## New Framework for the Analysis of Dihadron Fragmentation



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## Outline

- Background and motivation: why dihadron fragmentation?
- New DiFF theory developments: number density interpretation, sum rules, and evolution equations
- New DiFF phenomenology from the JAM Collaboration
- Summary and outlook





## **Background and Motivation**

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From Bianconi, et al. (2000)

Bianconi, et al. (2000); Bacchetta, Radici (2003, 2004), ...

$$P_h = P_1 + P_2$$
  $R = (P_1 - P_2)/2$   $z = z_1 + z_2$   $\zeta = (z_1 - z_2)/z$ 

$$P_1 = \left(\frac{M_1^2 + \vec{R}_T^2}{(1+\zeta)P_h^-}, \frac{1+\zeta}{2}P_h^-, \vec{R}_T\right) \qquad P_2 = \left(\frac{M_2^2 + \vec{R}_T^2}{(1-\zeta)P_h^-}, \frac{1-\zeta}{2}P_h^-, -\vec{R}_T\right)$$

$$\vec{R}_T^2 = \frac{1-\zeta^2}{4}M_h^2 - \frac{1-\zeta}{2}M_1^2 - \frac{1+\zeta}{2}M_2^2$$

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Dihadron fragmentation involves more structures than single-hadron fragmentation (only unpolarized hadron FFs are shown below)

**Single-hadron FFs** 

$$\overline{\Delta^{h/q}(z,\vec{k}_T)} \longrightarrow D_1^{h/q}(z,z^2\vec{k}_T^2), \ -\frac{\epsilon_T^{ij}k_T^j}{M_h}H_1^{\perp h/q}(z,z^2\vec{k}_T^2)$$

Dihadro

(Bianconi, et Bacchetta, Ra

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Dihadron fragmentation involves more structures than single-hadron fragmentation (only unpolarized hadron FFs are shown below)

Single-hadron FFs

$$\int d^2 \vec{k}_T \, \Delta^{h/q}(z, \vec{k}_T) \longrightarrow D_1^{h/q}(z)$$

$$\begin{split} \underline{\mathrm{Dihadron\,FFs}} \\ \int \! d^2 \vec{k}_T \, \Delta^{h_1 h_2/q}(z,\zeta,\vec{k}_T,\vec{R}_T) &\longrightarrow \\ \begin{cases} D_1^{h_1 h_2/q}(z,\zeta,\vec{R}_T^2), \\ -\frac{\epsilon_T^{ij} R_T^j}{M_h} H_1^{\triangleleft h_1 h_2/q}(z,\zeta,\vec{R}_T^2), \end{cases} \end{split}$$

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Dihadron fragmentation involves more structures than single-hadron fragmentation (only unpolarized hadron FFs are shown below)

Single-hadron FFs  $\int d^2 \vec{k}_T \, \Delta^{h/q}(z, \vec{k}_T) \longrightarrow D_1^{h/q}(z)$ Dihadron FFs  $\int d^{2}\vec{k}_{T} \,\Delta^{h_{1}h_{2}/q}(z,\zeta,\vec{k}_{T},\vec{R}_{T}) \longrightarrow \begin{cases} D_{1}^{h_{1}h_{2}/q}(z,\zeta,\vec{R}_{T}^{2}), \\ -\frac{\epsilon_{T}^{ij}R_{T}^{j}}{M_{h}}H_{1}^{\triangleleft h_{1}h_{2}/q}(z,\zeta,\vec{R}_{T}^{2}), \\ -\frac{\epsilon_{T}^{ij}R_{T}^{j}}{M_{h}}H_{1}^{\triangleleft h_{1}h_{2}/q}(z,\zeta,\vec{R}_{T}^{2}), \end{cases}$ chiral-odd "interference" FF (IFF)

(Collins, et al. (1994); Bianconi, et al. (2000); Bacchetta, Radici (2003, 2004); Courtoy, et al. (2012); Matevosyan, et al. (2018); Radici, et al. (2013, 2015, 2018); Benel, et al. (2020), Courtoy, et al. (2014, 2022))











e(x) = twist-3 PDF - connection to the decomposition of the nucleon mass

(Collins, et al. (1994); Bianconi, et al. (2000); Bacchetta, Radici (2003, 2004); Courtoy, et al. (2012); Matevosyan, et al. (2018); Radici, et al. (2013, 2015, 2018); Benel, et al. (2020), Courtoy, et al. (2014, 2022))

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 DiFFs are interesting in their own right, e.g., one can test models for (un)polarized parton fragmentation/hadronization (Collins, Ladinsky (1994); Jaffe, et al. (1998); Bianconi, et al. (2000); Bacchetta, Radici (2006); Matevosyan, et al. (2017, 2018); Kerbizi, et al. (2019))





There is also a complicated/interesting resonance structure that can/must be analyzed

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## **New DiFF Theory Developments**

DP, Cocuzza, Metz, Prokudin, Sato, arXiv:2305.11995





(TMD) PDFs and (single-hadron) FFs are defined in a way so that they are number densities (before renormalization)

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- (TMD) PDFs and (single-hadron) FFs are defined in a way so that they are number densities (before renormalization)
  - crucial property for our ability to use them to understand hadronic structure (e.g., calculating expectation values)



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- (TMD) PDFs and (single-hadron) FFs are defined in a way so that they are number densities (before renormalization)
  - certain sum rules are satisfied that can be used to constrain/cross-check phenomenological extractions of PDFs/FFs and model calculations

## Number sum rules

$$\sum_{i=u,d,s,..} \int_0^1 dx \left[ f_1^{i/N}(x) - f_1^{\overline{i}/N}(x) \right] = \mathcal{B}$$

( $\mathcal{B}$  is the baryon number, e.g.,= 3 for a proton)

$$\sum_{h} \int_{0}^{1} dz \, D_{1}^{h/i}(z) = \mathcal{N}$$

( ${m {\cal N}}$  is the total number of hadrons produced when the parton fragments)

Momentum sum rules

$$\sum_{\text{all } i} \int_0^1 dx \, x \, f_1^{i/N}(x) = 1 \qquad \sum_h \int_0^1 dz \, z \, D_1^{h/i}(z) = 1$$

NB: sum rules hold under renormalization

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- An aside: reference frames (Collins (2011))
  - "Parton frame" (p): parton has no transverse momentum, hadron has transverse momentum  $P_{\perp}$  useful in the formulation of FFs as number densities and proofs of sum rules
  - "Hadron frame" (h): hadron has no transverse momentum, parton has transverse momentum  $k_T$  more practical for phenomenology

$$V_{\rm p}^{-} = V_{\rm h}^{-} \equiv V^{-}$$

$$V_{\rm p}^{+} = (\vec{k}_{T}/k^{-})^{2} V^{-}/2 + V_{\rm h}^{+} - \vec{k}_{T} \cdot \vec{V}_{T}/k^{-}$$

$$\vec{V}_{\perp} = -(\vec{k}_{T}/k^{-})V^{-} + \vec{V}_{T}$$

NB: All proofs discussed follow closely the work of Meissner, Metz, DP, Phys. Lett. B690, 296 (2010)





$$D_{1}^{h/q}(z,\vec{P}_{\perp}^{2}) = \frac{1}{N_{c}} \frac{1}{4z} \sum_{X} \int \frac{d\xi^{+} d^{2} \vec{\xi}_{\perp}}{(2\pi)^{3}} e^{ik^{-}\xi^{+}} \operatorname{Tr} \left[ \langle 0 | \mathcal{W}(\infty,\xi) \psi_{q}(\xi^{+},0^{-},\vec{\xi}_{\perp}) | P; X \rangle \right] \\ \times \langle P; X | \bar{\psi}_{q}(0^{+},0^{-},\vec{0}_{\perp}) \mathcal{W}(0,\infty) | 0 \rangle \gamma^{-}$$

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$$D_{1}^{h/q}(z,\vec{P}_{\perp}^{2}) = \frac{1}{N_{c}} \frac{1}{4z} \sum_{X} \int \frac{d\xi^{+} d^{2} \vec{\xi}_{\perp}}{(2\pi)^{3}} e^{ik^{-}\xi^{+}} \operatorname{Tr} \left[ \langle 0 | \mathcal{W}(\infty,\xi) \psi_{q}(\xi^{+},0^{-},\vec{\xi}_{\perp}) | P; X \rangle \right] \times \langle P; X | \bar{\psi}_{q}(0^{+},0^{-},\vec{0}_{\perp}) \mathcal{W}(0,\infty) | 0 \rangle \gamma^{-}$$

This *prefactor is key to the number density interpretation* of singlehadron FFs  $\rightarrow$  allows us to introduce the number operator when deriving the number sum rule

$$\hat{N} \equiv \sum_{h} \int \frac{dP^{-} d^{2} \vec{P}_{\perp}}{(2\pi)^{3} 2P^{-}} \, \hat{a}_{h}^{\dagger} \hat{a}_{h} = \sum_{h} \int \frac{dz \, d^{2} \vec{P}_{\perp}}{(2\pi)^{3} 2z} \, \hat{a}_{h}^{\dagger} \hat{a}_{h}$$

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$$D_{1}^{h/q}(z,\vec{P}_{\perp}^{2}) = \frac{1}{N_{c}} \frac{1}{4z} \sum_{X} \int \frac{d\xi^{+} d^{2} \vec{\xi}_{\perp}}{(2\pi)^{3}} e^{ik^{-}\xi^{+}} \operatorname{Tr} \left[ \langle 0 | \mathcal{W}(\infty,\xi) \psi_{q}(\xi^{+},0^{-},\vec{\xi}_{\perp}) | P; X \rangle \right] \times \langle P; X | \bar{\psi}_{q}(0^{+},0^{-},\vec{0}_{\perp}) \mathcal{W}(0,\infty) | 0 \rangle \gamma^{-}$$

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$$\sum_{h} \int_0^1 dz \int d^2 \vec{P}_\perp D_1^{h/q}(z, \vec{P}_\perp^2) = \mathcal{N}$$





$$\Delta_{\alpha\beta}^{h_1h_2/i}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) = \frac{1}{N_i} \sum_X \int \frac{d\xi^+ d^2 \vec{\xi_\perp}}{(2\pi)^3} e^{ik \cdot \xi} \mathcal{O}_{\alpha\beta}^{h_1h_2/i}(\xi) \Big|_{\xi^-=0}$$

<u>quark fragmentation</u>  $(N_i = N_c)$ 

$$\mathcal{O}_{\alpha\beta}^{h_1h_2/q}(\xi) = \langle 0|\mathcal{W}(\infty,\xi)\psi_{q,\alpha}(\xi^+,0^-,\vec{\xi}_{\perp})|P_1,P_2;X\rangle \\ \times \langle P_1,P_2;X|\bar{\psi}_{q,\beta}(0^+,0^-,\vec{0}_{\perp})\mathcal{W}(0,\infty)|0\rangle$$

gluon fragmentation ( $N_i = N_c^2 - 1$ )

$$\mathcal{O}^{h_1h_2/g}_{\alpha\beta}(\xi) = \langle 0 | \mathcal{W}^{ba}(\infty,\xi) F^a_{+\alpha}(\xi^+,0^-,\vec{\xi}_{\perp}) | P_1, P_2; X \rangle$$
$$\times \langle P_1, P_2; X | F^c_{+\beta}(0^+,0^-,\vec{0}_{\perp}) \mathcal{W}^{cb}(0,\infty) | 0 \rangle$$

NB: we will focus on quark fragmentation, but similar results hold for gluon fragmentation

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$$\frac{1}{64\pi^{3}z_{1}z_{2}} \operatorname{Tr} \left[ \Delta^{h_{1}h_{2}/q}(z_{1}, z_{2}, \vec{P}_{1\perp}, \vec{P}_{2\perp})\gamma^{-} \right] = D_{1}^{h_{1}h_{2}/q}(z_{1}, z_{2}, \vec{P}_{1\perp}^{2}, \vec{P}_{2\perp}, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$

This *prefactor is key to the number density interpretation* of dihadron FFs (see also Majumder, Wang (2004)) because in order to prove a number sum rule we need to introduce the number operator separately for each hadron (j = 1, 2)

$$\hat{N}_{h_j} \equiv \int \frac{dP_j^- d^2 \vec{P}_{j\perp}}{(2\pi)^3 \, 2P_j^-} \, \hat{a}_{h_j}^\dagger \, \hat{a}_{h_j} = \int \frac{dz_j d^2 \vec{P}_{j\perp}}{(2\pi)^3 \, 2z_j} \, \hat{a}_{h_j}^\dagger \, \hat{a}_{h_j}$$



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$$\frac{1}{64\pi^3 z_1 z_2} \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \gamma^- \right] = D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$

$$\sum_{h_1} \int_0^1 dz_1 \int d^2 \vec{P}_{1\perp} D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = (\mathcal{N} - 1) D_1^{h_2/q}(z_2, \vec{P}_{2\perp}^2)$$

$$\sum_{h_1} \sum_{h_2} \int_0^1 dz_2 \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \int d^2 \vec{P}_{2\perp} D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = \mathcal{N}(\mathcal{N} - 1)$$

Total number of *hadron pairs* produced when the parton fragments





$$\frac{1}{64\pi^3 z_1 z_2} \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \gamma^- \right] = D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$

$$\sum_{h_1} \int_0^1 dz_1 \int d^2 \vec{P}_{1\perp} D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = (\mathcal{N} - 1) D_1^{h_2/q}(z_2, \vec{P}_{2\perp}^2)$$

$$\sum_{h_1} \sum_{h_2} \int_0^1 dz_2 \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \int d^2 \vec{P}_{2\perp} D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = \mathcal{N}(\mathcal{N} - 1)$$

Total number of *hadron pairs* produced when the parton fragments

The proof is not possible if a prefactor of  $1/(4z) = 1/(4(z_1+z_2))$  is used!



$$\begin{aligned} \frac{1}{64\pi^3 z_1 z_2} \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \gamma^- \right] &= D_1^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) \\ \frac{1}{64\pi^3 z_1 z_2} \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \gamma^- \gamma_5 \right] &= -\frac{\epsilon_{\perp}^{ij} R_{\perp}^i P_{h\perp}^j}{z M_h^2} G_1^{\perp h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) \\ \frac{1}{64\pi^3 z_1 z_2} \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) i \sigma^{i-} \gamma_5 \right] &= -\frac{\epsilon_{\perp}^{ij} R_{\perp}^j}{M_h} H_1^{\triangleleft' h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) \\ &+ \frac{\epsilon_{\perp}^{ij} P_{h\perp}^j}{z M_h} H_1^{\perp' h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) \end{aligned}$$

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*NB*: number density interpretation holds not only for unpolarized quarks ( $\gamma^-$  projection) but also for longitudinally ( $\gamma^-\gamma^5$  projection) and transversely ( $i\sigma^{i-}\gamma^5$  projection) polarized quarks







#### Number sum rule

$$\sum_{h_1} \sum_{h_2} \int_0^1 dz_2 \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \int d^2 \vec{P}_{2\perp} D_1^{h_1 h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = \mathcal{N}(\mathcal{N}-1)$$

$$\longrightarrow D_1^{h_1h_2/i}(w, x, \vec{Y}^2, \vec{Z}^2, \vec{Y} \cdot \vec{Z}) \equiv \mathcal{J} \cdot D_1^{h_1h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$

is a number density

Jacobian for the variable transformation







#### Number sum rule

$$\sum_{h_1} \sum_{h_2} \int_0^1 dz_2 \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \int d^2 \vec{P}_{2\perp} D_1^{h_1 h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = \mathcal{N}(\mathcal{N} - 1)$$

$$\longrightarrow D_1^{h_1h_2/i}(w, x, \vec{Y}^2, \vec{Z}^2, \vec{Y} \cdot \vec{Z}) \equiv \mathcal{J} \cdot D_1^{h_1h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$
  
is a number density

Jacobian for the variable transformation

Using our new definition, DiFFs can now be interpreted as densities in any momentum variables of choice for the number of hadron pairs  $(h_1 h_2)$  fragmenting from the parton







#### Number sum rule

$$\sum_{h_1} \sum_{h_2} \int_0^1 dz_2 \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \int d^2 \vec{P}_{2\perp} D_1^{h_1 h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = \mathcal{N}(\mathcal{N} - 1)$$

$$\implies D_1^{h_1h_2/i}(w, x, \vec{Y}^2, \vec{Z}^2, \vec{Y} \cdot \vec{Z}) \equiv \mathcal{J} \cdot D_1^{h_1h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp})$$
  
is a number density

#### Momentum sum rule

$$\sum_{h_1} \int_0^{1-z_2} dz_1 \int d^2 \vec{P}_{1\perp} \, z_1 \, D_1^{h_1 h_2/i}(z_1, z_2, \vec{P}_{1\perp}^2, \vec{P}_{2\perp}^2, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) = (1-z_2) \, D_1^{h_2/i}(z_2, \vec{P}_{2\perp}^2)$$

 $\textit{NB:} \ D_1^{h_1h_2/i}(z_1, z_2, \vec{P}_{1\perp}^{\, 2}, \vec{P}_{2\perp}^{\, 2}, \vec{P}_{1\perp} \cdot \vec{P}_{2\perp}) / D_1^{h_2/i}(z_2, \vec{P}_{2\perp}^{\, 2}) \ \text{is a } \underline{\textit{conditional}} \ \text{number density}$ 







**Generalization to n-hadron fragmentation** 

$$\frac{1}{4(16\pi^3)^{n-1}z_1\cdots z_n} \operatorname{Tr}\left[\Delta^{\{h_i\}_n/q}(\{z_i\}_n,\{\vec{P}_{i\perp}\}_n)\gamma^-\right] = D_1^{\{h_i\}_n/q}(\{z_i\}_n,\{\vec{P}_{i\perp}\}_n,\{\vec{P}_{i\perp}\cdot\vec{P}_{j\perp}\}_n)$$

$$\sum_{h_1,\dots,h_n} \int dz_n \cdots dz_1 \int d^2 \vec{P}_{1\perp} \cdots d^2 \vec{P}_{n\perp} D_1^{\{h_i\}_n/i}(\{z_i\}_n, \{\vec{P}_{i\perp}^2\}_n, \{\vec{P}_{i\perp} \cdot \vec{P}_{j\perp}\}_n) = \prod_{k=0}^{n-1} (\mathcal{N} - k)$$





Connection to phenomenology - work in a frame where the dihadron has no transverse momentum

 $P_h = P_1 + P_2$   $R = (P_1 - P_2)/2$   $z = z_1 + z_2$   $\zeta = (z_1 - z_2)/z$ 

$$(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \longrightarrow (z, \zeta, \vec{k}_T, \vec{R}_T) : \quad \mathcal{J} = z^3/2$$





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$$D_{1}^{h_{1}h_{2}/q}(z,\zeta,\vec{k}_{T}^{2},\vec{R}_{T}^{2},\vec{k}_{T}\cdot\vec{R}_{T}) = \frac{z}{32\pi^{3}(1-\zeta^{2})} \operatorname{Tr}\left[\Delta^{h_{1}h_{2}/q}(z_{1},z_{2},\vec{P}_{1\perp},\vec{P}_{2\perp})\gamma^{-}\right]$$
  
is a number density in  $(z,\zeta,\vec{k}_{T},\vec{R}_{T})$ 





Connection to phenomenology - work in a frame where the dihadron has no transverse momentum

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is a number density in  $(z,\zeta,\vec{k}_{T},\vec{R}_{T})$ 

Compare this to the original definition of Bianconi, Boffi, Jakob, Radici (2000) that has been the basis for all dihadron research (sensitive to  $R_T$ ) for the last 20+ years

$$D_{1}^{h_{1}h_{2}/q,\text{BBJR}}(z,\zeta,\vec{k}_{T}^{2},\vec{R}_{T}^{2},\vec{k}_{T}\cdot\vec{R}_{T}) = \frac{1}{4z}\text{Tr}\Big[\Delta^{h_{1}h_{2}/q}(z_{1},z_{2},\vec{P}_{1\perp},\vec{P}_{2\perp})\gamma^{-}\Big]$$

Does *not* allow sum rules to be derived that justify a number density interpretation

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Experimental measurements are sensitive to the so-called "extended" DiFFs where  $k_T$  (and usually  $\boldsymbol{\zeta}$ ) is integrated out

$$\frac{z}{32\pi^3(1-\zeta^2)} \int d^2 \vec{k}_T \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \gamma^- \right] = D_1^{h_1 h_2/q}(z, \zeta, \vec{R}_T^2)$$
$$\frac{z}{32\pi^3(1-\zeta^2)} \int d^2 \vec{k}_T \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) i\sigma^{i-} \gamma_5 \right] = -\frac{\epsilon_T^{ij} R_T^j}{M_h} H_1^{\triangleleft h_1 h_2/q}(z, \zeta, \vec{R}_T^2)$$

are number densities in  $(z,\zeta,ec{R}_T)$ 

#### **D.** Pitonyak



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$$\frac{z}{32\pi^3(1-\zeta^2)} \int d^2 \vec{k}_T \operatorname{Tr} \left[ \Delta^{h_1 h_2/q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) i\sigma^{i-} \gamma_5 \right] = -\frac{\epsilon_T^{ij} R_T^j}{M_h} H_1^{\triangleleft h_1 h_2/q}(z, \zeta, \vec{R}_T^2)$$

are number densities in  $(z,\zeta,ec{R}_T)$ 

NB: Experiments report measurements in terms of  $M_h$ 

$$\vec{R}_T^2 = \frac{1-\zeta^2}{4}M_h^2 - \frac{1-\zeta}{2}M_1^2 - \frac{1+\zeta}{2}M_2^2$$

One *cannot* simply replace the  $R_T$  dependence in the DiFF with an  $M_h$  and still maintain a number density interpretation in  $M_h$ 

$$(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp}) \longrightarrow (z, \zeta, \vec{k}_T, M_h, \phi_{R_T}): \quad \mathcal{J} = z^3 (1 - \zeta^2)/8$$

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Experimental measurements are sensitive to the so-called "extended" DiFFs where  $k_T$  (and usually  $\boldsymbol{\zeta}$ ) is integrated out

$$D_1^{h_1 h_2/i}(z, M_h) \equiv \frac{\pi}{2} M_h \int_{-1}^{1} d\zeta \, (1 - \zeta^2) D_1^{h_1 h_2/i}(z, \zeta, \vec{R}_T^2)$$

is a number density in  $(z, M_h)$ 

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is a number density in  $(z, M_h)$ 

$$\frac{d\sigma}{dz\,dM_h} = \frac{4\pi N_c \alpha_{\rm em}^2}{3Q^2} \sum_q e_q^2 D_1^{h_1 h_2/q}(z, M_h)$$

Measured by Belle (2017) for  $\pi^+\pi^-$  and  $\pi^+\pi^+$ 

New definition will allow us to calculate, e.g., the average value of z or of  $M_h$  for the ensemble of all  $\pi^+\pi^-$  (or  $\pi^+\pi^+$ ) pairs from the fragmentation of an unpolarized quark

$$\langle \mathcal{O}(z,M_h) \rangle^{h_1 h_2/i} = \int dz \, dM_h \, \mathcal{O}(z,M_h) \, D_1^{h_1 h_2/i}(z,M_h)$$

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$$\swarrow$$
partonic cross section for  $e^+e^- \to \gamma \to q\bar{q}$ 

This is exactly the structure  $d\sigma$  should have if  $D_1$  has a number density interpretation

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$$H_1^{\triangleleft h_1 h_2/i}(z, M_h) \equiv \frac{\pi}{2} M_h \int_{-1}^{1} d\zeta \, \frac{|\vec{R}_T|}{M_h} \, (1 - \zeta^2) H_1^{\triangleleft h_1 h_2/i}(z, \zeta, \vec{R}_T^2)$$

## The analytical formulas in the literature need to be modified to account for these new definitions





Evolution equations for extended DiFFs



"Homogeneous term"



"Inhomogeneous term"





Evolution equations for extended DiFFs



The inhomogeneous terms are *not* UV divergent when one keeps the dependence on  $R_T$  (see also Ceccopieri, et al. (2007))





Evolution equations for extended DiFFs



 $D_1^{h_1 h_2/q}(z,\zeta,\vec{R}_T^2) = \frac{z}{32\pi^3(1-\zeta^2)} \int d^2\vec{k}_T \operatorname{Tr}\left[\Delta^{h_1 h_2/q}(z_1,z_2,\vec{P}_{1\perp},\vec{P}_{2\perp})\gamma^-\right]$ 





Evolution equations for extended DiFFs



 $D_1^{h_1 h_2/q}(z,\zeta,\vec{R}_T^2) = \frac{z}{32\pi^3(1-\zeta^2)} \int d^2\vec{k}_T \operatorname{Tr}\left[\Delta^{h_1 h_2/q}(z_1,z_2,\vec{P}_{1\perp},\vec{P}_{2\perp})\gamma^-\right]$ 

$$D_1^{h/q}(z) = \frac{z}{4} \int d^2 \vec{k}_T \, \Delta^{h/q}(z, \vec{k}_T)$$





Evolution equations for extended DiFFs







Evolution equations for extended DiFFs



The evolution equations of the extended DiFFs are the same as single-hadron collinear FFs





Evolution equations for extended DiFFs



$$\frac{\partial \mathcal{D}^{h_1 h_2/i}(z,\zeta,\vec{R}_T^2;\mu)}{\partial \ln \mu^2} = \sum_{i'} \int_z^1 \frac{dw}{w} \mathcal{D}^{h_1 h_2/i'}\left(\frac{z}{w},\zeta,\vec{R}_T^2;\mu\right) P_{i\to i'}(w)$$

where 
$$\mathcal{D} = D_1 \text{ or } H_1^{\triangleleft}$$

use unpolarized splitting kernels

use transversely polarized splitting kernels





## **New DiFF Phenomenology**

Cocuzza, Metz, DP, Prokudin, Sato, Seidl, arXiv:2306.XXXXX Note: all phenomenological results are PRELIMINARY



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(Collins, et al. (1994); Bianconi, et al. (2000); Bacchetta, Radici (2003, 2004); Courtoy, et al. (2012); Matevosyan, et al. (2018); Radici, et al. (2013, 2015, 2018); Benel, et al. (2020), ...)

$$a_{12R} = \frac{\sin^2 \theta_2 \sum_q e_q^2 \boldsymbol{H}_1^{\triangleleft,q}(\boldsymbol{z}, \boldsymbol{M}_h) \boldsymbol{H}_1^{\triangleleft,\bar{q}}(\bar{\boldsymbol{z}}, \overline{\boldsymbol{M}}_h)}{(1 + \cos^2 \theta_2) \sum_q e_q^2 \boldsymbol{D}_1^q(\boldsymbol{z}, \boldsymbol{M}_h) \boldsymbol{D}_1^{\bar{q}}(\bar{\boldsymbol{z}}, \overline{\boldsymbol{M}}_h)} \xrightarrow{\text{Artru-Collins asymmetry}} Artru-Collins asymmetry$$

$$A_{UT}^{\sin(\phi_R + \phi_S)} = \frac{\sum_q e_q^2 \boldsymbol{h}_1^q(\boldsymbol{x}) \boldsymbol{H}_1^{\triangleleft,q}(\boldsymbol{z}, \boldsymbol{M}_h)}{\sum_q e_q^2 f_1^q(\boldsymbol{x}) \boldsymbol{D}_1^q(\boldsymbol{z}, \boldsymbol{M}_h)} \xrightarrow{\text{Artru-Collins asymmetry}} \frac{A_{d\sigma} = 2\pi N_c \alpha_{em}^2}{d\sigma_{d\sigma} dt} \sum_q e_q^2 \boldsymbol{J}_1^q(\boldsymbol{x}) \boldsymbol{J}_1^q(\boldsymbol{x}, \boldsymbol{M}_h)}{\frac{d\hat{\sigma}_{ab \to c}^{\uparrow}}{d\hat{t}} \otimes \boldsymbol{h}_1^a(\boldsymbol{x}_a) \otimes f_1^b(\boldsymbol{x}_b) \otimes \boldsymbol{H}_1^{\triangleleft,c}(\boldsymbol{z}, \boldsymbol{M}_h)} \xrightarrow{\text{Artru-Collins asymmetry}} article{Artru-Collins asymmetry}$$

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Anselmino, et al. (2007, 2009, 2013, 2015); Goldstein, et al. (2014); Kang, et al. (2016); Radici, et al. (2013, 2015, 2018); Benel, et al. (2020); D'Alesio, et al. (2020); Cammarota, et al. (2020); Gamberg, et al. (2022); Cocuzza, et al. (2023) He, Ji (1995); Barone, et al. (1997); **QCD** Pheno for Schweitzer, et al. (2001); Transversity Gamberg, Goldstein (2001); Pasquini, et al. (2005); Wakamatsu (2007); Herczeg (2001); Lorce (2009); Erler, Ramsey-Musolf (2005); Tensor Gupta, et al. (2018); Pospelov, Ritz (2005); Yamanaka, et al. (2018); charges Severijns, et al. (2006); Hasan, et al. (2019); Lattice Low-Energy Cirigliano, et al. (2013); Alexandrou, et al. (2019, 2023); Courtoy, et al. (2015); QCD, **BSM** Yamanaka, et al. (2013); Yamanaka, et al. (2017); Models **Physics** Pitschmann, et al. (2015); Liu, et al. (2018); Xu, et al. (2015); Gonzalez-Alonso, et al. (2019) Wang, et al. (2018); Liu, et al. (2019)







$$\Delta \sigma(S_T) \sim \underbrace{H_{QS} \otimes f_1 \otimes \mathbf{F_{FT}} \otimes D_1}_{\text{Qiu-Sterman term}} + \underbrace{H_F \otimes f_1 \otimes \mathbf{h_1} \otimes \left(\mathbf{H_1^{\perp(1)}, \tilde{H}}\right)}_{\text{H}}$$

Fragmentation term (Metz, DP (2012); Kanazawa, et al. (2014); Cammarota, et al. (2020); Gamberg, et al. (2017, 2022))

 $A_N$  is a *collinear* (twist-3) observable









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## Gamberg, Malda, Miller, DP, Prokudin, Sato, PRD 106, 034014 (2022)

User-friendly jupyter notebook to calculate functions and asymmetries: https://colab.research.google.com/github/pitonyak25/jam3d\_dev\_lib/blob/main/JAM3D\_Library.ipynb

> LHAPDF tables available (thanks to C. Cocuzza): https://github.com/pitonyak25/jam3d\_dev\_lib/tree/main/LHAPDF\_tables



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- → Dihadron (e.g., Radici, Bacchetta (2018); Benel, Courtoy, Ferro-Hernandez (2019)) and TMD analyses that only include  $e^+e^-$  and SIDIS Collins effect data (e.g., Kang, et al. (2016)), are generally below the lattice values for  $g_T$  and  $\delta u$
- Because of the Soffer bound on h<sub>1</sub>, one initially finds JAM3D-22 has more tension with lattice, but this does *not* imply phenomenology and lattice are incompatible – one can only fully answer this by including lattice data in the analysis
- $\blacktriangleright$  Once the lattice  $g_T$  data point is included, we find the non-perturbative functions can accommodate it *and still describe the experimental data well*<sup>32</sup>

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- ➢ Parameterization of the  $\pi^+\pi^-$  DiFFs  $D_1(z, M_h), H_1^⊲(z, M_h)$ 
  - Symmetry relations (Courtoy, et al. (2012))

$$\begin{split} D_1^u &= D_1^d = D_1^{\bar{u}} = D_1^{\bar{d}}, & H_1^{\triangleleft,u} = -H_1^{\triangleleft,d} = -H_1^{\triangleleft,\bar{u}} = H_1^{\triangleleft,\bar{d}}, \\ D_1^s &= D_1^{\bar{s}}, \quad D_1^c = D_1^{\bar{c}}, \quad D_1^b = D_1^{\bar{b}} & H_1^{\triangleleft,s} = -H_1^{\triangleleft,\bar{s}} = H_1^{\triangleleft,c} = -H_1^{\triangleleft,\bar{c}} = 0 \\ \text{also have } D_1^g \end{split}$$

• <u>Positivity bounds</u> (Bacchetta, Radici (2003))  $D_1(z, M_h) > 0$   $|H_1^{\triangleleft}(z, M_h)| < D_1(z, M_h)$ 

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#### **D.** Pitonyak



- ➢ Parameterization of the  $\pi^+\pi^-$  DiFFs  $D_1(z, M_h), H_1^⊲(z, M_h)$ 
  - Because of the resonance structure of the  $e^+e^-$  cross section, we use a grid in  $M_h$  whose density depends on the flavor and DiFF and then at each grid point we have the functional form  $\sim Nz^a(1-z)^b$ . The grid is then interpolated to give a general  $M_h$  dependence. E.g., for the up quark for  $D_I$ ,

 $\mathbf{M}_{h}^{u} = [2m_{\pi}, 0.40, 0.50, 0.70, 0.75, 0.80, 0.90, 1.00, 1.20, 1.30, 1.40, 1.60, 1.80, 2.00] \text{ GeV}$ 

$$D_1^u(z, \mathbf{M}_h^{u,i}) = \sum_{j=1,2,3} \frac{N_{ij}^u z^{\alpha_{ij}^u} (1-z)^{\beta_{ij}^u}}{\mathbf{B}[\alpha_{ij}^u + 1, \beta_{ij}^u + 1]}$$

 $\rightarrow 204$  parameters for  $D_1$  and 48 parameters for  $H_1^{\triangleleft}$ 

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 $\rightarrow 204$  parameters for  $D_1$  and 48 parameters for  $H_1^{\triangleleft}$ 

• The Belle data is not sufficient to perform a flavor separation for  $D_1$ , so we supplement with data from Pythia for  $\sigma^q / \sigma^{tot}$  for q = s, c, b at

 $\sqrt{s} = [10.58, 30.73, 50.88, 71.04, 91.19] \text{ GeV}$ 

using different tunes to quantify systematic uncertainties

 $\rightarrow$  constrain  $D_1$  for s, c, b as well as the gluon through scaling violations

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- > Parameterization of  $h_1(x)$ 
  - We use the following functional form for the transversity PDFs  $u_v$ ,  $d_v$ , and  $\bar{u} = -\bar{d}$  (from large- $N_c$  limit (Pobylitsa (2003))) and impose the Soffer bound  $(2|h_1^q(x)| \le (f_1^q(x) + g_1^q(x)))$

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$$F(x) = \frac{Nx^{\alpha}(1-x)^{\beta}(1+\gamma\sqrt{x}+\delta x)}{B[\alpha+1,\beta+1]+\gamma B[\alpha+\frac{3}{2},\beta+1]+\delta B[\alpha+2,\beta+1]}$$

 $\rightarrow 15$  parameters for  $h_1$ 

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# NSD

- > Parameterization of  $h_1(x)$ 
  - We use the following functional form for the transversity PDFs  $u_v$ ,  $d_v$ , and  $\bar{u} = -\bar{d}$  (from large- $N_c$  limit (Pobylitsa (2003))) and impose the Soffer bound  $(2|h_1^q(x)| \le (f_1^q(x) + g_1^q(x)))$

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$$F(x) = \frac{Nx^{\alpha}(1-x)^{\beta}(1+\gamma\sqrt{x}+\delta x)}{\mathbf{B}[\alpha+1,\beta+1] + \gamma\mathbf{B}[\alpha+\frac{3}{2},\beta+1] + \delta\mathbf{B}[\alpha+2,\beta+1]}$$

Use constraint from small-*x* asymptotics (Kovchegov, Sievert (2019))

$$\alpha \xrightarrow{x \to 0} 1 - 2\sqrt{\frac{\alpha_s N_c}{2\pi}} \longrightarrow \alpha = 0.170 \pm 0.085$$
50% uncertainty due to unaccounted for 1/N<sub>2</sub> and NLO corrections

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 $\rightarrow 15$  parameters for  $h_1$ 

Perform the analysis with and without LQCD data for the tensor charges
 *Su*, *Sd* from ETMC (Alexandrou, et al. (2019)) and PNDME (Gupta, et al. (2018))
 (physical pion mass and 2+1+1 flavors)



		$\chi^2/N_{ m dat}$	
Experiment	$N_{ m dat}$	no LQCD	w/ LQCD
Belle (cross section) [90]	1121	1.32	1.29
Belle (Artru-Collins) [91]	183	1.90	1.92
HERMES [92]	12	1.60	2.03
COMPASS $(p)$ [93]	26	1.18	1.30
COMPASS $(D)$ [93]	26	0.69	0.72
STAR (2015) [94]	24	1.58	1.62
STAR (2018) [62]	106	1.05	1.10
STAR (PRELIM) $[63]$	129	1.11	1.11
ETMC $\delta u$ [30]	1	· · · · · · · · · · · · · · · · · · ·	0.23
ETMC $\delta d$ [30]	1	·	0.70
PNDME $\delta u$ [25]	1		7.02
PNDME $\delta d$ [25]	1	·	0.10
Total	1631	1.34	1.34

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- ➢ JAMDiFF (no LQCD) finds agreement with Radici, Bacchetta (2018) with a slightly larger  $u_v$  function at larger x
- ➢ The  $u_v$  function for JAMDiFF (no LQCD) is smaller than JAM3D (no LQCD) but the  $d_v$ function is larger in magnitude

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Total	1631	1.34	1.34









The analyses with and without LQCD are statistically equivalent

JAMDiFF (no LQCD) JAMDiFF (w/ LQCD)



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JAMDiFF (w/LQCD) agrees with JAM3D (w/LQCD\*) - nontrivial since the lattice data only constrains the full moment of the transversity PDFs

\*The JAM3D (w/LQCD) analysis is slightly modified from the published version: antiquarks are now included (with  $\bar{u} = -\bar{d}$ ) and  $\delta u$ ,  $\delta d$  from ETMC and PNDME are both included in the fit (rather than just  $g_T$  from ETMC)

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JAMDiFF (no LQCD) agrees within errors with JAM3D (no LQCD) and Radici, Bacchetta (2018) for the tensor charges

Similar to the JAM3D analysis, JAMDiFF also finds compatibility with lattice once that data is included in the fit, and can still describe the experimental data well

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- ► Possible explanation for the surprising shift (~3-4 $\sigma$  difference with lattice to a ~0.3-2 $\sigma$  difference) in the phenomenological values of the tensor charges once LQCD data is included in the analysis:
  - The experimental measurements are sensitive to the *x*-dependence of the transversity PDFs, not the full moment like the lattice data.
  - When integrating over *x*, small but consistent changes in the PDF as a function of *x* can accumulate into a large change in the tensor charge while not significantly affecting the description of the experimental data.
  - Before drawing a conclusion about the compatibility between LQCD tensor charges and experimental data, one needs first to include both in the analysis.
  - One should only be concerned if the description of the lattice data remains poor even after its inclusion and/or if the description of the experimental data suffers significantly.

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JAM3D and JAMDiFF agree on the x-dependence of transversity and also can successfully include lattice QCD data on the tensor charges in the analyses, thus showing for the first time the universal nature of all available information on transversity and the tensor charges of the nucleon





# **Summary and Outlook**





## Summary

- We have introduced a *new definition of dihadron fragmentation functions that is consistent with a number density interpretation*, giving these functions a clear physical meaning
- We have performed separate QCD global analyses of TSSAs in TMD/collinear twist-3 single-hadron observables and in dihadron fragmentation measurements, also studying the role of lattice QCD in our fits
- The tensor charges of the nucleon are quantities of particular interest they are fundamental properties of the nucleon that have connections to QCD phenomenology, *ab initio* lattice QCD computations, model calculations, and low-energy beyond the Standard Model studies (e.g., beta decay, EDM)

Recent analyses by the JAM Collaboration show agreement between single-hadron and dihadron approaches for extracting transversity as well as compatibility with lattice QCD tensor charges, thus showing for the first time the universal nature of all this information





# Outlook

- Further refinements/improvements:
  - TMD/collinear twist-3: include lattice tensor charge data and hadron-in-jet Collins effect measurements with CSS evolution in the analysis, ...
  - Dihadron: other groups including lattice tensor charge data; unpolarized pp cross section data to better constrain  $D_I^g(z, M_h)$ ; NLO calculations, ...
  - "Universal" analysis where TMD/collinear twist-3 *and* dihadron measurements are fit simultaneously
  - Incorporate proper small-*x* evolution for transversity (Kovchegov, Sievert (2019))
  - Using pseudo-PDF or quasi-PDF approaches, lattice can now compute  $h_1(x)$  (Egerer, et al. (2021); Alexandrou, et al. (2022)) eventually can include data into phenomenology (more constraining than the tensor charge data)