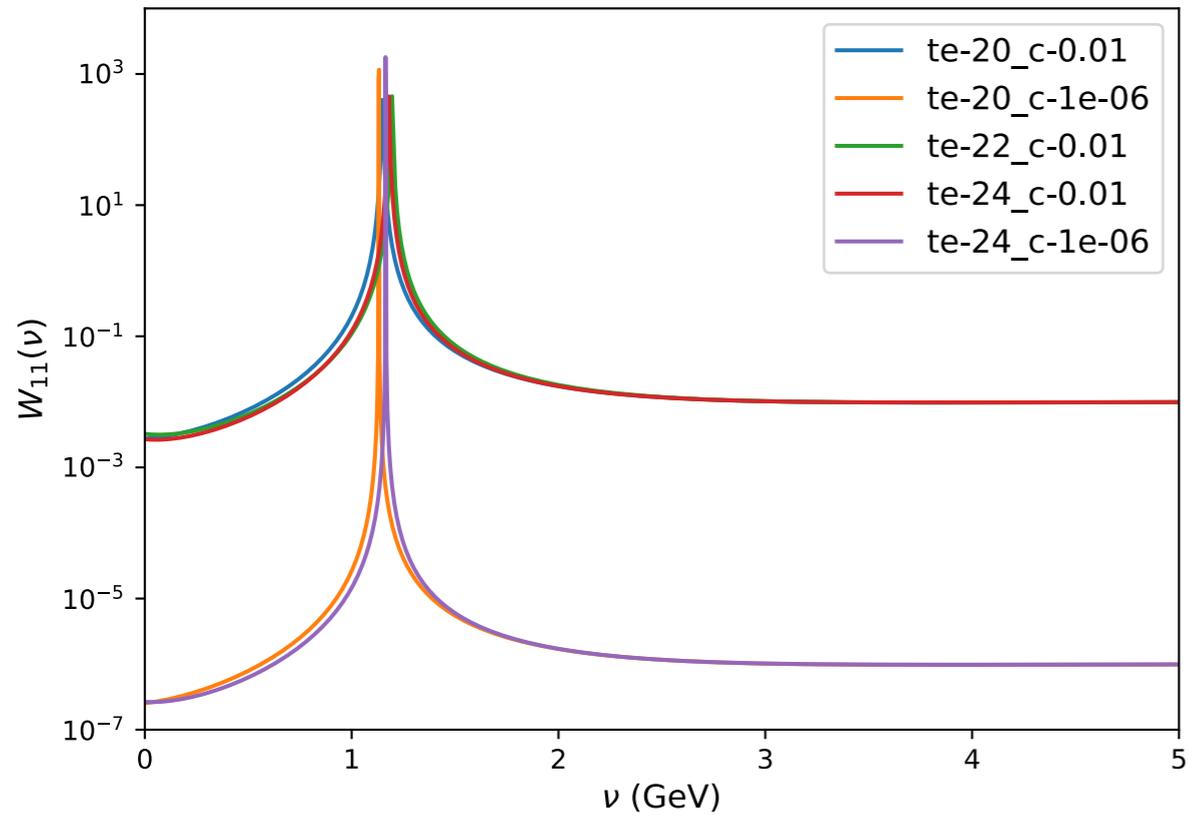
Minkowski hadronic tensor (after ME)



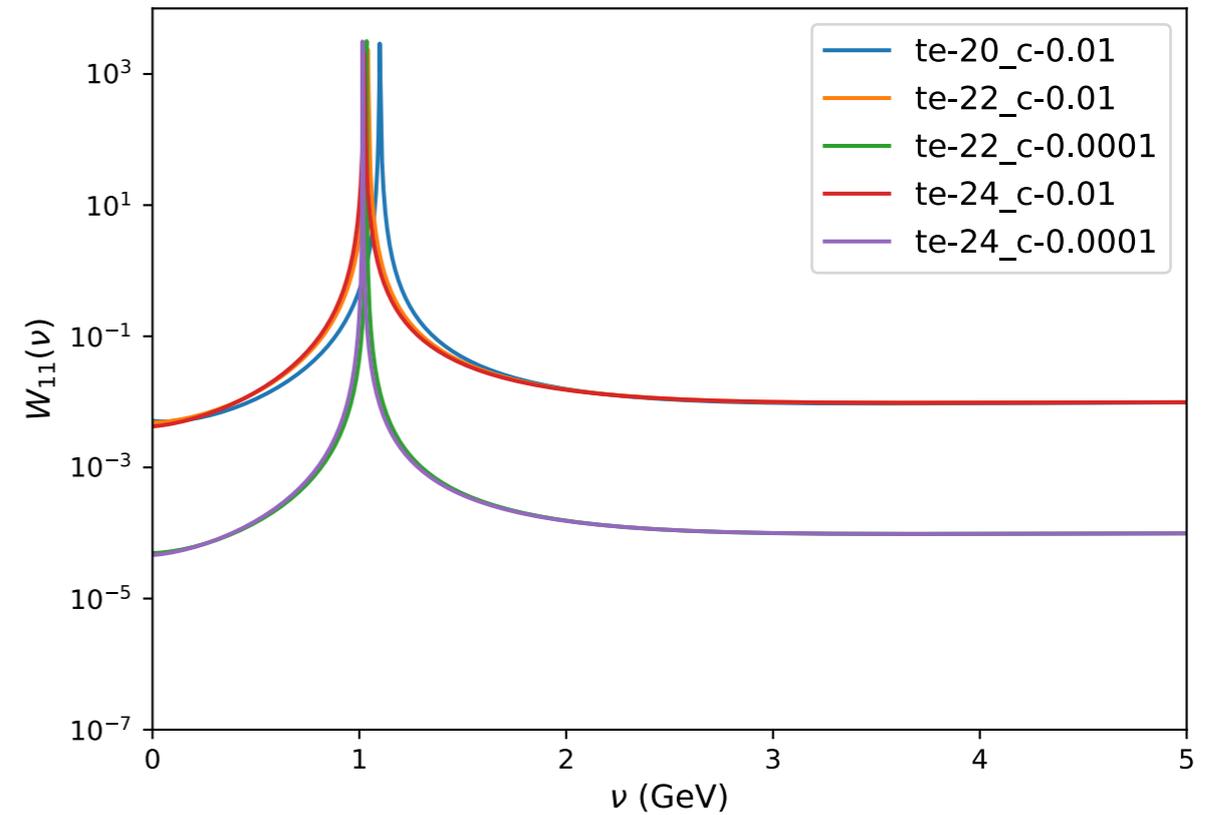
- ◆ **Elastic contribution is suppressed by the large momentum transfer.** $G^2(0) \propto \frac{1}{\left(1 + \frac{Q_{\text{el}}^2}{\Lambda^2}\right)^4}$
 $Q^2 \sim 13 \text{ GeV}^2, G^2(0) \sim 10^{-5}$
- ◆ **RES contribution is large and relatively stable.**
- ◆ **Large error in the SIS and DIS region, no enough constraint from the data**

How about BR

d quark



u quark



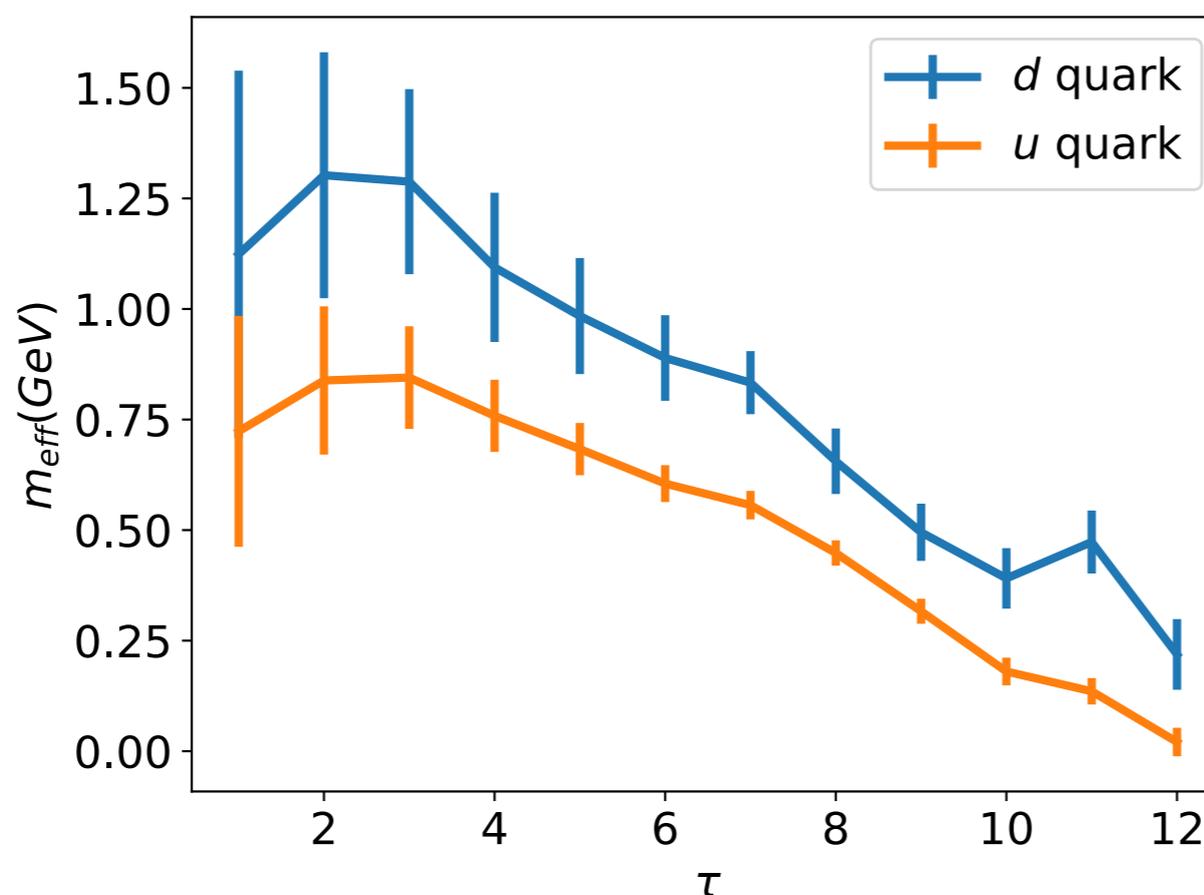
- ◆ similar structures show around 1 GeV (but shaper)
- ◆ quickly approach to the default model after 2 GeV

Check the effective mass

$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \sum_n A_n e^{-(E_n - E_p)\tau} \quad E_p \sim 2.15 \text{ GeV}$$

one can check the effective mass of the Euclidean hadronic tensor

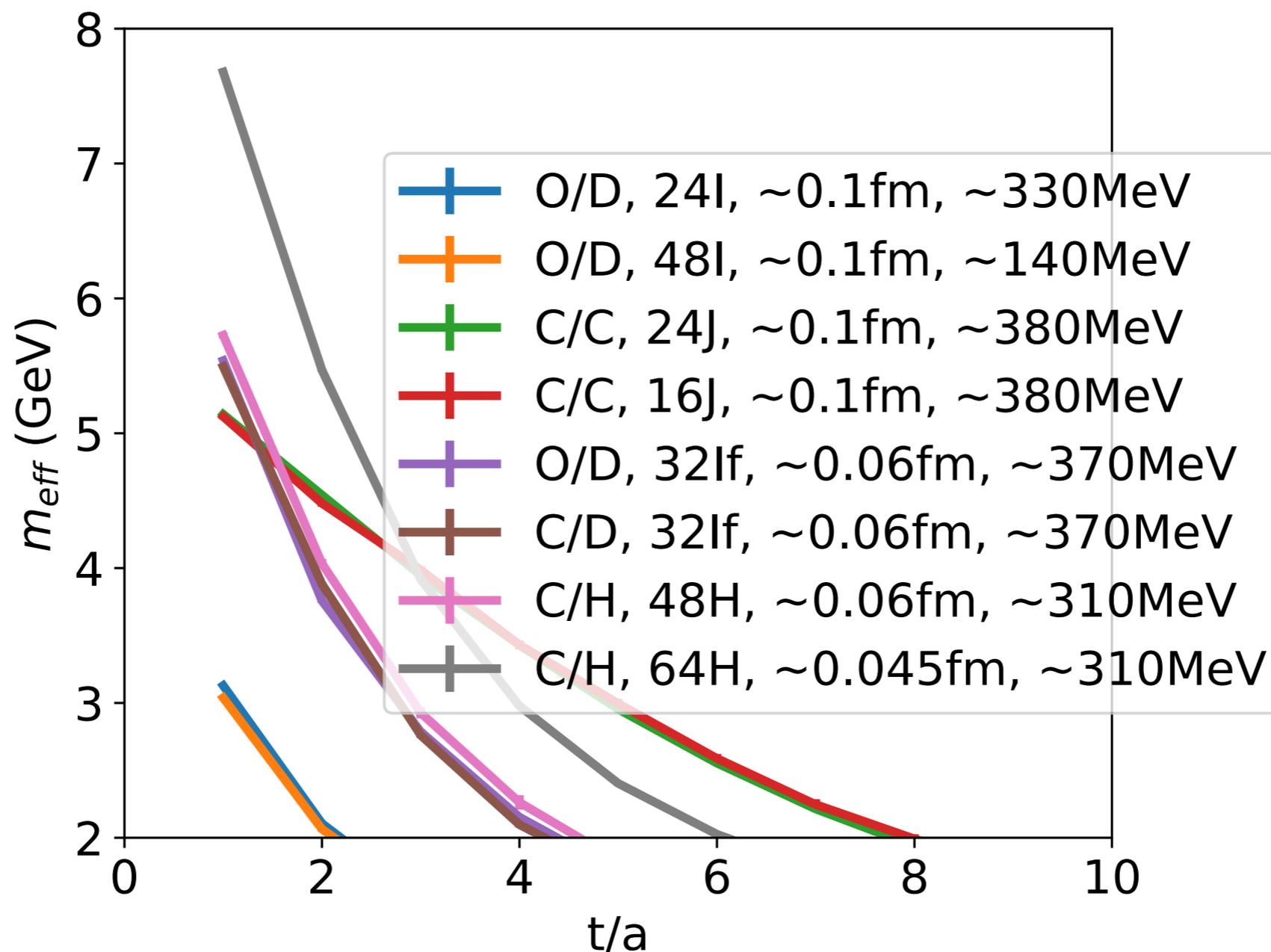
$$m_{\text{eff}} = \log[\tilde{W}(\tau)/\tilde{W}(\tau + 1)]$$



$$E_n - E_p \sim 1 \text{ GeV} \quad E_n \sim 3.2 \text{ GeV}$$

lattice artifacts: **finite volume** (resulting in discrete momenta and discrete spectrum)? **finite lattice spacing** (an UV cutoff)? and/or **unphysical pion mass** (unphysical multi-particle states)?

Learn more from two-point functions



- ◆ It seems how high we can reach is mainly connected to the lattice spacings.
- ◆ Other factors are not significant.
- ◆ The $a \sim 0.045$ fm lattice can be a much better choice.

Summary and outlook

- ◆ **Calculating the hadronic tensor on the lattice would be helpful to the neutrino experiments and to understand more about the nucleon structure.**
- ◆ **This might be the only lattice approach that can have inclusive results in the Elastic, RES and SIS region.**
- ◆ **We can have reasonable results for the elastic contributions.**
- ◆ **We find that the lattice spacing plays an important role to reach higher excited states.**
- ◆ **We are working on lattices with smaller lattice spacings to have better results.**
- ◆ **There are more applications.**

Thank you for your attention!

Thank you for your attention!

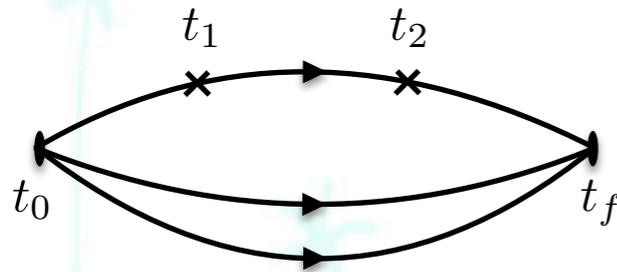
Lattice setups

clover anisotropic lattice, $24^3 \times 128$, $a_t \sim 0.035$ fm, $m_\pi \sim 380$ MeV, $\frac{2\pi}{L} \sim 0.42$ GeV

H.-W. Lin et al., PRD 79, 034502 (2009)

$$W_{\mu\nu} = \left(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \frac{\hat{P}_\mu \hat{P}_\nu}{P \cdot q} F_2(x, Q^2)$$

$$\mu = \nu = 1 \text{ and } p_1 = q_1 = 0 \quad W_{11}(\nu) = F_1(x, Q^2)$$



two sequential-sources for each 4-point function
554 configurations, 16 source positions

The x -range can be reached on this lattice is roughly [0.05, 0.3] by combining different kinematic setups.

This calculation:

p	q	E_p	$E_{n=0}$	$ q $	ν	Q^2	x
(0,3,3)	(0,-6,-6)	2.15	2.15	3.57	[2.96, 3.68]	[4, 2]	[0.16, 0.07]

More on the setups

$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \sum_n A_n e^{-(E_n - E_p)\tau}$$



energy of the intermediate state n

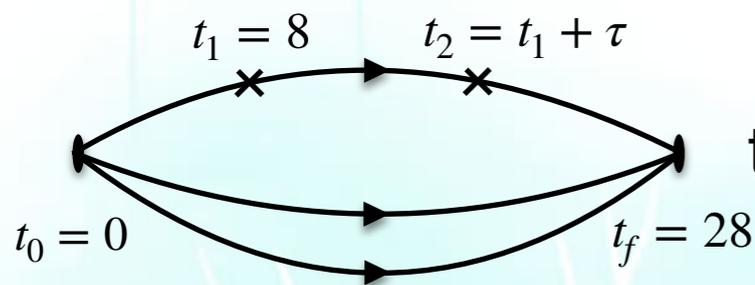
external nucleon energy

$$\mathbf{p}=(033), \mathbf{q}=(0-6-6) \quad \mathbf{p} + \mathbf{q} = -\mathbf{p}$$

$$E_0 = (m_N^2 + |\mathbf{p} + \mathbf{q}|^2) = E_p$$

the lowest energy of intermediate states

for small τ , higher intermediate states contribute, exponentially decay
 for large τ , lowest intermediate state (elastic contribution) dominates, constant

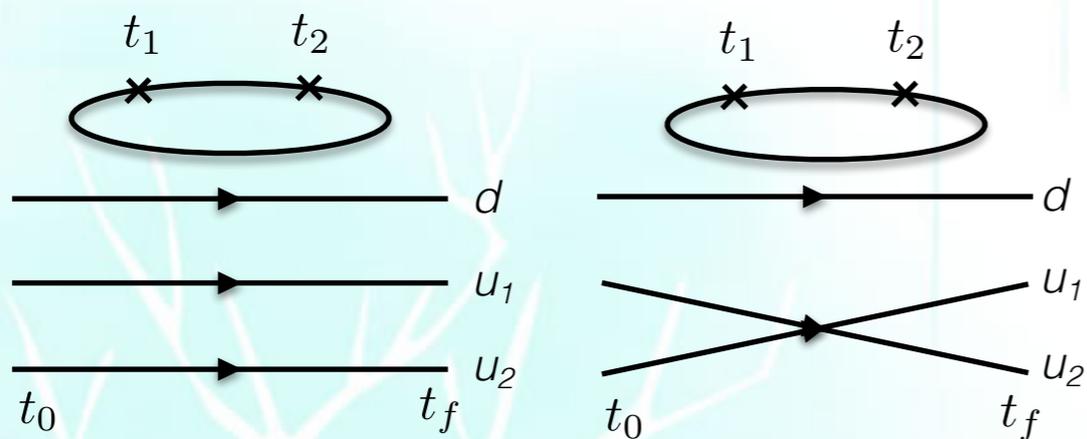
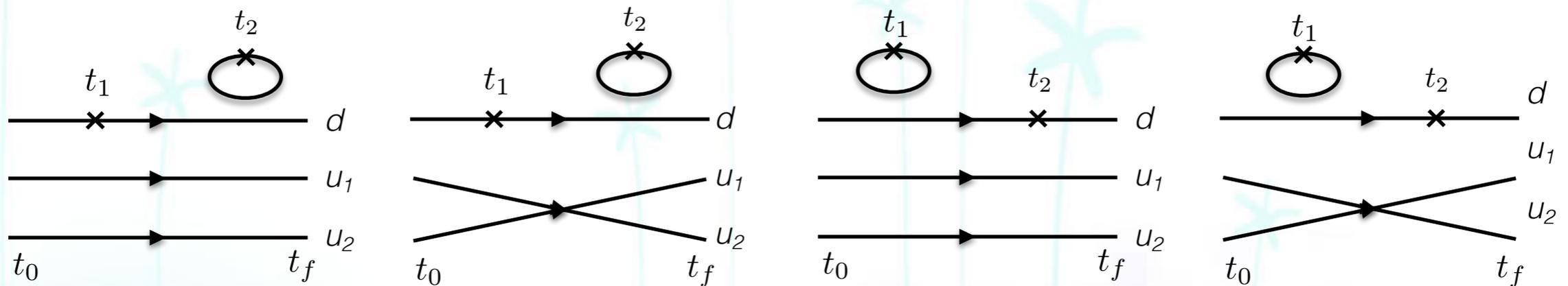
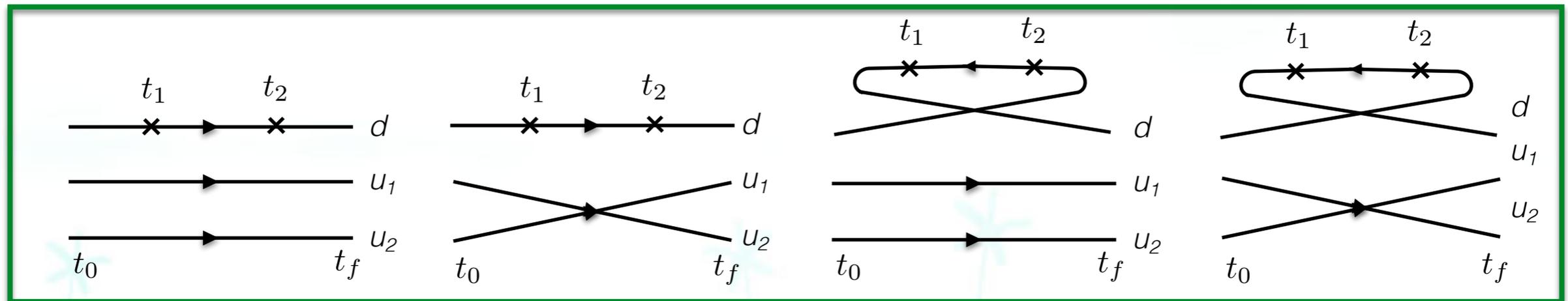


to avoid the contact point and sink excited stats $\tau \in [1, 12]$

Contractions (d quark)

$$C_4 = \sum_{x_f} e^{-ip \cdot x_f} \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \left\langle \chi_N(x_f, t_f) J_\mu(x_2, t_2) J_\nu(x_1, t_1) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

$$\chi_N = [u_1^T C \gamma_5 d] u_2$$

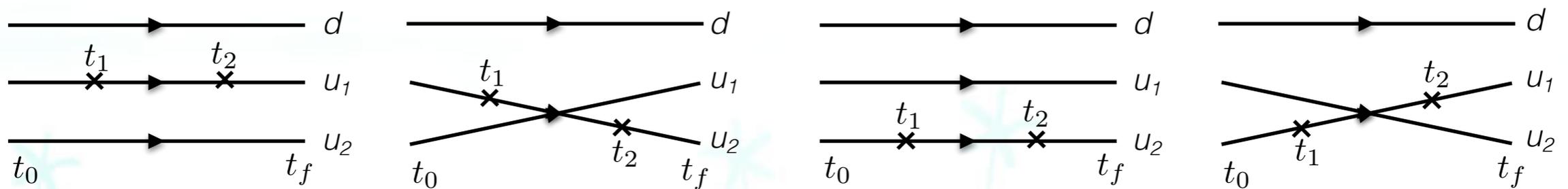


connected insertions only for now

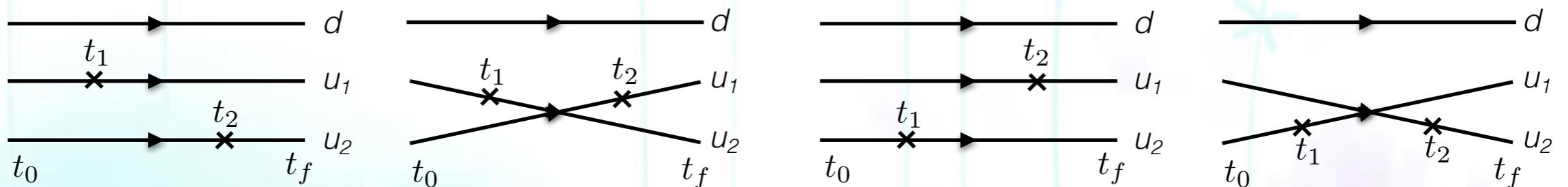
Contractions (u quark)

$$C_4 = \sum_{x_f} e^{-ip \cdot x_f} \sum_{x_2 x_1} e^{-iq \cdot (x_2 - x_1)} \left\langle \chi_N(x_f, t_f) J_\mu(x_2, t_2) J_\nu(x_1, t_1) \bar{\chi}_N(\mathbf{0}, t_0) \right\rangle$$

$$\chi_N = [u_1^T C \gamma_5 d] u_2$$



plus all possible backward propagating ones



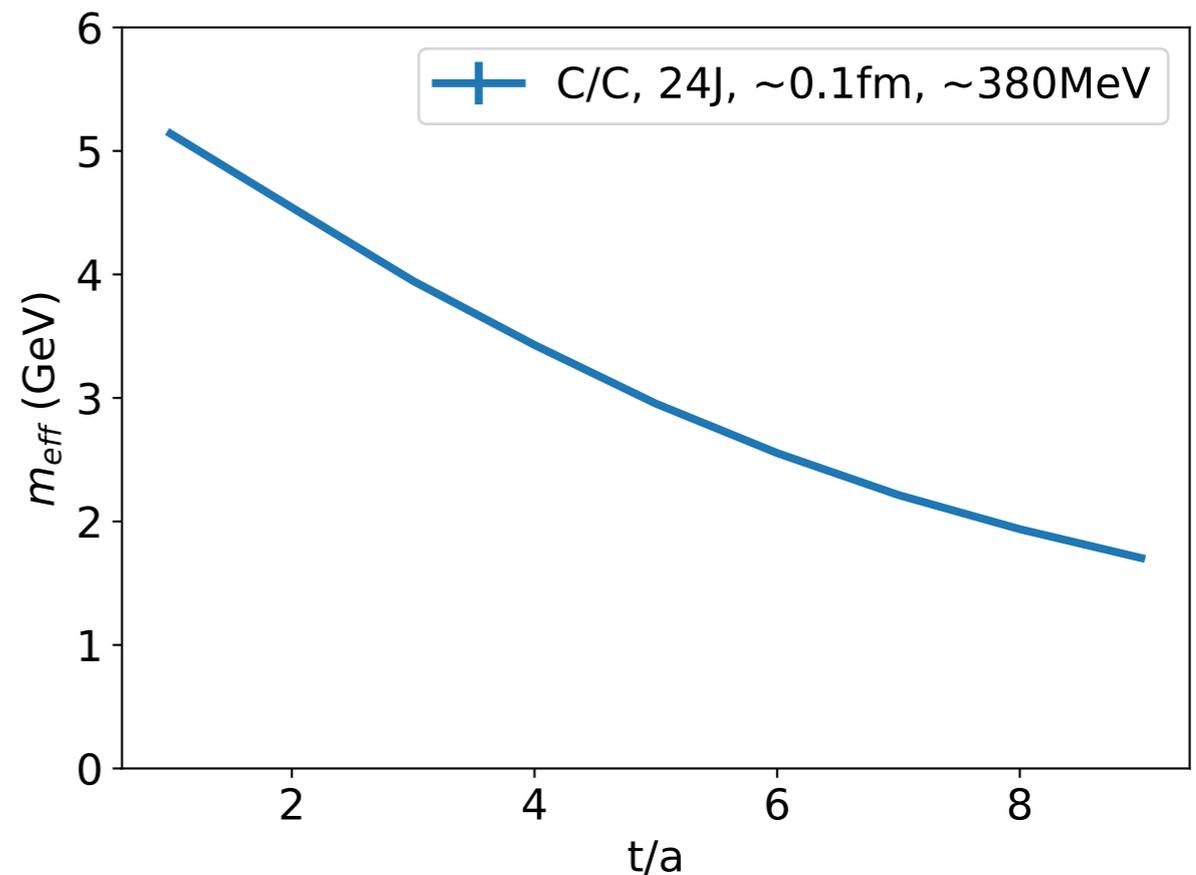
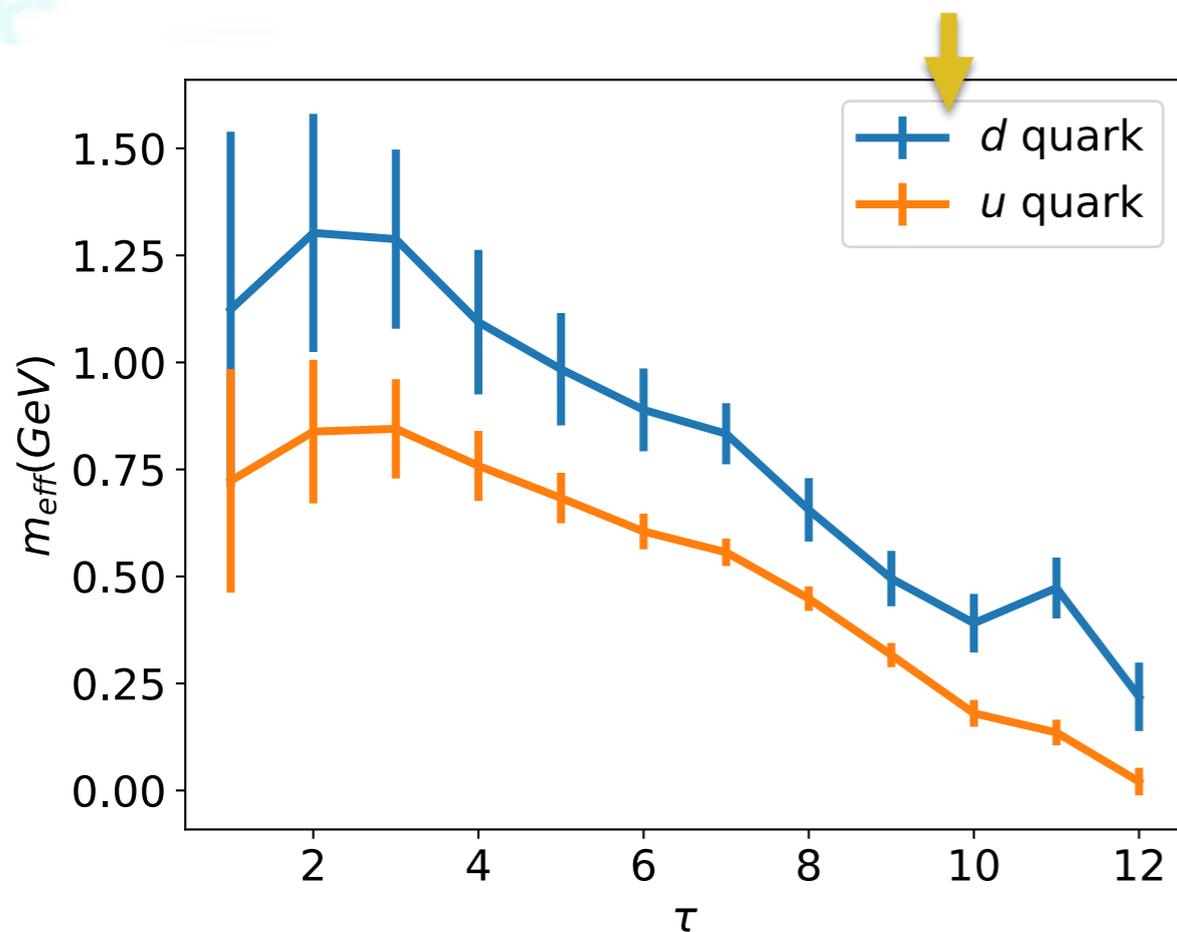
currents can be on two different quark lines respectively (cat ear diagrams)

Check the effective mass

$$\tilde{W}_{\mu\nu}(\mathbf{p}, \mathbf{q}, \tau) = \sum_n A_n e^{-(E_n - E_p)\tau} \quad E_p \sim 2.15 \text{ GeV}$$

one can check the effective mass of the Euclidean hadronic tensor

$$m_{\text{eff}} = \log[\tilde{W}(\tau)/\tilde{W}(\tau + 1)]$$



$$E_n - E_p \sim 1 \text{ GeV} \quad E_n \sim 3.2 \text{ GeV}$$

one can also check the effective mass of vector meson with point source