

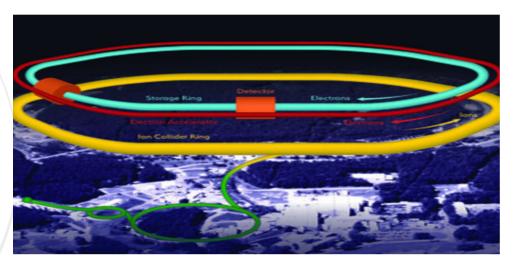
The 2021 CFNS Summer School on the Physics of the Electron-Ion Collider

August 9-20, 2021

Introduction to QCD

- Lec. 1: Fundamentals of QCD
- Lec. 2: Matching observed hadrons to quarks and gluons
- Lec. 3: QCD for cross sections with identified hadrons
- Lec. 4: QCD for cross sections with polarized beam(s)

Jianwei Qiu Theory Center Jefferson Lab



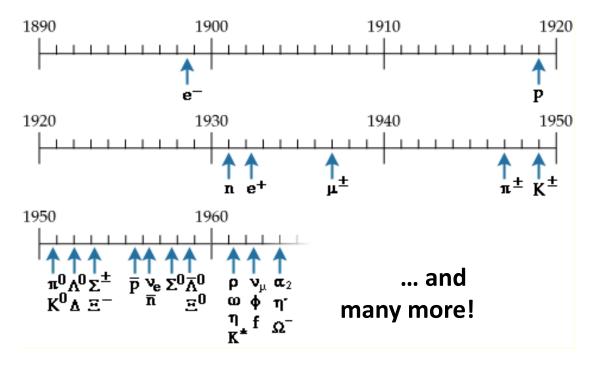






Office of Science

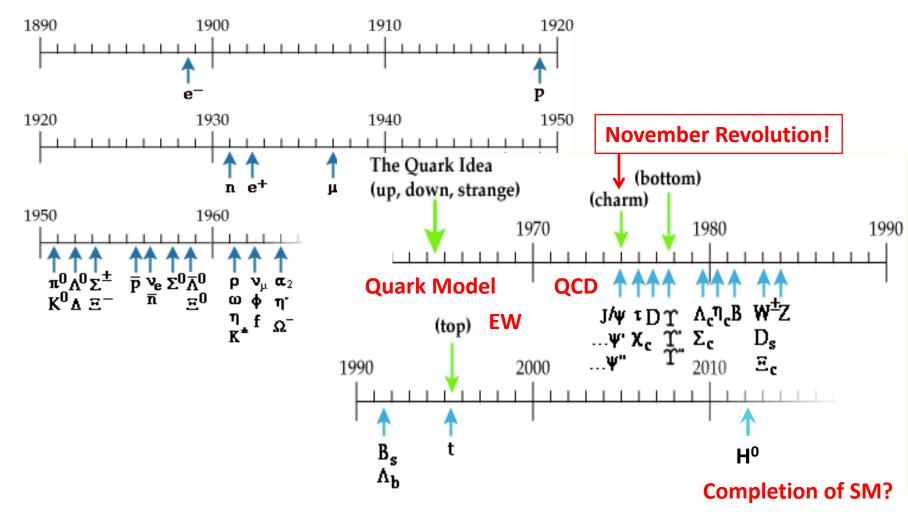
Early proliferation of new hadrons – "particle explosion":



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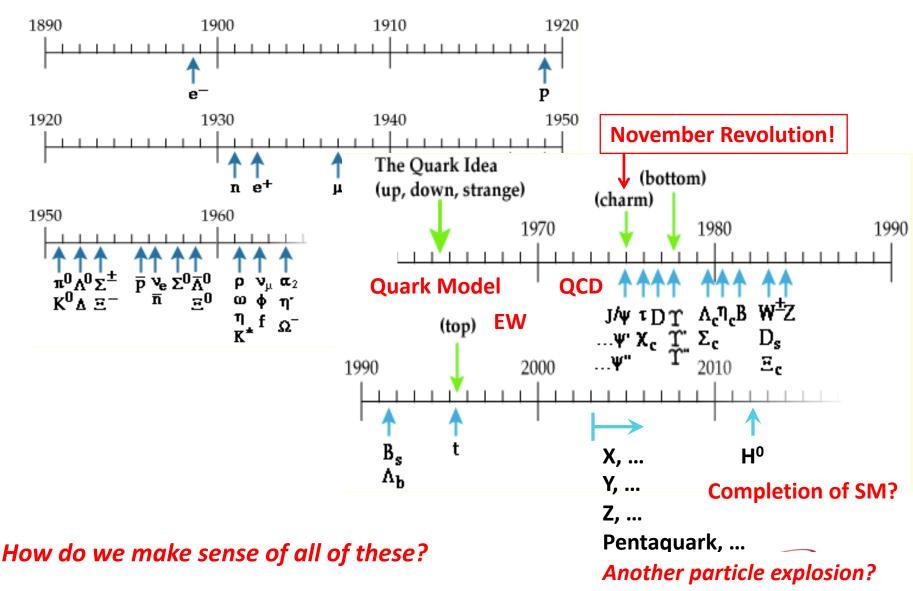


Early proliferation of new hadrons – "particle explosion":

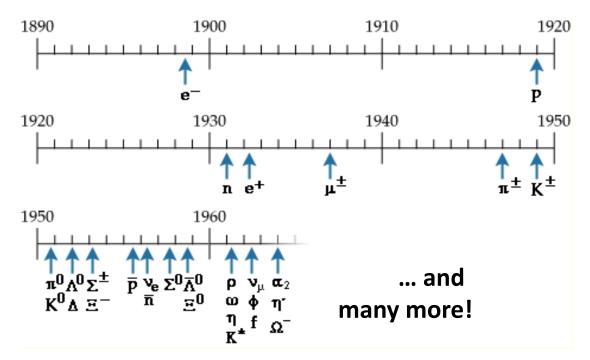




Early proliferation of new hadrons – "particle explosion":



□ Early proliferation of new hadrons – "particle explosion":



Nucleons has internal structure!

1933: Proton's magnetic moment

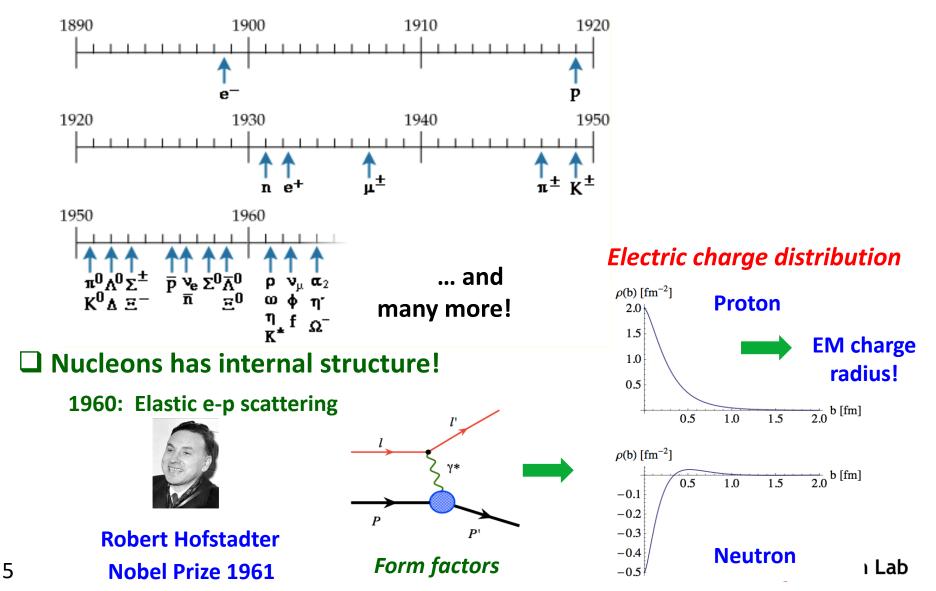


Otto Stern Nobel Prize 1943

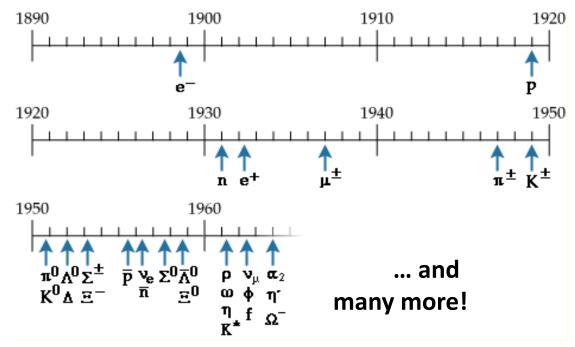
$$\mu_p = g_p \left(\frac{e\hbar}{2m_p}\right)$$

$$g_p = 2.792847356(23) \neq 2!$$
$$\mu_n = -1.913 \left(\frac{e\hbar}{2m_p}\right) \neq 0!$$

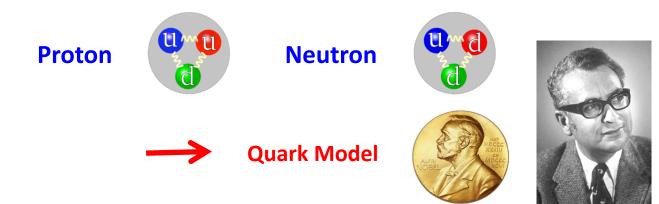
Early proliferation of new hadrons – "particle explosion":



□ Early proliferation of new hadrons – "particle explosion":



□ Nucleons are made of quarks!



Murray Gell-Mann Nobel Prize, 1969 Jefferson Lab

□ Flavor SU(3) – assumption:

Physical states for u, d, s, neglecting any mass difference, are represented by 3-eigenstates of the fund'l rep'n of flavor SU(3)

□ Generators for the fundamental rep'n of SU(3) – 3x3 matrices:

$$J_i = rac{\lambda_i}{2}$$
 with $\lambda_i, i = 1, 2, ..., 8$ Gell-Mann matrices

Good quantum numbers to label the states:

$$J_{3} = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad J_{8} = \frac{1}{2\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \qquad \begin{array}{l} \text{simultaneously} \\ \text{diagonalized} \\ \text{lsospin:} \quad \hat{I}_{3} \equiv J_{3} \text{, Hypercharge:} \qquad \hat{Y} \equiv \frac{2}{\sqrt{3}} J_{8} \\ \text{Basis vectors - Eigenstates:} \qquad |I_{3}, Y\rangle \\ v^{1} \equiv \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \Longrightarrow u = |\frac{1}{2}, \frac{1}{3}\rangle \qquad v^{2} \equiv \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \Longrightarrow d = |-\frac{1}{2}, \frac{1}{3}\rangle \quad v^{3} \equiv \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \Longrightarrow s = |0, -\frac{2}{3}\rangle \\ \text{Jefferson Lab} \end{array}$$

Quark states:

$$u = |\frac{1}{2}, \frac{1}{3}\rangle$$
 $d = |-\frac{1}{2}, \frac{1}{3}\rangle$ $s = |0, -\frac{2}{3}\rangle$
Spin: 1/2

Baryon #: $B = \frac{1}{3}$

Strangeness: S = Y - B

Electric charge:

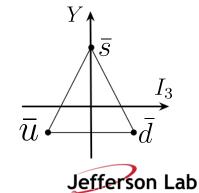
 $Q \equiv I_3 + \frac{Y}{2}$

$$u \begin{cases} Q = 2/3 e \\ s = 1/2 \\ I_3 = 1 \\ Y = 1/3 \\ B = 1/3 \\ S = 0 \end{cases} d \begin{cases} Q = -1/3 e \\ s = 1/2 \\ I_3 = -1 \\ Y = 1/3 \\ B = 1/3 \\ S = 0 \end{cases} s \begin{cases} Q = -1/3 e \\ s = 1/2 \\ I_3 = 0 \\ Y = -2/3 \\ B = 1/3 \\ S = -1 \end{cases}$$

Antiquark states:

 $v_i \equiv \epsilon_{ijk} \, v^j v^k$

$$\begin{split} \hat{I}_{3}v_{1} &= \epsilon_{123}[(\hat{I}_{3}v^{2})v^{3} + v^{2}(\hat{I}_{3}v^{3})] + \epsilon_{132}[(\hat{I}_{3}v^{3})v^{2} + v^{3}(\hat{I}_{3}v^{2})] = -\frac{1}{2}v_{1} \\ \hat{Y}v_{1} &= \epsilon_{123}[(\hat{Y}v^{2})v^{3} + v^{2}(\hat{Y}v^{3})] + \epsilon_{132}[(\hat{Y}v^{3})v^{2} + v^{3}(\hat{Y}v^{2})] = -\frac{1}{3}v_{1} \\ u \longrightarrow \bar{u} &= \left|-\frac{1}{2}, -\frac{1}{3}\right\rangle \end{split}$$



Y

S

 \mathcal{U}

 \tilde{I}_3

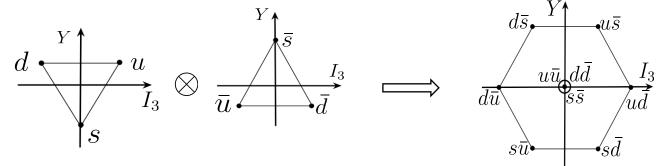
d

Quark-antiquark $q\bar{q}$ flavor states:

Group theory says:

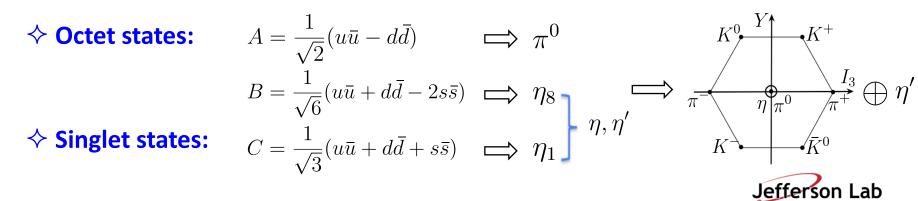
 $q(u, d, s) = \mathbf{3}, \ \bar{q}(\bar{u}, \bar{d}, \bar{s}) = \mathbf{\bar{3}}, \ \text{of flavor SU(3)}$

 $3\otimes \overline{3} = 8\oplus 1$ \implies 1 flavor singlet + 8 flavor octet states



There are three states with $I_3 = 0, Y = 0$: $u\bar{u}, d\bar{d}, s\bar{s}$

□ Physical meson states (L=0, S=0):



Quantum Numbers

Meson states: I^{PC} \diamond Spin of $q\bar{q}$ pair: **♦** Spin of mesons:

$$\vec{S} = \vec{s}_q + \vec{s}_{\bar{q}} \rightarrow S = 0, 1$$

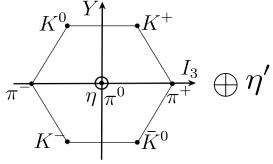
 $J = S + L$

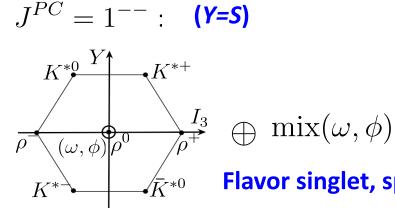
 \diamond Charge conjugation:

$$C = (-1)^{L+S}$$

L=0 states:

 $J^{PC} = 0^{-+}$: (Y=S)





Flavor singlet, spin octet

Color:

Flavor octet, spin octet

son Lab

No color was introduced!

3 quark QQQ states: B = 1Group theory says: $\mathbf{3}\otimes \mathbf{3}\otimes \mathbf{3} = \mathbf{10}_S \oplus \mathbf{8}_{M_S} \oplus \mathbf{8}_{M_A} \oplus \mathbf{1}_A$ \diamond Flavor: S: symmetric in all 3 q, M_S : symmetric in 1 and 2, M_A : antisymmetric in 1 and 2, A: antisymmetric in all 3 $\mathbf{2}\otimes\mathbf{2}\otimes\mathbf{2}=\mathbf{4}_{S}\oplus\mathbf{2}_{M_{s}}\oplus\mathbf{2}_{M_{A}}\implies S=rac{3}{2},rac{1}{2},rac{1}{2}$ \diamond Spin: **Physical baryon states:** $\Delta^{-}(dd) \Delta^{0}(udd)^{Y} \Delta^{+}(uud) \Delta^{++}(uuu)$ _ę p(uud) **♦ Flavor-10** \Rightarrow Flavor-8 n(udd) S=0 **Spin-3/2**: **Spin-1/2**: Σ^{*0}(uds) I_3 S=-1 I_3 Σ⁰(uds) Σ^{*}-(dds) $\Sigma^{*+}(uus)$ Σ⁻(dds) $\Lambda^0(uds)$ Σ⁺(uus) S=-2 Ξ[∗]0(uss) Ξ^{*}−(dss) Ω⁻(sss) Ξ⁻(dss) I Ξ⁰(uss) S=-3 Δ⁺⁺(uuu), ... Neutron Proton Violation of Pauli exclusive principle 11 Need another quantum number - color!

Minimum requirements:

- **\diamond** Quark needs to carry at least 3 different colors
- \diamond Color part of the 3-quarks' wave function needs to antisymmetric

SU(3) color:

Recall: $\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{10}_S \oplus \mathbf{8}_{M_S} \oplus \mathbf{8}_{M_A} \oplus \mathbf{1}_A$ And symptotic color sing $\longrightarrow c(\operatorname{Red}, \operatorname{Green}, \operatorname{Blue})$ $\psi_{\operatorname{Color}}(c_1, c_2, c_3) = \frac{1}{\sqrt{6}}[\operatorname{RGB-GRB}+\operatorname{RBG-BRG}+\operatorname{GBR-BGR}]$

Antisymmetric color singlet state:

Baryon wave function:

 $\Psi(q_1, q_2, q_3) = \psi_{\text{Space}}(x_1, x_2, x_3) \otimes \psi_{\text{Flavor}}(f_1, f_2, f_3) \otimes \psi_{\text{Spin}}(s_1, s_2, s_3) \otimes \psi_{\text{Color}}(c_1, c_2, c_3)$ **Antisymmetric Symmetric Symmetric Symmetric Symmetric Symmetric Jefferson Lab**

❑ Wave function – the state:

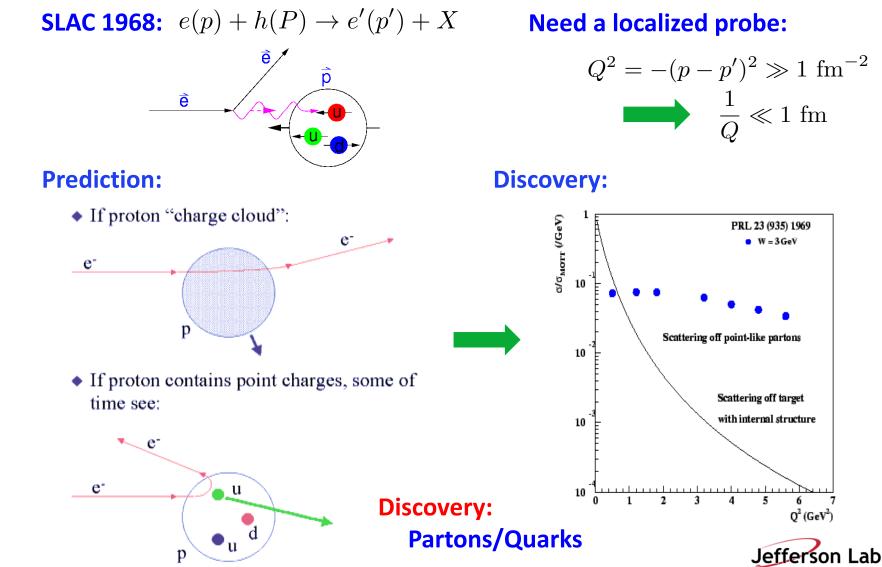
$$\begin{split} |p\uparrow\rangle &= \frac{1}{\sqrt{18}} \left[uud(\uparrow\downarrow\uparrow + \downarrow\uparrow\uparrow -2\uparrow\uparrow\downarrow) + udu(\uparrow\uparrow\downarrow + \downarrow\uparrow\uparrow -2\uparrow\downarrow\uparrow) \\ &+ duu(\uparrow\downarrow\uparrow + \uparrow\uparrow\downarrow -2\downarrow\uparrow\uparrow) \right] \end{split}$$

 $\langle p \uparrow | p \uparrow \rangle = \frac{1}{18} [(1+1+(-2)^2) + (1+1+(-2)^2) + (1+1+(-2)^2)] = 1$ **Charge:** $\hat{Q} = \sum \hat{Q}_i$ $\langle p \uparrow |\hat{Q}|p \uparrow \rangle = \frac{1}{18} \left[\left(\frac{2}{3} + \frac{2}{3} - \frac{1}{3}\right) \left(1 + 1 + (-2)^2\right) + \left(\frac{2}{3} - \frac{1}{3} + \frac{2}{3}\right) \left(1 + 1 + (-2)^2\right) \right]$ $+(-\frac{1}{3}+\frac{2}{3}+\frac{2}{3})(1+1+(-2)^2)] = 1$ $\hat{S} = \sum_{i=1}^{3} \hat{s}_{i}$ **Spin:** $\langle p \uparrow | \hat{S} | p \uparrow \rangle = \frac{1}{18} \{ \left[\left(\frac{1}{2} - \frac{i \overline{1}}{2} + \frac{1}{2} \right) + \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{2} \right) + 4\left(\frac{1}{2} + \frac{1}{2} - \frac{1}{2} \right) \right]$ $+\left[\frac{1}{2} + \frac{1}{2} + 4\frac{1}{2}\right] + \left[\frac{1}{2} + \frac{1}{2} + 4\frac{1}{2}\right] = \frac{1}{2}$ ☐ Magnetic moment: $\mu_p = \langle p \uparrow | \sum_{i=1}^3 \hat{\mu}_i(\hat{\sigma}_3)_i | p \uparrow \rangle = \frac{1}{3} [4\mu_u - \mu_d] \qquad \longrightarrow \qquad \left(\frac{\mu_n}{\mu_p} \right)_{\text{QM}} = -\frac{2}{3}$

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$$\mu_n = \frac{1}{3} [4\mu_d - \mu_u]$$
 $\frac{\mu_u}{\mu_d} \approx \frac{2/3}{-1/3} = -2$ $\left(\left(\frac{\mu_n}{\mu_p} \right)_{\text{Exp}} = -0.68497945(58) \right)$

How to "see" substructure of a nucleon?

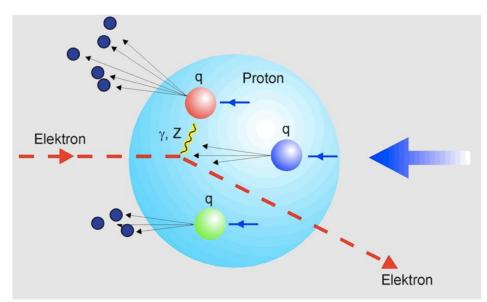
A modern "Rutherford" experiment (over 50 years ago):



How to "see" substructure of a nucleon?

Lepton-Hadron Deep Inelastic Scattering (DIS):

SLAC 1968: $e(p) + h(P) \to e'(p') + X$



 \diamond Localized probe:

$$Q^2 = -(p - p')^2 \gg 1 \text{ fm}^{-2}$$
$$\frac{1}{Q} \ll 1 \text{ fm}$$

♦ Two variables:

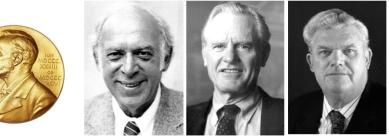
$$Q^{2} = 4EE' \sin^{2}(\theta/2)$$
$$x_{B} = \frac{Q^{2}}{2m_{N}\nu}$$
$$\nu = E - E'$$

Discovery of spin ½ quarks, and partonic structure!

What holds the quarks together?

The birth of QCD (1973)





Nobel Prize, 1990



Quantum Chromo-dynamics (QCD)

= A quantum field theory of quarks and gluons =

Fields:

 $\begin{array}{ll} \psi_i^f(x) & \begin{array}{ll} \mbox{Quark fields: spin-1/2 Dirac fermion (like electron)} \\ \mbox{Color triplet:} & i=1,2,3=N_c \\ \mbox{Flavor:} & f=u,d,s,c,b,t \end{array} \end{array}$

$$A_{\mu,a}(x)$$
 Gluon fields: spin-1 vector field (like photon)
Color octet: $a = 1, 2, ..., 8 = N_c^2 - 1$

QCD Lagrangian density:

$$\mathcal{L}_{QCD}(\psi, A) = \sum_{f} \overline{\psi}_{i}^{f} \left[(i\partial_{\mu}\delta_{ij} - gA_{\mu,a}(t_{a})_{ij})\gamma^{\mu} - m_{f}\delta_{ij} \right] \psi_{j}^{f} - \frac{1}{4} \left[\partial_{\mu}A_{\nu,a} - \partial_{\nu}A_{\mu,a} - gC_{abc}A_{\mu,b}A_{\nu,c} \right]^{2} + \text{gauge fixing + ghost terms}$$

QED – force to hold atoms together:

$$\mathcal{L}_{QED}(\phi, A) = \sum_{f} \overline{\psi}^{f} \left[(i\partial_{\mu} - eA_{\mu})\gamma^{\mu} - m_{f} \right] \psi^{f} - \frac{1}{4} \left[\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} \right]^{2}$$

QCD is much richer in dynamics than **QED**

16 Gluons are dark, but, interact with themselves, NO free quarks and gluons

Gauge Invariance:

$$\psi_{i}(x) \rightarrow \psi_{j}'(x) = U(x)_{ji} \psi_{i}(x)$$

$$A_{\mu}(x) \rightarrow A_{\mu}'(x) = U(x) A_{\mu}(x) U^{-1}(x) + \frac{i}{g} [\partial_{\mu} U(x)] U^{-1}(x)$$
where
$$A_{\mu}(x)_{ij} \equiv A_{\mu,a}(x)(t_{a})_{ij}$$

$$U(x)_{ij} = \left[e^{i \alpha_{a}(x) t_{a}}\right]_{ij}$$
Unitary [det=1, SU(3)]

Color matrices:

$$[t_a, t_b] = i C_{abc} t_c$$

Gauge Fixing:

$$\mathcal{L}_{gauge} = -\frac{\lambda}{2} (\partial_{\mu} A^{\mu}_{a}) (\partial_{\nu} A^{\nu}_{a})$$

Generators for the fundamental

representation of SU3 color

Allow us to define the gauge field propagator:

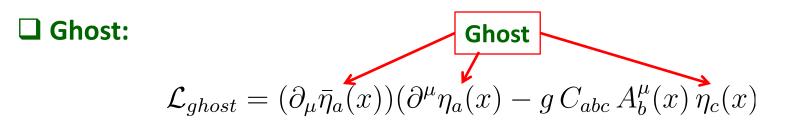
$$G_{\mu\nu}(k)_{ab} = \frac{\delta_{ab}}{k^2} \left[-g_{\mu\nu} + \frac{k_{\mu}k_{\nu}}{k^2} \left(1 - \frac{1}{\lambda} \right) \right]$$

17 with $\lambda = 1$ the Feynman gauge

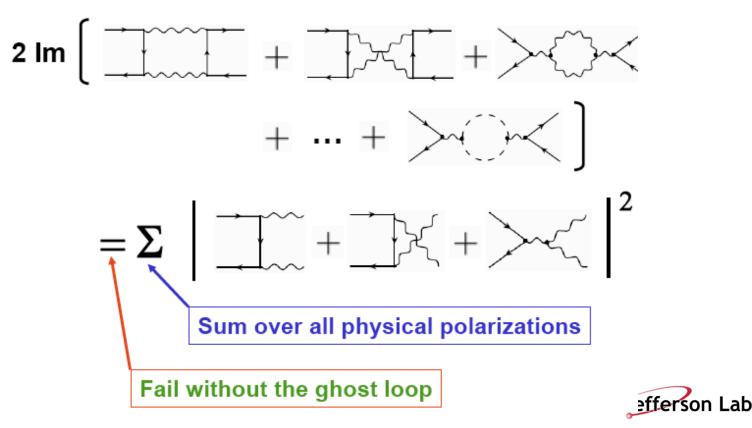
Jefferson Lab

 $\nu, b \longrightarrow \mu, a$

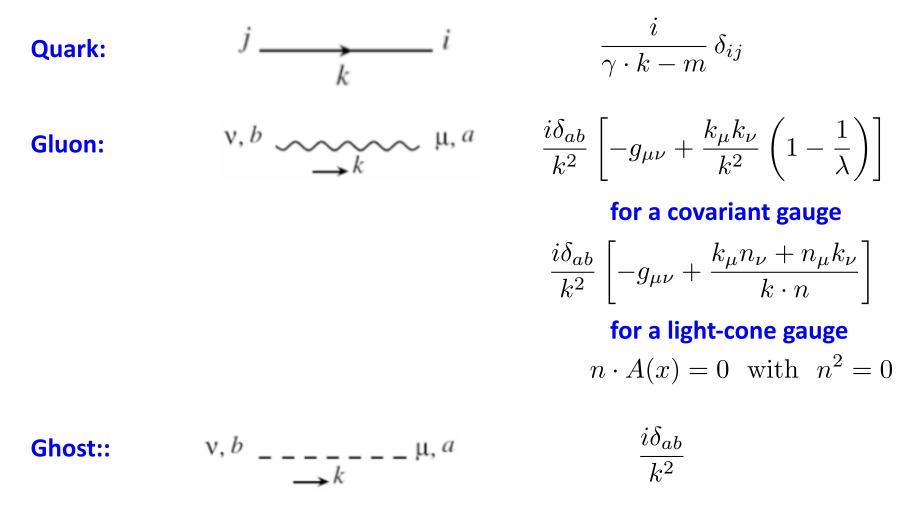
Ghost in QCD



so that the optical theorem (hence the unitarity) can be respected

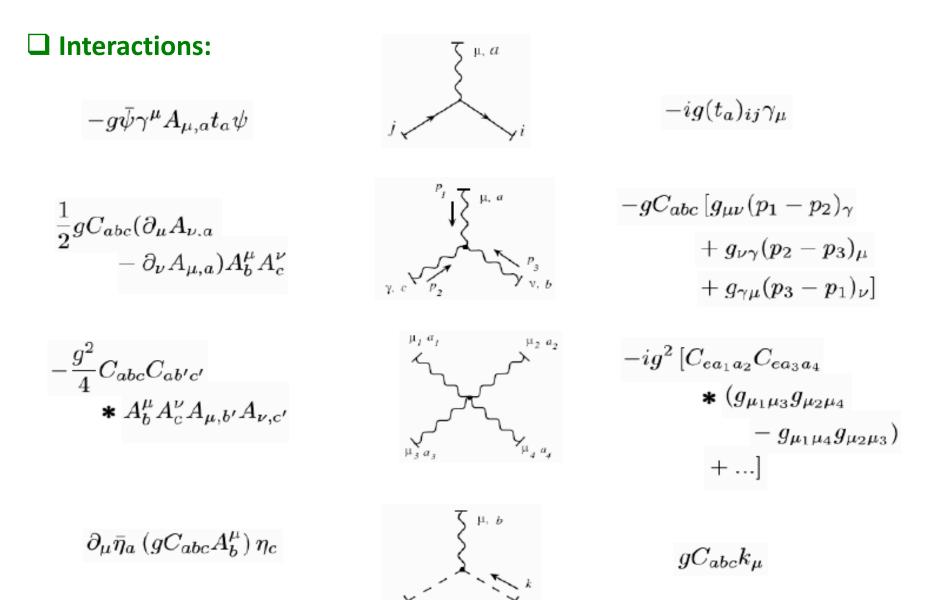


Propagators:





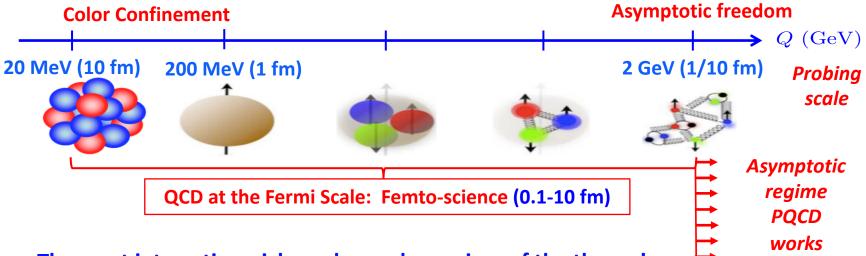
Feynman rules in QCD



QCD color is fully entangled

QCD color confinement:

- Do not see any quarks and gluons in isolation
- The structure of nucleons and nuclei emergent properties of QCD



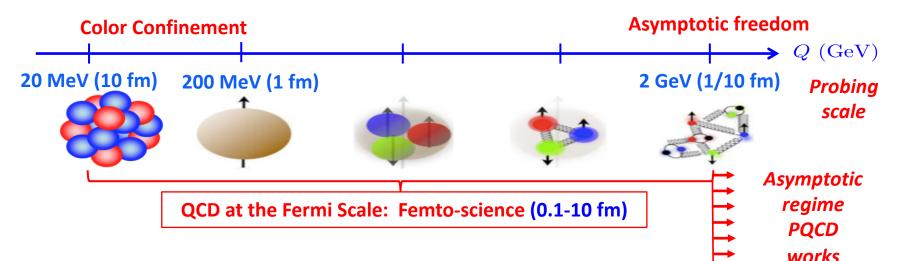
beautifully!

- The most interesting, rich, and complex regime of the theory!
- Emergent phenomena depend on the scale at which we probe them!

QCD color is fully entangled

QCD color confinement:

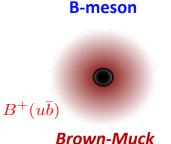
- Do not see any quarks and gluons in isolation
- The structure of nucleons and nuclei emergent properties of QCD



- The most interesting, rich, and complex regime of the theory!
- Emergent phenomena depend on the scale at which we probe them!

QCD is non-perturbative:

- Any cross section/observable with identified hadron is not perturbatively calculable!
- Color is fully entangled!



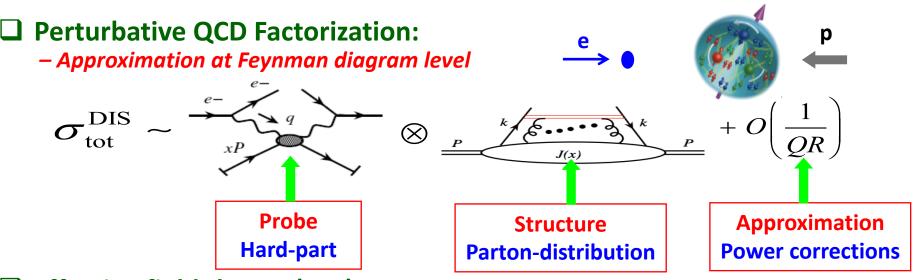
Atomic structure

beautifully!



Quantum orbits

Theoretical Approaches - Approximations



Effective field theory (EFT):

- Approximation at the Lagrangian level

Soft-collinear effective theory (SCET), Non-relativistic QCD (NRQCD), Heavy quark EFT, chiral EFT(s), ...

Lattice QCD:

- Approximation mainly due to computer power

Hadron structure, hadron spectroscopy, nuclear structure, phase shift, ...

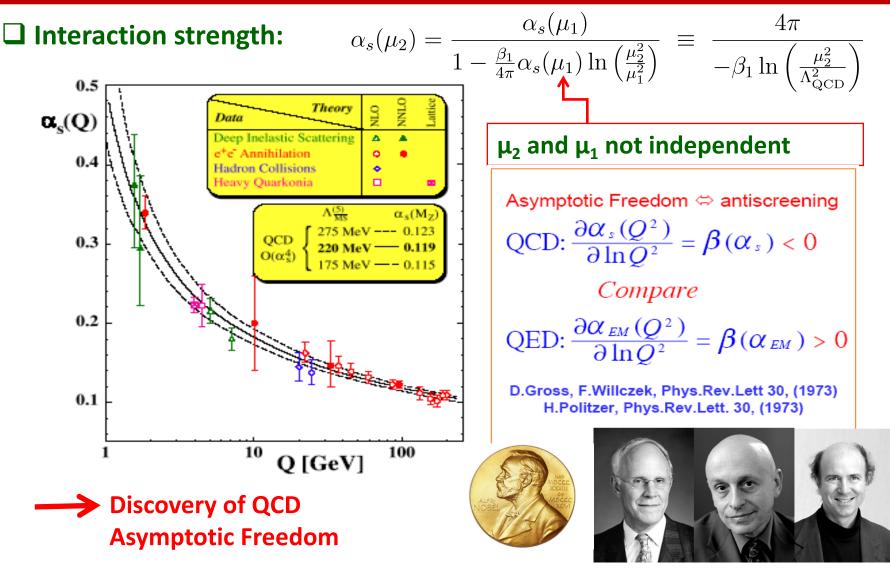
Other approaches:

Light-cone perturbation theory, Dyson-Schwinger Equations (DSE), Constituent quark models, AdS/CFT correspondence, ...



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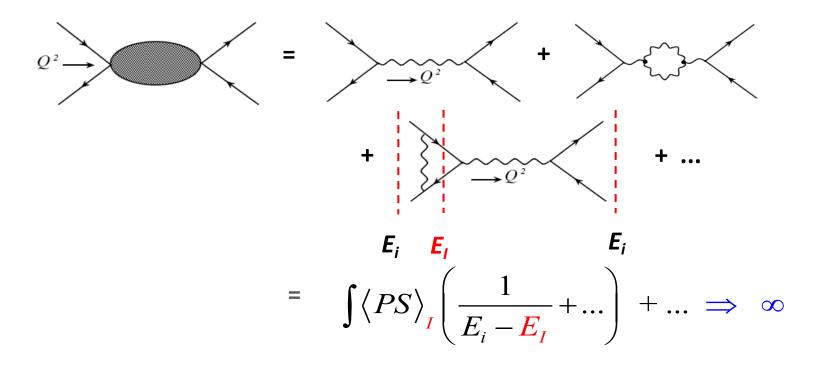
QCD Asymptotic Freedom



Nobel Prize, 2004 Jefferson Lab

Renormalization, why need?

□ Scattering amplitude:

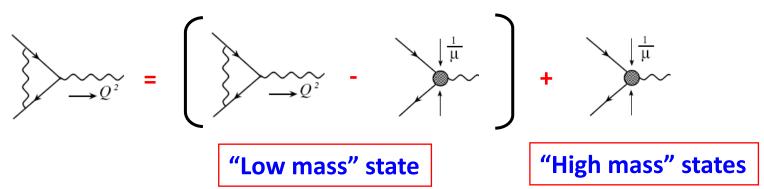


UV divergence: result of a "sum" over states of high masses Uncertainty principle: High mass states = "Local" interactions No experiment has an infinite resolution!

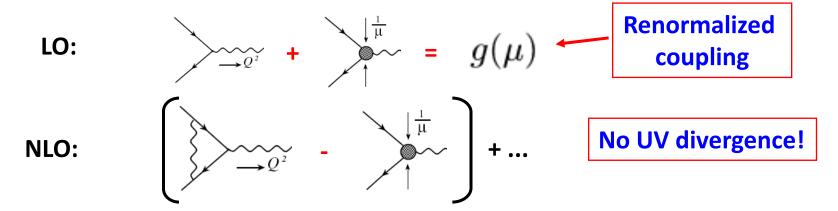


Physics of renormalization

UV divergence due to "high mass" states, not observed



Combine the "high mass" states with LO



Renormalization = re-parameterization of the expansion parameter in perturbation theory



Renormalization Group

Physical quantity should not depend on renormalization scale µ renormalization group equation:

$$\mu^2 \frac{d}{d\mu^2} \,\sigma_{\rm Phy}\left(\frac{Q^2}{\mu^2}, g(\mu), \mu\right) = 0 \quad \Longrightarrow \quad \sigma_{\rm Phy}(Q^2) = \sum_n \hat{\sigma}^{(n)}(Q^2, \mu^2) \left(\frac{\alpha_s(\mu)}{2\pi}\right)^n$$

Running coupling constant:

$$\mu \frac{\partial g(\mu)}{\partial \mu} = \beta(g) \qquad \qquad \alpha_s(\mu) = \frac{g^2(\mu)}{4\pi}$$

QCD β function:

$$\beta(g) = \mu \frac{\partial g(\mu)}{\partial \mu} = +g^3 \frac{\beta_1}{16\pi^2} + \mathcal{O}(g^5) \qquad \beta_1 = -\frac{11}{3}N_c + \frac{4}{3}\frac{n_f}{2} < 0 \quad \text{for } n_f \le 6$$

QCD running coupling constant:

$$\alpha_s(\mu_2) = \frac{\alpha_s(\mu_1)}{1 - \frac{\beta_1}{4\pi}\alpha_s(\mu_1)\ln\left(\frac{\mu_2^2}{\mu_1^2}\right)} \Rightarrow 0 \quad \text{as } \mu_2 \to \infty \quad \text{for } \beta_1 < 0$$

Running quark mass:

$$m(\mu_2) = m(\mu_1) \exp\left[-\int_{\mu_1}^{\mu_2} \frac{d\lambda}{\lambda} [1 + \gamma_m(g(\lambda))]\right]$$

Quark mass depend on the renormalization scale!

QCD running quark mass:

$$m(\mu_2) \Rightarrow 0$$
 as $\mu_2 \to \infty$ since $\gamma_m(g(\lambda)) > 0$

Choice of renormalization scale:

 $\mu \sim Q$ for small logarithms in the perturbative coefficients

Light quark mass:

$$m_f(\mu) \ll \Lambda_{\text{QCD}}$$
 for $f = u, d$, even s

QCD perturbation theory (Q>> Λ_{QCD}) is effectively a massless theory

Jefferson Lab

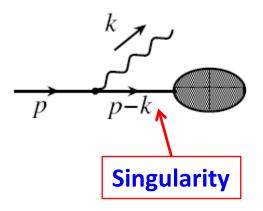
Infrared and collinear divergences

Consider a general diagram:

 $p^2=0, \ \ k^2=0 \ \ {
m for a massless theory}$

$$\diamond \ k^{\mu} \to 0 \ \Rightarrow \ (p-k)^2 \to p^2 = 0$$

Infrared (IR) divergence



$$\begin{array}{c|c} \diamondsuit & k^{\mu} \mid p^{\mu} \implies k^{\mu} = \lambda p^{\mu} \quad \text{with} \quad 0 < \lambda < 1 \\ \\ \implies & (p - k)^2 \rightarrow (1 - \lambda)^2 p^2 = 0 \\ \hline \end{array} \\ \hline \end{array}$$

IR and CO divergences are generic problems of a massless perturbation theory



Infrared safety:

$$\sigma_{\rm Phy}\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2), \frac{m^2(\mu^2)}{\mu^2}\right) \Rightarrow \hat{\sigma}\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) + \mathcal{O}\left[\left(\frac{m^2(\mu^2)}{\mu^2}\right)^{\kappa}\right]$$

Infrared safe = $\kappa > 0$

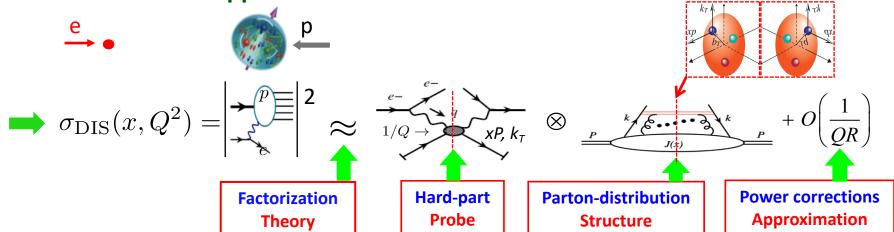


Cross section with identified hadron(s):

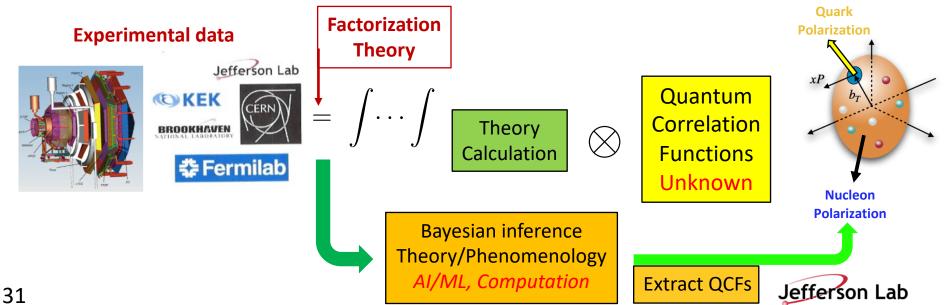
- Can not be calculated perturbatively!
- Solution QCD factorization:
 - to isolated what can be calculated perturbatively,
 - to represent the leading non-perturbative information by universal functions
 - to justify the approximation to neglect other nonperturbative information

QCD Factorization

G Factorization is an approximation!



QCD global analyses:



Foundation of QCD perturbation theory

- Renormalization
 - QCD is renormalizable
- Asymptotic freedom
 - weaker interaction at a shorter distance

Nobel Prize, 1999 't Hooft, Veltman

Nobel Prize, 2004 Gross, Politzer, Welczek

□ Infrared safety and factorization

- calculable short distance dynamics
- pQCD factorization connect the partons to

physical cross sections

J. J. Sakurai Prize, 2003 Mueller, Sterman

Look for infrared safe and factorizable observables!



QCD is everywhere in our universe



- How to understand the emergence and properties of nucleon and nuclei (elements of the periodic table) in terms of elements of the modern periodic table?
- $\circ~$ How does the glue bind us all?
- 33 O Facilities CEBAF, EIC, ...

Nuclear Femtography Search for answers to these questions at a Fermi scale! Jefferson Lab