

Maximum entropy freeze-out of *fluctuations* in heavy-ion collisions

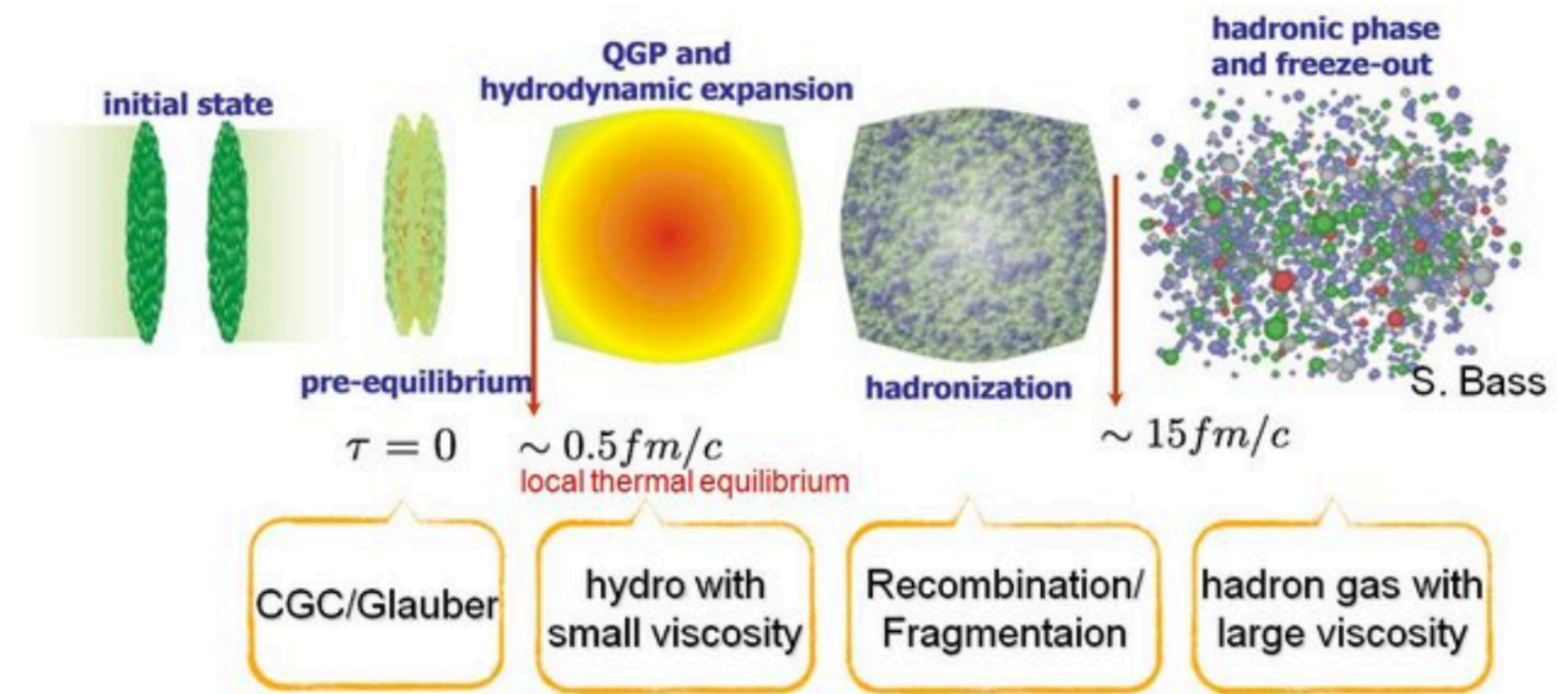
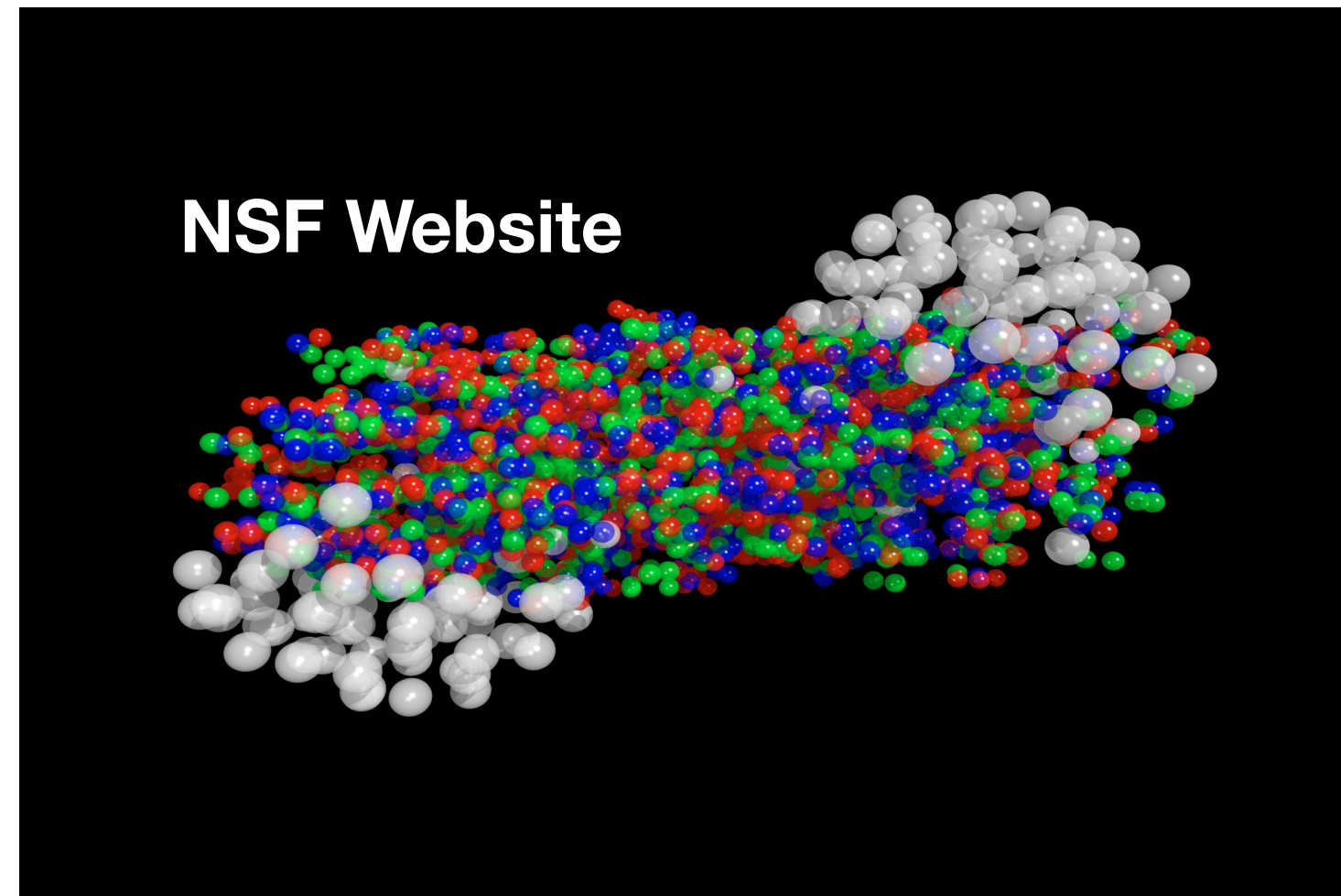
Based on PRL, 130 (2023) 16

with M Stephanov, University of Illinois at Chicago

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University of Maryland, College Park

QCD thermodynamics via heavy-ion collision experiments



- Equation of State of QCD, transport

Not calculable from first-principles due to sign problem

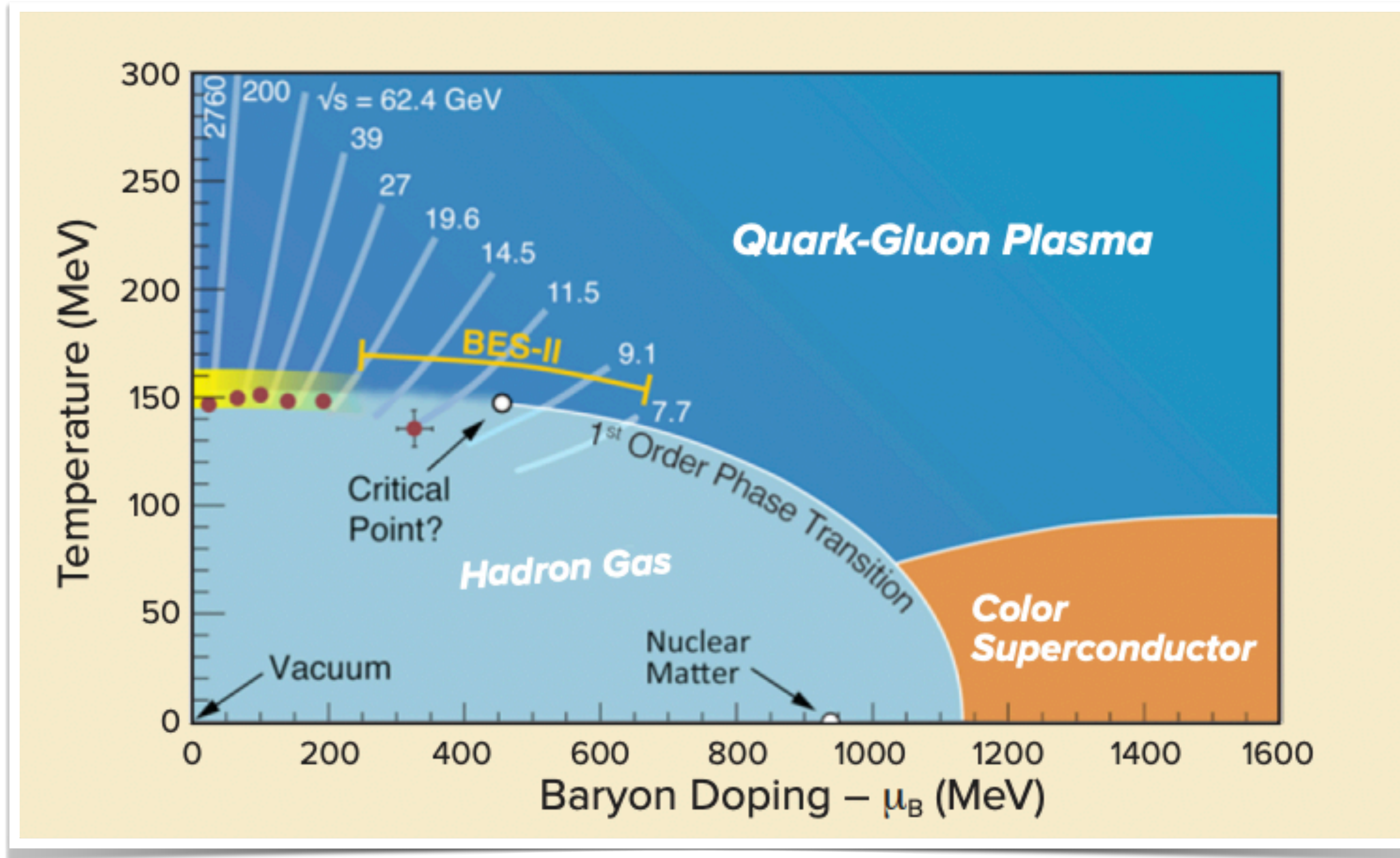
- QCD Phase Diagram

**Macroscopic/
thermodynamic/emergent
properties of QCD**

- Key goal; locate the **critical point** in $T-\mu$ plane

- Anomalies in QCD - Chiral Magnetic Effect, Chiral Vortical Effect,..

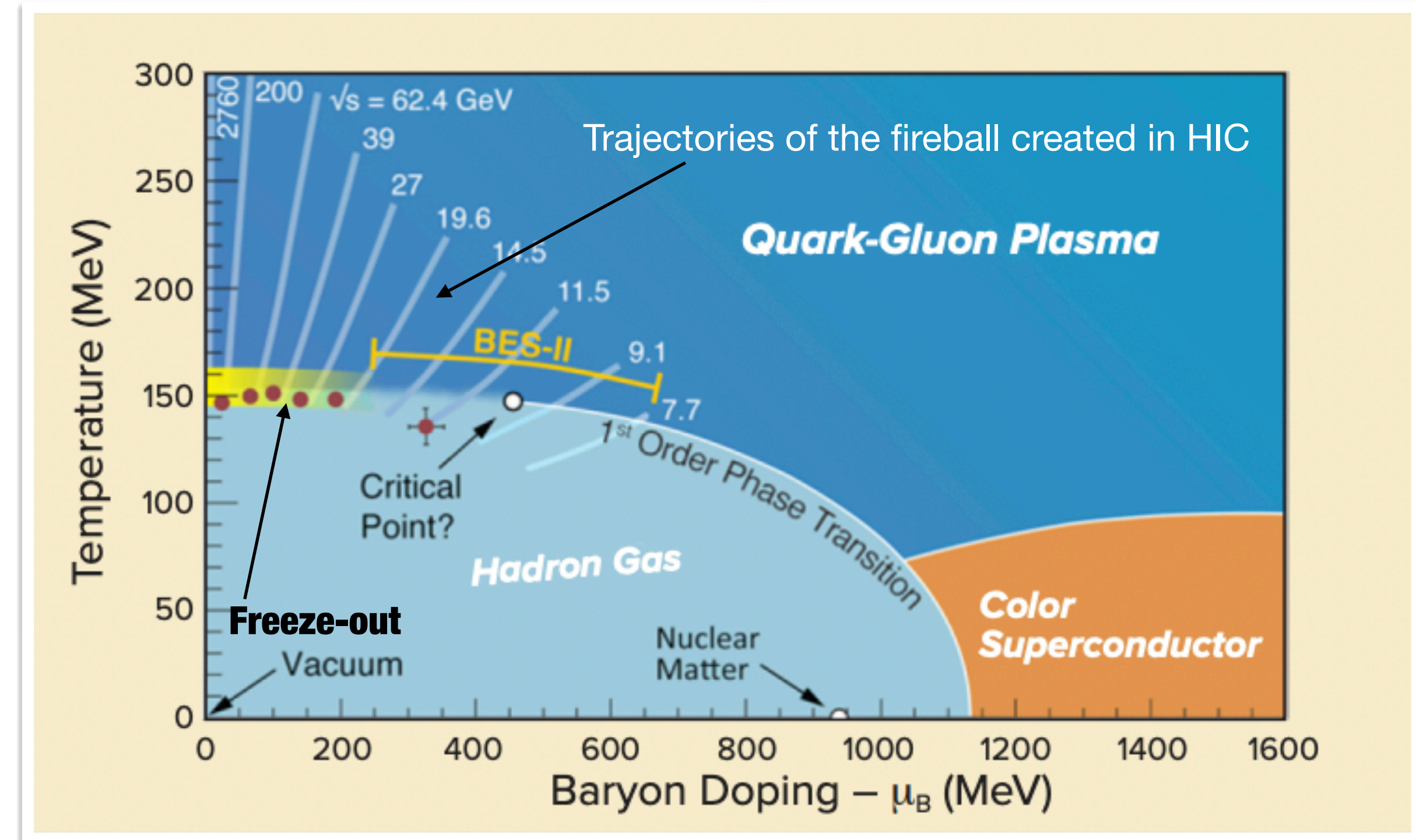
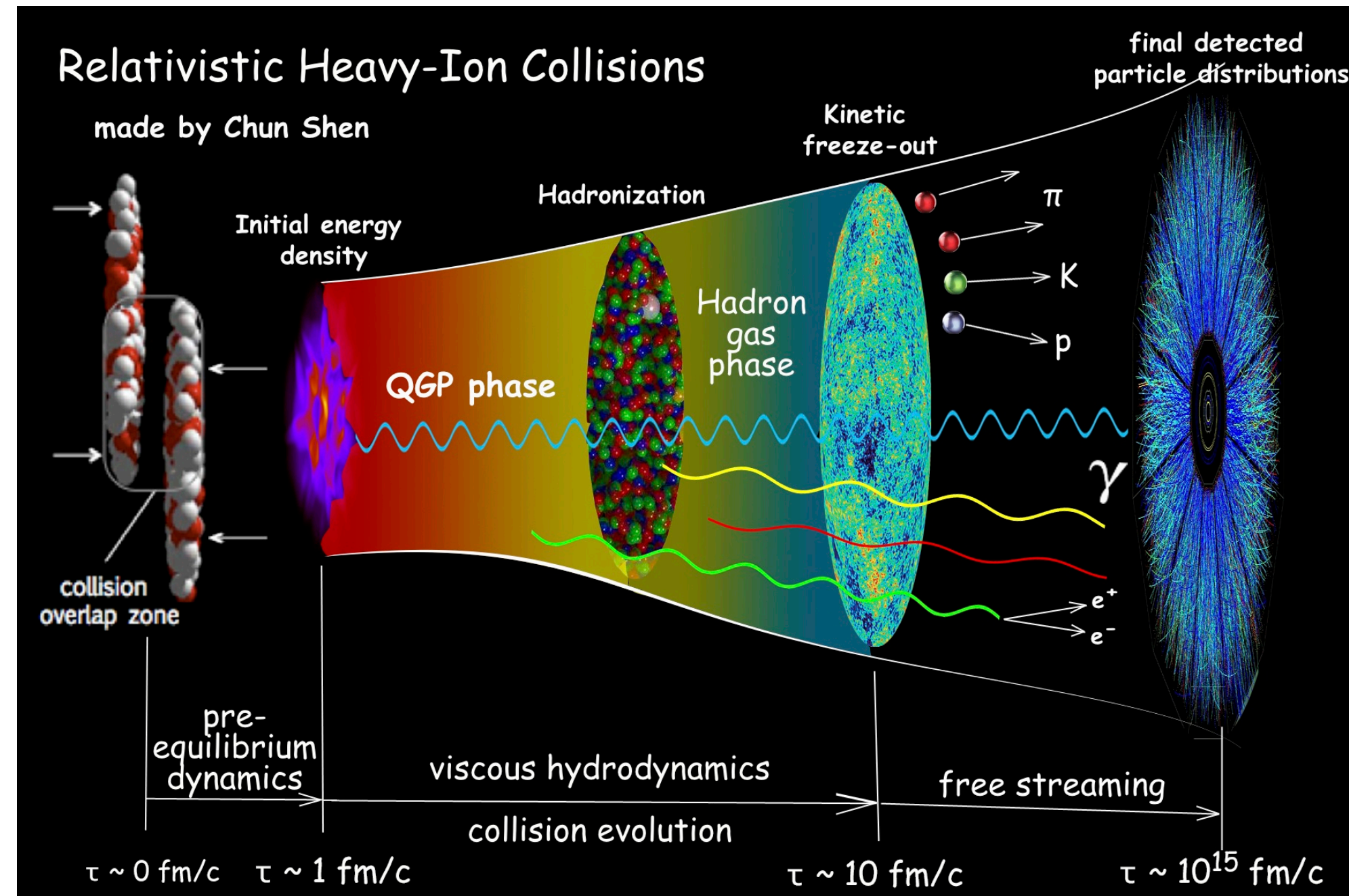
QCD Phase Diagram



- Map of singularities of the Equation of State , $P(\mu, T)$
- At $\mu_B = 0$: It is a cross-over. — Lattice QCD
- At $T= 0$: Models predict a first order phase transition

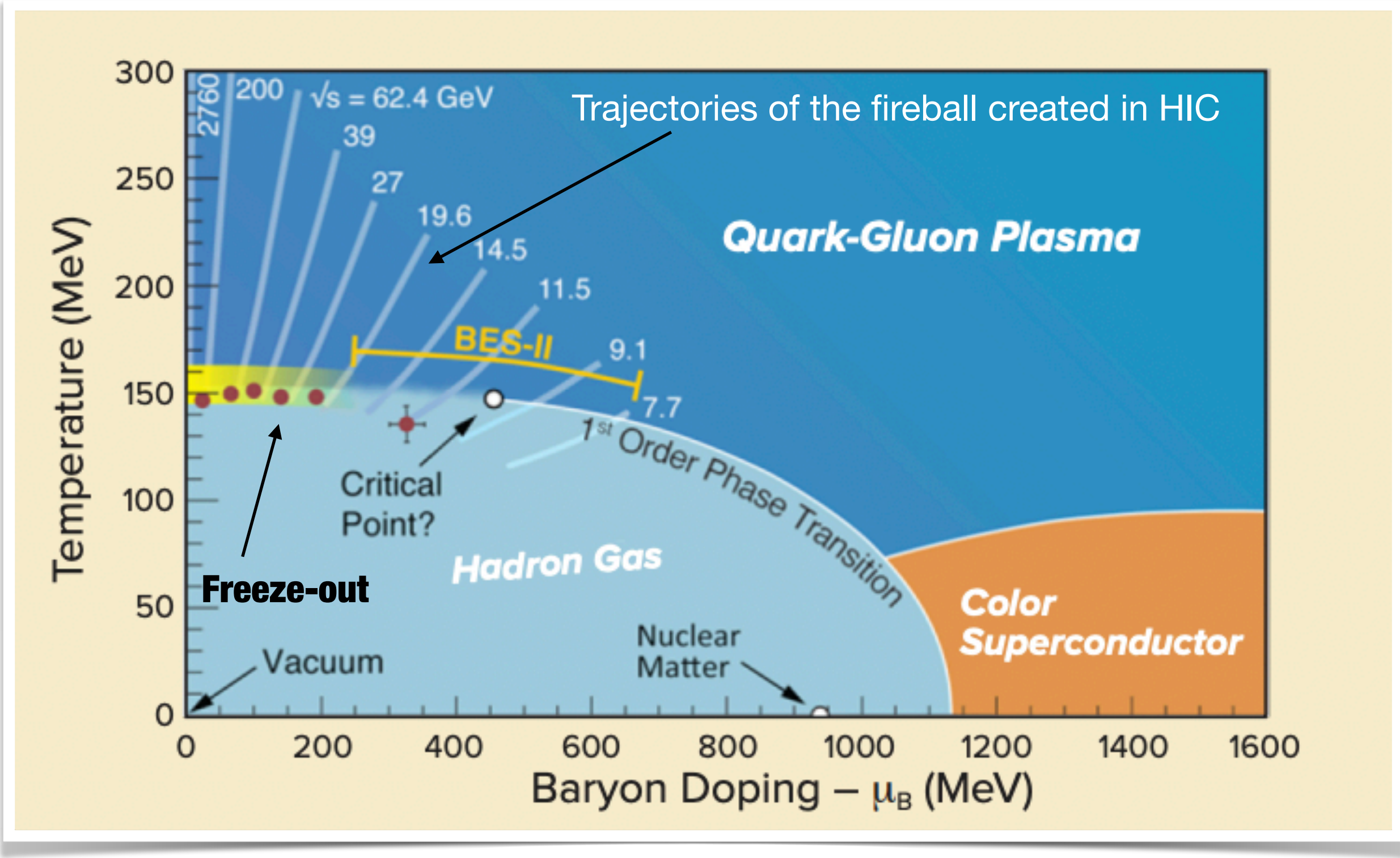
Heavy-ion collisions at varying center of mass energies can scan the phase diagram ,eg. Beam Energy Scan Program at RHIC

“Standard Model” of Heavy-Ion Collisions



- QGP thermalizes in a relatively short amount of time $\sim 1 \text{ fm}/c$
- Freeze-out at about $10 \text{ fm}/c$
- The event by event distribution of the particle multiplicities are fixed at freeze-out
- Freeze-out points move to lower values of baryon chemical potential as center of mass energy is increased

Signatures of the critical point



Fluctuations are enhanced near CP

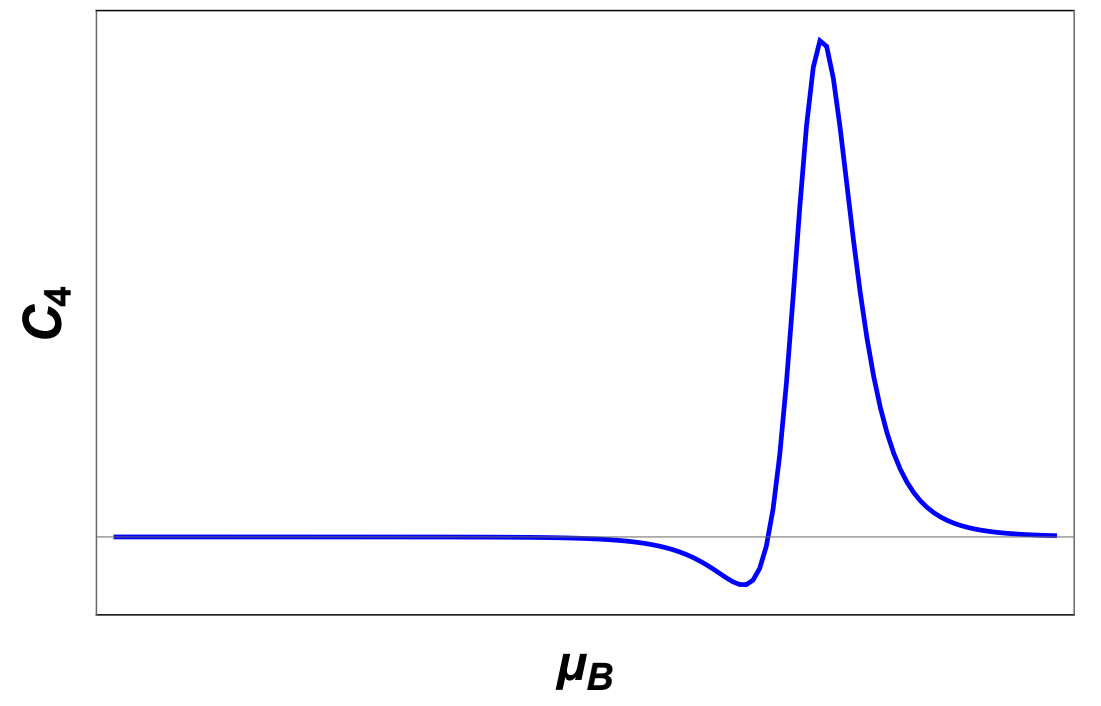
Diverges at CP

$$C_k \equiv \langle \delta N_B^k \rangle_c \stackrel{\text{in eq.}}{=} VT^{k-1} \frac{\partial^k P}{\partial \mu^k}$$

Connected event by event averages of baryon multiplicity fluctuations, also called cumulants

$$\sim \xi^{5(k-\eta)/2-3}$$

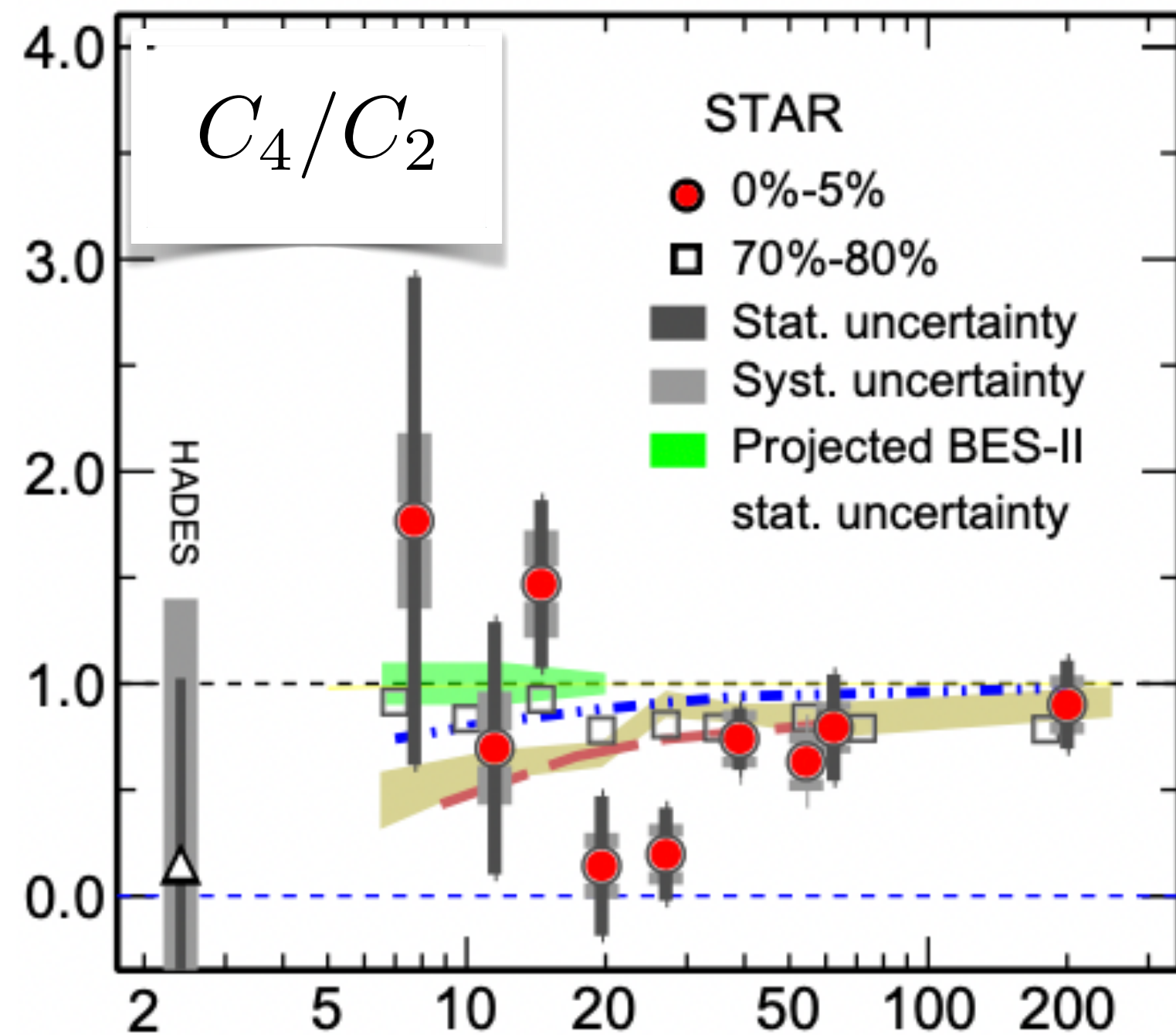
Correlation length



○ Non-monotonic dependence of cumulants of baryon multiplicities as a function of collision energy is an indication of the presence of a critical point

○ Higher cumulants of baryon multiplicities show more prominent enhancements Stephanov, 09

Relevant Observables



$$C_k = \langle (N_p - \langle N_p \rangle)^k \rangle_c$$

STAR Collaboration, 21

Beam Energy Scan- I results;

BES-II results with better precision

anticipated in near future

(For net proton multiplicity)

In a Boltzmann gas,

$$C_4/C_2 = 1$$

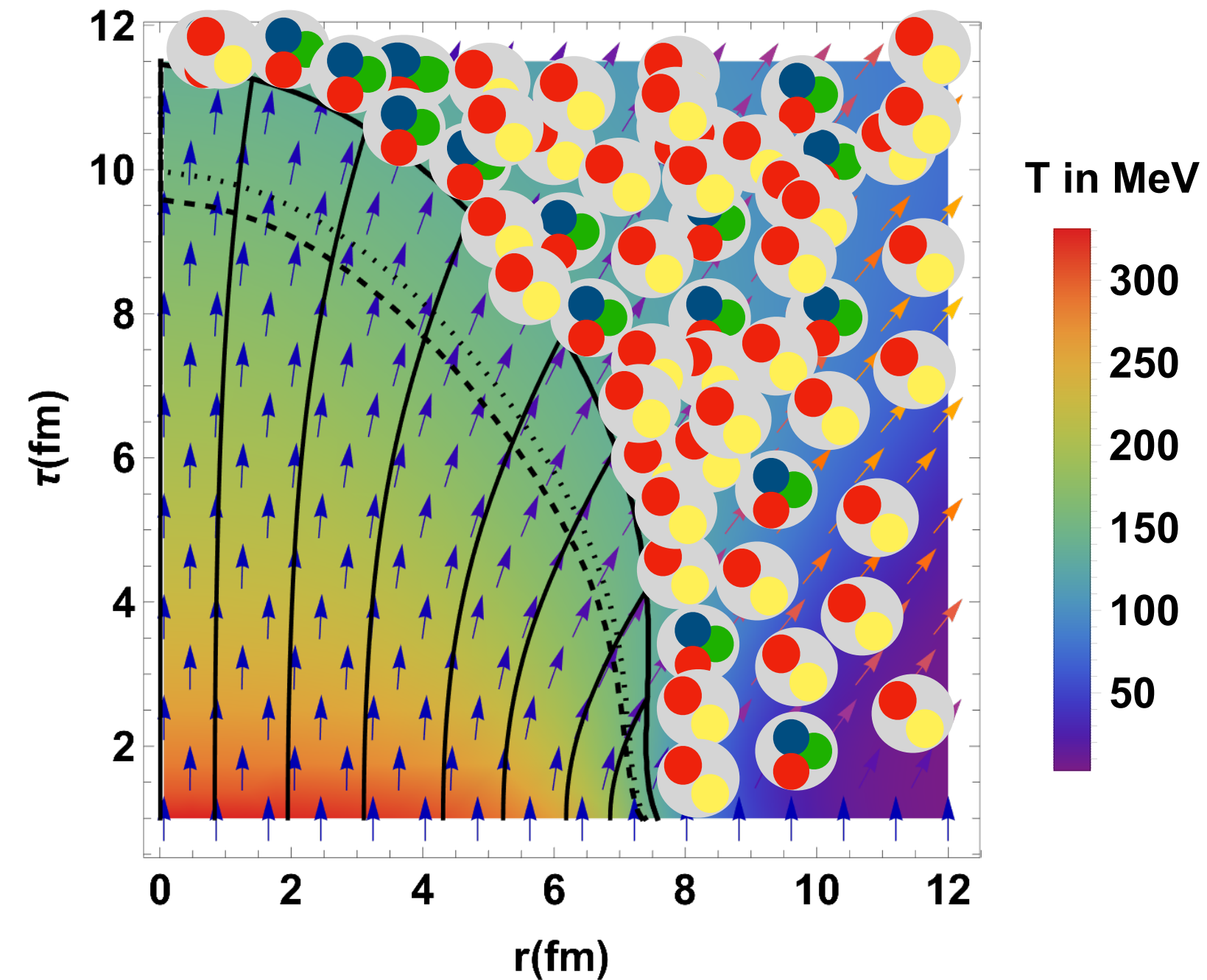
**Particle Distribution
Functions get frozen at
Freeze-out**

- Indications of a non-monotonic behavior? — signaling a Critical Point?

Require theoretical models for systematic exp-theory comparison

- How are the hydro fluctuations translated into the *measured* cumulants of particle multiplicities at freeze-out?

Freeze-out in heavy-ion collisions



- Evolution of fireball in the r - t plane in the center of mass frame
- Assume there is a hyper-surface in space-time where both the descriptions are valid

Freeze-out : Transition from hydrodynamics to hadron gas

Hydrodynamic mean densities

$$\{ \langle \epsilon u^\mu \rangle, \langle n \rangle \} \equiv \Psi^a$$

Conserved energy, momentum and charge densities and their correlations

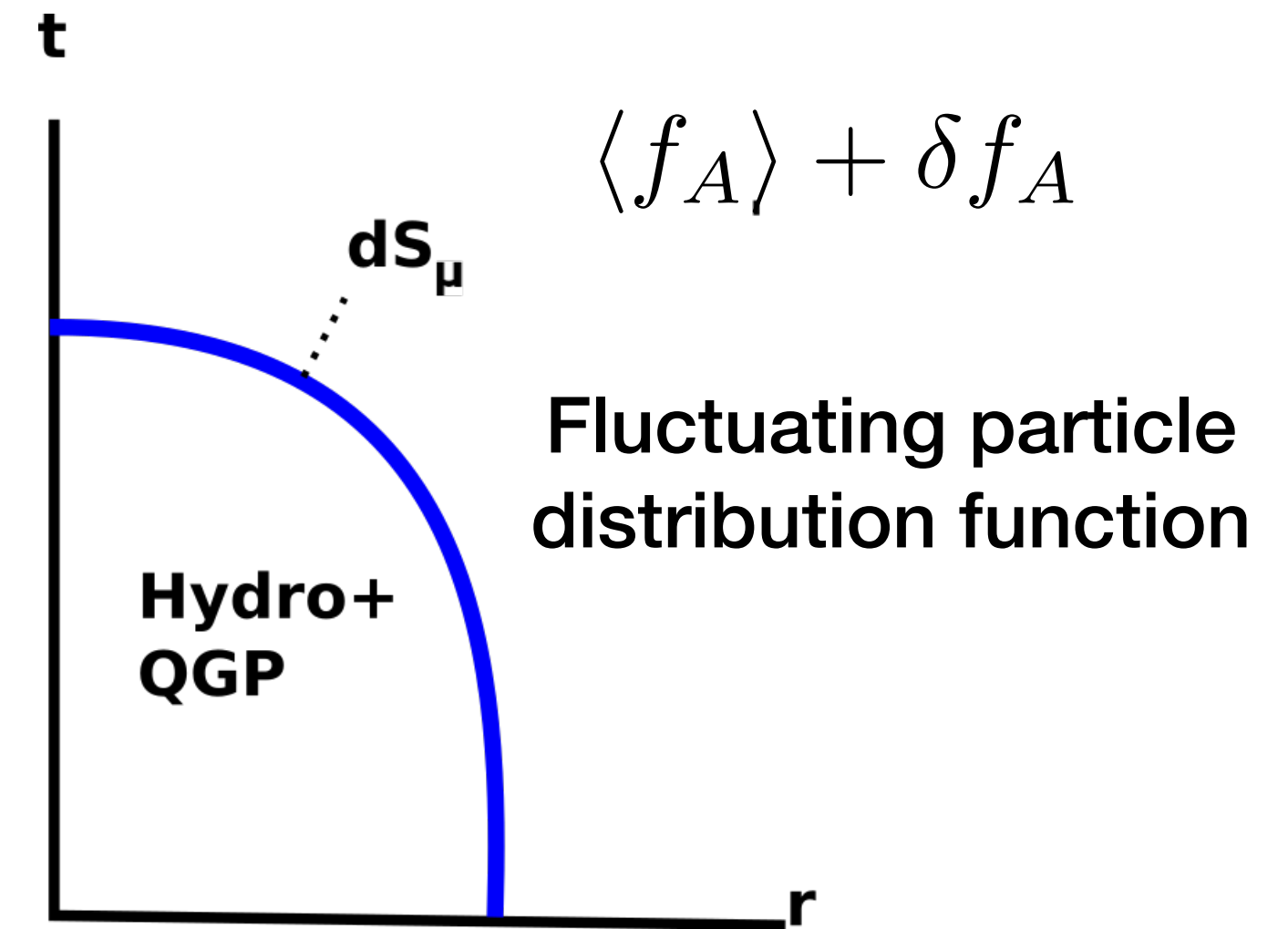
Hydrodynamic correlations

$$\Psi^a, \langle \delta \Psi^a \delta \Psi^b \rangle \equiv H^{ab}, \dots H^{abc} \dots$$

Particle distribution function at freeze-out

$$\langle f_A \rangle = \bar{f}_A, \langle \delta f_A \delta f_B \rangle = G_{AB}, \langle \delta f_A \delta f_B \delta f_C \rangle = G_{ABC} \dots$$

f_A Is the phase space distribution function for species A



Matching conditions at freeze-out

$$\langle \epsilon u^\mu \rangle = \sum_A \int_{p_A} \bar{f}_A p_A^\mu, \quad \langle n \rangle = \sum_A q_A \int_{p_A} \bar{f}_A$$

$$\Psi^a = \sum_A \int_{p_A} \bar{f}_A P_A^a$$

$$P_A = \begin{bmatrix} p_A^\mu \\ q_A \end{bmatrix}$$

$$H^{abc\dots} = \sum_{A,B,C,\dots} \int_{p_A p_B p_C \dots} G_{ABC\dots} P_A^a P_B^b P_C^c \dots$$

- Matching conditions for averages of conserved densities
- Infinitely many sets of distribution functions that satisfy these matching conditions
- Freeze-out prescription corresponds to choosing one of these sets - **How to choose?**

Maximum entropy approach to freeze-out

Given only the information about the hydrodynamic densities on the freeze-out hypersurface, what is the *the least biased* ensemble of free streaming particles after freeze-out that obeys the conservation laws?

Hydro

- EoS
- Conserved Densities and/or their Higher Point Correlations

HRG

- Masses, degeneracies
- Quantum numbers of the hadrons

Maximum entropy freeze-out of ordinary hydrodynamics

$$\langle \epsilon u^\mu \rangle = \sum_A \int_{p_A} \bar{f}_A p_A^\mu, \quad \langle n \rangle = \sum_A q_A \int_{p_A} \bar{f}_A$$

$$S_A[f_A] = f_A [1 - \log f_A] \quad (\text{Classical statistics})$$

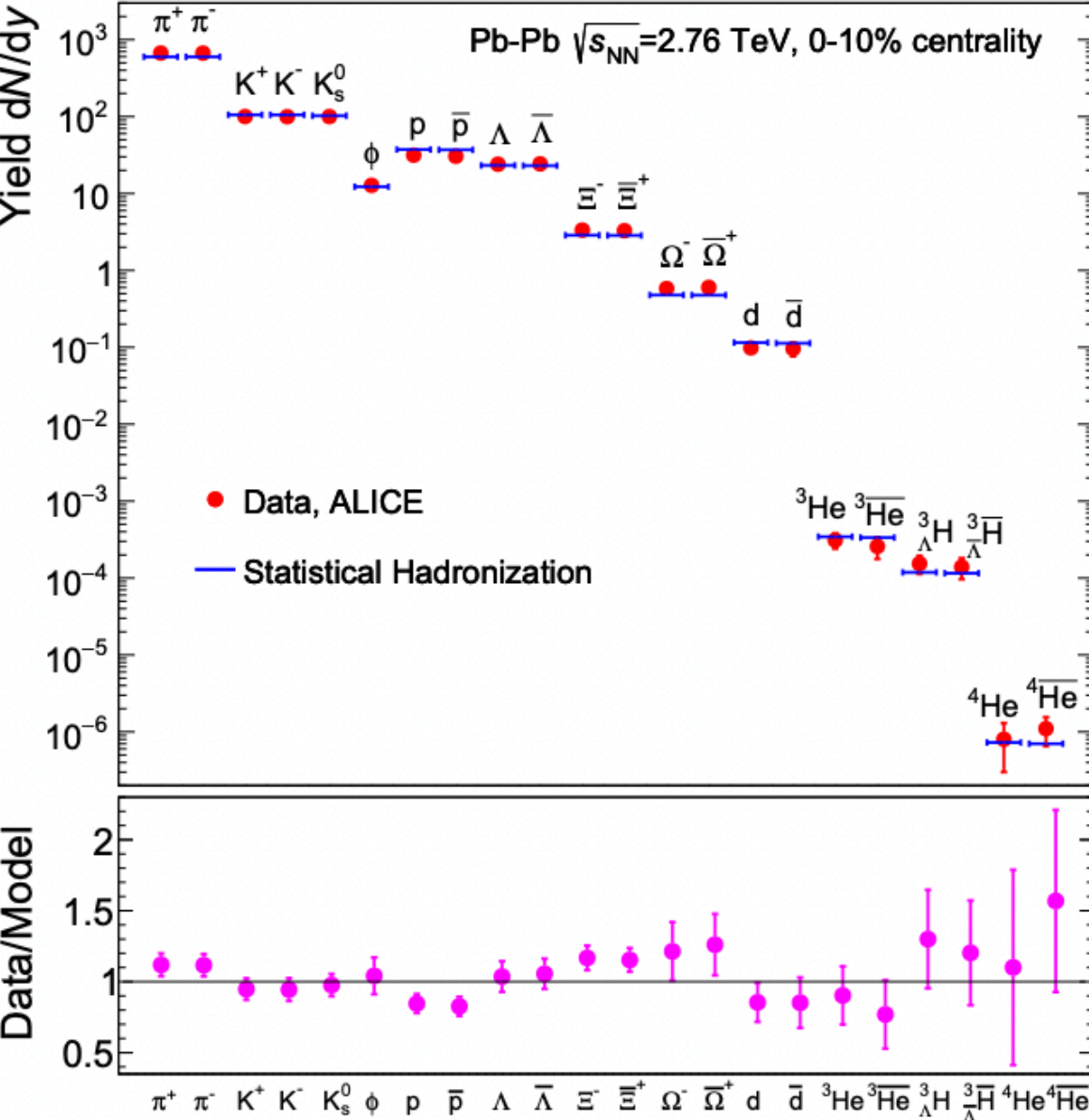
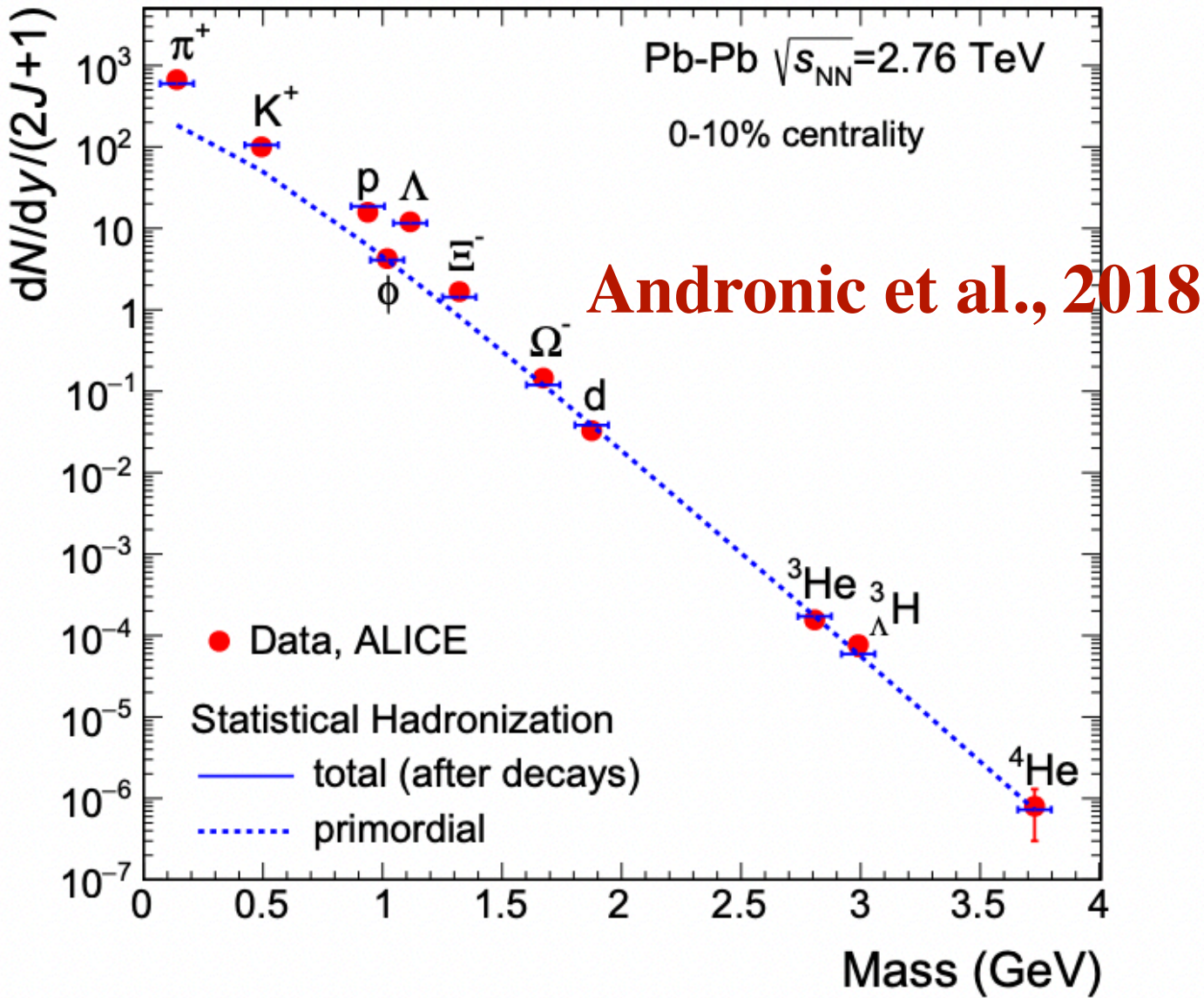
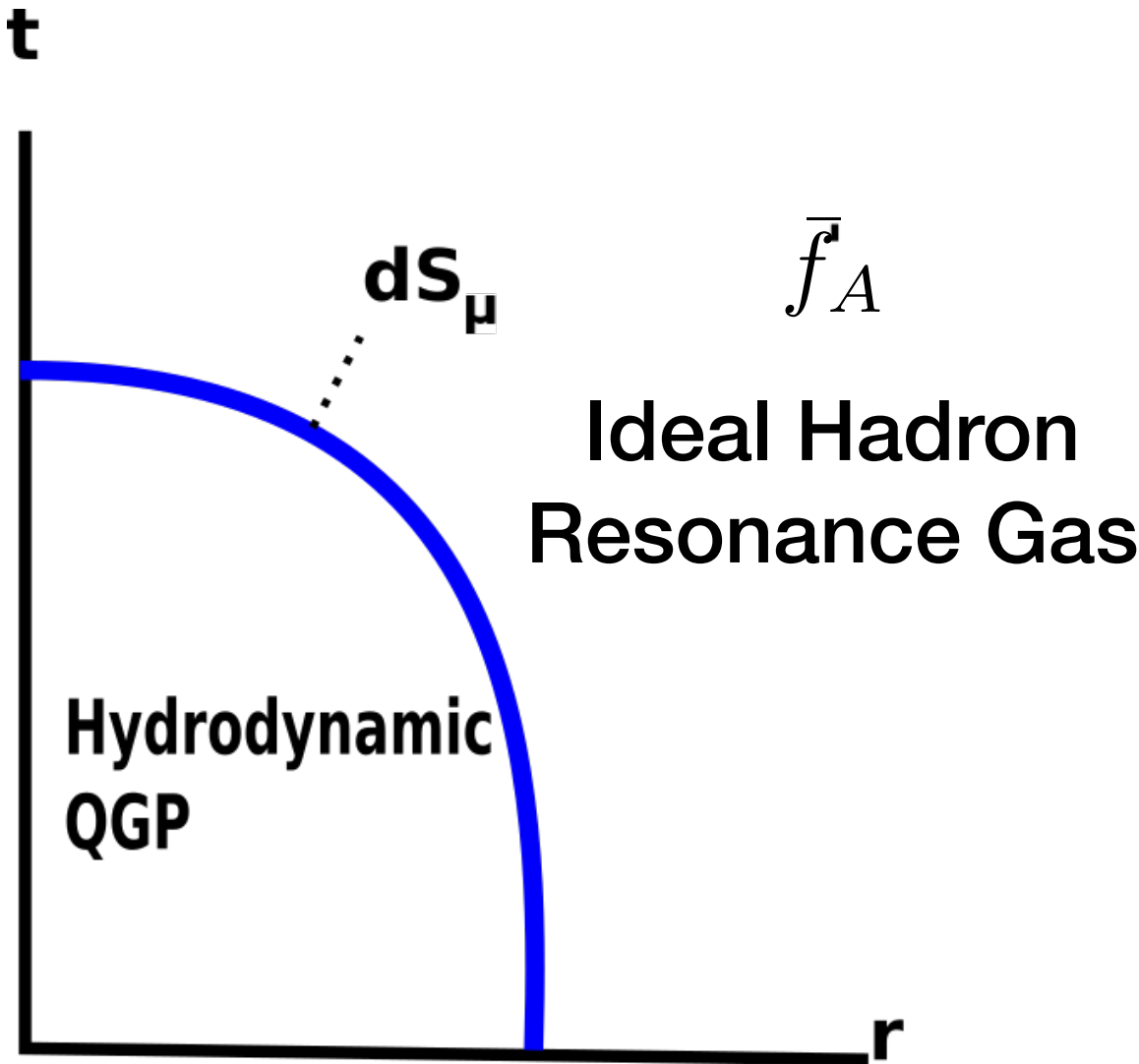
Maximize thermodynamic entropy subject to conservation equations

Solution - well known Cooper-Frye freeze-out (74) $\bar{f}_A \sim e^{-(u^\mu p_\mu - q_A \mu_B)/T}$

Hydrodynamic system freezes out into ideal hadron-resonance gas with the same values of conserved densities

Cooper-Frye freeze-out

Cooper, Frye, 74



Cooper-Frye procedure doesn't freeze-out of fluctuations

$$\langle N_A \rangle = \int dS_\mu \int Dp p^\mu \bar{f}_A(x, p)$$

Averages of conserved densities are matched

Maximum entropy approach to freeze-out hydrodynamic fluctuations

MP, Stephanov, 23

More entropy = Less information (assumptions) we have (make) about the system

- Generalization to Cooper-Frye freeze-out to include fluctuations
- Maximum entropy principle gives the least biased ensemble of hadron resonance gas
- Matches all the information about the conserved densities and their correlations
- Can be employed to freeze-out non-Gaussian correlations of all kinds of hydrodynamic densities

Matching correlations of conserved densities

Hydrodynamic
correlations

$$\Psi^a, \langle \delta \Psi^a \delta \Psi^b \rangle \equiv H^{ab}, \dots H^{abc\dots}$$

Particle distribution
function at freeze-out

$$\langle f_A \rangle = \bar{f}_A, \langle \delta f_A \delta f_B \rangle = G_{AB}, \langle \delta f_A \delta f_B \delta f_C \rangle = G_{ABC} \dots$$

$$H^{abc\dots} = \sum_{A,B,C,\dots} \int_{p_A p_B p_C \dots} G_{ABC\dots} P_A^a P_B^b P_C^c \dots \quad P_A = \begin{bmatrix} p_A^\mu \\ q_A \end{bmatrix}$$

More degrees of freedom on the kinetic side
Infinitely many solutions for the conservation equations

Equilibrium

$$S[\bar{f}]$$

Which entropy to maximize?

Generalized

$$S[P(f)]$$

$$S[P(f)] = S_0[\bar{f}] + \int_f P(f) \log \frac{P_{eq}(f)}{P(f)}$$

$$S[\bar{f}, G_2, G_3, \dots]$$

G s are the correlation functions in the Hadron Gas description

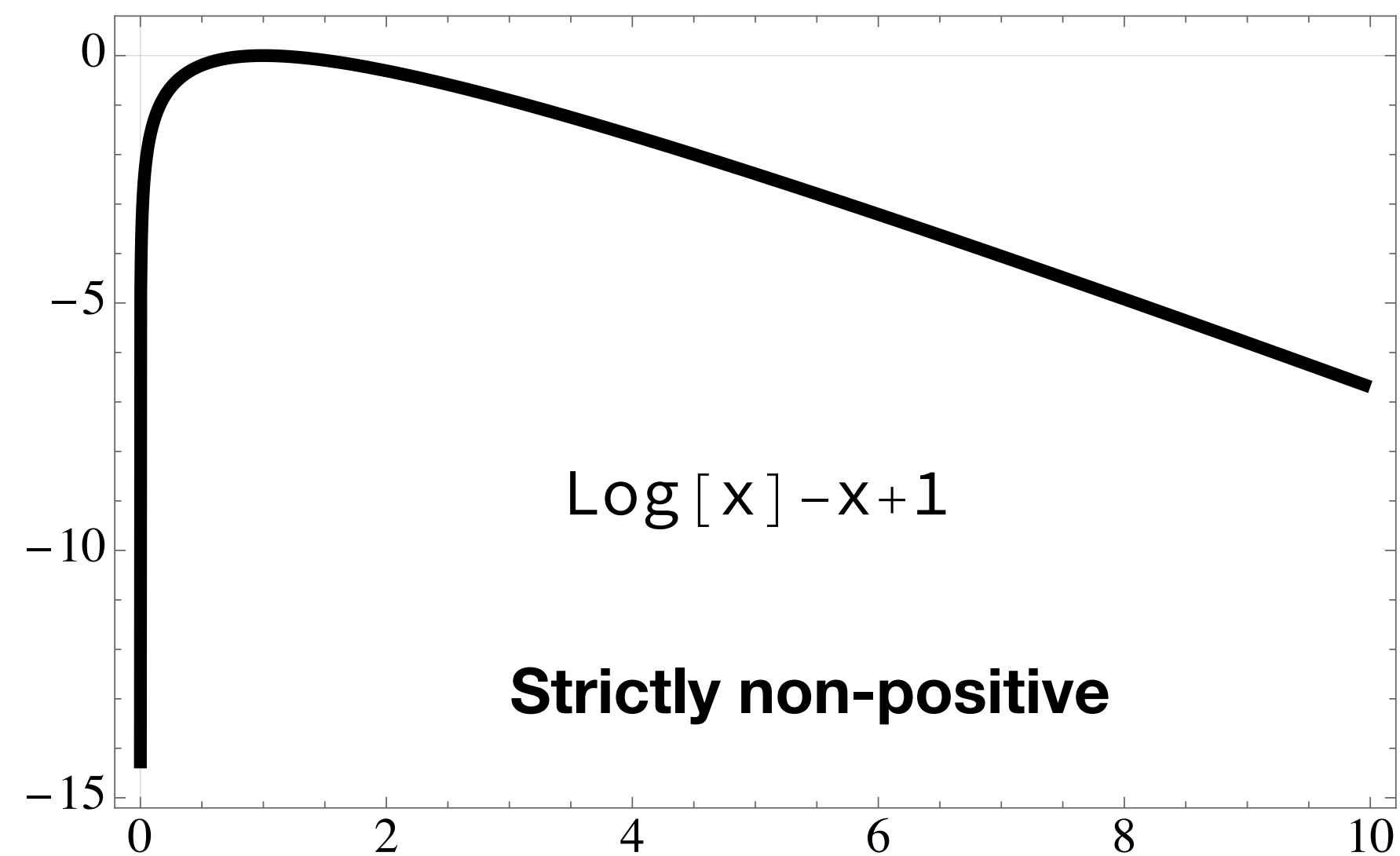
$$S_0[\bar{f}] = - \int_f P_{eq}(f) \log P_{eq}(f)$$

- Maximize the **relative entropy** when correlations are out of equilibrium
- Constraints from matching conditions

Entropy to describe out-of equilibrium two-point correlations in ideal HRG

$$S_2 = S_0 + \frac{1}{2} \text{Tr} \left[\log G\bar{G}^{-1} - G\bar{G}^{-1} + 1 \right] ,$$

Equilibrium



Similar 2-PI action

Berges, 04, Stephanov, Yin, 17...

2-PI entropy

Upon maximizing the 2PI entropy, subject to constraints of conservation

$$G_{AB}^{-1} = \bar{G}_{AB}^{-1} + (H^{-1} - \bar{H}^{-1})^{ab} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b$$

When all but two-point correlations are in equilibrium, the solution given above is exact.

Linearizing,

$$G_{AB\dots} = \bar{G}_{AB} + \Delta H_{ab} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b + \dots,$$

Self
correlations



Contribution of
self correlations
to hydrodynamics
is subtracted

Contribution of self
correlations to
hydrodynamics

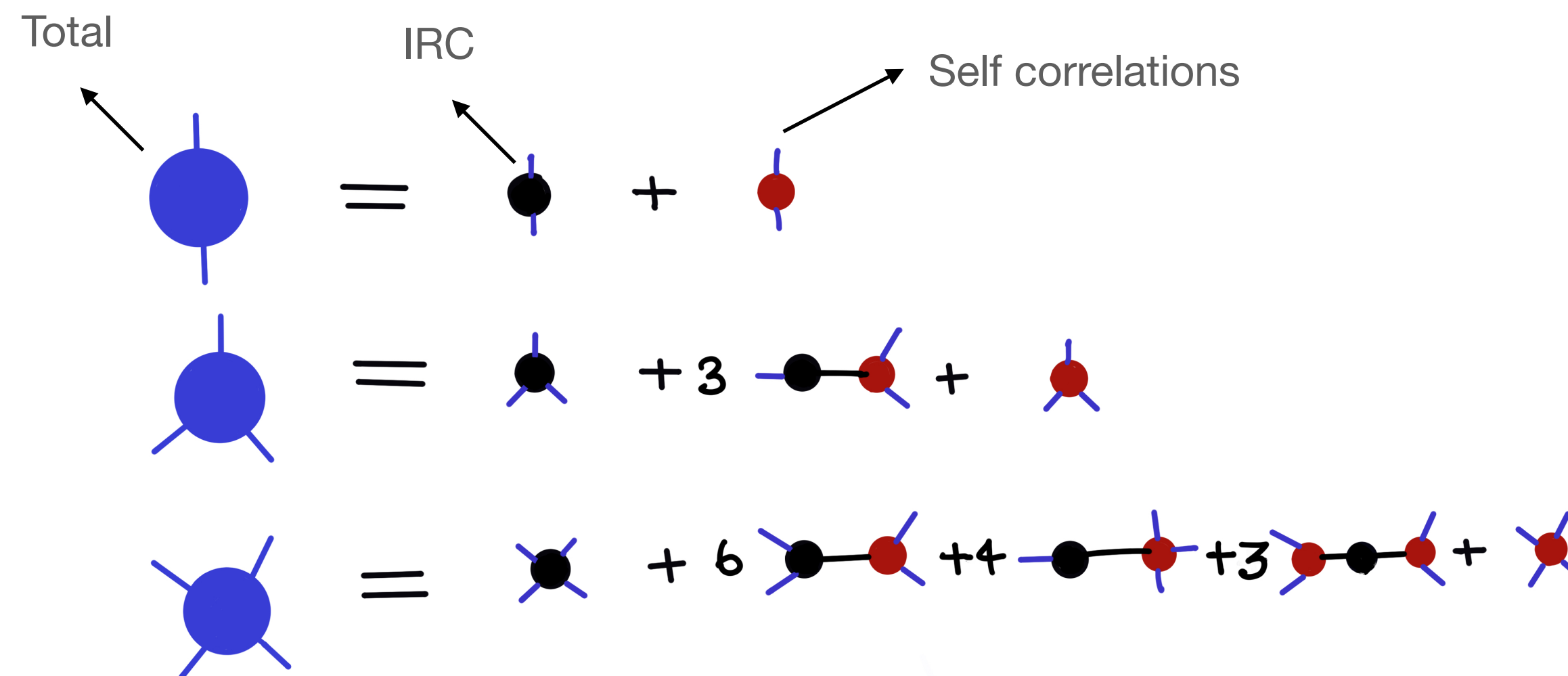
$$\Delta H^{ab} = H^{ab} - \bar{H}^{ab}$$

$$\bar{H}_{ab} = \sum_{A,B} \int \bar{G}_{AB} P_A^a P_B^b$$

Generalization to Non-Gaussian Correlations

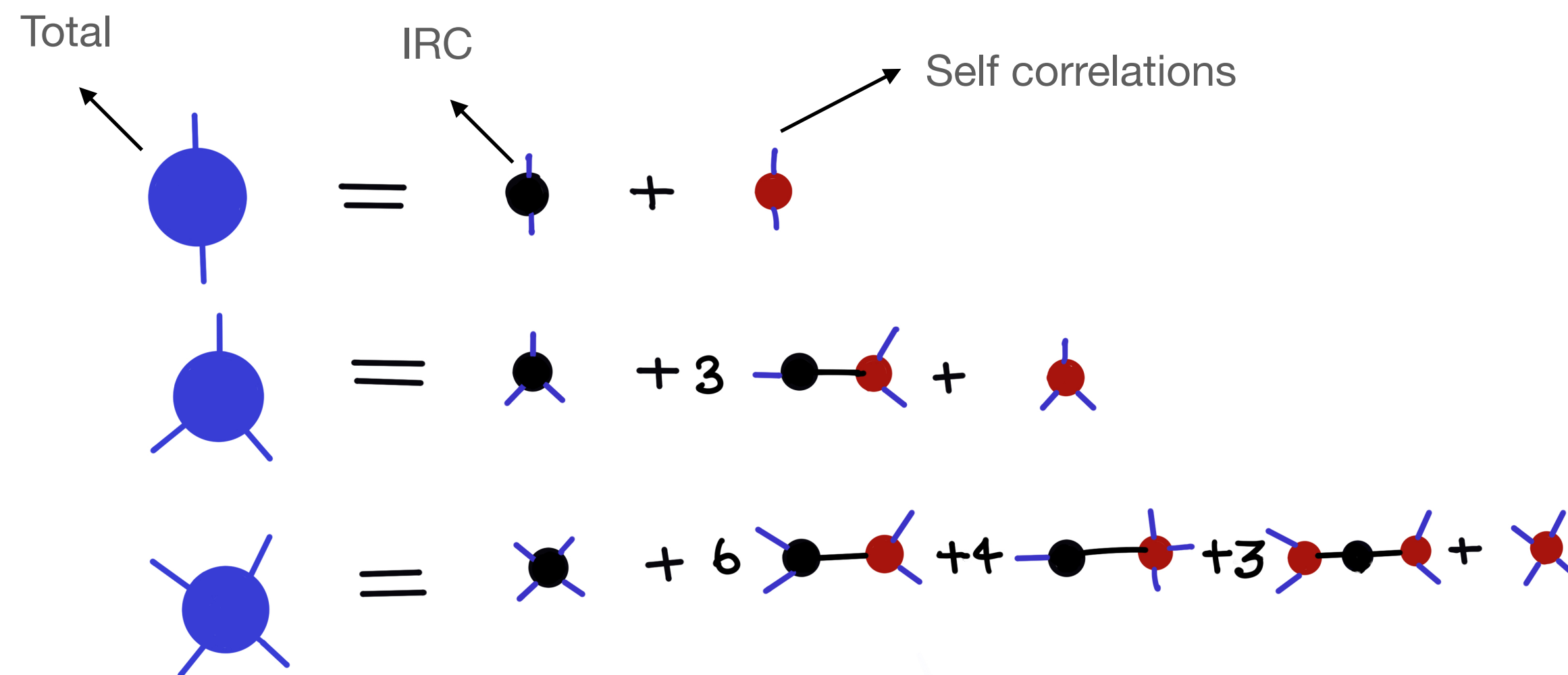
$$\hat{\Delta}G_{ABC\dots} \stackrel{\text{IRC}}{=} \mathcal{F}(\bar{H}, \bar{G}) \hat{\Delta}H_{ABC\dots} \stackrel{\text{IRC}}{=}$$

For classical gas, irreducible relative cumulants (IRCs) reduce to so called “factorial cumulants”.



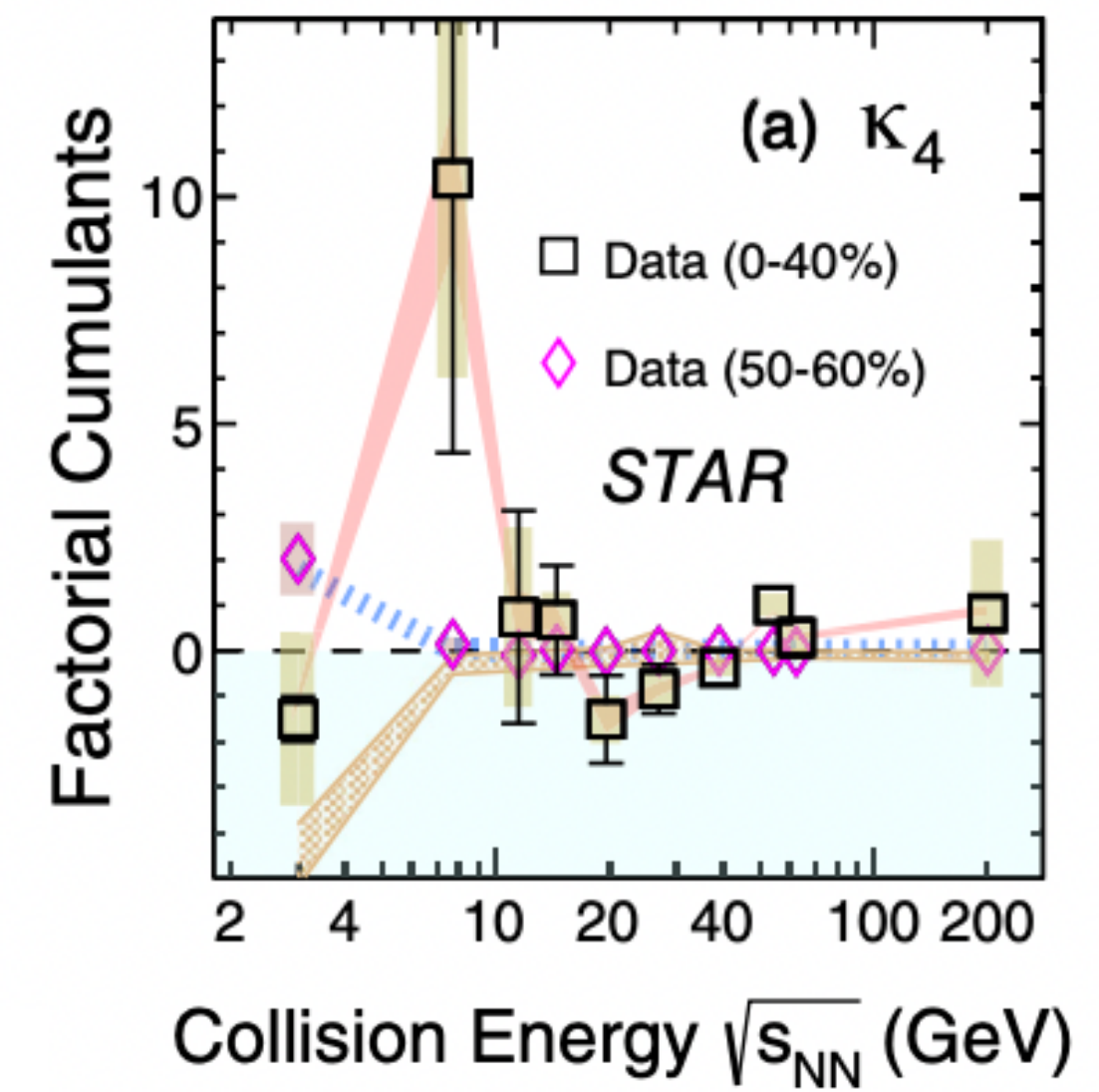
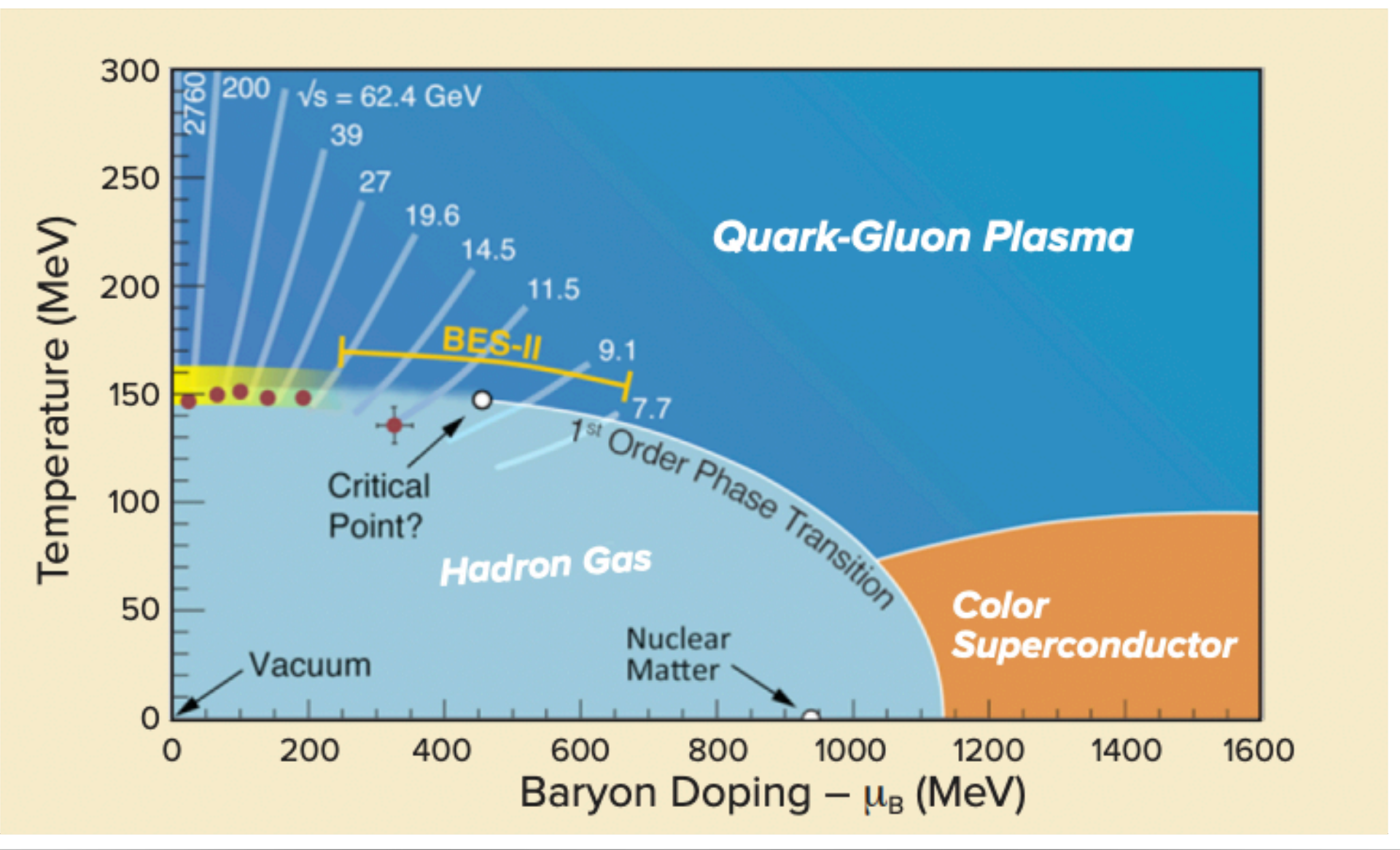
Irreducible relative cumulants

For classical gas, irreducible relative cumulants (IRCs) reduce to so called “factorial cumulants”.



- For gases obeying different statistics, IRCs quantify the non-trivial correlations
- Non-trivial correlations relative to any specified baseline distribution

Returning to the bigger picture : Is there a critical point in the QCD phase diagram?



Fourth factorial cumulants for proton multiplicity

Intriguing results from Beam Energy Scan - I (STAR: PRL 130, 082301 (2023))

If there is a CP in the QCD phase diagram,

Build a theoretical framework for describing fluctuations in HICs.

- How *large* are the cumulants of particle multiplicities in *equilibrium*?
- In a realistic evolution in HICs, how large can they grow?

Crucial elements of a theoretical description of thermodynamic fluctuations for BES program

- QCD EoS
- Evolve the hydrodynamic fluctuations - until freeze-out — Hydro+
- Freeze-out procedure

Hydrodynamic correlation functions in equilibrium

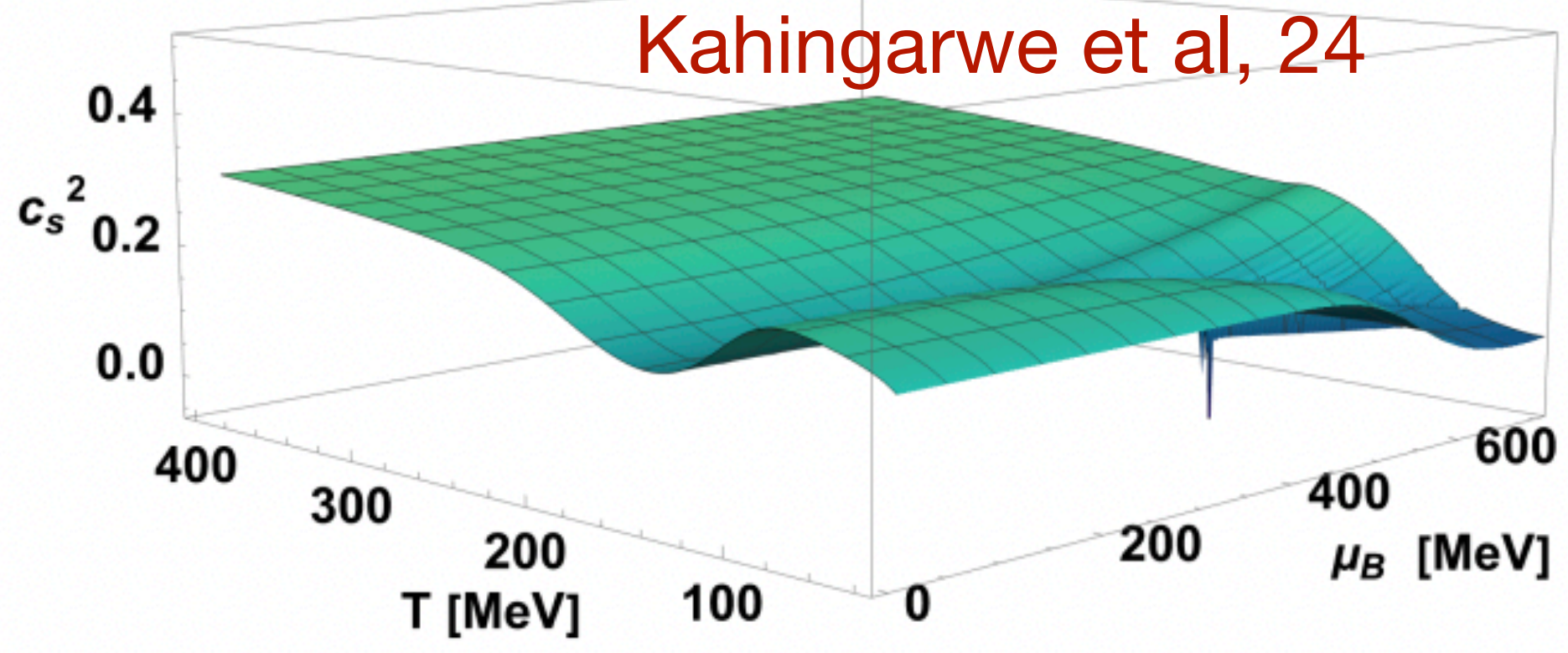
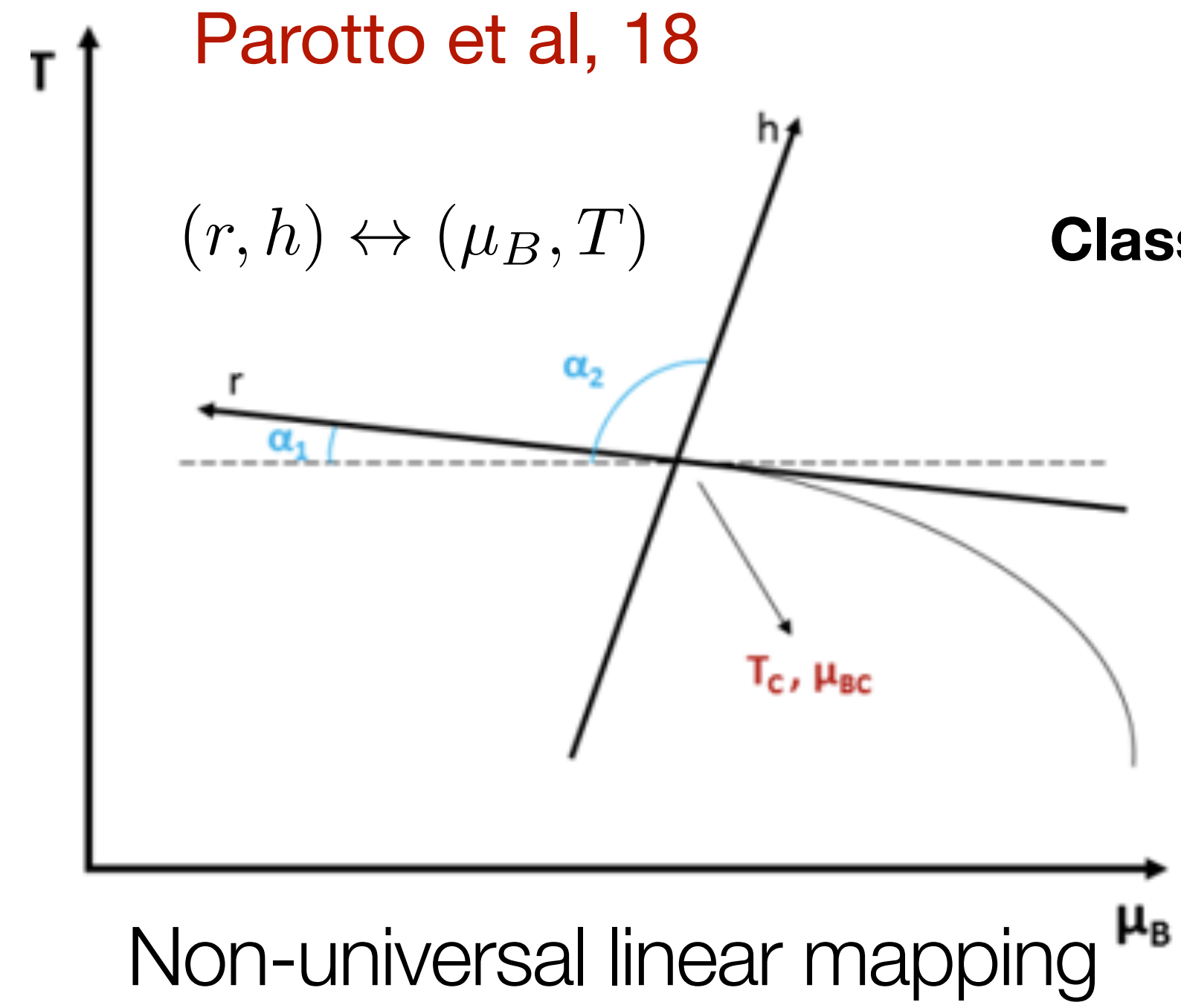
QCD EoS is unknown from first principles

universal

$$P_{\text{QCD}}(\mu, T) = P_{\text{bg}}(\mu, T) + A \overbrace{G(r, h)}$$

Class of QCD EoS with a CP

- r and h are linear functions of μ and T



$$\frac{\langle \delta N_A^k \rangle_{\text{eq}}}{\langle N_A \rangle} = 1 + \#_A (\text{Mapping Parameters}) \partial^k G$$

Realistic scenario

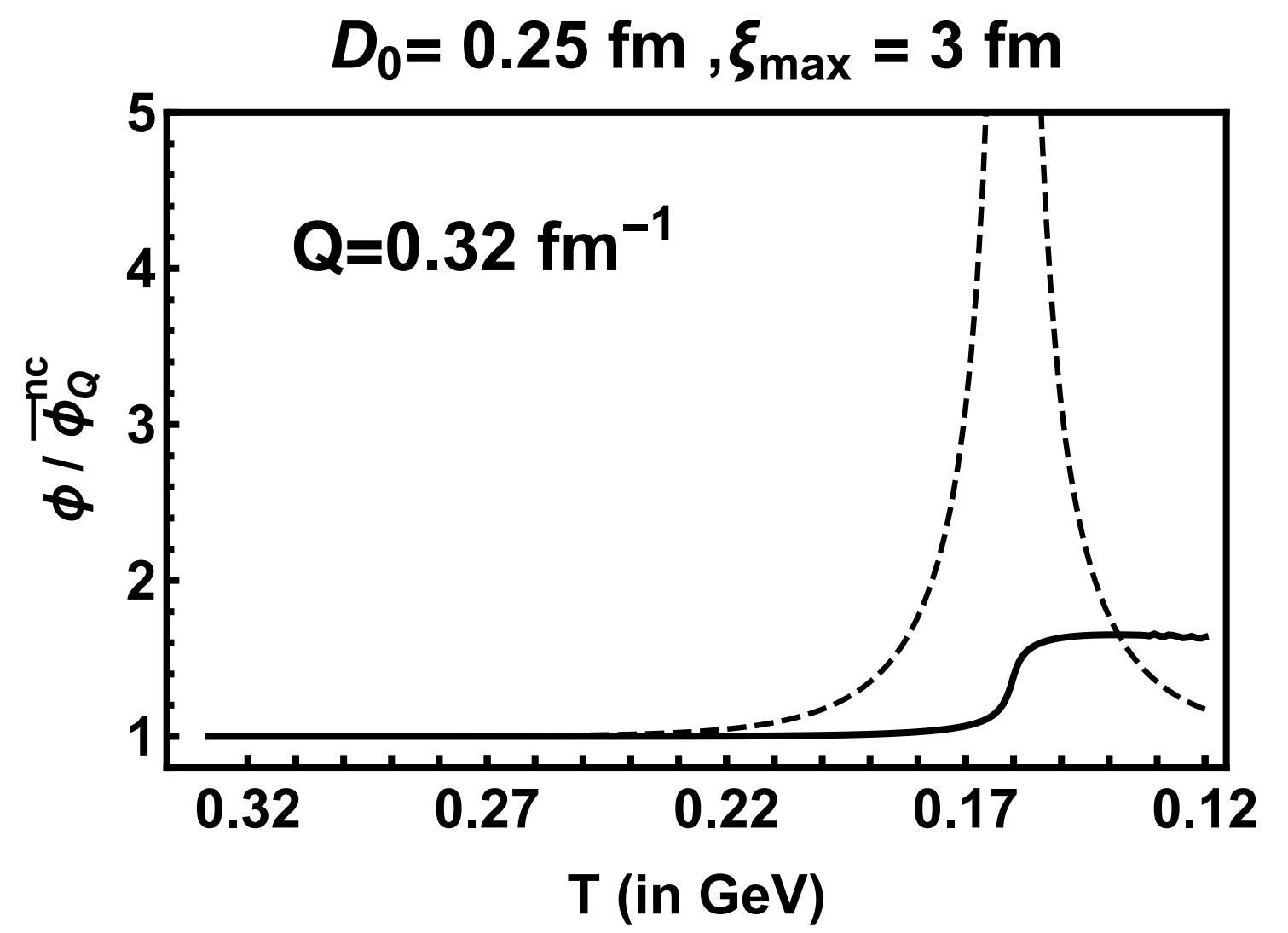
$$\frac{\langle \delta N_A^k \rangle}{\langle N_A \rangle} = 1 + \int_{Q_1 \dots Q_{k-1}} \#_A(\text{Mapping Parameters}; Q_1 \dots Q_{k-1}) \Delta \tilde{H}^k(Q_1, \dots, Q_{k-1})$$

$$u \cdot \partial \phi_{\mathbf{Q}} = -\Gamma(|\mathbf{Q}|\xi) (\phi_{\mathbf{Q}} - \bar{\phi}_{\mathbf{Q}})$$

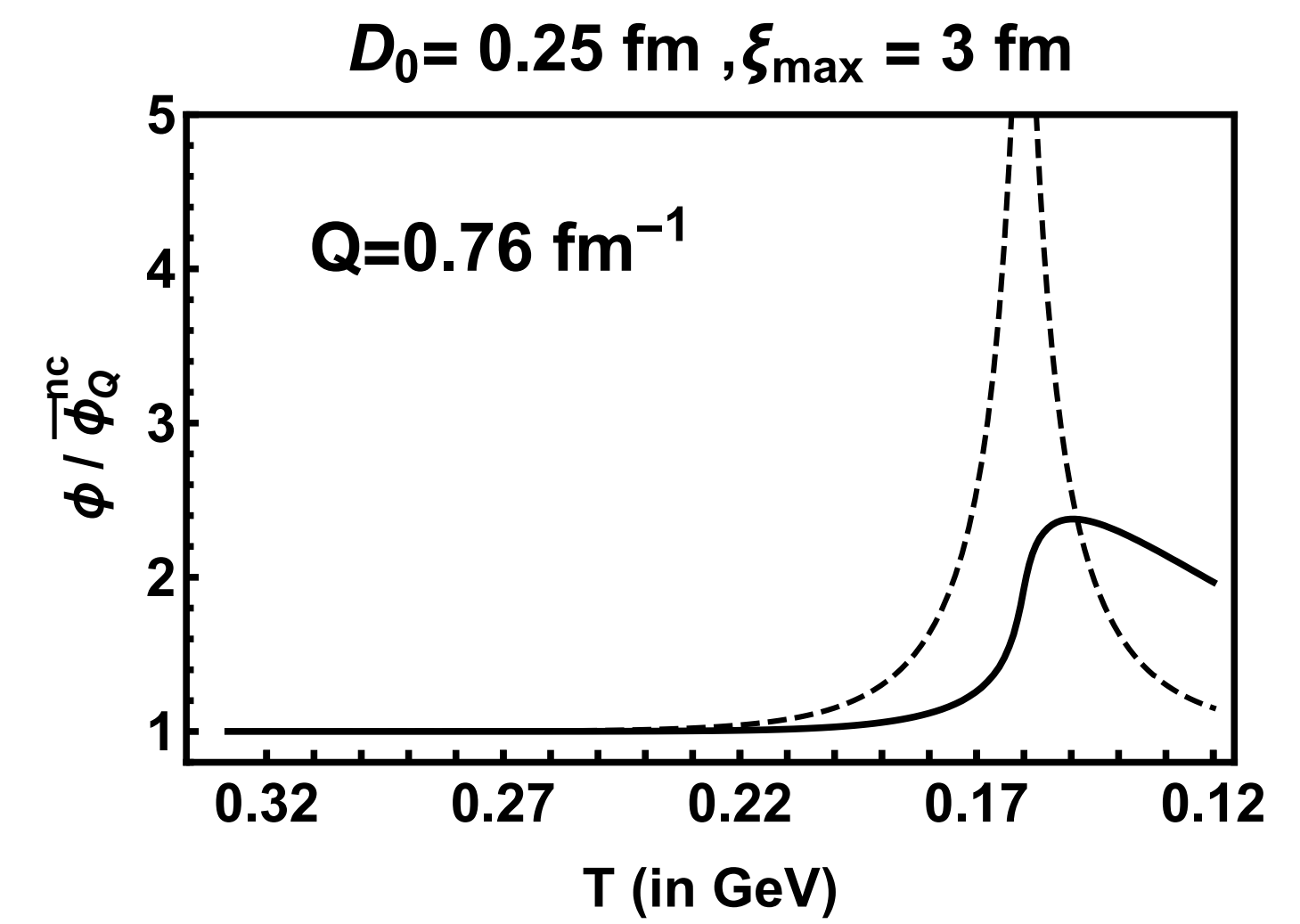
$$\Gamma(\mathbf{Q}\xi) \approx \frac{2D_0\xi_0}{\xi} \mathbf{Q}^2$$

Stephanov, Yin, 17

$$\langle \delta \hat{s}(x_+) \delta \hat{s}(x_-) \rangle = \int e^{i\mathbf{Q} \cdot \Delta \mathbf{x}} \phi_{\mathbf{Q}}, \quad \Delta \mathbf{x} = x_+ - x_-$$

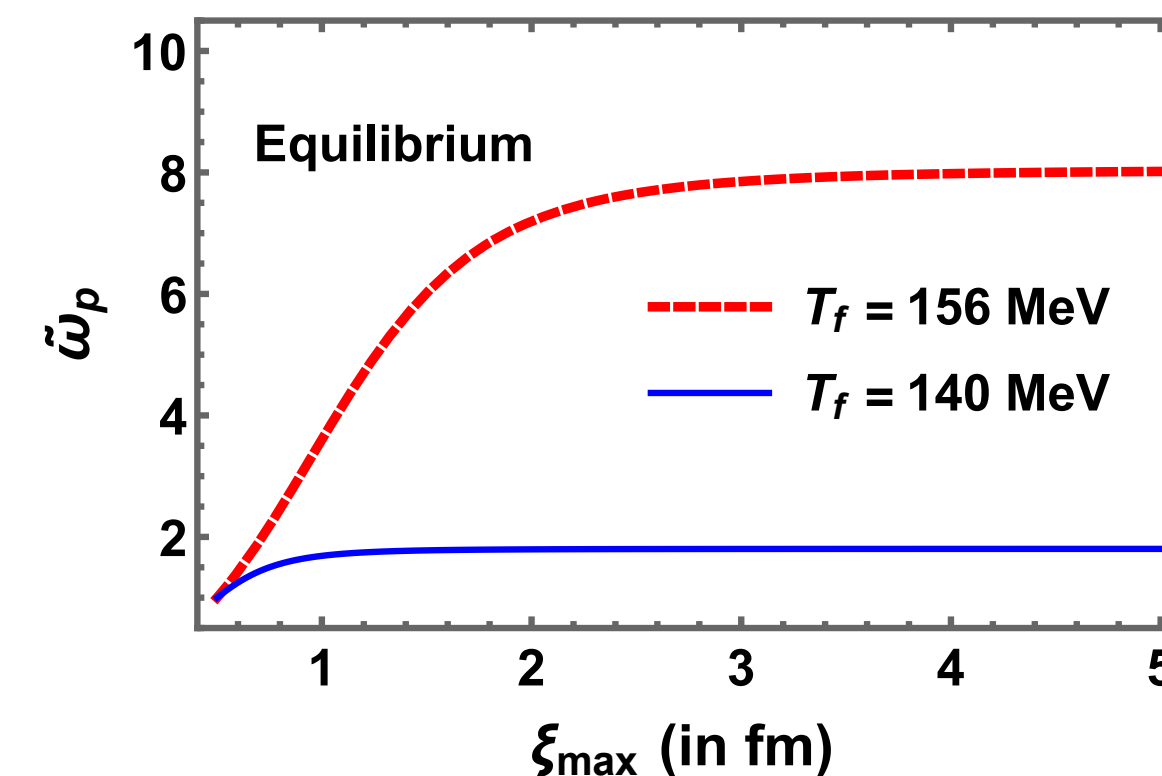
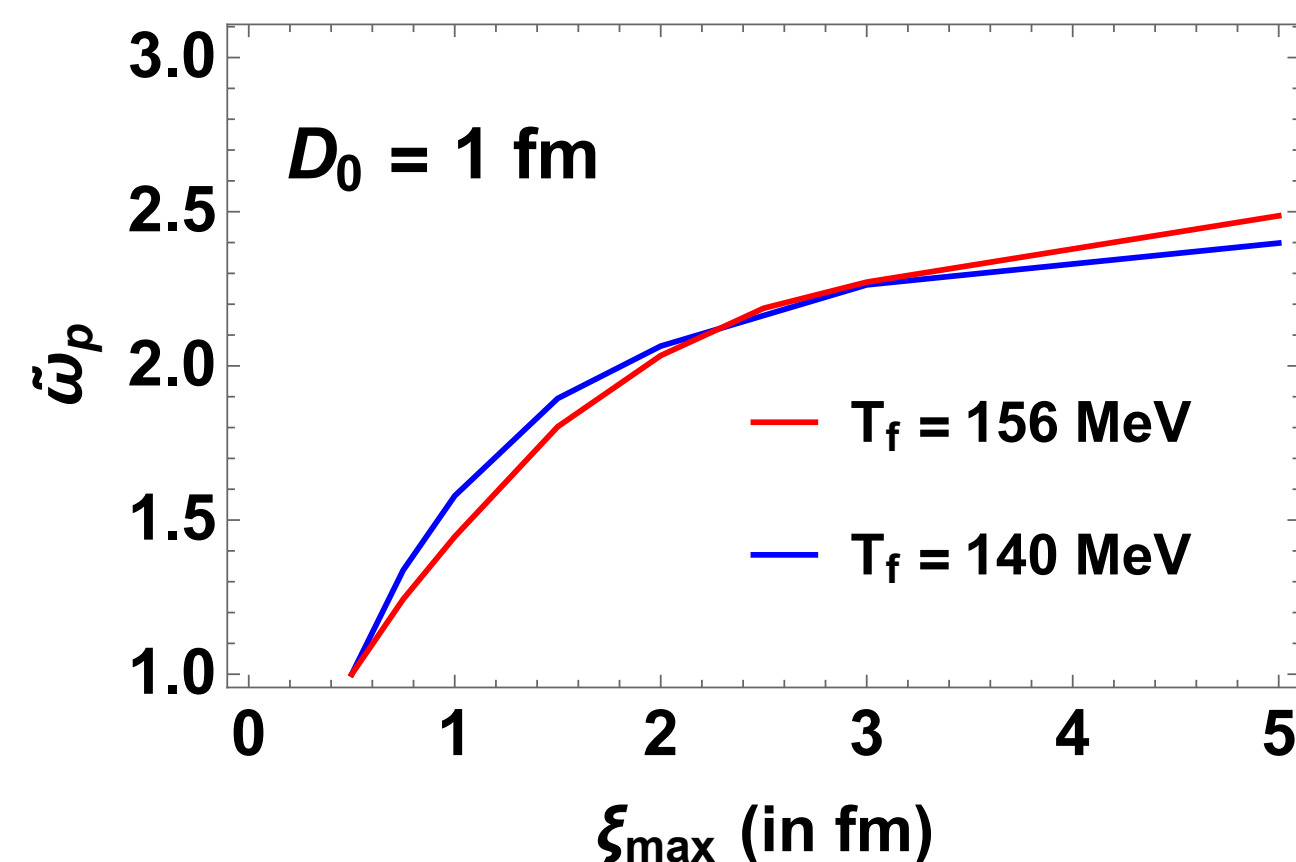
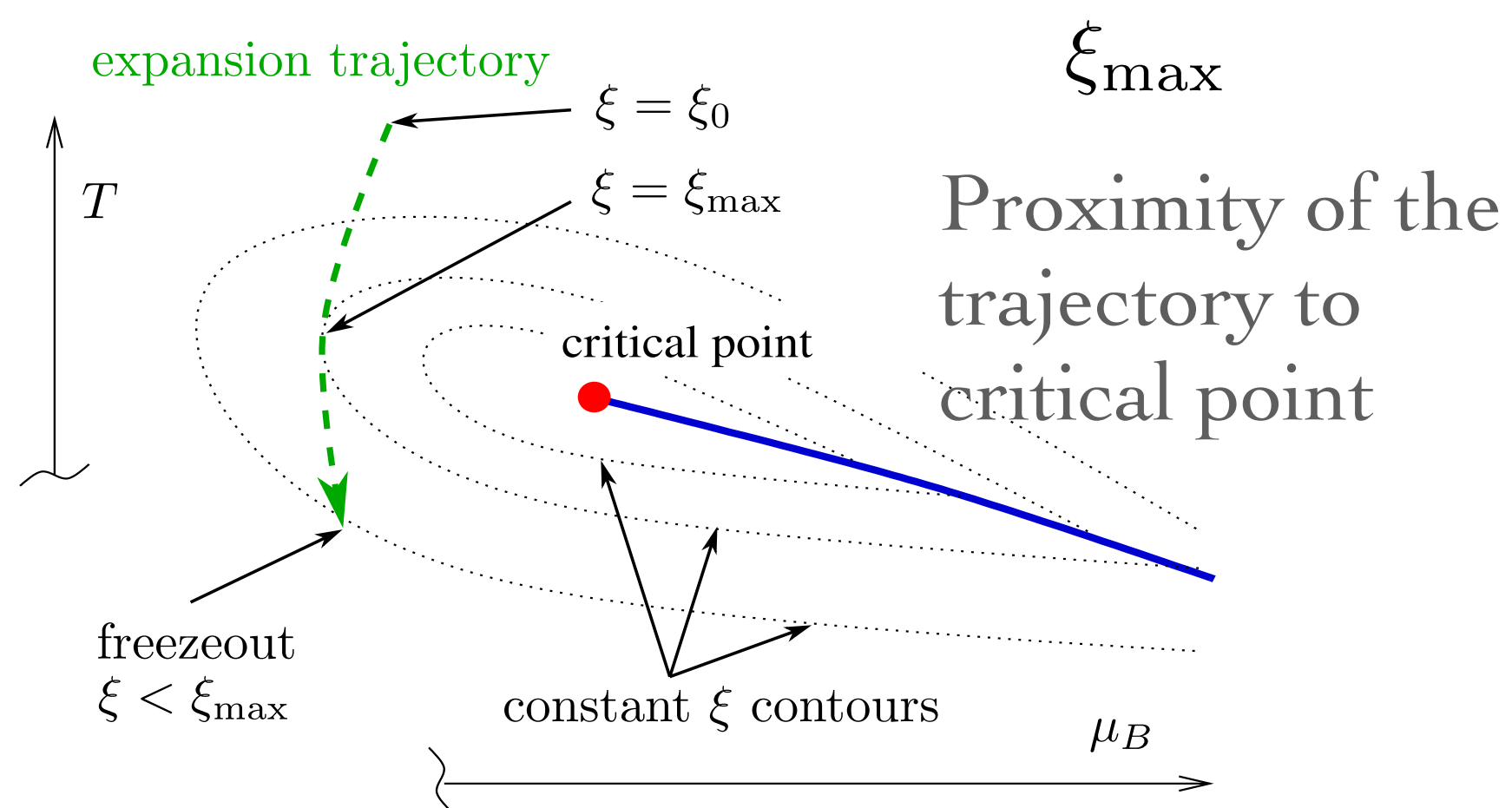


Critical Slowing down



MP, Stephanov, Rajagopal, Yin, 22

Cumulants out of equilibrium



MP, Stephanov, Rajagopal, Yin, 22

- * The fluctuations are **reduced relative to equilibrium** value (conservation laws)
- * Fluctuations increase with D_0 (faster diffusion)
- * Compared to the equilibrium scenario, the fluctuations are **less sensitive to freeze-out temperature**

Numerical Implementation of Maximum Entropy Freezeout of semi-realistic cumulants of proton multiplicity
 Karthein, MP, Stephanov, Rajagopal, Yin (In preparation)

Summary

- Fluctuations are inevitable in any realistic system, enhanced near CP
- Dynamics of fluctuations have important consequences for their magnitude at freeze-out, it also reduces the sensitivity to the freeze-out location
- We have developed a general prescription for freezing out hydrodynamic fluctuations- Maximum entropy approach

Ongoing...

- Test in *simplified* scenarios
- Identify the most *consequential* parameters/*time scales* in the paradigm
- Identify possible *challenges*
- Make *estimates*

BEST EoS

↓
Hydro+ evolution

↓
ME freezeout

Future....

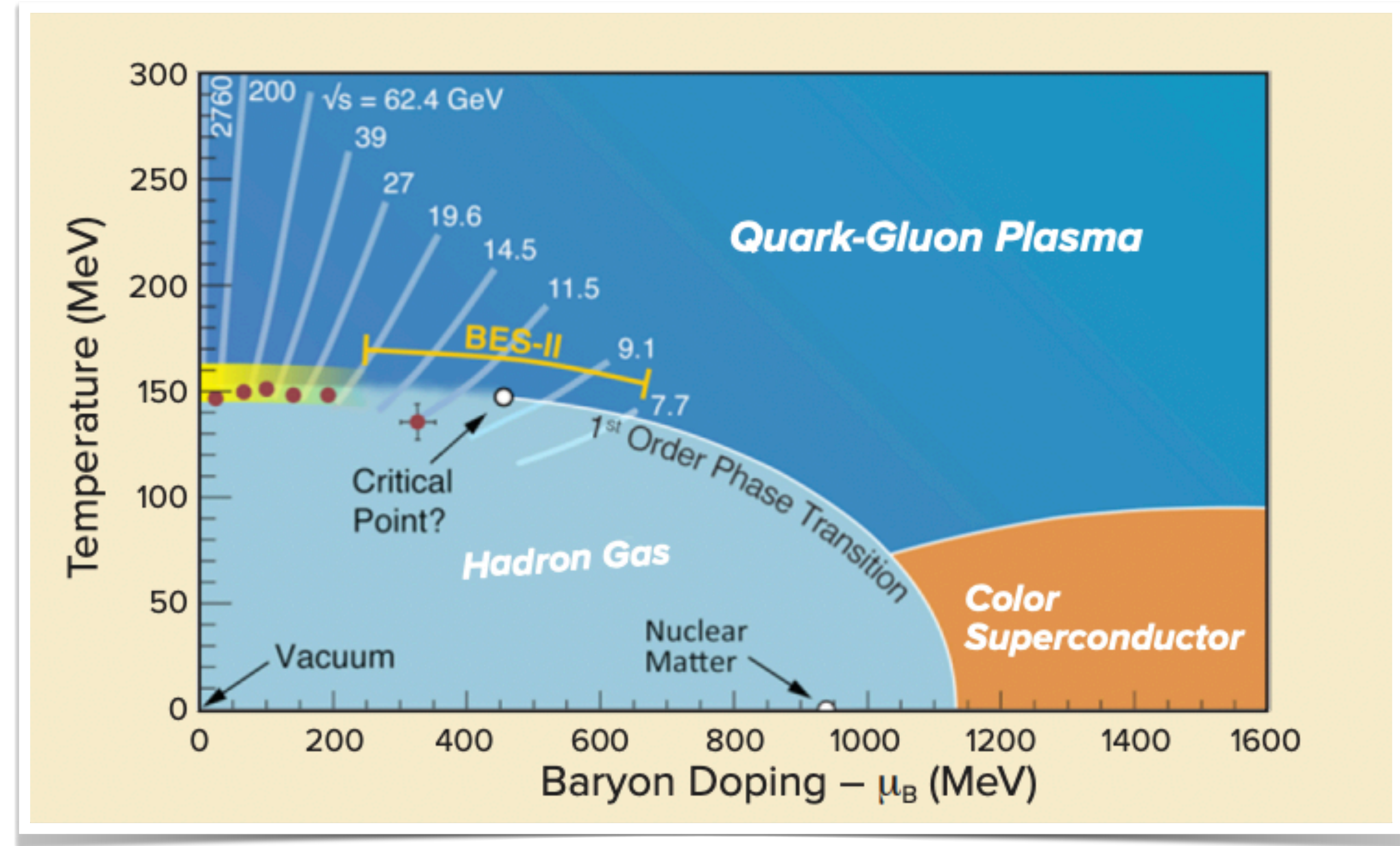
- Simulate realistic scenarios
- Bayesian analysis to make comparisons to experiment

Thank you!

Fluctuations are key observables in critical point search

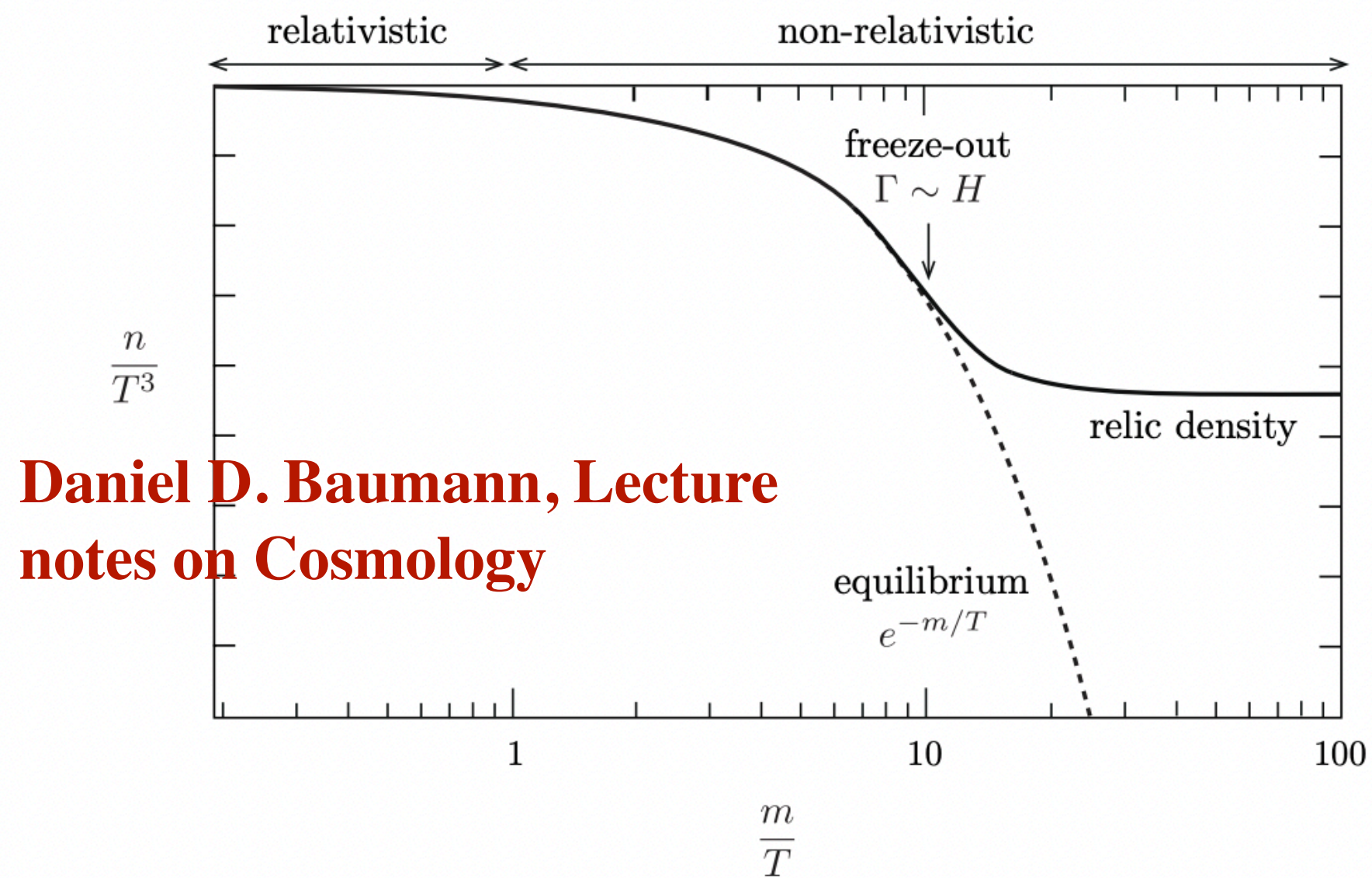
$$\langle \delta n^k \rangle_c \propto \frac{\partial^k P}{\partial \mu^k}$$

If there is a CP in the QCD phase diagram,

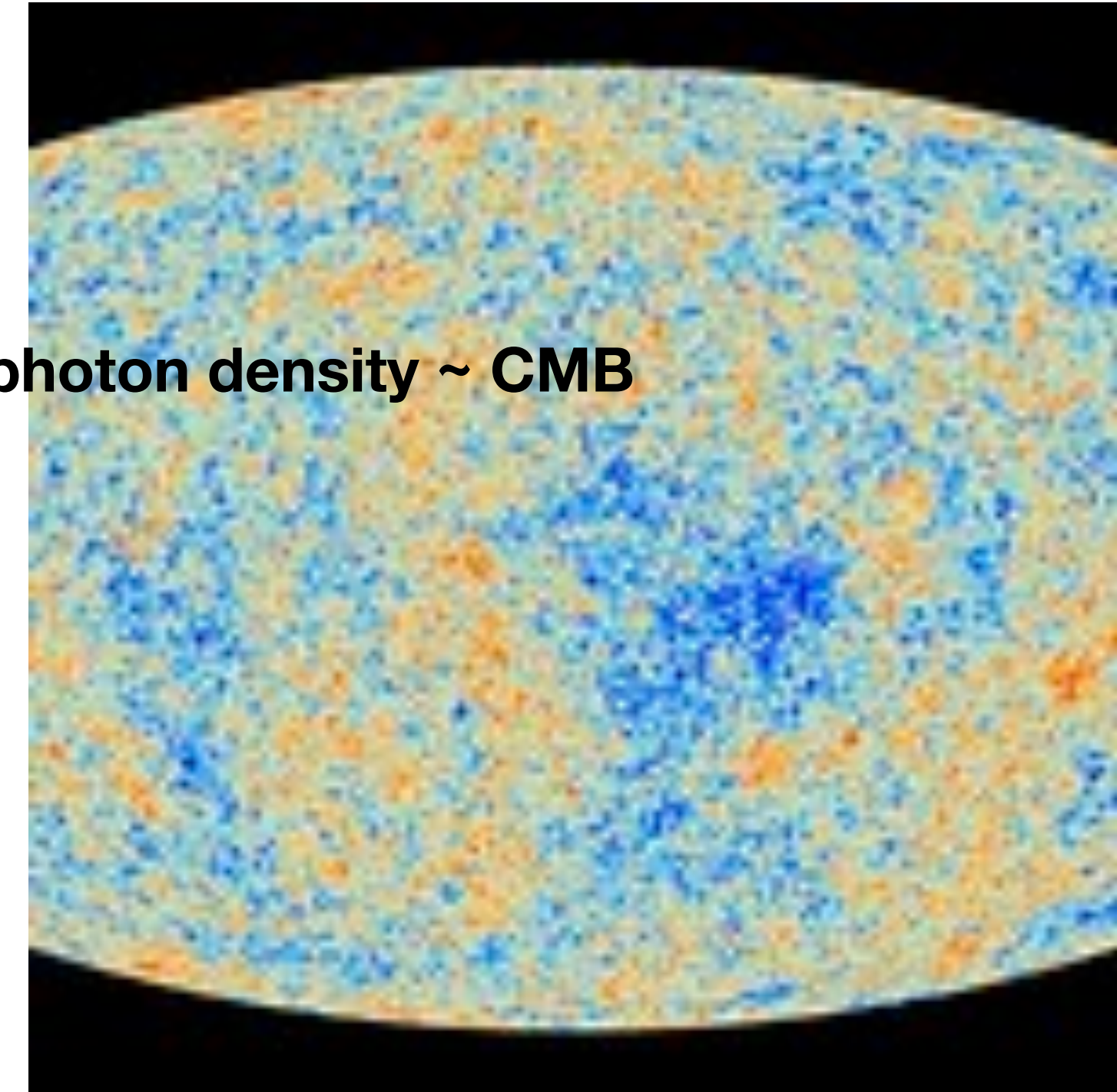


- Thermodynamic fluctuations are enhanced near the critical point **equilibrium**
- Evolution of fluctuations as the fireball expands and cools
- Does the enhancement in the thermodynamic fluctuations survive till **freeze-out**?

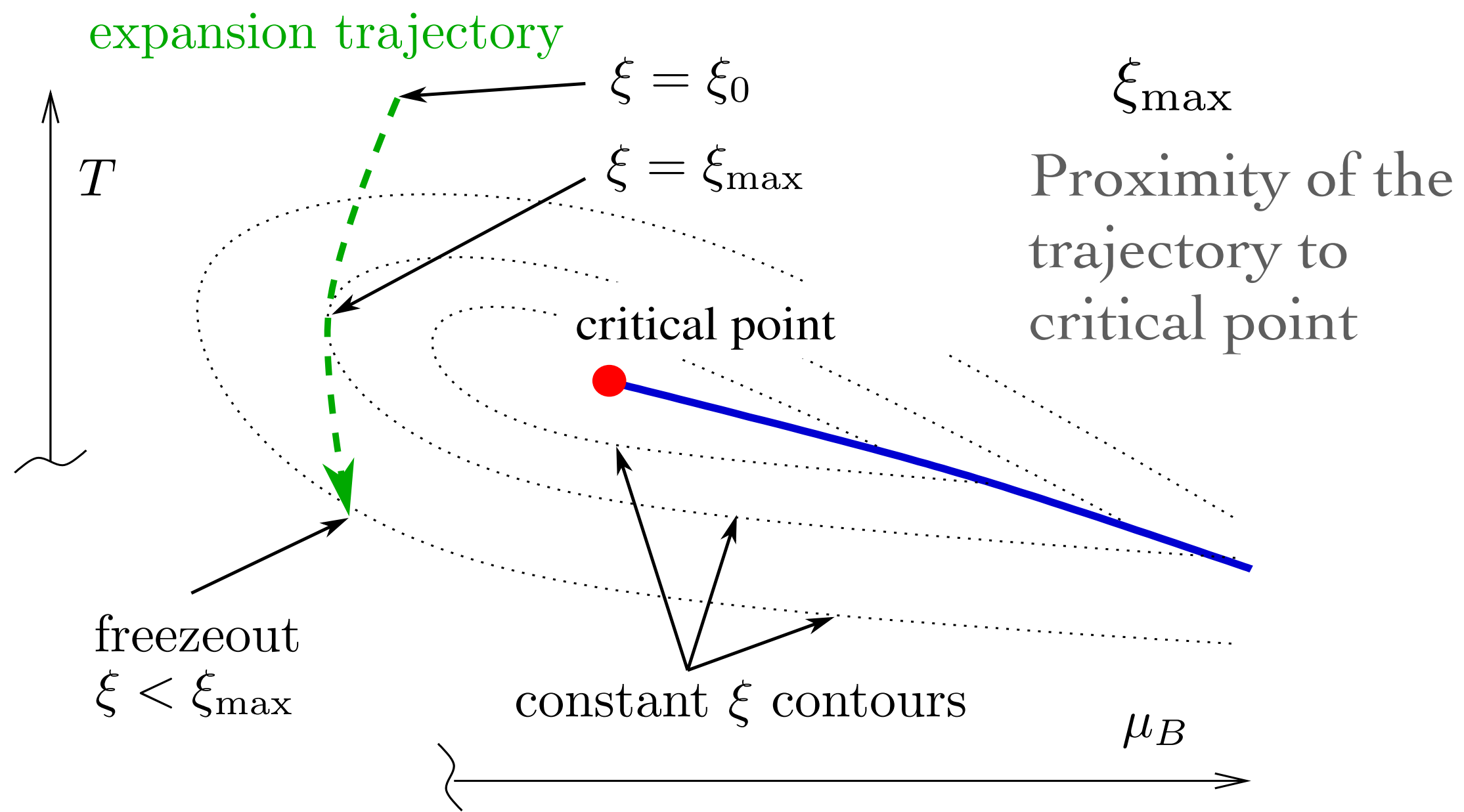
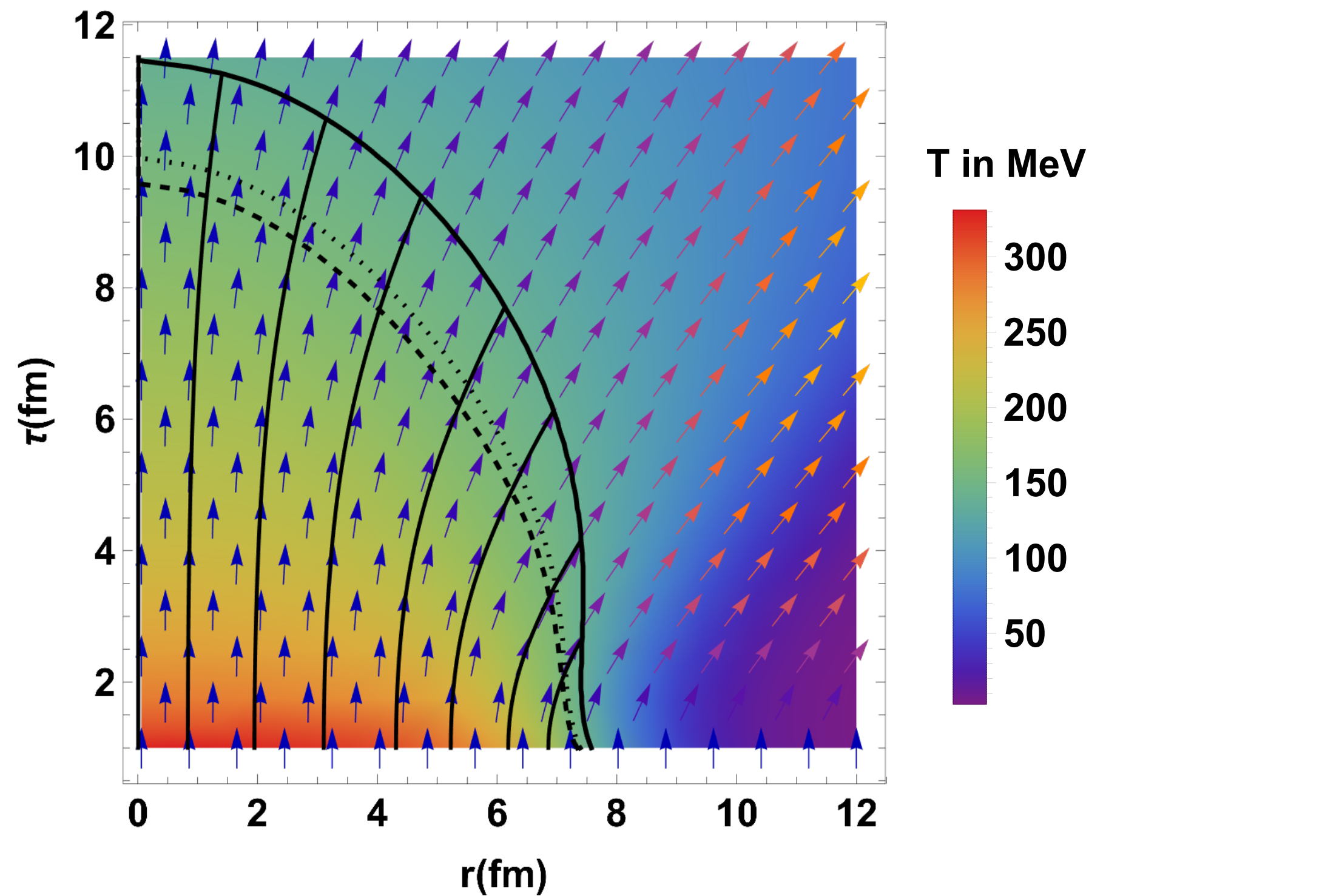
Freeze-out in cosmology



Relic photon density ~ CMB

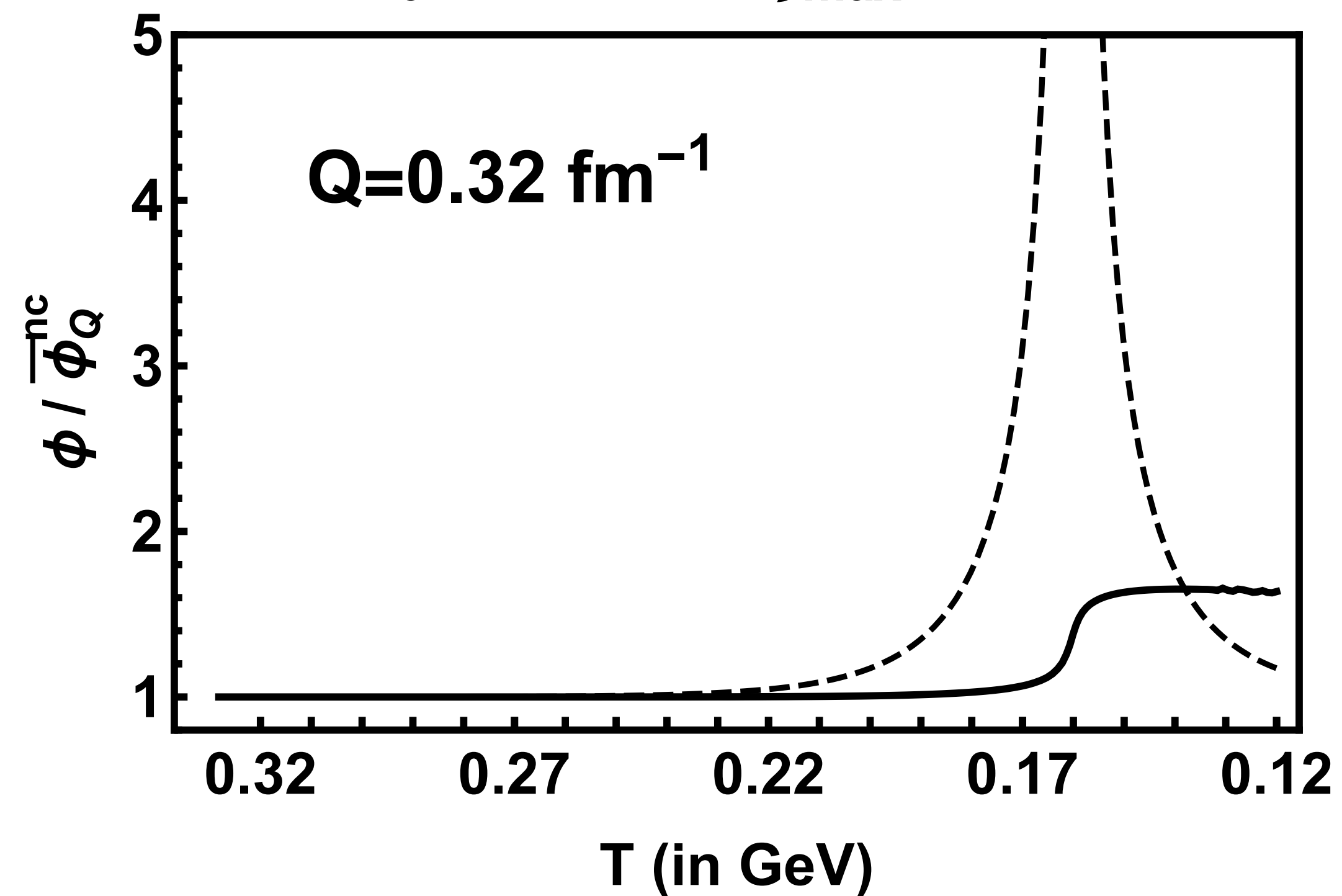


- Particle distribution functions in phase space get fixed at freeze-out
- Observed CMB reveals the relic density of photons at the instant of last scattering



$$\langle \delta \hat{s}(x_+) \delta \hat{s}(x_-) \rangle = \int e^{i\mathbf{Q} \cdot \Delta \mathbf{x}} \phi_{\mathbf{Q}}, \quad \Delta \mathbf{x} = x_+ - x_-$$

$$D_0 = 0.25 \text{ fm}, \xi_{\max} = 3 \text{ fm}$$

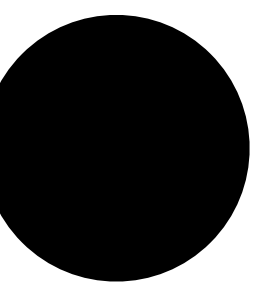


$$u \cdot \partial \phi_{\mathbf{Q}} = -\Gamma(|\mathbf{Q}| \xi) (\phi_{\mathbf{Q}} - \bar{\phi}_{\mathbf{Q}})$$

$$\Gamma(\mathbf{Q} \xi) \approx \frac{2D_0 \xi_0}{\xi} \mathbf{Q}^2$$

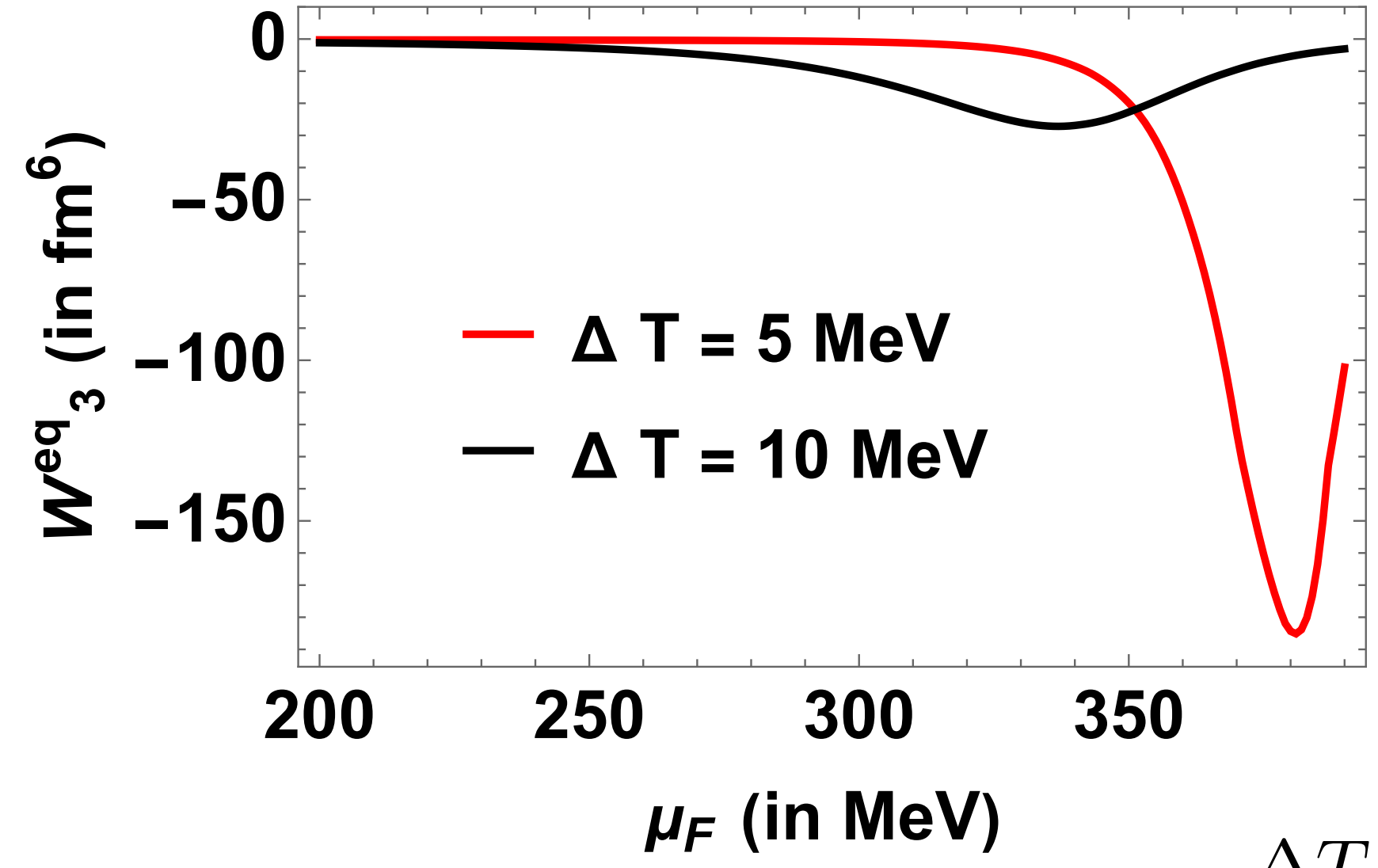
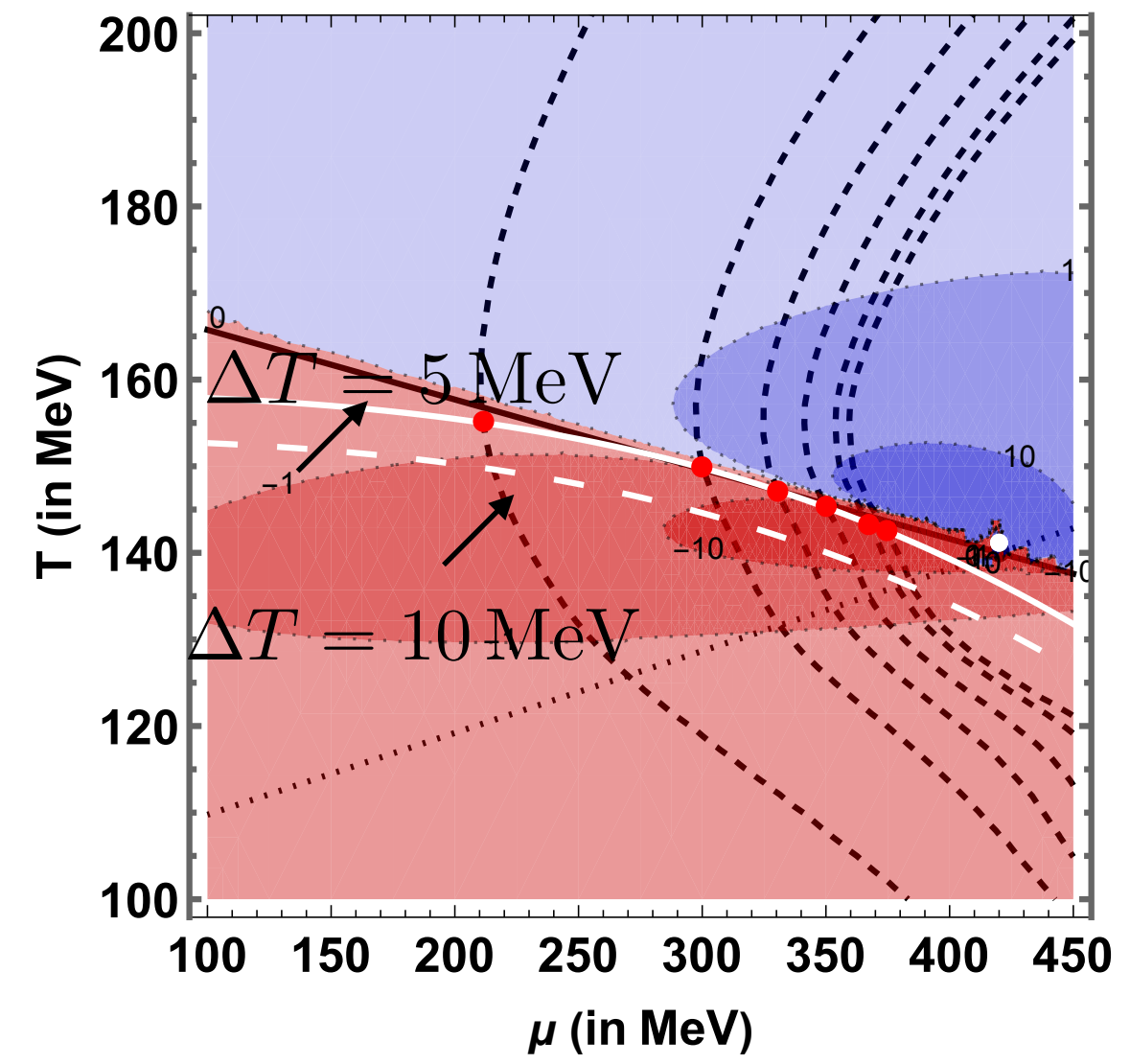
Critical Slowing down

Cumulants out of equilibrium

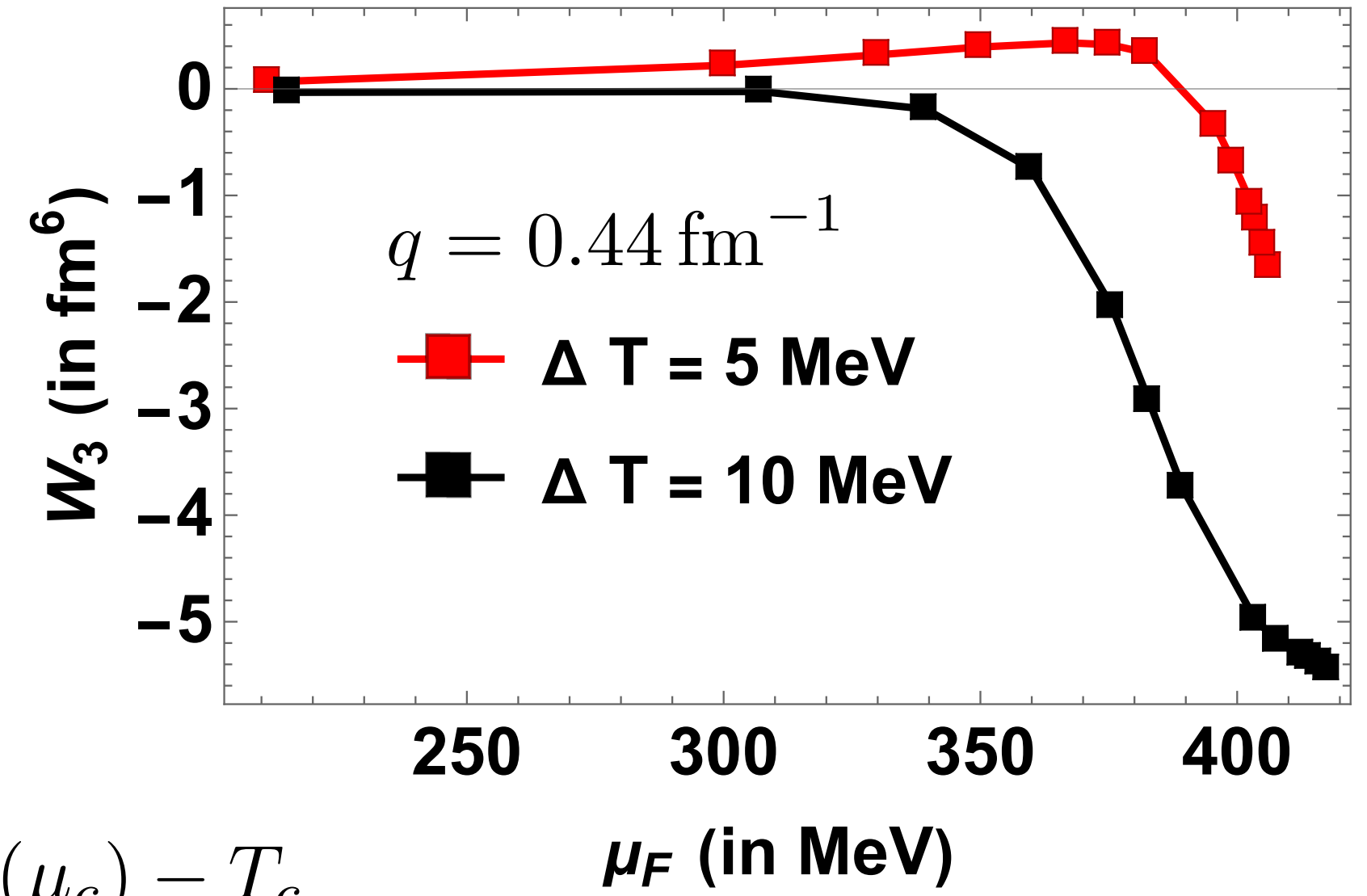


$$\frac{\langle \delta N_A^k \rangle}{\langle N_A \rangle} = 1 + \int_{Q_1 \dots Q_{k-1}} \#_A(\text{Mapping Parameters}; Q_1 \dots Q_{k-1}) \Delta \tilde{H}^k(Q_1, \dots, Q_{k-1})$$

Replace with Newer Pictures using BEST EoS



$$\Delta T = T_f(\mu_c) - T_c$$



Maximum entropy freeze-out of two point fluctuations

$$G_{AB} = \bar{G}_{AB} + \Delta G_{AB}$$

$$H^{ab} = \sum_{A,B,\dots} \int_{p_A, p_B, \dots} G_{AB} P_A^a P_B^b = \bar{H}^{ab} + \Delta H^{ab}$$

$$\bar{H}_{ab} = \sum_{A,B} \int \bar{G}_{AB} P_A^a P_B^b$$

Entropy to describe out-of equilibrium two-point correlations in ideal HRG

$$S_2 = S_0 + \frac{1}{2} \text{Tr} [\log G \bar{G}^{-1} - G \bar{G}^{-1} + 1] , \quad \text{Similar 2-PI action}$$

Berges, 04, Stephanov, Yin, 17...

2-PI entropy