



ML and QCD global analysis

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AI4NP Winter School
JAN 2021



Outline

Lecture 1

- Motivations
- QCD carpentry setup
- Solving QCD's beta function

Lecture 2

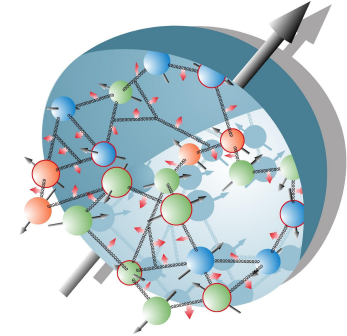
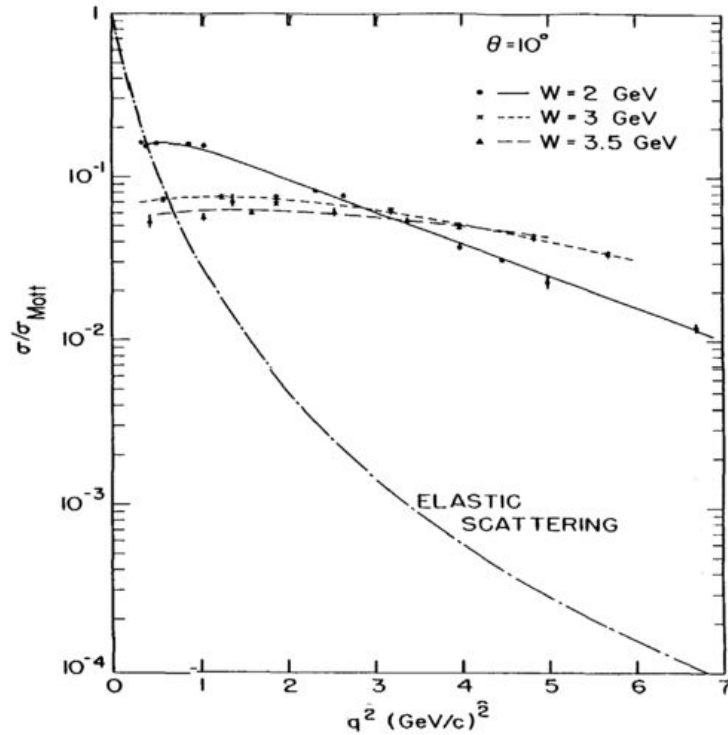
- Mellin transforms
- Solving DGLAP
- Modeling input scale PDFs

Lecture 3

- DIS theory
- World DIS data
- The χ^2 function
- Global analysis

Lecture 4

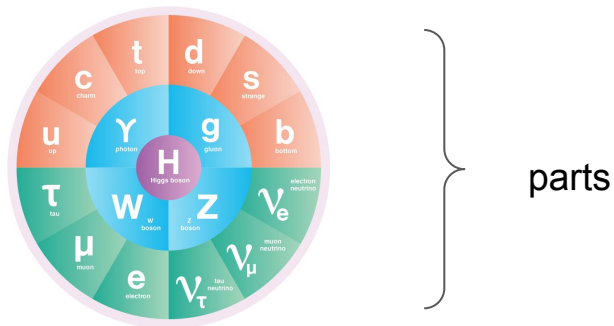
- Bayesian inference
 - Maximum likelihood
 - MC methods
- JAM history
- Machine learning



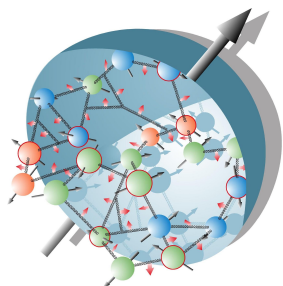
Discovery of point-like particles inside proton

Motivations

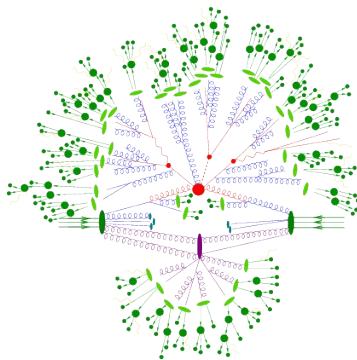
Understanding the **emergent phenomena** of QCD



*“In philosophy, systems theory, science, and art, emergence occurs when an **entity is observed** to have properties **its parts** do not have on their own, properties or behaviors which emerge only when the parts interact in a wider whole.” Wiki*



Hadron Structure



Hadron formation

What do we mean by “**hadron structure**” ? (1D)

$$\xi = \frac{k^+}{P^+}$$

Parton momentum fraction relative to **parent hadron**

$$f_i(\xi) = \int \frac{dw^-}{4\pi} e^{-i\xi p^+ w^-} \langle N | \bar{\psi}_i(0, w^-, \mathbf{0}_T) \gamma^+ \psi_i(0) | N \rangle$$

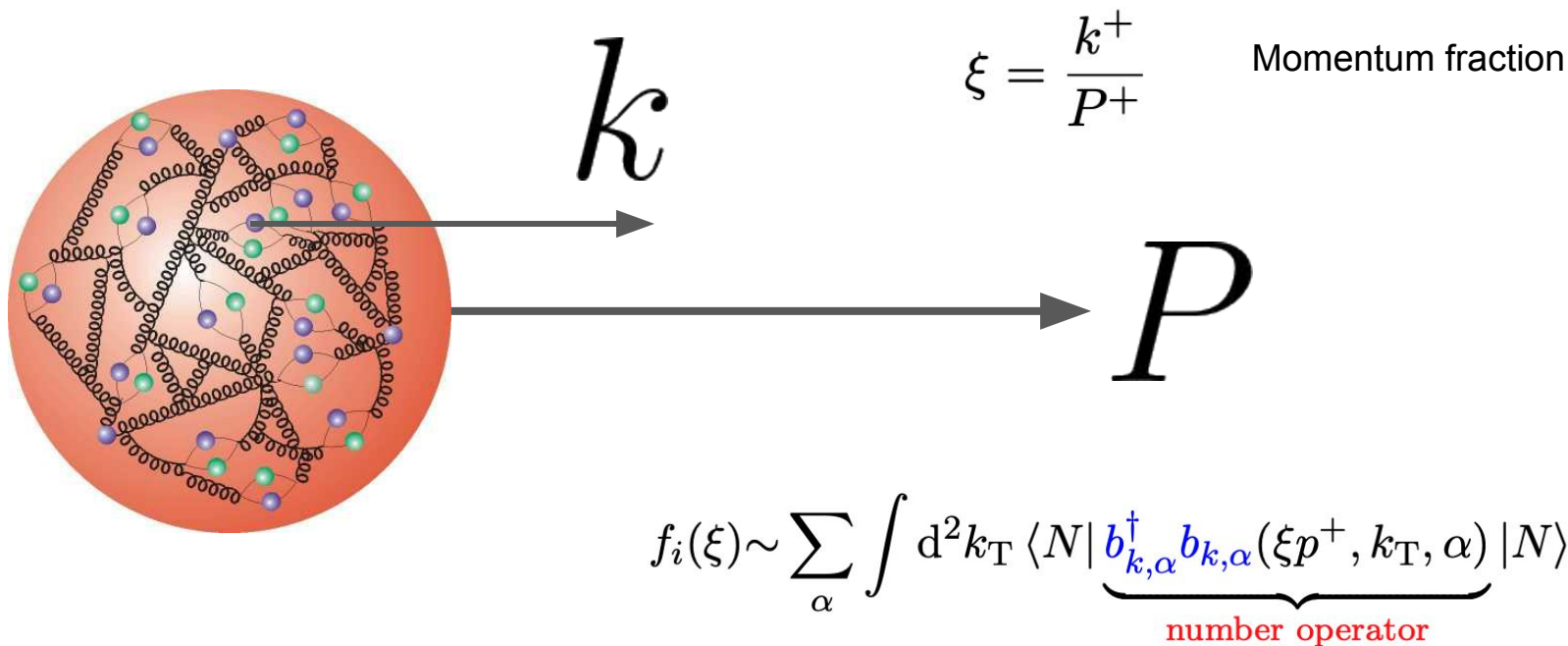
**parton distribution
function (PDF)**

Interpretation in non-interacting QCD

$$\psi_i(x) = \sum_{k,\alpha} b_{k,\alpha}(x^+) u_{k,\alpha} e^{-ik^+ x^- + ik_T \cdot x_T} + d_{k,\alpha}^\dagger(x^+) u_{k,-\alpha} e^{ik^+ x^- - ik_T \cdot x_T}$$

$$f_i(\xi) \sim \sum_{\alpha} \int d^2 k_T \langle N | \underbrace{b_{k,\alpha}^\dagger b_{k,\alpha}}_{\text{number operator}}(\xi p^+, k_T, \alpha) | N \rangle$$

How quarks and gluons are distributed?



What do we mean by “**hadronization**” ? (1D)

$$\zeta = \frac{p_h^+}{k^+}$$

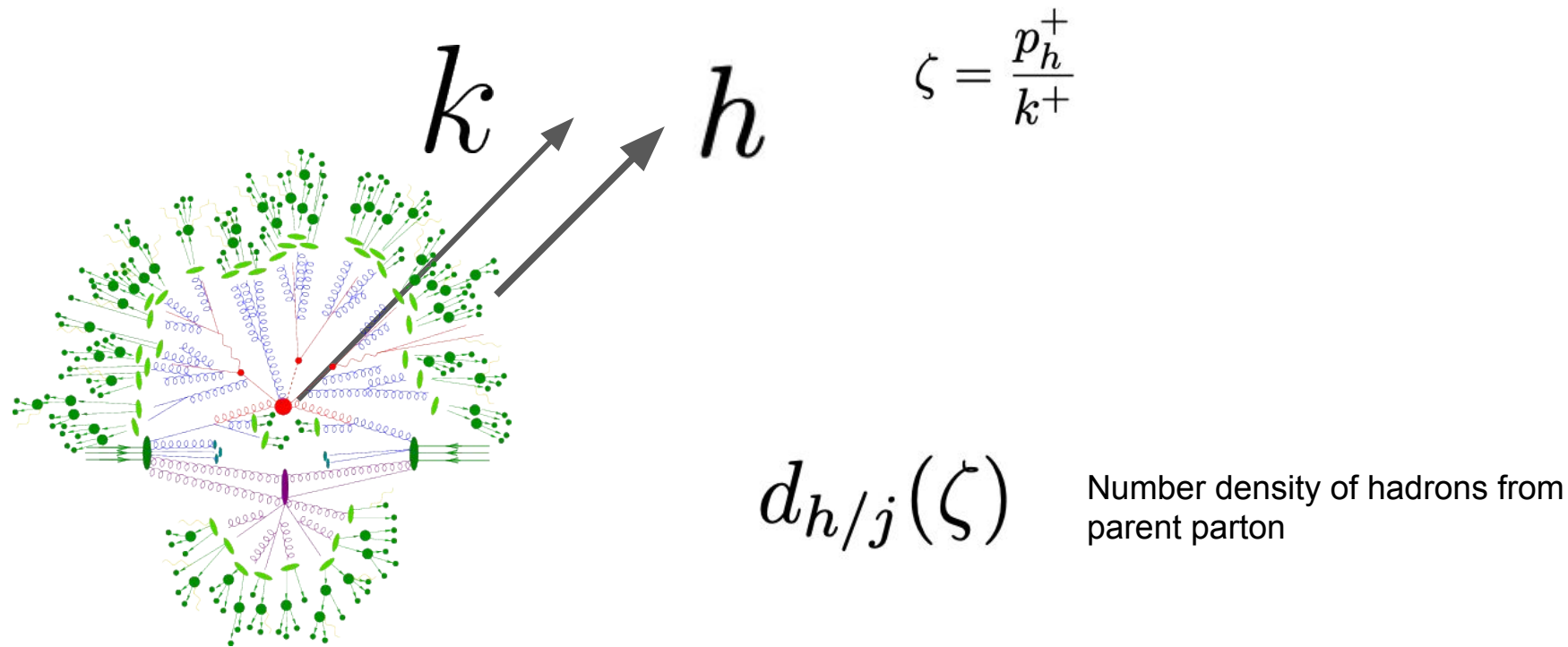
hadron momentum fraction relative to **parent parton**

$$d_{h/j}(\zeta) = \frac{\text{Tr}_{\text{color, Dirac}}}{4N_{c,j}} \sum_X \zeta \int \frac{dw^+}{2\pi} e^{i(p_h^-/\zeta)w^+} \\ \times \gamma^- \langle 0 | \bar{\psi}_j(0, w^+, \mathbf{0}_T) | p_h, X \rangle \langle p_h, X | \psi_j(0) | 0 \rangle$$

**Fragmentation
functions (FFs)**

X = all states except detected hadron **h**

How quarks and gluons are distributed?



Hadron structure in interacting theory

Definition of PDFs in field theory requires renormalization

PDFs will depend on renormalization scale and its RGEs are the famous DGLAP equations

UV singularity when the field separation is zero

$$f_i(\xi) \stackrel{!}{=} \int \frac{dw^-}{4\pi} e^{-i\xi p^+ w^-} \langle N | \bar{\psi}_i(0, w^-, \mathbf{0}_T) \gamma^+ \psi_i(0) | N \rangle$$

Renormalization

$$f = Z_F \otimes f_{\text{bare}}$$
$$f(\xi) \rightarrow f(\xi, \mu)$$

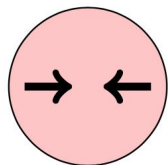


Dokshitzer–Gribov–Lipatov–Altarelli–Parisi

$$\frac{df_i(\xi, \mu^2)}{d \ln \mu^2} = \sum_j \int_{\xi}^1 \frac{dy}{y} P_{ij}(\xi, \mu^2) f_j\left(\frac{y}{\xi}, \mu^2\right)$$

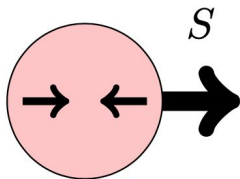
aka **DGLAP**

Spin structures



$$f = f_{\rightarrow} + f_{\leftarrow}$$

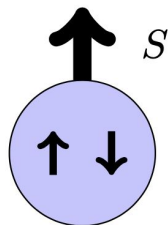
$$\langle N | \bar{\psi}_i(0, w^-, \mathbf{0}_T) \gamma^+ \psi_i(0) | N \rangle$$



$$\Delta f = f_{\rightarrow} - f_{\leftarrow}$$

Helicity distribution

$$\langle N | \bar{\psi}_i(0, w^-, \mathbf{0}_T) \gamma^+ \gamma_5 \psi_i(0) | N \rangle$$



$$\delta_T f = f_{\uparrow} - f_{\downarrow}$$

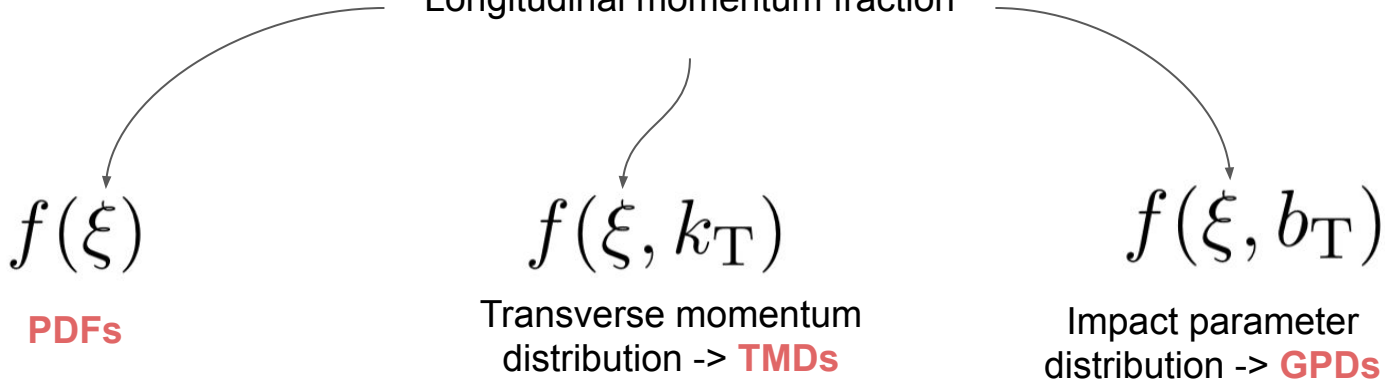
Transversity

$$\langle N | \bar{\psi}_i(0, w^-, \mathbf{0}_T) \gamma^+ \gamma_{\perp} \gamma_5 \psi_i(0) | N \rangle$$

Extensions to 3D

$$\xi = \frac{k^+}{P^+}$$

Longitudinal momentum fraction


$$f(\xi)$$

PDFs

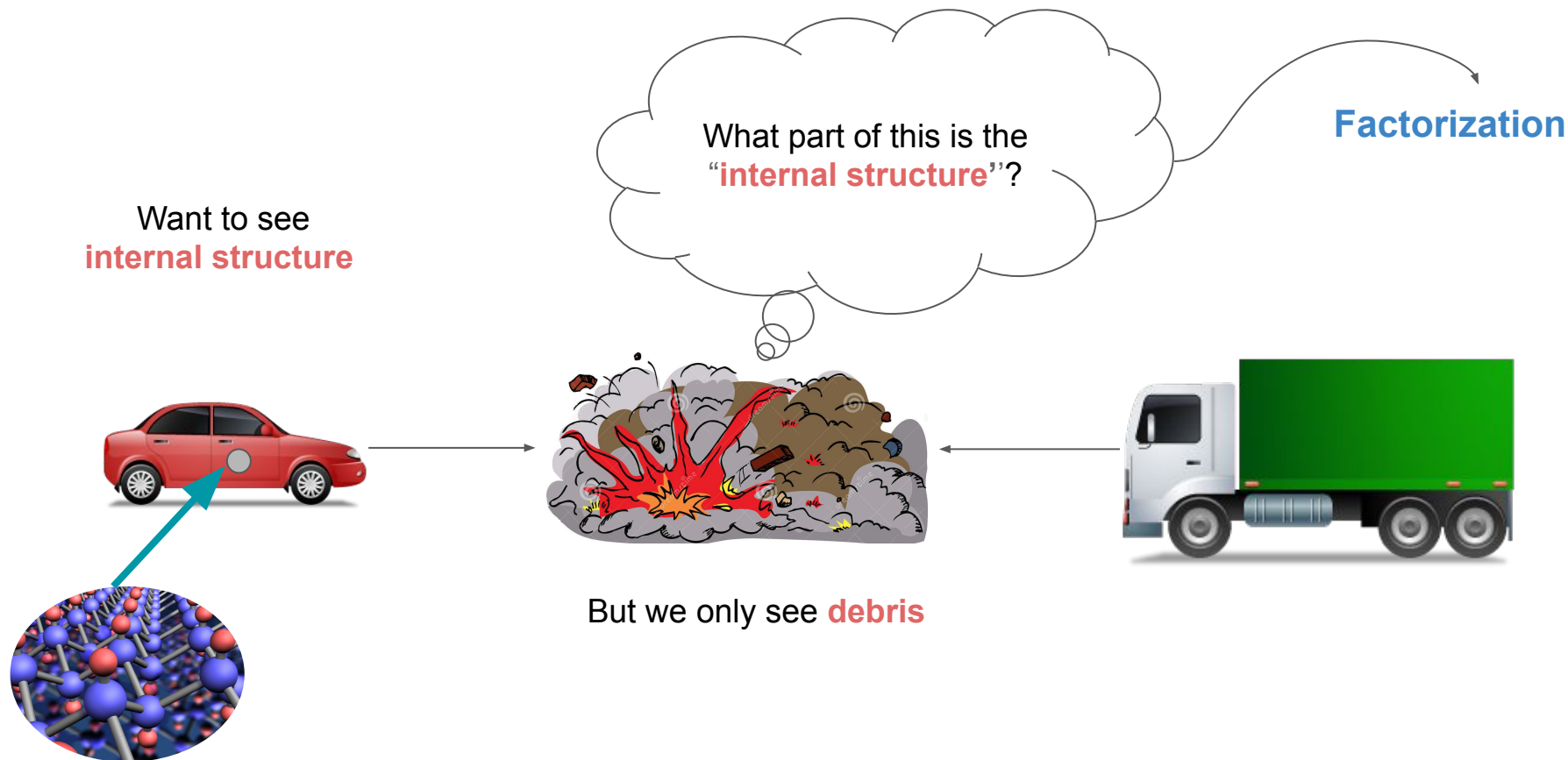
$$f(\xi, k_T)$$

Transverse momentum
distribution -> **TMDs**

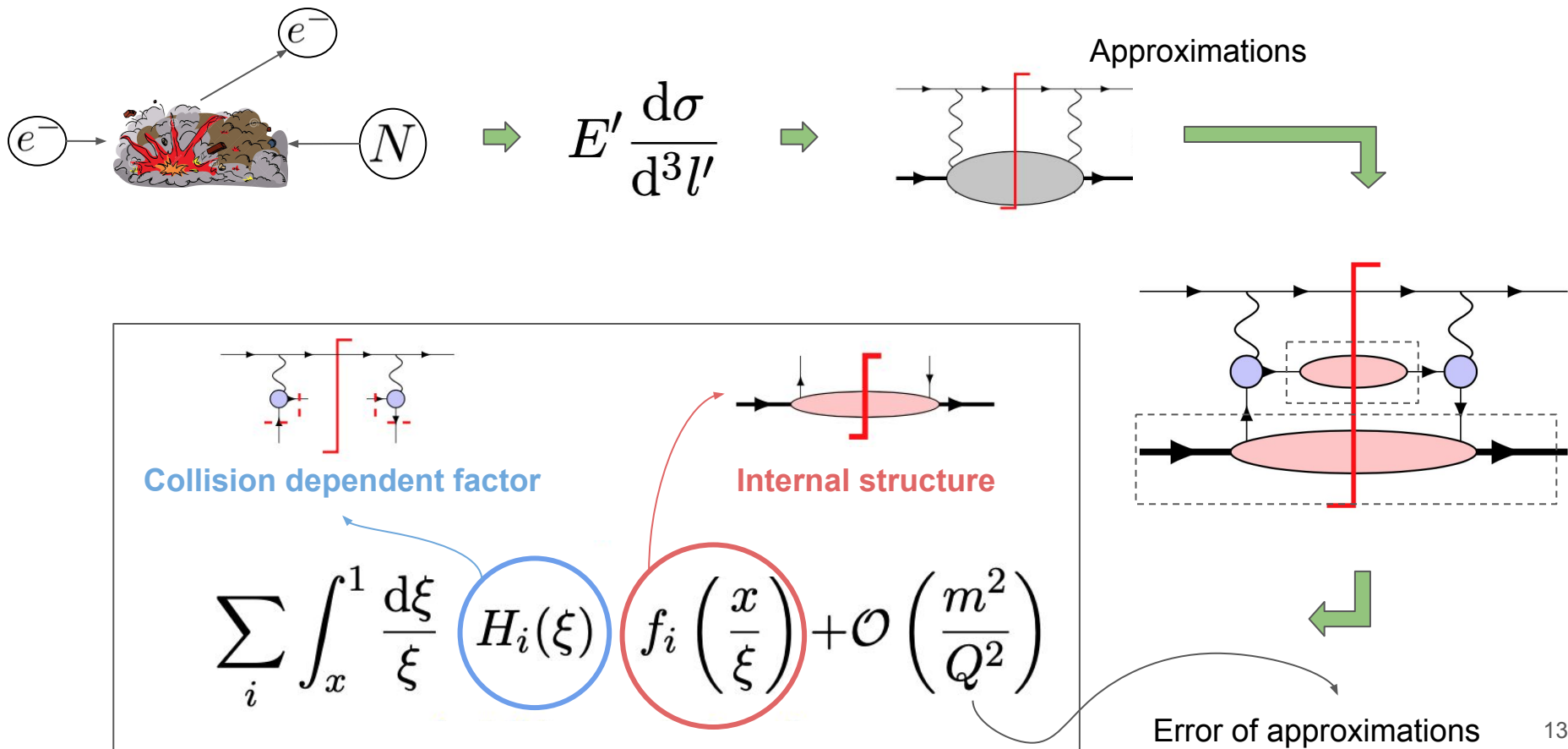
$$f(\xi, b_T)$$

Impact parameter
distribution -> **GPDs**

So how do we get **hadron structure** from experimental data?

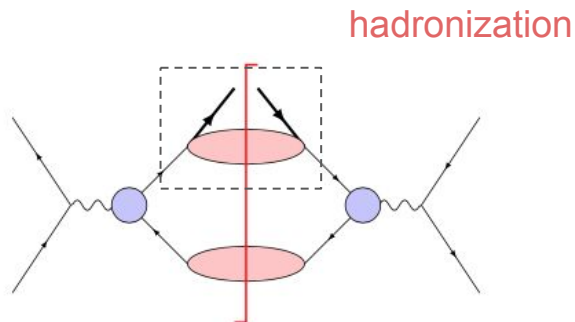
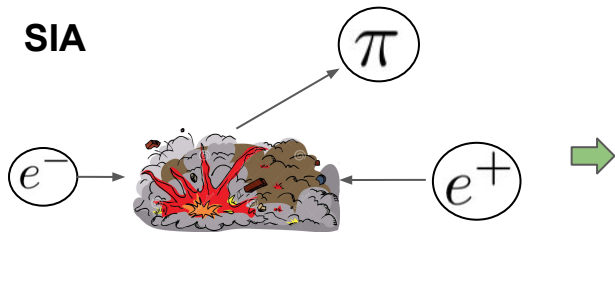


Factorization in deep-inelastic scattering (DIS)



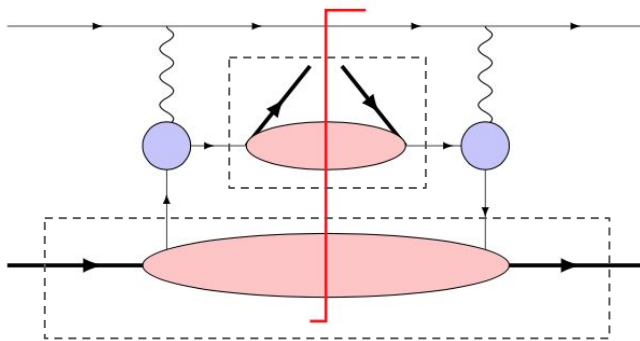
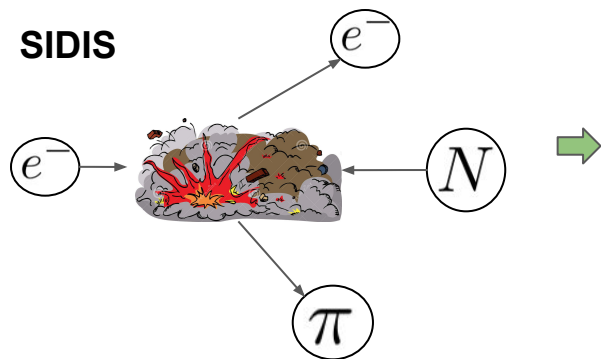
Factorization in other reactions

SIA



$$d\sigma = \sum_i H_i^{\text{SIA}} \otimes d_i$$

SIDIS

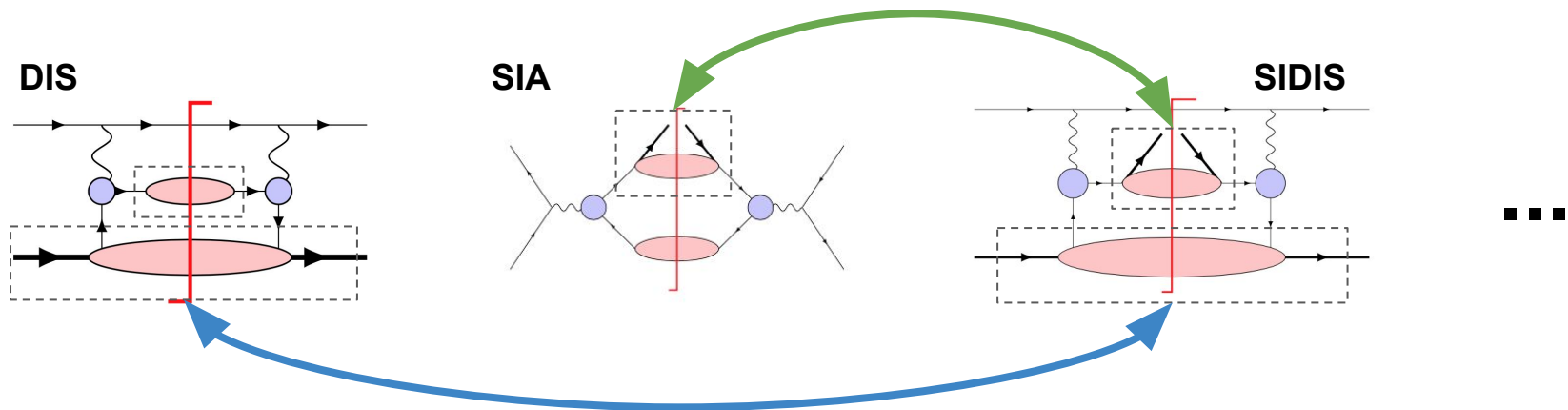


$$d\sigma = \sum_{ij} H_{ij}^{\text{SIDIS}} \otimes f_i \otimes d_j$$

structure + hadronization

..and many more

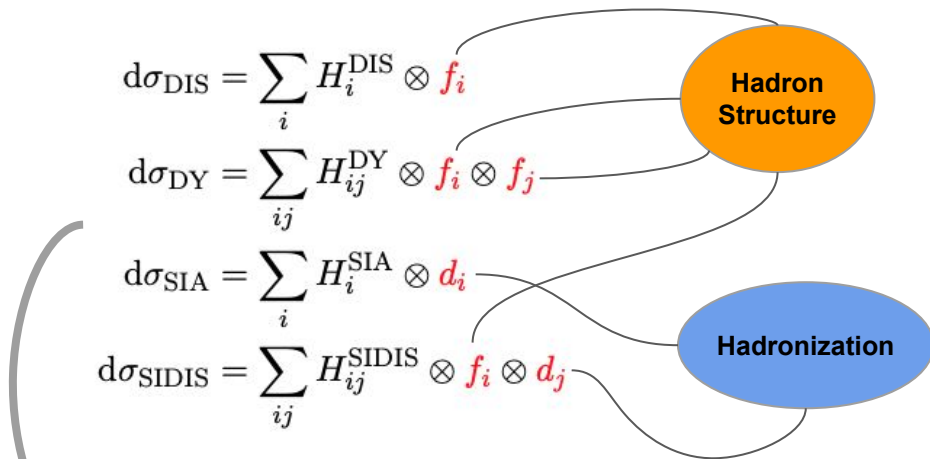
Universality



cross sections described by **universal non-perturbative** functions, e.g. PDFs, FFs

The Bayesian inference

Experiments = theory + errors



RGE boundary conditions

$$f_i(\xi, \mu_0^2) = N_i \xi^{a_i} (1 - \xi)^{b_i} (1 + \dots)$$

$$d_i(\zeta, \mu_0^2) = N_i \zeta^{a_i} (1 - \zeta)^{b_i} (1 + \dots)$$

$$\mathbf{a} = (N_i, a_i, b_i, \dots)$$

Posterior
distribution

Prior
distribution

$$\rho(\mathbf{a}|\text{data}) \sim \mathcal{L}(\mathbf{a}, \text{data}) \pi(\mathbf{a})$$

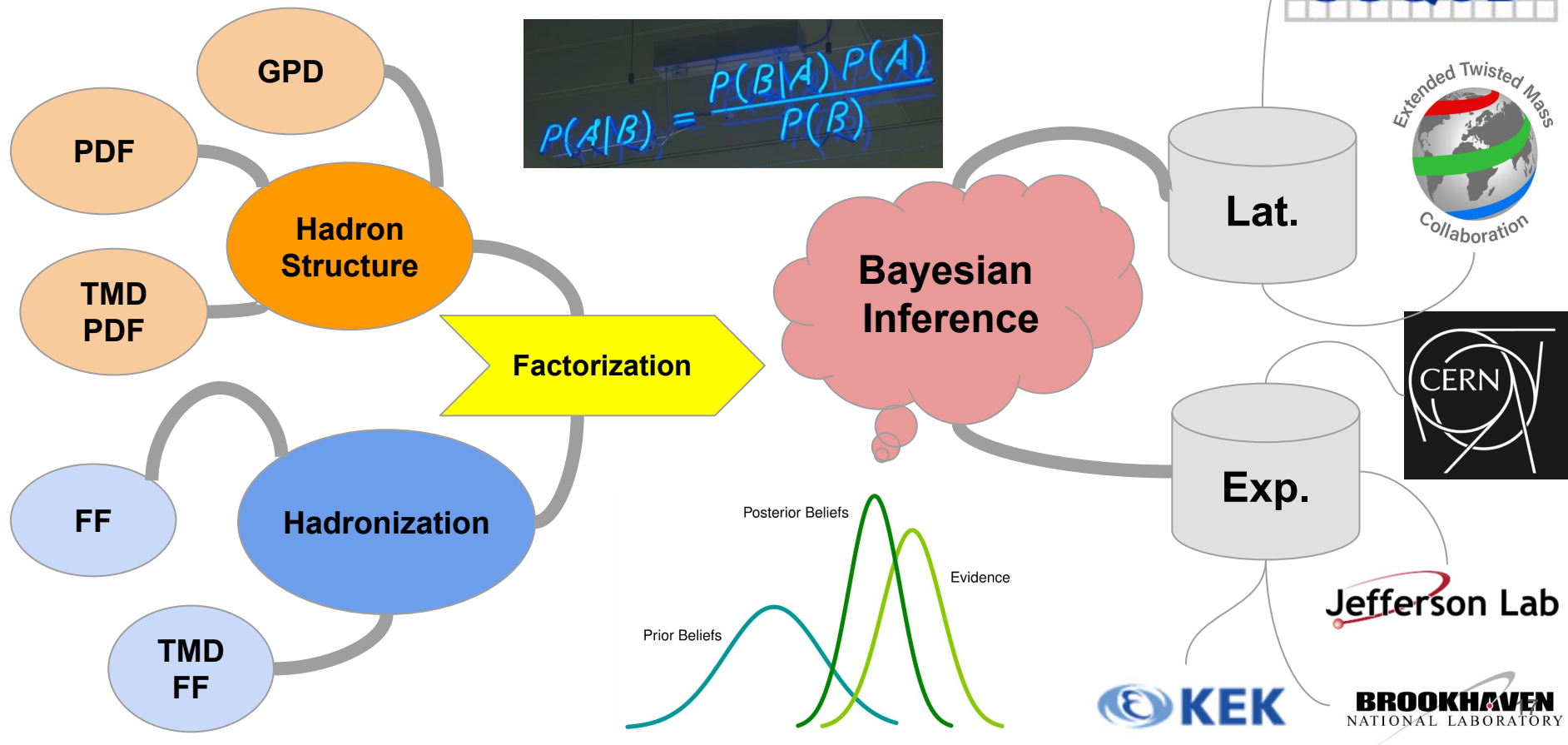
Likelihood

$$\mathcal{L}(\mathbf{a}, \text{data}) = \exp \left[-\frac{1}{2} \chi^2(\mathbf{a}, \text{data}) \right]$$

$$\mathbb{E}[f_i(\xi, \mu^2)] = \int d^n \mathbf{a} \rho(\mathbf{a}|\text{data}) f_i(\xi, \mu^2; \mathbf{a})$$

$$\mathbb{V}[f_i(\xi, \mu^2)] = \int d^n \mathbf{a} \rho(\mathbf{a}|\text{data}) [f_i(\xi, \mu^2; \mathbf{a}) - \mathbb{E}[f_i(\xi, \mu^2)]]^2$$

The QCD global analysis paradigm



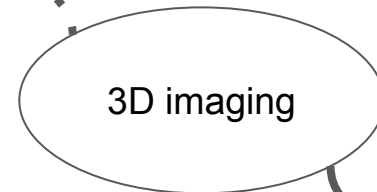
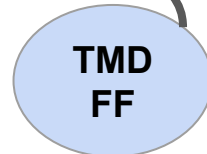
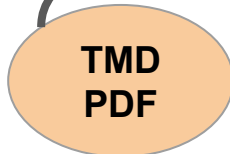
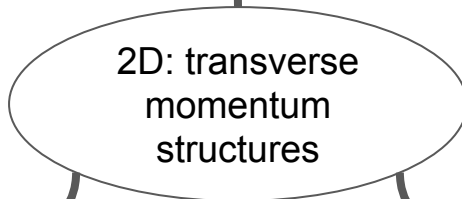
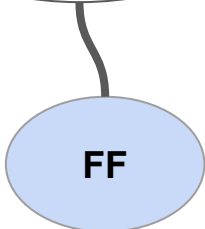
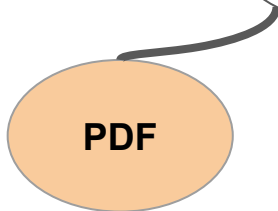
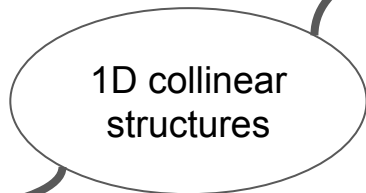
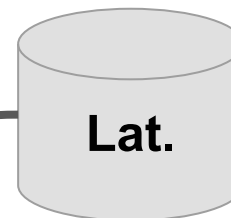
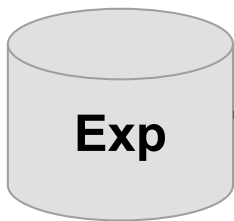


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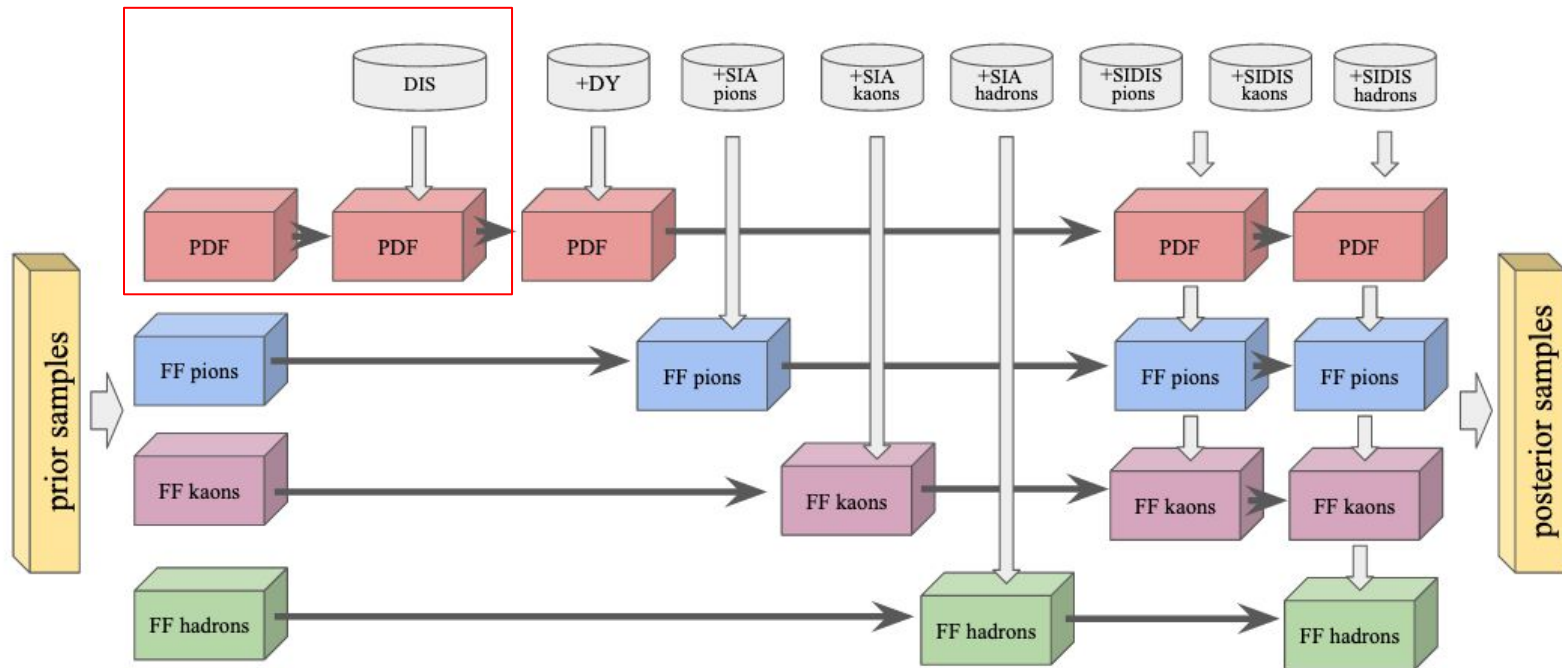


An example: JAM20-SIDIS

Moffat, Melnitchouk, Rogers, NS

[arXiv:2101.04664](https://arxiv.org/abs/2101.04664)

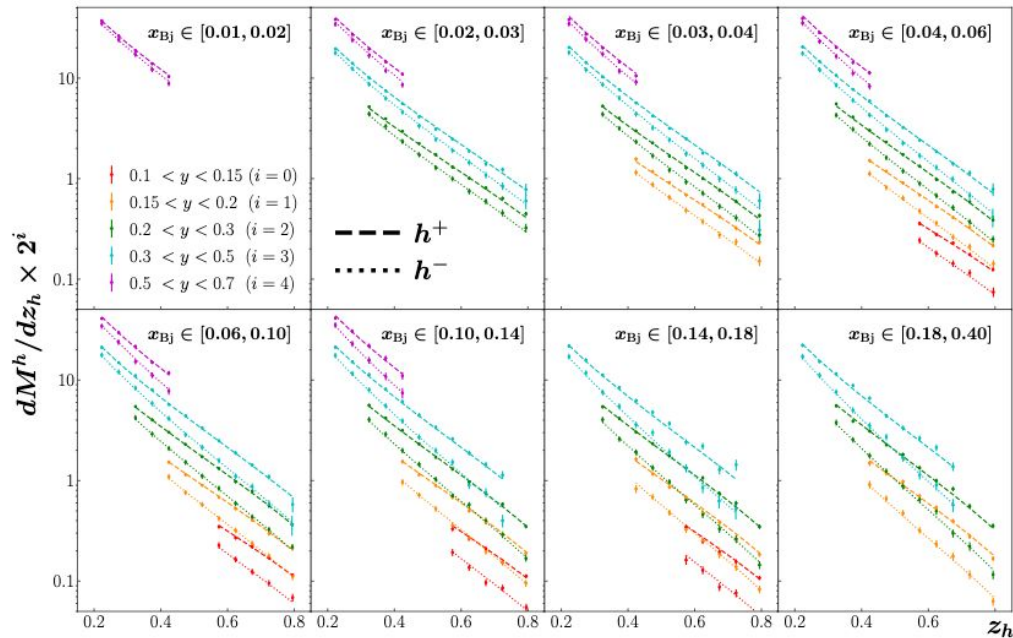
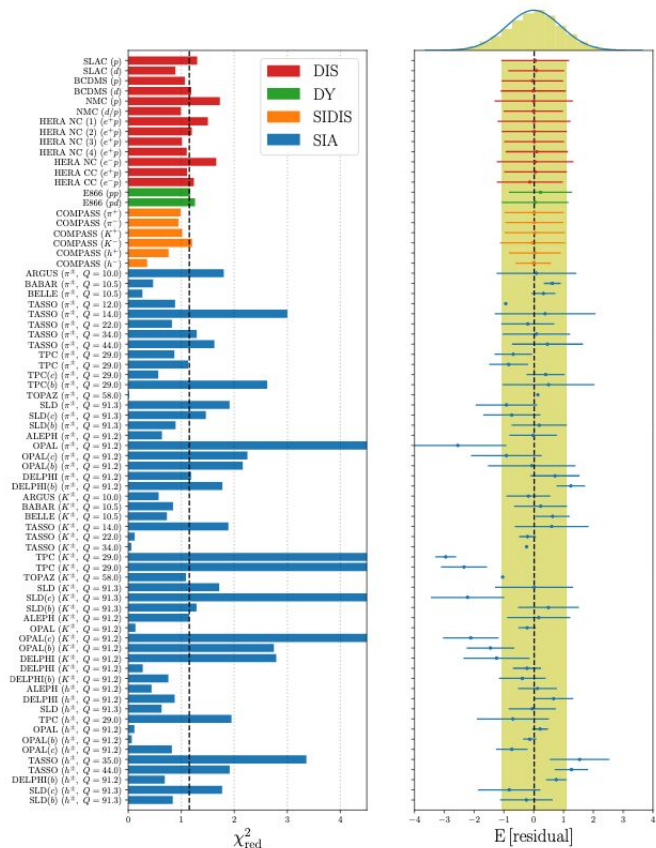
These lectures



An example: JAM20-SIDIS

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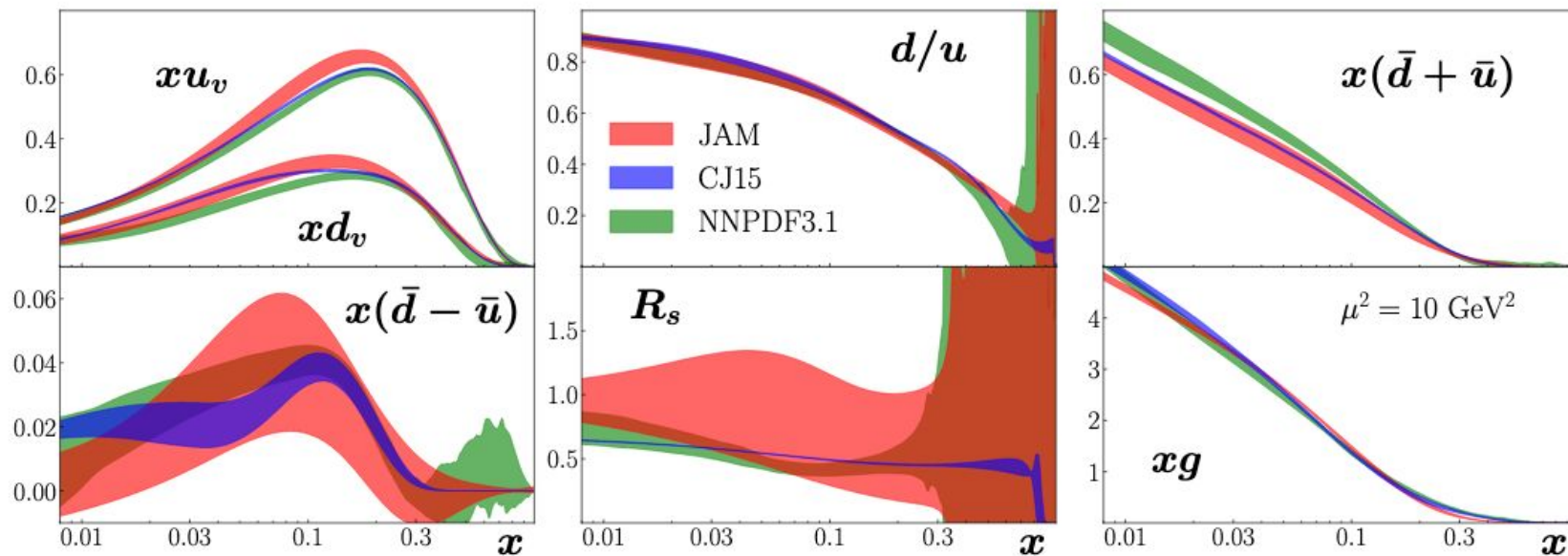
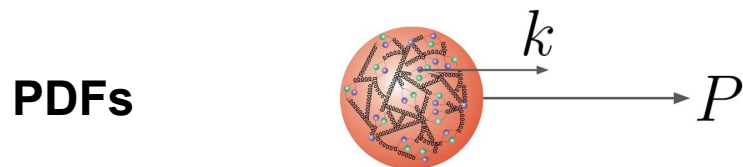
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An example: JAM20-SIDIS

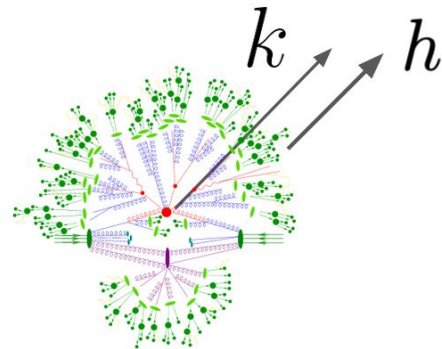
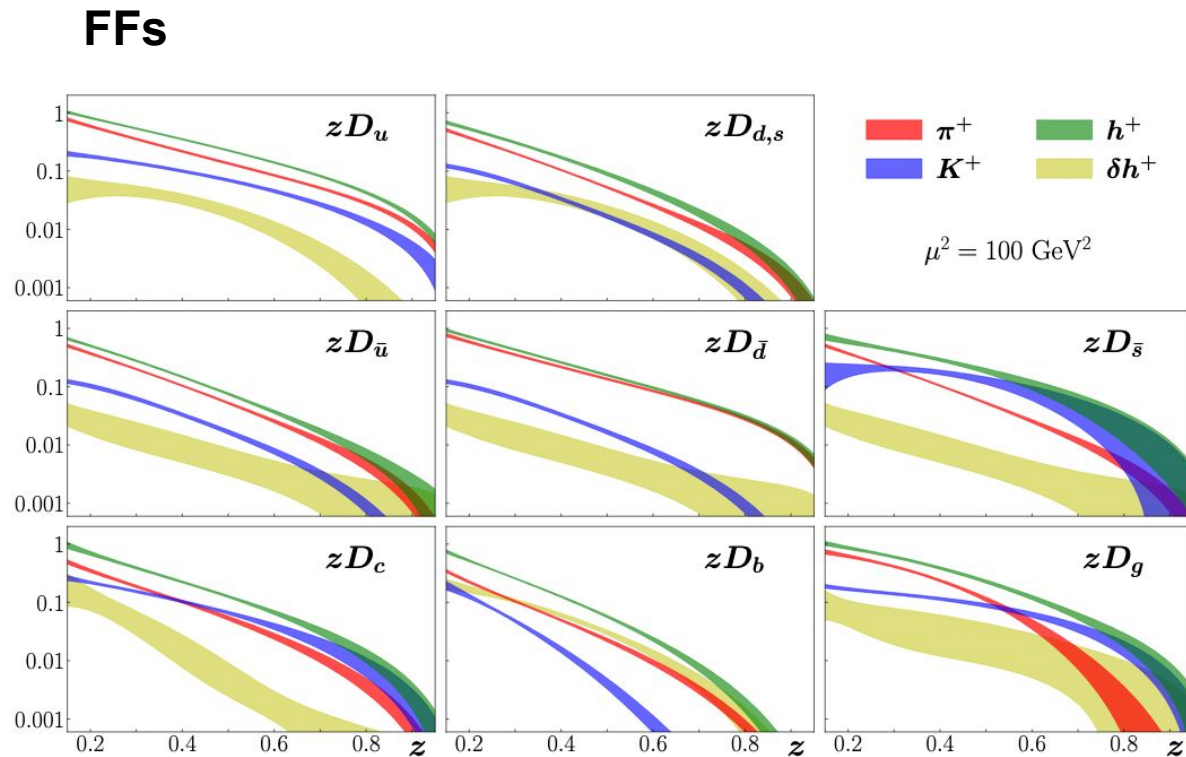
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An example: JAM20-SIDIS

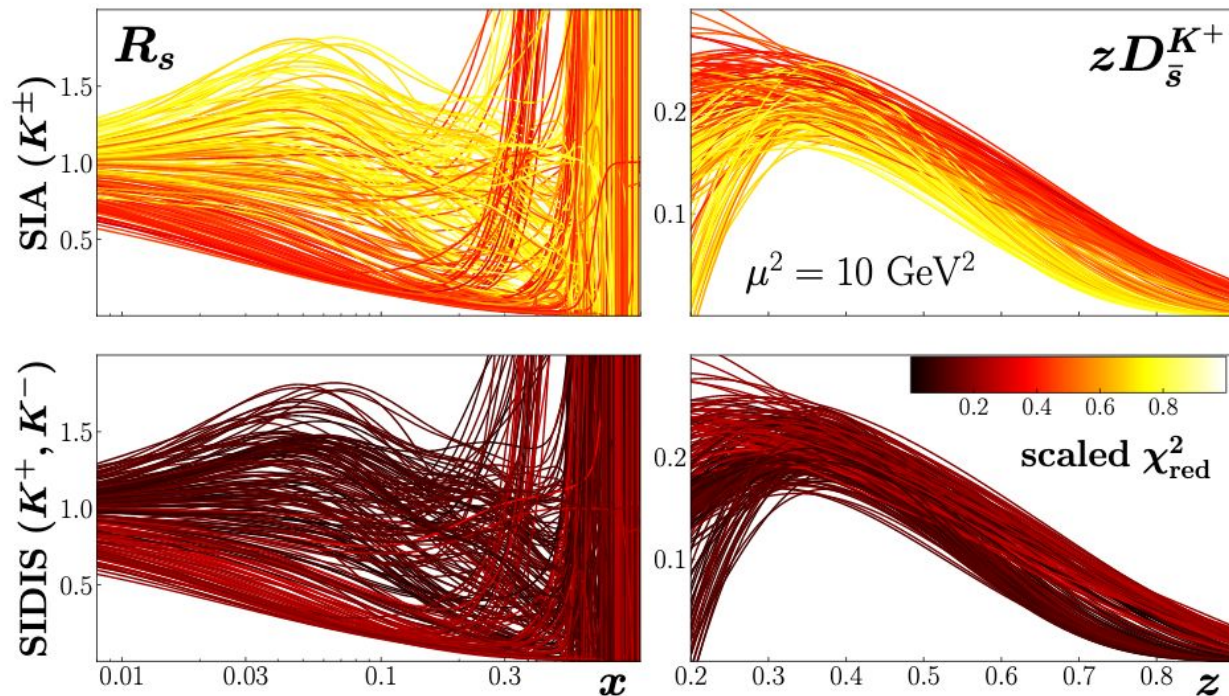
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An example: JAM20-SIDIS

Moffat, Melnitchouk, Rogers, NS

[arXiv:2101.04664](https://arxiv.org/abs/2101.04664)



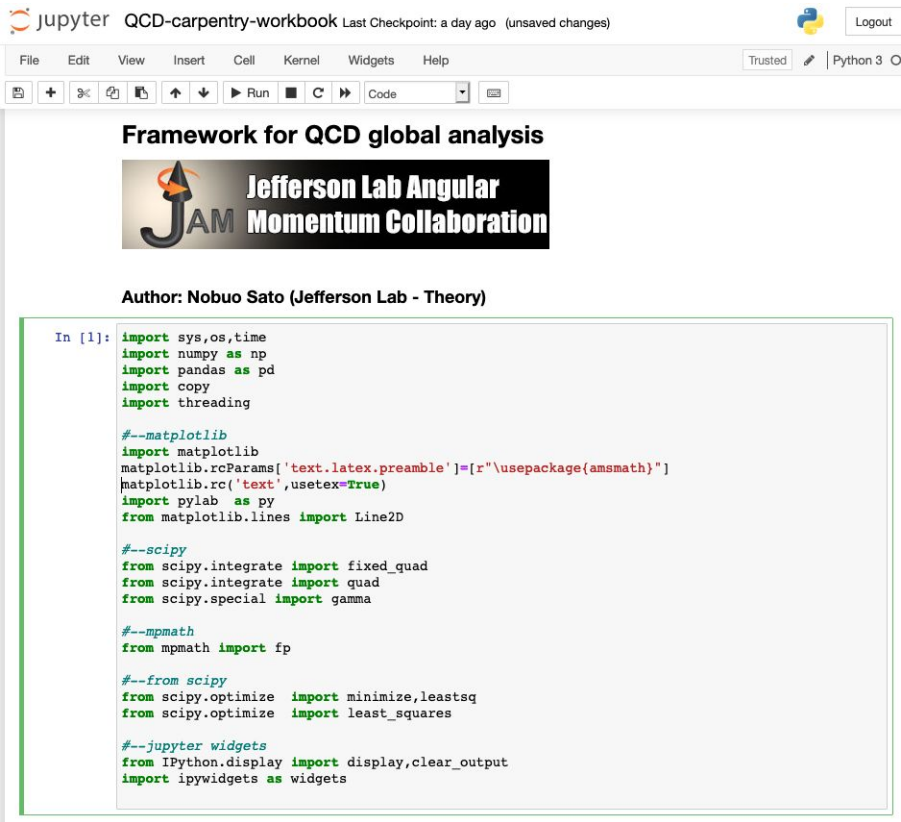
$$R_s = \frac{s + \bar{s}}{\bar{u} + \bar{d}}$$

The simultaneous fit of PDFs and FFs provides new insights on nucleon strangeness

QCD carpentry in python

- We will use a jupyter-notebook available at <https://github.com/QCDHUB/qcdcarpentry>
- The lectures involves several exercises. I will give few minutes to work on them
- You need to have jupyter notebook available in your computer. All the required dependencies are listed. Use **\$pip install xyz** to get libraries you don't have.
- Ok, let's take a look the notebook

Continue to
Jupyter notebook



```
In [1]: import sys,os,time
import numpy as np
import pandas as pd
import copy
import threading

#--matplotlib
import matplotlib
matplotlib.rcParams['text.latex.preamble']=[r"\usepackage{amsmath}"]
matplotlib.rcParams['text',usetex=True]
import pylab as py
from matplotlib.lines import Line2D

#--scipy
from scipy.integrate import fixed_quad
from scipy.integrate import quad
from scipy.special import gamma

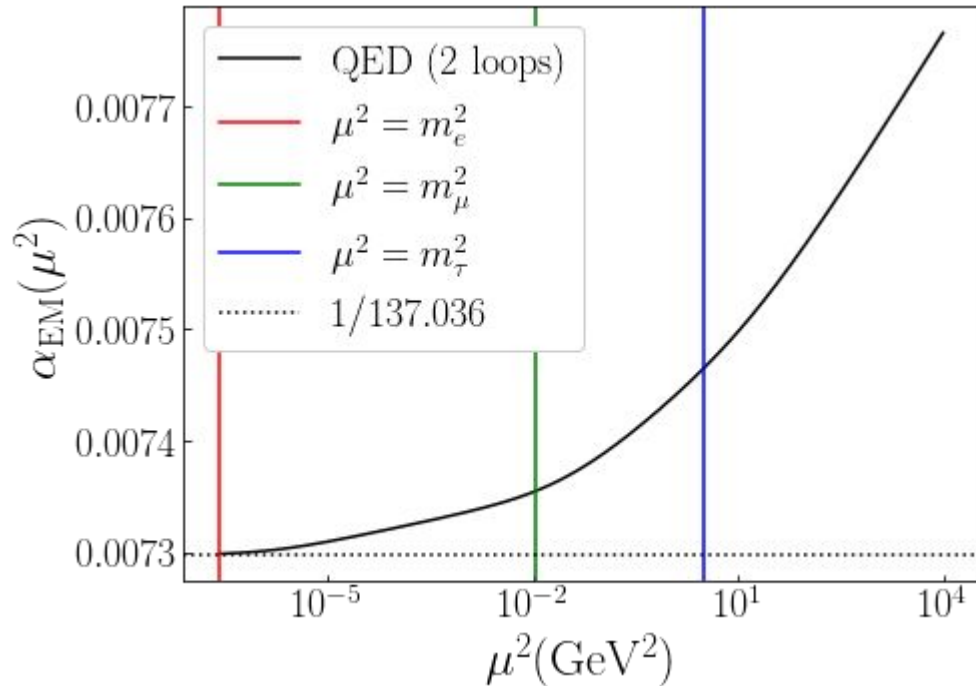
#--mpmath
from mpmath import fp

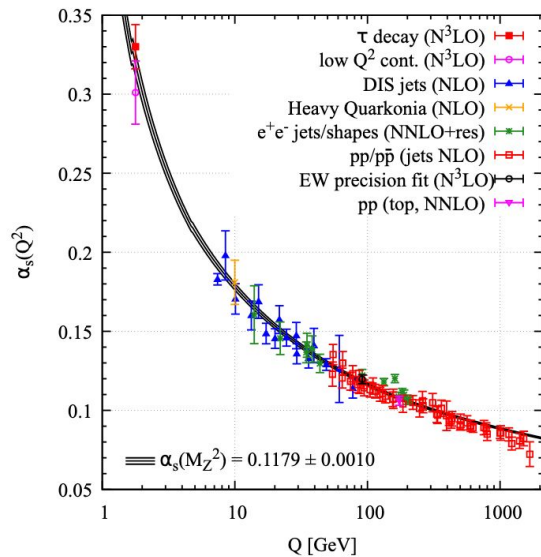
#--from scipy
from scipy.optimize import minimize,leastsq
from scipy.optimize import least_squares

#--jupyter widgets
from IPython.display import display,clear_output
import ipywidgets as widgets
```

Exercise 1 (time: 5 mins)

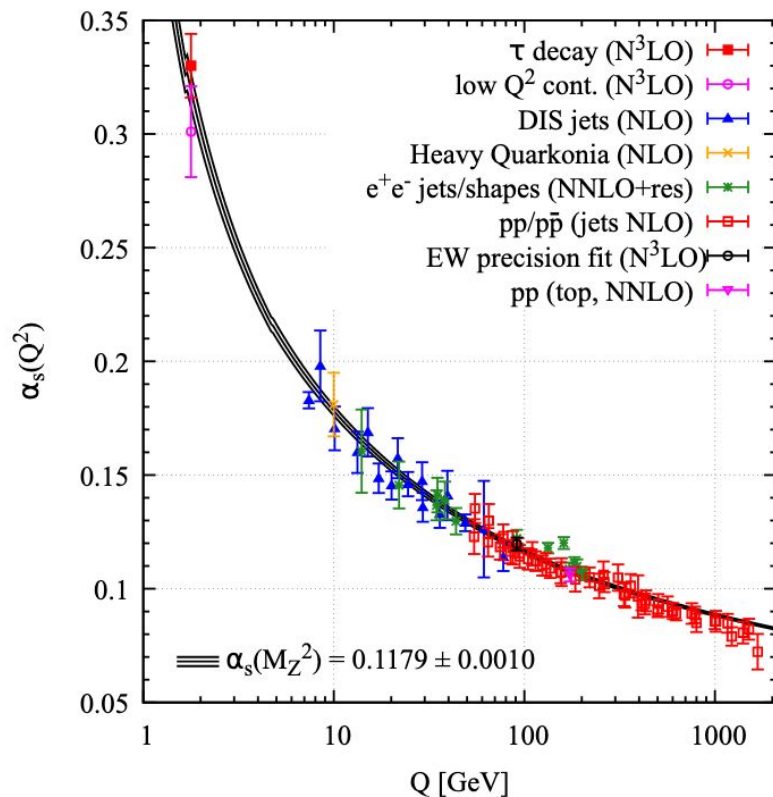
- plot α_{EM} as a function of $\mu^2 \in (m_e^2, 10^4)$
- include vertical lines indicating the mass thresholds m_e^2 , m_μ^2 , m_τ^2





Solving QCD's beta function

The running of the strong coupling



We need a boundary condition to solve the RGE

$$a_S(\mu^2) = \frac{\alpha_S(\mu^2)}{4\pi}$$

$$\frac{da_S}{d\ln\mu^2} = \beta(a_S) = -(\beta_0 a_S^2 + \beta_1 a_S^3 + \dots)$$

$$\beta_0 = 11 - \frac{2}{3}N_f \quad \beta_1 = 102 - \frac{38}{3}N_f$$

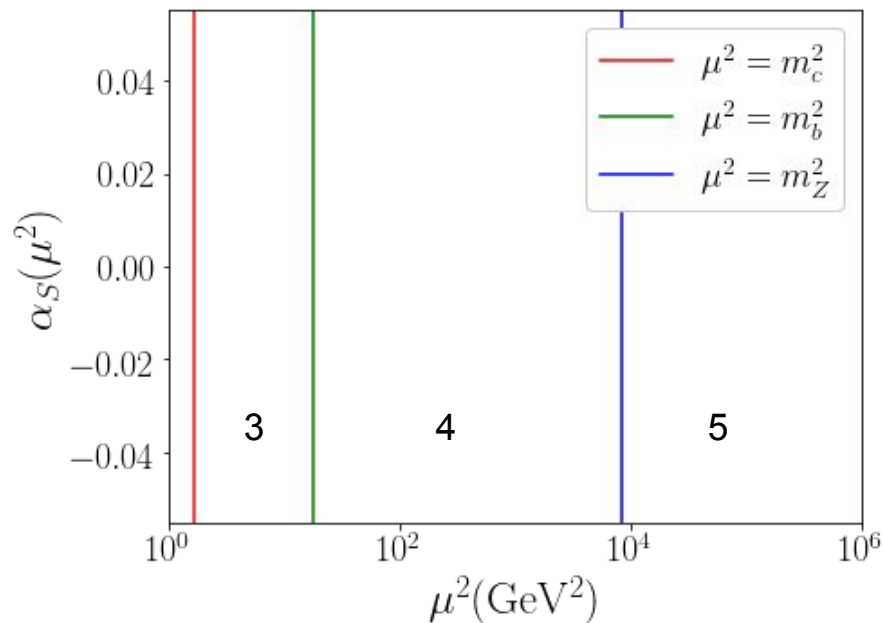
The beta function is discontinuous

Solving the QCD beta function

scale	N_f	active flavors
$\mu < m_c$	3	u, d, s
$m_c \leq \mu < m_b$	4	u, d, s, c
$m_b \leq \mu$	5	u, d, s, c, b

$$\frac{da_s}{d \ln \mu^2} = \beta(a_s) = -(\beta_0 a_s^2 + \beta_1 a_s^3 + \dots)$$

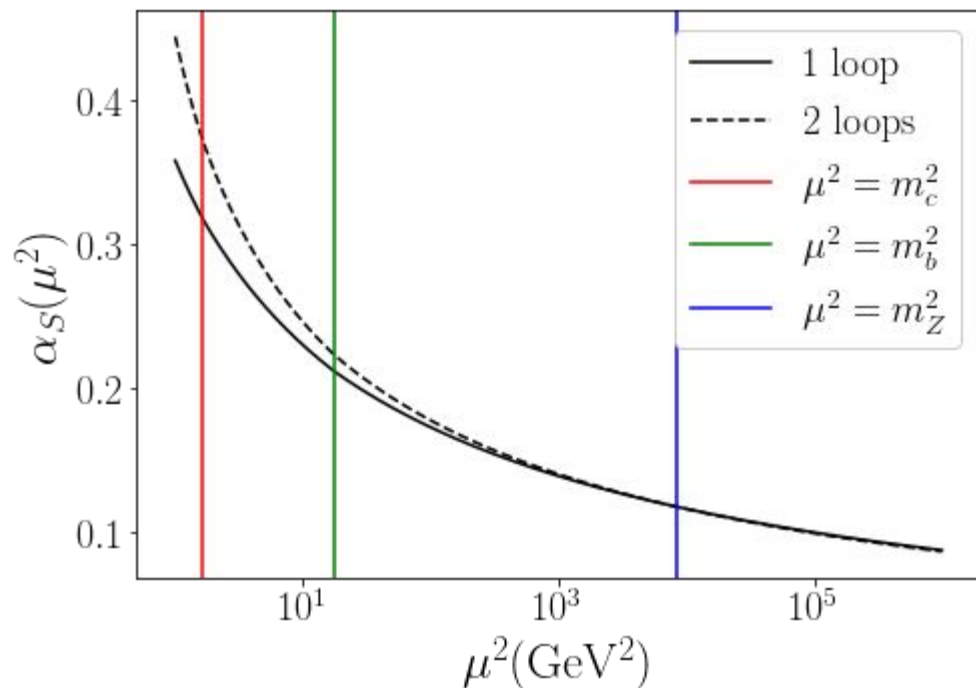
To solve the RGE at any scale we need boundary conditions for 3,4,5 flavors



Continue to
Jupyter notebook

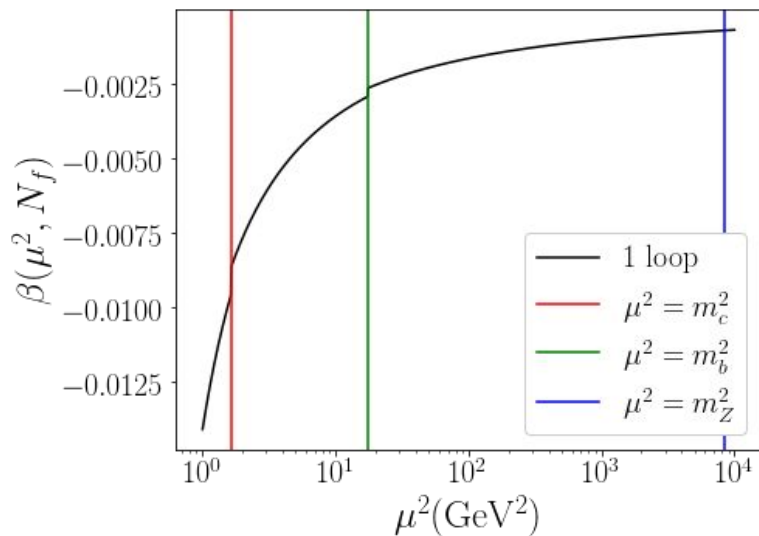
Exercise 2.A (time: 5 mins)

- plot α_S as a function of $\mu^2 \in (1, 10^4)$
- make the plot using 1-loop and 2-loops
- include vertical lines indicating the mass thresholds m_c^2 , m_b^2 and m_Z^2



Exercise 2.B (time: 5 mins)

- plot $\beta(\mu^2)$ as a function of $\mu^2 \in (1, 10^4)$
- using 2-loops (order=1)
- include vertical lines indicating the mass thresholds m_c^2 , m_b^2 and m_Z^2
- Hint:
 - for a given μ^2 , compute a via `get_a` and N_f via `get_Nf`
 - use the function `beta_func(a, Nf)` to get the numerical value of the beta function



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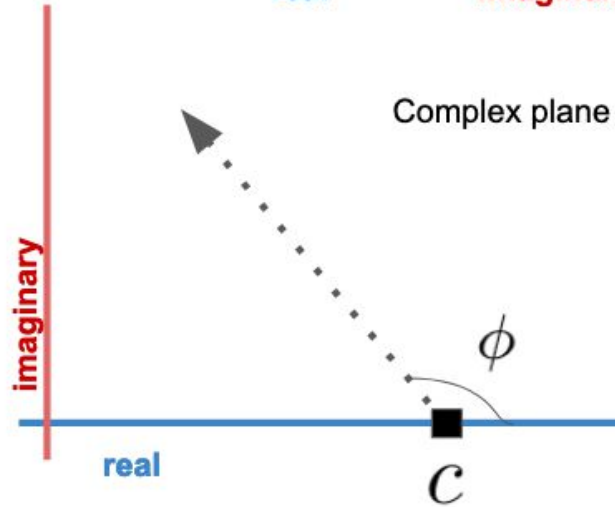
Lecture 4

- Bayesian inference
 - Maximum likelihood
 - MC methods
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- Machine learning

$$N(z) = [c + z \cos(\phi)] + i[z \sin(\phi)]$$

real

imaginary



Mellin transforms

Mellin transforms

Mellin transform of $f(x)$

$$F(N) = \int_0^1 dx x^{N-1} f(x)$$

Can be done numerically

$$f(x) = \frac{1}{2\pi i} \int_c dN x^{-N} F(N)$$

Inverse Mellin transform

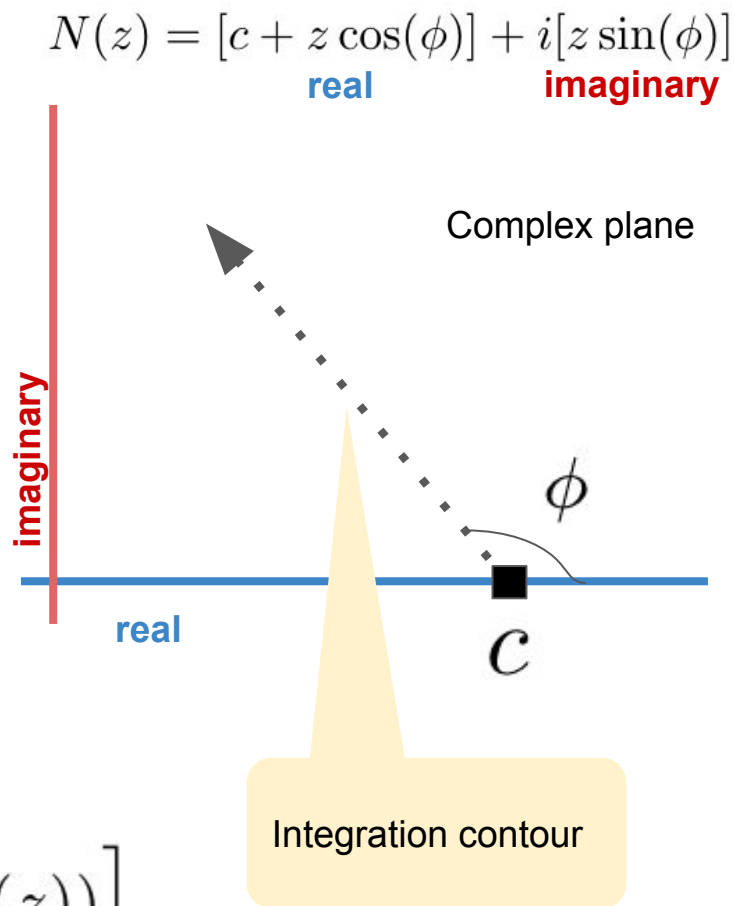
Complex contour integration

Numerical implementation

$$f(x) = \frac{1}{2\pi i} \int_c dN x^{-N} F(N)$$

$$N(z) = c + ze^{i\phi}$$

$$f(x) = \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} \left[e^{i\phi} x^{-N(z)} F(N(z)) \right]$$



Example

$$f(x) = x$$

$$F(N) = \int_0^1 dx x^{N-1} f(x)$$

$$F(N) = \frac{1}{N+1} x^{N+1} \Big|_0^1 = \frac{1}{N+1}$$

$$x = \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} \left[e^{i\phi} x^{-N(z)} \frac{1}{N(z) + 1} \right]$$

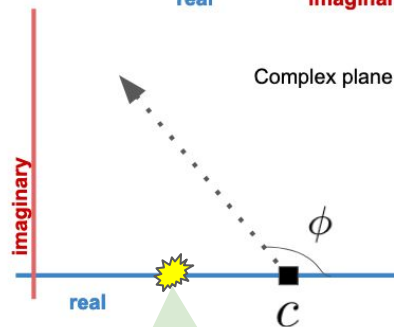
C is chosen so that all the poles are in the left of C

Phi is chosen to be greater than $\pi/2$

Pole at $N = -1$

$$N(z) = [c + z \cos(\phi)] + i[z \sin(\phi)]$$

real
imaginary



Right most Pole of $F(N)$

Gaussian Quadrature

$$\int_{-1}^1 dx \, g(x) \approx \sum_{i=1}^n w_i g(x_i)$$

Only for range -1 to 1

For arbitrary range

$$\int_a^b dz \, g(z) \approx \frac{b-a}{2} \sum_{i=1}^n w_i \, g\left(\frac{1}{2}(b-a)x_i + \frac{1}{2}(a+b)\right)$$

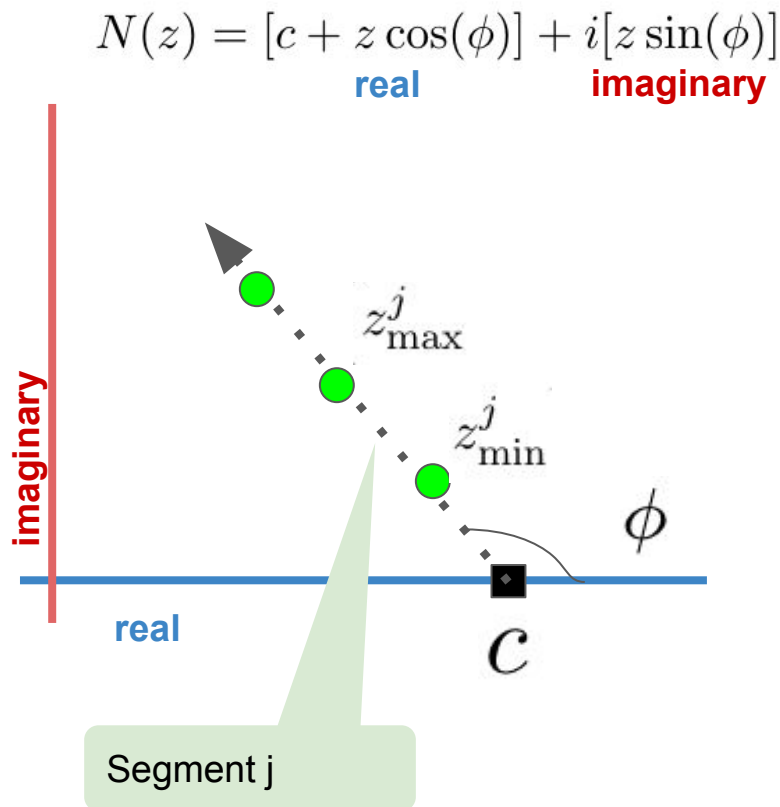
Inverse mellin transform with Gaussian Quadrature

$$f(x) = \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} \left[e^{i\phi} x^{-N(z)} F(N(z)) \right]$$



$$f(x) \approx \frac{1}{\pi} \sum_{j=1}^k \frac{1}{2} (z_{\max}^j - z_{\min}^j) \sum_i w_i \operatorname{Im} \left[e^{i\phi} x^{-N(z_i^j)} F(N(z_i^j)) \right]$$

We only need to know F at the segment gaussian points



Mellin convolutions

Definition of a convolution of two functions

$$\sigma(z) = \int_z^1 \frac{d\xi}{\xi} h(\xi) f\left(\frac{z}{\xi}\right) \quad \Rightarrow \quad \Sigma(N) = \int_0^1 dz \, z^{N-1} \sigma(z)$$

$$\Sigma(N) = H(N) F(N) \quad \bullet \quad \bullet \quad \bullet$$

$$H(N) = \int_0^1 dy \, y^{N-1} h(y)$$

$$F(N) = \int_0^1 dy \, y^{N-1} f(y)$$

Mellin transform
makes a convolution
an ordinary product

Why **Mellin** transforms?

PDFs obeys a system of integro differential equations (DGLAP)

The kernels are known analytically. They are called “splitting functions”

Continue to
Jupyter notebook

This is a “mellin convolution”

$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$

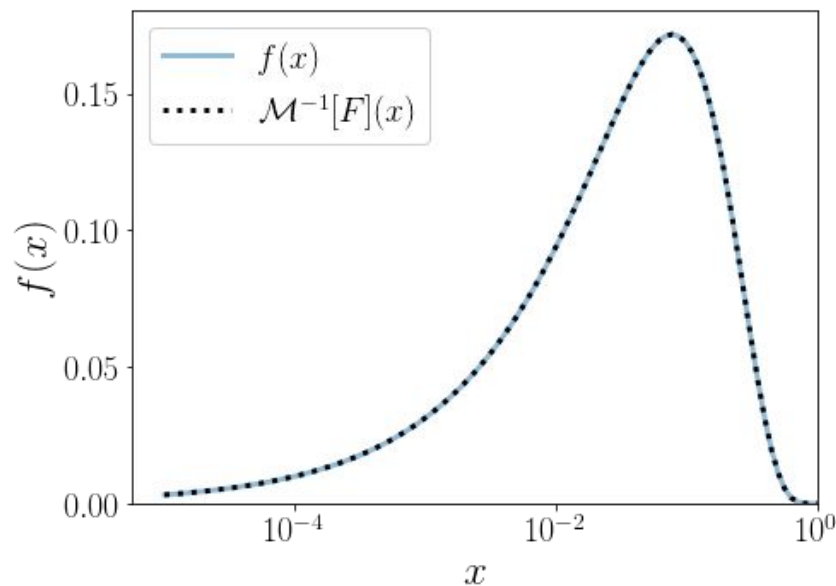


This is a matrix equation

$$\frac{\partial}{\partial \ln \mu^2} F_{j/H}(N, \mu) = \sum_{j'} P_{jj'}(N, \mu) F_{j'/H}(N, \mu)$$

Exercise 3.A (time: 5 mins)

- Lets try $f(x) = x^a(1-x)^b$ with $a = -0.5$ and $b = 3$.
- Plot $xf(x)$ vs. x and the inverse mellin transform for $0 < x < 1$ (use log scale for the x axis)
- **Hint:** the mellin transform of f is $F(N) = \Gamma(N+a)\Gamma(b+1)/\Gamma(N+a+b+1)$
- **Hint:** Gamma function is available via `gamma(...)`
- **Attention:** the pole of F is at $N = -a$. Choose $c > -a$



Exercise 3.B (time: 10 mins)

Consider the convolution

$$\sigma(z) = \int_z^1 \frac{dx}{x} f(x) g\left(\frac{z}{x}\right)$$

The mellin transform is

$$\Sigma(N) = F(N)G(N)$$

with

$$F(N) = \int_0^1 x^{N-1} f(x)$$

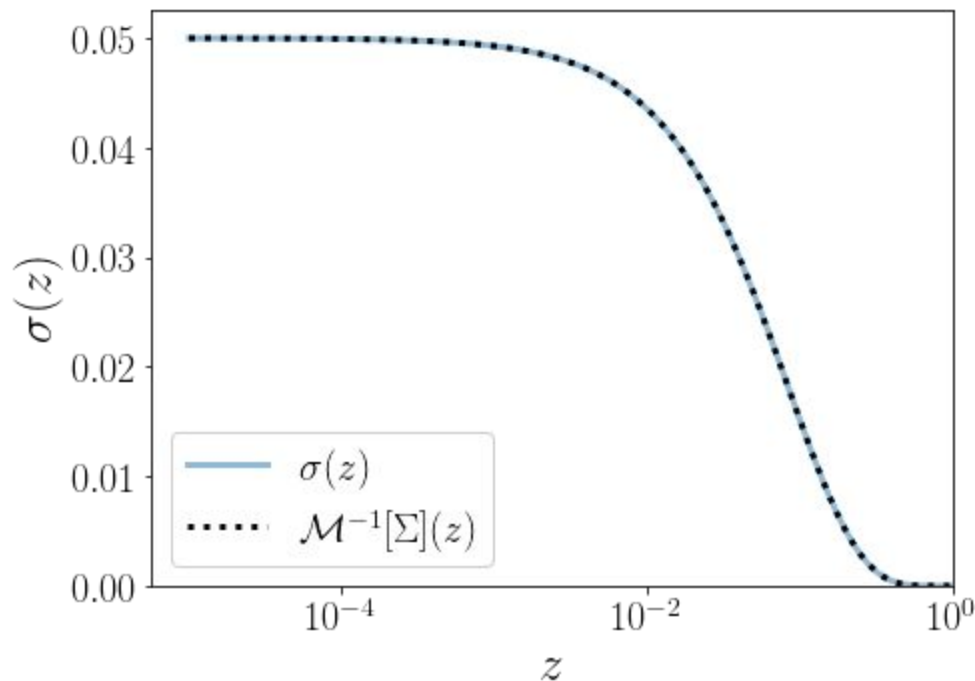
$$G(N) = \int_0^1 x^{N-1} g(x)$$

Using

$$f(x) = x^a(1-x)^b \text{ with } a = -0.5 \text{ and } b = 3.$$

$$g(x) = x^c(1-x)^d \text{ with } c = 1.0 \text{ and } d = 3$$

- Plot: $z\sigma(z)$ vs. z
- Plot: $z\mathcal{M}^{-1}(\Sigma)$ vs. z
- use $0 < z < 1$



$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$

Solving **DGLAP**

DGLAP in Mellin space

Splitting kernels

$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$

System of
integro-differential
equations



$$\frac{\partial}{\partial \ln \mu^2} F_{j/H}(N, \mu) = \sum_{j'} P_{jj'}(N, \mu) F_{j'/H}(N, \mu)$$

Ordinary system of
differential equations

Can be solved
Analytically!

Flavor composition

$$\frac{\partial}{\partial \ln \mu^2} F_{j/H}(N, \mu) = \sum_{j'} P_{jj'}(N, \mu) F_{j'/H}(N, \mu)$$

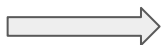
11 equations for 5
active quark
flavors + glue



$$F_{j/H}(N) = F_j$$



$$F_{q^\pm} = F_q \pm F_{\bar{q}}$$



$$F_{\pm 3} = F_{u^\pm} - F_{d^\pm},$$

$$F_{\pm 8} = F_{u^\pm} + F_{d^\pm} - 2F_{s^\pm},$$

$$F_{\pm 15} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} - 3F_{c^\pm},$$

$$F_{\pm 24} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} + F_{c^\pm} - 4F_{b^\pm},$$

$$F_{\pm} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} + F_{c^\pm} + F_{b^\pm}$$

$$F_{j/H}(N) \longrightarrow \boxed{F_{\pm j}} \quad \boxed{F_{\pm}} \quad \boxed{F_g} \dots$$

Just linear
transformations

$$11 = 8 + 2 + 1$$

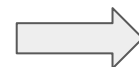
Flavor **singlet** and **non-singlet** evolution

$$\frac{\partial}{\partial \ln \mu^2} F_{j/H}(N, \mu) = \sum_{j'} P_{jj'}(N, \mu) F_{j'/H}(N, \mu)$$

Non singlet combinations decouples from glue

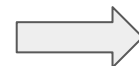
$$\frac{\partial F_{\pm j}}{\partial \ln \mu^2} = P_{\text{NS}}^{\pm} F_{\pm j}$$

$$\frac{\partial F_{-}}{\partial \ln \mu^2} = P_{\text{NS}}^{-} F_{-}$$



Non-singlet evolution

$$\frac{\partial}{\partial \ln \mu^2} \begin{pmatrix} F_{+} \\ F_g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \begin{pmatrix} F_{+} \\ F_g \end{pmatrix}$$



Singlet evolution

Solving the **non-singlet** evolution equations

$$\frac{\partial F_{\pm j}}{\partial \ln \mu^2} = P_{\text{NS}}^{\pm} F_{\pm j}$$

$$P_{ij}(a_S) = \sum_{m=0}^{\infty} a_S^{m+1}(\mu) P_{ij}^{(m)}$$

Splitting functions depend only on α_S



$$\frac{da_S}{d \ln \mu^2} = \beta(a_S)$$



$$\frac{\partial F_{\pm j}}{\partial a_S} = -\frac{1}{\beta_0 a_S} P_{\text{NS}}^{\pm(0)} F_{\pm j}$$



$$F_{\pm j}(a_S) = \left(\frac{a_S}{a_0} \right)^{-P_{\text{NS}}^{\pm(0)}/\beta_0} F_{\pm j}(a_0)$$

α_S at the final scale

α_S at the input scale

Solving the **singlet** evolution equations

Eigenvalue
decomposition

$$\frac{\partial}{\partial \ln \mu^2} \begin{pmatrix} F_+ \\ F_g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \begin{pmatrix} F_+ \\ F_g \end{pmatrix}$$



$$\frac{\partial}{\partial a_S} \begin{pmatrix} F_+ \\ F_g \end{pmatrix} = \frac{-1}{\beta_0 a_S} \begin{pmatrix} P_{qq}^{(0)} & P_{qg}^{(0)} \\ P_{gq}^{(0)} & P_{gg}^{(0)} \end{pmatrix} \begin{pmatrix} F_+ \\ F_g \end{pmatrix}$$

$$\mathbf{R}_0 = \frac{1}{\beta_0} \begin{pmatrix} P_{qq}^{(0)} & P_{qg}^{(0)} \\ P_{gq}^{(0)} & P_{gg}^{(0)} \end{pmatrix} = r_- \mathbf{e}_- + r_+ \mathbf{e}_+$$

$$\mathbf{e}_{\pm} = \frac{1}{r_{\pm} - r_{\mp}} [\mathbf{R}_0 - r_{\mp} \mathbf{I}]$$

$$r_{\pm} = \frac{1}{2\beta_0} \left[P_{qq}^{(0)} + P_{gg}^{(0)} \pm \sqrt{\left(P_{qq}^{(0)} - P_{gg}^{(0)} \right)^2 + 4P_{qg}^{(0)} P_{gq}^{(0)}} \right]$$

$$\begin{pmatrix} F_+(a_S) \\ F_g(a_S) \end{pmatrix} = \left[\mathbf{e}_- \left(\frac{a_S}{a_0} \right)^{-r_-} + \mathbf{e}_+ \left(\frac{a_S}{a_0} \right)^{-r_+} \right] \begin{pmatrix} F_+(a_0) \\ F_g(a_0) \end{pmatrix}$$

Flavor decomposition

$$\boxed{F_{\pm j}} \quad \boxed{F_{\pm}} \quad \boxed{F_g}$$

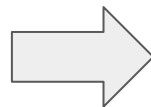
$$F_{b^{\pm}} = (F_{-} - F_{\pm 24})/5$$

$$F_{c^{\pm}} = F_{b^{\pm}} + (F_{\pm 24} - F_{\pm 15})/4$$

$$F_{s^{\pm}} = F_{c^{\pm}} + (F_{\pm 15} - F_{\pm 8})/3$$

$$F_{d^{\pm}} = F_{s^{\pm}} + (F_{\pm 8} - F_{\pm 3})/2$$

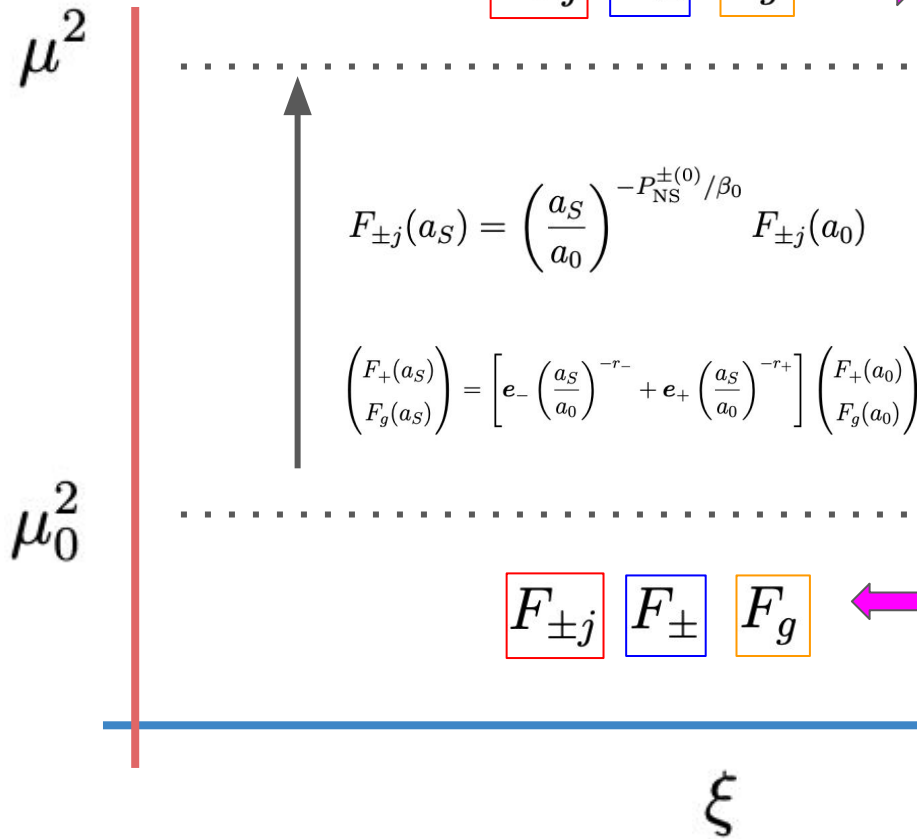
$$F_{u^{\pm}} = F_{s^{\pm}} + (F_{\pm 8} + F_{\pm 3})/2$$



$$F_q = \frac{1}{2}(F_{q^+} + F_{q^-})$$

$$F_{\bar{q}} = \frac{1}{2}(F_{q^+} - F_{q^-})$$

Evolution flow



$$F_{\pm j}$$

$$F_{\pm}$$

$$F_g$$



$$F_{b^\pm} = (F_- - F_{\pm 24})/5$$

$$F_{c^\pm} = F_{b^\pm} + (F_{\pm 24} - F_{\pm 15})/4$$

$$F_{s^\pm} = F_{c^\pm} + (F_{\pm 15} - F_{\pm 8})/3$$

$$F_{d^\pm} = F_{s^\pm} + (F_{\pm 8} - F_{\pm 3})/2$$

$$F_{u^\pm} = F_{s^\pm} + (F_{\pm 8} + F_{\pm 3})/2$$

Evolved PDFs



$$F_{j/H}(N)$$

Input scale PDFs



$$F_{j/H}(N)$$

$$F_{\pm 3} = F_{u^\pm} - F_{d^\pm},$$

$$F_{\pm 8} = F_{u^\pm} + F_{d^\pm} - 2F_{s^\pm},$$

$$F_{\pm 15} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} - 3F_{c^\pm},$$

$$F_{\pm 24} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} + F_{c^\pm} - 4F_{b^\pm},$$

$$F_{\pm} = F_{u^\pm} + F_{d^\pm} + F_{s^\pm} + F_{c^\pm} + F_{b^\pm}$$

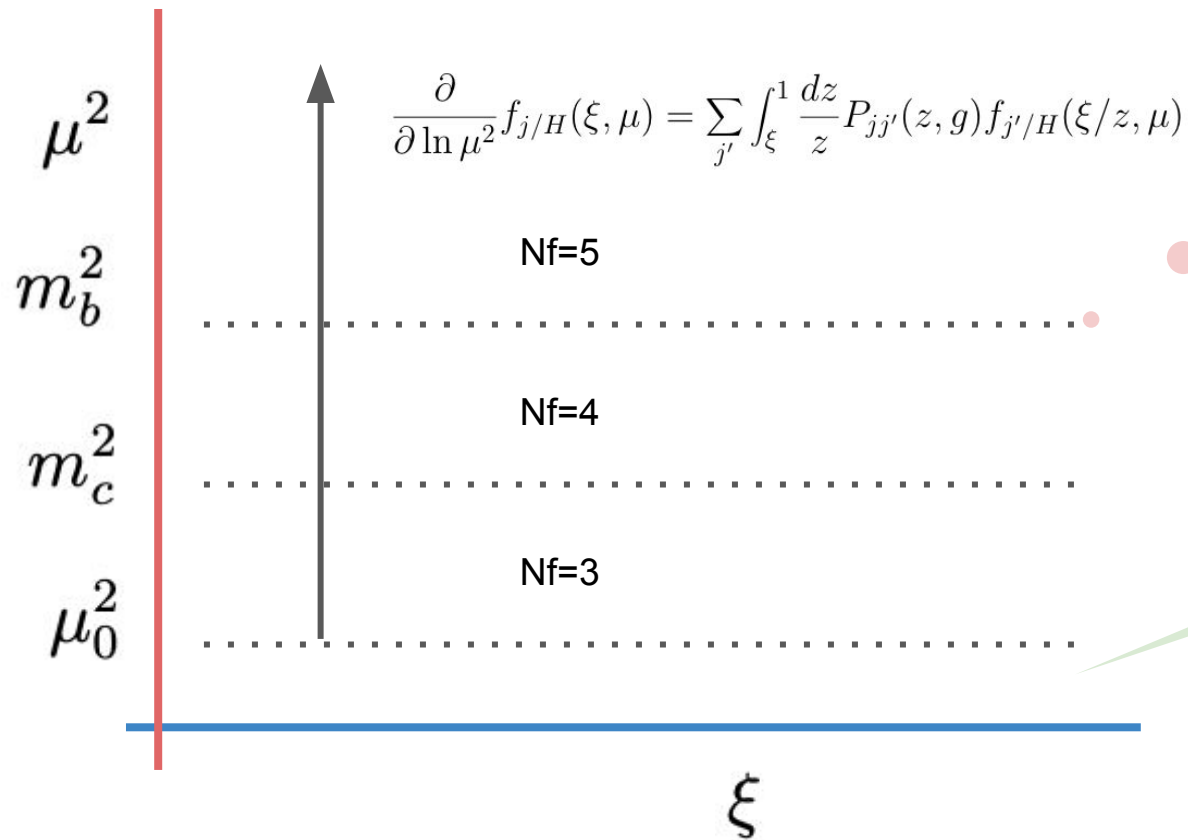
$$F_{\pm j}$$

$$F_{\pm}$$

$$F_g$$



Boundary conditions



We need boundary conditions at each mass threshold

Scales where DGLAP is not applicable

Continue to Jupyter notebook

Exercise 4.A (time: 5 mins)

- Set $N=1$ in the mellin class via `conf['mellin'].N=np.array([1])`
- check the valence number sum rule at LO
- **Hint:** $\frac{\partial q_v}{\partial \ln \mu^2}(N) = P_{NS}^-(N)q_v(N) = 0$ for $N = 1$

```
Nf=4
Q2ini=conf['aux'].mc2
Q2fin=conf['aux'].mb2
output = dglap.evolve(BC,Q2ini,Q2fin,Nf)
print('um=',output['um'])
print('dm=',output['dm'])
```

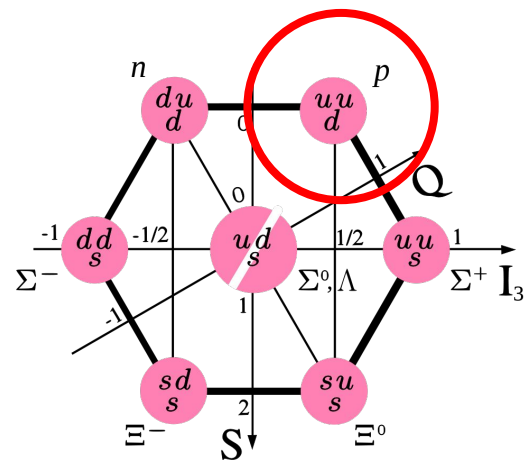
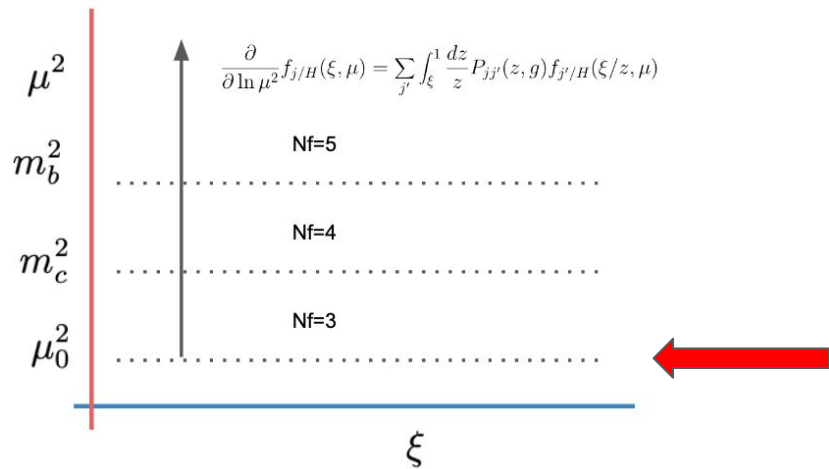
```
um= [2.+0.j]
dm= [1.+0.j]
```

Exercise 4.B (time: 5 mins)

- Set $N=2$ in the mellin class via `conf['mellin'].N=np.array([2])`
- check the momentum sum rule at LO
- **Hint:** $\frac{\partial(\Sigma+G)}{\partial \ln \mu^2}(N) = (P_{qq} + P_{gq})\Sigma + (P_{qg} + P_{gg})G = 0$ for $N = 2$

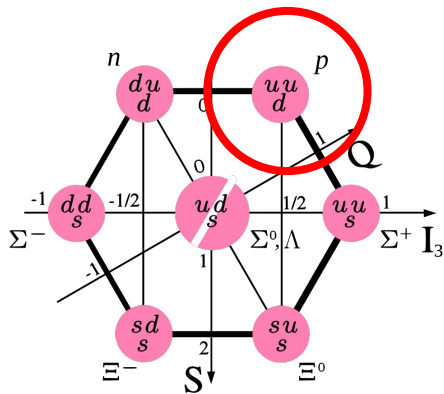
```
Nf=4
Q2ini=conf['aux'].mc2
Q2fin=conf['aux'].mb2
output = dglap.evolve(BC,Q2ini,Q2fin,Nf)
print('sigma+g (mu) =',output['g']+output['sigma'])

sigma+g (mu) = [0.99999997+0.j]
```

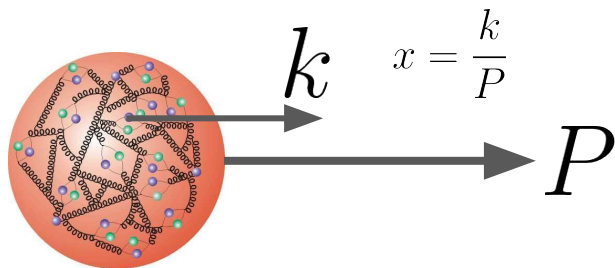
Modeling **input** scale PDFs

Sum rules for **proton** PDFs



$$\begin{aligned}\int_0^1 dx [f_{u/p}(x) - f_{\bar{u}/p}(x)] &= 2 \\ \int_0^1 dx [f_{d/p}(x) - f_{\bar{d}/p}(x)] &= 1 \\ \int_0^1 dx [f_{s/p}(x) - f_{\bar{s}/p}(x)] &= 0\end{aligned}$$

Valence
number sum
rules

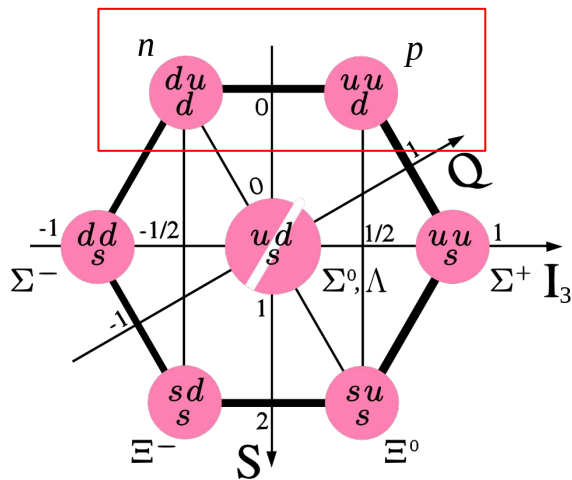


$$\int_0^1 dx \, x \, [f_g + f_{u+} + f_{d+} + f_{s+} + f_{c+} + f_{b+}] = 1$$

Momentum sum rule

neutron PDFs ?

Isospin symmetry



$$f_{u/n} = f_{d/p}$$

$$f_{d/n} = f_{u/p}$$

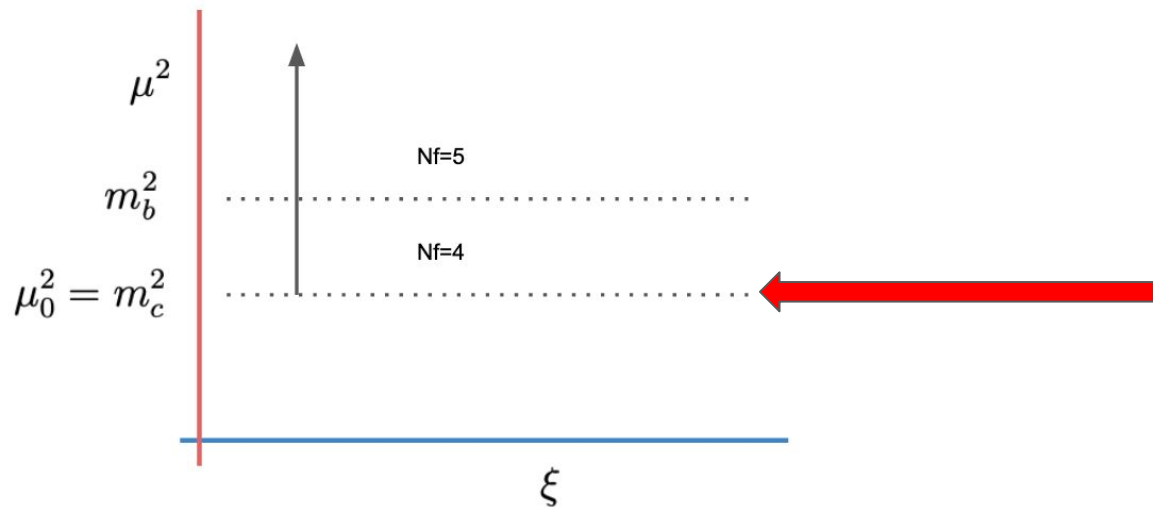
$$f_{\bar{u}/n} = f_{\bar{d}/p}$$

$$f_{\bar{d}/n} = f_{\bar{u}/p}$$

$$m_p \simeq m_n$$

PDF parametrization (workbook setup)

Continue to
Jupyter notebook



Generic template
function

$$T(\xi; \mathbf{a}) = \mathcal{M} \frac{\xi^\alpha (1-\xi)^\beta (1 + \gamma\sqrt{\xi} + \delta\xi)}{\int_0^1 d\xi \xi^{\alpha+1} (1-\xi)^\beta (1 + \gamma\sqrt{\xi} + \delta\xi)}$$



$$u(\xi, \mu_0^2) = u_v(\xi, \mu_0^2) + 2\bar{u}(\xi, \mu_0^2)$$

$$d(\xi, \mu_0^2) = d_v(\xi, \mu_0^2) + 2\bar{d}(\xi, \mu_0^2)$$

$$\bar{u}(\xi, \mu_0^2) = S_1(\xi, \mu_0^2) + \bar{u}_0(\xi, \mu_0^2)$$

$$\bar{d}(\xi, \mu_0^2) = S_1(\xi, \mu_0^2) + \bar{d}_0(\xi, \mu_0^2)$$

$$s(\xi, \mu_0^2) = S_2(\xi, \mu_0^2) + s_0(\xi, \mu_0^2)$$

$$\bar{s}(\xi, \mu_0^2) = S_2(\xi, \mu_0^2) + \bar{s}_0(\xi, \mu_0^2)$$



$$u_v, d_v, \bar{u}_0, \bar{d}_0, s_0, \bar{s}_0, S_1, S_2$$

Exercise 5.A (time: 5 mins)

- Physical proton pdfs will need $\int_0^1 dx u_v(x) = 2$ and $\int_0^1 dx d_v(x) = 1$
- Modify the `BC` we use above and show that the valence number sum rules don't evolve
- hint:**
 - Use the function `dglap.evolve(BC,Q2ini,Q2fin,Nf)` to evolve
 - Set `N=1` in the mellin class via `conf['mellin'].N=np.array([1])`
 - Set `um = np.array([2])`
 - Set `dm = np.array([1])`
 - Print the output values `um` and `dm`

```
Nf=4
Q2ini=conf['aux'].mc2
Q2fin=conf['aux'].mb2
output = dglap.evolve(BC,Q2ini,Q2fin,Nf)
print('um=',output['um'])
print('dm=',output['dm'])

um= [2.+0.j]
dm= [1.+0.j]
```

Exercise 5.B (time: 5 mins)

- A physical proton pdfs will need $\int_0^1 dx x [\Sigma(x) + g(x)] = 1$
- Modify the `BC` we use above and show that the momentum sum rules don't evolve
- **hint:**
 - Set `N=2` in the mellin class via `conf['mellin'].N=np.array([2])`
 - Set `g= 1-up-dp-sp`
 - Print the values of `output['g']+output['sigma']`

```
Nf=4
Q2ini=conf['aux'].mc2
Q2fin=conf['aux'].mb2
output = dglap.evolve(BC,Q2ini,Q2fin,Nf)
print('sigma+g (mu) =',output['g']+output['sigma'])

sigma+g (mu) = [0.99999997+0.j]
```

Exercise 6 (time: 5 mins)

- Check the valence number and momentum sum rules at $\mu^2 = m_c^2, 10, 100, 1000$

```
mu^2=1.638400
uv-> (2.000000047183332, 2.4232824635816996e-08)
dv-> (0.9999999958918243, 3.46867490286229e-09)
sv-> (4.3347507561294274e-08, 2.1829166918001526e-08)
msr-> (0.9999999969010325, 6.567994703665647e-09)

mu^2=10.000000
/work/JAM/apps/anaconda3/envs/snakes3/lib/python3.6/site-packages/
integrationWarning: The integral is probably divergent, or slowly
converging.
uv-> (2.000000047183332, 2.4232824635816996e-08)
dv-> (0.9999999958918243, 3.46867490286229e-09)
sv-> (4.3347507561294274e-08, 2.1829166918001526e-08)
msr-> (0.9999999969010325, 6.567994703665647e-09)

mu^2=100.000000
uv-> (2.000000047183332, 2.4232824635816996e-08)
dv-> (0.9999999958918243, 3.46867490286229e-09)
sv-> (4.3347507561294274e-08, 2.1829166918001526e-08)
msr-> (0.9999999969010325, 6.567994703665647e-09)

mu^2=1000.000000
uv-> (2.000000047183332, 2.4232824635816996e-08)
dv-> (0.9999999958918243, 3.46867490286229e-09)
sv-> (4.3347507561294274e-08, 2.1829166918001526e-08)
msr-> (0.9999999969010325, 6.567994703665647e-09)
```

Outline

Lecture 1

- Motivations
- QCD carpentry setup
- Solving QCD's beta function

Lecture 2

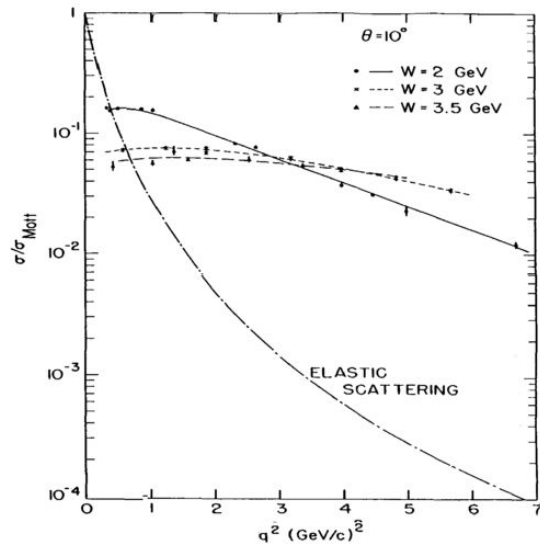
- Mellin transforms
- Solving DGLAP
- Modeling input scale PDFs

Lecture 3

- DIS theory
- World DIS data
- The χ^2 function
- Global analysis

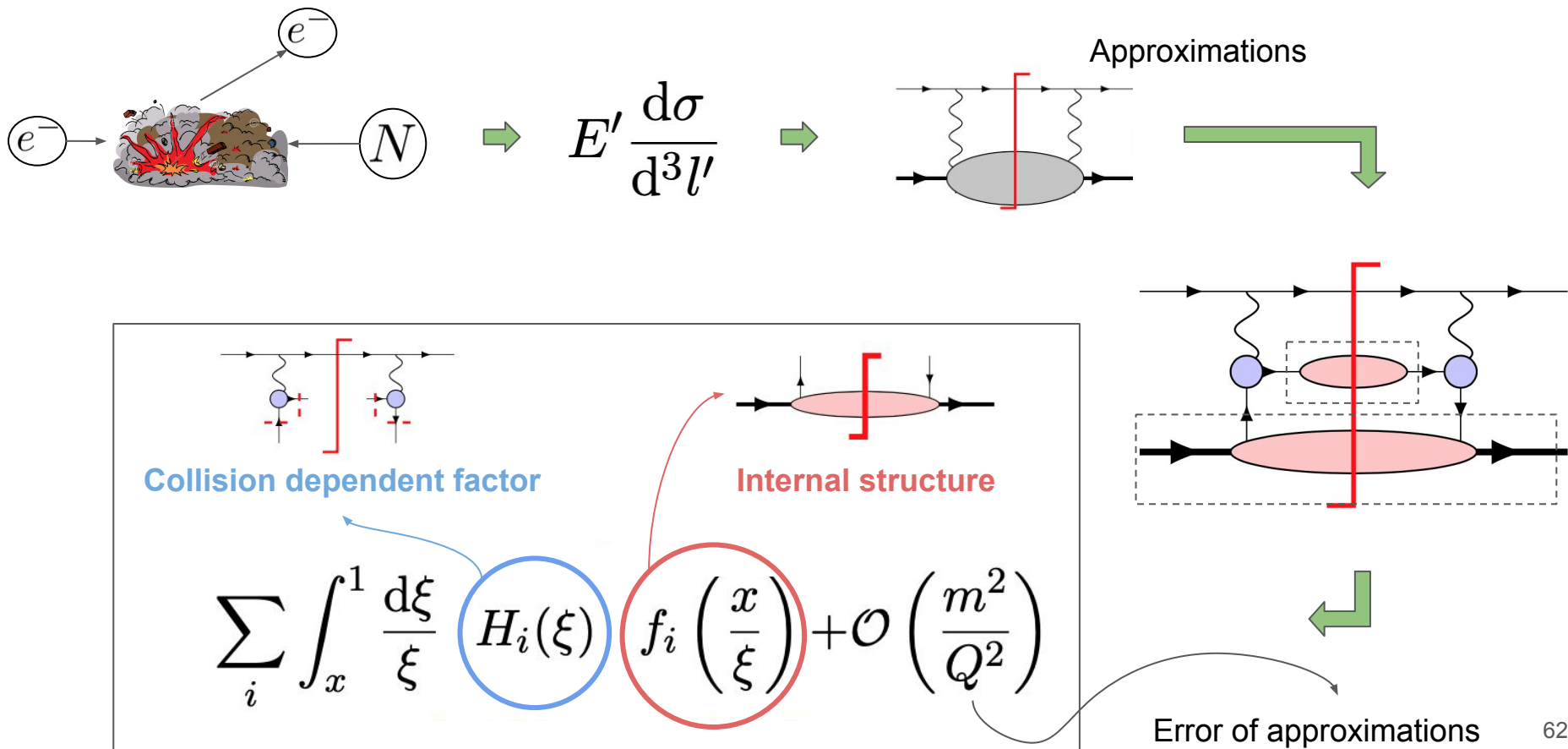
Lecture 4

- Bayesian inference
 - Maximum likelihood
 - MC methods
- JAM history
- Machine learning

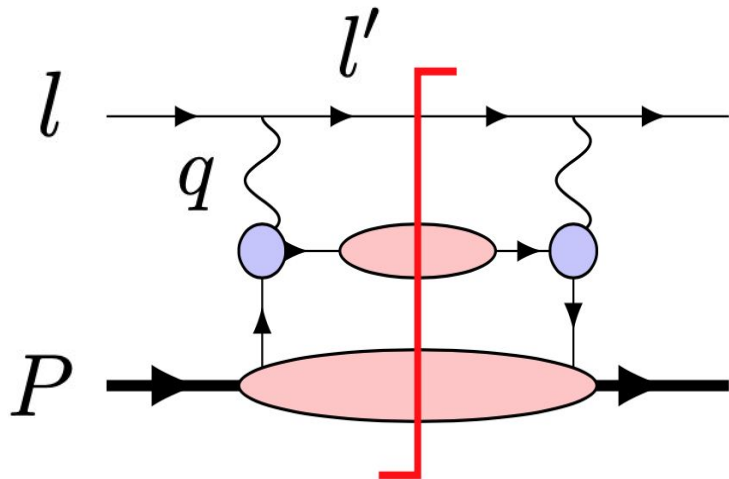


DIS theory

Factorization in deep-inelastic scattering (DIS)



DIS kinematics



$$q = (l - l') \quad y = \frac{P \cdot q}{P \cdot l}$$

$$Q^2 = -q^2 \quad x_{\text{bj}} = \frac{Q^2}{2P \cdot q}$$

Think them as change
of variables

$$l'(E', \theta', \phi') = l'(x_{\text{bj}}, Q^2, \phi')$$

DIS factorization

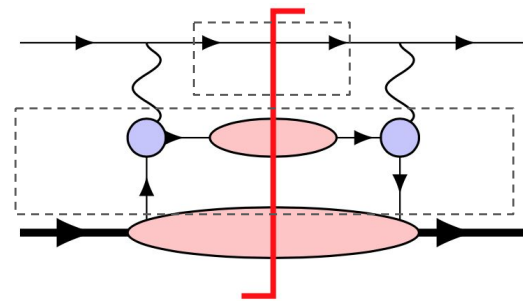
$$\frac{d^2\sigma^i}{dx dy} = \frac{2\pi\alpha^2}{xyQ^2} \left((Y_+ + 2x^2y^2\frac{M^2}{Q^2}) \boxed{F_2^i} - y^2 \boxed{F_L^i} \mp Y_- x \boxed{F_3^i} \right)$$



$$F_i^p(x_{bj}, Q^2) = \sum_q e_q^2 \int_{x_{bj}}^1 \frac{d\xi}{\xi} \left[f_{q/p}(\xi, \mu^2) C_{q,i} \left(\frac{x_{bj}}{\xi}, \frac{Q^2}{\mu^2}, \alpha_S(\mu^2) \right) + f_{g/p}(\xi, \mu^2) C_{g,i} \left(\frac{x_{bj}}{\xi}, \frac{Q^2}{\mu^2}, \alpha_S(\mu^2) \right) \right]$$

Quark contributions

Gluon contributions



DIS in Mellin space

$$F_i^p(x_{bj}, Q^2) = \sum_q e_q^2 \int_{x_{bj}}^1 \frac{d\xi}{\xi} f_{q/p}(\xi, \mu^2) C_{q,i} \left(\frac{x_{bj}}{\xi}, \frac{Q^2}{\mu^2}, \alpha_S(\mu^2) \right) + (q \rightarrow g)$$



$$F_i^p(N, Q^2) = \sum_q e_q^2 f_{q/p}(N, \mu^2) C_{q,i} \left(N, \frac{Q^2}{\mu^2}, \alpha_S(\mu^2) \right) + (q \rightarrow g)$$



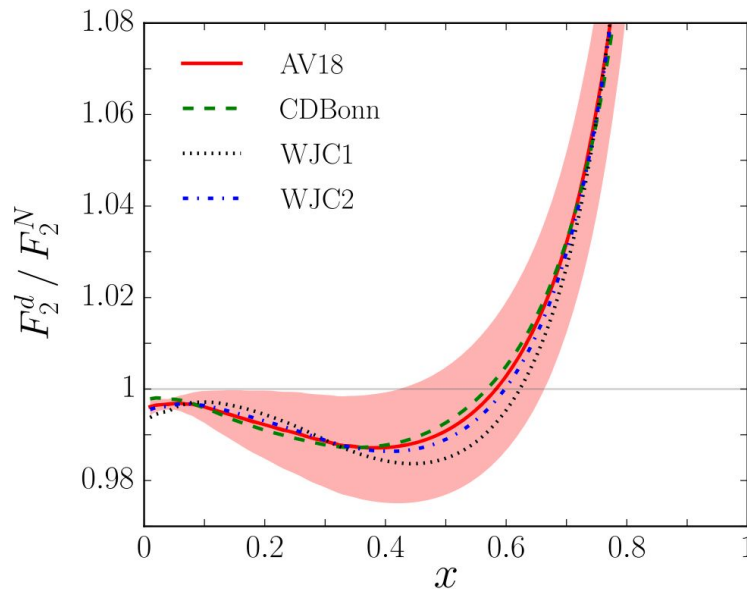
$$C_j(N) = C_j^{[0]}(N) + \frac{\alpha_S}{4\pi} C_j^{[1]}(N) + O(\alpha_S^2)$$

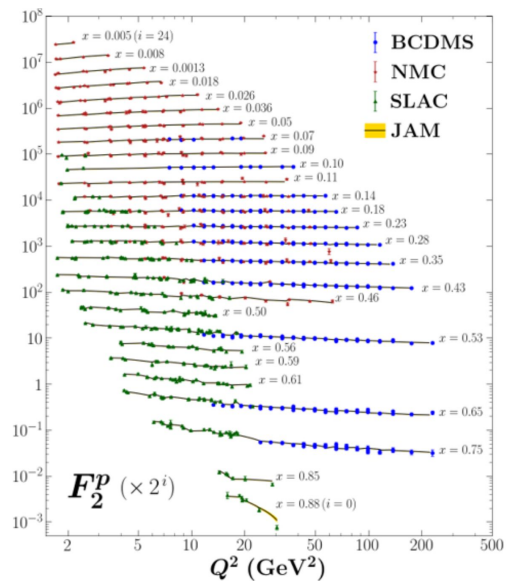
DIS with Deuteron target

$$F_i^d \approx \frac{1}{2} (F_i^p + F_i^n)$$

- This approximation ignores the “EMC” effect.
- If we ignore the large x_{bj} data, the approximation is ok

Accardi, Brady, Melnitchouk,
Owens, NS

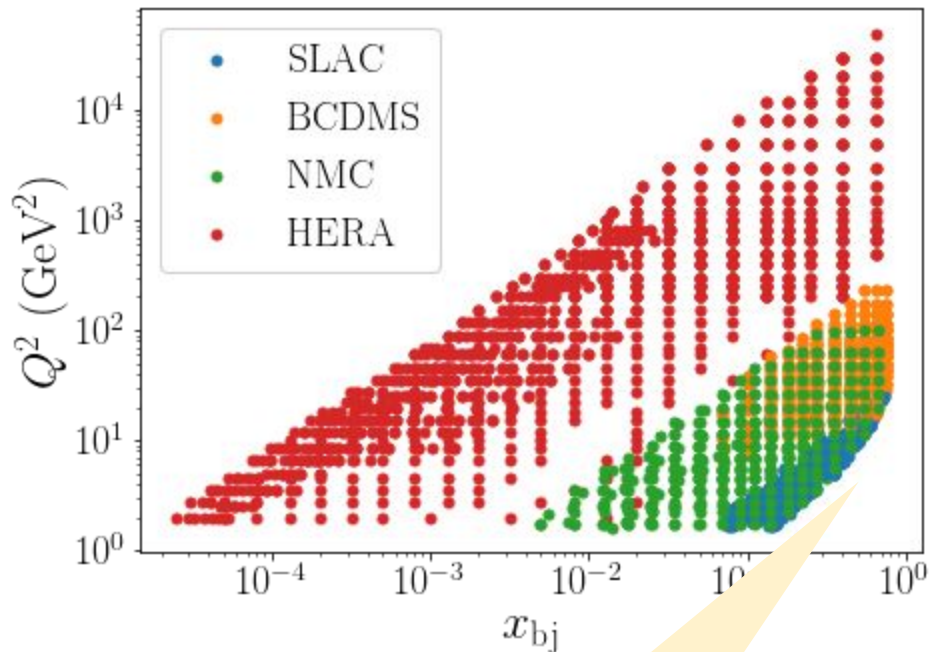




World **DIS** data

World DIS data

	idx	col	target	current	obs
0	10010	SLAC	p	NC	F2
1	10016	BCDMS	p	NC	F2
2	10020	NMC	p	NC	F2
3	10026	HERA II NC e+ (1)	p	NC	sig_r
4	10027	HERA II NC e+ (2)	p	NC	sig_r
5	10028	HERA II NC e+ (3)	p	NC	sig_r
6	10029	HERA II NC e+ (4)	p	NC	sig_r
7	10030	HERA II NC e-	p	NC	sig_r
8	10031	HERA II CC e+	p	CC	sig_r
9	10032	HERA II CC e-	p	CC	sig_r
10	10011	SLAC	d	NC	F2
11	10017	BCDMS	d	NC	F2
12	10021	NMC	d/p	NC	F2d/F2p



Jefferson Lab DIS data

DIS database

Continue to
Jupyter notebook

QCDHUB / [qcdcarpentry](#)

<> Code ⓘ Issues 🔗 Pull requests ⌚ Actions 📁 Projects

main ▾ [qcdcarpentry](#) / [database](#) / [idis](#) / [expdata](#) /

 nobuosato update

..

 10001.xlsx	update
 10002.xlsx	update
 10003.xlsx	update
 10004.xlsx	update
 10005.xlsx	update

custominze loader for the experimental DIS data tables

```
}]: class READER:

    def __init__(self):
        self.aux=conf['aux']

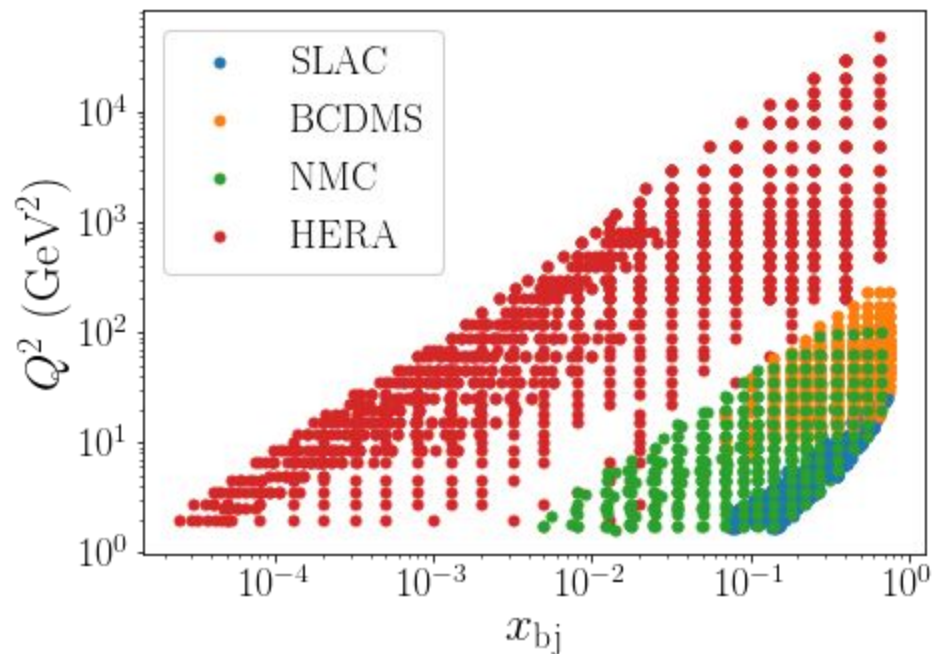
    def isnumeric(self,value):
        try:
            int(value)
            return True
        except:
            return False

    def get_X(self,tab):
        cols=tab.columns.values
        if any([c=='X' for c in cols])==False:
            if any([c=='W2' for c in cols]):
                tab['X']=pd.Series(tab['Q2']/(tab['W2']-self.aux.M2+1))
            elif any([c=='W' for c in cols]):
                tab['X']=pd.Series(tab['Q2']/(tab['W']*2-self.aux.M2+1))
            else:
                print('cannot retrieve X values')
```

This class will load the excel files,
add missing kinematic variables
and transform the data into numpy
arrays

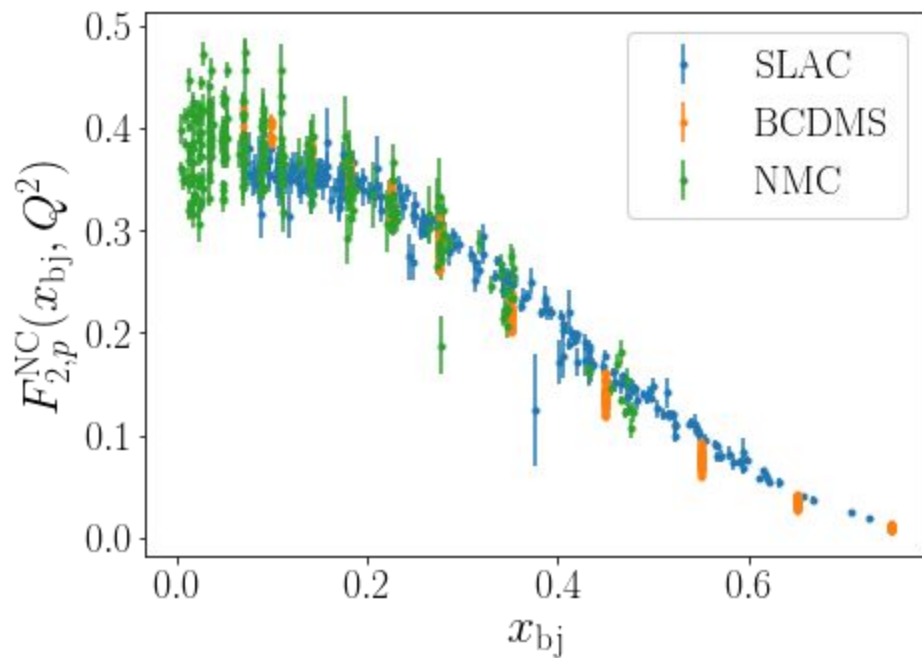
Exercise 7 (time: 5 mins)

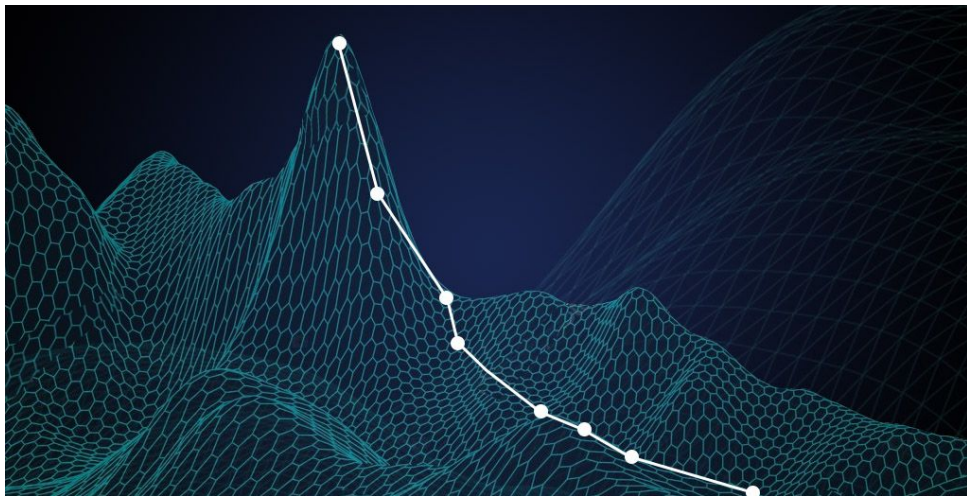
- plot the kinematics bins x_{bj} , Q^2 of the world DIS data sets
- **Hint:** use log scale for both axis



Exercise 8 (time: 5 mins)

- plot the values of $F_{2,p}^{\text{NC}}$ as a function of x_{bj} from all the data sets
- **Hint:** use the columns `X`, `value`, `alpha` from `loss.tabs`





The **Loss** function

Anatomy of **Chi2** function

Experimental data point

Point-by-point correlated systematic uncertainties

Theory with pdf parameters **a**

$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{\underline{d_{i,e}} - \sum_k r_e^k \underline{\beta_{i,e}^k} - \underline{T_{i,e}(\mathbf{a})}/N_e}{\underline{\alpha_{i,e}}} \right)^2 + \sum_k (r_e^k)^2 + \left(\frac{1 - N_e}{\underline{\delta N_e}} \right)^2$$

Uncorrelated uncertainties added in quadrature

Overall normalization uncertainty

Anatomy of **Chi2** function

Nuisance fitting
parameter

Penalties for the
Nuisance
parameters

$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{d_{i,e} - \sum_k \underline{r}_e^k \beta_{i,e}^k - T_{i,e}(\mathbf{a})/\underline{N}_e}{\alpha_{i,e}} \right)^2 + \sum_k (\underline{r}_e^k)^2 + \left(\frac{1 - \underline{N}_e}{\delta N_e} \right)^2$$

$$\frac{\partial \chi^2}{\partial r_e^k} = 0$$



$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{d_{i,e} - T_{i,e}(\mathbf{a})/N_e}{\alpha_{i,e}} \right)^2 - \sum_{k,k'} B_{k,e} A_{kk',e}^{-1} B_{k',e}$$

$$B_e^k = \sum_i \frac{\beta_{i,e}^k (d_{i,e} - T_{i,e}/N_e)}{\alpha_i^2}$$

$$A_{kk',e} = \delta_{kk'} + \sum_i \frac{\beta_{i,e}^k \beta_{i,e}^{k'}}{\alpha_i^2}$$

Anatomy of **Chi2** function

$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{d_{i,e} - \sum_k r_e^k \beta_{i,e}^k - T_{i,e}(\mathbf{a})/N_e}{\alpha_{i,e}} \right)^2 + \sum_k (r_e^k)^2 + \left(\frac{1 - N_e}{\delta N_e} \right)^2$$

$$T_{i,e}^{\text{eff.}}(\mathbf{a}) = \sum_k r_e^k \beta_{i,e}^k + T_{i,e}(\mathbf{a})/N_e$$

We allow additive and multiplicative distortions to the theory to match the data

Exercise 9 (time: 5 mins)

- Include the HERA datasets via `get_datasets(Q2cut=1.27**2, W2cut=10, ihera=True)`
- Print the length of `res`, `rres`, `nres` and interpret these numbers
- Print the summary via `residuals.gen_report`

reaction: unpol DIS

filters: Q2>1.612900

filters: W2>10.000000

reaction: unpol DIS

idx	col	obs	tar	npts	chi2	chi2/npts	rchi2	nchi2
10010	SLAC	F2	p	222.00	3446.99	15.53	0.00	2.92
10016	BCDMS	F2	p	348.00	1248.40	3.59	392.95	0.14
10020	NMC	F2	p	274.00	8859.09	32.33	1240.31	1.69
10026	HERA	sig_r	p	402.00	15581.21	38.76	6738.11	0.00
10027	HERA	sig_r	p	75.00	3681.48	49.09	970.09	0.00
10028	HERA	sig_r	p	259.00	1418.46	5.48	411.72	0.00
10029	HERA	sig_r	p	209.00	1690.17	8.09	422.45	0.00
10030	HERA	sig_r	p	159.00	542.90	3.41	266.06	0.00
10031	HERA	sig_r	p	39.00	183.09	4.69	60.78	0.00
10032	HERA	sig_r	p	42.00	42.09	1.00	6.19	0.00
10011	SLAC	F2	d	231.00	6505.54	28.16	0.00	3.37
10017	BCDMS	F2	d	254.00	2112.29	8.32	330.38	0.28
10021	NMC	F2d/F2p	d/p	174.00	1657.92	9.53	924.72	0.00

Loss function



Observables



Reset boundary
conditions



parametrization

$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{d_{i,e} - \sum_k r_e^k \beta_{i,e}^k - T_{i,e}(\mathbf{a})/N_e}{\alpha_{i,e}} \right)^2 + \sum_k (r_e^k)^2 + \left(\frac{1 - N_e}{\delta N_e} \right)^2$$



$$F_i^p(x_{\text{bj}}, Q^2) = \sum_q e_q^2 \int_{x_{\text{bj}}}^1 \frac{d\xi}{\xi} f_{q/p}(\xi, \mu^2) C_{q,i} \left(\frac{x_{\text{bj}}}{\xi}, \frac{Q^2}{\mu^2}, \alpha_S(\mu^2) \right) + (q \rightarrow g)$$



$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$



$$T(\xi; \mathbf{a}) = \mathcal{M} \frac{\xi^{\alpha} (1 - \xi)^{\beta} (1 + \gamma \sqrt{\xi} + \delta \xi)}{\int_0^1 d\xi \xi^{\alpha+1} (1 - \xi)^{\beta} (1 + \gamma \sqrt{\xi} + \delta \xi)}$$

Managing Parameters

Setting up parameters

Set limits

Define the **free** parameters

```
def setup_params():
```

```
    conf['params'] = {}
```

```
    conf['params']['pdf'] = {}
```

```
    conf['params']['pdf']['g N'] = {'value': 3.09994e-01, 'min': None, 'max': None, 'fixed': True }
    conf['params']['pdf']['g a'] = {'value': -5.20900e-01, 'min': -1.9, 'max': 1, 'fixed': False}
    conf['params']['pdf']['g b'] = {'value': 4.29360e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['uv N'] = {'value': 3.25322e-01, 'min': None, 'max': None, 'fixed': True }
    conf['params']['pdf']['uv a'] = {'value': -2.14402e-01, 'min': -0.6, 'max': 1, 'fixed': False}
    conf['params']['pdf']['uv b'] = {'value': 3.04406e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['dv N'] = {'value': 1.06672e-01, 'min': None, 'max': None, 'fixed': True }
    conf['params']['pdf']['dv a'] = {'value': -3.45404e-01, 'min': -0.6, 'max': 1, 'fixed': False}
    conf['params']['pdf']['dv b'] = {'value': 4.48193e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['db N'] = {'value': 3.65346e-02, 'min': 0, 'max': 1, 'fixed': False}
    conf['params']['pdf']['db a'] = {'value': -9.35028e-01, 'min': -1, 'max': 1, 'fixed': False}
    conf['params']['pdf']['db b'] = {'value': 4.48545e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['ub N'] = {'value': 1.70043e-02, 'min': 0, 'max': 1, 'fixed': False}
    conf['params']['pdf']['ub a'] = {'value': -1.00000e+00, 'min': -1, 'max': 1, 'fixed': False}
    conf['params']['pdf']['ub b'] = {'value': 1.00000e+01, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['s N'] = {'value': 9.91077e-02, 'min': 0, 'max': 1, 'fixed': True}
    conf['params']['pdf']['s a'] = {'value': 1.00000e+00, 'min': -0.6, 'max': 1, 'fixed': False}
    conf['params']['pdf']['s b'] = {'value': 4.43290e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['sb N'] = {'value': 2.96987e-02, 'min': 0, 'max': 1, 'fixed': False}
    conf['params']['pdf']['sb a'] = {'value': -6.00000e-01, 'min': -0.6, 'max': 1, 'fixed': False}
    conf['params']['pdf']['sb b'] = {'value': 3.56087e+00, 'min': 0, 'max': 10, 'fixed': False}
```

```
    conf['params']['pdf']['sea N'] = {'value': 3.68792e-03, 'min': 0, 'max': 1, 'fixed': False}
    conf['params']['pdf']['sea a'] = {'value': -1.87906e+00, 'min': -1.9, 'max': -1, 'fixed': False}
    conf['params']['pdf']['sea b'] = {'value': 8.07746e+00, 'min': 0, 'max': 10, 'fixed': False}
```

PARMAN - interface to setup parameters

Continue to
Jupyter notebook

```
In [61]: class PARMAN:

    def __init__(self):
        self.get_ordered_free_params()

    def get_ordered_free_params(self):
        self.par=[]
        self.order=[]
        self.pmin=[]
        self.pmax=[]

        if 'check lims' not in conf: conf['check lims']=True

        for k in conf['params']:
            for kk in conf['params'][k]:
                if conf['params'][k][kk]['fixed']==False:
                    p=conf['params'][k][kk]['value']
                    pmin=conf['params'][k][kk]['min']
                    pmax=conf['params'][k][kk]['max']
                    self.pmin.append(pmin)
                    self.pmax.append(pmax)
                    if p<pmin or p>pmax:
                        if conf['check lims']: raise ValueError
```

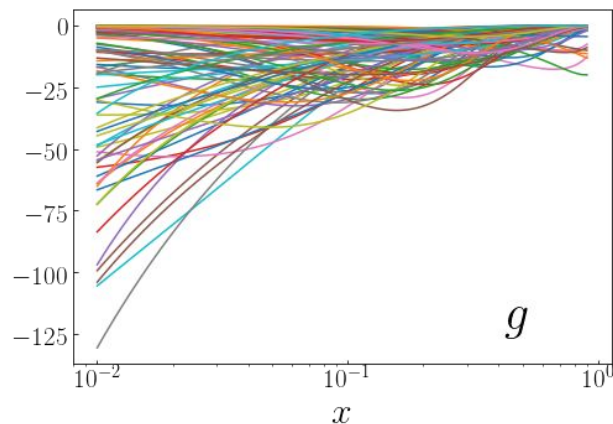
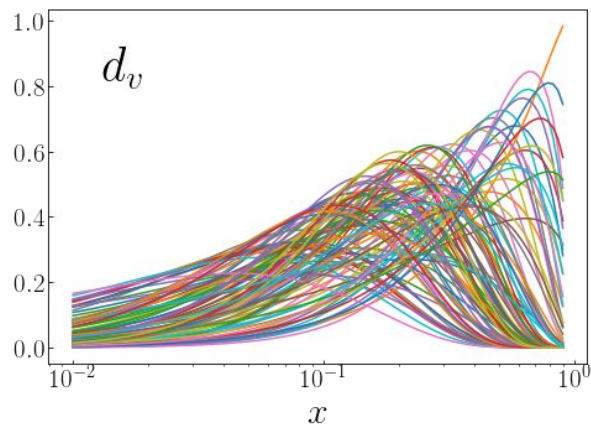
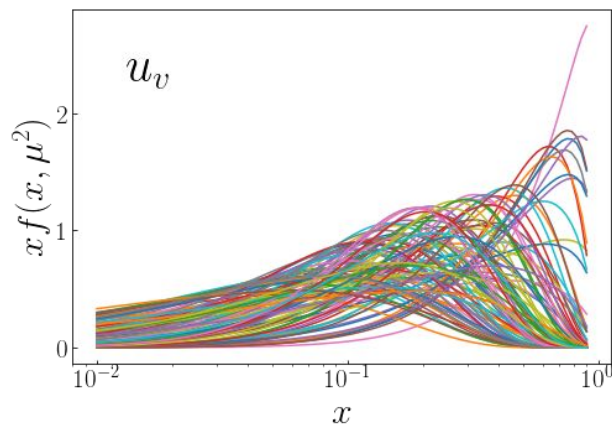
Check the limits

Updates the PDF
class

Handle internally
parameter ordering

Exercise 10 (time: 5 mins)

- Use the method `gen_flat` to scan 100 random parameter vectors within the hyperbox and plot the resulting pdf combinations xu_v , xd_v , xg at the input scale $\mu^2 = 1.27 * 2$.
- Hint: use the x-range `X = 10**np.linspace(-2,np.log10(0.9),100)`



Loss function



Observables



Reset boundary
conditions



parametrization

$$\chi^2(\mathbf{a}) = \sum_{i,e} \left(\frac{d_{i,e} - \sum_k r_e^k \beta_{i,e}^k - T_{i,e}(\mathbf{a})/N_e}{\alpha_{i,e}} \right)^2 + \sum_k (r_e^k)^2 + \left(\frac{1 - N_e}{\delta N_e} \right)^2$$



$$F_i^p(x_{\text{bj}}, Q^2) = \sum_q e_q^2 \int_{x_{\text{bj}}}^1 \frac{d\xi}{\xi} f_{q/p}(\xi, \mu^2) C_{q,i} \left(\frac{x_{\text{bj}}}{\xi}, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) + (q \rightarrow g)$$



$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$



$$T(\xi; \mathbf{a}) = \mathcal{M} \frac{\xi^{\alpha} (1 - \xi)^{\beta} (1 + \gamma \sqrt{\xi} + \delta \xi)}{\int_0^1 d\xi \xi^{\alpha+1} (1 - \xi)^{\beta} (1 + \gamma \sqrt{\xi} + \delta \xi)}$$

Managing Residuals

RESMAN - interface to query residuals

In [75]: `class RESMAN:`

```
def __init__(self):
    conf['aux'] = AUX()
    conf['mellin'] = MELLIN(npts=4)
    conf['alphaS'] = ALPHAS()
    conf['ewweak'] = EWEAK()
    conf['pdf'] = PDF()

    self.parman=PARMAN()
    self.setup_idis()

def setup_idis(self):
    self.idis_tabs=READER().load_data_sets('idis')
    conf['idis_tabs'] = self.idis_tabs
    self.idis_thy=THEORY()
    conf['idis'] = self.idis_thy
    self.idis_res=RESIDUALS()

def get_residuals(self,par, initial = False):
    self.parman.set_new_params(par, initial = initial)

    data = conf['datasets']

    #--compute residuals
    res,rres,nres=[],[],[]
    if 'idis' in conf['datasets']:
        out=self.idis_res.get_residuals()
        res=np.append(res,out[0])
        rres=np.append(rres,out[1])
        nres=np.append(nres,out[2])

    return res,rres,nres
```

Loads all the parts that we need, including parman

Collect all the residuals

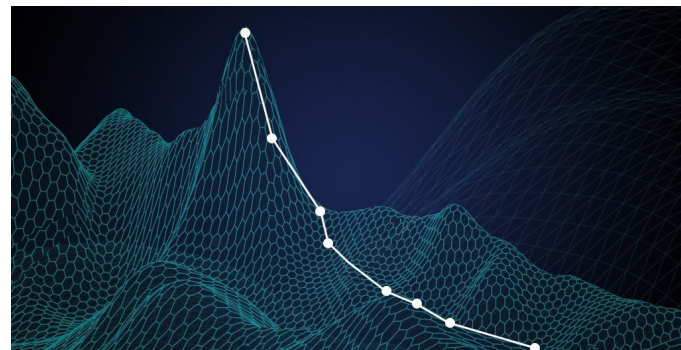
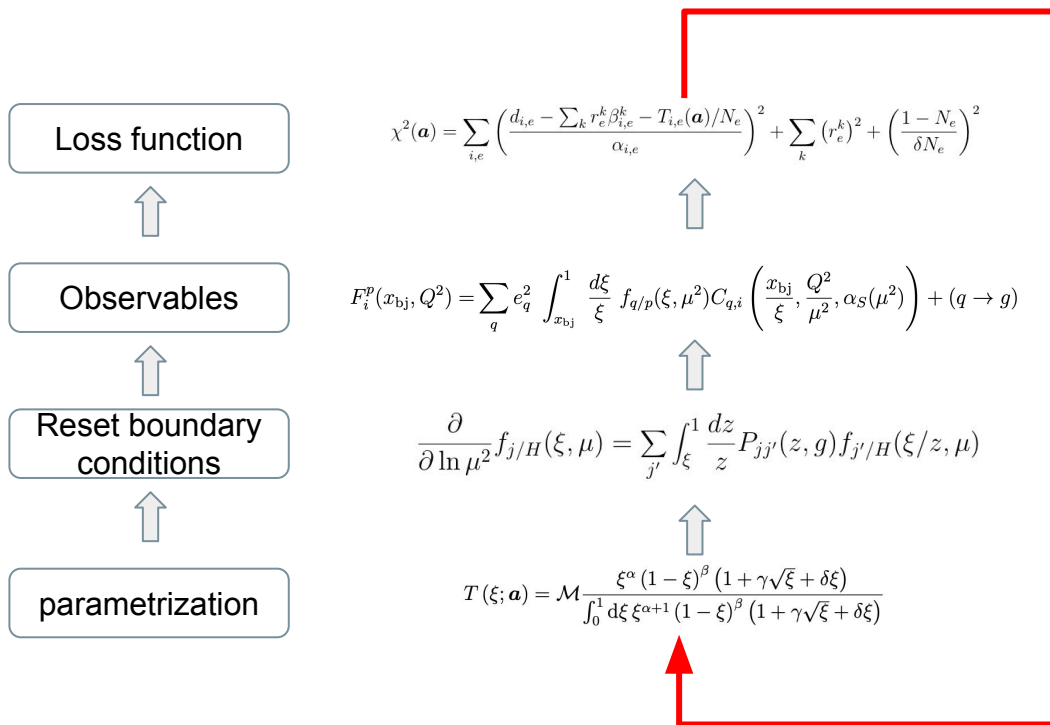
$$\chi^2(a) = \sum_{i,e} \left(\frac{d_{i,e} - \sum_k r_e^k \beta_{i,e}^k - T_{i,e}(a)/N_e}{\alpha_{i,e}} \right)^2 + \sum_k \underbrace{(r_e^k)^2}_{\text{red line}} + \left(\frac{1 - N_e}{\delta N_e} \right)^2$$

Continue to
Jupyter notebook

Exercise 11 (time: 5 mins)

- Use `RESMAN` to compute 10 times the residuals for different parameters generated by `parman.gen_flat()`
- For each run print the first 3 entries of the current parameters as well as the total χ^2

```
par= [0.19197712 1.03448414 0.34214713] chi2= 13419609.489305
par= [-0.20511792 6.35590864 0.64983866] chi2= 81859552.43154643
par= [-1.1957957 7.33717188 -0.43530517] chi2= 76402443.43800555
par= [ 0.3481756 3.25387064 -0.54117908] chi2= 18069861.530045442
par= [0.91721661 2.12831572 0.24350342] chi2= 24218875.047675647
par= [-1.33526148 5.43868399 0.00556536] chi2= 17724081.19952482
par= [-0.4772018 0.96316372 0.33593136] chi2= 60638297.4801399
par= [-1.66225909 7.95801622 0.26728382] chi2= 51754451.24467237
par= [0.45288714 6.74492207 0.99792619] chi2= 12098310.192077495
par= [-1.74669965 5.36573854 -0.11400083] chi2= 27450829.072440065
```

Maximum Likelihood

MAXLIKE - interface of maximum likelihood

```
In [83]: class MAXLIKE:

    def __init__(self):
        self.resman=RESMAN()
        self.parman=self.resman.parman
        self.set_display()

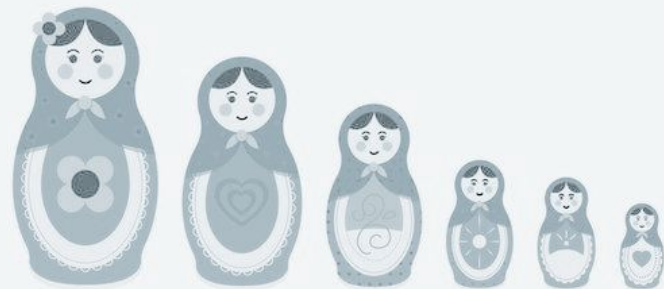
    def get_residuals(self,par):
        res,rres,nres=self.resman.get_residuals(par)
        self.cnt+=1
        self.print_status(res,rres,nres)
        if len(rres)!=0: res=np.append(res,rres)
        if len(nres)!=0: res=np.append(res,nres)
        return res

    def checklimits(self):

        for k in conf['params']:
            for kk in conf['params'][k]:
                if conf['params'][k][kk]['fixed']!=False:
                    p=conf['params'][k][kk]['value']
                    pmin=conf['params'][k][kk]['min']
                    pmax=conf['params'][k][kk]['max']
                    if p<pmin or p>pmax:
                        print ('%s-%s out of limits.'%(k,kk))
                        sys.exit()

        for k in conf['datasets']:
            for kk in conf['datasets'][k]['norm']:
                p=conf['datasets'][k]['norm'][kk]['value']
```

Loads resman



SciPy.org Docs SciPy v1.6.0 Reference Guide Optimization and root finding (scipy.optimize)

scipy.optimize.least_squares¶

`scipy.optimize.least_squares(fun, x0, jac='2-point', bounds=(-inf, inf), method='trf', ftol=1e-08, xtol=1e-08, gtol=1e-08, x_scale=1.0, loss='linear', f_scale=1.0, diff_step=None, tr_solver=None, tr_options={}, jac_sparsity=None, max_nfev=None, verbose=0, args=(), kwargs=())` [\[source\]](#)

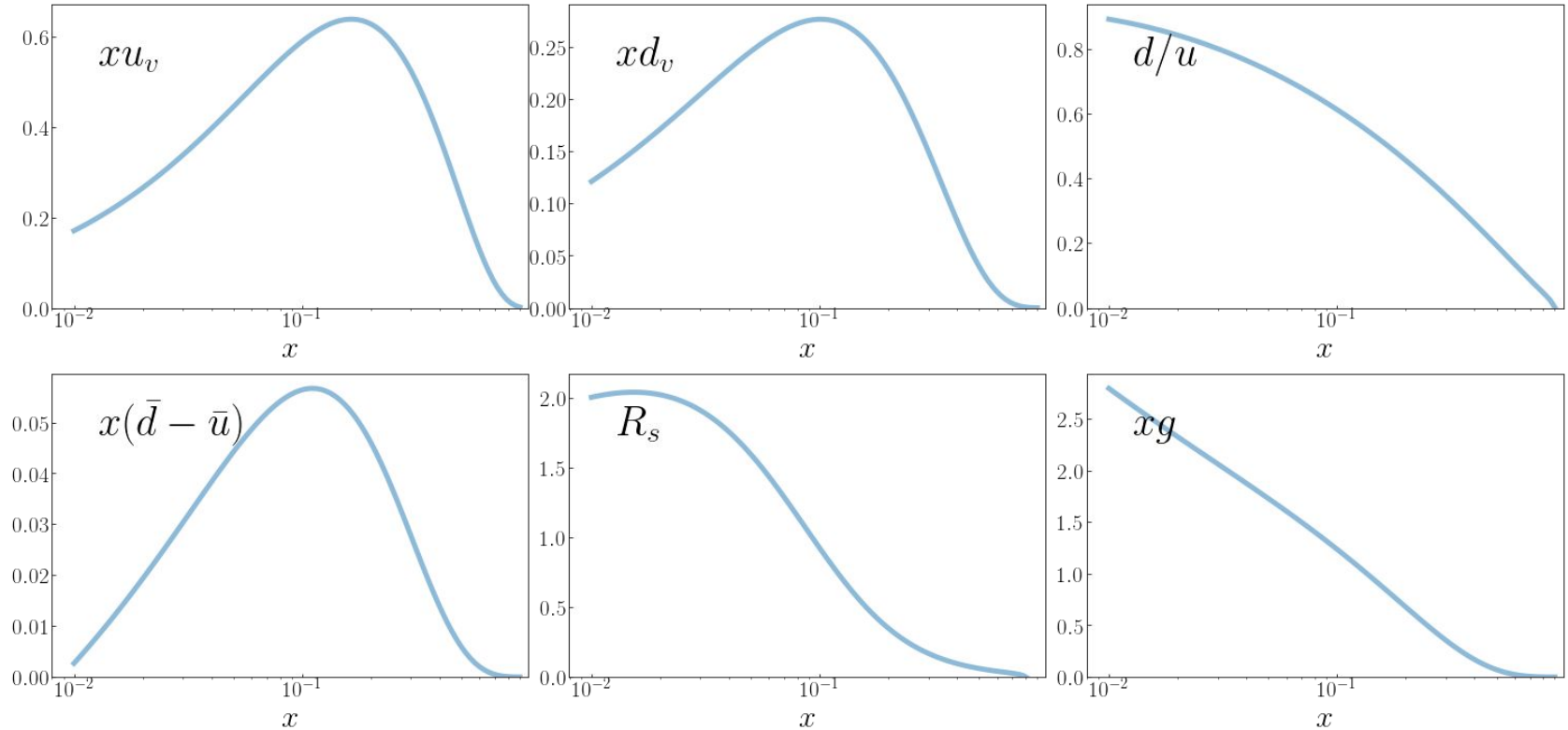
Solve a nonlinear least-squares problem with bounds on the variables.

Given the residuals $f(x)$ (an m -D real function of n real variables) and the loss function $\rho(s)$ (a scalar function of s), `least_squares` finds a local minimum of the cost function $F(x)$:

Continue to
Jupyter notebook

Exercise 12.A (time: 5 mins)

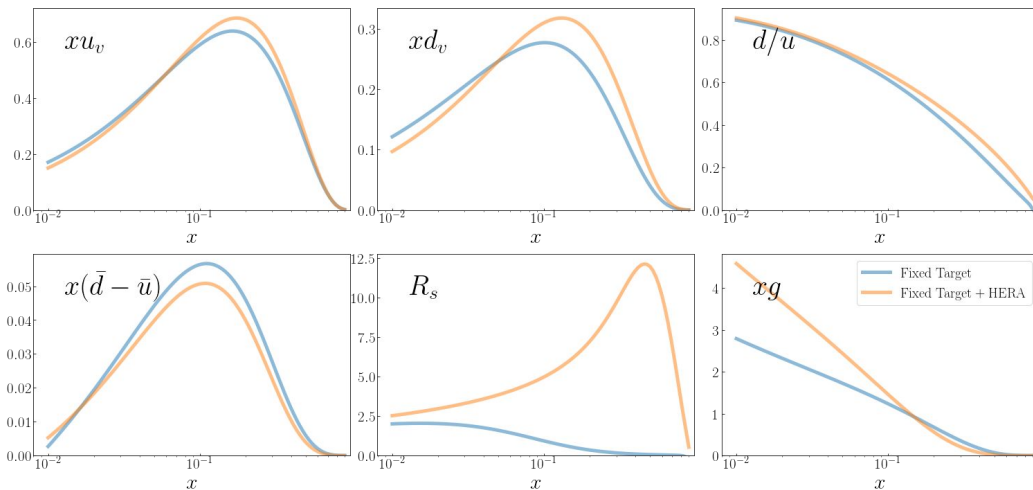
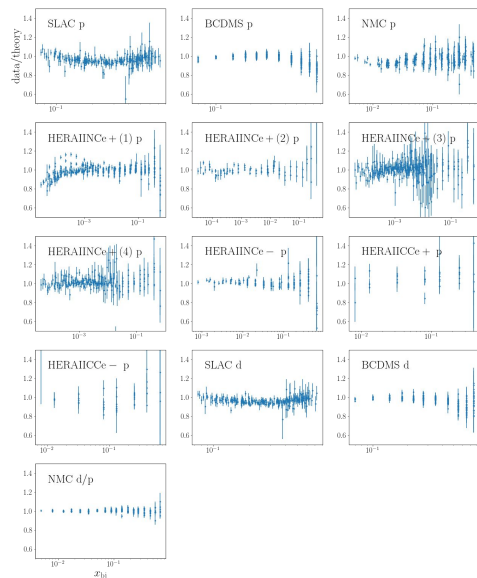
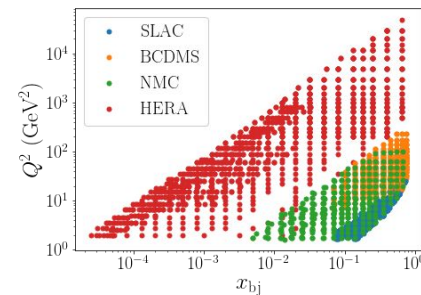
- Plot xu_v , xd_v , d/u , $x(\bar{d} + \bar{u})$, $x(\bar{d} - \bar{u})$, $R_s = (\bar{d} + \bar{u})/(s + \bar{s})$, xg
- **hint:** use the method `conf['pdf'].get_xf(x,mu2,flav)`

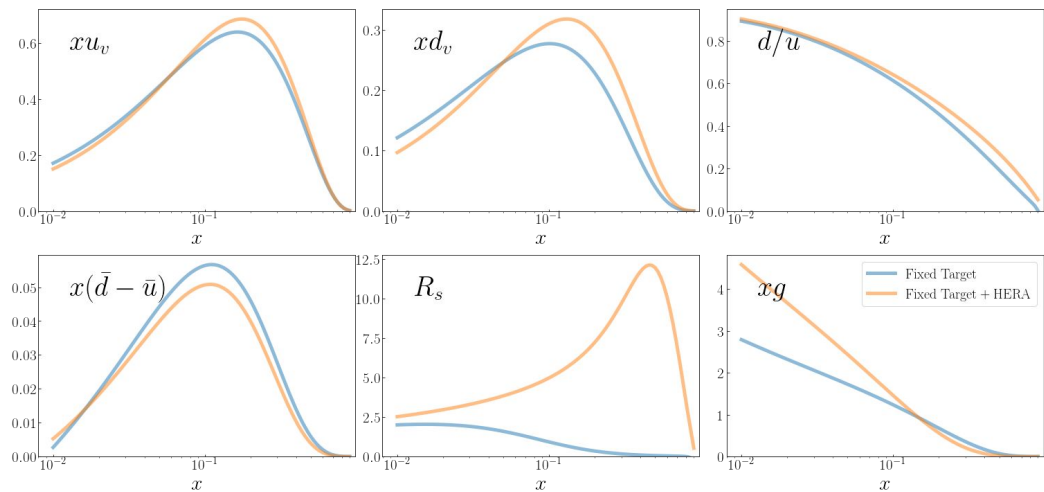


Exercise 12.B (time: 10 mins)

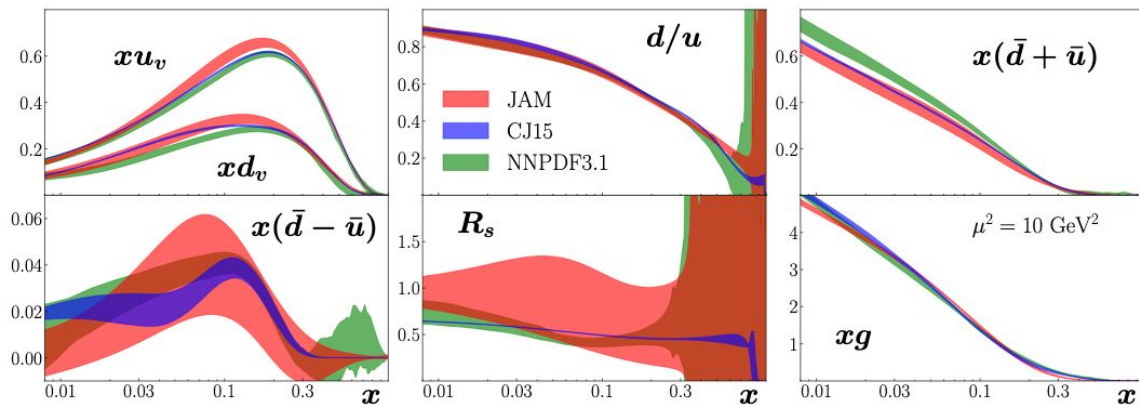
- Include the HERA data sets and run the fitter
- Plot data/theory for all experiments
- Plot the pdfs (as above) from the previous run and the new run
- **hint:**

- use `get_datasets(Q2cut=1.27**2, W2cut=10, ihera=True)`
- assuming you have the two sets of parameters stored as `par1` and `par2`, when making the pdfs plots, use the method `resman.parman.set_new_params(par)` to update the `PDF` class





Moffat, Melnitchouk, Rogers, NS
[arXiv:2101.04664](https://arxiv.org/abs/2101.04664)



Outline

Lecture 1

- Motivations
- QCD carpentry setup
- Solving QCD's beta function

Lecture 2

- Mellin transforms
- Solving DGLAP
- Modeling input scale PDFs

Lecture 3

- DIS theory
- World DIS data
- The χ^2 function
- Global analysis

Lecture 4

- Bayesian inference
 - Maximum likelihood
 - MC methods
- JAM history
- Machine learning



T. Bayes.

Bayesian inference

The Bayes theorem

$$\mathcal{L}(\mathbf{a}, \text{data}) = \exp \left[-\frac{1}{2} \chi^2(\mathbf{a}, \text{data}) \right]$$

This is a choice

Min, Max, penalties,
regulators etc

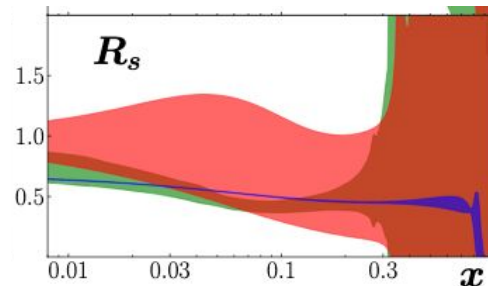
$$\rho(\mathbf{a}|\text{data}) \sim \mathcal{L}(\mathbf{a}, \text{data}) \pi(\mathbf{a})$$



$$\mathbb{E}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, \mathcal{O}(\mathbf{a})$$

$$\mathbb{V}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, [\mathcal{O}(\mathbf{a}) - \mathbb{E}[\mathcal{O}]]^2$$

This is impractical



How do we deal with the **curse of dimensionality** ?

$$\mathbb{E}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, \mathcal{O}(\mathbf{a})$$

$$\mathbb{V}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, [\mathcal{O}(\mathbf{a}) - \mathbb{E}[\mathcal{O}]]^2$$

Option 1: Maximum likelihood

$$\mathbb{E}[\mathcal{O}] \simeq \mathcal{O}(\mathbf{a}_0)$$

$\mathbb{V}[\mathcal{O}] = \text{Hessian, Lagrange multipliers}$

Assumes symmetric
likelihood, unique
solution

Assumes Gaussian
behavior around ML

How do we deal with the **curse of dimensionality** ?

$$\mathbb{E}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, \mathcal{O}(\mathbf{a})$$

$$\mathbb{V}[\mathcal{O}] = \int d^n a \, \rho(\mathbf{a}|\text{data}) \, [\mathcal{O}(\mathbf{a}) - \mathbb{E}[\mathcal{O}]]^2$$

Option 2: MC approach

Build an MC
ensemble (\$\$\$)

$$\mathbb{E}[\mathcal{O}] \simeq \frac{1}{N} \sum_k \mathcal{O}(\mathbf{a}_k)$$

$$\mathbb{V}[\mathcal{O}] \simeq \frac{1}{N} \sum_k [\mathcal{O}(\mathbf{a}_k) - \mathbb{E}[\mathcal{O}]]^2$$

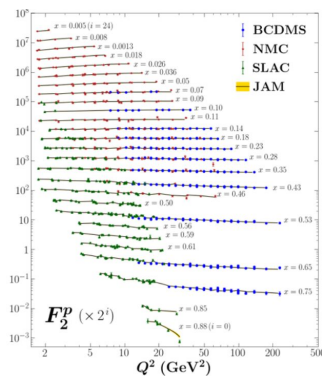
Many algorithms

- MCMC
- HMC
- **Data resampling**
- ...

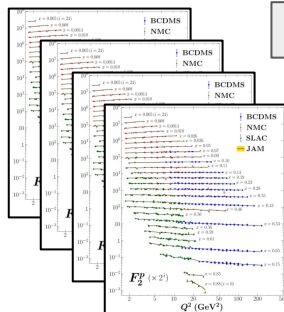
Data resampling

$$d_{k,i}^{(\text{pseudo})} = d_i^{(\text{original})} + \alpha_i R_{k,i}$$

Original data



Replica data



Maximum likelihood

Maximum likelihood

Maximum likelihood

Maximum likelihood

Confidence region

Parameter space



Staff / Faculty

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A. Prokudin (PSU), D. Pitonyak (LVC), L. Gamberg
(PSU), Z. Kang (UCLA) J. Qiu (JLab), A. Accardi
(Hampton/JLab), A. Metz (Temple), C.-R. Ji (NCSU),
M. Constantinou (Temple), F. Steffens (Bonn),
M. White (Adelaide) , ...

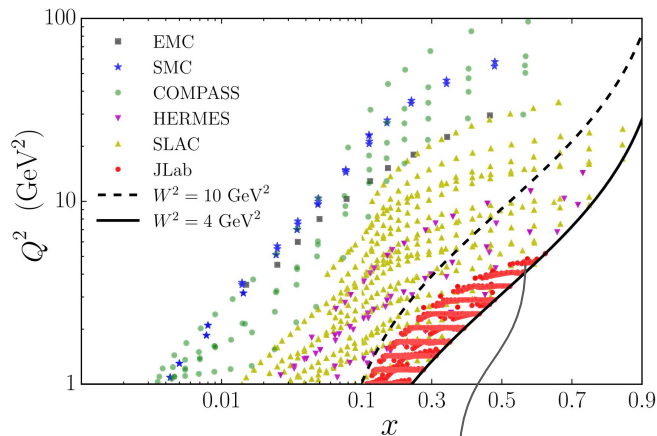
Students / Postdocs

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JAM history

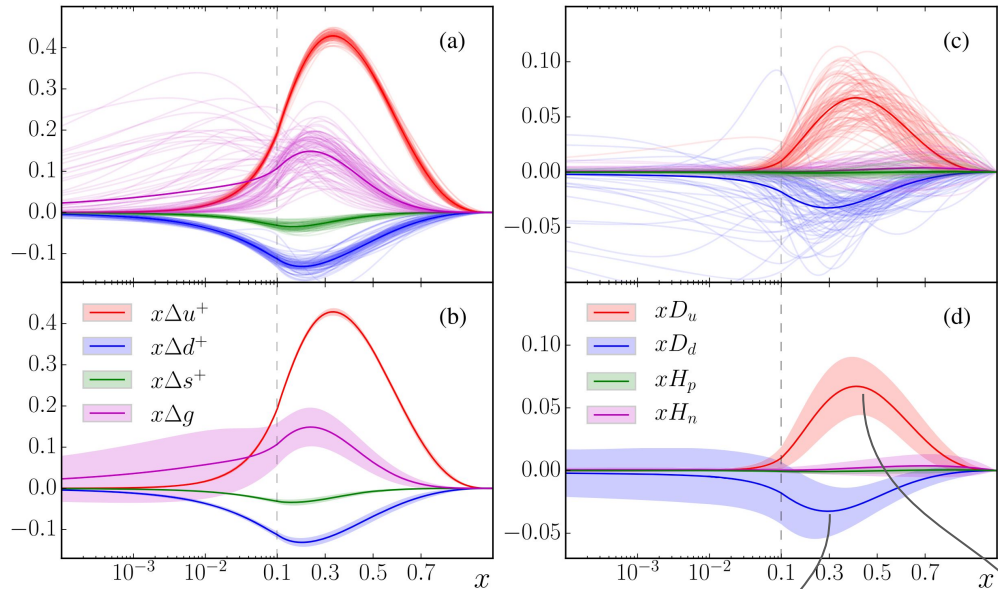
JAM'15 (1D spin-PDFs)

$$A_{\parallel} = \frac{\sigma^{\downarrow\uparrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\downarrow\uparrow} + \sigma^{\uparrow\uparrow}} \quad A_{\perp} = \frac{\sigma^{\downarrow\Rightarrow} - \sigma^{\uparrow\Rightarrow}}{\sigma^{\downarrow\Rightarrow} + \sigma^{\uparrow\Rightarrow}}$$

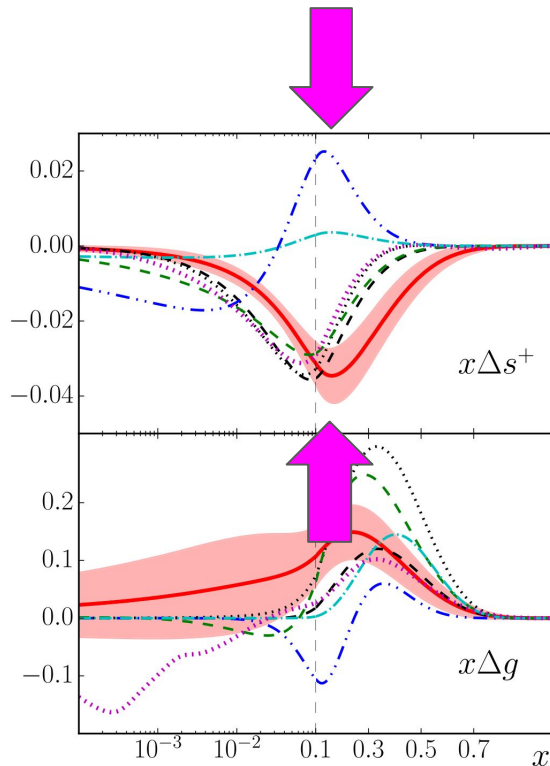
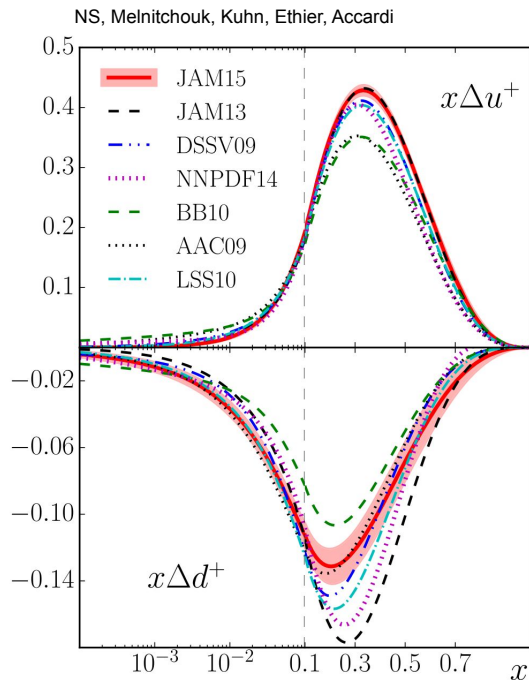


Bayesian MC framework

NS, Melnitchouk, Kuhn, Ethier, Accardi



JAM'15 (1D spin-PDFs)



A Possible Resolution of the Strange Quark Polarization Puzzle ?

Elliot Leader, Alexander V. Sidorov, Dimitar B. Stamenov

The strange quark polarization puzzle, i.e. the contradiction between the negative polarized strange quark density obtained from analyses of inclusive DIS data and the positive values obtained from combined analyses of inclusive and semi-inclusive SIDIS data using de Florian et. al. (DSS) fragmentation functions, is discussed. To this end the results of a new combined NLO QCD analysis of the polarized inclusive and semi-inclusive DIS data, using the Hirai et. al. (HKNS) fragmentation functions, are presented. It is demonstrated that the polarized strange quark density is very sensitive to the kaon fragmentation functions, and if the set of HKNS fragmentation functions is used, the polarized strange quark density obtained from the combined analysis turns out to be negative and well consistent with values obtained from the pure DIS analyses.

“...It is demonstrated that the polarized strange quark density is very sensitive to Kaon FF.”

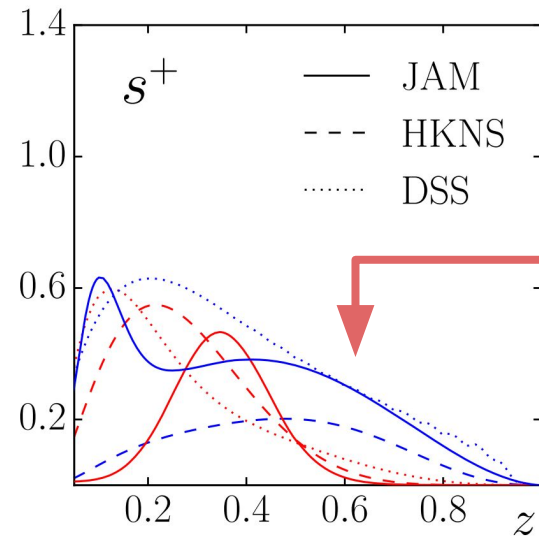
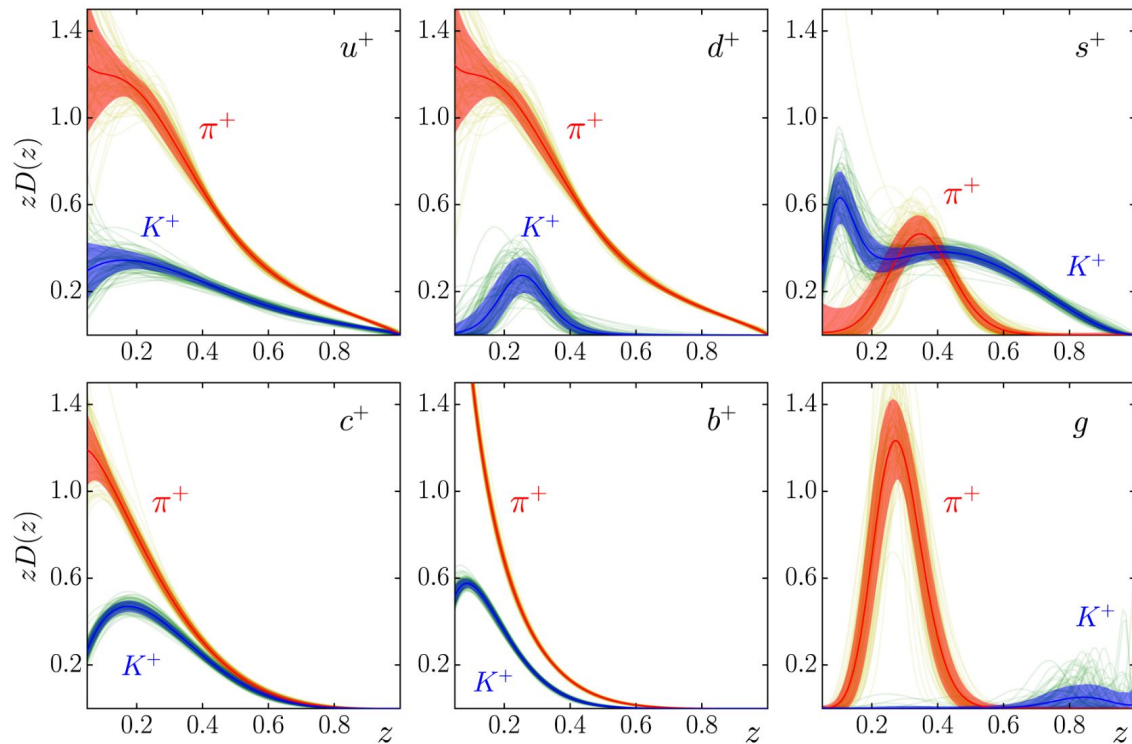
SU(3) constraints:

$$\Delta u^+(1, Q^2) + \Delta d^+(1, Q^2) - 2\Delta s^+(1, Q^2) = a_8,$$

Role of SIDIS and SIA ?

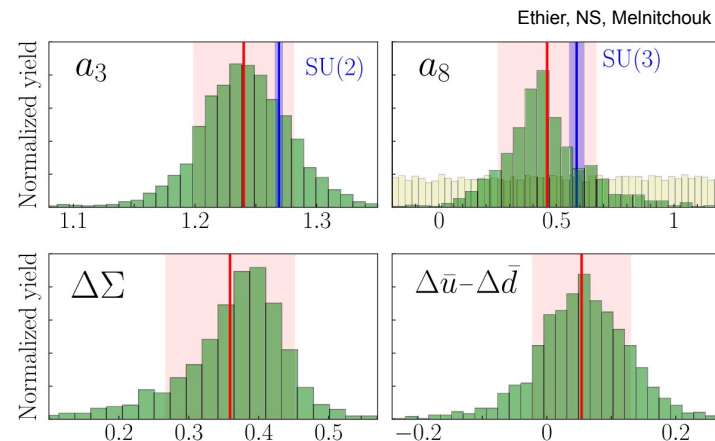
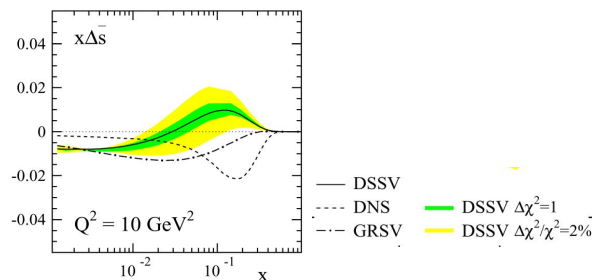
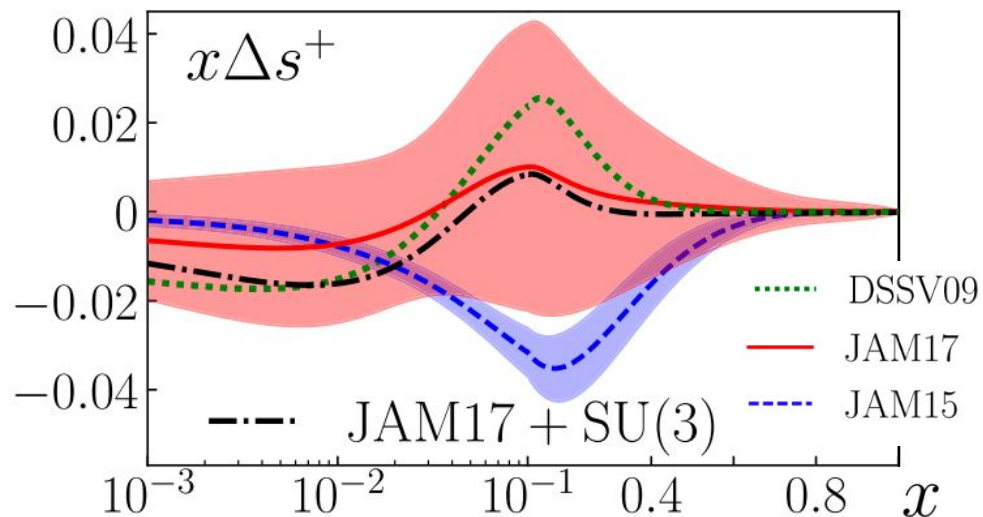
JAM'16 (1D FFs)

NS, Ethier, Melnitchouk, Hirai, Kumano



FF kaon:
JAM closer to DSS at large z

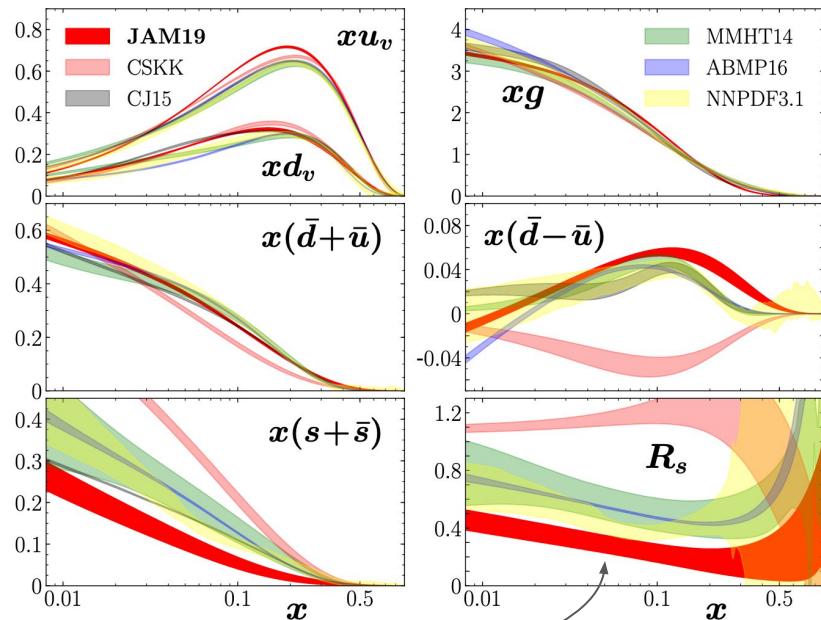
JAM'17 (1D simultaneous extraction of spin PDFs and FFs)



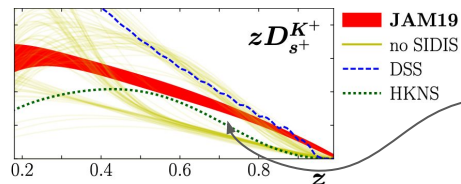
- Use of pol. DIS, SIDIS and SIA
- No SU(2) nor SU(3) constraints
- Empirical evidence of $g_3 \sim g_A$ 2%
- **No strange puzzle - need more data**

JAM'19 (1D simul. extraction of spin-averaged PDFs and FFs)

NS, Andres, Melnitchouk

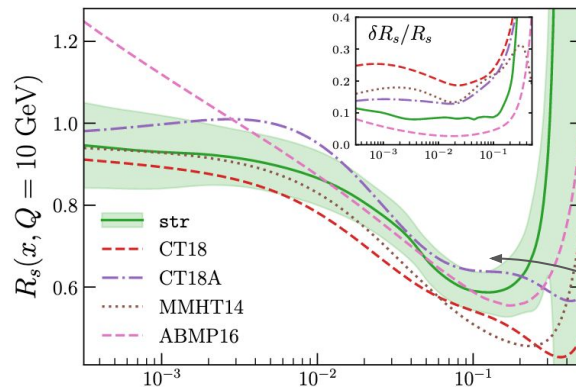


SIDIS (kaon) suppresses strange PDF



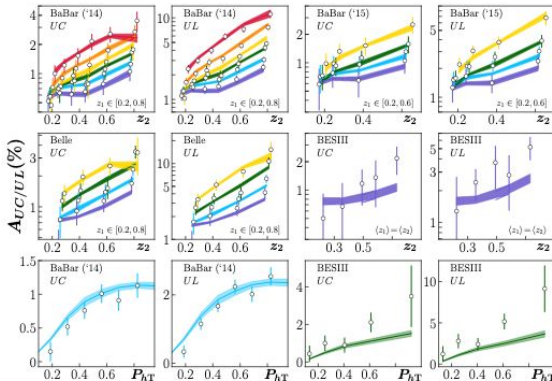
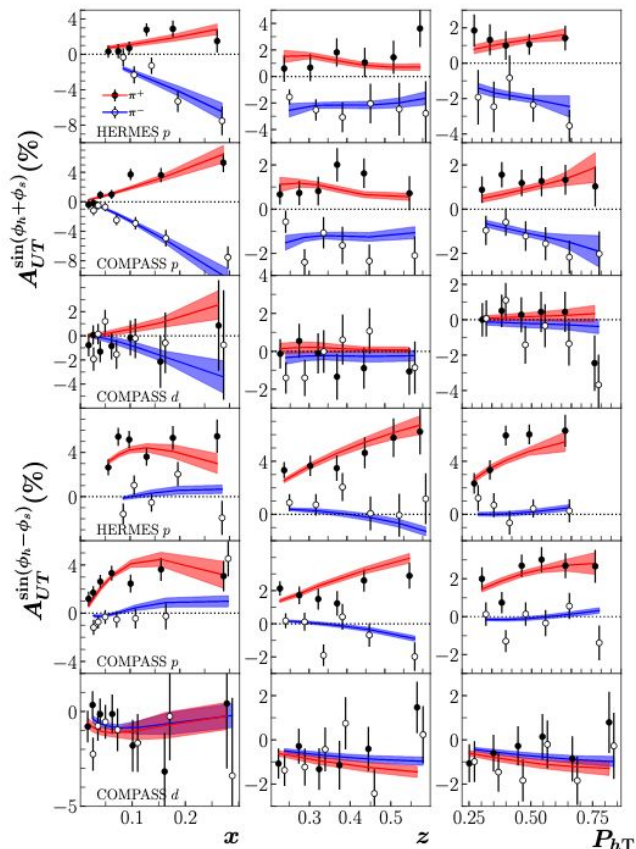
SIA (kaon) prefers large strange \rightarrow Kaon FF

Faura, Iranipour, Nocera, Rojo, Ubiali

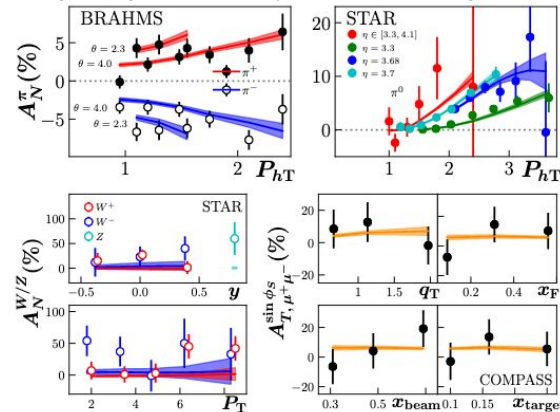


Strange suppression disfavored by collider W+c data

JAM'20 (3D zoo of correlation functions)

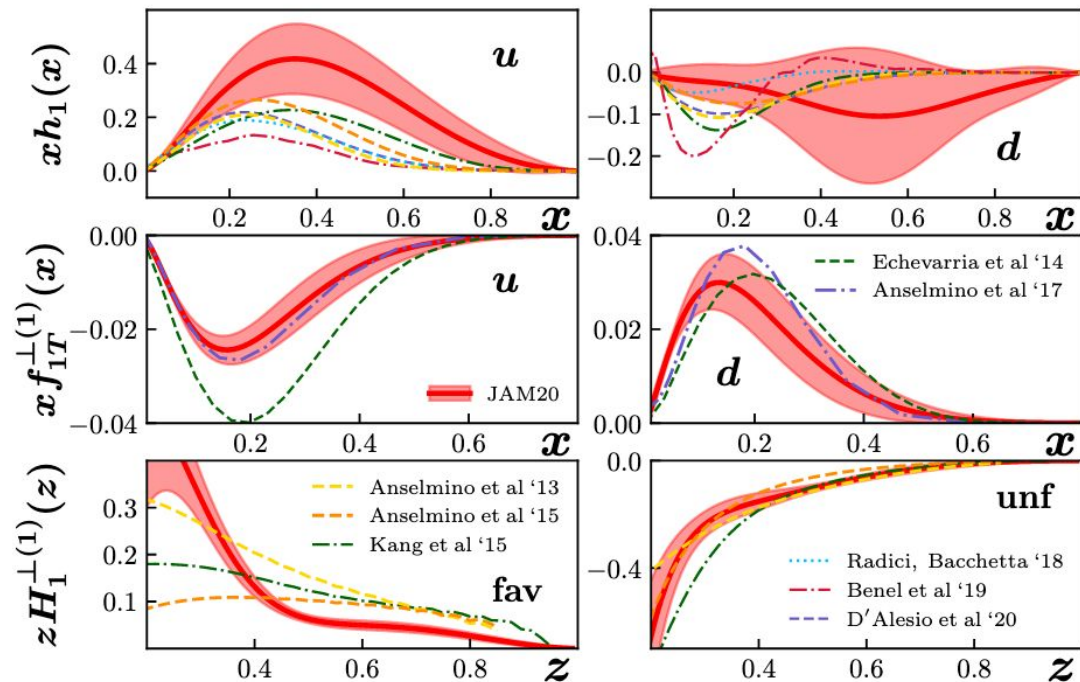


Cammarota, Gamberg, Kang, Miller, Pitonyak, Prokudin, Rogers, NS

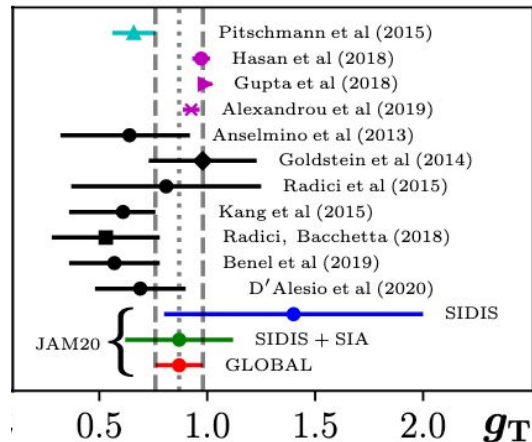
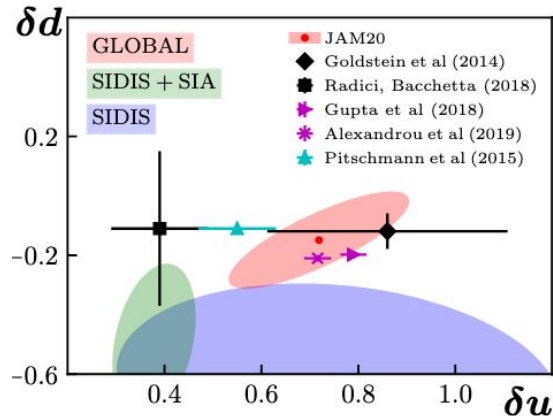


Observable	Reactions
$A_{\text{SIDIS}}^{\text{Siv}}$	$e + (p, d)^\uparrow \rightarrow e + (\pi^+, \pi^-, \pi^0) + X$
$A_{\text{SIDIS}}^{\text{Col}}$	$e + (p, d)^\uparrow \rightarrow e + (\pi^+, \pi^-, \pi^0) + X$
$A_{\text{SIA}}^{\text{Col}}$	$e^+ + e^- \rightarrow \pi^+ \pi^- (\text{UC}, \text{UL}) + X$
$A_{\text{DY}}^{\text{Siv}}$	$\pi^- + p^\uparrow \rightarrow \mu^+ \mu^- + X$
$A_{\text{DY}}^{\text{Siv}}$	$p^\uparrow + p \rightarrow (W^+, W^-, Z) + X$
A_N^h	$p^\uparrow + p \rightarrow (\pi^+, \pi^-, \pi^0) + X$

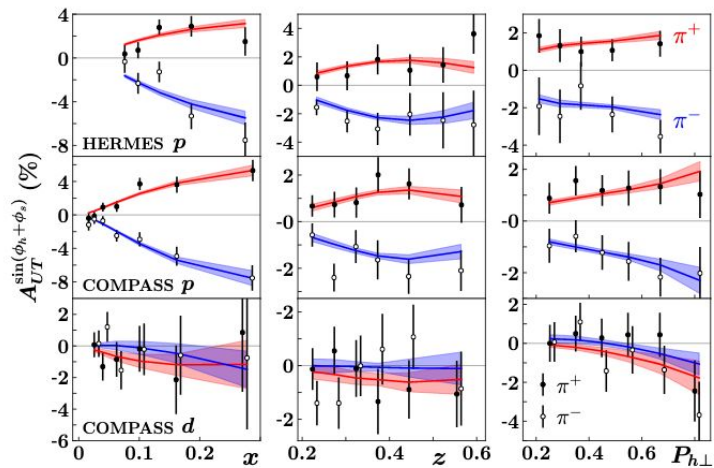
JAM'20 (3D zoo of correlation functions)



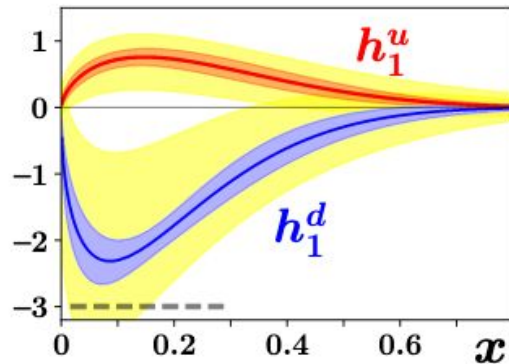
Cammarota, Gamberg, Kang, Miller, Pitonyak, Prokudin, Rogers, NS



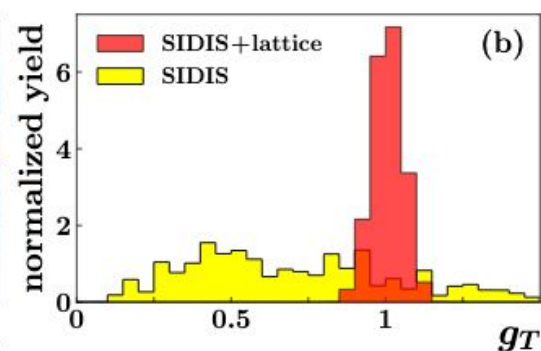
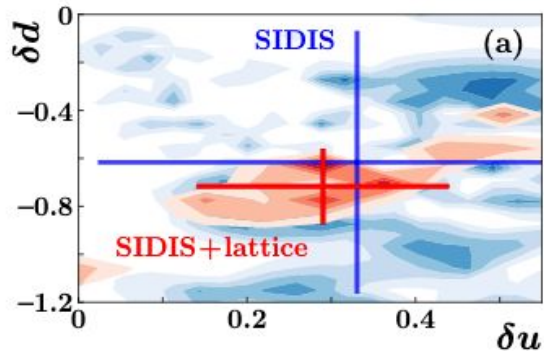
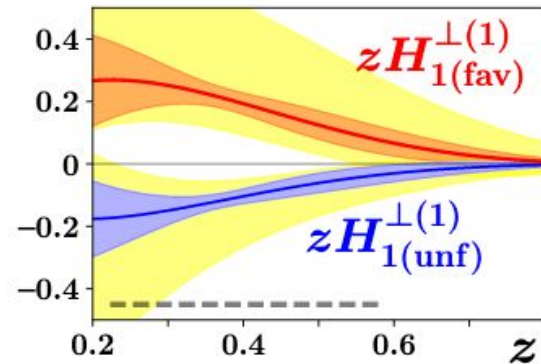
JAM'18 (3D experiment + lattice QCD: gT moment)



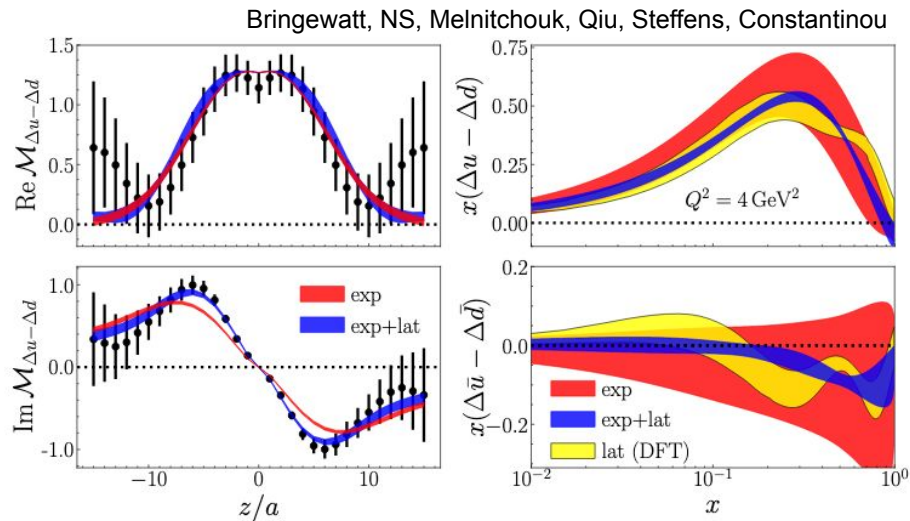
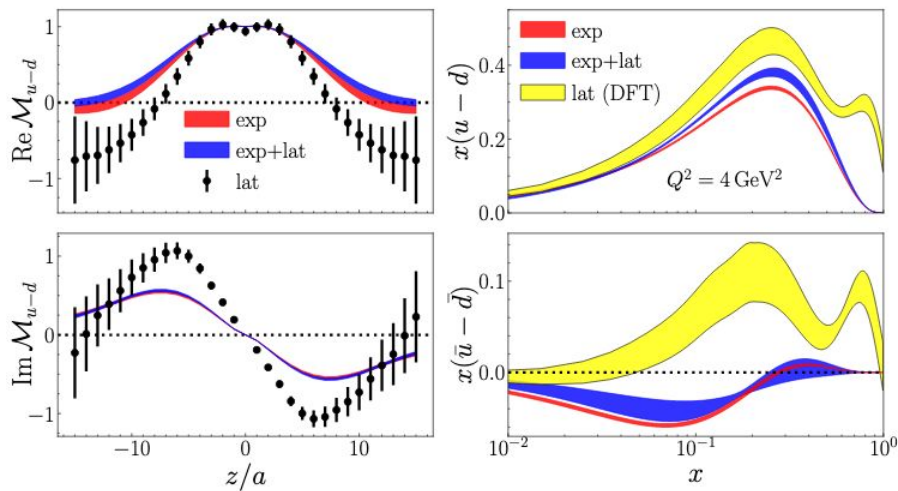
Inclusion of gT as Bayesian prior
can complement experimental data



Lin, Melnitchouk, Prokudin, NS, Shows

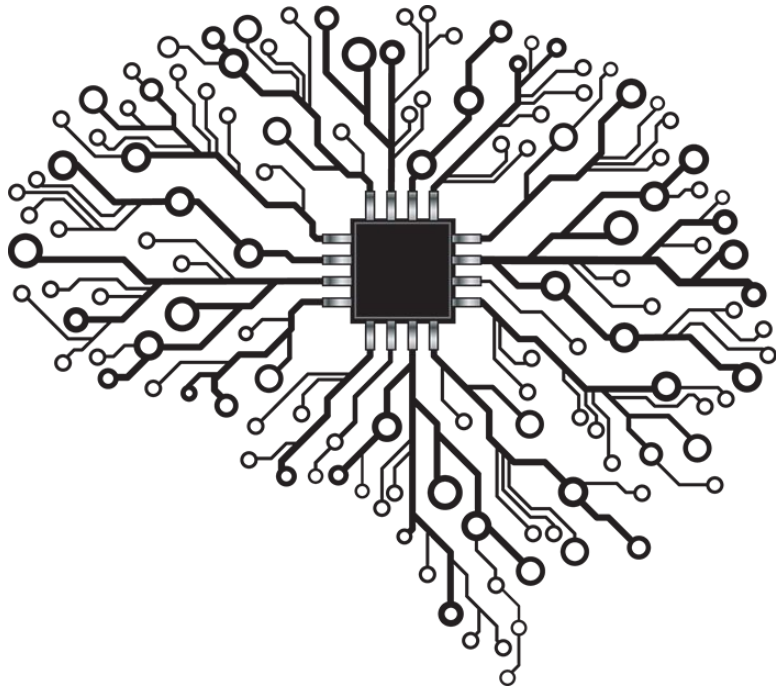


JAM'20 (1D experiment + lattice QCD: quasi-PDFs)



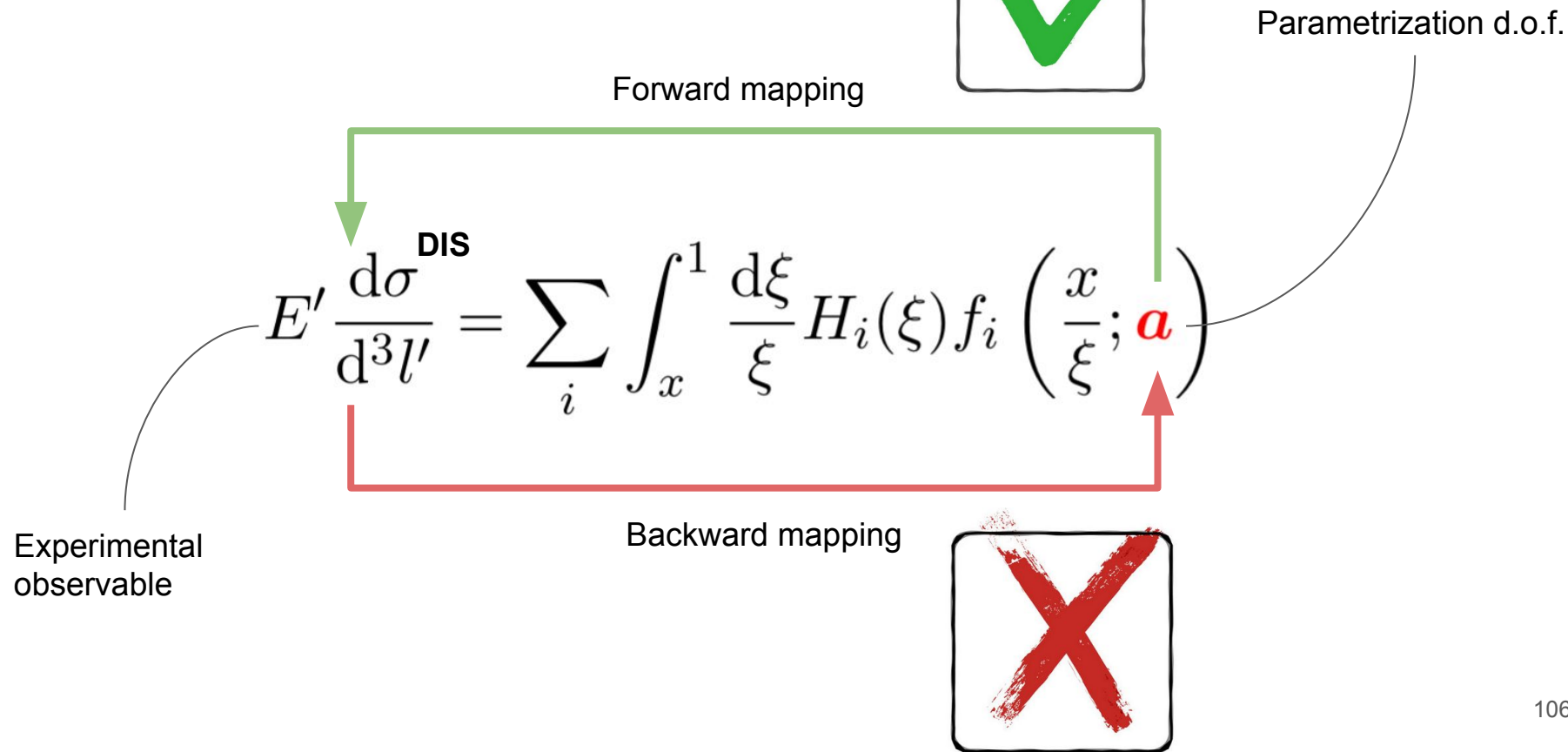
$$\mathcal{M}_q(z, \mu) = \int_{-\infty}^{\infty} dx e^{-ixP_3z} \int_{-1}^1 \frac{d\xi}{|\xi|} C_q\left(\frac{x}{\xi}, \frac{\mu}{\xi P_3}\right) f_q(\xi, \mu)$$

$$\mathcal{M}_{\Delta q}(z, \mu) = \int_{-\infty}^{\infty} dx e^{-ixP_3z} \int_{-1}^1 \frac{d\xi}{|\xi|} C_{\Delta q}\left(\frac{x}{\xi}, \frac{\mu}{\xi P_3}\right) \Delta f_q(\xi, \mu)$$



Machine Learning

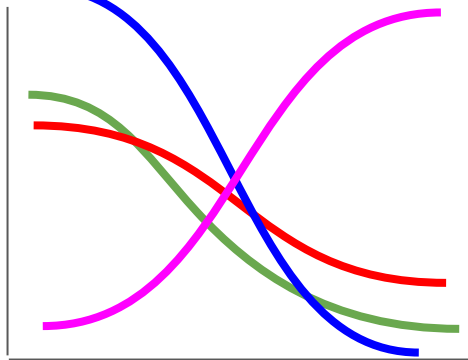
The inverse problem



An idea: parametrize the **inverse function**

Observable space

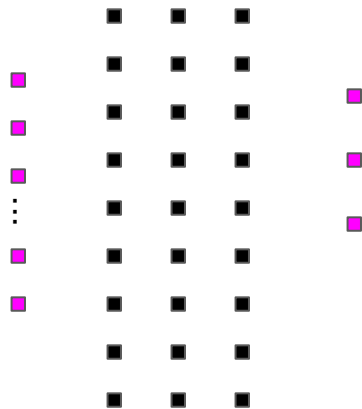
$$E' \frac{d\sigma}{d^3l'}$$



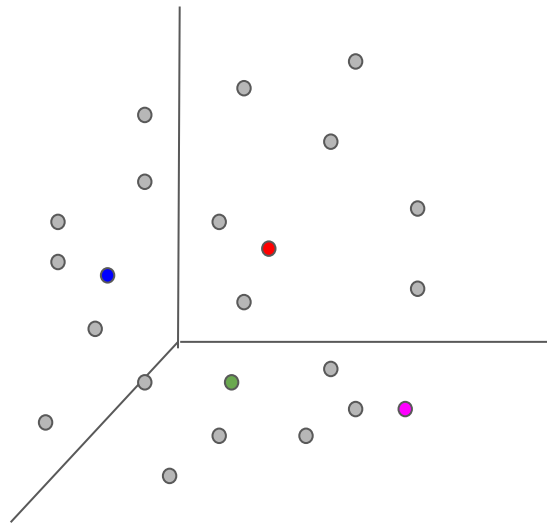
x

Theory

$$E' \frac{d\sigma}{d^3l'} = \sum_i \int_x^1 \frac{d\xi}{\xi} H_i(\xi) f_i\left(\frac{x}{\xi}; \mathbf{a}\right)$$



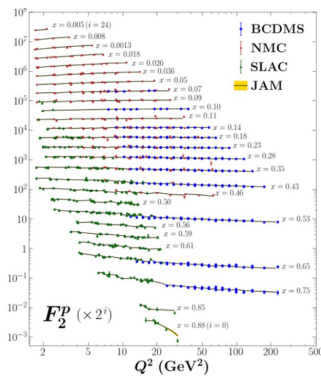
Parameter space



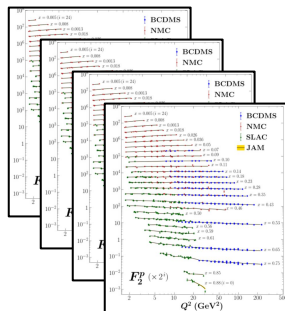
Neural Nets

Parameter inference

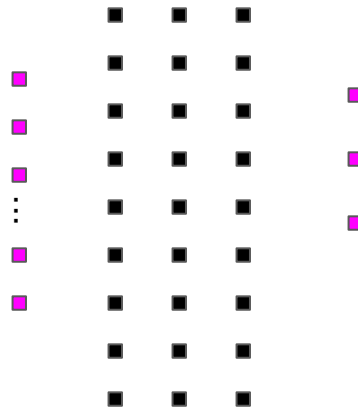
Original data



Replica data

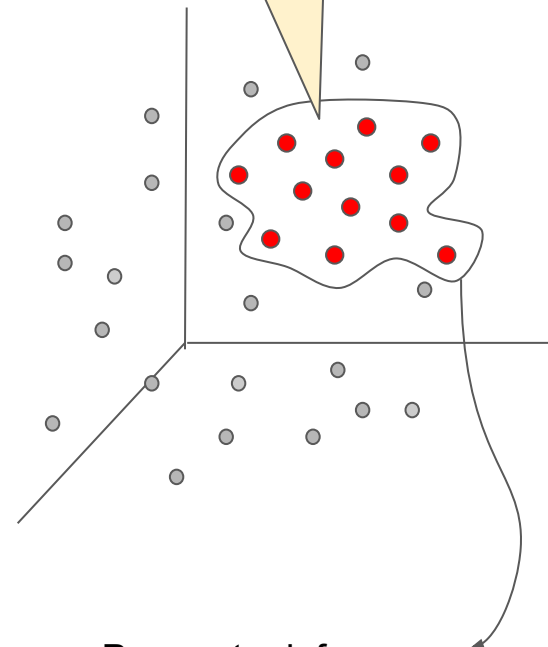


Trained inverse mapper

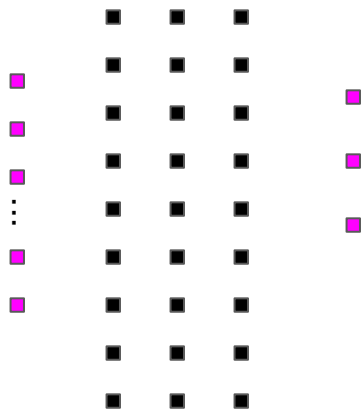


Uncertainty quantification

Parameter inference

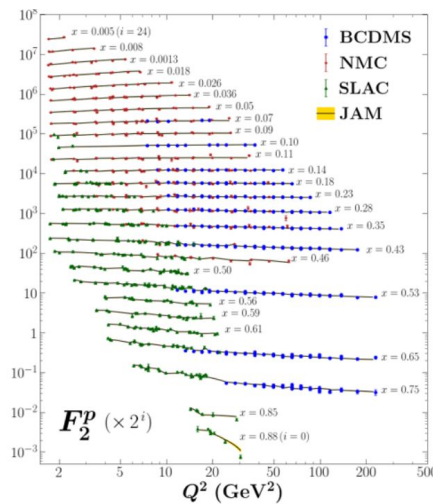


$$\rho(\mathbf{a}|\text{data}) \sim \mathcal{L}(\mathbf{a}, \text{data})\pi(\mathbf{a})$$



So why do we need **inverse mappers**?

1) Manipulate data input



What happens
if we remove
... data ?



Where do we
need more
experiments?

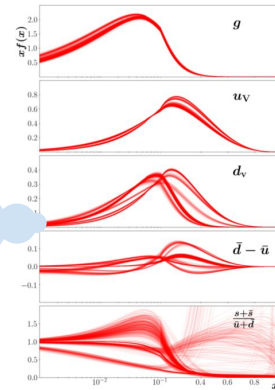


What data are forcing ...
to be ...?

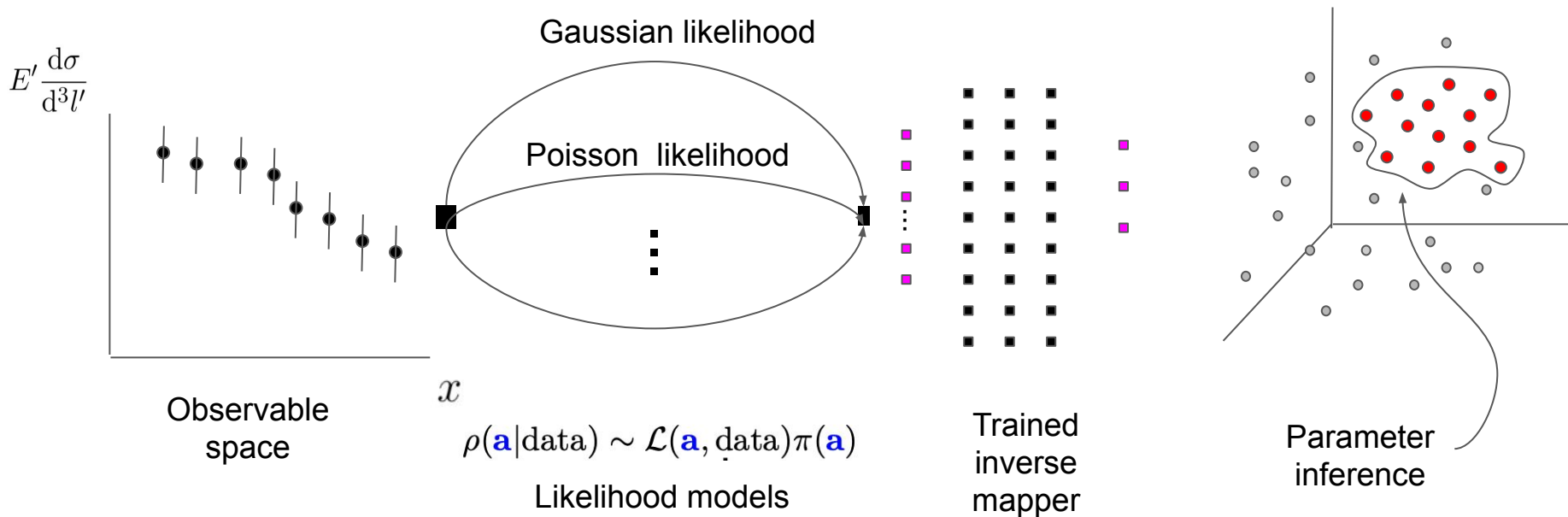
Collecting MC samples
is too expensive

$$\rho(\mathbf{a}|\text{data}) \sim \mathcal{L}(\mathbf{a}, \text{data})\pi(\mathbf{a})$$

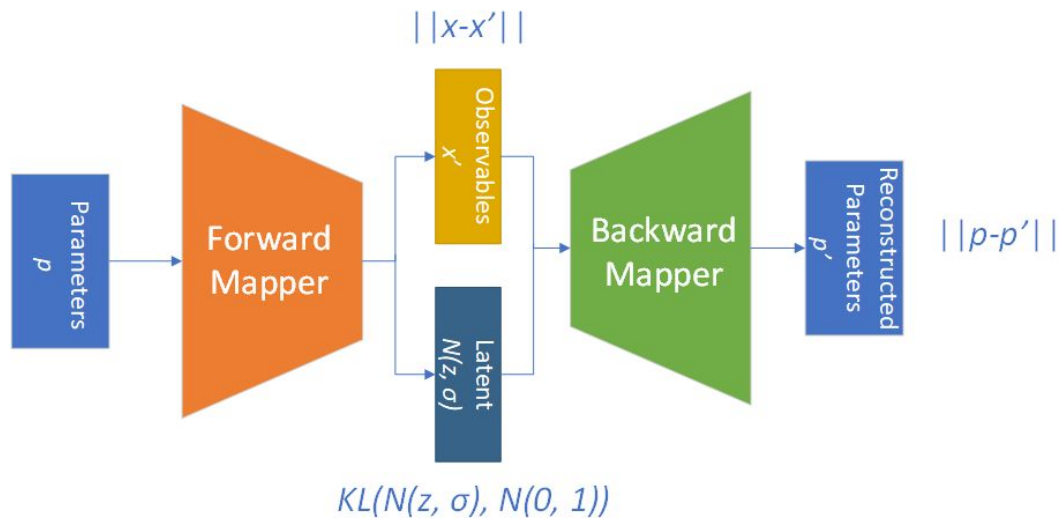
“Global analysis
is a kind of a
sausage” ...
**how to
unpack it?**



2) Bayesian inference modeling

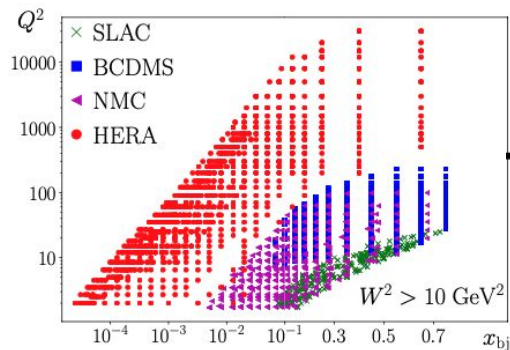


Existing methodologies
are prohibitively expensive
for such studies



Inverse mapper architectures

Designing the **inverse mappers**



Kinematics-independent
inverse mapper

Cross section 1 ($x_1, Q2_1$)

Cross section 2 ($x_2, Q2_2$)

Cross section 3 ($x_3, Q2_3$)

⋮

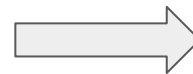
⋮

x

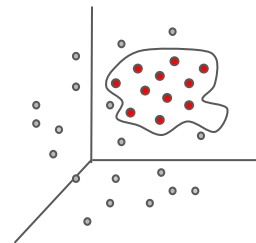
Q2

Cross section

Kinematics dependent
inverse mapper

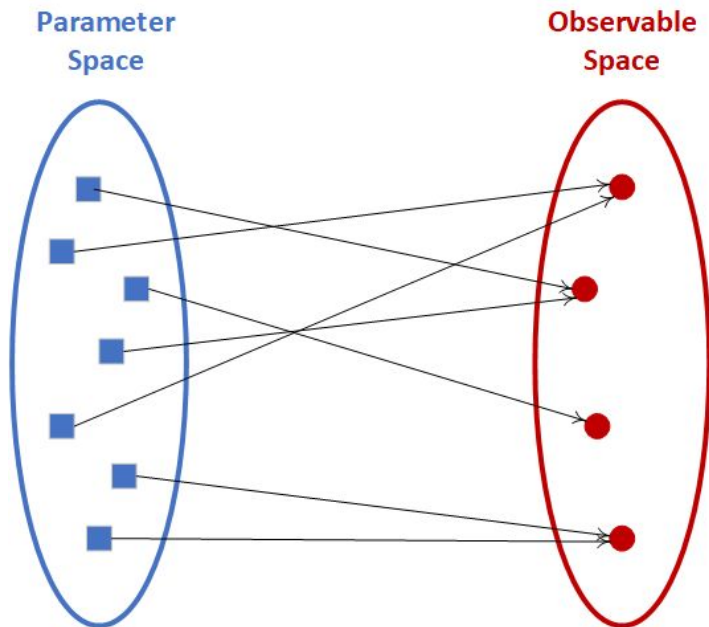


Parameter
inference



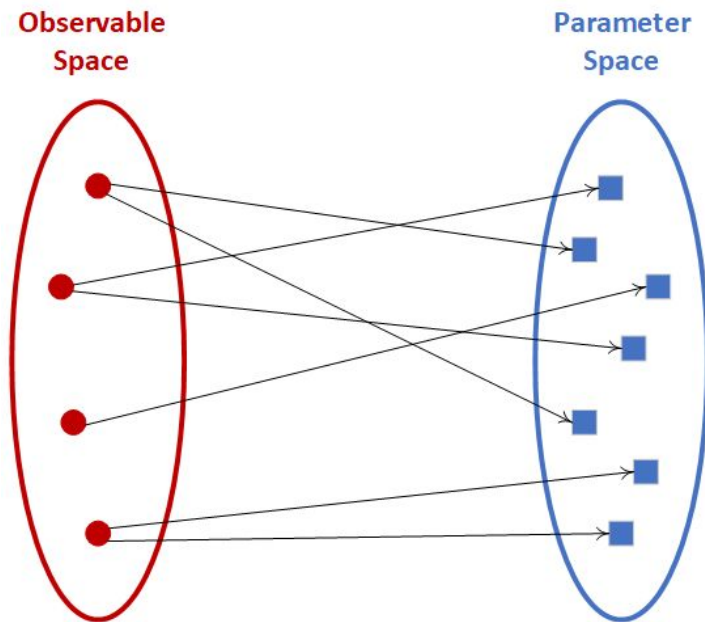
Ambiguity in inverse problems

Forward Mapper

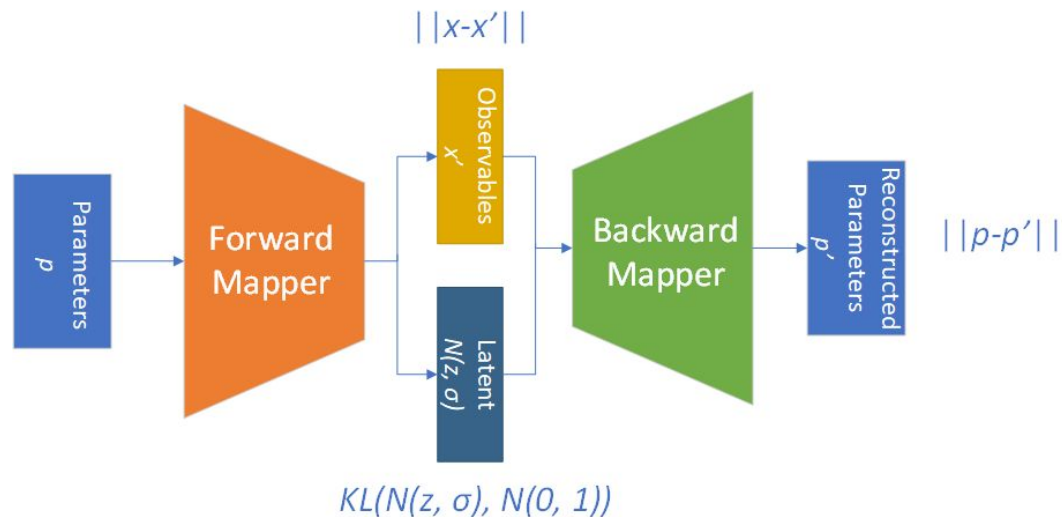


Backward Mapper

Ambiguous



Kinematic-independent inverse mapper: Variational Autoencoder (VAE)

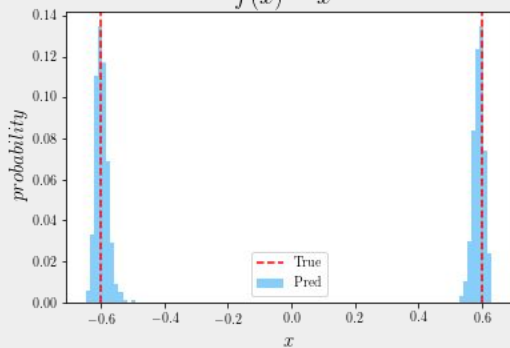


- Better than previous models
- Remove the grid dependence
- Highly accurate
- No Gaussian mixture assumption

Toy problems with multiple solutions

$$f(x) = x^2$$

$$f(x) = x^2$$

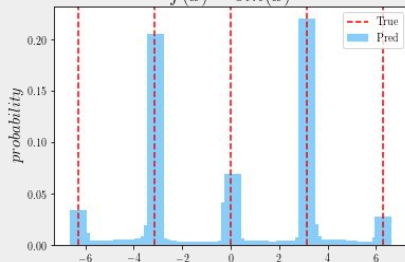


$$f(x) = 0.36$$

Two Solutions

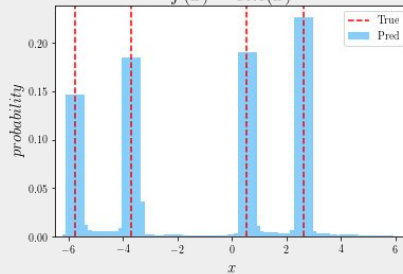
$$f(x) = \sin(x)$$

$$f(x) = \sin(x)$$



$$f(x) = 0$$

$$f(x) = \sin(x)$$

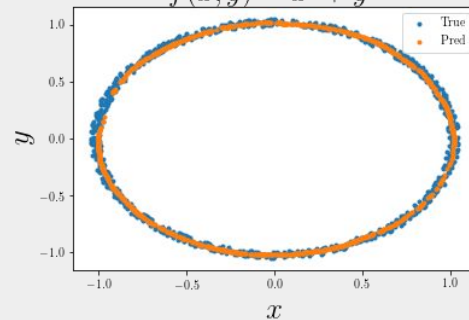


$$f(x) = 0.5$$

Multiple Finite Solutions

$$f(x, y) = x^2 + y^2$$

$$f(x, y) = x^2 + y^2$$

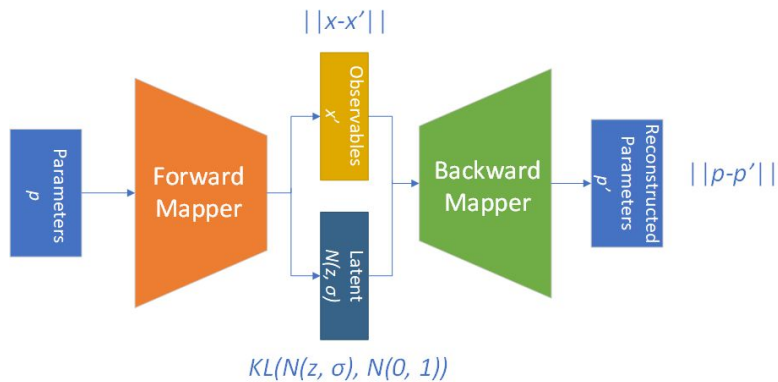


$$f(x, y) = 1.0$$

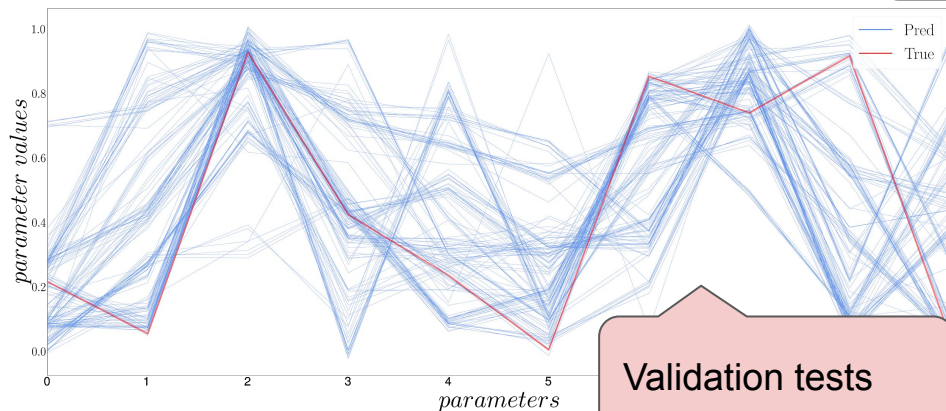
Infinite Solutions

Does it work for DIS?

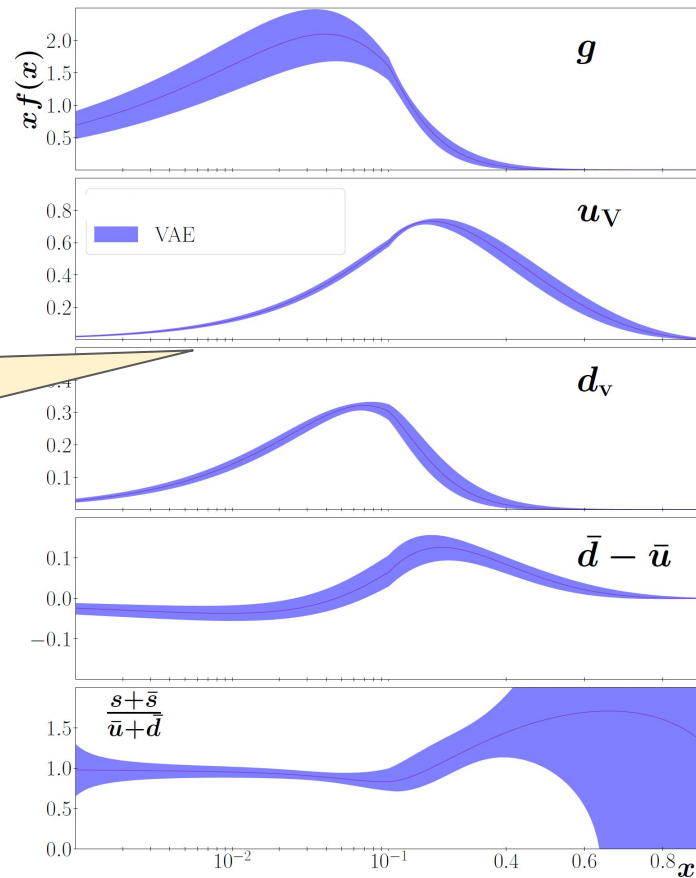
M. Almaeen *et al.* (in preparation, 2020)



Inverse
mapper
in action!

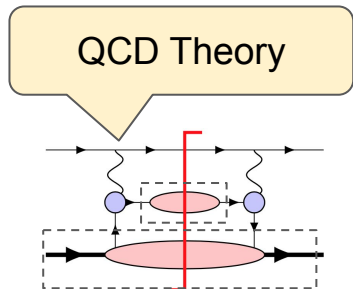


Validation tests



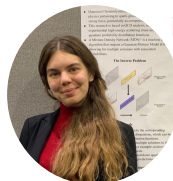
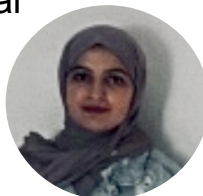
The workforce of FemtoAnalyzer

Jefferson Lab

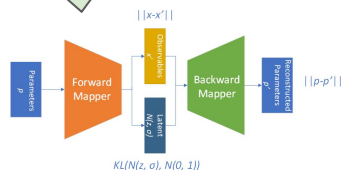


ODU

ODU
Manal



Inverse mappers



Eleni
DAVIDSON



Rida
DAVIDSON

DAVIDSON



ODU
Heramb



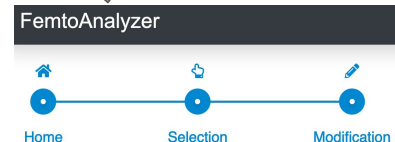
Raghu
DAVIDSON

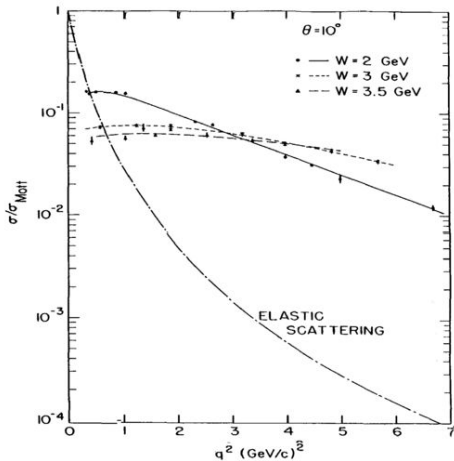


Annabel
DAVIDSON



Web-interface





Summary

