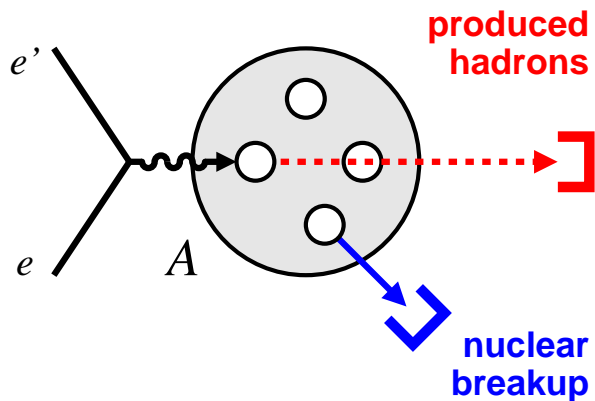


Target fragmentation and nuclear breakup in DIS

C. Weiss (JLab), Fragmentation Functions 2021, INT Seattle, 05 Nov 2021



- Target fragmentation in DIS
 - QCD factorization
 - Conditional PDFs or fracture functions
- Nuclear breakup in DIS
 - Simplest case: Deuteron spectator tagging
 - Cross section and observables
 - Light-front nuclear structure
 - Final-state interactions
- Applications
 - Free neutron structure, EMC effect
 - Spin dependence, T-odd structures

Nuclear breakup measurements in DIS
as special case of target fragmentation

→ QCD factorization

→ Structures: p_T , ϕ , spin

→ Dynamics: Nuclear theory, FSI

→ Applications

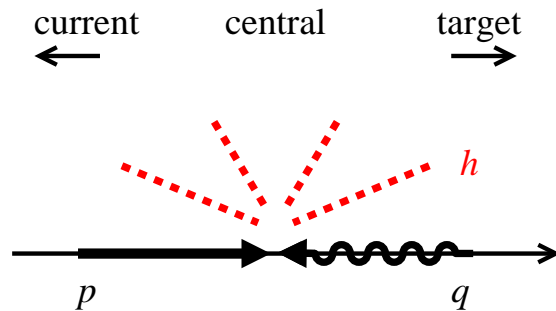
Target fragmentation: Basics

- Hadron production in DIS

Multiplicity $\propto \log W$

Current – central – target regions

Variables: Rapidity, x_F , light-cone fraction ζ , ...

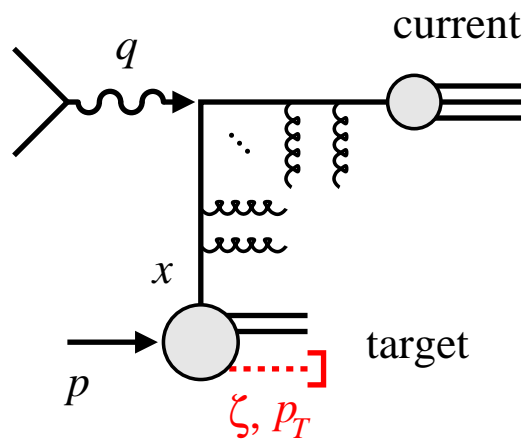


- Target fragmentation

QCD factorization for single-inclusive hadron prodn
[Trentadue, Veneziano 93](#); [Collins 97](#)

Conditional PDFs or fracture functions

Leading twist, DGLAP evolution

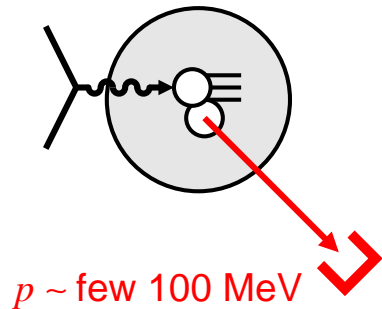
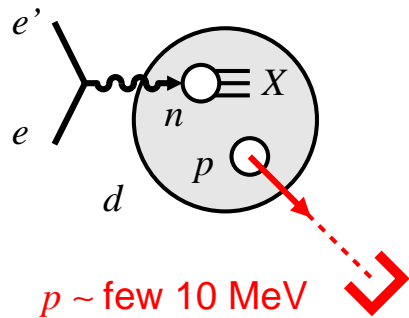


- Applications

Nucleon: Flavor, spin, parton correlations
[Workshop "Target Fragmentation Physics with EIC,"](#)
[CFNS Stony Brook, 28-30 Sep 2020 \[Webpage\].](#)
[EIC Yellow Report arXiv:2103.05419](#)

Nuclei: Nuclear breakup measurements ←

Target fragmentation: Nuclear breakup



[Nuclear rest frame view]

- Nuclear breakup measurements

Simplest case: $e + d \rightarrow e' + X + p, n$

“Spectator tagging”

- Applications

Control nuclear configurations during DIS process:
Active n or p , size of config \leftrightarrow interactions

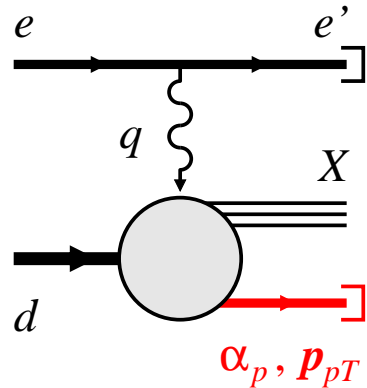
Neutron structure extraction,
EMC effect, NN correlations

- Detection

Fixed-target: Slow nucleons \sim few 10 – 100 MeV
Protons: JLab6/12 BONUS, ALERT, TDIS
Neutrons: BAND

Collider: Far-forward detection
Excellent capabilities for forward proton and neutrons
EIC Yellow Report \rightarrow Talk Nguyen

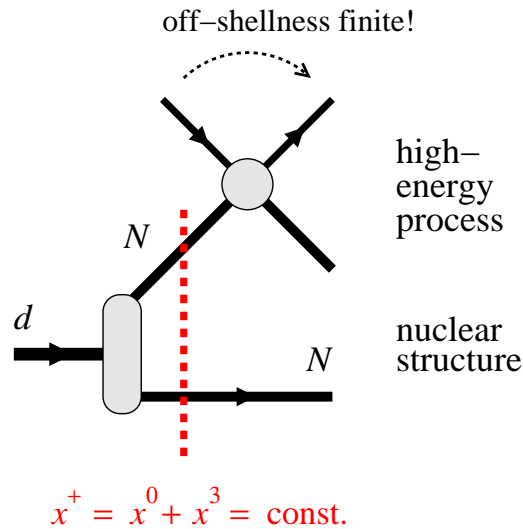
Tagging: Cross section and observables



$$\frac{d\sigma}{dx dQ^2 (d^3p_p / E_p)} = [\text{flux}] \left[F_{Td}(x, Q^2; \alpha_p, \mathbf{p}_{pT}) + \epsilon F_{Ld}(\dots) \right. \\ \left. + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_p F_{LT,d}(\dots) + \epsilon \cos(2\phi_p) F_{TT,d}(\dots) \right. \\ \left. + \text{spin-dependent structures} \right]$$

- Semi-inclusive DIS cross section $e + d \rightarrow e' + X + p$
- Tagged proton momentum described by LF components $p_p^+ = \alpha_p p_d^+ / 2$, \mathbf{p}_{pT} , simply related to $\mathbf{p}_p(\text{restframe})$
- No a-priori assumptions re composite nuclear structure, $A = \sum N$, etc.

Tagging: Theoretical description



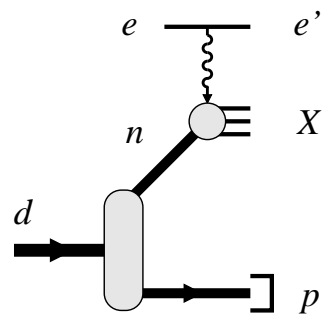
- Light-front quantization

Nuclear structure described at fixed light-front time $x^+ = x^0 + x^3 = \text{const.}$

Off-shellness of nucleon scattering process remains finite in high-energy limit, permits matching with on-shell nucleon amplitudes [Frankfurt, Strikman 80's](#)

Deuteron LF wave function $x^+ \langle pn | d \rangle = \Psi(\alpha_p, \mathbf{p}_{pT})$

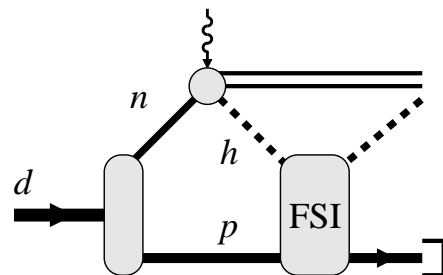
Low-energy nuclear structure \leftrightarrow non-relativistic theory



- Composite description

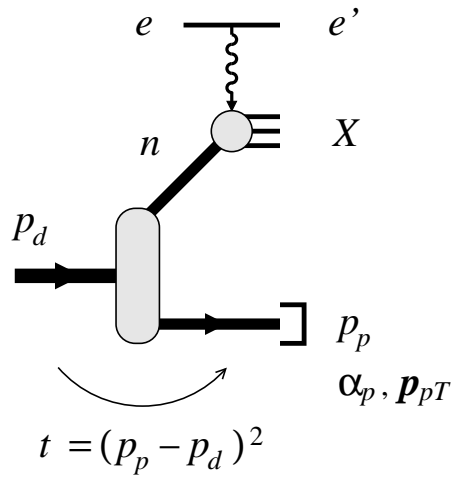
Impulse approximation IA: DIS final state and spectator nucleon evolve independently

Final-state interactions: Part of DIS final state interacts with spectator, transfers momentum



Here: Factorize nuclear and nucleonic structure
Calculate cross section from nucleon LT structure functions, not PDFs

Tagging: Free neutron structure



- Nucleon pole in deuteron wave function
 Configurations with size $\rightarrow \infty$, nucleons free
 Contained in IA cross section

- Free neutron from pole extrapolation

Measure tagged cross section at physical $p_{pT}^2 > 0$ and fixed α_p . Remove pole factor

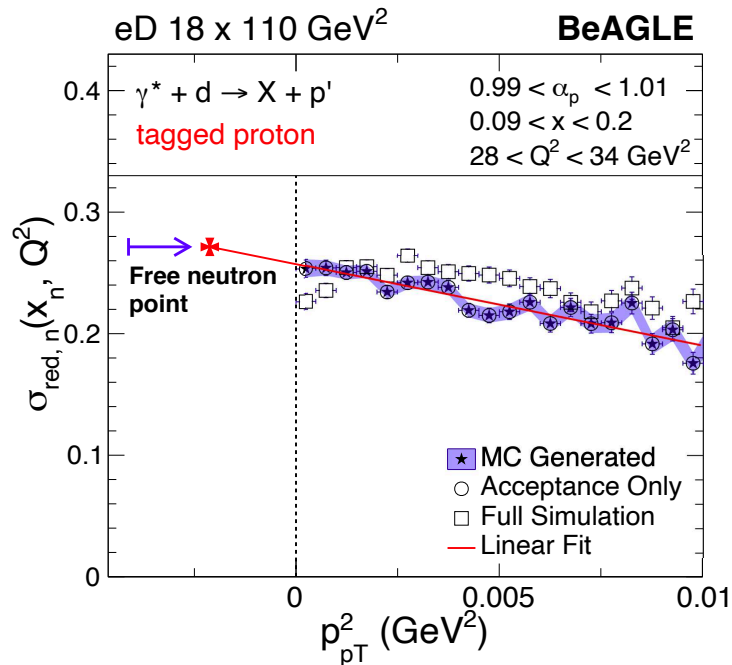
Extrapolate to pole $p_{pT}^2 = -a_T^2 < 0$, corresponding to $t - m^2 = 0$

Eliminates nuclear binding effects and FSI
[Sargsian, Strikman 05](#)

Extension to polarized DIS
[Cosyn, CW 2020](#)

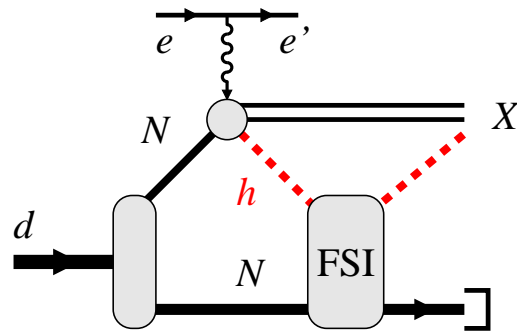
- EIC simulations

Far-forward detection of protons/neutrons

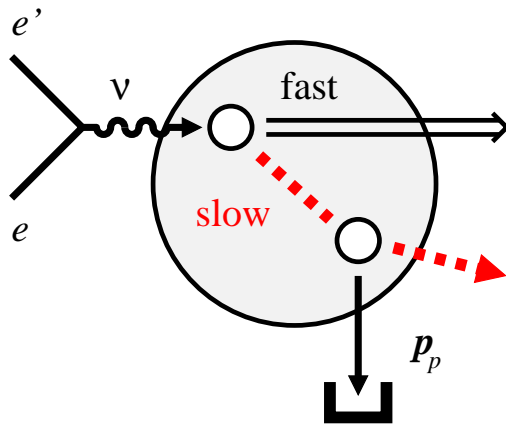


Simulation of proton/neutron tagging and pole extrapolation with EIC far-forward detectors. Jentsch, Tu, Weiss 2108.08314

Tagging: Final-state interactions



- DIS final state can interact with spectator
 - Changes observed nucleon distribution in tagging
 - No effect on total cross section: Closure
 - Depends on kinematics: Hadron distributions, formation



- Space-time picture in nuclear rest frame $x \gtrsim 0.1$

$E_h = O(\nu)$ “fast” hadrons formed outside nucleus
interact weakly with spectators

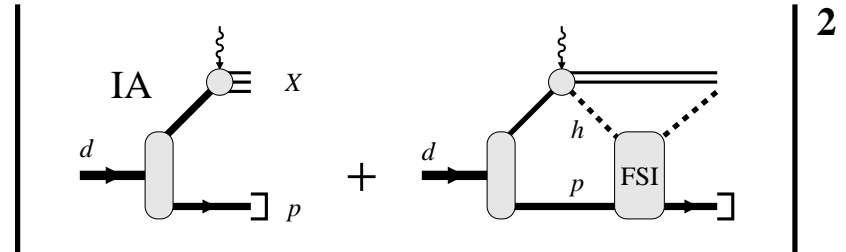
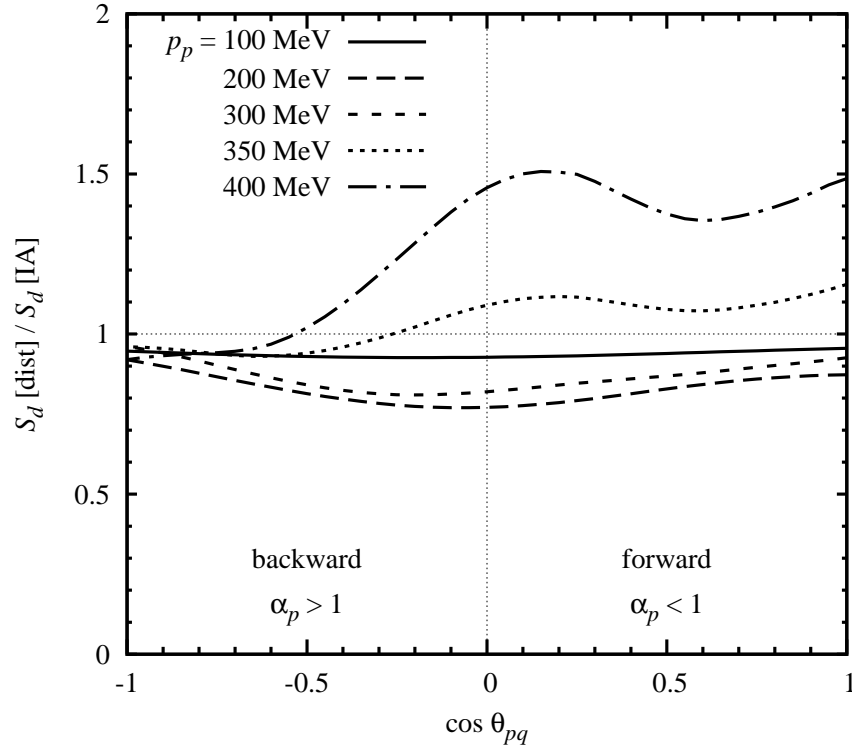
$E_h = O(\mu_{\text{had}})$ “slow” hadrons formed inside nucleus
 $\sim 1 \text{ GeV}$ interact with hadronic cross section
dominant source of FSI ←

Respects QCD factorization for target fragmentation:
FSI only modifies soft breakup of target, no long-range
rapidity correlations. FSI leading-twist effect

FSI effect calculated using hadron distribution data,
hadronic cross sections

[Strikman, CW, PRC97 \(2018\) 035209](#)

Tagging: Final-state interactions II



- Quantum-mechanical description: Interference, absorption
[Strikman, CW, PRC97 \(2018\) 035209](#)

- Momentum and angle dependence in rest frame

$$p_p < 300 \text{ MeV}$$

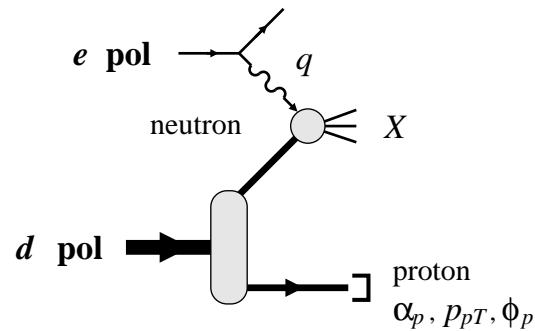
IA \times FSI interference, absorptive, weak angular dependence

$$p_p > 300 \text{ MeV}$$

$|FSI|^2$, refractive, strong angular dependence

- FSI vanishes at nucleon pole $t - m^2 \rightarrow 0$; pole extrapolation feasible

Tagging: Polarized deuteron



- Deuteron spin density matrix $\rho_{\lambda\lambda'}(S, T)$

3 vector parameters, 5 tensor parameters

Fixed by polarization measurements

cf. Stokes' parameters for photon

- Polarized tagged cross section

Cosyn, Sargsian, CW 17

$$\frac{d\sigma}{dx dQ^2 (d^3p_p / E_p)} = [\text{flux}] (F_U + F_S + F_T) \quad F_I = \text{functions}(x, Q^2, \alpha_p, p_{pT}, \phi_p)$$

$$F_U = F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \epsilon \cos 2\phi_h F_{UU}^{\cos 2\phi_h} + h\sqrt{2\epsilon(1-\epsilon)} \sin \phi_h F_{LU}^{\sin \phi_h}$$

$$F_S = S_L \left[\sqrt{2\epsilon(1+\epsilon)} \sin \phi_h F_{US_L}^{\sin \phi_h} + \epsilon \sin 2\phi_h F_{US_L}^{\sin 2\phi_h} \right] \\ + S_L h \left[\sqrt{1-\epsilon^2} F_{LS_L} + \sqrt{2\epsilon(1-\epsilon)} \cos \phi_h F_{LS_L}^{\cos \phi_h} \right] \\ + S_\perp \left[\sin(\phi_h - \phi_S) \left(F_{UST,T}^{\sin(\phi_h - \phi_S)} + \epsilon F_{UST,L}^{\sin(\phi_h - \phi_S)} \right) + \epsilon \sin(\phi_h + \phi_S) F_{UST}^{\sin(\phi_h + \phi_S)} \right. \\ \left. + \epsilon \sin(3\phi_h - \phi_S) F_{UST}^{\sin(3\phi_h - \phi_S)} + \sqrt{2\epsilon(1+\epsilon)} \left(\sin \phi_S F_{UST}^{\sin \phi_S} + \sin(2\phi_h - \phi_S) F_{UST}^{\sin(2\phi_h - \phi_S)} \right) \right] \\ + S_\perp h \left[\sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) F_{LS_T}^{\cos(\phi_h - \phi_S)} + \right. \\ \left. \sqrt{2\epsilon(1-\epsilon)} \left(\cos \phi_S F_{LS_T}^{\cos \phi_S} + \cos(2\phi_h - \phi_S) F_{LS_T}^{\cos(2\phi_h - \phi_S)} \right) \right],$$

$$\begin{aligned}
 F_T = & T_{LL} \left[F_{UT_{LL},T} + \epsilon F_{UT_{LL},L} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_h F_{UT_{LL}}^{\cos \phi_h} + \epsilon \cos 2\phi_h F_{UT_{LL}}^{\cos 2\phi_h} \right] \\
 & + T_{LL} h \sqrt{2\epsilon(1-\epsilon)} \sin \phi_h F_{LT_{LL}}^{\sin \phi_h} \\
 & + T_{L\perp} [\dots] + T_{L\perp} h [\dots] \\
 & + T_{\perp\perp} \left[\cos(2\phi_h - 2\phi_{T\perp}) \left(F_{UT_{TT},T}^{\cos(2\phi_h - 2\phi_{T\perp})} + \epsilon F_{UT_{TT},L}^{\cos(2\phi_h - 2\phi_{T\perp})} \right) \right. \\
 & + \epsilon \cos 2\phi_{T\perp} F_{UT_{TT}}^{\cos 2\phi_{T\perp}} + \epsilon \cos(4\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(4\phi_h - 2\phi_{T\perp})} \\
 & \left. + \sqrt{2\epsilon(1+\epsilon)} \left(\cos(\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(\phi_h - 2\phi_{T\perp})} + \cos(3\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(3\phi_h - 2\phi_{T\perp})} \right) \right] \\
 & + T_{\perp\perp} h [\dots]
 \end{aligned}$$

- U + S cross sections identical to spin-1/2 target Bacchetta et al. 07
- T cross section has 23 new tensor structure functions specific to spin-1
 4 structure functions survive in inclusive DIS, cf. $b_1 - b_4$ Hoodbhoy, Jaffe, Manohar 88
 ϕ -harmonics specific to tensor polarization — new handle
- T-odd structures vanish in impulse approximation, provide sensitive tests of FSI

- Spectator tagging + current fragmentation SIDIS

Use both target and current fragmentation regions – correlations → see also [Talk Avakian](#)

Additional information for flavor separation: $p \leftrightarrow n$ and $\pi^+, K^+ \leftrightarrow \pi^-, K^-$

- Tagged exclusive processes

Meson production or DVCS on neutron

“Know” forward-going hadron: Simpler FSI calculations, test picture/models

- Tagging with nuclei $A > 2$

→ [Talk Nguyen](#)

Much more complex: Wave function overlap in IA, multiple trajectories in FSI

Requires input from low-energy nuclear structure

[Workshop “Polarized light ion physics with EIC”, 5-9 Feb 2018, Ghent \[Webpage\].](#)

[Emerging collaboration with low-energy nuclear structure community](#)

- Nuclear breakup as special case of target fragmentation

General form of SIDIS cross section, including p_T , ϕ spin dependence

QCD factorization theorem, leading-twist structures

“Fracture functions” calculated from nuclear theory

New possibilities: Spin-1 target, tensor polarization, T-odd structures

Should be interesting to researchers in SIDIS/fragmentation

- Practical applications

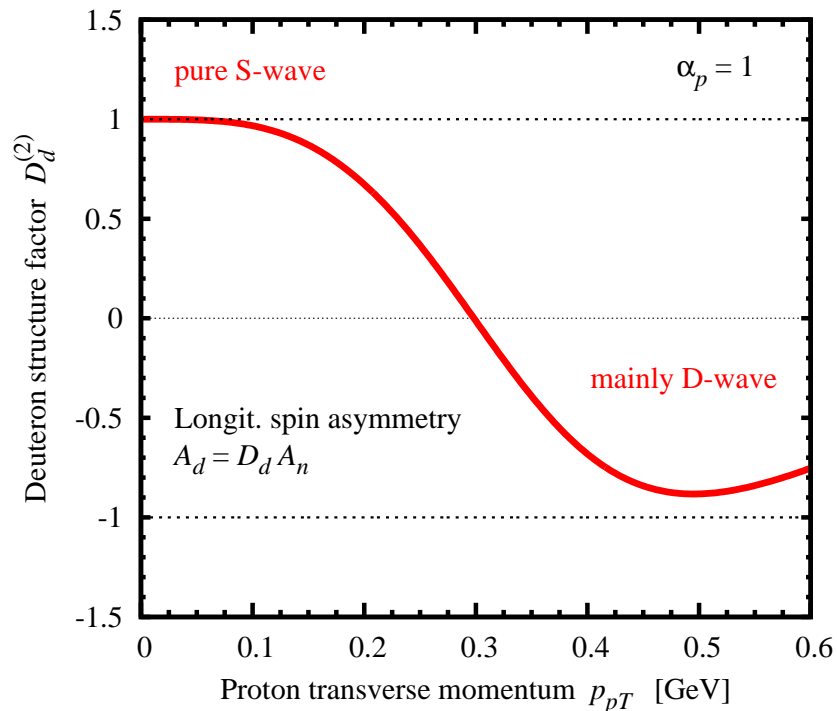
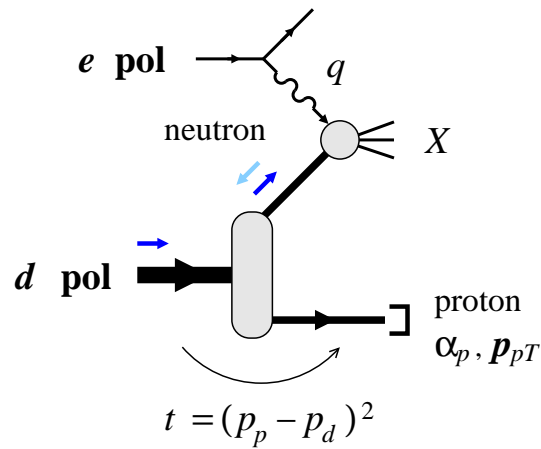
Initial-state structure: Free neutron, EMC effect, NN correlations, . . .

Final-state interactions: Use nuclear breakup to learn about hadronization process?

→ [Talk Gallmeister](#)

- Exciting prospects for programs at JLab12 and EIC

Supplementary material



- Nuclear binding: Neutron polarization?
S + D waves, depolarization

- Control neutron polarization

Measure tagged spin asymmetries

D-wave drops out at $\mathbf{p}_{pT} = 0$:
Pure S-wave, neutron 100% polarized

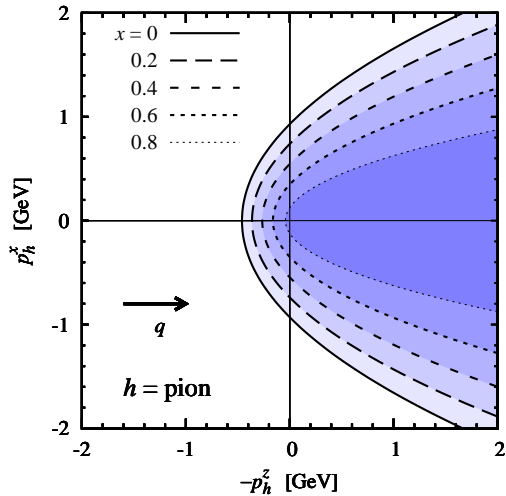
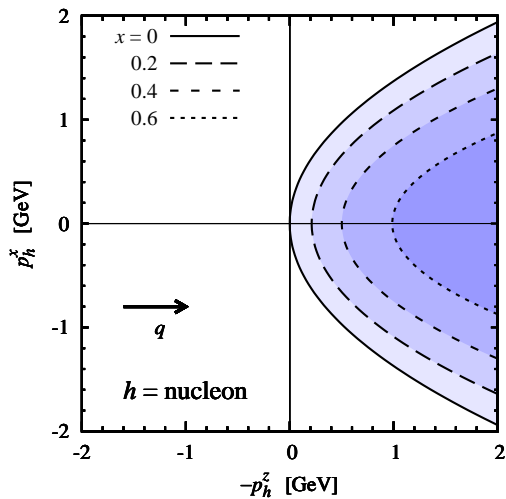
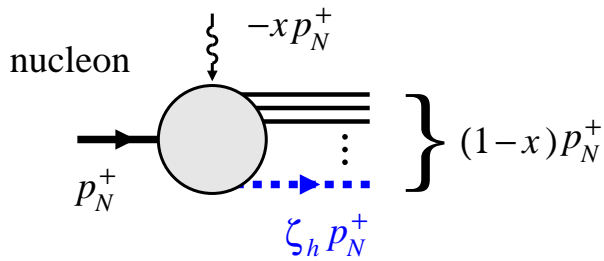
$[|\mathbf{p}_{pT}| \approx 400 \text{ MeV: D-wave dominates}]$

- Free neutron spin structure

On-shell extrapolation of asymmetry

- EIC simulations

Possible with int lumi \sim few 10 fb^{-1}



- Kinematic variables

ζ_h, \mathbf{p}_{hT} hadron LC momentum

Slow hadrons in rest frame have $\zeta_h \sim 1$

$\zeta_h < 1 - x$ kinematic limit

$\zeta_h / (1 - x) \approx -x_F$ relation to Feynman var

- Momentum distribution in rest frame

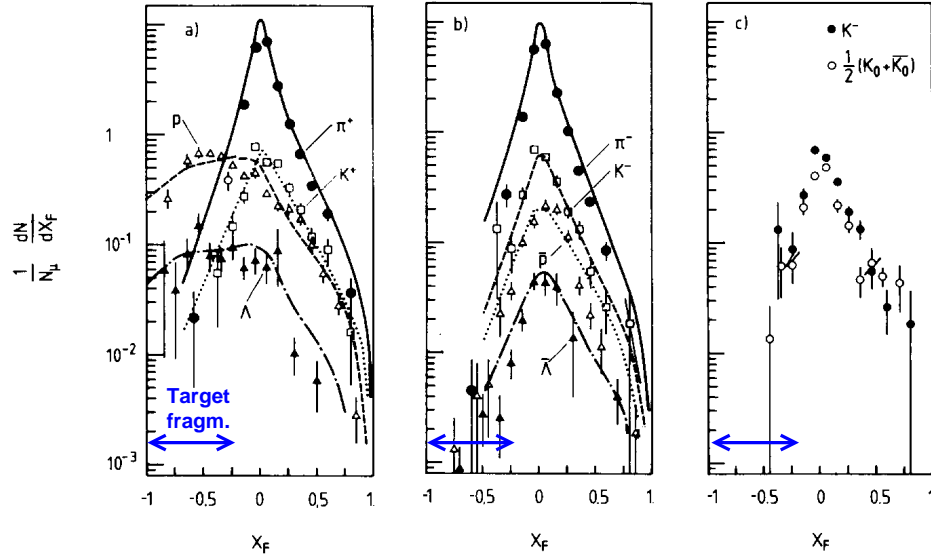
Constrained by LC momentum conservation

Cone opening in virtual photon direction \mathbf{q}

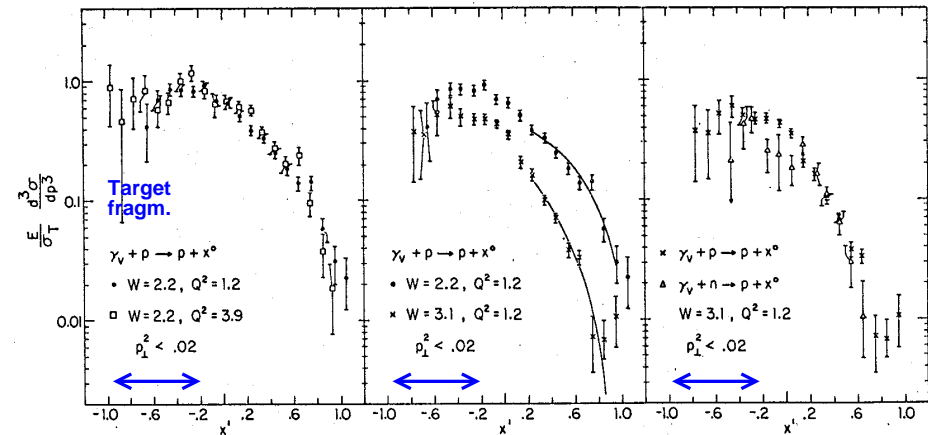
$h = \text{nucleon}$: \mathbf{p}_h forward, grows for $x \rightarrow 1$

$h = \text{pion}$: \mathbf{p}_h forward or backward

EMC hadron xF distributions. Phys Lett B 150 (1986) 458



Cornell proton distributions in DIS. Hanson 1976



- Measurements of target fragmentation ($x_F < 0$)

EMC μp 1986 $x > 0.02$: x_F distributions of $p, \bar{p}, \pi^\pm, K^\pm, \Lambda$

HERA ep 2009/2014 $x < 0.01$: x_F distributions of p, n

Cornell ep 1975 $x > 0.1$: Momentum distributions of p, π

Neutrino DIS: FNAL-E-0031 1977, CERN-WA-021 1981

- JLab12 and EIC should measure target fragmentation

→ Talk Strikman

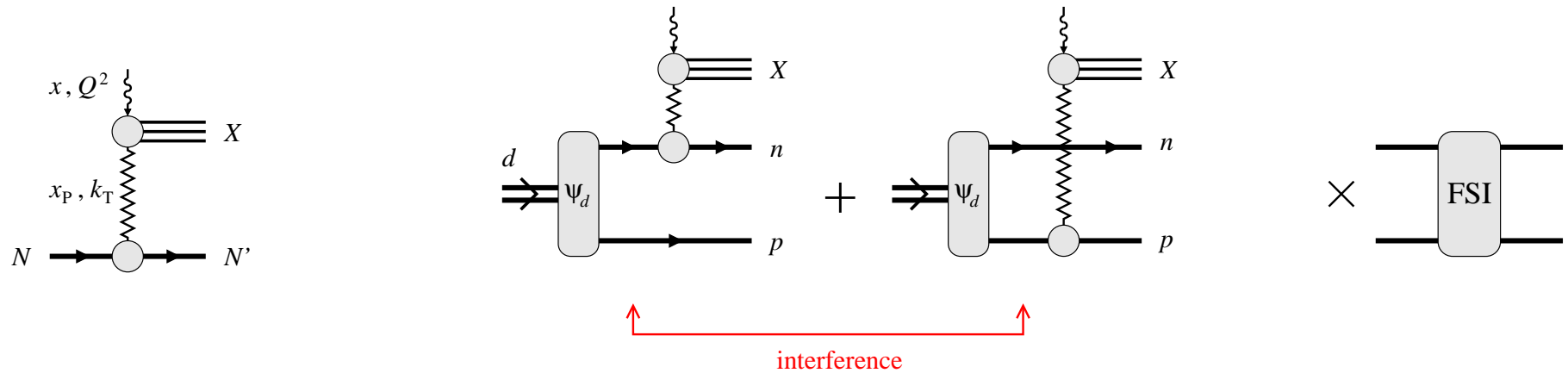
Spin/flavor dependence? Kinematic dependences?

Interesting nucleon structure physics + necessary input for nuclear FSI!

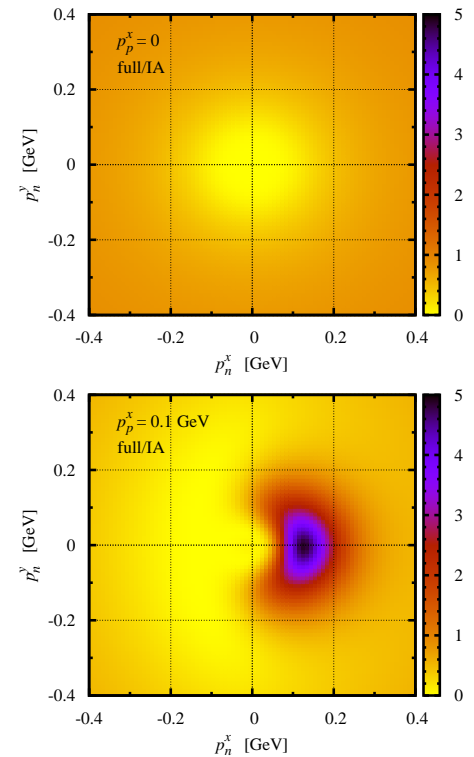
Workshop "Target Fragmentation Physics with EIC," CFNS Stony Brook, 28-30 Sep 2020 [Webpage].

EIC Yellow Report arXiv:2103.05419

FSI: Diffractive DIS at small x



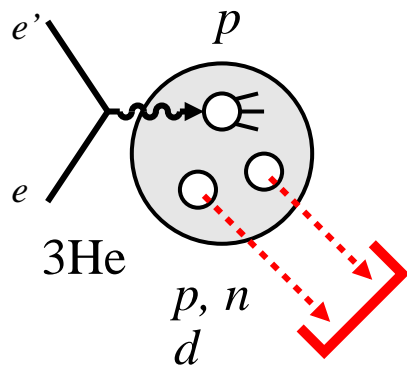
- Diffractive scattering: Nucleon remains intact, recoils with $k \sim$ few 100 MeV (rest frame)
- Shadowing: QM interference of diffractive scattering on neutron or proton
Observed in inclusive nuclear scattering
- Final-state interactions
 - Low-momentum pn system with $S = 1, I = 0$
 - pn breakup state must be orthogonal to d bound state
 - Large distortion, qualitative deviations from IA
 - Guzey, Strikman, CW; in progress



- Potential applications

Isospin dependence neutron \leftrightarrow proton

Universality of bound nucleon structure



- Simplest example: $A-1$ ground state recoil

$3\text{He} (e, e' d) X$, including polarization

[Ciofi, Kaptari, Scopetta 99](#); [Kaptari et al. 2014](#); [Milner et al. 2018](#)

Bound proton \leftrightarrow free proton structure

- Nuclear breakup much more complex than $A=2$

IA: Wave function overlap, large amplitude factors

FSI: Multiple trajectories

Requires new nuclear structure input:

Light-front spectral functions, decay functions, FSI

[Workshop "Polarized light ion physics with EIC", 5-9 Feb 2018,](#)

[Ghent \[Webpage\]](#). Emerging collaboration with low-energy

[nuclear structure community](#)