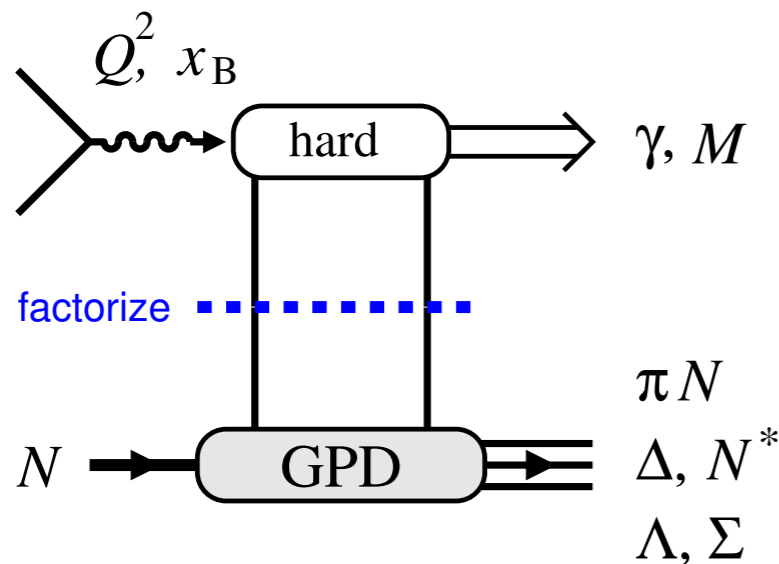


# Transition GPDs

C. Weiss (Jefferson Lab), Towards improved hadron femtography with hard exclusive reactions, Jefferson Lab, 7-11 Aug 2023 [Webpage]



## Motivation

Structure  $\leftrightarrow$  excitations in QM systems

## Transition GPDs

Factorization  $\rightarrow$  QCD operators

Transition matrix elements  $N \rightarrow \pi N$ , resonances

Chiral dynamics,  $1/N_c$  expansion  $\leftarrow$

EM tensor and mechanical properties  $\leftarrow$

## Processes

$N \rightarrow \Delta$  in DVCS  $\leftarrow$

$N \rightarrow \Delta, N^*$  in  $\pi, \eta$  production  $\leftarrow$

$N \rightarrow \Lambda, \Sigma$  in  $K, K^*$  production

[ $N \rightarrow X$  in vector meson production]

## This presentation

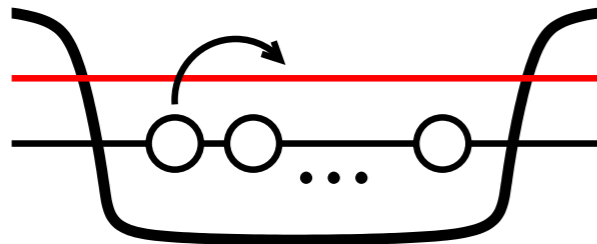
Overview of concepts, methods, processes

Applications to JLab12+ and EIC

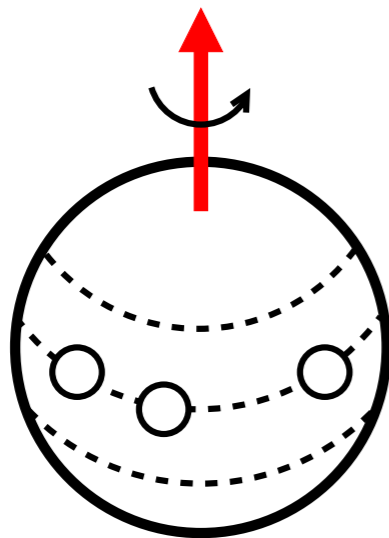
Much progress in theory

First results from JLab12  $\rightarrow$  [Talk K. Joo](#)

Internal structure and excitation spectrum are closely related aspects of QM systems

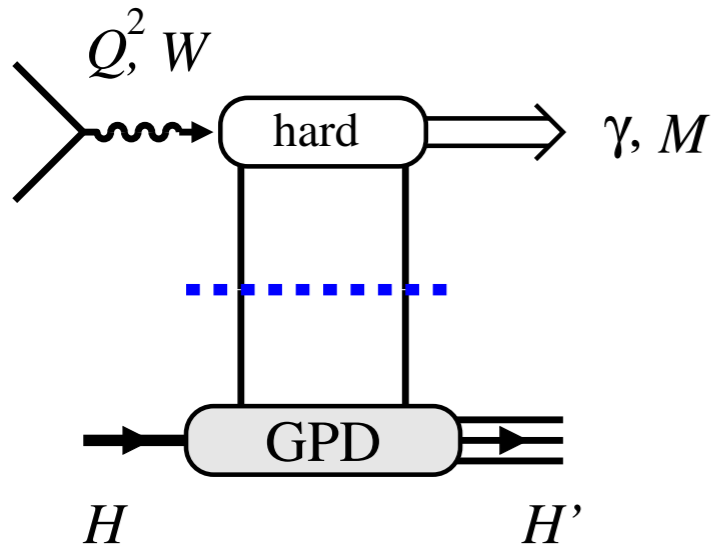


Example: Nuclear shell model  
Mean-field structure of ground state  $\leftrightarrow$   
Single-particle excitation spectrum



Example: Collective motion  
Semiclassical structure  $\leftrightarrow$   
Rotational excitation spectrum

*Same applies to hadron structure in QCD!*



## Factorization

Asymptotic regime  $Q^2, W^2 \gg \mu_{\text{had}}^2, |t| \sim \mu_{\text{had}}^2$

Production process communicates with target through QCD light-ray operators  $\mathcal{O}(z) = \bar{\psi}(0) \dots \psi(z)_{z^2=0}$

Hadronic matrix elements  $\langle H' | \mathcal{O}(z) | H \rangle \leftrightarrow$  GPDs

Works for any transition with  $m_{H'} - m_H \sim \mu_{\text{had}}$

## Physics interest in transitions $H \rightarrow H'$

Learn more about operator: Quantum numbers, spin-flavor components?

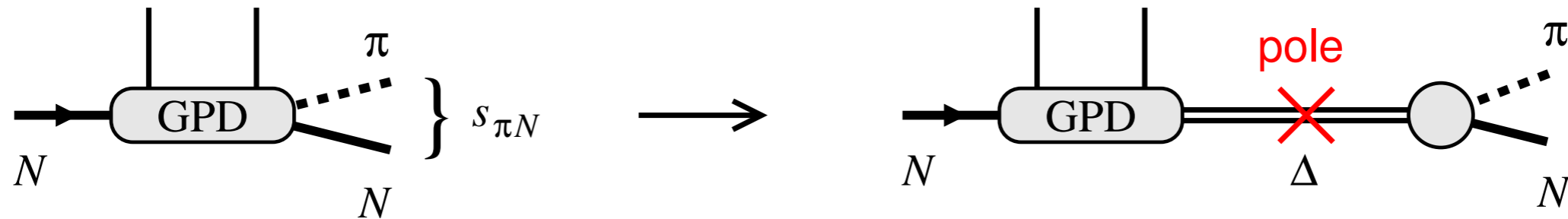
Learn about structure of excited states:

Use well-defined QCD operators from factorization theorem:

Renormalization, scale dependence, universality  $\rightarrow$  LQCD, nonperturbative methods

Realize operators with quantum numbers not accessible with local vector/axial currents:

Spin  $\geq 2$  – energy momentum tensor, gluon operators, quarks  $\leftrightarrow$  antiquarks C-parity



$$\langle \pi N | \mathcal{O} | N \rangle = \frac{\langle \pi N | \Delta \rangle \langle \Delta | \mathcal{O} | N \rangle}{s_{\pi N} - M_{\Delta}^2} + \text{less singular}$$

## Definition of resonance GPDs

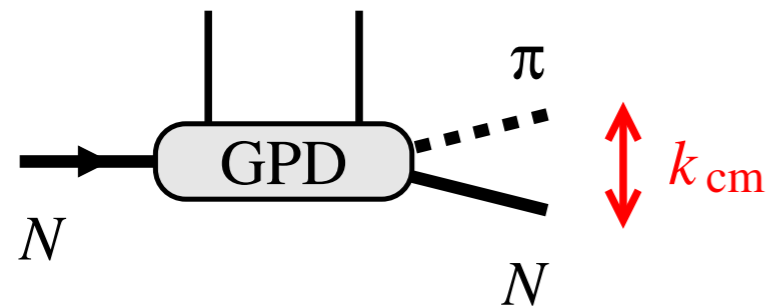
Multihadron final state, e.g.  $\pi N$

Analytic continuation in invariant mass  $s_{\pi N}$ :

Pole at  $s_{\pi N} = M_{\Delta}^2$ , resonance structure defined at pole, residue factorizes

Rigorous definition of “resonance GPDs” using methods of S-matrix theory

Physical region: Resonant + non-resonant contributions, needs theory



Near-threshold region  $k_{\text{cm}} \sim M_{\pi}$

Pion emission governed by chiral dynamics

Soft-pion theorems relate  $N \rightarrow \pi N$  and  $N \rightarrow N$  matrix elements:

$$\langle \pi N | \mathcal{O} | N \rangle \leftrightarrow \langle N | \mathcal{O}' | N \rangle, \quad \mathcal{O}' \sim [\mathcal{O}, J_5^{\mu}]$$

Pobylitsa, Polyakov, Strikman 2001; Guichon, Mossé, Vanderhaeghen 2003; Chen, Savage 2004; Birse 2004

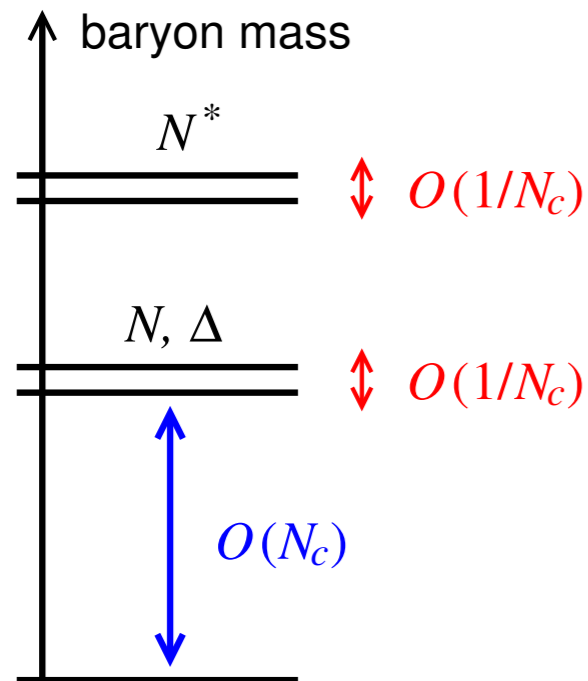
Corrections calculable in ChPT

Kivel, Polyakov 2004

Systematic approach in near-threshold region

Practically applicable in S-wave; limited by  $\Delta$  resonance in P-wave

Guidal et al 2003



## Large- $N_c$ limit of QCD

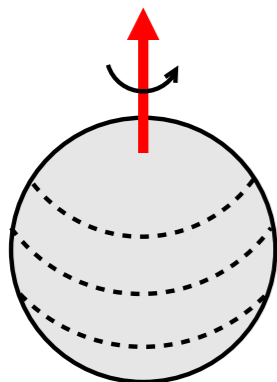
Semiclassical limit of QCD 'tHooft 1974, Witten 1979

Hadron masses, couplings, matrix elements scale in  $N_c$   
 “Organization” of non-perturbative dynamics

Emerging dynamical spin-flavor symmetry  $SU(2N_f)$   
 Baryons in multiplets with masses  $O(N_c)$ , splittings  $O(1/N_c)$   
 Gervais, Sakita 1984; Dashen, Manohar, Jenkins 1993

$N \rightarrow N$  and  $N \rightarrow \Delta$  transitions related by symmetry:  
 $\langle \Delta | \mathcal{O} | N \rangle = [\text{symmetry factor}] \times \langle N | \mathcal{O} | N \rangle$

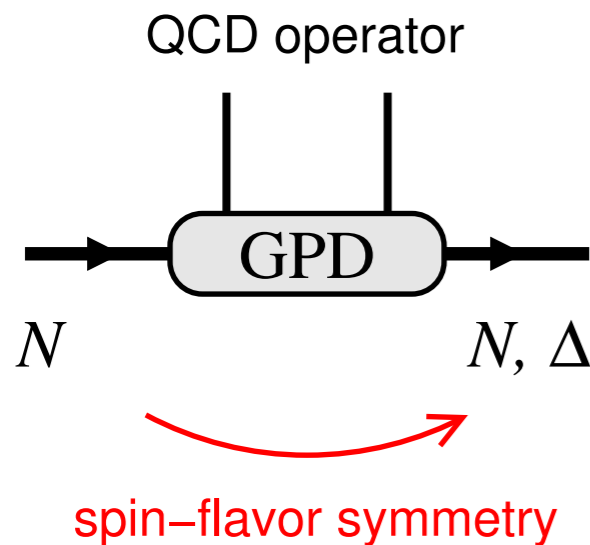
$S = I = 1/2, 3/2$



## $1/N_c$ expansion of hadronic matrix elements

Parametric expansion: Systematic, predictive, controlled accuracy

Applied to current matrix elements, hadronic amplitudes  
 Vector and axial currents: Fernando, Goity 2020



## $1/N_c$ expansion of $N \rightarrow N$ GPDs

Hierarchy of spin-flavor components of GPDs

Börnig et al. 1998; Goeke, Polyakov, Vanderhaeghen 2001

Extended to chiral-odd operators

Schweitzer, Weiss 2016

## $1/N_c$ expansion of $N \rightarrow \Delta$ transition GPDs

$\gamma^+, \gamma^+ \gamma_5$  chiral-even

$\sigma^{+T} \gamma_5$  chiral-odd

Leading structures, dynamical predictions from  $N \rightarrow N$

Frankfurt, Polyakov, Strikman 1998. FPS, Vanderhaeghen 2000

Full  $1/N_c$  expansion including subleading corrections can be performed using group-theoretical methods

Goity, Jun-Young Kim, Weiss, planned

Chiral-odd operators

Kroll, Passek-Kumericki 2023

**Lattice QCD:** Partonic operators using quasi/pseudo PDF approach

Excited states using “distillation” methods developed for hadron spectroscopy

Long-term prospect of calculating  $N \rightarrow B$  transition GPDs

→ Talks Richards, Zhao

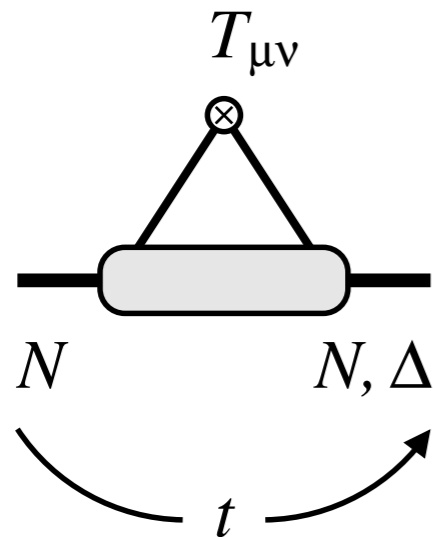
**Holography:** Models of non-perturbative QCD based on gauge-string duality

Close connection spectrum  $\leftrightarrow$  structure

First applications to partonic structure and GPDs

→ Talks Mamo, Zahed





EMT operator as 2nd x-moment of light-ray operator

EMT form factors describe distributions of momentum, angular momentum, forces in system

Ji 1996, Polyakov 2003, Lorce et al. 2013+

$N \rightarrow N$ : Extensive studies, “mechanical properties”

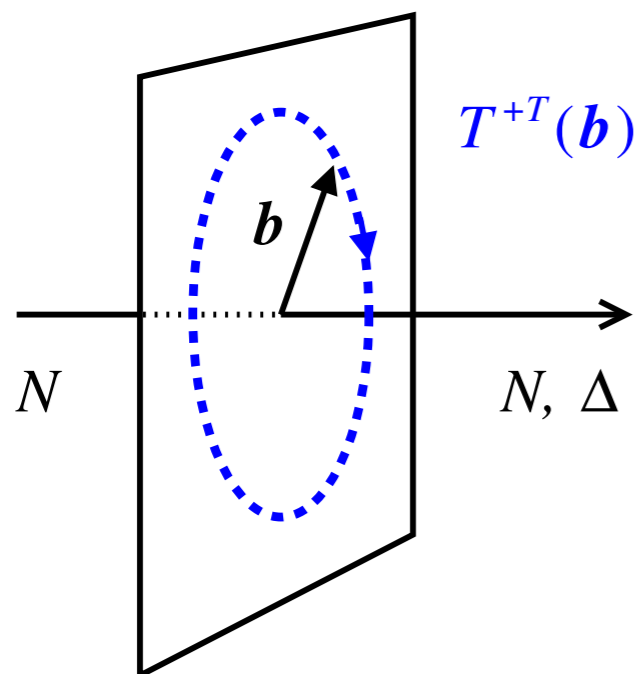
## $N \rightarrow \Delta$ transition EMT form factors

Transition matrix elements: Form factors, multipoles

J-Y Kim 2022 + in progress

Transition angular momentum formulated as light-front density

J-Y Kim, H-Y Won, Goity, Weiss, 2023

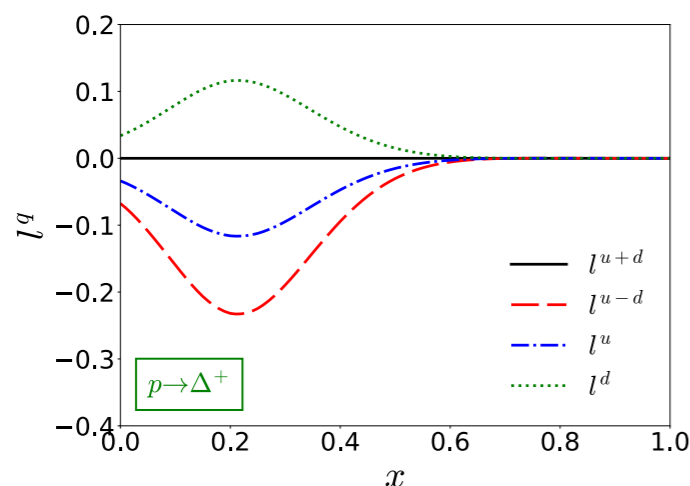
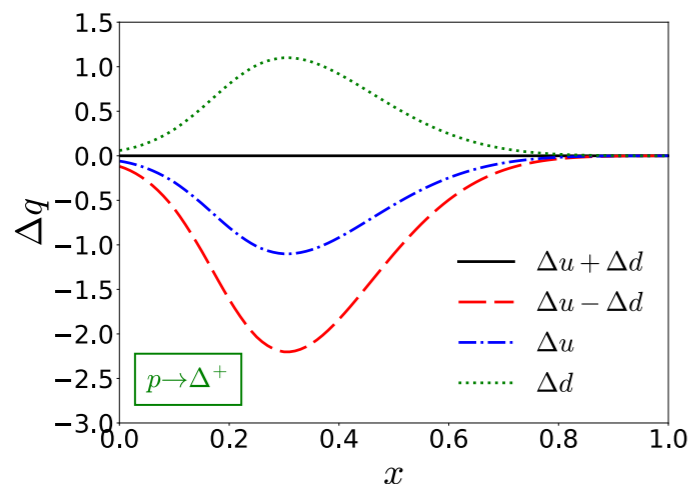


$$J^z(N \rightarrow \Delta) = \int d^2b \mathbf{b} \times \langle \Delta | \mathbf{T}^{+T} | N \rangle$$

Probes isovector quark angular momentum  $u - d$

Lattice QCD	$J_{p \rightarrow p}^S$	$J_{\Delta^+ \rightarrow \Delta^+}^S$	$J_{p \rightarrow p}^V$	$J_{p \rightarrow \Delta^+}^V$	$J_{\Delta^+ \rightarrow \Delta^+}^V$
[9] $\mu^2 = 4 \text{ GeV}^2$	0.33*	0.33	0.41*	0.58	0.08
[10] $\mu^2 = 4 \text{ GeV}^2$	0.21*	0.21	0.22*	0.30	0.04
[11] $\mu^2 = 4 \text{ GeV}^2$	0.24*	0.24	0.23*	0.33	0.05
[12] $\mu^2 = 1 \text{ GeV}^2$	–	–	0.23*	0.33	0.05
[13] $\mu^2 = 4 \text{ GeV}^2$	–	–	0.17*	0.24	0.03

[9] Gökeler 2004. [10] Hägler 2008. [11] Bratt 2010.  
 [12] Bali 2019. [13] Alexandrou 2020



$1/N_c$  expansion connects AM in  $N \rightarrow \Delta$  and  $N \rightarrow N$   
 Goeke, Vanderhaeghen, Polyakov 2000; Kim, Won, Goity, Weiss, 2023

$$J^V(p \rightarrow p) = \frac{1}{\sqrt{2}} J^V(p \rightarrow \Delta^+) = 5J^V(\Delta^+ \rightarrow \Delta^+)$$

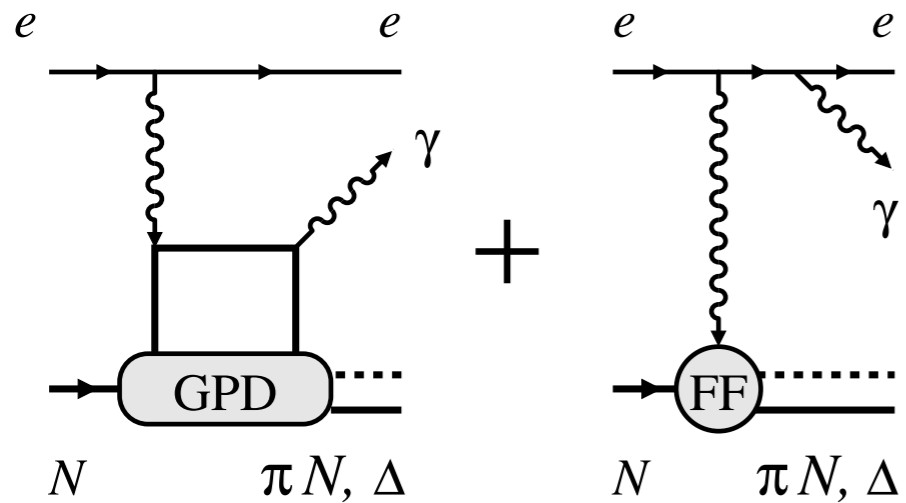
$$V \equiv u - d$$

$N \rightarrow \Delta$  transition AM estimated using  
 lattice QCD results for  $p \rightarrow p$

Measurements of  $N \rightarrow \Delta$  transition AM could  
 explain/constrain flavor asymmetry of proton AM  $J^{u-d}$

Many interesting questions: Separation of spin and  
 orbital AM in  $N \rightarrow \Delta$  transition – dynamics?

Large- $N_c$  light-front chiral quark-soliton model: J-Y Kim 2023



$$e + p \rightarrow e' + \gamma + \pi^0 p, \pi^+ n \quad (\Delta^+ \text{ resonance})$$

$$e + n \rightarrow e' + \gamma + \pi^0 n, \pi^- p \quad (\Delta^0 \text{ resonance})$$

Probes chiral-even GPDs

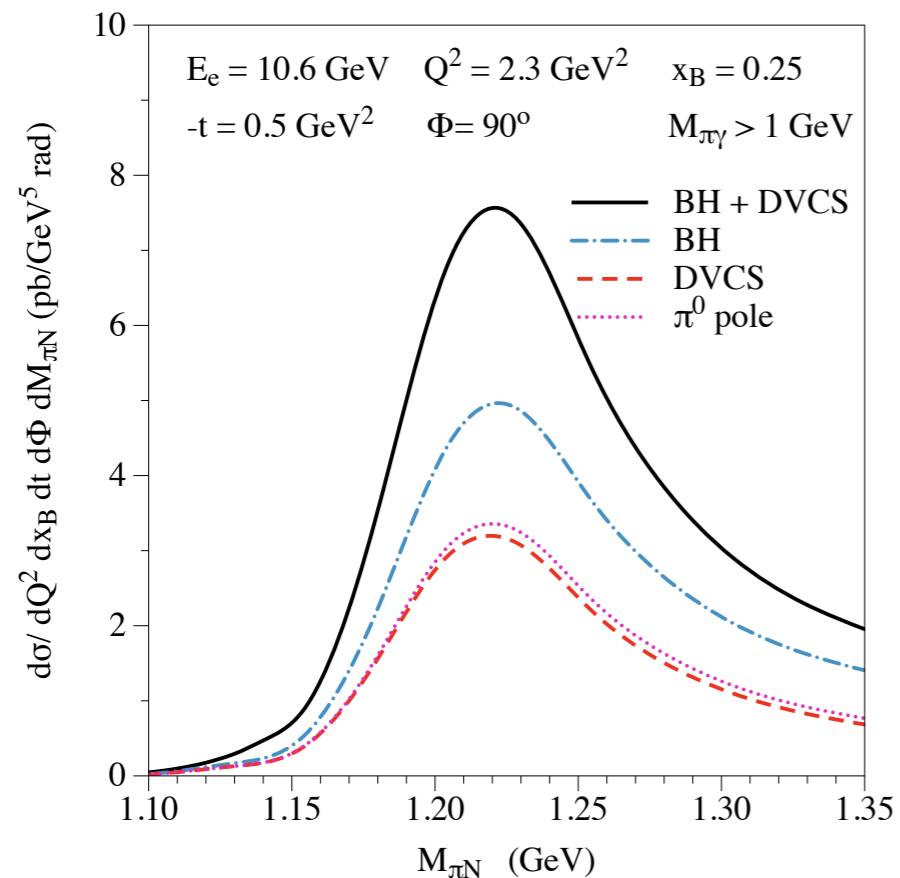
Detailed modeling: Semenov-Tian-Shansky, Vanderhaeghen 2023

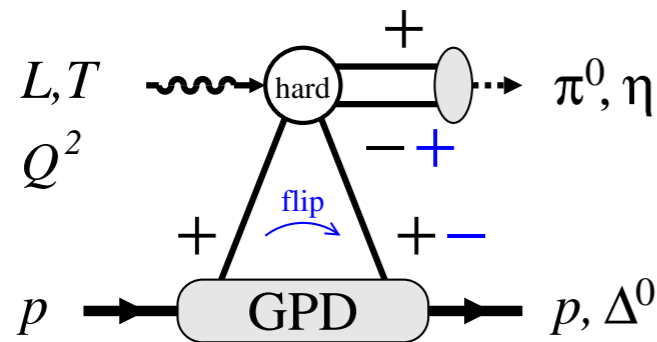
## Experiments

HERMES: Beam spin asymmetry  $A_{LU}$ , large exp. uncertainties

JLab12: First results from CLAS12  $\Delta^+$  → [Talk K. Joo](#)

EIC: Far-forward Delta reconstruction? Various channels, should be simulated → Discussion





Twist-2 mechanism: Chiral-even helicity-conserving GPDs + DA, L photon  
Frankfurt, Pobylitsa, Polyakov, Strikman 1998

Large twist-3 mechanism: Chiral-odd helicity-flip GPD + DA, T photon  
Goldstein, Liuti et al 08+, Goloskokov, Kroll 09+

$\langle H_T \rangle$ :  $u - d$  leading in  $1/N_c$   
 $\langle \bar{E}_T \rangle$ :  $u + d$  leading

Describes well JLab 6 GeV  $N \rightarrow N$  data  
CLAS6 2017 Bedlinskiy et al.  $\pi^0, \eta$

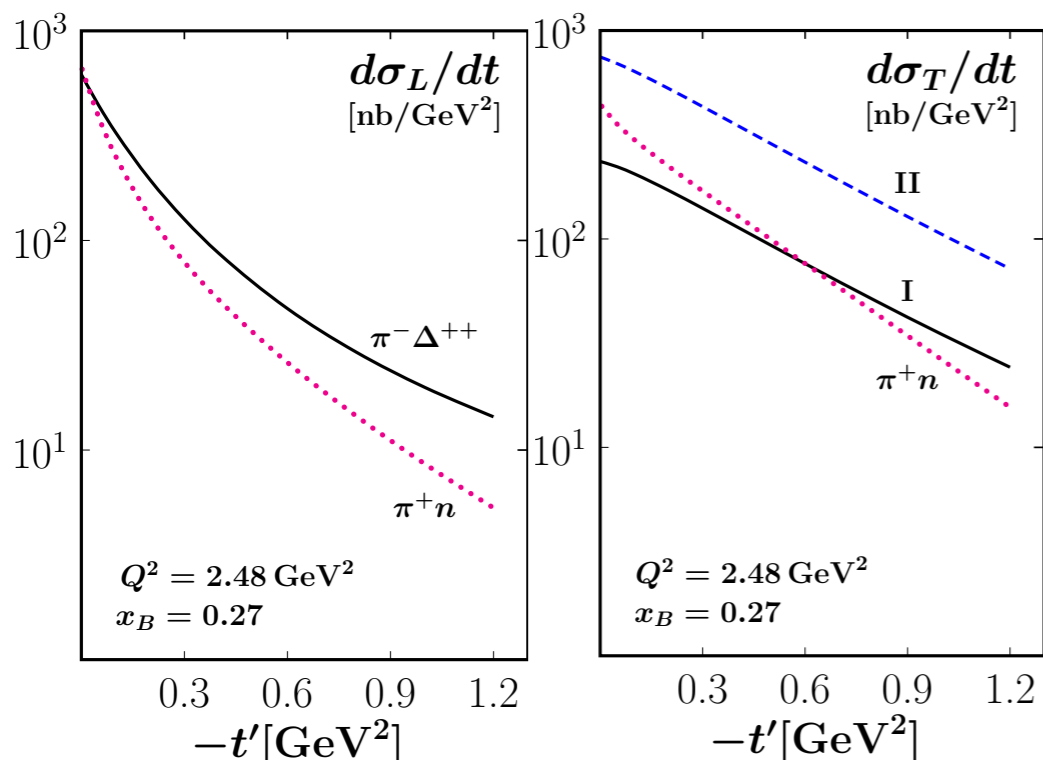
$1/N_c$  expansion correctly predicts flavor structure  
Schweitzer, Weiss 2016; Kubarovsky 2019

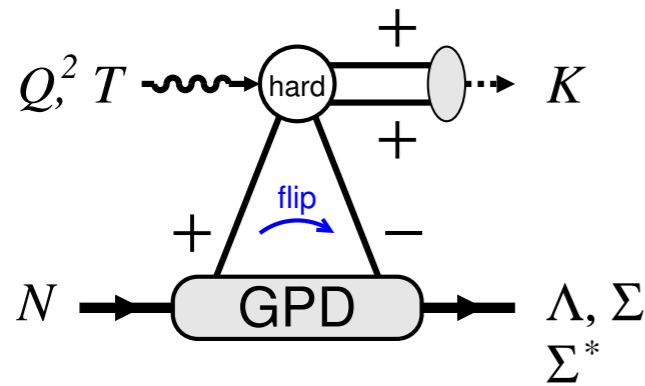
## $N \rightarrow \Delta$ transitions

Predictions for  $\pi^- \Delta^{++}$  final states using  $1/N_c$   
Kroll, Passek-Kumericki 2023

CLAS12: Beam spin asymmetry  $\pi^- \Delta^{++}$  → [Talk K. Joo](#)

Distinguish chiral-odd/even GPDs through  $N \rightarrow \Delta$ ?





Same twist-3 mechanism with chiral-odd structures as  $\pi, \eta$  production

## Symmetry relations for strange chiral-odd GPDs

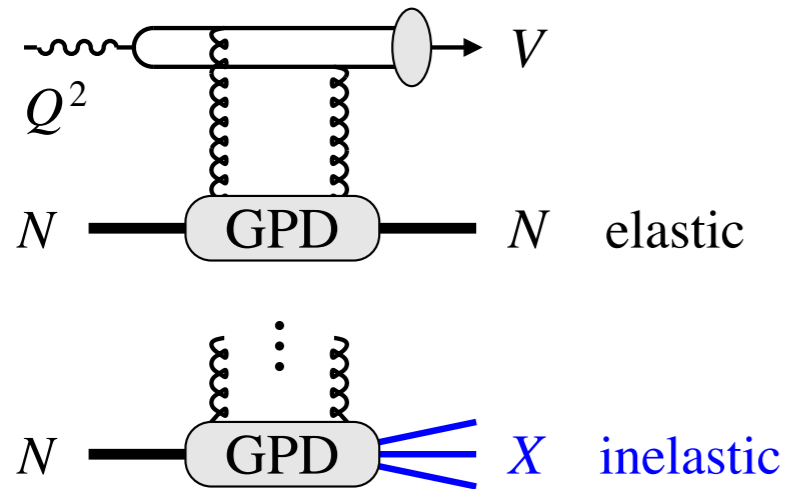
$N \rightarrow \Lambda, \Sigma$  related to  $N \rightarrow N$   
by conventional SU(3) flavor symmetry

$N \rightarrow \Sigma^*$  related to  $N \rightarrow N, \Lambda, \Sigma$   
by SU(6) spin-flavor symmetry in large- $N_c$  limit

Predictive power; quantitative modeling possible

## JLab12

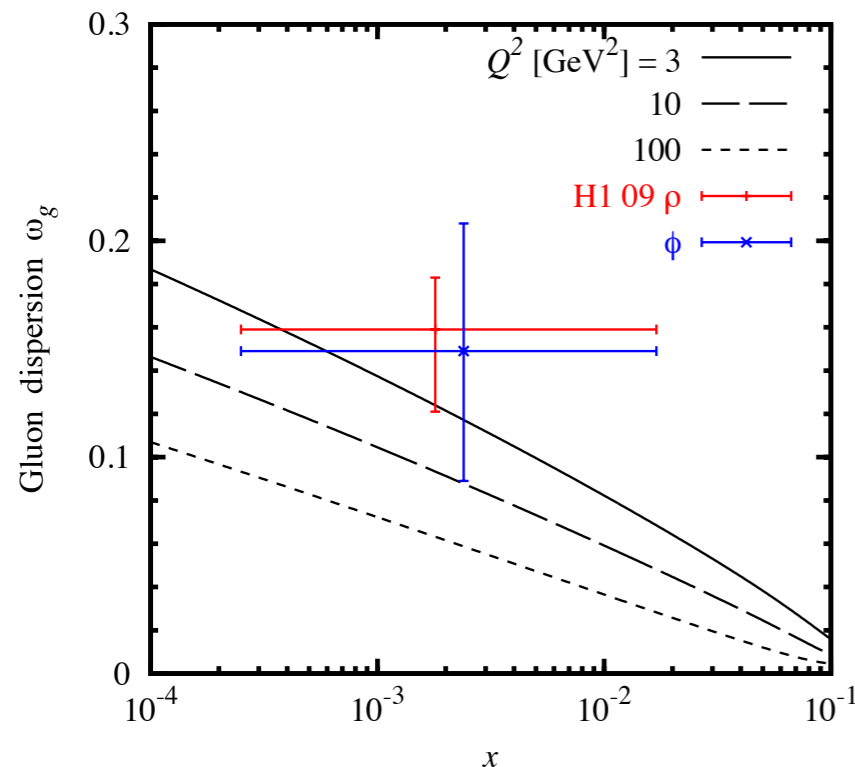
$p \rightarrow \Lambda, \Sigma, \Sigma^*$  in CLAS12  $K$  production data,  
to be analyzed [→ Talk K. Joo](#)



Diffractive vector meson production ( $V = J/\psi, \phi, \rho^0$ ) with  $N \rightarrow X$  (low-mass) transitions

Probes quantum fluctuations of gluon density in nucleon: Frankfurt, Strikman, Treleani, Weiss PRL 101:202003, 2008

$$\omega_g \equiv \frac{\langle G^2 \rangle - \langle G \rangle^2}{\langle G \rangle^2} = \frac{d\sigma/dt (\gamma^* N \rightarrow VX)}{d\sigma/dt (\gamma^* N \rightarrow VN)} \Bigg|_{t=0}$$



Fluctuations formulated in context of collinear factorization and transition GPDs. Alt formulation in dipole model  
 Schlichting, Schenke 2014; Mäntisaari, Schenke 2016

Discussed as part of diffraction at HERA and EIC:  
 Inelastic diffraction

High rates at EIC; detection being simulated

- Factorization theorem for hard exclusive processes as “source” of new operators for studying hadronic transitions: well-defined, simple, new spin/charge quantum numbers
- $1/N_c$  expansion relates  $N \rightarrow N$  and  $N \rightarrow \Delta$  transitions [or  $8 \rightarrow 8$  and  $8 \rightarrow 10$  for strange] through dynamical spin-flavor symmetry: systematic, predictive
- Energy-momentum tensor form factors and “mechanical properties” can be generalized to  $N \rightarrow \Delta, N^*$  transitions
- $N \rightarrow \Delta$  transitions generally as large as  $N \rightarrow N$  where allowed, similar rates
- First results on  $N \rightarrow \Delta$  in DVCS and  $\pi, \eta$  production from JLab12 → [Talk K. Joo](#)
- $\Delta$  reconstruction with EIC far-forward detectors should be simulated. Different decay modes of same  $\Delta$  activate different detectors — charged-neutral, neutral-neutral, charged-charged. Could be used for tests and calibration, besides physics interest.



## **ECT\* - APCTP Joint Workshop: Exploring resonance structure with transition GPDs**

ECT\* Trento, 21-25 August 2023

[\[Webpage\]](#)

### **Organizers:**

Stefan Diehl (Justus Liebig University Giessen, Germany)

Charlotte Van Hulse (University of Alcala, Madrid Region, Spain)

Vladimir Braun (University Regensburg, Germany)

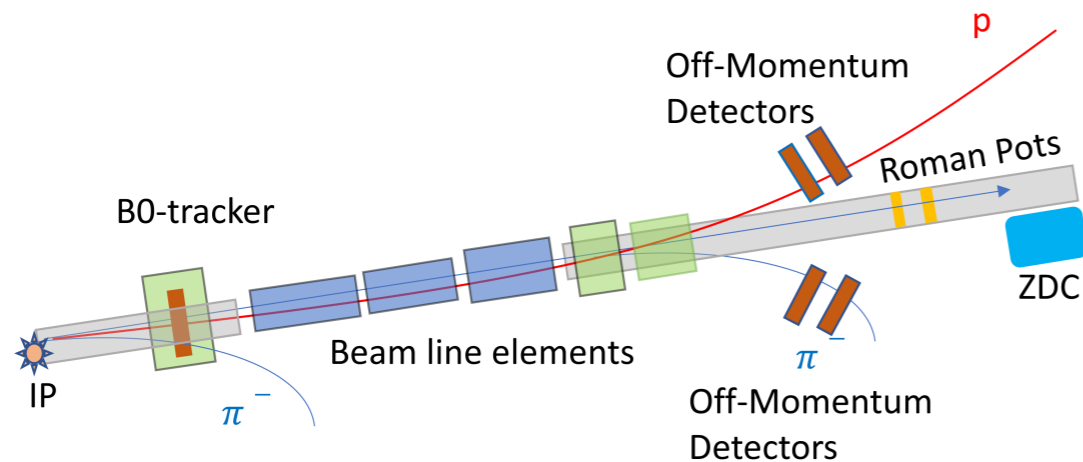
Seung-il Nam, (Pukyong National University, Republic of Korea)

Kyungseon Joo (University of Connecticut, United States)

Christian Weiss (Jefferson Lab, United States)



# Supplemental material



EIC

→ [Talk Jentsch](#)

Far-forward detection system  
 Charged hadrons: Forward spectrometer  
 Neutral hadrons: Zero-Degree Calorimeter

Decay pion carries small fraction of  $\Delta$  longit. momentum:  $p_{L\pi}/p_{L\Delta} \approx M_\pi/m_\Delta \approx 1/9$

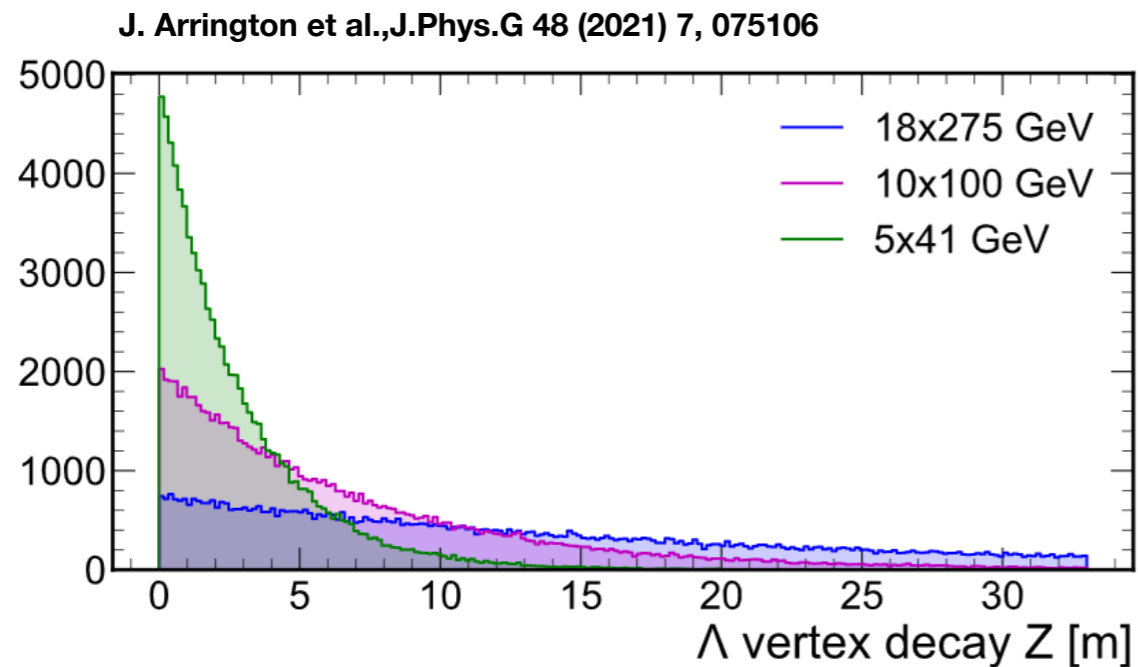
## Forward $\Delta$ reconstruction at EIC?

Forward  $p$  detection (with rigidity  $\gtrsim 1/2$  beam) and forward  $n$  detection have been simulated for  $p \rightarrow p, n$  exclusive processes, are well understood

Forward  $\pi^0$  detection with ZDC has been explored in connection with u-channel processes [A. Jentsch, Wenliang Li et al.]

Forward  $\pi^\pm$  detection with rigidity  $\ll$  beam might be possible with B0 tracker [A. Jentsch, private communication]

Would be very interesting to simulate  $\Delta$  reconstruction at EIC!  
 Comparison of decay channels can serve as cross-check and detector calibration  
 $\Delta^+ \rightarrow \pi^+ n$  or  $\pi^0 p$ .  $\Delta^0 \rightarrow \pi^0 n$  or  $\pi^- p$



$\Lambda$  decays  $\sim 1 - 10$  meters from IP, depending on ion beam energy

Vertex position unknown, wide range of possibilities

Simulations of  $\Lambda$  reconstruction ( $p\pi^-$ ,  $n\pi^0$ ) performed in context of  $K$  structure studies

J. Arrington et al., J.Phys.G 48 (2021) 7, 075106

Would be interesting to simulate  $\Sigma$  reconstruction,  $\Sigma^*$  resonance — “strange  $\Delta$ ”