

The role of the axial anomaly in polarized deep inelastic scattering

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Based on Andrey Tarasov and Raju Venugopalan arXiv:2008.08104

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Electron-Ion Collider (EIC)

- Polarized protons up to 275 GeV
- Nuclei up to Z/A*275 GeV
- Electrons up to 18 GeV
- Sqrt(s) = 20-140 GeV
- Luminosity 10³⁴ cm⁻²sec⁻¹
- First polarized electron polarized proton collider
- First electron-nucleus collider



https://www.bnl.gov/eic/





https://www.bnl.gov/eic/

Virtuality: measure of resolution power

ollision product

 $Q^2 = -q^2$



Bjorken variable: measure of momentum fraction of a struck parton



in (this:

Landscape of QCD

Finding 1: An EIC can uniquely address three profound questions about nucleons—neutrons and protons—and how they are assembled to form the 10^3 nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?



Aschenauer et al., arXiv:1708.01527 Rep. Prog. Phys. 82, 024301 (2019)







The proton's spin puzzle





 DIS experiments showed that quarks carry only about 30% of the proton's spin $\Delta\Sigma=0.25\sim0.3$

• Failure of the constituent quark model to explain spin of the proton - spin crisis





DIS structure functions



Structure function:

$$\tilde{W}_{\mu\nu}(q,P,S) = \frac{2M_N}{P \cdot q} \epsilon_{\mu\nu\alpha\beta} q^{\alpha} \Big\{ S^{\beta} g_1(x_B,Q^2) + \Big[S^{\beta} - \frac{(S \cdot q)P^{\beta}}{P \cdot q} \Big] g_2(x_B,Q^2) \Big\}$$

DIS cross-section: $\sigma \sim L^{\mu\nu} W_{\mu\nu}$

Hadron tensor:

 $W^{\mu\nu}(q, P, S) = \bar{W}^{\mu\nu}_{sym.}(q, P) + i\tilde{W}^{\mu\nu}_{asym.}(q, P, S)$





Structure function g_1



$$g_1(x_B, Q^2) = \frac{1}{2} \sum_f e_f^2 \left(\Delta q_f(x_B, Q^2) + \Delta \bar{q}_f(x_B, Q^2) \right)$$

polarized parton distribution function (pdf):

$$\Delta q_f(x_B, Q^2) = \frac{1}{4\pi} \int dy^- e^{-iy^- x_B}$$







 $P^+ \langle P, S | \bar{\Psi}_f(0, y^-, 0_\perp) \gamma^+ \gamma_5 \Psi_f(0) | P, S \rangle$





First moment of
$$g_1$$

$$\int_0^1 dx_B g_1(x_B, Q^2) = \frac{1}{18} \left(3F + D^2\right)$$

In term of helicity PDFs iso-singlet quark helicity is

$$\Sigma(Q^2) = \sum_f \int_0^1 dx_B \left(\Delta q_f(x_B, Q^2) + \Delta \bar{q}_f(x_B, Q^2) \right)$$

Quark spin contribution is defined by iso-singlet axial vector current

$$S^{\mu}\Sigma(Q^2) = \frac{1}{M_N} \sum_{f} \langle P, S | \bar{\Psi}_f \gamma^{\mu} \rangle$$

- $\mathcal{D} + 2\Sigma(Q^2)$
- Combination of F and D can be measured in β -decay and hyperon decay experiments

 ${}^{\mu}\gamma_5\Psi_f|P,S\rangle \equiv \frac{1}{M_N}\langle P,S|J_5^{\mu}(0)|P,S\rangle$



Iso-singlet axial vector current $S^{\mu}\Sigma(Q^2) = \frac{1}{M_N} \langle P, S | J_5^{\mu}(0) | P, S \rangle$

The current is not conserved. The anomaly equation:

$$\partial^{\mu} J^{5}_{\mu}(x) = \frac{n_{f} \alpha_{s}}{2\pi} \operatorname{Tr} \left(F_{\mu\nu}(x) \tilde{F}^{\mu\nu}(x) \tilde{F}^{\mu\nu}$$

Chern-Simons current:

$$K_{\mu} = \frac{\alpha_S}{4\pi} \epsilon_{\mu\nu\rho\sigma} \left| A_a^{\nu} \right|$$



 ${}^{\mu\nu}(x)\Big) = 2 n_f \partial_\mu K^\mu$

 $\left[A_a^{\nu}\left(\partial^{\rho}A_a^{\sigma}-\frac{1}{3}gf_{abc}A_b^{\rho}A_c^{\sigma}\right)\right]$

Why is Σ small?

Write quark helicity in terms of the conserved current (add and subtract K_{μ}):

$$S^{\mu}\Sigma(Q^2) = S^{\mu}\Sigma(Q^2) - \frac{2n_f}{M_N} \langle P, S|K^{\mu}|P, S\rangle + \frac{2n_f}{M_N} \langle P, S|K^{\mu}|P, S\rangle$$

Introduce a conserved curent \tilde{J}_{5}^{μ} :

$$S^{\mu}\Sigma(Q^2) = S^{\mu}\tilde{\Sigma}(Q^2) + \frac{2n_f}{M_N} \langle P, S|K^{\mu}|P, S\rangle$$

Efremov, Teryaev (1988) Altarelli, Ross (1988) Carlitz, Collins, Mueller (1988) Bodwin, Qiu (1990)



Why is Σ small?

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where

$$S^{\mu}\tilde{\Sigma}(Q^2) = \frac{1}{M_N} \langle P, S | \tilde{J}^5_{\mu} | P, S \rangle, \quad \tilde{J}^5_{\mu} = J^5_{\mu} - 2n_f K_{\mu}$$

Quark and gluon contribution:

$$\tilde{\Sigma}(Q^2) = \Sigma(Q^2) - \frac{n_f \alpha_S}{2\pi} \Delta G$$

If ΔG is large, this would provide a natural explanation of the spin crisis.

Efremov, Teryaev (1988) Altarelli, Ross (1988) Carlitz, Collins, Mueller (1988) **Bodwin, Qiu (1990)**

 K_{μ} is not gauge invariant!

Criticized by Jaffe, Manohar in Nucl. Phys., B337:509-546, 1990







Gluon spin contribution in OPE

$$\Delta G(x_B, Q^2) = \int_0^1 dx_B \Delta g(x_B, Q^2)$$

In the Operator Product Expansion (OPE) the gluon spin is defined by a non-local gauge-invariant operator:

$$\Delta g(x_B, Q^2) = \frac{2i}{x_B} \int \frac{d\xi}{2\pi} e^{-i\xi x_B} \langle P, S | \operatorname{Tr}_{\mathbf{c}} n_\alpha F^{\alpha\mu}(\xi n) n^\beta \tilde{F}_{\beta\mu}(0) | P, S \rangle$$

- ΔG cannot be expressed by a local operator

• It becomes local only in the light-cone gauge and coincides with K^+ (ΔG is no K_{μ})



Resolving the proton's spin crisis





D. De Florian, R. Sassot, M. Stratmann, W. Vogelsang, PRL 113 (2014)





- This suggests that $\Delta\Sigma$ only mixes with itself

 $\frac{d}{d\ln Q^2} \begin{pmatrix} \Delta\Sigma \\ \Delta\Gamma \end{pmatrix} = \begin{pmatrix} \Delta P_{\underline{\Sigma\Sigma}}(a_s) \Delta\Sigma \\ \frac{d\ln Q^2}{\Delta G} & 0 \end{pmatrix} = \begin{pmatrix} \Delta P_{\underline{\Sigma\Sigma}}(\underline{A}_s) & 0 \\ -\frac{1}{2N_F} \Delta P_{\Sigma\Sigma}(a_s) & 0 \end{pmatrix}^{-\frac{\beta(a_s)}{\alpha_s}} \\ -\frac{1}{2N_F} \Delta P_{\Sigma\Sigma}(a_s) & 0 \end{pmatrix}^{-\frac{\beta(a_s)}{\alpha_s}}$

 $\Delta \Gamma(Q^2) \equiv a_s(Q^2) \Delta G(Q^2)$

• The evolution is controlled by a single anomalous dimension. This is $\frac{\Delta P_{\text{conse}}}{\Delta P_{\Sigma\Sigma}}$ of the anomaly equation for the iso-singlet $\frac{2\pi i a P_{\text{conse}}}{2\pi i a P_{\text{conse}}}$ of the anomaly equation for the iso-singlet $\frac{2\pi i a P_{\text{conse}}}{2\pi i a P_{\text{conse}}}$

Altarelli and Lampe (1990) Vogt, Moch, Rogal, Vermaseren (2008) **DeFlorian**, Vogelsang (2019)





Topological charge density:

$$Q(x) = \frac{\alpha_S}{8\pi} Tr\left(F_{\mu\nu}\tilde{F}^{\mu\nu}_{m_{\eta'}}\right) = \frac{2n_f}{f_\pi^2}\chi_T$$

Topological susceptibility:

In the chiral limit $\chi(0) \to 0$, the slope $\chi'(0)$ is estimated $3m_N$

$V_{\rm YM}(0) + O((\frac{nf}{M})^2)$

 $\chi_{\rm YM}(p^2) = i \int dx \, e^{iP \cdot x} \langle 0|T(Q(x)Q(0)|0\rangle$

Shore, Veneziano (1990) to be small. Topological charge screening of spin. Narison, Shore, Veneziario, hep-ph/9812333 $\frac{2m_N}{3m_N}$



Perturbative and non-perturbative interplay

The key role of the anomaly is seen from the structure of the triangle graph in the off-forward limit

$$\frac{1}{M_N} \langle P', S | J_5^{\mu}(0) | P, S \rangle = \Sigma(Q^2, t) S^{\mu} + h(Q^2, t) l \cdot S l$$

In the forward limit only Σ contribute, h doesn't have a pole

The triangle diagram has an infrared pole and $\kappa(t) \propto FF$

$$\frac{1}{M_N} \langle P', S | J_5^{\mu}(0) | P, S \rangle = \frac{l \cdot S \, l^{\mu}}{l^2} \kappa(Q^2, t)$$

R. L. Jaffe, A. Manohar Nucl. Phys., B337:509–546, 1990





R. L. Jaffe

A. Manohar





OPE vs. exact off-forward calculation



In the forward **OPE** calculation the result is finite:



$$\lim_{m^2/P^2 \to 0} \Gamma_5^+ = \mp \frac{\alpha_s N_f p^+}{\pi}$$

Carlitz, Collins, Mueller (1988)



Perturbative and non-perturbative interplay

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R. L. Jaffe, A. Manohar Nucl. Phys., B337:509–546, 1990





R. L. Jaffe

A. Manohar





Perturbative and non-perturbative interplay

The infrared pole of the triangle diagram must be cancelled by a pole in the nonperturbative contribution:

$$\Sigma(Q^2) = \frac{n_f \alpha_s}{2\pi M_N} \lim_{l_\mu \to 0} \langle P', S | \frac{1}{il \cdot s} \operatorname{Tr} \left(F\tilde{F} \right) (0) | P, S \rangle$$

The result is manifestly gauge invariant!

The existence of the infrared pole of the triangle diagram has not been addressed in pQCD calculations. In the OPE in the forward limit the triangle diagram is finite, i.e it doesn't have the infrared pole and $F\tilde{F}$





The triangle diagram

In the Feynman diagram approach the calculation of the triangle anomaly is ambiguous



A brute force calculation yields a wrong result. The value of the triangle diagram depends on how the loop momentum is parametrized. The "correct" parametrization can be achieved by imposing the vector Ward identities.

Linearly divergent integrals!

Our approach is to use the worldline formalism which doesn't have these ambiguities





The worldline formalism

One-loop effective action:

$$\Gamma_{QED}[A] = \ln \operatorname{Det}[\not\!\!/ + A]$$

Heat-kernel regularization:

$$\Gamma_{QED}[A] = -\frac{1}{2} \operatorname{Tr} \int_0^\infty \frac{dT}{T} \exp\left\{-T\left[(p_\mu + eA_\mu)^2 - \frac{e}{2}F_{\mu\nu}\sigma^{\mu\nu}\right]\right\}$$

One can introduce bosonic and fermionic coherent states and construct a functional integral representation:

$$\int d^4x \, |x\rangle \langle x| = \int d^4p \, |p\rangle \langle p| = 1; \quad \langle x|p\rangle = e^{ipx}$$
$$i \int |\xi\rangle \langle \xi| d^2\xi = -i \int |\bar{\xi}\rangle \langle \bar{\xi}| d^2\bar{\xi} = 1; \quad \langle \xi|\bar{\xi}\rangle = e^{\sum_{i=1}^2 \xi_i \bar{\xi}_i};$$



Bern,Kosower, NPB 379 (1992) 145 Strassler, NPB 385 (1992) 145 Schubert, Phys. Rep. (2001) D'Hoker, Gagne (1996) **Berezin**, Marinov (1976) Barducci (1977)

Grassmann numbers

 $\langle \bar{\xi} | \xi \rangle = e^{\sum_{i=1}^{2} \bar{\xi}_i \xi_i}$





The triangle anomaly in the worldline formalism

$$\Gamma[A, A_5] = -\frac{1}{2} \operatorname{Tr}_{c} \int_{0}^{\infty} \frac{dT}{T} \int \mathcal{D}x \int_{AP} \mathcal{D}\psi$$

$$\times \exp\left\{-\int_{0}^{T} d\tau \left(\frac{1}{4}\dot{x}^2 + \frac{1}{2}\psi_{\mu}\dot{\psi}^{\mu} + ig\dot{x}^{\mu}A_{\mu} - ig\dot{x}^{\mu}A_{\mu} - ig\dot{x}^{\mu}A_{\mu}\right)\right\}$$
Free "propagation" Vector could

The triangle anomaly:

$$\langle P', S | J_5^{\kappa} | P, S \rangle = \int d^4 y \frac{1}{\partial A}$$

Functional integrals over trajectories of a point-like particle

 $\left\{g\psi^{\mu}\psi^{\nu}F_{\mu\nu} - 2i\psi_{5}\dot{x}^{\mu}\psi_{\mu}\psi_{\nu}A_{5}^{\nu} + i\psi_{5}\partial_{\mu}A_{5}^{\mu} + (D-2)A_{5}^{2}\right\}$ Axial coupling pling

D.G.C. McKeon, C. Schubert, Phys. Lett. B 440 (1998) 101

 $\frac{\sigma}{4_{5\kappa}(y)}\Gamma[A,A_5]\Big|_{A_5=0}e^{ily}\equiv\Gamma_5^{\kappa}[l]$





The triangle anomaly in the worldline formalism

Tarasov, Venugopalan (2020) c.f. McKeon, Schubert (1998)

$$\Gamma_{5}^{\kappa\alpha\beta}[l,k_{2},k_{4}] \equiv -\frac{ig^{2}}{2} \int_{0}^{\infty} \frac{dT}{T} \int \mathcal{D}x \int_{AP} \mathcal{D}\psi$$

$$\times \int_{0}^{T} d\tau_{2} \int_{0}^{T} d\tau_{4} \left(\dot{x}_{2}^{\alpha} + 2i\psi_{2}^{\alpha}\psi_{2}^{\lambda}k_{2\lambda}\right) e^{ik_{2}x_{2}}$$
Vector currents

- Calculation of the functional integrals is straightforward and doesn't contain any ambiguity
- ψ_5 changes anti-periodic boundary condition of the Grassmann functional integral to periodic

Axial current

 $\int_{0}^{T} d\tau_l \ \psi_5 \Big(i l^{\kappa} + 2\psi_l^{\kappa} \dot{x}_l \cdot \psi_l \Big) e^{i l x_l}$

 $\left\{ \left(\dot{x}_{4}^{\beta} + 2i\psi_{4}^{\beta}\psi_{4}^{\eta}k_{4\eta} \right) e^{ik_{4}x_{4}} \exp\left\{ -\int_{0}^{T} d\tau \left(\frac{1}{4}\dot{x}^{2} + \frac{1}{2}\psi_{\mu}\dot{\psi}^{\mu} \right) \right\}$



 $\mathcal{T}_l \quad A_{5\kappa}(l)$



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The anomaly contribution of the anomaly contribution of the second secon

OPE expansion of the product of two electromagnetic currents (hadronic tenso

$$i \int d^4x \ e^{iqx} T(J_{\mu}(x)J_{\nu}(0)) \simeq [\text{symmetric terms und} \\ -i\epsilon_{\mu\nu\lambda\sigma}q^{\lambda}\sum_{n}\frac{1}{2}[1-(-)^n] \left(\frac{2}{-q^2}\right)^n q_{\mu_1} \ \dots \ q_{\mu_{n-1}}\sum_{n} \frac{1}{2}[1-(-)^n] \left(\frac{2}{-q^2}\right)^n q_{\mu_1} \ \dots \ q_{\mu_{n-1}}\sum_{n} \frac{1}{2}[1-(-)^n] \frac{n-1}{n} \left(\frac{2}{-q^2}\right)^n q_{\mu_1} \ \dots \ q_{\mu_{n-2}}\sum_{i} E_{i}^{i}$$

Kodaira (1980)

ition in g_1	
sor):	In the Bjorken limit when $Q^2 \rightarrow \infty$ the leading contribution correspond
der $\mu \leftrightarrow \nu$]	n = 1 and is defined by axial current
$\sum_{i} E_{1,i}^n (-q^2, g)$	
$\epsilon_{\mu u\lambda\sigma})$	
$\mathbb{E}_{2,i}^n(-q^2,g)R_{2,i}^{\lambda\sigma\mu_1\ldots\mu_n}$	₁-2
$\boldsymbol{R}_{1,\psi}^{n} = (i)^{n-1}$	$Sar{\psi}\gamma_5\gamma^\sigma D^{\mu_1}\dots D^{\mu_{n-1}}\psi$



The box diagram in the worldline approach

$$\Gamma_{A}^{\mu\nu}[k_{1},k_{3}] = \int \frac{d^{4}k_{2}}{(2\pi)^{4}} \int \frac{d^{4}k_{4}}{(2\pi)^{4}} \Gamma_{A}^{\mu\nu\alpha\beta}[k_{1},k_{3},k_{2},k_{4}] \operatorname{Tr}_{c}(\tilde{A}_{\alpha}(k_{2})\tilde{A}_{\beta}(k_{4}))$$

$$\mathsf{Hadronic tensor} \qquad \mathsf{Box diagram}$$

Worldline representation of the box diagram:

$$\Gamma_A^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] \equiv -\frac{g^2 e^2 e_f^2}{2} \int_0^\infty \frac{dT}{T} \int \mathcal{D}x \int \mathcal{D}\psi \, \exp\left\{-\int_0^T d\tau \left(\frac{1}{4}\dot{x}^2 + \frac{1}{2}\psi \cdot \dot{\psi}\right)\right\} \\ \times \left[V_1^{\mu}(k_1)V_3^{\nu}(k_3)V_2^{\alpha}(k_2)V_4^{\beta}(k_4) - (\mu \leftrightarrow \nu)\right]$$

 $V_i^{\mu}(k_i) \equiv$

where the vector current

Tarasov, Venugopalan (2019)

 κ_1

 \mathcal{T}^{-}

 au_2

 $A_{\alpha}(k_2)$



$$\int_0^T d\tau_i (\dot{x}_i^\mu + 2i\psi_i^\mu k_j \cdot \psi_j) e^{ik_i \cdot x_i}$$





The box diagram in the Bjorken limit

In the Bjorken limit $Q^2 \rightarrow \infty$ and x_B is fixed

$$\begin{split} & \Gamma_A^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] \Big|_{Q^2 \to \infty} \\ &= -2 \frac{g^2 e^2 e_f^2}{\pi^2} \epsilon^{\mu\nu\eta}{}_{\kappa} (k_{1\eta} - k_{3\eta}) (2\pi)^4 \delta^{(4)} (\sum_{i=1}^4 k_i) \bigg[\\ &\times \prod_{k=2}^4 \int_0^1 d\beta_k \,\, \delta(1 - \sum_{k=2,3,4} \beta_k) \frac{1}{(k_1 + k_2)^2 \beta_3 + k_1^2 \beta_4 +$$

Kinematic factor, dependence on x_R and Q^2



$$S^{\mu}g_{1}(x_{B},Q^{2})\Big|_{Q^{2}\to\infty}$$

= $\sum_{f} e_{f}^{2} \frac{\alpha_{s}}{i\pi M_{N}} \int_{x_{B}}^{1} \frac{dx}{x} \left(1 - \frac{x_{B}}{x}\right) \int \frac{d\xi}{2\pi} e^{-i\xi x}$

First moment of g_1 :

$$S^{\mu} \int_{0}^{1} dx_{B} g_{1}(x_{B}, Q^{2}) \Big|_{Q^{2} \to \infty} = \sum_{f} e_{f}^{2} \frac{\alpha_{S}}{2i\pi M_{N}} \lim_{l_{\mu} \to 0} \frac{l^{\mu}}{l^{2}} \langle P', S | \operatorname{Tr}_{c} F_{\alpha\beta}(0) \tilde{F}^{\alpha\beta}(0) | I$$

natches calculation of the triangle diagram
$$(\begin{array}{c} \text{The pole must be remove contribution of the pseude} \\ \text{scalar sector} \end{array} \right)$$

m

The structure function g_1 is dominated by the triangle anomaly, hence g_1 is topological.

In the Regge limit $x_B \rightarrow kQ$ and Q^2 is fixed

 $S^{\mu}g_1(x_B,Q^2)\Big|_{Q^2\to\infty}$ $u_1 \simeq u_3$ $=\sum_{f} e_{f}^{2} \frac{\alpha_{s}}{i\pi M_{N}} \int_{x_{B}}^{1} \frac{dx}{x} \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{l_{\mu}\to 0} \frac{l^{\mu}}{l^{2}} \langle P', S | \operatorname{Tr}_{c} F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) | P, S \rangle$

In Regge asymptotics: $x > x_R$ and $x_R \rightarrow 0$

The result for $g_1(x_R, Q^2)$ is formally identical in Bjorken and Regge limits

 $g_1(x_B, Q^2)$ is topological in both asymptotic limits of QCD

Pseudoscalar contribution

To take into account contribution of the pseudoscalar sector we use the most general form of the imaginary part of the effective action

$$W_{\Im}[\Phi,\Pi,A] = \frac{1}{8} \int_{-1}^{1} d\alpha \int_{0}^{\infty} dT \mathcal{N} \int_{\text{PBC}} \mathcal{D}x \,\mathcal{D}\psi \,\text{tr}_{c} \,\mathcal{J}(0) \,\mathcal{P} \,e^{-\int_{0}^{T} d\tau \mathcal{L}_{\alpha}}$$

where the worldline Lagrangian

$$\mathcal{L}_{\alpha} = \frac{\dot{x}^2}{2\mathcal{E}} + \frac{1}{2}\psi_A\dot{\psi}_A - i\dot{x}\cdot A + \frac{i}{2}\dot{\xi} + i\alpha\mathcal{E}\psi_\mu\psi_6 D_\mu\Phi + i\mathcal{E}\psi_\mu\psi_5 I$$

We want to perform a perturbative analysis to better understand how the anomalous Ward identities are satisfied

D'Hoker, Gagne, hep-th/9508131

Summary

- We revisit the role of the chiral "triangle" anomaly in deeply inelastic scattering (DIS) of electrons off polarized protons employing a powerful worldline formalism
- We demonstrate how the triangle anomaly appears at high energies in the DIS box diagram
- We show that the anomaly appears in both the Bjorken limit of large Q^2 and in the Regge limit of small x_R
- We find that the infrared pole in the anomaly arises in both limits
- The leading contribution to g_1 , in both asymptotics, is given by the expectation value of the topological charge density (it's relation to ΔG is unclear), which is a generalization of a result previously argued by Jaffe and Manohar for the first moment of g_1
- The cancellation of this pole involves a subtle interplay of perturbative and nonperturbative physics that is deeply related to the $U_A(1)$ problem in QCD

